A Survey of Successful Evaluations of Program Visualization and Algorithm Animation Systems

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This article reviews successful educational experiences in using program and algorithm visualizations (PAVs). First, we survey a total of 18 PAV systems that were subject to 33 evaluations. We found that half of the systems have only been tested for usability, and those were shallow inspections. The rest were evaluated with respect to their educational effectiveness. Script-based systems seem to be well suited for the viewing, responding, and changing engagement levels, while compiler-based systems do well for the construction and presenting engagement levels. Finally, we analyze additional PAV features of successful evaluations and hypothesize that they are relevant.

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1. INTRODUCTION

Intuition about the educational benefits of program and algorithm visualization (PAV) systems [Stasko et al. 1993] has contributed to their proliferation. However, the pedagogical benefits of PAVs are not clear. The relevant

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literature contains a number of reasons that have prevented their universal use [Naps et al. 2003]. We find in the literature a number of evaluations that report different educational results. One of the most significant studies on PAV effectiveness [Hundhausen et al. 2002] concluded that the way students use visualizations is more important than the visualizations themselves. Based on this finding, the engagement levels taxonomy [Naps et al. 2003] provides a framework to classify the interaction between students and PAV systems.

In this article, we analyze successful evaluations of PAVs and make several contributions. First, the study itself brings together a number of successful evaluations that are spread throughout the literature. Second, we try to extract the main features of successful evaluations. The main factor is the engagement level achieved at the evaluation. After analyzing the evaluations, the authors felt that educational improvements could also depend on systemspecific features. As a consequence, this survey also studies the educational effect of such features.

The rest of the article is organized as follows. In Section 2, we describe systems that were evaluated successfully with respect to usability or educational effectiveness. Section 3 analyzes successful educational evaluations, grouped by the engagement levels where learning improvement was detected, and makes explicit additional features present in PAVs. Both sections conclude with a discussion subsection that summarizes our findings. Finally, we draw our conclusions and outline areas for future research.

2. A SURVEY OF SUCCESSFUL PAV SYSTEMS

There are two features that most PAV systems have in common. On the one hand, they are highly interactive systems. On the other hand, most existing PAV systems are intended for education. If the way students interact with PAV systems is the key factor of their success [Hundhausen et al. 2002], it is relevant to evaluate both issues: usability and learning outcomes.

This section surveys PAV systems that were successfully evaluated. Information was extracted from system evaluations described in journals or conferences of computer science education or learning technologies. We classify PAV systems and then review PAV systems that only were evaluated for usability. Finally, we review PAV systems that were evaluated for educational impact.

2.1 Classification of Evaluated Systems

We use two criteria to classify a system: abstraction level and implementation approach. Notice that the aim of these criteria is to aid us in describing the systems in the article, not to propose a system taxonomy. The criterion of abstraction level is based on the software visualization taxonomy defined by Price et al.'s [1998] software visualization taxonomy:

Algorithm visualizations (AV). The static or dynamic visualization of higher-level abstractions which describe the software.

Program visualizations (PV). The visualization of actual program code or data structures—low-level abstration—in either static or dynamic form.

The implementation criterion allows us to differentiate three kinds of systems:

Script-based systems. The user first identifies the interesting events to display and then inserts calls to the visualization engine into the source code. Afterward, code execution produces a script that is rendered into a visualization. The complexity of calls varies in the different scripting languages.

Interface systems. These systems do not generate any visualization, they only interact with users. Current interface systems generate visualizations using script-based systems, so some of them are hybrid systems including both features.

Compiler-based systems. In these systems the user does not need to modify the code, as visualization actions are automatically inserted by the compiler or virtual machine at compile- or run-time. This simplifies user interaction but limits the user's control over the PAVs themselves.

2.2 Successfully Evaluated Systems with Respect to Usability

As stated above, PAV systems are interactive systems, therefore usability is a key property of those systems. There exist a number of aspects to evaluate usability and a number of methodologies to carry out these evaluations [Kulyk et al. 2007]. Usability of PAV systems has been evaluated using any of the following methodologies:

Informal evaluations. In this kind of evaluations, the students are typically asked their opinion after using the system. Descriptions of these evaluations often lack details of the process, materials or methodology used, reporting their results as positive/negative values.

Heuristic evaluations. These evaluations are performed by an expert, checking basic interactive features and also features specific to visualization systems.

Query techniques. This kind of evaluations consists of asking students, using questionnaires, about their opinion on different aspects of the system. The environment and the tasks performed by students are partially controlled by evaluators.

Observational studies. These evaluations differ from query techniques in the way information is collected. In this case, the evaluators observe how students use the system and write down everything they consider important for the evaluation.

Controlled experiments. In this evaluation type, the environment, the students and the tasks performed are highly controlled by evaluators. In addition to the students' opinion, controlled experiments can provide information about effectiveness, ease of use, efficiency, and other interesting issues.

	Abstraction/Implementation				
PAV system	Approach	Usability Evaluation Methodology			
Animal	AV/Script	Heuristic			
Jawaa	AV/Script	Informal			
LJV	AV/Script	Informal			
Alvie	AV/Script+Interface	Query			
LEONARDO	AV/Script+Interface	Informal			
Swan	AV/Script+Interface	Controlled			
MAVIS	AV/Compiler	Observation, Query			
SRec	AV/Compiler	Heuristic, Observation, Query, Controlled			
Teaching Machine	PV/Compiler	Query			

Table I. Summary of Usability Evaluations

We have found nine PAV systems, which are grouped by their implementation approach. For each system, we identify its corresponding abstraction level—either AV or PV—and we describe the methodology used to evaluate its usability. Table I summarizes this information.

2.2.1 *Script-Based Systems*. We have identified three script-based systems reporting successful usability evaluations: ANIMAL, Jawaa, and LJV.

ANIMAL¹ [Rößling et al. 2000] is a powerful AV system that allows the user to control most of the details in the visualization using the scripting language ANIMALSCRIPT. It allows generating narrative contents and stop-and-think questions with explicit feedback. ANIMAL was subject to an expert evaluation [Rößling and Naps 2002]. The construction of animations is not easy because of the complexity of the scripting notation. Consequently, it provides different approaches to simplify animation construction, such as support for editing ANIMALSCRIPT code [Rößling and Ackermann 2007; Rößling and Schroeder 2009].

Jawaa² [Pierson and Rodger 1998] is another AV system with its own scripting language. It passed an informal evaluation [Akingbade et al. 2003] with positive results in the animations generated and negative results in the construction process. The authors also report that they constructed a graphical editor that allows inserting graphical objects without writing their corresponding script code. Although Jawaa was included in the above mentioned study by Rößling and Naps [2002], an expert evaluation of ANIMAL, it was just for comparative purposes rather than a planned evaluation of Jawaa. Therefore, we have not considered this study as an expert evaluation of Jawaa.

 LJV^3 [Hamer 2004] is an AV system for data structures in Java. Students place visualization calls where they want to visualize the current state of an object. The system uses type information during execution to automatically produce the visualization of an object. Visualizers are implemented for trees and lists. Although students have limited control over graphical representations, the construction approach is less complex than in other script-based systems. LJV passed an informal evaluation [Hamer 2004].

¹http://www.algoanim.info/Animal2/

²http://www.cs.duke.edu/csed/jawaa2/

³Lightweight Visualizer for Java, http://www.cs.auckland.ac.nz/~j-hamer/LJV.html.

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2.2.2 *Script-Based + Interface Systems*. We have found three script-based systems with their own built-in interface: Alvie, Leonardo and Swan.

Alvie⁴ [Crescenzi and Nocentini 2007] is an AV system with an XML-based scripting language. It has a simple interface to view animations that has also been integrated with a textbook on algorithms. It was successfully evaluated with query techniques in three different scenarios: students viewing animations, students constructing their own animations, and students presenting animations to an audience.

Leonardo⁵ [Crescenzi et al. 2000] is actually an IDE for the C language, whose virtual machine supports reverse execution. It has visualization capabilities aimed at the algorithmic level using the logic language ALPHA as a scripting language. It was subject to informal evaluations for both viewing and constructing animations with the ALPHA language. The latest efforts were dedicated to develop an interface for Web-based animation construction [Bonifaci et al. 2006].

Swan⁶ [Shaffer et al. 1996] is an AV system that allows including textual explanations and changing the contents of data structures during algorithm execution. It provides algorithms for drawing arrays, lists and graphs, thus facilitating animation construction. Usability was evaluated in a controlled experiment that reported the notation was easy to learn and animations were successfully built.

2.2.3 *Compiler-Based Systems*. We have found three compiler-based systems: MAVIS, SRec, and the Teaching Machine.

*MAVIS*⁷ [Koifman et al. 2008] is an AV system where graphical representations must be developed in Java by the users. It provides the user with a API to control different abstraction levels. The evaluation focused on programming algorithms and constructing animations. A single evaluation session was conducted, including observational study and query techniques. Positive opinions were obtained regarding usefulness and manipulation of abstraction levels.

SRec⁸ [Velázquez-Iturbide et al. 2003] is an AV system for recursion in Java. Recursion instrumentation is automatic since the system inserts calls to gather information of method entry or exit at compile-time. When the user launches an algorithm execution, a trail of method entries and exits is produced. At that moment, the user may play the animation with a wide range of visualization and animation controls. The system provides several synchronized views, including recursion trees. SRec has successfully passed a strict usability inspection process consisting of a heuristic evaluation, a query technique, an observational study and a controlled experiment. Results were very positive and were used to enhance the system.

 $^{^4} http://www.algoritmica.org\\$

 $^{^{5}}$ http://www.dis.uniroma1.it/ \sim demetres/Leonardo/

⁶http://research.cs.vt.edu/algoviz/Swan/

⁷http://www.ee.technion.ac.il/~ayellet/MAVIS-movies/

⁸http://www.lite.etsii.urjc.es/srec/

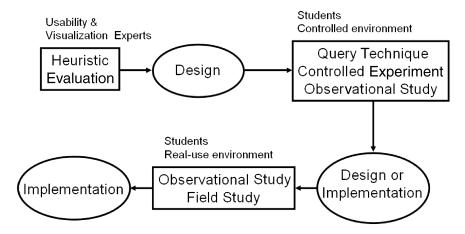


Fig. 1. A general usability evaluation process, with the users and environment involved.

The *Teaching Machine*⁹ [Norvell and Bruce-Lockhart 2000] is a PV system that visualizes the symbol table, the heap with the contents of variables and pointers and other typical facilities, such as source code, the execution point, the stack and evaluation of expressions. It has passed an evaluation with query techniques [Bruce-Lockhart et al. 2003]. The authors developed *Web-Writer++* [Bruce-Lockhart, M. and Norvell 2006], which is an authoring tool for Web-based learning materials including textual contents and visualizations generated with the Teaching Machine.

2.2.4 Discussion. Usability evaluations should not be performed after the implementation of the system is complete, but they should be an integral part of the design and implementation process [Kulyk et al. 2007]. A general usability evaluation can consist of three phases (see Figure 1). First, early designs and prototypes are evaluated with heuristic inspections. Feedback of these evaluations is used to enhance the system. Second, the improved version of the system can be evaluated with query techniques, controlled experiments, and observational studies. Again, the results of the evaluation assist in fixing errors and enhancing the system. Finally, observational and field studies allow evaluating the system in real use.

Unfortunately, only one¹⁰ out of the nine considered systems (i.e., SRec) has passed more than one phase in its usability evaluations. Informal evaluations were significantly used—three out of nine—but they neither provided useful information for developers or users different from the development team nor contributed to future improvements of the evaluated system.

⁹http://www.engr.mun.ca/~mpbl/content/research/teachingMachine.htm

 $^{^{10}}$ Note that the evaluation of MAVIS used two methodologies in the same session.

2.3 Successfully Evaluated Systems with Respect to Learning Outcomes

The main aim of PAV systems is to improve the learning of concepts visualized. In this subsection, we survey those PAV systems that have reported successful pedagogical evaluations.

Hundhausen et al. [2002] stated that the effort devoted by students to visualization-related tasks is more important than the visual contents shown by PAVs. Going beyond passive viewing of PAVs, Naps et al. [2003] developed a taxonomy of different ways of interacting with PAVs. They called it the *engagement levels* taxonomy, suggesting a hierarchical structure where a higher engagement level leads to higher educational benefits. The following definitions of engagement levels are quotations extracted from Naps et al. [2003]:

Viewing. This level can be considered the core form of engagement, ... a learner can view an animation passively, but can also exercise control over the direction and pace of the animation, use different windows (each presenting a different view), or use accompanying textual or aural explanations. ... The remaining four categories all include viewing.

Responding. The key activity in this category is answering questions concerning the visualization presented by the system. ... In the responding form of engagement, the learner uses the visualization as a resource for answering questions.

Changing. It entails modifying the visualization. The most common example of such modification is allowing the learner to change the input of the algorithm under study in order to explore the algorithm's behavior in different cases.

Constructing. In this form of engagement, learners construct their own visualizations of the algorithms under study. Hundhausen and Douglas [2002] identified two main ways in which learners may construct visualizations: direct generation and hand construction. . . . It is important to note that the constructing form of engagement does not necessarily entail coding the algorithm.

Presenting. It entails presenting a visualization to an audience for feedback and discussion.

We use this taxonomy to describe possible uses¹¹ of each PAV system together with successful results reported in their evaluations. We have found nine systems of two types: script-based + interface systems and compiler-based systems. Table II summarizes this information.

2.3.1 *Script-Based* + *Interface Systems*. We have identified three script-based + interface systems with successful pedagogical evaluations.

 $^{^{11}\}mbox{Where possible,}$ use means an unsuccessful reported use or an unreported use, in both cases immediately applicable.

PAV	Abstraction/Implementation	Engagement Levels				
System	Approach	V	R	Ch	C	P
JHAVE	AV/Script+Interface	•	$\sqrt{}$	•		
JSamba	AV/Script+Interface	√	√	\checkmark	\checkmark	•
TRAKLA2	AV/Script+Interface	•	$\sqrt{}$	•		
Alice	AV/Compiler	•		\checkmark	•	•
Alvis Live!	AV/Compiler	•		•	1	/
Jeliot	PV/Compiler	•	•	\checkmark	•	•
JGrasp	AV/Compiler	•		\checkmark	•	•
VIP	PV/Compiler	•		√	•	•
WinHIPE	AV/PV/Compiler	√		•		•

Table II. Summary of Educational Evaluations

Note: Columns grouped under the "Engagement levels" label correspond to the (V)iewing, (R)esponding, (Ch)anging, (C)onstructing and (P)resenting engagement levels. A bullet \bullet means that the system can be used at that engagement level, and a check \checkmark means that the system was successfully evaluated at that engagement level.

 $JHAV\acute{E}^{12}$ [Naps 2005] is an AV interface that allows including narrative contents and stop-and-think questions to animations. Currently, it can be used with three scripting languages [Naps and Rößling 2007]: GAIGS-XML, ANIMAL and JSamba. It has been evaluated at the responding engagement level [Grissom et al. 2003], but it can also be used at the viewing and changing levels.

The family of AV systems $Polka/XTango/Samba/JSamba^{13}$ [Stasko 1992] is the most versatile up to today. They were evaluated in all but one engagement level: viewing [Lawrence 1993], responding [Byrne et al. 1999], changing [Lawrence 1993; Lawrence et al. 1994], and constructing [Stasko 1997]. Presenting is another possible use of these systems. A main contribution of these systems is a powerful scripting language that allows users to specify graphical representations of data structures—including textual contents—and animation effects.

Finally, *TRAKLA2*¹⁴ [Malmi et al. 2004] is another AV interface, designed to visualize animations generated with Matrix [Korhonen et al. 2004]. Students interact with visualizations simulating the behavior of algorithms. Their visualizations are enhanced with additional explanations plus automatic assessment of students' simulations. Students' generated simulations seem to correspond to the construction engagement level. However, we have classified their evaluation at the responding engagement level [Laakso et al. 2005] because the main aim of students is to correctly determine each step of the algorithm, rather than construct an expressive animation that illustrates the behavior of the algorithm. In other words, cognitive effort is dedicated to answer the implicit question "What is the effect of the next step?" rather than designing an animation for better understanding of the algorithm. Other possible uses of this system lie at the viewing and changing engagement levels.

¹² http://jhave.org/

 $^{^{13}} http://www.cc.gatech.edu/gvu/softviz/algoanim/samba.html\\$

¹⁴http://www.cs.hut.fi/Research/TRAKLA2/

2.3.2 *Compiler-Based Systems*. The remaining six systems follow a compiler-based approach.

*Alice*¹⁵ [Moskal et al. 2004] is an AV system for object-oriented programming. Algorithms are written in a specific pseudo-code and objects are correspondingly visualized in a 3D virtual world. It was evaluated at the changing engagement level, but animations may also be used at the viewing, constructing, and presenting engagement levels.

Alvis Live!¹⁶ [Hundhausen and Brown 2005] is an AV system where algorithms written in the SALSA pseudo-code language are immediately visualized, that is, at editing time. Its visualizations have a low-fidelity look, making use of the conventions students would informally use rather than what an expert would do. It allows dragging graphical objects, which are then transformed in their corresponding pseudo-code sentences. Alvis Live! has been evaluated at a constructing+presenting [Hundhausen and Brown 2008] engagement level, but it can also be used at the viewing and changing engagement levels.

Jeliot¹⁷ [Moreno et al. 2004] is a PV system designed to visualize basic features of the Java language in introductory programming courses. It allows students to immediately visualize their own programs. Recent efforts were dedicated to the integration with BlueJ and the automatic generation of stopand-think questions [Myller 2007]. Jeliot can be used at all engagement levels, but it has only been evaluated at the changing engagement level [Ben-Bassat et al. 2003].

*jGRASP*¹⁸ [Hendrix et al. 2004] is actually a programming environment for Java with visualization capabilities. Its visualizations are powerful because jGRASP provides multiple views of source code, low-level objects, and high-level visualizations. The latter have to be developed by teachers from a template provided by the system. These visualizations are called external visualizers and provide students with multiple views of the same object. jGRASP supports program visualization but has been evaluated as an AV system at the changing engagement level [Cross et al. 2007; Jain et al. 2006]. However, it can also be used at the viewing, constructing and presenting engagement levels.

VIP¹⁹ [Virtanen et al. 2003] is a PV system that supports a subset of C++ without object-oriented features. It allows associating textual explanations to locations of the source code. The user can use nonstandard conditions to generate animations, such as execution conditions (e.g., the first time a sentence is executed) or variable usage (e.g., input/output). It was evaluated at the changing engagement level [Ahoniemi and Lahtinen 2007], but it can also be used at the viewing, constructing, and presenting levels. Recent efforts are devoted to including program assessment results as contents.

¹⁵ http://www.alice.org/

 $^{^{16}} http://eecs.wsu.edu/{\sim}veupl/soft/alvis/index.htm$

¹⁷ http://cs.joensuu.fi/~jeliot/

¹⁸ http://www.jgrasp.org

¹⁹http://www.cs.tut.fi/~vip/en/

Finally, WinHIPE²⁰ [Pareja-Flores et al. 2007] is a functional programming environment with visualization capabilities. It visualizes functional expressions, which contain both data structures (trees or lists) and language constructions; therefore, it is an AV system as well as a PV system. Visualizations generated by WinHIPE include textual explanations and are constructed using an effortless approach that was evaluated for effectiveness and efficacy [Velázquez-Iturbide et al. 2008]. WinHIPE was evaluated at two engagement levels: viewing [Urquiza-Fuentes 2008] and constructing [Urquiza-Fuentes 2008; Urquiza-Fuentes and Velázquez-Iturbide 2007], but it can also be used at the changing and presenting levels.

2.3.3 *Discussion*. Hundhausen et al. [2002] suggested that highly engaging tasks (i.e., placed at the constructing or presenting engagement levels) can be beneficial for the learning process. Therefore, it can be worthwhile to compare both kinds of systems with respect to their coverage of different engagement levels, as shown in Table II.

At a first glance, compiler-based systems seem to support better higher levels of the engagement taxonomy. One plausible reason is that these systems foster students to focus on building expressive animations rather than on more mundane activities such as using a scripting API, compute locations or defining object movements. A similar conclusion was reached by Hundhausen [2002] when comparing the script-based+interface system SAMBA with a set of tools chosen by students. This conclusion is consistent with efforts aimed at simplifying the construction process in several script-based systems, for example, the editing facilities for ANIMALSCRIPT language [Rößling and Ackermann 2007; Rößling and Schroeder 2009], or the materials for developers published at the JHAVÉ Web site.²¹

On the other hand, script-based systems have strengths on their own. They were the most successful systems in percentage at the viewing engagement level: 33%(1/3) against 16.7%(1/6). Moreover, this is the only kind of system that obtained successful results at the responding engagement level. As Table II shows, Jeliot represents an attempt of a compiler-based system to cover this engagement level.

Frequently, the border between PV and AV systems is blurred in an attempt of a system in a category to have advantages of the other category. We have seen three examples in Subsection 2.2 of systems that try to combine highly expressive animations and less user effort:

Swan. Students have to code graphical representations, but they can use built-in algorithms for drawing graphs, lists, and trees.

LJV. Students only have to identify locations in the source code where the state of objects are to be visualized and insert simple API calls.

²⁰http://www.lite.etsii.urjc.es/winhipe/

²¹http://jhave.org/developer/

SRec. The system locates methods and parameters to visualize recursion. Consequently, students do not have to change source code, but they may interactively select the methods or parameters to visualize to enhance comprehensibility.

Notice that there is no technical restriction to use any specific scripting technology. In these three cases, graphical representations were generated with different scripting technologies –SAIL [Shaffer et al. 1996] for Swan, GraphViz²² for LJV, and JGraph²³ for SRec– but they could have been generated with the same technology. In any case, students need not know much about it.

Looking information surveyed in Table II, the hypothetical hierarchical structure of engagement levels "the more engagement, the better learning" is not clear. We could expect to find more successful experiments in the literature aimed at the higher engagement levels, given that they would provide higher educational benefits. In addition, some systems offer special animation features or were evaluated in particular situations that may have contributed to their educational success. In the following section, we move from systems to individual experiments carried out with them. As a consequence, we make explicit the engagement level in every evaluation, but also the special features or particular situations present.

3. A SURVEY OF SUCCESSFUL PAV EDUCATIONAL EVALUATIONS

In this section, we focus on successful educational evaluations of PAVs. We change our terminology from evaluation to experiment, as one evaluated system may be subject to several experiments. Notice that evaluating PAVs is not the same as evaluating PAV systems, although both evaluations are obviously related. In addition to evaluations cited in the previous section, we have found five additional publications reporting PAV educational evaluations. However, they are not tied to a particular system, but they use ad hoc visualizations [Crosby and Stelovsky 1995; Kann et al. 1997; Hansen et al. 2000; Hübscher-Younger and Narayanan 2003] or represent an auxiliary use of PAVs in other educational tools [Kumar 2005].

We have considered 24 experiments. Having a look at them, one suspects that just delivering visualizations is not enough to obtain educational improvements, as Hundhausen et al. [2002] stated. Successful experiments report a wide variety of visualization features: high-quality contents [Hansen et al. 2000], advanced manipulation interfaces [Cross et al. 2007; Jain et al. 2006], or adding visualization sessions to regular classes [Moskal et al. 2004].

Our aim is to deepen in the effect that additional features have on educational improvement. After a first review of experiments, we identified four features to consider:

Narrative contents and textual explanations. These may assist students in understanding graphical depictions generated by a PAV system. In addition,

²²http://www.graphviz.org/

 $^{^{23}} http://www.jgraph.com/$

when students build their own animations, adding narrative contents engage students in a reflection exercise that may enhance learning outcomes.

Feedback on student's actions. When an animation is played, students may be asked to predict future steps of the algorithm. Feedback to their answers could reinforce right answers or correct wrong ones. As animations inherently provide feedback on the next step, we only take into account explicit feedback, for either right or wrong answers.

Extra time using PAV. Many tasks in typical learning environments cannot be replaced with animation-based tasks. Therefore, extra time is often needed to use animations.

Advanced features. Some systems deliver advanced contents showing different behaviors of the algorithm, advanced interfaces to manipulate visualizations, or advanced integration with an IDE.

In our analysis of experiments, we have used the educational improvements reported and their engagement levels. With respect to educational improvements, they can be classified into knowledge acquisition, student's performance in programming, or student's attitude towards subjects or materials. With respect to engagement levels, we have identified two experimental designs: experiments studying improvements at one or two engagement levels, and comparative studies. In this section, we describe experiments grouped by the engagement level where the educational improvement was detected. Table III summarizes these experiments.

3.1 Viewing

The six experiments placed at this level detected educational improvements in terms of knowledge acquisition. Chapter 7 of Lawrence's dissertation [Lawrence 1993] detected improvements when using PAVs with textual labels. Crosby and Stelovsky [1995] detected improvements when using multimedia materials made up of visualizations and narrative contents, comparing it with the *no viewing* level.

Kann et al. [1997] made a comparative study among *no viewing*, *viewing*, *constructing* and *viewing* and *constructing*. They only detected significant improvements between *viewing* and *no viewing* students. It is the only viewing experiment without textual or narrative contents.

Kehoe et al. [2001] studied the use of PAV in an environment that simulated homework. Thus, students used animations to complete assignments without time limit.

Kumar's experiment [Kumar 2005] represents an auxiliary use of visualization. The main role of Kumar's system is tutoring students, providing them with automatically generated problems. His experiment found that using visualizations within the feedback provided by the tutor improves knowledge acquisition.

Experiment $\overline{\mathbf{EL}}$ EI \mathbf{F} T Lawrence [1993] Ch.7 K (V) Crosby and Stelovsky [1995] K $(V) \Rightarrow NV$ Kann et al. [1997] K $(V) \Rightarrow NV$ Kehoe et al. [2001] K $(V) \Rightarrow NV$ Kumar [2005] (Feedback of tutoring system) K $(V) \Rightarrow NV$ * Urquiza-Fuentes [2008] K $(V) \Rightarrow NV$ * K $(R) \Rightarrow NV$ Byrne et al. [1999] K $(R) \Rightarrow NV$ Grissom et al. [2003] $(R,V) \Rightarrow NV$ Laakso et al. [2005] Α $(CH.R) \Rightarrow NV$ K Hansen et al. [2000] Studies I-II-IV С C Hansen et al. [2000] Study V K $(CH,R) \Rightarrow V$ Lawrence [1993] Ch.6 K $(CH) \Rightarrow V$ Lawrence et al. [1994] K $(CH) \Rightarrow NV$ Ben-Bassat et al. [2003] K (CH) Moskal et al. [2004] K $(CH) \Rightarrow NV$ V Ahoniemi and Lahtinen [2007] A/K $(CH) \Rightarrow NV$ T Cross et al. [2007]; Jain et al. [2006] $(CH) \Rightarrow NV$ P (C,CH) Stasko [1997] Α Urquiza-Fuentes and Velázquez-Iturbide [2007] A/K $(C) \Rightarrow V$ * Urquiza-Fuentes [2008] A&K $(C) \Rightarrow NV$ V * V Urquiza-Fuentes [2008] Α $(C) \Rightarrow V$ Hundhausen [2002] Α (P,C) Hübscher-Younger and Narayanan [2003] K $(P,C) \Rightarrow V$ Hundhausen and Brown [2008] P (P,C)

Table III. Summary of Successful Experiments Grouped by Engagement Levels

Note: The column labeled with EI encodes the kind of educational improvement, where K, A and P stand for knowledge acquisition, attitude and programming performance, respectively. If more than one kind of educational improvement is detected we use the "X&Y" notation if both are directly caused by the treatment, and the "X/Y" notation if it is not clear which one is directly caused by the treatment. The column labeled with EL indicates the engagement levels involved in the experiment. It also differentiates between comparative studies, encoded as "(X) \Rightarrow Y" where X obtained better results than Y, and non-comparative studies. The columns labeled with N, F and T indicate respectively if narratives, explicit feedback or extra time were used in the experiment. Finally, the column labeled A indicates advanced features, where C, I, and V stand for contents, IDE interface and visualization interface.

Finally, Urquiza-Fuentes [2008] investigates the effect of replacing a part of exercises sessions with program visualization sessions during a long term evaluation. The animations had additional textual explanations.

3.2 Responding

The three studies placed at this level compare *responding* with *no viewing*. The first two experiments detected improvements in knowledge acquisition and were supported by additional narrative contents. Although Byrne et al. [1999] used a plain algorithm animation, the instructor posed questions to students that had to be answered during the animation. Grissom et al. [2003] used a system that automatically integrated the questions within the animation.

Finally, Laakso et al. [2005] went beyond simple questions, engaging students in simulation tasks. Here, students manipulate a data structure,

simulating the behavior of a given algorithm and receiving explicit feedback about their simulations. They also used the *viewing* level, as students were allowed to see animations of the algorithm they had to simulate.

3.3 Changing

The first two experiments mixed the *responding* and *changing* levels, and compared them to *viewing* and *no viewing*. They are described in the same publication [Hansen et al. 2000]: studies I, II, IV and V. They use high-quality educational materials consisting of three different animations (conceptual/abstract, detailed, and populated) of the same algorithm, questions, and explicit feedback on their answers.

Lawrence compared the effect of changing input data in animations with respect to the *no viewing* and *viewing* levels. In the comparative study with the *no viewing* level [Lawrence et al. 1994], she found improvements in knowledge acquisition; the animations had narrative contents and students who worked with them had an additional lab session. She also compared the *changing* and *viewing* levels [Lawrence 1993] without additional features, again obtaining improvements in knowledge acquisition.

Ben-Bassat et al. [2003] studied the use of a visualization tool for teaching Java to novices. They found that only average students improved their knowledge. Moskal et al. [2004] focused on novice students at risk of not succeeding in their first programing course. They detected improvements in knowledge acquisition. These students were enrolled in an extra course where they worked with an advanced tool to learn OO programming basics.

Ahoniemi and Lahtinen [2007] compared the *changing* level with the *no viewing* level. They used animations with additional narrative contents. This experiment used homework assignments, so working time was not limited.

In the last experiment [Cross et al. 2007; Jain et al. 2006], the instructors provided students with an advanced tool integrated in a Java IDE, while students in the *no viewing* group used the same environment without visualization features. The students completed programming and debugging tasks with the environment.

3.4 Constructing

Stasko [1997] designed assignments where students had to construct their own animations. They also included some *changing* activities. He detected that students dedicated more time to study algorithms for which they had constructed animations.

Urquiza-Fuentes and Velázquez-Iturbide [2007] made a short term comparative study with the *viewing* level. Students within the *constructing* group generated animations with textual explanations using an effortless approach, while the others just viewed the same kind of animations, previously generated by the instructors. They detected improvements in students' attitude. Besides, *constructing* students were longer involved with the algorithm, improving their knowledge acquisition.

Finally, Urquiza-Fuentes [2008] studied the effect of the same construction approach in a long term evaluation. He compared the *constructing* level with respect to the *viewing* and *no viewing* levels. He detected improvements in attitude on both comparisons; he also detected improvements in knowledge acquisition when compared to the *no viewing* level.

3.5 Presenting

The three experiments studying the presenting level include construction tasks with additional narrative contents. Two of them focused just on this mixture of tasks [Hundhausen 2002; Hundhausen and Brown 2008], while the other compared it with the *viewing* level.

Hundhausen [2002] compared constructing and presenting tasks using two different tools: a well-known algorithm visualization tool, and artifacts selected by the students, ranging from slides to crafts. This observational study detected improvements in attitude of students who used their own artifacts. Based on these results, a tool to construct algorithm animations was developed and compared again with construction artifacts selected by students [Hundhausen and Brown 2008]. In this experiment, improvements in programming performance were detected on students who worked with the new tool.

Hübscher-Younger and Narayanan [2003] compared the *presenting* and *constructing* levels with the *viewing* level. They encouraged students, as a voluntary task, to generate animations and asked them to evaluate, as a compulsory task, those generated by the rest of the students. The construction utilities were chosen by the students. They detected improvements in knowledge acquisition of students who constructed the animations.

3.6 Discussion

This section analyzes the results obtained by the experiments previously mentioned. First, we give a global view. Then, we give recommendations for each engagement level regarding the visualization system and design of the experiment. Finally, we suggest possible changes between engagement levels, the related recommendations and the possible learning improvements.

3.6.1 *A Global View*. Results show that learning can be enhanced with PAVs in several ways. Improvements in knowledge acquisition were detected in 75% (18/24) of the experiments. This improvement is found at any engagement level. Over 20% (5/24) of the experiments detected improvements in attitude towards either materials or subject matters. Finally, programming skills can also be improved, as was found in more than 8% (2/24) of the experiments.

Focusing on engagement levels, there are two ends. Changing is the most frequently investigated level with 37.5% (9/24) of the experiments, while presenting is at the opposite with 12.5% (3/24). Responding is present in 20.8% (5/24) of the experiments, and both viewing and constructing are present in 27.2% (7/24) of the experiments.

Not all the experiments compare two levels: 20.8% (5/24) of them explore improvements within a particular level. Within comparative experiments, 73.7%

(14/19) of them studied effectiveness of PAVs with respect to no use; the rest, 26.3% (5/19), compared to the *viewing* engagement level.

The use of narrative and textual contents is present in 75% (18/24) of the experiments. This means that they are an important factor to take into account when designing learning experiments with PAV. Explicit feedback, extra working time or advanced features, such as high quality contents or advanced interfaces, are present in more than 20% of the experiments.

3.6.2 Recommendations for Designing Visualization-Based Learning Experiences. We must first note that this is not a meta-study like [Hundhausen et al. 2002], since only successful evaluatinos are studied. As a consequence, we cannot give formal and scientific evidence of correlations among the different engagement levels and educational improvements. However, all of these experiments give empirical evidence on successful uses of different engagement levels. Consequently, we extract a number of recommendations for each engagement level.

Just viewing animations can improve knowledge acquisition. Animations should have additional text or narrative contents.

When students answer questions during an animation, they should also be provided with additional narrative or textual contents. Explicit feedback is also important (although it is not present in two of the experiments). The questions made in these experiments were predictive, so their answers were contained in subsequent steps of their animations.

Allowing the students to change input data is a more active task. Here, narratives and textual contents seem to be less important (62.5%, 5 out of 8). This is probably due to the fact that researchers were more interested in cognitive work performed by students when choosing input data, than in enhancing the contents shown to the students. As it is an exploratory task, fixing a rigid time limit should be avoided. In addition, some advanced features may produce learning outcomes, for instance high-quality contents, such as different execution scenarios [Hansen et al. 2000], integration with an IDE [Moskal et al. 2004], or an interface to manipulate animations [Cross et al. 2007; Jain et al. 2006].

When students construct their own animations, the construction interface is very important. Six out of seven studies involving construction tasks provided the students with carefully designed interfaces, or allowed them to choose their own construction kits.²⁴ Its corresponding construction process should be effortless. Encouraging students to produce their own textual or narrative contents is also positive. Most improvements have also been detected in attitude towards materials and subjects.

Finally, students are typically asked to present animations constructed by themselves. Therefore, recommendations of the previous paragraph also are applicable.

 $^{^{24}}$ Both represent a student-centered approach rather than a high-technology-centered approach.

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3.6.3 Suggestions for Moving Through Engagement Levels. We can analyze experiments to determine what engagement levels were the most effective, and why. Most of the experiments reported on improvements when comparing with the *no viewing* or *viewing* engagement levels. We analyze them in detail.

3.6.3.1 *Moving from the* no viewing *Engagement Level*. The *no viewing* level means that no PAVs are being used. Therefore, the simplest change consists in moving to the *viewing* level, where knowledge acquisition is improved. There exist a number of PAV collections, but if one wants to generate one's own PAVs, narrative and textual contents should be included.

Moving to the *responding* level is also possible due to PAV collections. This change can improve attitude and knowledge acquisition. When designing your own *responding* experiments, the use of narrative contents and explicit feedback is important.

Attitude, knowledge acquisition and programming skills can be improved by moving to the *changing* level. Probably, it will demand more time from students, because this level is often used in homework assignments. Again, narrative contents and explicit feedback, just in case of using this level together with *responding*, are suggested. Building one's own system requires nontrivial development effort to develop advanced features (such as high-quality contents, good integration with an IDE, or advanced programming or visualization interfaces).

Finally, moving to the *constructing* level can improve attitude and knowledge acquisition. The construction process should be effortless, and narrative contents should also be added.

3.6.3.2 *Moving from the* viewing *Engagement Level*. This level typically provides poor interaction experiences. A simple change is moving to the *changing* level, where knowledge acquisition is improved. In addition to narrative contents and explicit feedback, high-quality contents have proved to be effective.

Moving to the *constructing* level may improve attitude and, as a side effect, knowledge acquisition. The construction process should be effortless, and narrative contents should be integrated into the animations. It can be used with the *presenting* level, improving knowledge acquisition, but students should be free to choose their own construction kits.

4. CONCLUSIONS AND FUTURE WORK

Engaging students with PAVs is a plausible way to make them effective educational tools. In this article, we reviewed successful evaluations of either PAV systems or pedagogical experiences.

Regarding the PAV systems evaluated, about half of them have only been subject to usability tests. Unfortunately, most of the published usability tests do not appear to be integrated in a standard feedback-based development cycle. Furthermore, 33% of them are informal evaluations with little contribution to future improvements of the evaluated system and useless information for users outside the development team. Usability is a requirement to accomplish

in educational software due to the fact it is highly interactive, in particular in PAV systems. In addition, usability is an important factor to allow a system to go beyond the undesired scenario of "a PAV system developed by 'me' and only used by 'me'".

The other half of the systems have been subject to educational evaluations. We have found that in general script-based systems are more suitable for the viewing or responding levels. In effect, this kind of system provides powerful scripting languages that produce visualizations with narrative contents and stop-and-think questions. Such scripting languages are expressive but also are complex, therefore their use to construct PAVs hardly produces learning improvements. Compiler-based systems are more suitable for the changing, construction and presenting engagement levels. This is because they liberate students from details of the scripting language and allow to focus their attention on the animation contents.

There exist attempts from both approaches to reach a midpoint. On the one hand, scripting languages can be less complex (e.g., LJV requests students only to mark in source code the locations where the current state of an object must be visualized, and Swan provides an API with graph, lists and trees drawing algorithms, alleviating students from implementing such low-level details). Scripting notations could even have two versions, one for experts and the other for novices. On the other hand, compiler-based systems may provide facilities to change the look of visualizations or animations (e.g., SRec provides with a wide range of customization and interaction facilities), to include narrative contents (e.g., in WinHIPE) and to generate stop-and-think questions (e.g., in Jeliot).

Regarding the pedagogical effectiveness of the engagement levels, this is not a meta-study, as we have not included unsuccessful experiments. Therefore, we can not claim that the features under study are statistically significant factors of educational improvement. However, we can give some recommendations, as many features were present in such experiments: narrative and textual contents, feedback to students' answers, and a student-centered approach in the design of PAV construction kits.

Future work will consider unsuccessful experiments and the research methodologies used. Hopefully, we will then be able to establish correlations between engagement levels, research methodologies, educational improvements, PAV systems and usability evaluations.

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