

# Alternative Software Transactional Memory Implementation in Haskell

Master Thesis

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## Motivation

---

```
type Account = MVar Int
```

```
transfer :: Account -> Account -> Int -> IO ()
```

```
transfer src dst am = do
```

```
    a1 <- takeMVar src
```

```
    a2 <- takeMVar dst
```

```
    putMVar src (a1 - am)
```

```
    putMVar dst (a2 + am)
```

# MVar

---

Thread 1:

```
transfer acc1 acc2 50
```

Thread 2:

```
transfer acc2 acc1 50
```

# MVar

---

Thread 1:

```
a1 <- takeMVar acc1
a2 <- takeMVar acc2
writeMVar acc1 (a1 - 50)
writeMVar acc2 (a2 + 50)
```

Thread 2:

```
b1 <- takeMVar acc2
b2 <- takeMVar acc1
writeMVar acc2 (b1 - 50)
writeMVar acc1 (b2 + 50)
```

# MVar

---

Thread 1:

```
a1 <- takeMVar acc1
a2 <- takeMVar acc2
writeMVar acc1 (a1 - 50)
writeMVar acc2 (a2 + 50)
```

Thread 2:

```
b1 <- takeMVar acc2
b2 <- takeMVar acc1
writeMVar acc2 (b1 - 50)
writeMVar acc1 (b2 + 50)
```

⇒ Deadlock

## Use Transactions

---

```
type Account = TVar Int

transfer :: Account -> Account -> Int -> STM ()
transfer src dst am = do
    srcBal <- readTVar src
    dstBal <- readTVar dst
    writeTVar src (srcBal - am)
    writeTVar dst (dstBal + am)
```

# TVar

---

Thread 1:

```
atomically $  
  transfer acc1 acc2 50
```

Thread 2:

```
atomically $  
  transfer acc2 acc1 50
```

# TVar

---

Thread 1:

```
atomically $  
  transfer acc1 acc2 50
```

Thread 2:

```
atomically $  
  transfer acc2 acc1 50
```

⇒ works fine, because transactions provide ACI(D) properties



## Current Implementation (Control.Concurrent.STM)

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- *writeTVar*, *readTVar* and *newTVar* modify TVars
- *retry* and *orElse* alter the control flow
- *atomically* executes a transaction
- composition via bind operator (or do)

# Transactional Log

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- one log per transaction
- three elements per log entry
  - **TVar**
  - **expectedValue**
  - **currentValue**
- **writeTVar**: updates **currentValue** in log entry
- **readTVar**: reads TVar from log or actual TVar

## Example

---

```
transaction = do
  a <- readTVar t1
  writeTVar t2 a
  readTVar t2
```

## Example

---

```
transaction = do
  a <- readTVar t1
  writeTVar t2 a
  readTVar t2
```

Log after first action:

```
[(t1, a, a)]
```

## Example

---

```
transaction = do
  a <- readTVar t1
  writeTVar t2 a
  readTVar t2
```

Log after second action:

```
[(t1 , a , a) , (t2 , b , a)]
```

## Example

---

```
transaction = do
  a <- readTVar t1
  writeTVar t2 a
  readTVar t2
```

Log after thrid action:

```
[(t1 , a , a) , (t2 , b , a)]
```

atomically :: STM a  $\rightarrow$  IO a

---

1. compute the log
2. lock TVars
3. validate the log
4. if valid then commit
5. else roll back

# Validation

---

1. compare *expectedValue* to the value in the actual TVar
2. if all values match return valid
3. else return invalid



# Problem

---

Thread 1:

```
a1 <- readTVar acc1
a2 <- readTVar acc2
writeTVar acc1 (a1 - 50)
writeTVar acc2 (a2 + 50)
```

Thread 2:

```
b1 <- readTVar acc2
b2 <- readTVar acc1
writeTVar acc2 (b1 - 50)
writeTVar acc1 (b2 + 50)
```

Log:

```
[(acc1, a1, a1 - 50),
 (acc2, a2, a2 + 50)]
```

Log:

```
[(acc2, b1, b1 - 50),
 (acc1, b2, b2 + 50)]
```

# Problem

---

Thread 1:

$$\begin{aligned} &[(acc1, a1, a1 - 50), \\ & (acc2, a2, a2 + 50)] \end{aligned}$$

Thread 2:

$$\begin{aligned} &[(acc2, b1, b1 - 50), \\ & (acc1, b2, b2 + 50)] \end{aligned}$$

# Problem

---

Thread 1:

$$\begin{aligned} &[(acc1, a1, a1 - 50), \\ & (acc2, a2, a2 + 50)] \end{aligned}$$

Thread 2:

$$\begin{aligned} &[(acc2, b1, b1 - 50), \\ & (acc1, b2, b2 + 50)] \end{aligned}$$

⇒ either sequential or one transaction is rolled back

# Critical TVars

---

- critical between read and commit
- modifications to critical TVars cause rollback

# Critical TVars

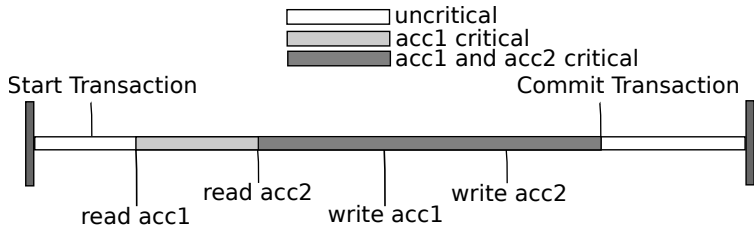
---

- critical between read and commit
- modifications to critical TVars cause rollback

⇒ minimize the time TVars are critical

# Critical TVars

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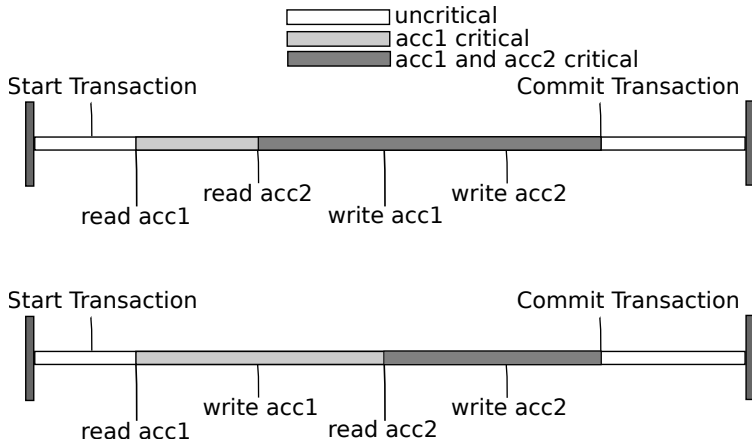


# Idea

---

```
transfer src dst am = do
  srcBal <- readTVar src
  writeTVar src (srcBal - am)
  dstBal <- readTVar dst
  writeTVar dst (dstBal + am)
```

# Critical TVars





# Idea

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- delay the evaluation of **readTVar** to commit phase
- no TVars is critical at all
- **writeTVar** does not need the value in the computation phase

## Idea does not work

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```
limitedTransfer src dst am = do
  srcBal <- readTVar src
  if srcBal < am
    then return ()
    else do dstBal <- readTVar dst
             writeTVar src (srcBal - am)
             writeTVar dst (dstBal + am)
```

⇒ idea does not work because the value is needed.

# Solution

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- delay evaluation as far as possible
- evaluate reads just before they are needed..
- ..or in the commit phase

# When is a value needed?

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- branch conditions
  - if-then-else
  - case
  - pattern matching
  - guards
- foreign functions in IO  $\Rightarrow$  not allowed in STM

## Example

---

```
transfer :: Account -> Account -> Int -> STM ()
transfer src dst am = do
  srcBal <- readTVar src
  dstBal <- readTVar dst
  writeTVar src (srcBal - am)
  writeTVar dst (dstBal + am)
```

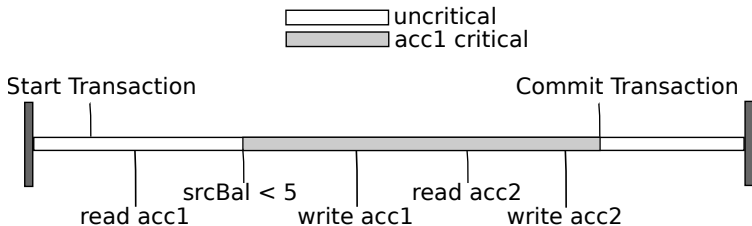
## Example: limitedTransfer acc1 acc2 5

---

```
srcBal <- readTVar acc1
if srcBal < 5
  then return ()
  else do dstBal <- readTVar acc2
           writeTVar acc1 (srcBal - am)
           writeTVar acc2 (dstBal + am)
```

## Example: limitedTransfer acc1 acc2 5

```
srcBal ← readTVar acc1
if srcBal < 5
  then return ()
  else do dstBal ← readTVar acc2
          writeTVar acc1 (srcBal - am)
          writeTVar acc2 (dstBal + am)
```



# Implementation

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- pure Haskell implementation
- state monad
  - read log
  - write log
  - unevaluated reads
- computation phase modifies the state
- commit phase uses state to validate and commit



## readTVar

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- search TVar in write log
- **readTVar** creates an read expression and extends write log
- **unsafePerformIO** :: IO a  $\rightarrow$  a
- IO action logs the information in read log

# Commit Phase

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1. lock TVars in write log
2. validate read log
3. evaluate remaining reads
4. publish modifications

# Evaluation

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- STM is universal tool
- unlimited possibilities
- testing is challenging

# Evaluation

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- tested specific cases
- increase in level of concurrency
- results meet the expectations

	GHC	Project	STMLA
medium concurrency	3.0420	3.2830	2.9225
low concurrency	3.0670	3.3110	2.9425
high concurrency	3.1520	3.4335	2.9455

## Future Work: True Consistency

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```
transaction = do
  a <- readTVar t1
  b <- readTVar t2
  if b == a
    then return ()
    else loop
```

## Future Work: Exception Handling

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- exception handling
- validate before passing an exception
- if invalid roll back
- unlock TVars when exception occurs

## Future Work

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- invariants
- C library with compiler integration
- further performance testing



## Questions about...

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- ...Control.Concurrent.STM?
- ...rollback avoidance?
- ...my implementation?
- ...something else?