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How the brain composes morphemes into meaning

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Abstract

Morphemes (e.g. [tune], [-ful], [-ly]) are the basic blocks with which complex meaning is built. Here I explore the critical role that morpho-syntactic rules play in forming the meaning of morphologically complex words, from two primary standpoints: i) how semantically rich stem morphemes (e.g. explode, bake, post) combine with syntactic operators (e.g. -ion, -er, -age) to output a semantically predictable result; ii) how this process can be understood in terms of mathematical operations, easily allowing the brain to generate representations of novel morphemes and comprehend novel words. With these ideas in mind, I offer a model of morphological processing that incorporates semantic and morpho-syntactic operations in service to meaning composition, and discuss how such a model could be implemented in the human brain.

Keywords: Morpho-syntax, Semantic composition, Neurolinguistics, Natural language processing

¹ 1. Introduction

That you are understanding the words on this page; that you can understand me still, when I talk to you in a noisy pub or over the telephone; that you and I are able to use language to communicate at all, usually effortlessly, exemplifies one of the most critical cognitive faculties belonging to human beings. Here we will focus on a specific aspect of this process; namely how the brain derives the meaning of a word from a sequence of morphemes (e.g. [dis][appear][ed])¹.

¹There is still some contention as to whether morphemes are neurally represented. An alternative possibility is that lexical information is represented in terms of whole words: the units that would orthographically be flanked by white space Giraudo and Grainger (2000), Devlin et al. (2004). However, given i) the substantial behavioural and neurophysiological evidence that morphemes are in fact represented (for reviews see Rastle and Davis (2008), Amenta and Crepaldi (2012)); ii) the advantage morphological representations provide to speech and text recognition systems (Creutz and Lagus, 2005, Luong et al., 2013, Snyder and Barzilay, 2008, Bojanowski et al., 2017)); iii) the need to move the discussion forward, I take for granted that in representing lexical information, the brain does indeed encode morphological units, likely in combination with, but possibly instead of, morphologically-complex wholes (Marantz, 2013).

8 A morpheme is defined as the smallest linguistic unit that can bear meaning.
9 The kind of meaning that it encodes depends on what type of morpheme it
10 is. For instance, *lexical morphemes* primarily encode semantic information (e.g.
11 [house], [dog], [appear]); *functional morphemes* primarily encode grammatical or
12 morpho-syntactic information (e.g. [-s], [-ion], [dis-]), such as tense, number and
13 word class. In English, these usually map to root and affix units, respectively,
14 though this differs considerably cross-linguistically. Each morpheme is an atomic
15 element, groups of which are combined in order to form morphologically complex
16 words. For example, to express the process *appear* in the past, one can combine
17 the stem morpheme with the inflectional suffix *-ed* to create *appeared*; to convey
18 the opposite, add a negating prefix: *disappeared*.

19 In what follows, I will first overview what I consider to be the main neu-
20 ral processing stages supporting morphological processing. In many senses, the
21 selection and description of these stages builds from previous models of be-
22 havioural data, such as Schreuder and Baayen (1995), updated to incorporate
23 results from neurolinguistics and natural language processing (NLP). For each
24 processing stage, I will review the relevant literature regarding language com-
25 prehension and production.

26 As should become clear from this review, there are a number of aspects of
27 morphological processing which remain highly under-specified. The goal of the
28 second part of this paper, therefore, is to put forward a composite model of
29 morphological processing, with the aim of offering directions to guide future re-
30 search. I am as explicit as possible regarding the semantic and morpho-syntactic
31 features at play, the transformations applied at each stage, and where in the
32 brain these processes may be happening. In this sense, then, the discussion will
33 focus on the representational and algorithmic level of analysis, as defined by
34 David Marr (Marr, 1982).

35 2. Overview of processing stages

36 In the case of language comprehension, the job of the language listener is
37 to undo the work of the speaker, and reconstruct the intended meaning from
38 the produced expression – to understand the concept *disappeared* rather than
39 to articulate it (Halle and Stevens, 1962, Bever and Poeppel, 2010, Poeppel and
40 Monahan, 2011). I propose that, to achieve this, the following processing stages
41 are involved:

- 42 1. **Segmentation:** Identify which atomic units are present.
- 43 2. **Look-up:** Connect each of those units with a set of semantic and/or
44 syntactic features.
- 45 3. **Composition:** Following the morpho-syntactic rules of the language,
46 combine those features to form a complex representation.
- 47 4. **Update:** Based on the success of the *sentence* structure, adjust the atomic
48 representations and combinatory rules for next time.

49 Note that these operations need not unfold under a strictly serial sequence,
50 such that the previous stage completes before the next is initiated. Rather,
51 based on previous work, it is likely that operations unfold under a more cascaded
52 architecture, such that many computations occur in parallel (e.g. see Gwilliams
53 et al. (2018)). The rest of this section will review the neural evidence in favour
54 of these stages.

55 *2.1. Morphological segmentation: Identifying the building blocks*

56 One of the earliest neural processes is morphological segmentation. The goal
57 is to locate the morphological constituents (roots, derivational and inflectional
58 affixes – defined fully below) within the written or spoken input, and link them
59 to a modality-specific representation (sometimes referred to as a form-based
60 “lexeme”² (Laudanna et al., 1992, Caramazza, 1997)).

61 Evidence for morphological segmentation comes from both written and spo-
62 ken language processing. Putative anatomical locations for these processes are
63 presented in Figure 1.

64 *Written word processing.* During reading, it appears that the brain segments
65 written words into morphemes based on an automatic morpho-orthographic
66 parser (Rastle et al. (2004), McCormick et al. (2008), Crepaldi et al. (2010),
67 Lavric et al. (2012), among others). Whenever both a valid root (either free
68 or bound) and a valid suffix are present in the input, the parser is recruited
69 (e.g. *farm-er*, *post-age*, *explode-ion*) (Taft, 1979)). This is true even for words
70 like *vulner-able*, *excurs-ion* whose stems never occur in any other stem-affix
71 combination (Gwilliams and Marantz, 2018). At this stage, the system is not
72 yet sensitive to the semantic relatedness between the stem and the complex
73 form. This has been shown to lead to false parses of mono-morphemic words
74 like *corn-er* and *broth-er*; the parser is not fooled, however, when a stem is
75 present without a valid affix (e.g. *broth-el*) (Rastle et al., 2004)). Overall,
76 this suggests that the system segments input based on the semantically-blind
77 identification of morphemes that contain an entry in the lexicon.

78 Visual morpheme decomposition has been associated with activity in the
79 fusiform gyrus using fMRI (Gold and Rastle, 2007), overlapping with the pu-
80 tative visual word form area (Cohen et al., 2002, McCandliss et al., 2003).
81 Corroborating evidence from MEG has also associated this area with morpho-
82 logical segmentation: Responses in posterior fusiform gyrus around 130 ms after
83 visual presentation are modulated by bi-gram and orthographic affix frequency
84 (Pammer et al., 2004, Simon et al., 2012, Gwilliams et al., 2016). This is con-
85 sistent with the research focused on orthographic processing, which associates
86 this area with the identification of recurring substrings (Dehaene et al., 2005,
87 Binder et al., 2006, Vinckier et al., 2007). Slightly more anterior along the

²A lexeme relates to all inflected forms of a morpheme: play, plays, played, playing would all be grouped under the lexeme *play*.

88 fusiform, responses around 170 ms are modulated by morpheme-specific properties
 89 such as stem frequency, affix frequency and the frequency with which
 90 those units combine (i.e. *transition probability*) (Solomyak and Marantz, 2010,
 91 Lewis et al., 2011, Fruchter and Marantz, 2015, Gwilliams and Marantz, 2018).
 92 Anterior fusiform gyrus is therefore associated with decomposing written input
 93 into morphemes.

94 Table 1 summarises these different metrics of orthographic and morphological
 95 structure, and specifies the cognitive process they putatively tap into.

96 *Spoken word processing.* Less research has been conducted on morphological
 97 segmentation of spoken language. Evidence from speech segmentation in general
 98 suggests that words and syllables are identified using statistical phonotactic
 99 regularities (Saffran et al., 1996), acoustic cues such as co-articulation and word
 100 stress (Cutler and Butterfield, 1992, Johnson and Jusczyk, 2001) and lexical
 101 information (Mattys et al., 2005). Similar kinds of statistical cues appear to
 102 be used for morpheme boundaries as well. In particular, there is sensitivity
 103 to the transition probability between spoken morphemes (Ettinger et al., 2014,
 104 Gwilliams and Marantz, 2015) in superior temporal gyrus (STG) at around

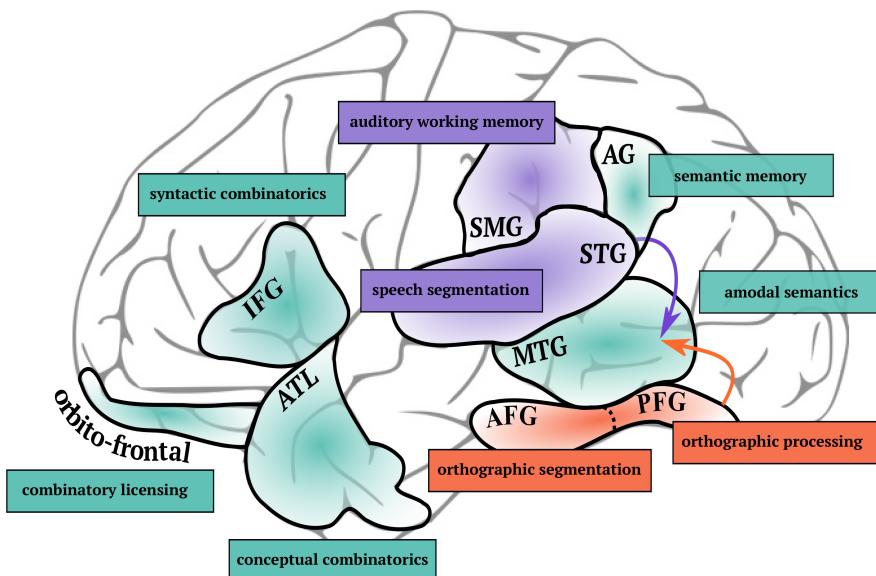


Figure 1: Putative brain regions associated with different stages of morphological processing. Orange colour refers to modality-specific written word processes. Purple colour to modality-specific spoken word processes. Turquoise refers to a-modal processes. PFG: posterior fusiform gyrus; AFG: anterior fusiform gyrus; STG: superior temporal gyrus; SMG: supramarginal gyrus; AG: angular gyrus; MTG: middle temporal gyrus; ATL: anterior temporal lobe; IFG: inferior frontal gyrus.

Feature	Formula	Process, Timing	Example study
Bigram frequency	$\log \sum(a, b)$	Orthographic, 130 ms	Simon et al. (2012)
Stem frequency	$\log \sum(X)$	Segmentation, 170 ms	Gwilliams et al. (2016)
Affix frequency	$\log \sum(Y)$	Segmentation, 170 ms	Solomyak and Marantz (2010)
Transition probability	$P(Y X)$	Segmentation, 170 ms	Gwilliams and Marantz (2018)
Base frequency	$\log \sum(X)$	Lexical access, 350 ms	Lewis et al. (2011)
Family entropy	$-\sum(P(W C) \log_2 P(W C))$	Lexical access, 350 ms	del Prado Martin et al. (2004)
Semantic coherence	$E(freq(W)) - freq(X + Y)$	Composition, 400-500 ms	Fruchter and Marantz (2015)

Table 1: **Summary of experimental variables** that have been used to tap into different stages of morphological processing. Here, (a, b) = letters of the input; (X) = stem; (Y) = affix; (W) = morphologically complex word; (C) = cohort of morphologically related words; E = expected value

105 200 ms after phoneme onset. These moments of low transition probability may
 106 be used as boundary cues to bind phonological sequences into morphological
 107 constituents.

108 For both auditory and visual input, then, the system applies a morphological
 109 parser on the input, which segments the signal based on sensory (pitch, inten-
 110 sity, bigrams), form-based (identification of affix or stem string), and statistical
 111 information (transition probability).

112 *2.2. Lexical access: Figuring out what the blocks mean*

113 Identifying the meaning of the segmented morphemes is often referred to
 114 as “lexical access”. This stage involves linking the form-based morpheme to
 115 the relevant bundle of semantic and syntactic features. Each word consists of at
 116 least three pieces: the root, (any number of) derivation(s) and inflection, even if
 117 one of the pieces is not spelled out in the written or spoken language (Pesetsky,
 118 1996). Depending on the type of morpheme being processed, the features are
 119 different.

120 *2.2.1. Root access*

121 Root morphemes are the smallest atomic elements that carry semantic prop-
 122 erties; units like: *house*, *dog*, *walk*, *love*. Based on previous theoretical work (e.g.
 123 Embick and Marantz (2008)), the root is assumed to not yet be specified for its
 124 word class; so, the use of *love* as a verb (*to love*) and a noun (*the love*) contains
 125 the same root morpheme.

126 The middle temporal gyrus (MTG) has been implicated in semantic lexical
 127 access in a number of processing models (Indefrey and Levelt, 2004, Hickok and
 128 Poeppel, 2007, Friederici, 2012), along with the superior temporal sulcus (Binder
 129 et al., 2000). The angular gyrus has also been implicated in semantic memory
 130 more broadly (see Binder et al. (2009), Binder and Desai (2011), Binder et al.
 131 (2016) for a review of anatomical locations associated with semantic processing).

132 A particular response component found in MEG, whose neural source origi-
 133 nates from MTG at around 350 ms after word onset, has been associated specif-
 134 ically with access to the decomposed *root* of the whole word (Pylkkänen et al.,
 135 2004, 2006, Fiorentino and Poeppel, 2007). This has been corroborated by the

¹³⁶ finding that neural activity in this area, at this latency, is modulated by lemma
¹³⁷ frequency (Solomyak and Marantz, 2010), polysemy (Pylkkänen et al., 2006) and
¹³⁸ morphological family entropy (Pylkkänen et al., 2004, Fruchter and Marantz,
¹³⁹ 2015) - perhaps reflecting competition between the recognition of different roots.

¹⁴⁰ *2.2.2. Derivation access*

¹⁴¹ Derivational morphology refers to a constituent (e.g. *-ion*, *-ness*, *-ly*) that
¹⁴² creates a new lexeme from that to which it attaches. It typically does this by
¹⁴³ changing part of speech (e.g. employ → employment), by adding substantial
¹⁴⁴ non-grammatical meaning (e.g. child → childhood; friend → friendship), or
¹⁴⁵ both (Anderson, 1985).

¹⁴⁶ There are data from cross-modal priming studies indicating that derivational
¹⁴⁷ suffixes can be primed from one word to another (darkNESS - happiNESS)
¹⁴⁸ (Marslen-Wilson et al., 1996). This suggests that i) there is an amodal repre-
¹⁴⁹ sentation (i.e. not bound to the visual or auditory sensory modalities) that can
¹⁵⁰ be accessed and therefore primed during comprehension; ii) the representation
¹⁵¹ is somewhat stable in order to generalise across lexical contexts. Furthermore,
¹⁵² findings from fMRI link the processing of derived forms with activity in the
¹⁵³ LIFG (Carota et al., 2016) – i.e. *Broca's area*, which is traditionally associated
¹⁵⁴ with syntactic processing, broadly construed. This region has also been associ-
¹⁵⁵ ated with the processing of verbal argument structure (Thompson et al., 2007),
¹⁵⁶ further implicating the LIFG in derivational morphology, though, overall, the
¹⁵⁷ processing of derivation is not as clear as it is for roots (Leminen et al., 2018).

¹⁵⁸ *2.2.3. Inflection access*

¹⁵⁹ Inflectional morphology invokes no change in word class or semantic features
¹⁶⁰ of the stem. Instead, it specifies the grammatical characteristics that are obliged
¹⁶¹ by the given syntactic category (Anderson, 1985). In English, inflectional mor-
¹⁶² phemes would be units like *-s*, *-ed*, *-ing*.

¹⁶³ Similar to derivational morphology, and with more empirical support, inflec-
¹⁶⁴ tional morpho-syntactic properties appear to be processed in the left inferior
¹⁶⁵ frontal gyrus (LIFG) (Marslen-Wilson and Tyler, 2007, Whiting et al., 2014).
¹⁶⁶ This area is recruited for both overt and covert morphology (i.e. inflections that
¹⁶⁷ are realised with a suffix (ten lamb + s) and those that are silent (ten sheep
¹⁶⁸ + Ø) (Sahin et al., 2009)), which suggests that the same processing mecha-
¹⁶⁹ nisms are recruited even when the morphology is not realised phonetically or
¹⁷⁰ orthographically.

¹⁷¹ *2.3. Morphological combination: Putting the blocks together*

¹⁷² In order to comprehend the meaning of the complex item, the system needs
¹⁷³ to put together the semantic and syntactic content of the constituents. This is
¹⁷⁴ referred to as the *composition* stage of processing.

¹⁷⁵ The majority of work morphological composition has employed quite coarse
¹⁷⁶ categorical distinctions between semantically valid and invalid combinations.
¹⁷⁷ For example, morphologically valid combinations like *farm+er* elicit a stronger

178 EEG response at around 400-500 ms as compared to invalid combinations like
179 *corn+er* (Dominguez et al., 2004, Morris et al., 2007, 2008, Lavric et al., 2011,
180 2012). MEG work has associated this with activity in the orbito-frontal cortex
181 (Pylkkänen and McElree, 2007, Pylkkänen et al., 2009, Fruchter and Marantz,
182 2015, Neophytou et al., 2018).

183 In a slightly more fine-grained comparison, Fruchter and Marantz (2015)
184 tested just morphologically valid combinations, and found how expected the
185 meaning of the whole word is from its parts (termed “semantic coherence”) also
186 drives orbito-frontal activity at around 400 ms. This has been interpreted as
187 reflecting a stage that assesses the compatibility between the composed complex
188 representation and the predicted representation given the parts of the word.
189 Broadly though, the mechanism by which morphemes are combined is extremely
190 under-specified, and is a rich avenue for future study.

191 **3. Composite model of morphological processing**

192 The discussion so far has reviewed literature regarding three stages of mor-
193 phological processing. While neuro-biological research provides quite a com-
194 prehensive explanation of how the brain segments sensory input into morphological
195 constituents, our understanding remains poorly defined in terms of i) what lin-
196 guistic features make up the representation of each morphological unit; ii) what
197 operations are applied to those features at each stage of composition. There-
198 fore, the rest of this article is dedicated to putting forward a framework that is
199 explicit on both of these points, in order to guide future studies. It is informed
200 by the neural data reported above, and insights from linguistics and natural
201 language processing (NLP).

202 *3.1. Morpheme segmentation*

203 It is interesting to note that the neurophysiological findings regarding mor-
204 phological decomposition are echoed in the engineering solutions developed in
205 NLP. Some tools employ a storage “dictionary” of morphological constituents
206 that are compared to the input to derive units from the speech or text stream
207 (Qiu et al., 2014). This is similar to the stem+affix look-up approach of Taft
208 (1979). Other morphological segmentation tools such as *Morfessor* work by
209 picking up on statistical regularities in the input and maximising the likelihood
210 of the parse in a unsupervised manner (Creutz et al., 2007). This is related
211 to sensitivity to grapheme and phoneme transition probability as attested in
212 the posterior fusiform and superior temporal gyrus, respectively. Overall, both
213 types of NLP segmentation – dictionary lookup and statistical regularity – are
214 attested in the cognitive neuroscience literature as methods the brain uses for
215 segmentation.

216 *3.2. Morpheme representations*

217 The framework presented here treats morphemes (and words) as a set of
218 semantic and syntactic features. Figure 2 visualises a collection of some example
219 features for four words.

220 Computationally, this means that each morpheme is represented as a list of
 221 numbers. Each slot in the list corresponds to a particular feature (e.g. *cute*,
 222 *loud*, *plural*), and the number associated with that slot reflects how relevant a
 223 feature is for that morpheme. The list of numbers that represents each mor-
 224 pheme, or each word, is henceforth referred to as a *vector*.

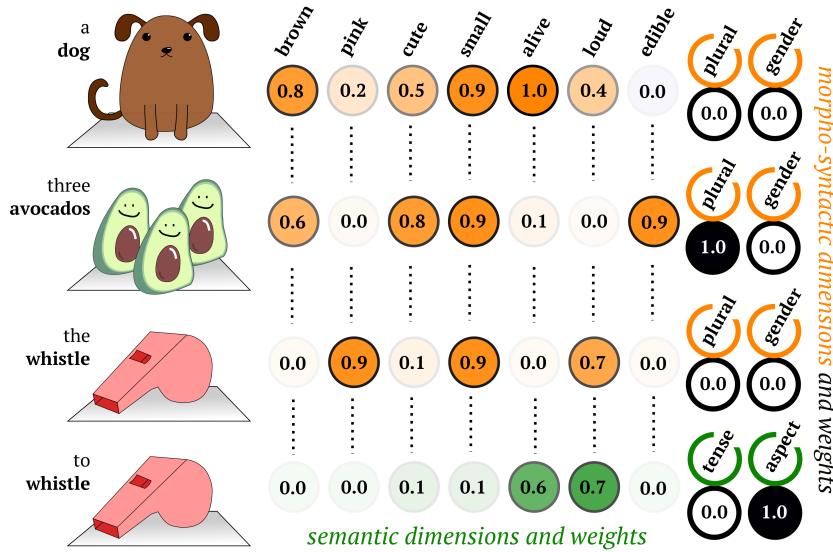


Figure 2: **Representing words as vectors.** For the three morphologically composed words *dog*, *avocados*, *whistle*, the semantic and syntactic features are displayed. The first seven features refer to the semantics of the item; the last two features refer to syntactic properties. The labelled disks correspond to the morpho-syntactic dimensions that are relevant to the word, as primarily provided by the derivation; the weights of those dimensions is specified by the inflection, as shown in greyscale. Each word is expressed under the same set of semantic dimensions, but the syntactic dimensions differ depending on the word class of the item. The orange colour denotes that this item is a noun; the green colour: a verb.

225 Precisely how many semantic dimensions define a word is likely impossible
 226 to answer. But, I propose that all words are defined relative to the same set
 227 of semantic features, and the feature-slot correspondence is the same across all
 228 words. This systematicity between the index of the vector, and the meaning of
 229 that dimension, is what allows the brain to keep track of what elements contain
 230 what information, and apply the appropriate computation.

231 In terms of neural implementation, each dimension of the vector could be
 232 realised by a neuron or neuronal population that codes for a particular feature.
 233 The vector format has been chosen for modelling purposes because it supports
 234 basic mathematical operations; but, the vector format itself is not critical for
 235 how these processes work in the brain.

236 *Root features.* The root morpheme is proposed to consist only of semantic prop-
237 erties. The root in *dogs* for example, is *dog*, which may have a feature for *brown*,
238 *fluffy*, *cute*, *small*, *mammal* and *barks*. The root itself is assumed to not be as-
239 sociated with any particular word class - this is only determined once it is
240 combined with derivational morphology, as explained below. So, in its acate-
241 gorical form, I hypothesise that the weights for each feature correspond to the
242 average weight given all the contexts of use of the word.

243 In NLP, the meaning of words are routinely represented as *semantic word*
244 *embeddings* (e.g. Creutz and Lagus (2005), Snyder and Barzilay (2008), Luong
245 et al. (2013), Bojanowski et al. (2017)). These vectors are created based on the
246 co-occurrence of words: capitalising on the fact that words that mean some-
247 thing similar often occur in similar contexts³. They provide a powerful way of
248 representing meaning and obey simple geometric transformations (for example,
249 subtracting *mān* from *king* and adding *woman* results in a vector that closely
250 approximates *queen* (Pennington et al., 2014)). In these methods, the vectors
251 typically have a dimensionality of 50-300 features, where the features themselves
252 are extracted using unsupervised techniques such as principle component anal-
253 ysis. As a consequence, it is often not possible to assign a meaningful label to
254 each dimension.

255 I want to highlight that even though this is a highly successful method for
256 solving language engineering problems, I am not proposing that this is how the
257 brain acquires dimensions of understanding. Rather, I believe that the dimen-
258 sions of word embeddings, as learnt through corpus statistics, are conveniently
259 correlated with the “true” dimensions of meaning used by the brain, thus leading
260 to their correlation with neural activity (Huth et al., 2016). Determining what
261 those “true” features are, though, will likely require more manual inspection
262 and human intuition (e.g. along the lines of Binder et al. (2016)).

263 *Derivation features.* Unlike the potentially infinite number of semantic features,
264 syntactic features are of a closed finite set. Given the significant stability of
265 word classes and morpho-syntactic features cross-linguistically, I propose that
266 the derivation contains a place-holder for all possible morpho-syntactic proper-
267 ties of the particular syntactic category (Cinque, 2006). So, for example, even
268 though English does not mark grammatical gender, a native English speaker
269 would still have a slot for this morpho-syntactic feature in their representation
270 of nouns. This is very much in line with *categorical structure* as proposed by
271 Lieber (1992). For example, the morpheme *-ful*, which derives an adjective from
272 a noun, would contain adjectival morpho-syntactic features such as *function*,
273 *complementation*, *gradation*. The suffix *-ion* contains nominal features such as
274 *case*, *number*, *gender*. The suffix *-ify* contains verbal features such as *tense*,
275 *aspect*, *mood*. The index location of these morpho-syntactic features would also
276 always be stable within the representation of the whole word (so, which entry

³This is highly consistent with the *Distributional Hypothesis* of semantics – a prevalent usage-based theory of word meaning (Harris, 1954, Firth, 1957).

277 in the vector, for the computational implementation; which feature-sensitive
278 neuron(s), for the neural implementation). This way, the system knows from
279 where to extract the derivational dimensions, and on what features to apply the
280 relevant compositional functions.

281 Critically, the derivation only serves to specify which morpho-syntactic fea-
282 tures are of potential relevance. It does not actually contain the weights for
283 each dimension – that is the job of the inflection, as expanded upon below. In
284 this way, then, the derivation acts as a kind of co-ordinate frame: it determines
285 the word-class of the whole word by specifying the relevant syntactic dimen-
286 sions that it should be expressed within. In Figure 3, the co-ordinate frame is
287 depicted by the colour of the vector.

288 I propose that the derivation is also specified in terms of the same semantic
289 dimensions that define the root morpheme. For example, this semantic feature
290 would be shared between *childhood*, *manhood* and *womanhood*; and between
291 *charmer*, *kisser* and *walker*. Furthermore, there may also be semantic similarities
292 within word classes more generally, either expressed as an explicit feature,
293 or as an emergent property of occupying similar syntactic roles. For example,
294 the semantic noun-y-ness associated with *mountain* may be shared with the
295 noun-y-ness of *petrol*.

296 *Inflection features.* I propose that the inflectional morpheme serves to specify
297 the value for each of the morpho-syntactic dimensions identified by the deriva-
298 tion. For example, if the derivation recognises *number* as a relevant dimension
299 for the stem, it is the inflection that specifies whether the word is singular or
300 plural. If the derivation specifies a feature that is not applicable to the word
301 being processed, such as *gender* for the English word *table*, then the inflection
302 simply allocates a zero weight. This is consistent with Levelt et al. (1999)’s
303 lexical access model of speech production.

304 3.3. Compositional computations

305 Now we move to a critical aspect of morphological processing, which is how
306 the morphemes are combined in order to form a complex meaning. The basic
307 proposal here is that the three types of morphemes obey three types of com-
308 binatorial operations, which unfold in a particular order, and with predictable
309 consequences on the semantic and syntactic outcome of the word. Below, each
310 combinatorial stage of processing is explained in turn.

311 *Concatenation and multiplication to create the stem morpheme.* The first op-
312 eration involves combining the semantics of the root morpheme (purple vector
313 in Figure 3, step 1) with morpho-syntactic dimensions of the initial derivation
314 (step 2). This forms the stem morpheme, which is specified for its word class
315 (step 3) (Embick and Marantz, 2008).

316 Computationally, I propose that this involves two steps. One is concate-
317 nating the syntactic dimensions that are of potential relevance given the word-
318 class of the derivation to the representation of the root morpheme. Second is
319 modulating the semantic features of the root, relative to the word-class of the

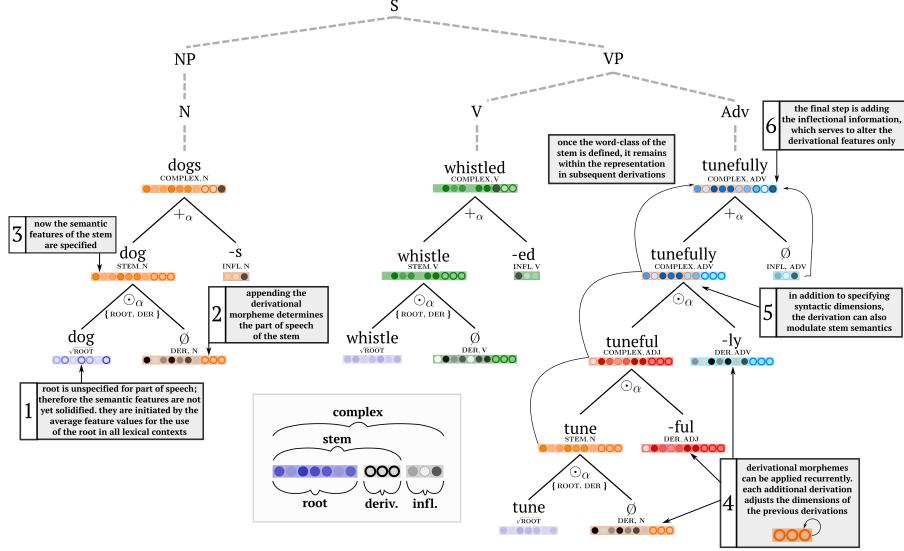


Figure 3: **Morpho-syntactic composition.** Detailing the morphological composition recruited during the processing of the sentence *dogs whistled tunefully*. The colour specifies the word-class of the unit (purple: acategorical; orange: nominal; green: verbal; red: adjectival; blue: adverbial). The index of the feature in the vector is what determines its semantic/syntactic function. \emptyset : a morpheme with no phonological or orthographic realisation; { ROOT, DER }: concatenation of vectors; \odot : element-wise multiplication; $+$: addition; α : learnt combinatorial weight. This allows the modulation of the semantic features to be adjusted with experience with a particular language. I am assuming, in line with linguistic theories (Halle and Marantz, 1994, Harley and Noyer, 1999, Halle and Marantz, 2004), that the root is unspecified for word class (e.g. adjective, noun, verb).

320 derivation. This second procedure can be achieved through element-wise multiplication,
 321 such that the derivation systematically increases the weight of certain
 322 dimensions, and decreases the weight of others. This forms a stem morpheme,
 323 whose semantic and syntactic properties are defined relative to its established
 324 word class. An explicit example of this process is shown in Table 2.

325 *Multiplication at each derivation.* After this initial stem-formation stage, any
 326 number of additional derivational operations can then be applied (step 4 in
 327 Figure 3). Each additional transformation involves adjusting which morpho-
 328 syntactic dimensions are relevant, and at the same time, modulating the seman-
 329 tic dimensions in line with the syntactic category. For example, if transforming
 330 a noun into a verb, the relevant syntactic dimensions change from *number* and
 331 *gender* to *tense* and *aspect*. Further, the semantic dimensions change from
 332 highlighting visual aspects of the concept to kinetic aspects of the concept.

333 In line with this idea, behavioural studies have shown that listeners are
 334 sensitive to the word class of the stem, even when the word as a whole ends up

	Whistle (Root)	\emptyset (Verbal)	Whistle (Stem)	-er (Nominal)	Whistler (Complex Noun)	-s (Inflection)	Whistlers (Inflected)
Sound	0.9	1.0	0.9	1.0	0.9	\emptyset	0.9
Social	0.3	0.8	0.24	5.0	1.2	\emptyset	1.2
Shape	0.5	\odot 0.2	= 0.1	\odot 0.5	= 0.05	+ \emptyset	= 0.05
Happy	0.5	2.0	1.0	1.0	1.0	\emptyset	1.0
Human	0.2	0.3	0.06	10.0	0.6	\emptyset	0.6
\emptyset	Tense	Tense	Plural	Plural	1	1	
\emptyset	Aspect	Aspect	Gender	Gender	0	0	

Table 2: **Mathematical example** of the derivational processes leading to the formation of the complex word *whistler*. \odot refers to element-wise multiplication between vectors. + refers to vector addition. Top part of the table corresponds to semantic dimensions; bottom part to syntactic dimensions. The semantic dimension labels were taken from Binder et al. (2016).

335 being a different syntactic category (e.g. the verb *explode* in the nominalisation
 336 *explosion*) (Gwilliams et al., 2015). This suggests that the history of syntactic
 337 dimensions is accessible during comprehension.

338 Critically, I propose that the semantic consequences on the properties of the word
 339 are predictable given knowledge about the semantic input and the syntactic
 340 operators being applied. In theory, this means that a derivation will always
 341 modulate the features of the root in the same way, and this will generalise across
 342 lexical contexts. As expanded upon below, this connection between syntax and
 343 meaning is precisely what allows for the comprehension of novel words.

344 *Addition at the inflection.* The final stage involves combining the lexical structure
 345 with the inflectional morpheme (step 6 in Figure 3). Here I have denoted
 346 the combinatorial operation as simple element-wise vector addition (+) between
 347 the morpho-syntactic features of the derivation (all of which are zero) and those
 348 of the inflection (non-zero). In this way, the inflection works to specify the
 349 weights of the derivational suffix, making explicit which morpho-syntactic prop-
 350 erties are relevant and to what extent. This is also depicted on the right side of
 351 Figure 2.

352 This idea of vector manipulation, in service to meaning composition, has
 353 enjoyed success in previous NLP research. Studies have used morpheme vec-
 354 tor representations in a broad sense, though not strictly coding for semantic
 355 versus morpho-syntactic properties of the units (Creutz and Lagus, 2005, Lu-
 356 ong et al., 2013, Snyder and Barzilay, 2008, Soricut and Och, 2015, Bojanowski
 357 et al., 2017). Even when using a simple composition rule such as addition or
 358 element-wise multiplication between morpheme vectors (Mitchell and Lapata,
 359 2010), these composed vectors reasonably approximate semantic representations
 360 of morphologically complex whole words (Lazaridou et al., 2013, Cotterell and
 361 Schütze, 2018). This suggests that implementing even a very basic composition
 362 function could serve to generate complex lexical meaning.

363 *Cross-linguistic coverage.* As is true for any model of language processing, it is
 364 important that it is equally applicable across different languages. Although in
 365 English the usual order of morphological units is: root, derivation, inflection,
 366 this is not the case for languages with different typologies. For example, some
 367 languages make use of infixation: the embedding of an affix *within* a root, rather

368 than before (prefixation) or after (suffixation). Further, Semitic languages, such
369 as Arabic, have dis-continuous morphemes. In this case, the three morphemes
370 are received by the listener in an interleaved fashion, with no neat boundary
371 between one morpheme and the next. For example, in the word *kataba*, the root
372 is expressed as the consonants *k-t-b* and the pattern *-a-a-a* expresses derivational
373 information.

374 The current proposal predicts that the same basic set of operations (stem
375 formation; derivation; inflection) are always applied, and always in the same
376 order, regardless of the order that the information is received. From this per-
377 spective, knowledge of the language-specific grammar is used to organise the
378 input into an appropriate syntactic structure. This then allows that input to
379 be processed under the language-general processing architecture described here.
380 This makes the strong prediction that, regardless of the language being pro-
381 cessed, the neural signatures of these processes should always occur in the same
382 order.

383 *3.4. Feedback from the sentence structure*

384 Once the full sentence structure has been created, the sentence can similarly
385 be represented in terms of a set of semantic and syntactic features. This process
386 - of generating a semantic-syntactic representation of the sentence - is not dis-
387 cussed here, but I point the reader to Baroni et al. (2014) for related ideas of how
388 this may be achieved. In abstract, the idea is that the sentence representation
389 is built using similar compositional rules to those currently described, as well as
390 additional non-structural meaning as derived from the broader situational and
391 pragmatic context.

392 The final part of the current framework is the idea that the system can utilise
393 this sentence structure to inform morphological structure in two ways: i) *update*
394 or strengthen the constituent representations; ii) *create* representations of novel
395 morphemes.

396 *Update.* Once the sentence is built, this can be used to compute the most likely
397 representations of morphological constituents given the semantic content and
398 syntactic structure of the sentence. One possible mathematical implementation
399 of this would be Bayesian inference, where the most likely meaning of morpheme;
400 is inferred based on the representation of the sentence as computed without
401 morpheme;

$$P(\vec{\text{morp\hspace{-0.05cm}heme}}_j | \vec{\text{sentence}}_{-j}) \propto P(\vec{\text{sentence}}_{-j} | \vec{\text{morp\hspace{-0.05cm}heme}}_j) \times P(\vec{\text{morp\hspace{-0.05cm}heme}}_j) \quad (1)$$

402 The discrepancy between the inferred morpheme (the posterior), and the
403 constituent representation (the prior), can be thought of as the representational
404 error:

$$\vec{\text{error}} = P(\vec{\text{morp\hspace{-0.05cm}heme}}_j) - P(\vec{\text{morp\hspace{-0.05cm}heme}}_j | \vec{\text{sentence}}_{-j}) \quad (2)$$

405 If the sentence structure has low semantic or syntactic validity, the error
 406 will be high, which can be used as a feedback signal in order to update the
 407 constituent representation. This will improve validity for next time, by making
 408 the morpheme representation more similar to the inferred posterior representa-
 409 tion given the sentence structure. Whereas if error is low, the signal will simply
 410 strengthen the prior representations that are already in place.

411 One obvious prediction borne out of this model is that the *update* process
 412 would have most influence during language acquisition, while lexical representa-
 413 tions are still in the process of being formed. An interesting avenue for further
 414 study would be to test how neural correlates of representational updates corre-
 415 spond to behavioural improvements in comprehension and production.

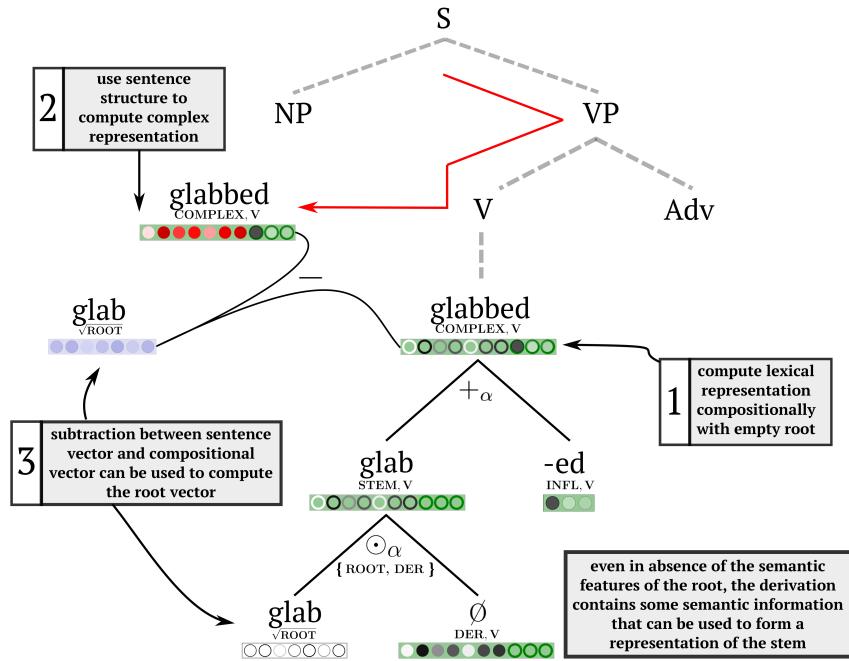


Figure 4: Using sentence structure and morphological rules to generate representations. The meaning of the novel word *glabbed* can be estimated using the meaning of the sentence structure (similar to Jabberwocky sentences). From there, the meaning of all atomic elements are also generated, by performing simple mathematical operations on the known representations.

416 *Create.* Given that the proposal here is that the brain primarily conducts lan-
 417 guage processing via the atomic morphological units, it is critical that it ensures
 418 full coverage over those units. When encountering a morpheme for the first time,
 419 the sentence structure can be used to generate the required constituent represen-
 420 tations. This idea is quite similar to *syntactic bootstrapping* – using structural

421 syntactic knowledge to understand the semantic content of novel words (Gleitman,
422 1990, Landau et al., 2009, Lidz et al., 2003). Here I propose this can be
423 achieved by applying the following sequence of operations.

424 First, the compositional representation of the whole word is computed (step
425 1 in Figure 4). The derivational and inflectional morphology are defined in line
426 with the user’s knowledge of the language, but because the word has not been
427 encountered before, the root contains null entries. As shown in equation (3),
428 the composed meaning of the novel word *glabbed* can be computed as:

$$\vec{glabbed}_{\text{composition}} = \vec{\emptyset} \odot \vec{DER}_V + \vec{INFL}_V \quad (3)$$

429 Because the composition has been applied using an empty root, the resulting
430 representation only reflects the morpho-syntactic and semantic properties of the
431 affixes.

432 Second, the whole-word representation can be estimated from the sentence
433 context, using the same Bayesian method as described above (and step 2 in
434 Figure 4):

$$\vec{glabbed}_{\text{sentence}} = P(\text{glabbed} | \text{sentence}) \quad (4)$$

435 Third, a subtraction between the composed meaning of the word as com-
436 puted with a null root, and the interpreted meaning of the word given the
437 sentence can be used to interpolate an atomic representation of the root (step
438 3 in Figure 4):

$$\vec{glab}_{\text{new}} = \vec{glabbed}_{\text{sentence}} - \vec{glabbed}_{\text{composition}} \quad (5)$$

439 This interpolation process may serve to “initialise” the representation of a
440 root vector. Then, at each subsequent use, the error computed as compared to
441 the morphological representation from the sentence can be used to update that
442 representation using the method described above.

443 Again, while this process is most obviously recruited during language learn-
444 ing, the same mechanism is hypothesised to still be in effect in proficient speak-
445 ers. Every time a listener is faced with a morphologically complex word, all
446 of the atomic constituents of that word can be computed through an iterative
447 subtraction of affixes. This makes the prediction that the system will hold repre-
448 sentations of constituents that are never encountered in isolation: for example,
449 of the root *excuse* from *excursion*. Recent evidence from MEG suggests that
450 this is indeed the case (Gwilliams and Marantz, 2018).

451 Filling gaps in the lexicon through either interpolation of constituents, or
452 combination into complex forms, is precisely the main advantage owed to mor-
453 phological over word-based representations in NLP. The use of vector represen-
454 tations of morphemes provides better predictive power, especially for out-of-
455 vocabulary words that do not exist in corpora (Creutz and Lagus, 2005, Luong
456 et al., 2013, Snyder and Barzilay, 2008, Bojanowski et al., 2017).

457 Overall, this highlights that the systematicity between structure and mean-
458 ing provides a powerful framework for generating missing semantic representa-
459 tions based on the syntactic (both lexical and sentential) situation alone. In

460 this way, it is plausible that the language faculty *in general* is primed to associate
461 syntactic information with particular semantic information. As discussed,
462 this has clear advantages for language acquisition, along the lines of syntactic
463 bootstrapping, as the process of meaning generation would be employed every
464 time a new word is encountered.

465 Taking this idea one step further, one can imagine that not only the representation
466 is computed, but also a relative activation strength of that representation.
467 Typically, the activation level of a word is thought to be a consequence of how
468 often that word is retrieved from the lexicon: frequent words are accessed more
469 often and therefore have a higher activation level. An alternative explanation
470 is that the system *wants* to make frequently accessed words easier to recognise,
471 and so keeps them activated. From this perspective, activation level would be
472 based on the statistical properties of the word - its orthographic, phonological,
473 morphological structure - rather than (or independently from) frequency
474 of exposure and age of acquisition (Gerhand and Barry, 1998). If this is true,
475 then the activation level of a novel word could be computed based upon those
476 regularities, and how active a word is may be reflected in the *magnitude* of the
477 corresponding vector representation.

478 To my knowledge, this has not yet been tested, but would be easy to do.
479 Although it is a simple distinction, whether word frequency effects are a *conse-*
480 *quence* of lexical access or a *engineered processing advantage* has sizeable con-
481 sequences on the structure of language process and of the mental lexicon more
482 generally.

483 4. Discussion

484 The goal of this paper is to offer a model of morphological composition
485 that makes explicit i) what linguistic features make up the representation of a
486 morphological unit; ii) what operations are applied to those features. While
487 the proposal is based as much as possible on extant literature, it also includes
488 some untested, but testable, educated guesses. There are a number of aspects
489 of morphological processing in the brain which remain highly under-specified;
490 therefore, a fruitful avenue for future research will be to explicitly test the
491 predictions of this model with neural data.

492 For example, each stage of processing is associated with a particular com-
493 positional rule: concatenation and multiplication at the first derivation; mul-
494 tiplication at subsequent derivations and addition at the inflection. Further,
495 the proposal suggests these operations are always performed in the same order,
496 regardless of the language being processed. Whether this is indeed the type
497 and order of neural operations needs to be tested, perhaps by testing whether a
498 sequence of intermediate representations are encoded in neural activity before
499 arriving at the final complex representation. It would also be informative to
500 correlate the features of both the simple morpheme vectors and the complex
501 word vectors with neural activity to test whether the input/output sequence as
502 tracked by the brain indeed obeys the mathematical operations outlined here.

503 Although this article has focused on morphological processing, it is possible
504 that these basic principles hold true across multiple units of language. The most
505 obvious analogy is between the syntactic operations used to generate phrasal
506 structures and those used to generate word structures. In line with linguistic
507 theory (Halle and Marantz, 1994, Harley and Noyer, 1999, Halle and Marantz,
508 2004), the current proposal makes no meaningful distinction between the two.
509 This is an intuitive idea. For instance, there is very little difference between the
510 composed meaning of *sort of blue* and *blueish*, even though one is made of a
511 phrasal structure and the other a lexical structure. Further, some languages may
512 choose to encode information using multiple words (e.g. *in the house*, English)
513 whereas others may use multiple morphemes (e.g. *extean*, Basque). That one
514 contains orthographic spaces and the other does not is quite arbitrary, and
515 it is not clear whether there are any meaningful processing differences (Moro
516 et al., 2001), above and beyond things like differences in unit size (Ullman,
517 2004). Indeed, morphologically rich languages, such as Ojibwe, allow a very
518 large number of morphemes to be combined, which form a sentence structure
519 that essentially only consists of a single word. In such cases, it is hard to draw
520 a meaningful distinction between the structure of morphemes to make words,
521 and the structure of words to make sentences.

522 Overall, this work shows that combining insight from NLP, linguistics and
523 cognitive neuroscience to develop hypotheses for biological systems is poten-
524 tially very powerful, as each field is essentially tackling the same problem from
525 differing standpoints. For example, in NLP, the goal is to engineer a system to
526 achieve language comprehension with (at least) human-level ability; for neuro-
527 science, the goal is to understand the system that has already done that: the
528 human brain. Consequently, each field has developed tools and insights that
529 (perhaps with a bit of tweaking in implementation or terminology) are mutu-
530 ally beneficial.

531 **5. Conclusion**

532 Composition of morphological units provides insight into the infinite po-
533 tential of meaning expression, and the critical systematicity between syntactic
534 structure and semantic consequence. Here I have briefly reviewed research across
535 cognitive neuroscience, linguistics and natural language processing in order to
536 put forward a model of morphological processing in the human brain. I hope
537 that this serves as a useful overview, and highlights fruitful avenues for further
538 discovery.

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