

Thm. If $(A, <)$ is a well-ordered set
 then $\exists! \alpha$ such that α is ordinal and
 $(A, <)$ is isomorphic to (α, \in)

Proof. uniqueness: by def of isomorphism

Existence Define a function F with domain A by recursion on A by

$$F(x) = \{ F(y) \mid y < x \} \quad \text{such } F \text{ exists by recursive func. thm.}$$

(Formally, $\Phi(x, y, f)$ is the statement that " $\forall z \in y \iff \exists w \in x (z = f(w))$ "

Claim 1 $\forall x \in A$, If $w \in F(x)$, then there is

$y < x$ with $w = F(y)$. By def \Rightarrow image of F is some set

Claim 2 $\forall a : F(a) \not\in F(a)$

Proof by induction.

Assume $\forall b < a : F(b) \not\in F(b)$

AFSOC, if $F(a) \in F(a) \Rightarrow \exists b < a : F(b) = F(a)$

but $F(b) \in F(a) \Rightarrow F(b) \in F(b) \Downarrow$

claim $\forall a, b \in A$, either $f(a) = f(b)$ or
 $f(a) \in f(b)$ or $f(b) \in f(a)$

Proof. By $(A, <)$ well ordered:

either $a = b \Rightarrow f(a) = f(b)$ or
 $a < b \Rightarrow f(a) \in f(b)$ or
 $b < a \Rightarrow f(b) \in f(a)$ \square

claim. Let $\alpha = \text{Im}(f) = \{f(a) \mid a \in A\}$
 then α is an ordinal

proof. α is transitive because

if $w \in f(a) \in \alpha$, NTS $w \in \alpha$

Indeed, $\exists b < a$ with $f(b) = w$

so by def, $w = f(b) \in \alpha$

$F: A \rightarrow \alpha$ is order preserving map

between $(A, <)$ and (α, \in)

why? $b < a \Rightarrow f(b) \in f(a)$

Since $f(a) \neq f(a)$, then F is one-to-one

So $(A, <)$ and (α, \in) are isomorphic

via F . It follows that (α, \in) is a well order.

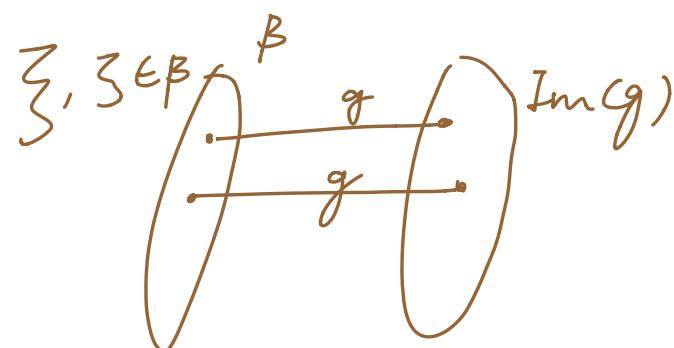
Thm. (Hartog) If X is a set, there is an ordinal α such that there is no injective map from α to X .

e.g. for $X = \omega$ there is an ordinal α such that there exists no injective map $\alpha \hookrightarrow \omega$

i.e. there is ALWAYS a BIGGER set.

proof. Want to construct α recursively, ruling out potential injective map $\alpha \hookrightarrow X$.

Note Given β ordinal and $g: \beta \hookrightarrow X$ injective
we may define an order $<$ on $\text{Img}(g) \subseteq X$ by
 $\zeta \in \Im(g) \Rightarrow g(\zeta) < g(\zeta')$



and $(\text{Im}(g), <)$ is isomorphic to (β, \in)

(we proved that such β is unique;
want to construct α such that it's
"bigger" than any β)

Define $A = \{ \beta \mid \begin{array}{l} \beta \text{ is an ordinal and} \\ \text{there is a subset } C \subseteq X \\ \text{and a well order } < \text{ on } C \\ \text{s.t. } (C, <) \text{ is isomorphic to } \beta \end{array}\}$

why A a set?

first $P(X) \times P(X \times X)$

\uparrow \uparrow
subset of X orders on
($<$ order on C) subsets of X
 $\Rightarrow < \subseteq C \times C \subseteq X \times X$)

$D \subseteq P(X) \times P(X \times X)$

"

$\{(C, <) \mid C \subseteq X \text{ and } < \text{ is a well order on } C\}$ by comprehension

Note:

(Replacement requires unique mapping,
otherwise could map to unbound objects)

By replacement, A is a set.

N.T.S. 1) A is an ordinal

2) there is no injective map $A \hookrightarrow X$

Assume 1), NTS 2)

AFSOC, let $g: A \hookrightarrow X$

use g to define a well order \prec on

$C = \text{Im}(g) \subseteq X$. Then by def, get $A \in A$
but A is ordinal \Downarrow

Lecture 12-2/10

Pf of 4 α is transitive by defn. If $w \in F(a) \in \alpha$, then $w = F(b)$, $b \in a$ and $F(b) \in \alpha$

($\forall \beta \in \alpha$) β well-ordering: with $\beta < \gamma \iff \beta \in \gamma$

$\text{no } F: A \rightarrow \alpha$ is order preserving. Since $F(a) \notin F(a) \Rightarrow F$ is 1-to-1

So (A, \in) and (α, \in) are isomorphic via F . It follows that (α, \in) is a well-order (on HW).

Thm (Hartog): If X is a set, there is an ordinal α s.t.

there is no injective map from α to X

e.g. for $X = \omega$ there is an ordinal α s.t. there is no injective map

$\alpha \hookrightarrow \omega$ (α is not countable)

Pf Want to construct α recursively, ruling out potential injective maps.

Note: given β an ordinal and $g: \beta \rightarrow X$ injective.

We may define an order \prec on $\text{Im}(g) \subseteq X$ by $\xi \in S \Rightarrow g(\xi) \prec g(\zeta), \xi, \zeta \in \beta$ and $(\text{Im}(g), \prec)$ is isomorphic (B, \in)

Define $A = \{\beta \mid \beta \text{ is an ordinal and there is a subset } C \subseteq X \text{ and } \exists \text{ well-order on } C \text{ s.t. } (C, \prec) \text{ is isomorphic to } (B, \in)\}$

Why is A a set? First $P(X) \times P(X \times X)$

WTS A is an ordinal and there is no injective map $A \rightarrow X$.

Assume A an ordinal. If $g: A \rightarrow X$, g injective, use g to

define a well-order \prec on $\text{Im}(g)$. Then by defn $\text{Im}(g) \not\in A$ (shown in HW)

WTS A an ordinal. Since A is a set of ordinals, \in is a well-ordering,

This is b/c we saw for any 2 ordinals α, β either $\alpha = \beta$, $\alpha \in \beta$ or $\beta \in \alpha$.

In HW, if α is an ordinal, then $\alpha \notin \alpha$. If α is an ordinal, then α

is transitive by defn. ($y \in \cup \beta \Rightarrow y \in \beta$). So \in is irreflexive, transitive, and total/linear

\in is a well-order b/c if $Y \subseteq A$, $Y \neq \emptyset$ w/ some $\alpha \in Y$. If α is minimal then

we're done. Otherwise, $\alpha \cap X \neq \emptyset$, $\alpha \cap X \subseteq \alpha$ nonempty and there is a minimal element $b \in \alpha \cap X$.

Note: If B is any set of ordinals, \in is a well-ordering of B . (justified above)

WTS \in is transitive. If $\beta \in \alpha \in A$, $\beta \in A$. Let $\beta \in \alpha$, $\alpha \in A \Rightarrow$

$\exists C \subseteq X$ and well-order on C s.t. $(C, \in) \cong (\alpha, \in)$. Fix an $f: \alpha \rightarrow C$ order-pres. bij.

Then $f[B]$ will be order-preserving, bij and $\text{Im}(f[B]) \subseteq C \subseteq X \hookrightarrow \beta \in A$

Lecture 13-2/12

defn $|A| \leq |B|$ if there exists $g: A \rightarrow B$ injective

Thm (Cantor-Schröder-Bernstein): $|A| \leq |B| \wedge |B| \geq |A| \Rightarrow |A|=|B|$

defn A is countable if $|A| \leq |\omega|$

note/fact: If $|A| \leq |\omega|$ and A is infinite $|A|=|\omega|$

Cantor: $\forall A, |P(A)| > |A|$ (there is no surjective map $A \rightarrow P(A)$)

$$- |P(\omega)| = 2^\omega = |\mathbb{R}|$$

Continuum Hypothesis (Cantor): " \mathbb{R} " is the minimal uncountable size.

i.e. $\forall X \subseteq \mathbb{R}$ either $|X| \leq |\omega|$ or $|X|=|\mathbb{R}|$

defn a set $X \subseteq \mathbb{R}$ is closed if for any $x_1, x_2, \dots \in X$ if

$x = \lim_{n \rightarrow \infty} x_n$ exists, then $x \in X$.

ex $[0, 1]$, $[0, 1] \cup [3, 5]$

$$\{\frac{1}{n} \mid n \in \omega\} \cup \{0\}$$

Thm If $X \subseteq \mathbb{R}$, X closed, then either $|X| \leq |\omega|$ or $|X|=|\mathbb{R}|$

Reminder a set $X \subseteq \mathbb{R}$ is closed if whenever $x_i \in X$, $\lim_{i \rightarrow \infty} x_i = x$ then $x \in X$

Goal (Cantor-Bernixson)

If $X \subseteq \mathbb{R}$ is closed then $|X| \leq |w|$ or $|X| = |\mathbb{R}|$

Def A set $X \subseteq \mathbb{R}$ is perfect if it is closed and has no isolated points.

A point $x \in X$ is isolated if there is some interval I such that $I \cap X = \{x\}$

Examples

$$X = \{0\} \cup \left\{ \frac{1}{n}, n \in \mathbb{N} \right\}$$

0 is isolated on X , but others aren't

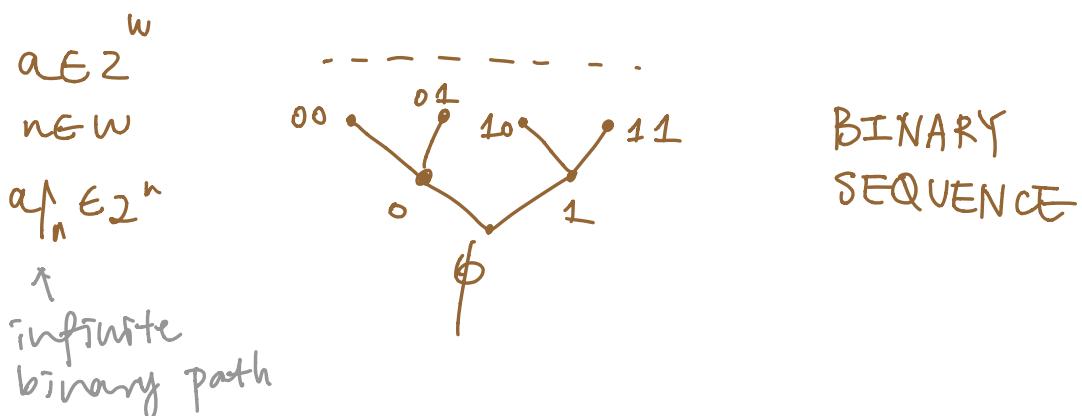
$[0, 1]$ is perfect.

$x \in X$ is NOT isolated if for any $N \in \mathbb{N}$, can find $y \in X \cap (x - \frac{1}{N}, x + \frac{1}{N})$ with $y \neq x$

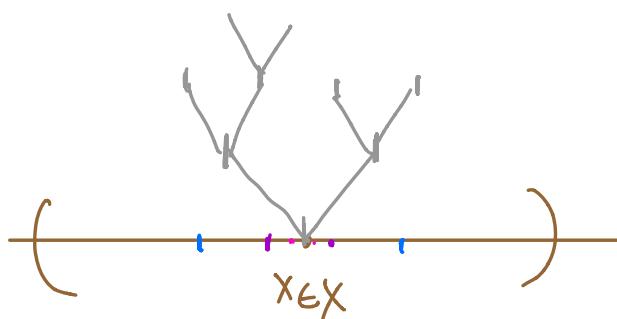
$x \in X$ is NOT isolated if we can find $x_n \in X$, $x_n \neq x$ such that $\lim_{n \rightarrow \infty} x_n = x$

Lemma. If $X \subseteq \mathbb{R}$ is perfect, $X \neq \emptyset$, then

$$|2^w| \leq |X| \quad (\text{Given } |2^w| = |\mathbb{R}|, \\ \text{we conclude } |X| = |\mathbb{R}|)$$



X is perfect, $X \neq \emptyset$



Reminder: $|w \times w| = |w|$

We will construct intervals I_t for $t \in 2^n$, $n \in \omega$, such that

- 1) $I_t \cap X \neq \emptyset$
- 2) $\overline{I}_t \cap \overline{I}_s = \emptyset$, $\underbrace{s, t \in 2^n}_{\text{at some level}}$ disjoint intervals
- 3) If $s \in 2^n$, $t \in 2^m$ and $s = t \upharpoonright_n$, $n < m$
then $\overline{I}_t \subseteq I_s$ closed interval
- 4) $\forall n \quad \forall t \in 2^n \quad \text{diam}(I_t) < \frac{1}{2^n}$, $\text{diam}(x, y) = |y - x|$

Why would this suffice?

Given such I_t , $t \in 2^n$, $n \in \omega$

Note: $\forall b \in 2^\omega, \forall n < m \quad \overline{I}_{b \upharpoonright_m} \subseteq I_{b \upharpoonright_n}$

$$\bigcap_{n \in \omega} I_{b \upharpoonright_n} = \bigcap_{n \in \omega} \overline{I}_{b \upharpoonright_n} \neq \emptyset$$

Completeness of \mathbb{R}

Fact: If $A_1 \supseteq A_2 \supseteq A_3 \supseteq \dots$, $A_i \subseteq \mathbb{R}$

A_i is closed, then $\bigcap_{i=1}^{\infty} A_i \neq \emptyset$

By 4), $\left| \bigcap_{n \in \omega} I_{b \upharpoonright_n} \right| = 1 \quad \bigcap_{n \in \omega} I_{b \upharpoonright_n} = \{x_b\}$

Define $f: 2^\omega$