

# The Music of the Sphere: I. Inferring the 3D Gravitational Potential of the Universe on the Largest Scale from Cosmic Microwave Background Observations

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## ABSTRACT

A method is described that uses observed temperature fluctuations in the Cosmic Microwave Background to infer the three dimensional gravitational potential of the universe on the largest scale. It is demonstrated that the inferred gravitational potential defined on the last scattering surface can be combined with a prior of almost scale-free primordial fluctuations (as reported) to infer the Newtonian potential interior to this surface on our past lightcone as well as a modest distance beyond our current horizon. This method is demonstrated and refined using trial data sets and some limitations of the approach are uncovered. The refined method is then applied to the most recent Planck data set and a likelihood analysis used to define low harmonic Fourier coefficients for the potential with uncertainties with comoving linear resolution  $\sim 5$  Gpc. This approach can be extended and improved to include microwave background polarization and lensing observations, “local” information from photometric and spectroscopic galaxy and quasar surveys and upcoming studies of the epoch of reionization. It can also be used to characterize the particular physical conditions of our universe during the epoch of inflation and to furnish novel tests of the Gaussianity hypothesis.

**Key words:** cosmology

## 1 INTRODUCTION

The earliest astronomical investigations concerned the motion of the nearby sun, moon and planets and the positions of the “fixed” stars projected onto the celestial sphere and organized into constellations. When combined with understanding of the inverse square laws of light and gravity, this ultimately led to a physics-based, 3D description of the Milky Way Galaxy. The situation today with the study of the universe is somewhat analogous. We have extensive surveys of the locations and motions of nearby galaxies, and their constituents, plus a detailed Cosmic Microwave Background (CMB) map of the surface of a sphere with comoving radius 13.9 Gpc. The general theory of relativity has been affirmed in many ways and we have an empirical description of the primordial fluctuations that grew into contemporary large scale structure and which is consistent with the expectation of the simplest version of inflation. This has led to a standard cosmological model of a spatially flat, evolving universe containing baryonic and dark matter, photons and neutrinos together with a cosmological constant. This

comparatively simple description is broadly consistent with all current observations but is still not well enough tested to be accepted as proven. In particular, the acceleration of the universe might be driven by a more complex dark energy field and we still lack identification of dark matter and understanding of the physics underlying inflation.

However, in developing this cosmology — a program that has made great progress and which still carries great promise — the traditional task of astronomers, to describe the world around them, has been largely displaced by statistical investigations designed to elucidate the underlying physics. The relative neglect of mapmaking on the largest scale is somewhat surprising and the main topic of this paper. To use a time series metaphor, we want to listen to the music as well as know that it can be represented as a “flicker” power spectrum.

Most mapping attention, to date, has gone into a “bottom up” strategy — exploring the local group, nearby rich clusters and the local supercluster along with distinct features such as the “Great Attractor” and the “Boötes Void”. Large catalogs of galaxies and clusters have been created with great effort but these are, now, largely seen as a means to a physics end. Our approach, by contrast, will be “top

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down” and we shall begin in this paper by asking the question “What can we learn about the structure of the universe on the largest scale from CMB observations from temperature fluctuations alone?”. We shall show that the high accuracy of the low  $\ell$  Planck observations implies values for the underlying Fourier expansion coefficients for the Newtonian potential which can be assembled to produce a 3D density and velocity map with linear resolution of  $\sim 3$  comoving Gpc. We shall suggest that it is not the accuracy of raw measurements themselves that is limiting the accuracy of this map but uncertainty in our understanding of the Galactic foreground, an error that should diminish with time as a corollary of the effort to understand polarization maps on finer angular scale. By contrast, the current uncertainty in the underlying cosmological model is relatively unimportant we shall adopt the standard model uncritically.

While we argue that mapping our universe on the largest scale has intrinsic interest and popular appeal, we also point out that it is can be a serious contributor to acquiring a deeper understanding of the fundamental principles that govern its origin and evolution. For example, knowing whether a particular region of a large survey is over- or under-dense relative to the cosmic average can supply an important prior to a measurement of the Hubble constant or the equation of state of dark matter. Even more enticing is the prospect of not only projecting the observed state of the universe at recombination forward in time but backwards, even as far as the putative epoch of inflation when the structure that has only recently entered our horizon last left it. Polarization observations turn out to be particularly valuable for this exercise. In all of these investigations, we focus on the character of the particular universe we inhabit as opposed to the nature of the ensemble from which it is conjectured to have been selected (by us) within the multiverse. Interestingly, this specificity can extend, some small way into a statistical fog beyond our current horizon to reveal structure that will be revealed to our descendants tens of Gyr in the future. Describing the very largest scale structure that is in some way knowable raises some important questions of definition and principle that we shall attempt to clarify.

An essential ingredient of this technique is the assumption that the largest scale Fourier components describing the initial fluctuation spectrum are statistically independent and drawn from a Gaussian distribution with variance that scales with wavenumber according to a power law inferred on the basis of observations throughout the entire CMB angular spectrum. Its use for the present purpose is, in some sense, an extrapolation as its form could not be inferred from the relatively few modes that we employ here. Addition tests of “gaussianity” are therefore quite important to validate our approach. It is possible to devise nonparametric tests of the statistical independence of the Fourier modes by considering the nesting of the equipotential surfaces inferred on both the sphere of last scattering and the continuation into the interior of this sphere. The nesting can be described using an equivalent tree.

The results reported in this paper are seen as only the first step in the full program and only provide a proof principle using the lowest  $\ell$  modes. More sophisticated methods are needed to improve the linear resolution of the map using higher  $\ell$  CMB modes as well as line of sight investigations of

gravitational lensing and the Integrated Sachs-Wolfe effect. In addition, the accuracy of the map can be greatly improved by adding measurements made locally using galaxy, radio source and quasar surveys both completed and proposed. In addition, if it is possible to make all sky measurements of  $\lambda 21$  cm lines from the Epoch of Reionization (EoR) this will help tie down the large scale potential variation at comoving radii  $\sim 10$  Gpc. The methods that will be needed to combine essentially homogeneous CMB data with quite heterogeneous survey and EoR data are quite varied and idiosyncratic but should eventually improve the accuracy and resolution of the maps considerably.

In Sec. 2 of this paper, we will define the gravitational potential  $\Phi$  and explain why it is the best quantity to use for mapping the universe. We will also show how to evolve it on our past lightcone so that its value today amounts to measuring it back to the epoch of inflation so long as we adopt the standard cosmological model. In Sec. 3, we explain the Gaussian prior on the initial potential fluctuation spectrum and outline a simple, Bayesian procedure to infer the Fourier modes needed to make the 3D map. We also outline a procedure to quantify the error in the derived map. This is followed in Sec.4 with a description of the tests that we have carried out using trial datasets to optimize and validate our this procedure and a brief discussion of how it will have to be modified to accommodate additional input data. The main result of this paper is the very low resolution map of the universe based on Planck temperature fluctuation data alone and this is presented and discussed in Sec. 5. In Sec. 6, we summarize our main conclusions and preview the topics we shall discuss in Paper II (polarization and inflation), Paper III (non-parametric tests of gaussianity) and Paper IV (inclusion of additional datasets).

## 2 THE POTENTIAL OF THE UNIVERSE

### 2.1 Potential vs Density Mapping

We begin by clarifying some simple choices. The map of the universe that we will make is one of the linearized Newtonian potential perturbation  $\Phi$  from a spatially homogeneous world model. (We set  $c = 1$ .) This is equivalent to (minus half) the perturbation to the  $g_{00}$  component of the metric in the Newtonian gauge and also to the (scaled) curvature perturbation on the scales that we are considering. (Tensor perturbations require additional metric perturbations but are demonstrably small enough to ignore.) Our reasons for choosing potential over density are that it does not change until it re-enters the horizon and then is constant through the Einstein-De Sitter phase before decreasing by 22 percent on all relevant scales after the cosmological constant becomes important. Our time coordinate will be the same as the cosmological time  $t$  that is used to describe the corresponding homogeneous cosmology. (Alternative time coordinates are useful in the early universe, a topic to which we return in Paper 2.) We adopt comoving spatial coordinates,  $\mathbf{x}$ , in the background universe, using the local, CMB rest frame to fix the origin.

[t]

**Figure 1.** a) The local universe interior to the CMB photosphere expressed in comoving coordinates. The circles are, in order,  $a = 0.6$ , ( $z \sim 0.7$ ), schematically the limit of present surveys,  $a = 0.3$ , ( $z \sim 2$ ) roughly the effective limit of future surveys, the nominal Epoch of Reionization at  $a = 0.1$ , ( $z \sim 9$ ) and the CMB photosphere at  $a = 0.00093$ , ( $z \sim 1100$ ) and a distance  $x = 1$ . The comoving radius of the big bang is 14.2 Gpc. Also shown as dashed lines are the nodes of a single wave mode with  $k \sim 0.45 \text{ Gpc}^{-1}$  which contributes significantly to spherical harmonics with  $\ell \leq 8$ . b) Variation of the amplitude of this wave with scale factor  $a$ .

## 2.2 Standard Cosmology and Potential Evolution

We adopt a Flat  $\Lambda$ CDM cosmology with Planck parameters ( $\Omega_\Lambda = 0.69$ ,  $H_0 = 68 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ) and evolve both the background cosmology and the linear potential perturbations according to standard equations from the time. Our results are quite insensitive to this choice within the range spanned by alternative measurements, as we affirm below. The comoving radius of the big bang is  $x = 14.2 \text{ Gpc}$  and of recombination  $x = 13.9 \text{ Gpc}$ . Other important epochs are identified in Fig. 1a. We set the comoving radius of recombination henceforth as our unit of length.

We use the solutions of the standard cosmological perturbation equations to relate the potential at recombination to its value today which is what we will ultimately exhibit. We show this evolution in Fig. 1b.

## 2.3 Fourier Expansion of the Potential

It is conventional to Fourier expand the potential  $\Phi(\mathbf{x})$  today. Although the full spectrum of the Fourier modes we are discussing is continuous in  $\mathbf{k}$  (where  $\mathbf{k}$  is measure in units of  $(13.9 \text{ Gpc})^{-1}$ , the fact that our observations are made over a restricted volume means that we can treat the waves as a discrete Fourier transform of modes associated with a box in comoving space of side  $L$  on which periodic boundary conditions are imposed. If  $L$  is too small, the enforced periodic boundary conditions will strongly distort the map; if  $L$  is too large, the mode spacing in  $\mathbf{k}$ -space will be too fine and the modes will not be independent.  $L$  is chosen here to have a compromise value of  $L = 4$ , which we discuss further below.

$$\Phi(\mathbf{x}(r, \theta, \phi)) = \sum_{n=1}^{N/2} [f_n \cos(\mathbf{k}_n \cdot \mathbf{x}) + f_{N+1-n} \sin(\mathbf{k}_n \cdot \mathbf{x})] \quad (1)$$

where the coefficients  $f_n$  are real and  $\mathbf{k} = \Delta k \mathbf{n} = \Delta k \{n_1, n_2, n_3\} = k \{\sin \theta' \cos \phi', \sin \theta' \sin \phi', \cos \theta'\}$ , with  $n_1, n_2, n_3$  integers and  $\Delta k = 2\pi/L = \pi/2$ . We restrict the sum to  $(n_1^2 + n_2^2 + n_3^2)^{1/2} \leq n_{\max}$  and only need consider  $\mathbf{k}$  over a hemisphere (since the potential must everywhere be real.) We label the coefficients by the index  $n$  running from 1 to  $N \sim 4\pi n_{\max}^3/3$ . ( $N = 6, 32, 122, 256, 514, 924, 1418, 2108, 3070, 4168$  for  $n_{\max} = 1$  through 10 which will suffice for this paper.)

## 2.4 Sachs-Wolfe Limit

Our input data comprises CMB temperature fluctuations from recombination  $\delta(\theta, \phi) \equiv \delta T/T$ , where  $\theta, \phi$  are spherical polar coordinates measured with respect the same axes as

[t]

**Figure 2.** Photospheric potential fluctuations of the CMB for  $\ell_{\max} = 2, 4.5, 10$  (derived from Planck data) and shown as Mollweide projections.

the  $\theta', \phi'$  used for  $\mathbf{k}$ . As we are confining attention to long wavelength Fourier components we will only need small  $\ell$  spherical harmonics to describe  $\delta$ . The transverse scales are large compared with the thickness of the photosphere and this implies that the dominant cause of the temperature fluctuation is the gravitational redshift — the Sachs-Wolfe effect — that  $\Phi = 3\delta$ , allowing for the expansion in the usual manner. This is increasingly inaccurate for  $\ell \gtrsim 30$  and we use the more accurate relation between  $\delta$  and  $\Phi$ , though, for this paper the difference is insignificant.

## 2.5 Spherical Harmonic Expansion and Response Matrix

$\delta$  and, consequently,  $\Phi(\mathbf{x})$  can be expanded formally as a finite sum of spherical harmonics — the generalisation of Fourier modes to a sphere — up to and including the  $\ell_{\max}$  shell:

$$\Phi = \sum_1^{(\ell_{\max}+1)^2} a_y Y_y \quad (2)$$

where  $Y_y$  is a vector of real spherical harmonics:

$$Y_y(\theta, \phi) = \{Y_{0,0}, Y_{1,0}, 2^{1/2}\Re[Y_{1,1}], 2^{1/2}\Im[Y_{1,1}], Y_{2,0}, \dots, 2^{1/2}\Im[Y_{\ell_{\max}, \ell_{\max}}]\} \quad (3)$$

of length  $(\ell_{\max} + 1)^2$  and where  $\theta, \phi$  are standard spherical polar coordinates. Note that there are  $2\ell + 1$  independent, real, basis function in each  $\ell$ -shell. (The use of a real basis helps identify systematic effects.) Note also that  $\int d\Omega Y_y Y_{y'} = \delta_{yy'}$ . It is convenient to treat  $\ell_{\max}$  as a continuous variable by adding a fraction between zero and unity of the largest  $\ell$  shell and thereby change the angular resolution continuously.

We wish to relate a specific realization of the CMB temperature fluctuations to the underlying Fourier spectrum. We first expand  $\Phi(\mathbf{x})$  as a finite sum of Legendre polynomials:

$$\Phi(\mathbf{x}; \ell_{\max}) = \sum_{\ell=0}^{\ell_{\max}} (2\ell+1) \sum_{n=1}^{N/2} j_\ell(k_n x) P_\ell(\hat{\mathbf{k}}_n \cdot \hat{\mathbf{x}}) [\cos(\ell\pi/2) f_n + \sin(\ell\pi/2) f_{N+1-n}] \quad (4)$$

We next introduce the response matrix  $\mathbf{R}_{yn}$  which relates individual Fourier coefficients to spherical harmonic coefficients on the recombination sphere  $x = 1$ .

$$a_y = \mathbf{R}_{yn} f_n. \quad (5)$$

Using Eq. (4), we find that

$$\mathbf{R}_{yn} = 4\pi Y_y(\theta' \phi') j_\ell(k) [\cos(\pi\ell/2), \sin(\pi\ell/2)] \text{ for } [1 \leq n \leq N/2, N/2 < n \leq N] \quad (6)$$

Typically, the length of  $f_n$  will exceed that of  $a_y$  and we must find the most likely values of  $f_n$  for a given vector  $a_y$ .

## 2.6 Monopole and Dipole Components

The sums over  $y$  include the monopole and dipole terms. These are in a sense unknowable because we do not know

the CMB temperature at this time averaged over a volume larger than our horizon and we cannot remove the peculiar motion of the Earth sufficiently carefully to measure the  $\ell = 1$  coefficients. However, Planck does report these values...

### 3 RECONSTRUCTION OF THE INTERIOR POTENTIAL

#### 3.1 Gaussian Prior

The “holographic” reconstruction that we are attempting has a fundamental limitation in that  $O(\ell_{\max}^3)$  Fourier components  $f_n$  are needed from  $O(\ell_{\max}^2)$  spherical harmonic coefficients  $a_y$ . We need additional constraints. One source of these, important for low resolution reconstruction, comes for highly accurate temperature measurements which imply, in practice, that high order  $a_y$  can contribute to low order  $f_n$ . That this is not sufficient can be seen by considering the problem of inferring the interior temperature of the Earth from very accurate surface measurements alone. We would have no way of distinguishing a solution where the isotherms extended into the core, well spaced from the correct answer where they are bunched up just below the surface. In the present case, the additional information is contained in our hypothesis that the  $f_n$  are drawn from a Gaussian distribution with variance satisfying:

$$\sigma_n^2 = \sigma_1^2 n^{n_s-4}, \quad (7)$$

where  $n_s$  is measured to be 0.96 (1 suffices for the present purpose) and  $\sigma_1^2/2\pi^2 L^3 \equiv \frac{d\langle \Phi^2 \rangle}{d \ln k}$ , the dimensionless variance of a mode with  $k = \Delta k$  today is measured to be ????. We reiterate that the spectrum of the power law is derived from a study of the full range of spherical harmonics,  $2 \leq \ell \lesssim 5000$  not just from the modes that are actually used in the analysis. We discuss the sensitivity of our maps to the chosen spectrum and the assumption of Gaussianity below.

#### 3.2 Maximum Likelihood Estimator

We now explain how to characterize the posterior PDF (which under our assumptions is a multi-variate Gaussian distribution) for each of the coefficients  $f_n$  by first finding its peak, minimizing the quantity

$$-2 \ln \mathcal{P}(f_n | a_y) \approx (a_y - f_n \mathbf{R}_{ny}) C_{yy'}^{-1} (a_y - \mathbf{R}_{y'n'} f_{n'}) + \frac{f_n^2}{\sigma_n^2} + \text{const.} \quad (8)$$

with respect to variation of  $f_n$ . Here,  $C_{yy'}^{-1}$  is the inverse of the covariance matrix. This leads to the linear equations:

$$f_n = \left( \mathbf{R}_{ny} C_{yy'}^{-1} \mathbf{R}_{y'n'} + \frac{\delta_{nn'}}{\sigma_n^2} \right)^{-1} \mathbf{R}_{n'y} C_{yy'}^{-1} a_{y'} \quad (9)$$

#### 3.3 Uncertainties

This is an approach that should produce values for the  $f_n$  as long as the covariance matrix is well-defined. This does not guarantee that they are meaningful and in order to do this, we must devise a procedure to estimate their significance.

## 4 TESTING THE METHOD

### 4.1 Recovering a Trial Potential

Before applying this method to the Planck dataset, we should investigate its performance with trial data. We have created 100 mock datasets with  $L = 4$ ,  $n_{\max} = 4$  and used these to answer some of the questions we have already raised. For each mock dataset, we assign *true* Fourier coefficients  $f_{n \text{ true}}$  adopting the Gaussian prior and using a random number generator. We next convert these to *measured*  $f_n$  using the Planck covariance matrix. We then create a CMB map on the recombination sphere and evaluate the spherical harmonic coefficients  $a_y$  up to  $\ell_{\max} = 8$ . The final step is to use our procedure to recover *derived* coefficients  $f_{n \text{ der}}$  which can be compared with the true values. We adopt a crude figure of merit for each mock map:

$$F = \frac{1}{N} \sum_{n=1}^N \left( \frac{f_{n \text{ der}} - f_{n \text{ true}}}{\sigma_n} \right)^2. \quad (10)$$

In Fig. we compare examples of derived maps with different figures of merit with the original true map. We adopt a figure of merit  $F = ???$  as an acceptable value for a map.

### 4.2 Box Size

As a first step towards optimizing this procedure, we consider the size of the box,  $L$ . We repeat the process we have just described for larger and smaller values of  $L$  to confirm that we have chosen the best value.

### 4.3 Sensitivity to the Cosmological Model

We can also confirm that our answers are not sensitive to the assumed cosmological model. We have separately changed  $\Omega_\Lambda$  and  $\Omega_k$  each by 0.05 and we have also considered dark energy models with  $w = -0.9$ . We find that  $F$  hardly changes.

### 4.4 Variation with $n_{\max}$

We next consider how the figure of merit is improved as we increase the number of Fourier components, i.e. by increasing  $n_{\max}$ . The maximum value that can be used clearly depends upon the accuracy of the measurement of individual Fourier components. We find that with the covariance matrix we have adopted, which is based upon the properties of the Planck data, there is no significant improvement in the figure of merit by increasing  $n_{\max}$ . Furthermore, if we reduce the variance by as much as a factor 3, we find only a marginal reduction in  $F$  when  $n_{\max}$  is increased by one. However, if we increase  $\ell_{\max}$  from 8 to 12 we find that we can improve the accuracy and increase the resolution of the map by increasing  $n_{\max}$  from 10 to 12. We will discuss how to do this with the existing data by using a more complicated estimator in Paper IV.

## 5 APPLICATION TO THE PLANCK DATA SET

### 5.1 Description of Dataset

In our first attempt to create 3D map we take  $N_m = 100$  individual Planck sky maps and sample them using the HEALPIX algorithm and then create  $N_m$  sets of spherical harmonic coefficients which we convert to our basis to derive  $N_m$  sets of coefficients  $a_y$ . We then used this to recreate the mean coefficients  $\bar{a}_y$  and the low resolution sky map. We then constructed a covariance matrix for the measurements of  $a_y$ :

$$C_{yy'} = \langle (a_y - \bar{a}_y)(a_{y'} - \bar{a}_{y'}) \rangle \quad (11)$$

where the average is over all the  $N_m$  individual maps. All we are doing here is finding linear combinations of the data that are statistically independent. We find that this matrix is robustly invertible for  $\ell_{\max} \leq 8$  and confine our attention to this straightforward case. We construct the eigenvalues and eigenfunctions of the covariance matrix both over all values of  $\ell$  and separately with  $\ell$  shells. We observe no unusual patterns in these quantities. We were able to improve the stability of the inversion by renormalizing the coefficients within  $\ell$  shells but will not pursue this and other strategies here.

### 5.2 Derived Potential Map

We are now in a position to use Eq. (9) to solve for the Fourier coefficients and exhibit the 3D potential map. We also show the “true”  $\ell_{\max} = 8$  map and the “derived” map which has a figure of merit  $F = ???$ . It can be seen that there are xxx potential maxima and xxx potential minima within the recombination sphere today.

### 5.3 Velocity and Density Maps

We can use these maps to create distributions of the current fractional density perturbation. We appear to be close to a potential maximum with  $\delta\rho/\rho = -???$ . In addition we can create low resolution velocity maps use the perturbation equations and find a value  $\mathbf{v} = ???$  towards ???. The errors are derived on the basis of the simulations discussed above.

### 5.4 The Universe Beyond our Horizon

Interestingly, it is possible to make statements about the universe beyond our current horizon. This is structure that will become visible in our future. This might seem surprising but it is really a consequence of our Gaussian prior. To see this suppose our prior were that there was a single Fourier mode we could then project as far beyond our horizon as the accuracy with which we could determine the amplitude and phase and direction of this mode would allow.

### 5.5 Influence of Residual Galactic Foreground

A major concern relating to this approach is that the large scale structure in our maps is seriously contaminated by the removal of the Galactic foreground. It is encouraging that the structure that we find shows little correlation with major

features within the Galaxy but additional tests are underway to quantify this uncertainty.

## 6 DISCUSSION

In this paper, we have developed a simple approach to mapping the 3D Newtonian potential within the last scattering surface at the epoch of recombination. As the perturbations inferred on the scales we are considering remain quite linear, we can use observations from any part of spacetime on our past lightcone to infer the entire variation within our horizon (and slightly beyond) from the time of inflation to the far future. We have deliberately restricted the input data to CMB temperature fluctuations with  $0 \leq \ell \leq 8$  where the covariance matrix is easily computed and have set aside the abundance of data that could improve the map, or may do so in the future, in order to optimize the method and elucidate the viability and limitations of this approach. The results are encouraging but also bring out how hard it will be to connect detailed maps of small volumes of the universe, especially in our neighbourhood, to structure on the largest scale. We intend to discuss these and closely related matters in the next three papers in this series.

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## References