

**Mechanisms of diffusion in nuclear pore complex mimics**

by

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B.S., Harvey Mudd College, 2013

A thesis submitted to the  
Faculty of the Graduate School of the  
University of Colorado in partial fulfillment  
of the requirements for the degree of  
Doctor of Philosophy  
Department of Physics

2018

This thesis entitled:  
Mechanisms of diffusion in nuclear pore complex mimics  
written by Laura K. Maguire  
has been approved for the Department of Physics

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Mechanisms of diffusion in nuclear pore complex mimics

Thesis directed by Assistant Professor Loren Hough

Placeholder text for abstract.

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## Chapter 1

### Introduction

#### 1.1 Intrinsically disordered proteins are essential to cellular function

For decades, it was conventional wisdom among biologists that a protein's folded shape determined its function. Most enzymes and other proteins that were studied had a stable folded configuration, the lowest point on a well-defined folding energy landscape. A protein's conformation provided specific docking points through which it could interact with ligands or other proteins in a "lock-and-key" model.

However, a few decades ago, it began to become clear that not all proteins have a well-defined tertiary or even secondary structure, but rather exist as extended polymer chains. These intrinsically disordered proteins (IDPs) were initially dismissed as nonfunctional, but evidence began to accumulate that they were in fact essential for cellular function, overturning the structure-function paradigm [1]. Their roles and importance are still being understood, as are the unusual mechanisms by which they accomplish their functions without a well-defined structure.

Today, it is estimated that 0% of eukaryotic proteins are disordered or contain significant disordered regions [1]. While there is significant sequence heterogeneity among IDPs, they tend to contain a large proportion of hydrophilic residues, and often have long stretches of low-complexity regions where only a few amino acids are represented.

Some IDPs fold (or partially fold) upon binding with an ordered partner, while others form

“fuzzy” complex that remains disordered. Their advantages over folded proteins may include their plasticity, which enables them to bind many different binding partners. Multivalency, either as one-to-many or many-to-one binding, may also play a role. They may act as hubs that bring together larger complexes. Similarly, IDPs are often known for having high specificity at relatively weak binding strengths [1].

While the normal functioning of IDPs is very important to the cell, IDPs are also prone to aggregation and are at the root of pathologies such as Alzheimer’s disease, Parkinsons, and prion diseases. Often, normally-disordered proteins aggregate into amyloid fibrils, a stable structure based on parallel beta-sheets.

IDPs are commonly involved in cell signaling and regulation [1]. Their disordered nature makes them useful as hubs that bring together many other proteins, and as scaffolds that many proteins can bind to at once. IDPs appear to be prevalent in transcriptional regulation, and they are playing increasingly apparent roles in liquid-liquid phase separation within cells. One of the most fascinating examples of IDP function is in the nuclear pore complex (NPC), a unique selective barrier that regulates all transport between the nucleus and the cytoplasm. The link between disorder and selectivity is not well understood in this case.

## **1.2 The nuclear pore complex is a unique filter**

The nuclear pore complex (NPC) resides in the nuclear envelope of eukaryotes and regulates all macromolecular traffic between the nucleus and cytoplasm. The NPC is one of the largest protein complexes in the cell, at about 60 MDa in yeast and 120 MDa in humans [2]. As the regulator of nucleocytoplasmic transport, the NPC must rapidly and specifically pass a wide array of macromolecules: transcription factors into the nucleus, and RNA into the cytoplasm. Moreover, it must be robust to problems and able to accomodate mechanical strain as the nuclear envelope changes shape, and to passage large cargo.

A typical yeast cell has xx nuclear pores, each with a dimension of xx. Human cells have about xx pores with xx dimensions.

There are about 30 different types of Nups, all present in multiple copy numbers.

These functions are accomplished through a structure with two main parts, both made of proteins known as nucleoporins, or Nups: the scaffold Nups, which form a ringlike complex, and the FG Nups, which are disordered and fill the central channel created by the scaffold Nups. Aside from the NPC itself, transport factors (TFs) are a class of proteins essential for selective transport. The energetic cost of selectivity is captured in the Ran GTP/GDP cycle.

### **1.2.1 Scaffold nucleoporins are ordered and form ringlike complexes.**

The nuclear pore itself is formed of scaffold Nups, which are ordered proteins that form ringlike complexes with eightfold symmetry [2]. There is an inner ring and two outer rings, the nuclear and cytoplasmic rings. The outer rings are slightly larger. The nuclear ring is on the side of the nucleus and includes the nuclear basket. The cytoplasmic ring includes the cytoplasmic filaments, which are (probably?) disordered proteins extending out into the cytoplasm.

The eightfold symmetry of the pore arises from its modular nature. Scaffold Nups form various stable subcomplexes, of which one of the most important is the Y-complex. The Y-complex forms the inner ring; there are 32 copies of the complex per pore [2]. The rings themselves are relatively flexible, as they need to be in order to accommodate deformations of the nuclear envelope. This flexibility is achieved in part by through short linear motifs (SLMs) which connect the subcomplexes to each other.

Recent cryo-EM studies have achieved unprecedented resolution of the scaffold Nups [?, ?].

### **1.2.2 FG nucleoporins are disordered and fill the central channel of the pore.**

The central channel of the pore is filled with disordered FG nucleoporins (FG Nups). FG Nups typically consist of an ordered domain that anchors them to the wall of the channel, and an entirely disordered domain that extends into the channel. As with all Nups, FG Nups have eightfold symmetry in the pore, and some of them are present in much higher copy number.

The disordered portion of every FG Nup contains phenylalanine- glycine (FG) motifs which

bind to the hydrophobic binding pockets of transport factors. While there are multiple binding motifs, all are short sequences which incorporate an FG repeat; for instance, FSFG, GLFG, and others. [?]. Each FG Nup contains x-x FG repeats, leading to an extremely high density of FG repeats within the pore.

Since the FG Nups are disordered, most conventional visualization techniques do not work. When imaged over time or when several pores are imaged, the averaged results do not show the disordered portion of the FG Nups. Cryo-EM and x-ray crystallography don't work. Techniques such as NMR and very fast AFM can help gain insight into their conformational ensembles [?, ?]. Early research suggested that the FG Nups formed a central plug or "transporter", but more recent work suggests that there is no central structure, just disordered proteins (the AFM study from Lim or Lemke group). There is some evidence from simulations that the density of the FG Nups, as well as their charge density and hydrophobic properties, are not uniform along either the radial or axial directions [?, ?]. This may contribute to selective transport, although the pore still functioned with all of the asymmetric FG Nups removed. Indeed, the NPC is remarkably robust to FG Nup deletion. Over half of the mass of FG Nups can be removed without eliminating the selectivity barrier [2].

### **1.2.3 Energy for selective transport is provided by the Ran cycle.**

Selective transport requires an energy source, which in the case of the NPC is provided by the Ran cycle. When a TF-cargo complex passes from the cytoplasm into the nucleus (nuclear import), it encounters a RanGTP on the nuclear side which binds to the TF and displaces the cargo. Then the TF-RanGTP complex can collect a cargo destined for nuclear export, and this ternary complex can diffuse back through the NPC to the cytoplasm. The protein RanGAP then hydrolyzes the RanGTP to RanGDP, disrupting the complex into its three original pieces. Ultimately, the energy source for selective nuclear transport comes from the RanGTP-RanGDP gradient from the cytoplasm to the nucleus, a gradient which is maintained partially by NTF2, which carries RanGDP through the pore [?].

From the perspective of transport, this means that the process of passing through the pore is itself passive and does not consume energy. The selectivity arises from concentration gradients maintained by the Ran cycle.

#### 1.2.4 Transport factors

Transport factors (TFs) are ordered proteins that carry cargo through the NPC. While there are various types, they share several features in common, most notably the fact that all known transport factors have more than one hydrophobic binding pocket which binds to FG repeats. In fact, many TFs have several binding pockets. Likewise, the binding affinity between TFs and FG Nups remains unknown for most TFs. Estimates of dissociation constant  $K_D$  vary from nanomolar to millimolar, depending on the environment (cellular, buffer, etc.) in which the measurement is made [?]. There are many types of TF, of which some of the most important are the importins and exportins (karyopherins), NTF2, (and mRNA exporters? CRM? mex67?).

The karyopherins (Kaps) are the most-studied family of TFs. They are also known (in human cells?) as importins and exportins, or collectively as the importin  $\beta$  superfamily [3]. The twenty or so different Kaps are responsible for most nucleocytoplasmic transport [4]. Kaps typically consist of multiple HEAT repeats, a helical motif which conveys structural flexibility [5]. Most Kaps bind their cargo directly via a nuclear localization signal (NLS, for nuclear import) or nuclear export signal (NES, for nuclear export). NLS and NES are 5-7 amino acid tags found on cargo []. However, Kap95? (importin  $\beta$ ) uses the adaptor protein Kap60? (importin  $\alpha$ ) to bind its cargo. In general, Kaps are on the order of 100 kDa in size, well above the passive permeability limit []. Kaps may contribute to the selectivity barrier.

Unlike the karyopherins, nuclear transport factor 2 (NTF2) does not transport a wide variety of cargo across the NPC. Instead, NTF2 is focused on maintaining the Ran gradient needed for transport. It transports RanGDP across the pore - why does this help maintain a gradient? If it transports in both directions, wouldn't it help wash out the gradient? NTF2 is a homodimer whose components are 14 kDa and have one FG binding site. Although its small size of 28 kDa is near

the 30 kDa cutoff for passive transit through the pore, its flux through the pore is still 30-150 that of similarly-sized proteins that do not bind to FG Nups.

There are other TFs such as Mex67 and CRM, but I don't really know what they do. Is RNA exported using Kaps or are there other TFs for that?

### **1.3 Experimental observations**

The nuclear pore has been the subject of investigation since the 1950s [?]. During that time, many different experimental techniques have been used, from in vivo studies to single molecule fluorescence to SPR to NMR. Likewise, simulations have attempted to explain the selectivity properties of the NPC using a broad array of techniques, such as more or less coarse-grained molecular dynamics simulations. These studies together shed light on the mechanisms of selective transport, but are also all hindered by the small size and rapid timescale of transport. Many of the studies produce results that seem, at least at first glance, to contradict those of other studies. A comprehensive picture of the facts of nuclear transport has yet to emerge, although there are some universally accepted features.

#### **1.3.1 Passive permeability barrier**

There is a cutoff around 30 kDa where molecules stop being able to passively transit the pore at any appreciable rate. Work from the Timney lab suggests that the passive permeability barrier is not a sharp cutoff, but broad.

#### **1.3.2 Single-molecule studies**

Single-molecule studies can elucidate things like the dwell time of a molecule in the pore or the probability that a transport event is successful. Since transit is quite rapid and, and the size of the pore is below the diffraction limit, SM studies use super-resolution microscopy with video frame rates of 200-1000 frames/s [3]. Even so, a single SM data point typically has higher error than other methods and should be averaged or combined with other data points for a reliable picture [3].

An advantage of SM studies of the NPC is that the region of interest (NPC) is easy to find with conventional light microscopy, relatively immobile, and the ROI is confined to one plane (the plane of the nuclear envelope) [3].

Dwell times for various TFs and TF-cargo complexes has been studied in many cases, giving a typical dwell time of 5-10 ms, with a small minority of complexes spending much longer in the pore [3].

Some of these studies lead to estimations of the transport kinetics, i.e. on and off rates for Nup and transport factor interactions. Many of these come from SPR studies or stopped-flow anisotropy measurements. The SPR measurements also lead to estimations of layer height compaction or extension when various TFs are flowed across a grafted monolayer of FG Nups. These experiments can often result in contradictory data. There are some good review articles for single-molecule studies, and also some of the techniques I've described here aren't single molecule.

### **1.3.3 Effect of transport factors**

The presence of transport factors may make the selectivity barrier more robust. SPR measurements as discussed above give estimates of layer compaction or extension, and populations of tight- or weak-binding TFs. Other studies (?) suggest that crowding with TFs might help reduce non-specific interactions and increase the selectivity of transport.

### **1.3.4 Permeability barrier/flux studies**

People have measured the flux through the pore in vitro and in vivo in various ways. One group developed OSTR, where they seal an NPC to the pore of a membrane and measure fluorescence flux of TFs through that pore. The Gorlich group (Ribbeck) have permeabilized cells and injected fluorescent transport factors and watched how long it took them to localize to the nucleus. These measurements show selectivities of 20-150 (ish) fold flux of TFs as compared to inert proteins.



### **1.3.5 Nup deletion, etc.**

Some people have deleted subsets of Nups to see which are necessary for transport. I don't know much about these studies. None of the asymmetric Nups are necessary. I think that about half of the mass of Nups can be deleted without significant consequences to transport, showing how robust the NPC is.

## **1.4 Theoretical models of the NPC**

Many theoretical models exist, both qualitative and quantitative.

### **1.4.1 Entropic barrier model**

The entropic barrier model postulates that Nups remain disordered within the pore (should talk about experimental evidence for and against). In this model, inert proteins are kept out of the pore through an entropic barrier, because their entry into the pore would restrict the possible conformations of the disordered Nups. TFs can get into the pore, in contrast, because the binding energy offsets the entropic penalty. This is one of the two main qualitative models of the pore, the other being the hydrogel or selective phase model. The Rout lab supports this model. I think most labs other than the Gorlich lab are beginning to support this model, but I'm not sure.

### **1.4.2 Hydrogel model**

The hydrogel model is the other main qualitative NPC model. The Gorlich group supports this model. This model postulates that the Nups interact via their FG motifs (discuss evidence in previous section, maybe?) and form a hydrogel. A dynamic hydrogel. Inert proteins are kept from passing through because they can't get through the gel mesh, but transport factors disrupt the crosslinks by binding to FGs and "melt" through.

### **1.4.3 Forest/intermediate models**

This model proposes that a mix of the entropic barrier model and selective phase model are at play.

### **1.4.4 Effect of crowding**

Some people (Zilman paper, etc) have modeled the effect of crowding on the selectivity barrier. A lot of overlap with effect of transport factors.

### **1.4.5 Effect of transport factors**

Same as previous section sort of. Kap-centric models of the NPC (short for karyopherin-centric, for the class of TFs called karyopherins) propose that a permanent population of Kaps lives within the NPC. This strengthens the selectivity barrier.

### **1.4.6 Energy landscape models**

Most quantitative models of the nuclear pore are energy landscape models. These are typically computational studies that require a fair amount of detail and assumptions about the pore. They incorporate effects of charge, hydrophobicity, specific binding interactions, etc. Generally the result is a picture of the free energy landscape encountered by TFs and by inert proteins as they travel along the axis of the pore.

## **1.5 Synthetic NPCs**

Many different groups have attempted to make synthetic nuclear pore complexes, but they are generally not very successful.

### **1.5.1 Gold nanopores (Rout group)**

One of Loren's colleagues in New York grafted FG Nups onto a gold-coated nanopore and monitored flux through the pore. She saw low (less than 10-fold) selectivity. I'm not sure whether

other nanopore-based approaches have been tried.

### **1.5.2 Hydrogels (Gorlich)**

The Gorlich group keeps making hydrogels out of Nups and testing the entry of various proteins. They take Nsp1 or fragments of it or other Nups that spontaneously form hydrogels in buffer, and let them form a gel. Then they introduce fluorescently-tagged TFs and inert proteins and monitor the progression of the fluorescent front into the gel. They see very high (100 or more) partition coefficients, indicating that the TFs really bind very strongly to the gel. They do not see rapid exit from the gel as would be required for rapid transport.

### **1.5.3 Other models (peptide hydrogels, DNA origami, etc)**

There are a grab-bag of other models that I need to learn more about. Some groups have done similar things to what we want to do, making hydrogels out of non-aggregating Nup peptides and a pentameric crosslinking domain. There was a group that made an NPC ring out of DNA origami and attached Nups at particular points, though I'm not sure how that can be used to test selectivity because I can't see how to anchor the rings into a membrane.

## **1.6 Conclusions of introduction?**

NPCs are interesting and important.

## Chapter 2

### Modeling

Selective filters made of biopolymers are used in living and synthetic systems to control the localization and movement of molecules, nanoparticles, viruses and other organisms [6]. These filters regulate access to genetic material (the nuclear pore complex, or NPC), cells (the pericellular matrix), tissues (the extracellular matrix), and organs (mucus). In addition to their protective role, polymeric biomaterials are physical barriers that can inhibit drug delivery. Microbial biofilms can sequester antibiotics in their pericellular matrix, hindering treatment of infections [?]. The extracellular matrix and mucosal layers limit drug delivery in cancer and other diseases [6]. How particle binding affects motion and filtering is unclear. Binding to the pericellular matrix facilitates uptake of nanoparticles by single cells [?], and transport factors that bind to proteins in the NPC move rapidly through it [?]. In contrast, binding inhibits the uptake of nanoparticles that bind to airway mucus [?,?,?]. Many viruses minimize binding interactions, allowing human papillomavirus and human immunodeficiency virus to move nearly unrestricted in cervical mucus under certain conditions [?,?], although antibody binding can slow these interactions [?]. Improved design of drug delivery vehicles and synthetic selective filters requires understanding what distinguishes these behaviors. While particle size, charge, and binding interactions are known to affect filtering [6], the physical principles that underlie mobility and transport in polymeric biomaterials are not fully understood.

Among these filters, the NPC is tuned for selective passage enabled by binding. The NPC selectively filters molecular traffic between the nucleus and cytoplasm of eukaryotic cells, making

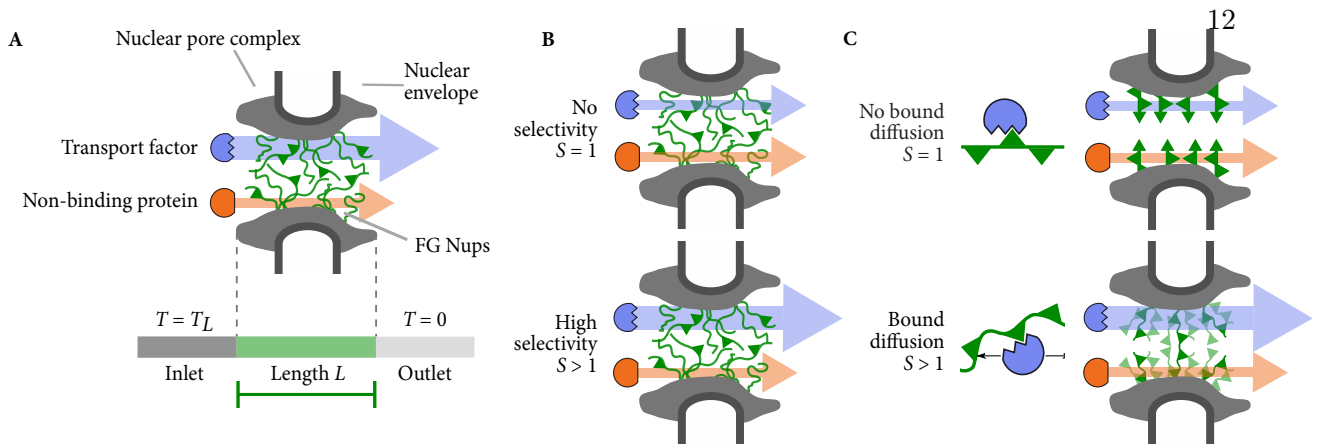


Figure 2.1: Schematics of the nuclear-pore complex and model. (A) The nuclear pore complex (gray) is filled with FG Nups (green polymers) that selectively passage transport factors that bind to FG Nups (blue) while blocking non-binding proteins (red). The central channel of the pore has length  $L$ . Protein concentration is high on the left (inlet) and low on the right (outlet). (B) Selectivity quantifies the degree of selective transport through the pore. A non-selective pore with  $S = 1$  has the same flux for a transport factor as for a non-binding protein (top). A selective pore with  $S > 1$  has a larger flux for a transport factor than a non-binding protein (lower). (C) The bound diffusion coefficient quantifies the mobility of a bound transport factor. A transport factor may be immobile (top) or mobile (lower) when bound.

it important for diverse processes including gene regulation and translation [?]. Transport occurs through the central channel,  $\sim 50$  nm in diameter and  $\sim 100$  nm long. The selective barrier filling the central channel is made from disordered proteins, the FG nucleoporins (FG Nups), which contain repeated phenylalanine-glycine (FG) motifs Figure 2.1. Transport factors (TFs) that directly bind to the FG repeats can cross the NPC and carry cargo with them [?]. Transport through the NPC is remarkably fast, with pore residence times  $\sim 10$  ms [?]. Binding between FG Nups and TFs shows diffusion-limited on-rates and transient binding of individual FG repeats to TFs [7,8]. How the FG Nups both block passage (of non-binding molecules) and facilitate passage (of binding molecules) is not fully understood, making the NPC an ideal system to dissect the principles of binding-controlled selective transport.

Models of the NPC selective barrier have proposed that the FG Nups may form an entropic brush [9], a dynamic hydrogel [10,11], an intermediate state between a brush and gel [12], or liquid droplets [13]. These mechanisms may be modulated by spatial organization [?, 14] and binding of

TFs to multiple FG repeats [15,16]. Attempts to distinguish these models have been hindered by the pore’s small size, the redundancy and multiple copies of FG Nups, and contradictory experimental results on FG Nups and TF binding [12]. Some FG Nup fragments form less-dynamic hydrogels *in vitro* [11], but remain highly dynamic within cells [8]. Molecular dynamics simulations find highly dynamic FG Nups, though the degree and extent of motion depends on the affinity of FG repeats for each other and for TFs [12,17]. Crowding and competition modulate affinity [?] and may contribute to selective transport [18]. However, the connection between the amino-acid level behavior of the FG-TF interaction and macroscopic transport selectivity remains unclear. Here we address the central contradiction of selective transport through the NPC: how does binding of TFs to FG Nups within the pore increase the flux rather than decreasing it [6,19]?

Using a biophysical model, we demonstrate that TF diffusion and binding are sufficient for selective transport, as long as binding only partially immobilizes TFs. Binding increases the local concentration, and these molecules contribute to the flux if mobile. Thermally-driven diffusion of TFs bound to flexible tethers gives sufficient particle mobility to produce selectivity similar to experimental measurements. Tether flexibility also allows bound TFs to hop between tethers, further enhancing selectivity.

### **Biophysical model of transport through the NPC**

We consider a minimal model of the central channel of the NPC containing FG Nups homogeneously anchored Figure 2.1. This model is sufficiently general to describe the common features of a range of biopolymer filters. The NPC, unlike most other biopolymer filters, has a wide capture area that may increase transport rates [20]. In order to focus on basic principles of transport, we neglect this effect. A varying free energy landscape along the axis of the NPC may play a role in selective transport [18,21–23]. However, the NPC is robust to deletion of all asymmetric Nups and many Nup combinations, indicating that spatial variation in pore properties is not necessary [?,?]. Experiments *in vitro* with simplified, homogeneous Nup composition produced selective transport [?,?].

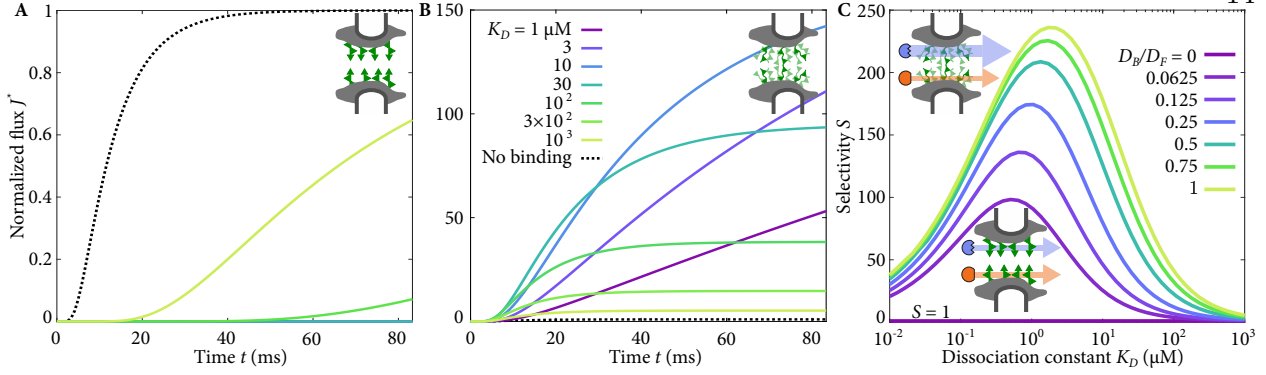


Figure 2.2: Flux through the pore and selectivity for TFs with varying bound mobility. (A) Flux as a function of time when TFs are immobile while bound, with varying binding affinity as in (B). (B) Flux as a function of time when TFs are mobile while bound with  $D_B = D_F$ , with varying binding affinity. (C) Selectivity as a function of dissociation constant with varying bound diffusion coefficient.

Rapid transport requires TF-FG Nup binding, while a protein similar to a TF but unable to bind FGs is excluded. Therefore, in our model we compare two proteins that are identical, except that one binds FG Nups and the other does not. As a model TF, we consider nuclear transport factor 2 (NTF2) [?]. NTF2 is small ( $\sim 5$  nm) relative to the diameter ( $\sim 50$  nm) and length of the pore ( $\sim 100$  nm), suggesting that passage of NTF2 does not require large-scale molecular rearrangements that have been proposed for larger molecules [?, 15]. Because of the small size of NTF2 we neglect effects of steric crowding, which can enhance selectivity in a transport model [18]. NTF2 appears not to be actively released from the pore, suggesting that selective transport is an intrinsic property of the NPC [18, 24], and in contrast to actively released karyopherins [?, 15, 24, 25].

Transport through the NPC requires entry into the pore, passage, and exit. In single-molecule measurements, most of the transport time is spent in a random walk within the central channel [?, 22]. We therefore assume that entry and exit rates are determined by binding kinetics (see Supporting Information, section ?? for the model when entry and exit are rate-limiting.) The directional bias in TF transport is controlled outside the NPC through a concentration difference between the nucleus and cytoplasm generated by the Ran-GTP system [?]. In our model, we impose a fixed concentration difference across the pore.

We consider a channel of length  $L$  filled homogeneously with Nups that separates two reservoirs Figure 2.1A. Within the channel are free transport factor (concentration  $T$ ), free FG Nups ( $N$ ), and bound TF-FG complex ( $C$ ), with total Nup concentration  $N_t = N + C$ . TF diffusion within the channel ( $0 < x < L$ ) is described by the reaction-diffusion equations

$$\frac{\partial T}{\partial t} = -k_{\text{on}}TN + k_{\text{off}}C + D_F \frac{\partial^2 T}{\partial x^2}, \quad (2.1)$$

$$\frac{\partial C}{\partial t} = k_{\text{on}}TN - k_{\text{off}}C + D_B \frac{\partial^2 C}{\partial x^2}. \quad (2.2)$$

TF-FG interaction has on-rate constant  $k_{\text{on}}$ , off-rate  $k_{\text{off}}$ , and dissociation constant  $K_D = k_{\text{off}}/k_{\text{on}}$ . We include competition between TFs for FG binding sites [23]. The diffusion constants of free ( $D_F$ ) and bound ( $D_B$ ) TFs are spatially constant. The fixed reservoir TF concentrations are  $T_L$  (inlet, left) and 0 (outlet, right).

The flux of transport factor out of the pore  $J = -D_F \partial T / \partial x|_{x=L}$ . We numerically integrated the full equations. Because flux measured in experiments is typically linearly proportional to TF concentration [13, 26], TF concentration likely remains below binding saturation in the NPC. Therefore, we also solved eqns. (2.1, 2.2) analytically in the low binding limit. We define the transport selectivity  $S$  as the ratio of steady-state flux of a binding versus a non-binding species Figure 2.1B

$$S = \frac{J_{\text{binding}}(t \rightarrow \infty)}{J_{\text{non-binding}}(t \rightarrow \infty)}. \quad (2.3)$$

### 2.0.1 \*

No selective transport occurs if bound TFs are immobile If TF-FG Nup binding immobilizes the TF, the bound-state diffusion coefficient  $D_B = 0$ . For immobile bound TFs, transport is not selective: the steady-state flux  $J = D_F T_L / L$  for both binding and non-binding proteins, so  $S = 1$  (figs. 2.2, ??). The binding TF accumulates within the pore, but its immobility means it does not enhance transport compared to the non-binding case. Notably, this effect is independent of binding kinetics. Prior to steady state, binding slows transport Figure 2.2A. In systems such as airway mucus, immobilization may increase the time available for degradation or active clearance,



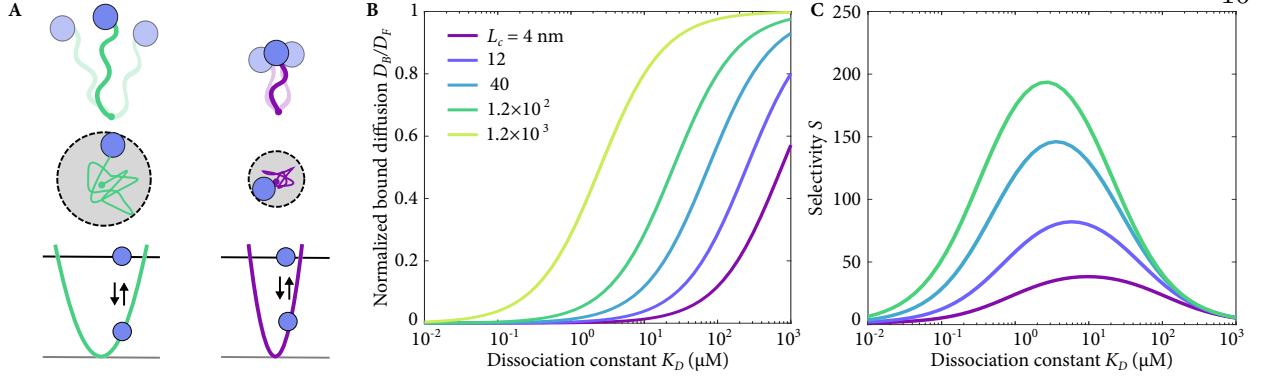


Figure 2.3: (A) Schematic of the flexible tether model of bound-state diffusion. FG Nups are treated as entropic springs that constrain the motion of TFs more (top and center left, longer FG Nup) or less (top and center right, shorter Nup), which corresponds to changing width of the harmonic potential well (lower). (B) Ratio of bound to free diffusion coefficient as a function of dissociation constant, with varying polymer length in the tethered-diffusion model. (C) Selectivity as a function of  $K_D$ , with varying polymer length in the tethered-diffusion model.

consistent with the observation that binding tends to inhibit selective transport in those systems [?, ?, ?]. This effect is related to the binding-site barrier seen in antibody delivery to tumors [?], and observations that non-binding nanoparticles are often more effective in drug delivery to tumors than binding particles [6].

Our model is related to the classic problem of molecular transport through an oil membrane separating two aqueous reservoirs [?]. The relative concentration of a species just inside the oil barrier to the concentration in water is called the partition coefficient. The steady-state flux through the membrane is directly proportional to the partition coefficient (Supporting Information, section ??, fig. ??). By analogy, one might expect the TF-FG binding affinity to determine the flux across the pore. However, binding is different from partitioning. In systems where the increase in intra-pore concentration arises from binding, the effective diffusion coefficient is typically inversely proportional to the partition coefficient, making the flux independent of binding affinity [19]. This result led us to consider whether TFs may be mobile while bound to FG Nups.

## 2.0.2 \*

Bound-state diffusion allows selective transport When bound TFs are mobile, selective trans-

port occurs with a selectivity up to 240 for a conservative set of parameters (figs. 2.2B,C, ??, Supporting Information, section ??). Remarkably, this selectivity is comparable to experimental measurements of NTF2 versus GFP flux (Table 2.1). The interplay between binding kinetics and diffusion leads to an optimal dissociation constant  $\sim 1 \mu\text{M}$  for maximum selectivity Figure 2.2C. Selectivity decreases for high  $K_D$  because binding is too weak to significantly increase TF concentration in the pore. For low  $K_D$ , tight binding causes the concentration of bound complexes to become approximately constant across the pore. Because diffusive flux is driven by a concentration gradient, this washing out of the gradient by tight binding decreases flux and selectivity.

Our model predicts that selectivity is increased by increasing binding on-rate constant  $k_{\text{on}}$  Figure ???. Consistent with this, the on-rate constants of TF-FG Nup interactions have been measured to be diffusion limited [7, 8]. Large  $k_{\text{on}}$  makes transport more selective because fast binding kinetics relative to diffusive motion are necessary to maintain steep concentration gradients within the pore. High FG Nup concentration (as measured experimentally) leads to large  $N_t$  and low  $D_F$ , both of which increase selectivity. Decreasing  $D_F$  or increasing the length of the pore both reduce the magnitude of the flux and increase selectivity (figs. ??, ??). Therefore, varying TF free diffusion coefficient and pore length involves a trade-off between transit time and selectivity.

Table 2.1: Comparison between experimental results for NTF2 and GFP (a similarly-sized non-binding protein) and model predictions. Flux measured in units of molecules per pore per second.

Method	Cell type	Species	Flux	Selectivity	Notes
OSTR	<i>Xenopus</i>	NTF2 GFP	91–123 3.3–3.8	24–37	[27]
OSTR	<i>Xenopus</i>	NTF2 GFP	47.3 1.1	43	[28]
Permeabilized cells	HeLa	NTF2 GFP	250 2	125	[10]
Model	–	Binding Non-binding	2–480 2	1–240	This work

## Mechanisms of bound transport factor mobility

Our result that bound-state diffusion is required for selective transport raises a mechanistic question: how can TFs move while bound to FG Nups? Here we consider two experimentally based mechanisms: movement of the bound TF due to the intrinsic flexibility of the FG Nups [?] and multivalent binding that allows hopping of TFs between neighboring Nups [29].

### 2.0.3 \*

FG Nup flexibility allows tethered diffusion Previous measurements have found that FG Nups are flexible and dynamic [?, 8, 30]. Although FG Nups are attached at one end to the inner surface of the NPC scaffold, chain flexibility allows a TF bound far from the tethered end to move. Flexible polymers behave as entropic springs [?] if they are not highly stretched. Therefore, a bound TF diffuses while attached to a spring-like tether, which can be represented as diffusion in a harmonic potential well Figure 2.3A. The width of the harmonic well is related to the effective length of the flexible domain: if FG Nups are not crosslinked, the effective length is the full FG Nup length, while if they are crosslinked or entangled, the length is reduced [10]. The probability density of a TF that binds to the center of a well at  $x = 0$  is  $P(x, t) = e^{-\frac{x^2}{2\alpha(t)}} / \sqrt{2\pi\alpha(t)}$ , where  $\alpha(t) = (1 - e^{-2kD_F\beta t}) / (k\beta)$ ,  $k$  is the spring constant of FG Nup tethering and  $1/\beta = k_B T$  is the thermal energy [31]. The TF mean-squared displacement (MSD) is then  $\langle x^2(t) \rangle = \int_{-\infty}^{\infty} P(x, t) x^2 dx = \alpha(t)$ . The typical TF MSD during a binding event can be determined from the probability density of binding time  $\rho(t) = \exp(-t/\tau)/\tau$ , where  $\tau = 1/k_{\text{off}}$  is the mean bound lifetime:

$$\overline{\langle x^2 \rangle} = \int_0^{\infty} \rho(t') \langle x^2(t') \rangle dt' = \frac{2D_F L_c \ell_p}{L_c \ell_p k_{\text{off}} + 3D_F}. \quad (2.4)$$

Here we assume that the spring constant is that of a worm-like chain polymer  $k = 3/(2\beta L_c \ell_p)$ , where  $L_c$  is the contour length and  $\ell_p$  the persistence length [?].

Because FG-TF interactions have fast binding kinetics [7, 8], we estimate the bound diffusion

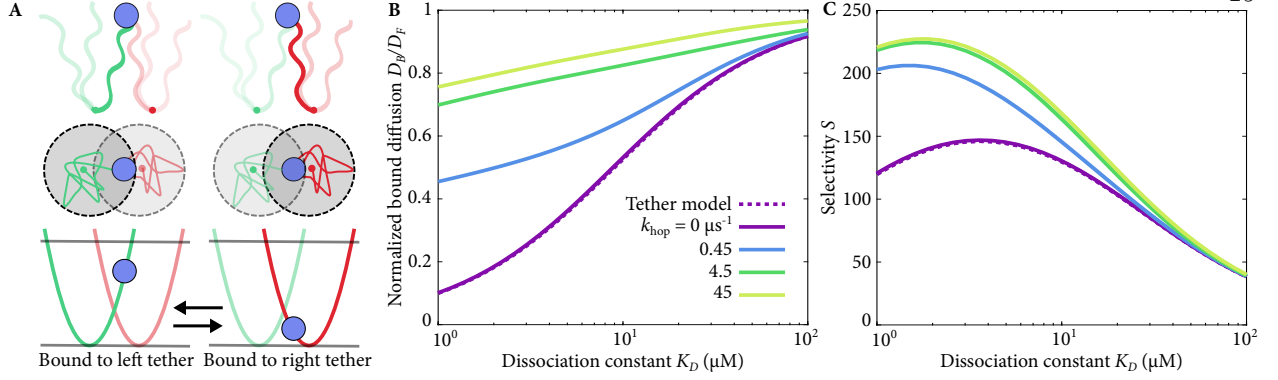


Figure 2.4: (A) Schematic of the inter-chain hopping model of bound-state diffusion. FG Nups are treated as entropic springs that constrain the motion of TFs, and inter-chain hopping allows a TF to move from one FG Nup (top and center left, green Nup) to another (top and center right, red Nup) without unbinding, which corresponds to switching from one harmonic potential well to another (lower). (B) Ratio of bound to free diffusion coefficient as a function of dissociation constant, with varying hopping rate in the inter-chain hopping model. (C) Selectivity as a function of  $K_D$  with varying hopping rate. FG Nup contour length  $L_c = 40$  nm in (B, C).

coefficient by averaging over many binding events, while considering only bound motion, giving

$$D_B \approx \frac{\overline{\langle x^2 \rangle}}{2\tau} = \frac{D_F L_c \ell_p k_{\text{off}}}{L_c \ell_p k_{\text{off}} + 3D_F} = \frac{D_F}{1 + 3\frac{D_F}{D_P}}. \quad (2.5)$$

Here  $D_P = L_c \ell_p k_{\text{off}}$  controls the bound-state diffusion coefficient: higher  $D_P$  corresponds to a lower constraint of the TF by the tether and greater bound mobility. Bound mobility increases with increasing chain length, flexibility of the polymer, or decreasing binding lifetime. When  $D_P$  is large ( $D_F/D_P \ll 1$ ),  $D_B$  approaches  $D_F$ , since the long, flexible chains barely affect TF motion during the short binding event. For small  $D_P$  ( $D_F/D_P \gg 1$ ), TF motion is inhibited by a short tether, giving  $D_B \approx D_P/3 \ll D_F$ . This result highlights that the kinetics of TF-FG Nup interaction are a primary determinant of the bound mobility: the faster the binding kinetics, the higher the bound diffusion constant.

Flexible disordered proteins typically have low persistence lengths [32], so we estimate  $\ell_p \approx 1$  nm. If the on-rate constant is diffusion limited,  $k_{\text{on}} = 10^{-3} \mu\text{M}^{-1} \mu\text{s}^{-1}$  [7, 8], the binding affinity determines the off rate. Disordered FG Nups have  $L_c \approx 100\text{--}280$  nm (250–700 amino acids long [?] with a contour length per amino acid  $\approx 0.4$  nm). For our conservative parameters, tethered diffusion alone predicts significant selectivity  $\sim 200$ .

#### 2.0.4 \*

Inter-chain hopping increases selectivity The tethered diffusion mechanism is constrained by a trade-off: tighter binding increases the TF concentration in the pore, but hinders motion. Multivalent TF-FG interactions can relax this constraint, because a TF can bind simultaneously to more than one FG Nup, moving hand-over-hand while remaining bound [33]. Consistent with this, TFs may slide between nearby FG sites rather than fully unbinding and re-binding [29]. If the newly-bound FG repeat is on a neighboring chain, the FG tether site that constrains TF motion moves while the TF remains bound. We model inter-chain hopping with a TF that undergoes tethered diffusion when bound to an FG Nup and hops between neighboring, randomly distributed tethers Figure 2.4. Numerical simulations of this model determined the bound diffusion coefficient (fig. ??, Supporting Information, section ??). We note that intra-chain hopping does not change the flux, since the anchor point of the tethering chain is not changed; therefore we neglect it.

Inter-chain hopping increases selectivity most for tight binding and short chains, the parameter regime where tethered diffusion gives limited selectivity (figs. 2.4, ??, ??). Hopping may therefore be important for FGs that form transient crosslinks: if FG Nups are highly crosslinked, our model suggests that inter-chain hopping is the key mechanism of TF movement. For weaker binding and longer chains, inter-chain hopping leads to a modest increase in selectivity.

## Discussion

A key puzzle of the NPC is how transport-factor binding allows rapid transport through the pore. Binding typically immobilizes the bound particle, and so the increase in concentration resulting from binding does not, in general, result in increased flux. The biophysical theory we developed includes diffusion of TFs due to thermal fluctuations, binding to polymeric tethers, and the hopping of bound species between these tethers. Thus we identified principles of selective transport resulting from binding Figure 2.1, emphasizing that bound-state mobility is essential for selective transport Figure 2.2. Binding increases the local concentration, and any bound mobility

increases the flux. We characterized two mechanisms to obtain bound-state mobility and found that thermally-driven diffusion of TFs bound to flexible tethers and rapid binding kinetics [7, 8] allow TF mobility, leading to selectivity similar to that observed experimentally Figure 2.3. In addition, tether flexibility enables multivalent bound particles to hop between binding regions Figure 2.4 [15, 16], further enhancing selectivity. Mobility of bound or partitioned molecules occurs in many biological contexts, suggesting that the mechanisms we study here may be broadly applicable [?, 34].

Our model for selective transport by tethered diffusion generalizes to a range of FG-FG interactions [12], if we decrease the effective chain length  $L_c$  for cohesive FG Nups. For short chains the selectivity simply due to chain flexibility is modest, suggesting that other mechanisms, like hopping, may be important. Our model suggests that transient cross-linking of FG repeats proposed to occur within the pore may serve to increase the viscosity and therefore the selectivity. Crosslinks need not be actively melted by TFs to enhance selectivity Figure ??.

Our model provides a quantitative tool to evaluate selective transport. Materials formed *in vitro* by spontaneous self-assembly of FG Nups [11] or transient crosslinking by alpha-helical peptides [35] show strong selective *entry*. Using published data, we predicted whether these gels also showed selective *transport* (table ??). Most synthetic gels are predicted to have  $S < 10$ , less than the selectivity of NTF2 in cells (table 2.1). The predicted selectivity of one hydrogel is  $S \approx 200$ , apparently the most selective synthetic gel to date [11].

### 2.0.5 \*

Overcoming the limitations of binding Binding, even in the presence of bound-state motion, limits selectivity. Biological systems appear to have developed strategies to avoid this, for example, by using true partitioning. Lipid domains in complex membranes partition proteins [?]. Membraneless organelles spontaneously assembled from low-complexity proteins and nucleic acids can localize a molecule without immobilizing it [?]. Because membraneless organelles are fluid, the constraints imposed in our NPC model by binding are released. Our work thereby suggests a benefit of phase-separated droplets to cells: they provide significantly higher selectivity than can occur with

immobilizing binding. This may be especially important for spatially complex assemblies [?].

Though we show it is not necessary, the active dissolution of polymeric biomaterials has been proposed to occur in the NPC [10]. This strategy is used by *Helicobacter pylori* to penetrate the gastric mucus [?]. Because the particularly dense extracellular matrix of solid tumors blocks the motion of particles, especially larger nanoparticles, ECM dissolution has been used to enhance drug delivery [?]. Unfortunately, this approach may not be universally applicable: breaking down the ECM surrounding tumors may promote cancer metastasis [?].

### 2.0.6 \*

Design principles of selective transport by binding

Filtering by polymeric biomaterials occurs in many systems for particles of different sizes: for example, nutrients reach our intestinal walls while larger molecules are excluded. However, controlling the selective transport of similarly-sized molecules by tuning specific interactions has proven elusive. In drug delivery applications, inert nanoparticles are typically more effective at penetrating extracellular spaces and reaching their cellular targets [6]. Because biopolymer filters are the first point of contact of nanoparticles used for drug delivery, specific targeting of transport through mucus may enhance the effectiveness of drug delivery. If NPC-like bound mobility as described in our model could be achieved in these systems, it would increase the rates of transport and drug delivery.

## Chapter 3

### FG Nup aggregation under crowded conditions

#### 3.1 Results

##### 3.1.1 Thioflavin timecourses

We analyzed aggregation dynamics using thioflavin T, a fluorophore whose excitation and emission maxima shift and which grows much brighter in the presence of thioflavin. Samples containing FG124, crowding agent, and thioflavin T were incubated while shaking overnight in a 96-well plate and their fluorescence intensity recorded every ten minutes. The resulting traces show a typical aggregation pattern, with a lag phase, burst phase, and saturation phase.

The final concentration of crowding agent was 19% serine w/v, 13% PEG, and 13% PVP. Lysate concentration varied between time courses and was in the 1-10 mg/mL range. Two timecourses were run with varying PEG and PVP concentrations: 25%, 20%, 13%, and 5%. Samples with no crowding agent ('buffer' samples) were run as a reference, and samples in 7M guanidine hydrochloride (GuHCl) with no crowding agent were run as negative controls in each timecourse. No aggregation was observed in the GuHCl samples. In every case, blanks were run alongside the sample conditions. In the blanks, the FG124 was omitted.

First the data were normalized to the blanks. In nearly all cases, the blank intensity remained steady over time, as expected. In those cases, the mean blank intensity was subtracted from the corresponding data. (In cases where the blank intensity changed over time, it was subtracted pointwise from the data.)



Then the normalized curves were fit to a sigmoid given by

$$I(t) = C + \frac{A}{1 + \exp(-k(t - T_{1/2}))} \quad (3.1)$$

where  $I(t)$  is the normalized fluorescence intensity as a function of time. The useful physical parameters for our purposes are the time constant  $k$ , which gives a measurement of the steepness of the slope at the beginning of the burst phase, and the lag time  $T_l$ . The lag time is calculated as

$$T_l = T_{1/2} - \frac{2}{k} \quad (3.2)$$

and represents the duration of the lag phase  $\square$ .

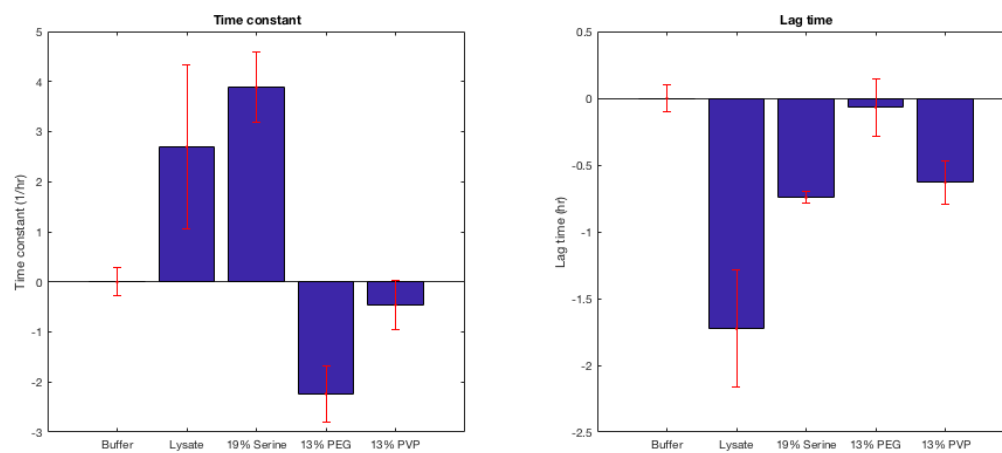
Ideally, we expect  $C = 1$ , as aggregation should not have begun at the start of the experiment, so there should be no increase in sample fluorescence over that of the blank. Experimentally,  $C$  usually ranged between 1 and 2, up to about 10 for some sample conditions. This might have been because aggregation had already begun, but this seems unlikely because the lag phase continued for some time. I don't know why  $C$  wasn't close to unity for all conditions and replicates.

The saturation phase asymptotes to an intensity given by  $I_{\text{sat}} = C + A$ . We found significant variation in  $I_{\text{sat}}$  for the same condition between timecourses, and the relative magnitudes of different conditions also varied between timecourses. I think this is a limitation of the thioflavin tests. Therefore, we do not consider  $I_{\text{sat}}$  in our analysis, leaving  $k$  and  $T_l$  as parameters of interest.

Next we normalized once again to account for differences between timecourses. It was impossible to hold the concentration exactly fixed between timecourses, and there were probably some other environmental variables we couldn't control perfectly, so it was important to normalize again. Within each timecourse, we averaged the fit parameters for all buffer replicates and subtracted that average from each other replicate.

We then combined replicates from all timecourses by condition and took the final average and standard error of the mean for both fit parameters. The results are shown in Fig. 3.1. I ran a one-factor ANOVA to reject the null hypothesis that all means were the same, and then two-sample t-tests on each pair of conditions to find which differences were statistically significant. For the

Figure 3.1: test



time constant, all pairs of conditions were significantly different from each other with  $p < 0.05$  **except** buffer-PVP. For the lag time, all pairs of conditions were significantly different from each other with  $p < 0.05$  **except** buffer-PEG and serine-PVP.

I followed the same process for the timecourses involving the concentration series for PEG and PVP, but there were fewer significant differences between concentrations, as seen in Fig. 3.2. The ANOVA for the PEG time constants gave  $p = 0.0024$  with the t-test showing differences between: buffer-25% PEG, buffer-13% PEG, and 25% PEG-20% PEG. The ANOVA for the PEG lag times was likewise significant with  $p = 0.0102$ . The significant pairs were: buffer-25% PEG, buffer-20% PEG, buffer-5% PEG, and 25% PEG-13% PEG.

For PVP, there were no significant differences in the time constant. For the lag time, after the ANOVA and t-tests, there were differences between: buffer and everything except 5% PVP, 25% PVP and everything but 20% PVP, and 20%PVP-13% PVP.

For both conditions, it looks roughly like the lag time increases with the crowder concentration, but given the large error bars, I wouldn't read too much into it. The time constants don't follow any particular trend and are mostly indistinguishable anyway.

### 3.1.2 Fluorescence microscopy

### 3.1.3 NMR

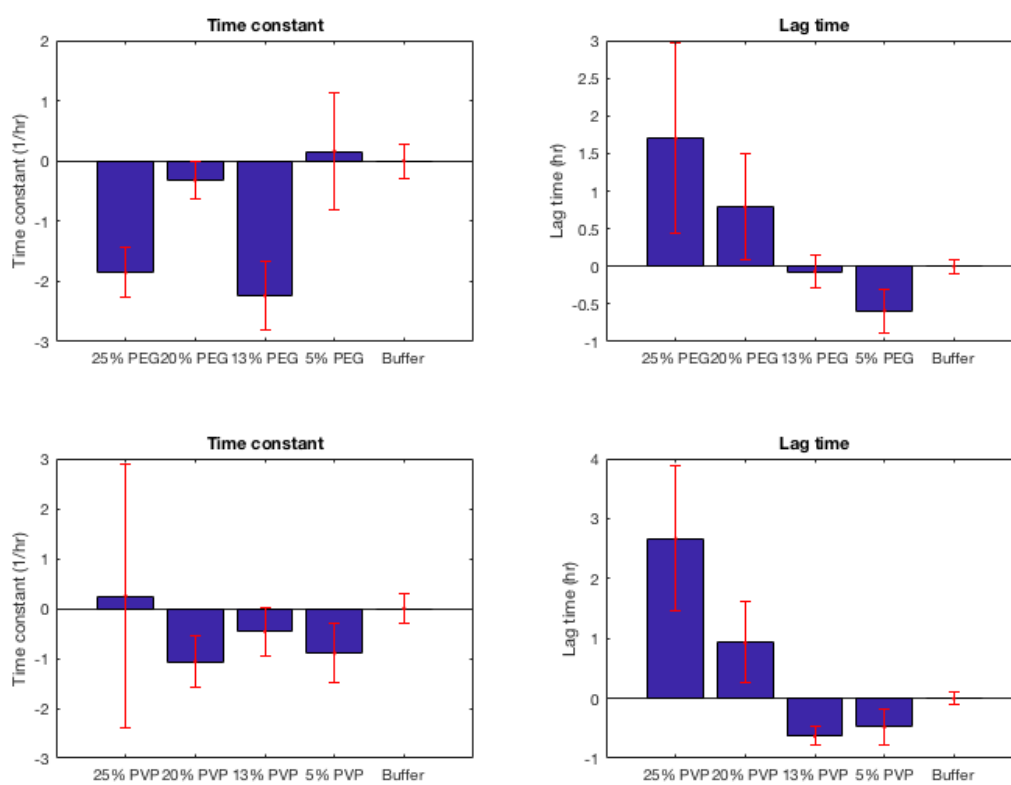
## 3.2 Discussion

## 3.3 Materials and Methods

### 3.3.1 Buffers

Potassium transport buffer (PTB) (150 mM KCl, 20 mM HEPES, 2 mM  $\text{MgCl}_2$ ) was used for all timecourse and NMR samples.

Figure 3.2: test



### 3.3.2 FG124 preparation

His-tagged FG124 was expressed in *E. coli* in the plasmid pRSF. Cultures were grown in LB and induced at 37 degrees C for 2-4 hr with 1 mM IPTG at OD 0.6-0.8. Periplasmic matrix was removed prior to lysis. Cells were then lysed via sonication and FG124 purified using TALON cobalt resin. All purification buffers were PTB with 7M GuHCl and PIC. The elution buffer also contained 250 mM imidazole.

### 3.3.3 Timecourse preparation

Stocks of PEG and PVP in PTB were prepared at 20 or 40% w/v; serine stocks were prepared at 30% w/v. PEG and serine were at pH 7; PVP was pH 7 or pH 5. A pH series with no crowding agent showed no significant differences based on pH. Lyophilized lysate was prepared by homogenizing BL21 DE3 Gold cells and spinning them down. The supernatant was lyophilized in a decomposing ammonium bicarbonate buffer and resuspended in PTB to the desired concentration when needed. A 10 mM stock solution of ThT in PTB was prepared and filtered no more than a week before the timecourse, stored at room temperature and protected from light. Immediately prior to starting the timecourse, FG124 was desalted into PTB to remove the imidazole and GuHCl. Samples were promptly prepared containing the appropriate percentage of crowder, a final concentration of 1-2 mg/mL FG124, and 200  $\mu$ M thioflavin T. All samples in the same timecourse had the same concentration of FG124, including the buffer sample, which contained no crowding agent. Blanks were prepared with crowding agent and thioflavin T, but no FG124. Samples were pipetted into black, flat-bottomed, clear-bottomed 96-well plates with 150  $\mu$ L per replicate. Each sample yielded four to six replicates. Only one blank replicate was used per condition. One negative control and corresponding blank were prepared per timecourse containing 7M GuHCl and no crowding agent but using the same protein sample as all other conditions. Each well contained a 3mm-diameter glass or teflon bead. The plate was sealed with a PCR seal and taken to a Safire II plate reader. The fluorescence was measured from the bottom at 10-minute intervals with an

excitation wavelength of 450 nm, emission wavelength of 482 nm, and 5 nm bandwidths. The plate shooook orbitally at high speed between measurements and was held at a temperature of 30 degrees C. The time between desalting and beginning the plate reader measurements was typically about an hour; the time of desalting was taken as  $t = 0$  for the purposes of calculating lag time. In parallel with the sample preparation, the concentration of the desalted FG124 was measured with a BCA assay.

### **3.3.4 NMR sample preparation**

### **3.3.5 NMR experiments**

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