

# Minimal CBC Casper Isabelle/HOL proofs

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**theory** *Strict-Order*

**imports** *Main*

**begin**

**notation** *Set.empty* ( $\emptyset$ )

**definition** *strict-partial-order*  $r \equiv \text{trans } r \wedge \text{irrefl } r$

**definition** *strict-well-order-on*  $A \ r \equiv \text{strict-linear-order-on } A \ r \wedge \text{wf } r$

**lemma** *strict-linear-order-is-strict-partial-order* :  
   $\text{strict-linear-order-on } A \ r \implies \text{strict-partial-order } r$   
**by** (*simp add: strict-linear-order-on-def strict-partial-order-def*)

**definition** *upper-bound-on*  $:: 'a \text{ set} \Rightarrow 'a \text{ rel} \Rightarrow 'a \Rightarrow \text{bool}$   
**where**  
   $\text{upper-bound-on } A \ r \ x = (\forall \ y. \ y \in A \longrightarrow (y, x) \in r \vee x = y)$

**definition** *maximum-on*  $:: 'a \text{ set} \Rightarrow 'a \text{ rel} \Rightarrow 'a \Rightarrow \text{bool}$   
**where**

$\text{maximum-on } A \ r \ x = (x \in A \wedge \text{upper-bound-on } A \ r \ x)$

**definition**  $\text{minimal-on} :: 'a \ \text{set} \Rightarrow 'a \ \text{rel} \Rightarrow 'a \Rightarrow \text{bool}$

**where**

$\text{minimal-on } A \ r \ x = (x \in A \wedge (\forall y. (y, x) \in r \longrightarrow y \notin A))$

**definition**  $\text{maximal-on} :: 'a \ \text{set} \Rightarrow 'a \ \text{rel} \Rightarrow 'a \Rightarrow \text{bool}$

**where**

$\text{maximal-on } A \ r \ x = (x \in A \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A))$

**lemma**  $\text{maximal-and-maximum-coincide-for-strict-linear-order} :$

$\text{strict-linear-order-on } A \ r \Longrightarrow \text{maximal-on } A \ r \ x = \text{maximum-on } A \ r \ x$

**apply** ( $\text{simp add: strict-linear-order-on-def irreft-def total-on-def trans-def maximal-on-def maximum-on-def upper-bound-on-def}$ )

**by**  $\text{blast}$

**lemma**  $\text{strict-partial-order-on-finite-non-empty-set-has-maximal} :$

$\text{strict-partial-order } r \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. \text{maximal-on } A \ r \ x)$

**proof** –

**have**  $\bigwedge n. \text{strict-partial-order } r \Longrightarrow (\forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. \text{maximal-on } A \ r \ x))$

**proof** –

**assume**  $\text{strict-partial-order } r$

**then have**  $(\forall a. (a, a) \notin r)$

**by** ( $\text{simp add: strict-partial-order-def irreft-def}$ )

**fix**  $n$

**show**  $\forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. \text{maximal-on } A \ r \ x)$

**apply** ( $\text{induction } n$ )

**unfolding**  $\text{maximal-on-def}$

**using**  $\langle (\forall a. (a, a) \notin r) \rangle$

**apply** ( $\text{metis card-eq-SucD empty-iff insert-iff}$ )

**proof** –

**fix**  $n$

**assume**  $\forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. x \in A \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A))$

**have**  $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset \longrightarrow (\exists A' b. B = A' \cup \{b\} \wedge \text{card } A' = \text{Suc } n \wedge b \notin A')$

**by** ( $\text{metis Un-commute add-diff-cancel-left' card-gt-0-iff card-insert-disjoint card-le-Suc-iff insert-is-Un not-le not-less-eq-eq plus-1-eq-Suc}$ )

**then have**  $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset \longrightarrow (\exists A' b. B = A' \cup \{b\} \wedge \text{card } A' = \text{Suc } n \wedge \text{finite } A' \wedge A' \neq \emptyset \wedge b \notin A')$

**by** ( $\text{metis card-gt-0-iff zero-less-Suc}$ )

**then have**  $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset$

$\longrightarrow (\exists A' b x. B = A' \cup \{b\} \wedge b \notin A' \wedge x \in A' \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A'))$

**using**  $\langle \forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. x \in A \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A)) \rangle$

**by**  $\text{metis}$

**then show**  $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset \longrightarrow (\exists x. x \in B \wedge (\forall y. (x, y) \in r \longrightarrow y \notin B))$   
**by** (*metis* (*no-types*, *lifting*) *Un-insert-right*  $\langle \forall a. (a, a) \notin r \rangle$  (*strict-partial-order* *r*) *insertE* *insert-iff* *strict-partial-order-def* *sup-bot.right-neutral* *transE*)  
**qed**  
**qed**  
**then show** *?thesis*  
**by** (*metis* *card.insert-remove* *finite.cases*)  
**qed**

**lemma** *strict-partial-order-has-at-most-one-maximum* :

$\text{strict-partial-order } r$   
 $\longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset$   
 $\longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}$   
**proof** (*rule ccontr*)  
**assume**  $\neg (\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\})$   
**then have**  $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow \neg \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}$   
**by** *simp*  
**then have**  $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow (\exists x1 \ x2. x1 \neq x2 \wedge \{x1, x2\} \subseteq \{x. \text{maximum-on } A \ r \ x\})$   
**by** (*meson* *empty-subsetI* *insert-subset* *is-singletonI*)  
**then have**  $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow (\exists x1 \ x2. x1 \neq x2 \wedge \{x1, x2\} \subseteq \{x \in A. \forall y. y \in A \longrightarrow (y, x) \in r \vee x = y\})$   
**by** (*simp* *add: maximum-on-def* *upper-bound-on-def*)  
**then have**  $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow (\exists x1 \ x2. x1 \neq x2 \wedge \{x1, x2\} \subseteq A \wedge (\forall y. y \in A \longrightarrow (y, x1) \in r \vee x1 = y) \wedge (\forall y. y \in A \longrightarrow (y, x2) \in r \vee x2 = y))$   
**by** *auto*  
**then show** *False*  
**using** *strict-partial-order-def*  
  
**by** (*metis*  $\langle \neg (\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}) \rangle$  *insert-subset* *irrefl-def* *transE*)  
**qed**

**lemma** *strict-linear-order-on-finite-non-empty-set-has-one-maximum* :

$\text{strict-linear-order-on } A \ r \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}$   
**using** *strict-linear-order-is-strict-partial-order* *strict-partial-order-on-finite-non-empty-set-has-maximal*

$\text{strict-partial-order-has-at-most-one-maximum}$  *maximal-and-maximum-coincide-for-strict-linear-order*  
**by** *fastforce*

**definition** *upper-bound-on-non-strict* :: 'a set  $\Rightarrow$  'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool

**where**

*upper-bound-on-non-strict* A r x = ( $\forall$  y. y  $\in$  A  $\longrightarrow$  (y, x)  $\in$  r)

**definition** *maximum-on-non-strict* :: 'a set  $\Rightarrow$  'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool

**where**

*maximum-on-non-strict* A r x = (x  $\in$  A  $\wedge$  *upper-bound-on-non-strict* A r x)

**definition** *maximal-on-non-strict* :: 'a set  $\Rightarrow$  'a rel  $\Rightarrow$  'a  $\Rightarrow$  bool

**where**

*maximal-on-non-strict* A r x = (x  $\in$  A  $\wedge$  ( $\forall$  y. y  $\in$  A  $\longrightarrow$  (y, x)  $\in$  r  $\vee$  (x, y)  $\notin$  r))

**lemma** *preorder-on-finite-non-empty-set-has-maximal* :

*preorder-on* A r  $\longrightarrow$  finite A  $\longrightarrow$  A  $\neq$   $\emptyset$   $\longrightarrow$  ( $\exists$  x. *maximal-on-non-strict* A r x)

**proof** –

**have**  $\bigwedge n$ . *preorder-on* A r  $\implies$  ( $\forall$  A. Suc n = card A  $\longrightarrow$  finite A  $\longrightarrow$  A  $\neq$   $\emptyset$   $\longrightarrow$  ( $\exists$  x. *maximal-on-non-strict* A r x))

**proof** –

**fix** n

**assume** *preorder-on* A r

**show**  $\forall$  A. Suc n = card A  $\longrightarrow$  finite A  $\longrightarrow$  A  $\neq$   $\emptyset$   $\longrightarrow$  ( $\exists$  x. *maximal-on-non-strict* A r x)

**apply** (*induction* n)

**unfolding** *maximal-on-non-strict-def*

**apply** (*metis* card-eq-SucD singletonD singletonI)

**proof** –

**fix** n

**assume**  $\forall$  A. Suc n = card A  $\longrightarrow$  finite A  $\longrightarrow$  A  $\neq$   $\emptyset$   $\longrightarrow$  ( $\exists$  x. x  $\in$  A  $\wedge$  ( $\forall$  y. y  $\in$  A  $\longrightarrow$  (y, x)  $\in$  r  $\vee$  (x, y)  $\notin$  r))

**have**  $\forall$  B. Suc (Suc n) = card B  $\longrightarrow$  finite B  $\longrightarrow$  B  $\neq$   $\emptyset$   $\longrightarrow$  ( $\exists$  A' b. B = A'  $\cup$  {b}  $\wedge$  card A' = Suc n  $\wedge$  b  $\notin$  A')

**by** (*metis* Un-commute add-diff-cancel-left' card-gt-0-iff card-insert-disjoint card-le-Suc-iff insert-is-Un not-le not-less-eq-eq plus-1-eq-Suc)

**then have**  $\forall$  B. Suc (Suc n) = card B  $\longrightarrow$  finite B  $\longrightarrow$  B  $\neq$   $\emptyset$

$\longrightarrow$  ( $\exists$  A' b. B = A'  $\cup$  {b}  $\wedge$  card A' = Suc n  $\wedge$  finite A'  $\wedge$  A'  $\neq$   $\emptyset$   $\wedge$  b  $\notin$  A')

**by** (*metis* card-gt-0-iff zero-less-Suc)

**then have**  $\forall$  B. Suc (Suc n) = card B  $\longrightarrow$  finite B  $\longrightarrow$  B  $\neq$   $\emptyset$

$\longrightarrow$  ( $\exists$  A' b x. B = A'  $\cup$  {b}  $\wedge$  b  $\notin$  A'  $\wedge$  x  $\in$  A'  $\wedge$  ( $\forall$  y. y  $\in$  A'  $\longrightarrow$  (y, x)  $\in$  r  $\vee$  (x, y)  $\notin$  r))

**using** ( $\forall$  A. Suc n = card A  $\longrightarrow$  finite A  $\longrightarrow$  A  $\neq$   $\emptyset$   $\longrightarrow$  ( $\exists$  x. x  $\in$  A  $\wedge$  ( $\forall$  y. y  $\in$  A  $\longrightarrow$  (y, x)  $\in$  r  $\vee$  (x, y)  $\notin$  r)))

**by** *metis*

**then show**  $\forall$  B. Suc (Suc n) = card B  $\longrightarrow$  finite B  $\longrightarrow$  B  $\neq$   $\emptyset$   $\longrightarrow$  ( $\exists$  x. x  $\in$  B  $\wedge$  ( $\forall$  y. y  $\in$  B  $\longrightarrow$  (y, x)  $\in$  r  $\vee$  (x, y)  $\notin$  r))

```

      by (metis (no-types, lifting) Un-insert-right ⟨preorder-on A r⟩ insertE
insert-iff preorder-on-def sup-bot.right-neutral transE)
    qed
  qed
  then show ?thesis
    by (metis card.insert-remove finite.cases)
  qed

end

lemma connex-preorder-on-finite-non-empty-set-has-maximum :
  preorder-on A r ∧ total-on A r ⟶ finite A ⟶ A ≠ ∅ ⟶ (∃ x. maximum-on-non-strict
A r x)
  apply (simp add: total-on-def maximum-on-non-strict-def upper-bound-on-non-strict-def
maximal-on-non-strict-def)
  by (metis maximal-on-non-strict-def order-on-defs(1) preorder-on-finite-non-empty-set-has-maximal
refl-onD)

end

```

## 1 CBC Casper

```
theory CBCCasper
```

```
imports Main HOL.Real Libraries/Strict-Order Libraries/Restricted-Predicates Li-
braries/LaTeXsugar
```

```
begin
```

```
notation Set.empty (∅)
```

```
typedecl validator
```

```
typedecl consensus-value
```

```
datatype message =
  Message consensus-value * validator * message list
```

```
type-synonym state = message set
```

**fun** *sender* :: *message*  $\Rightarrow$  *validator*

**where**

*sender* (*Message* (-, *v*, -)) = *v*

**fun** *est* :: *message*  $\Rightarrow$  *consensus-value*

**where**

*est* (*Message* (*c*, -, -)) = *c*

**fun** *justification* :: *message*  $\Rightarrow$  *state*

**where**

*justification* (*Message* (-, -, *s*)) = *set s*

**fun**

$\Sigma i :: (\text{validator set} \times \text{consensus-value set} \times (\text{message set} \Rightarrow \text{consensus-value set})) \Rightarrow \text{nat} \Rightarrow \text{state set}$  **and**

$M i :: (\text{validator set} \times \text{consensus-value set} \times (\text{message set} \Rightarrow \text{consensus-value set})) \Rightarrow \text{nat} \Rightarrow \text{message set}$

**where**

$\Sigma i (V, C, \varepsilon) 0 = \{\emptyset\}$

$|\Sigma i (V, C, \varepsilon) n = \{\sigma \in \text{Pow } (M i (V, C, \varepsilon) (n - 1)). \text{finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)\}$

$|\ M i (V, C, \varepsilon) n = \{m. \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m \in (\Sigma i (V, C, \varepsilon) n) \wedge \text{est } m \in \varepsilon (\text{justification } m)\}$

**locale** *Params* =

**fixes** *V* :: *validator set*

**and** *W* :: *validator*  $\Rightarrow$  *real*

**and** *t* :: *real*

**fixes** *C* :: *consensus-value set*

**and**  $\varepsilon :: \text{message set} \Rightarrow \text{consensus-value set}$

**begin**

**definition**  $\Sigma = (\bigcup_{i \in \mathbb{N}} \Sigma i (V, C, \varepsilon) i)$

**definition**  $M = (\bigcup_{i \in \mathbb{N}} M i (V, C, \varepsilon) i)$

**definition** *is-valid-estimator* :: (*state*  $\Rightarrow$  *consensus-value set*)  $\Rightarrow$  *bool*

**where**

*is-valid-estimator* *e* =  $(\forall \sigma \in \Sigma. e \sigma \in \text{Pow } C - \{\emptyset\})$

**lemma**  $\Sigma i\text{-subset-}M i$ :  $\Sigma i (V, C, \varepsilon) (n + 1) \subseteq \text{Pow } (M i (V, C, \varepsilon) n)$

**by** *force*

**lemma**  $\Sigma i\text{-subset-to-}M i$ :  $\Sigma i (V, C, \varepsilon) n \subseteq \Sigma i (V, C, \varepsilon) (n+1) \Longrightarrow M i (V, C, \varepsilon) n \subseteq M i (V, C, \varepsilon) (n+1)$

**by** *auto*

**lemma**  $M i\text{-subset-to-}\Sigma i$ :  $M i (V, C, \varepsilon) n \subseteq M i (V, C, \varepsilon) (n+1) \Longrightarrow \Sigma i (V, C, \varepsilon)$

```

(n+1) ⊆ Σi (V, C, ε) (n+2)
  by auto

lemma Σi-monotonic: Σi (V, C, ε) n ⊆ Σi (V, C, ε) (n+1)
  apply (induction n)
  apply simp
  apply (metis Mi-subset-to-Σi Suc-eq-plus1 Σi-subset-to-Mi add commute add-2-eq-Suc)
  done

lemma Mi-monotonic: Mi (V, C, ε) n ⊆ Mi (V, C, ε) (n+1)
  apply (induction n)
  defer
  using Σi-monotonic Σi-subset-to-Mi apply blast
  apply auto
  done

lemma Σi-monotonicity: ∀ m ∈ ℕ. ∀ n ∈ ℕ. m ≤ n ⟶ Σi (V, C, ε) m ⊆ Σi
(V, C, ε) n
  using Σi-monotonic
  by (metis Suc-eq-plus1 lift-Suc-mono-le)

lemma Mi-monotonicity: ∀ m ∈ ℕ. ∀ n ∈ ℕ. m ≤ n ⟶ Mi (V, C, ε) m ⊆ Mi
(V, C, ε) n
  using Mi-monotonic
  by (metis Suc-eq-plus1 lift-Suc-mono-le)

lemma message-is-in-Mi :
  ∀ m ∈ M. ∃ n ∈ ℕ. m ∈ Mi (V, C, ε) (n - 1)
  apply (simp add: M-def Σi.elims)
  by (metis Nats-1 Nats-add One-nat-def diff-Suc-1 plus-1-eq-Suc)

lemma state-is-in-pow-Mi :
  ∀ σ ∈ Σ. (∃ n ∈ ℕ. σ ∈ Pow (Mi (V, C, ε) (n - 1)) ∧ (∀ m ∈ σ. justification
m ⊆ σ))
  apply (simp add: Σ-def)

  apply auto
  proof -
    fix y :: nat and σ :: message set
    assume a1: σ ∈ Σi (V, C, ε) y
    assume a2: y ∈ ℕ
    have σ ⊆ Mi (V, C, ε) y
      using a1 by (meson Params.Σi-monotonic Params.Σi-subset-Mi Pow-iff
contra-subsetD)
    then have ∃ n. n ∈ ℕ ∧ σ ⊆ Mi (V, C, ε) (n - 1)
      using a2 by (metis (no-types) Nats-1 Nats-add diff-Suc-1 plus-1-eq-Suc)
    then show ∃ n ∈ ℕ. σ ⊆ {m. est m ∈ C ∧ sender m ∈ V ∧ justification m
∈ Σi (V, C, ε) (n - Suc 0) ∧ est m ∈ ε (justification m)}

```

```

    by auto
  next
    show  $\bigwedge y \sigma m x. y \in \mathbf{N} \implies \sigma \in \Sigma i (V, C, \varepsilon) y \implies m \in \sigma \implies x \in$ 
justification  $m \implies x \in \sigma$ 
    using Params.Σi-monotonic by fastforce
  qed

lemma message-is-in-Mi-n :
   $\forall m \in M. \exists n \in \mathbf{N}. m \in Mi (V, C, \varepsilon) n$ 
  by (smt Mi-monotonic Suc-diff-Suc add-leE diff-add diff-le-self message-is-in-Mi
neq0-conv plus-1-eq-Suc subsetCE zero-less-diff)

lemma message-in-state-is-valid :
   $\forall \sigma m. \sigma \in \Sigma \wedge m \in \sigma \longrightarrow m \in M$ 
  apply (rule, rule, rule)
proof -
  fix  $\sigma m$ 
  assume  $\sigma \in \Sigma \wedge m \in \sigma$ 
  have
     $\exists n \in \mathbf{N}. m \in Mi (V, C, \varepsilon) n$ 
     $\implies m \in M$ 
    using M-def by blast
  then show
     $m \in M$ 
    apply (simp add: M-def)
    by (smt Mi.simps Params.Σi-monotonic PowD Suc-diff-Suc  $\langle \sigma \in \Sigma \wedge m \in$ 
 $\sigma \rangle$  add-leE diff-add diff-le-self gr0I mem-Collect-eq plus-1-eq-Suc state-is-in-pow-Mi
subsetCE zero-less-diff)
  qed

lemma state-is-subset-of-M :  $\forall \sigma \in \Sigma. \sigma \subseteq M$ 
  using message-in-state-is-valid by blast

lemma state-is-finite :  $\forall \sigma \in \Sigma. \text{finite } \sigma$ 
  apply (simp add:  $\Sigma$ -def)
  using Params.Σi-monotonic by fastforce

lemma justification-is-finite :  $\forall m \in M. \text{finite } (\text{justification } m)$ 
  apply (simp add: M-def)
  using Params.Σi-monotonic by fastforce

lemma Σis-subseteq-of-pow-M :  $\Sigma \subseteq \text{Pow } M$ 
  by (simp add: state-is-subset-of-M subsetI)

lemma M-type :  $\bigwedge m. m \in M \implies \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m$ 
 $\in \Sigma$ 
  unfolding M-def  $\Sigma$ -def
  by auto

```



**end**

**locale** *Protocol* = *Params* +  
**assumes** *V-type*:  $V \neq \emptyset \wedge \text{finite } V$   
**and** *W-type*:  $\forall v \in V. W v > 0$   
**and** *t-type*:  $0 \leq t \ t < \text{sum } W \ V$   
**and** *C-type*:  $\text{card } C > 1$   
**and**  *$\varepsilon$ -type*: *is-valid-estimator*  $\varepsilon$

**lemma** (**in** *Protocol*) *estimates-are-non-empty*:  $\bigwedge \sigma. \sigma \in \Sigma \implies \varepsilon \sigma \neq \emptyset$   
**using** *is-valid-estimator-def*  *$\varepsilon$ -type* **by** *auto*

**lemma** (**in** *Protocol*) *estimates-are-subset-of-C*:  $\bigwedge \sigma. \sigma \in \Sigma \implies \varepsilon \sigma \subseteq C$   
**using** *is-valid-estimator-def*  *$\varepsilon$ -type* **by** *auto*

**lemma** (**in** *Params*) *empty-set-exists-in- $\Sigma$ -0*:  $\emptyset \in \Sigma i \ (V, C, \varepsilon) \ 0$   
**by** *simp*

**lemma** (**in** *Params*) *empty-set-exists-in- $\Sigma$* :  $\emptyset \in \Sigma$   
**apply** (*simp add*:  $\Sigma\text{-def}$ )  
**using** *Nats-0*  $\Sigma i.\text{sims}(1)$  **by** *blast*

**lemma** (**in** *Params*)  *$\Sigma i$ -is-non-empty*:  $\Sigma i \ (V, C, \varepsilon) \ n \neq \emptyset$   
**apply** (*induction n*)  
**using** *empty-set-exists-in- $\Sigma$ -0* **by** *auto*

**lemma** (**in** *Params*)  *$\Sigma$ is-non-empty*:  $\Sigma \neq \emptyset$   
**using** *empty-set-exists-in- $\Sigma$*  **by** *blast*

**lemma** (**in** *Protocol*) *estimates-exists-for-empty-set* :  
 $\varepsilon \emptyset \neq \emptyset$   
**by** (*simp add*: *empty-set-exists-in- $\Sigma$*  *estimates-are-non-empty*)

**lemma** (**in** *Protocol*) *non-justifying-message-exists-in-M-0*:  
 $\exists m. m \in Mi \ (V, C, \varepsilon) \ 0 \wedge \text{justification } m = \emptyset$   
**apply** *auto*  
**proof** –  
**have**  $\varepsilon \emptyset \subseteq C$   
**using** *Params.empty-set-exists-in- $\Sigma$*   *$\varepsilon$ -type* *is-valid-estimator-def* **by** *auto*  
**then show**  $\exists m. \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m = \emptyset \wedge \text{est } m \in \varepsilon$   
 $(\text{justification } m) \wedge \text{justification } m = \emptyset$   
**by** (*metis* *V-type* *all-not-in-conv* *est.sims* *estimates-exists-for-empty-set* *justification.sims* *sender.sims* *set-empty subsetCE*)  
**qed**

**lemma** (**in** *Protocol*) *Mi-is-non-empty*:  $Mi \ (V, C, \varepsilon) \ n \neq \emptyset$   
**apply** (*induction n*)  
**using** *non-justifying-message-exists-in-M-0* **apply** *auto*

```

using Mi-monotonic empty-iff empty-subsetI by fastforce

lemma (in Protocol) Mis-non-empty:  $M \neq \emptyset$ 
  using non-justifying-message-exists-in-M-0 M-def Nats-0 by blast

lemma (in Protocol) C-is-not-empty :  $C \neq \emptyset$ 
  using C-type by auto

lemma (in Params)  $\Sigma i$ -is-subset-of- $\Sigma$  :
   $\forall n \in \mathbb{N}. \Sigma i (V, C, \varepsilon) n \subseteq \Sigma$ 
  by (simp add:  $\Sigma$ -def SUP-upper)

lemma (in Protocol) message-justifying-state-in- $\Sigma$ -n-exists-in-M-n :
   $\forall n \in \mathbb{N}. (\forall \sigma. \sigma \in \Sigma i (V, C, \varepsilon) n \longrightarrow (\exists m. m \in Mi (V, C, \varepsilon) n \wedge \text{justification } m = \sigma))$ 
  apply auto
proof -
  fix n  $\sigma$ 
  assume  $n \in \mathbb{N}$ 
  and  $\sigma \in \Sigma i (V, C, \varepsilon) n$ 
  then have  $\sigma \in \Sigma$ 
    using  $\Sigma i$ -is-subset-of- $\Sigma$  by auto
  have  $\varepsilon \sigma \neq \emptyset$ 
    using estimates-are-non-empty  $\langle \sigma \in \Sigma \rangle$  by auto
  have finite  $\sigma$ 
    using state-is-finite  $\langle \sigma \in \Sigma \rangle$  by auto
  moreover have  $\exists m. \text{sender } m \in V \wedge \text{est } m \in \varepsilon \sigma \wedge \text{justification } m = \sigma$ 
    using est.simps sender.simps justification.simps V-type  $\langle \varepsilon \sigma \neq \emptyset \rangle \langle \text{finite } \sigma \rangle$ 
    by (metis all-not-in-conv finite-list)
  moreover have  $\varepsilon \sigma \subseteq C$ 
    using estimates-are-subset-of-C  $\Sigma i$ -is-subset-of- $\Sigma$   $\langle n \in \mathbb{N} \rangle \langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$  by blast
  ultimately show  $\exists m. \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m \in \Sigma i (V, C, \varepsilon) n \wedge \text{est } m \in \varepsilon (\text{justification } m) \wedge \text{justification } m = \sigma$ 
    using Nats-1 One-nat-def
    using  $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$  by blast
qed

lemma (in Protocol)  $\Sigma$ -type:  $\Sigma \subset \text{Pow } M$ 
proof -
  obtain m where  $m \in Mi (V, C, \varepsilon) 0 \wedge \text{justification } m = \emptyset$ 
    using non-justifying-message-exists-in-M-0 by auto
  then have  $\{m\} \in \Sigma i (V, C, \varepsilon) (\text{Suc } 0)$ 
    using Params. $\Sigma i$ -subset-Mi by auto
  then have  $\exists m'. m' \in Mi (V, C, \varepsilon) (\text{Suc } 0) \wedge \text{justification } m' = \{m\}$ 
    using message-justifying-state-in- $\Sigma$ -n-exists-in-M-n Nats-1 One-nat-def by metis
  then obtain m' where  $m' \in Mi (V, C, \varepsilon) (\text{Suc } 0) \wedge \text{justification } m' = \{m\}$ 
    by auto

```

```

then have  $\{m'\} \in \text{Pow } M$ 
using  $M\text{-def}$ 
by ( $\text{metis Nats-1 One-nat-def PowD PowI Pow-bottom UN-I insert-subset}$ )
moreover have  $\{m'\} \notin \Sigma$ 
using  $\text{Params.state-is-in-pow-Mi Protocol-axioms } \langle m' \in \text{Mi } (V, C, \varepsilon) \text{ (Suc 0)}$ 
 $\wedge \text{ justification } m' = \{m\} \rangle$  by  $\text{fastforce}$ 
ultimately show  $?thesis$ 
using  $\Sigma\text{is-subseteq-of-pow-M}$  by  $\text{auto}$ 
qed

```

```

lemma (in  $\text{Protocol}$ )  $M\text{-type-counterexample}$ :
 $(\forall \sigma. \varepsilon \sigma = C) \implies M = \{m. \text{ est } m \in C \wedge \text{ sender } m \in V \wedge \text{ justification } m \in \Sigma\}$ 
apply ( $\text{simp add: } M\text{-def}$ )
apply  $\text{auto}$ 
using  $\Sigma\text{is-subset-of-}\Sigma$  apply  $\text{blast}$ 
by ( $\text{simp add: } \Sigma\text{-def}$ )

```

```

definition  $\text{observed} :: \text{message set} \Rightarrow \text{validator set}$ 
where
 $\text{observed } \sigma = \{\text{sender } m \mid m. m \in \sigma\}$ 

```

```

lemma (in  $\text{Protocol}$ )  $\text{observed-type}$  :
 $\forall \sigma \in \text{Pow } M. \text{ observed } \sigma \in \text{Pow } V$ 
using  $\text{Params.M-type Protocol-axioms observed-def}$  by  $\text{fastforce}$ 

```

```

lemma (in  $\text{Protocol}$ )  $\text{observed-type-for-state}$  :
 $\forall \sigma \in \Sigma. \text{ observed } \sigma \subseteq V$ 
using  $\text{Params.M-type Protocol-axioms observed-def state-is-subset-of-M}$  by  $\text{fastforce}$ 

```

```

fun  $\text{is-future-state} :: (\text{state} * \text{state}) \Rightarrow \text{bool}$ 
where
 $\text{is-future-state } (\sigma 1, \sigma 2) = (\sigma 1 \subseteq \sigma 2)$ 

```

```

lemma (in  $\text{Params}$ )  $\text{state-difference-is-valid-message}$  :
 $\forall \sigma \sigma'. \sigma \in \Sigma \wedge \sigma' \in \Sigma$ 
 $\longrightarrow \text{is-future-state}(\sigma, \sigma')$ 
 $\longrightarrow \sigma' - \sigma \subseteq M$ 
using  $\text{state-is-subset-of-M}$  by  $\text{blast}$ 

```

```

definition  $\text{justified} :: \text{message} \Rightarrow \text{message} \Rightarrow \text{bool}$ 
where
 $\text{justified } m1 \ m2 = (m1 \in \text{justification } m2)$ 

```

**definition** *equivocation* :: (message \* message)  $\Rightarrow$  bool  
**where**  
*equivocation* =  
 $(\lambda(m1, m2). \text{sender } m1 = \text{sender } m2 \wedge m1 \neq m2 \wedge \neg (\text{justified } m1 \ m2) \wedge \neg (\text{justified } m2 \ m1))$

**definition** *is-equivocating* :: state  $\Rightarrow$  validator  $\Rightarrow$  bool  
**where**  
*is-equivocating*  $\sigma$   $v$  =  $(\exists m1 \in \sigma. \exists m2 \in \sigma. \text{equivocation } (m1, m2) \wedge \text{sender } m1 = v)$

**definition** *equivocating-validators* :: state  $\Rightarrow$  validator set  
**where**  
*equivocating-validators*  $\sigma$  =  $\{v \in \text{observed } \sigma. \text{is-equivocating } \sigma \ v\}$

**lemma** (in *Protocol*) *equivocating-validators-type* :  
 $\forall \sigma \in \Sigma. \text{equivocating-validators } \sigma \subseteq V$   
**using** *observed-type-for-state equivocating-validators-def* **by** *blast*

**lemma** (in *Protocol*) *equivocating-validators-is-finite* :  
 $\forall \sigma \in \Sigma. \text{finite } (\text{equivocating-validators } \sigma)$   
**using** *V-type equivocating-validators-type rev-finite-subset* **by** *blast*

**definition** (in *Params*) *equivocating-validators-paper* :: state  $\Rightarrow$  validator set  
**where**  
*equivocating-validators-paper*  $\sigma$  =  $\{v \in V. \text{is-equivocating } \sigma \ v\}$

**lemma** (in *Protocol*) *equivocating-validators-is-equivalent-to-paper* :  
 $\forall \sigma \in \Sigma. \text{equivocating-validators } \sigma = \text{equivocating-validators-paper } \sigma$   
**by** (*smt Collect-cong Params.equivocating-validators-paper-def equivocating-validators-def is-equivocating-def mem-Collect-eq observed-type-for-state observed-def subsetCE*)

**lemma** (in *Protocol*) *equivocation-is-monotonic* :  
 $\forall \sigma \sigma' v. \text{is-future-state } (\sigma, \sigma') \wedge v \in V$   
 $\longrightarrow v \in \text{equivocating-validators } \sigma$   
 $\longrightarrow v \in \text{equivocating-validators } \sigma'$   
**apply** (*simp add: equivocating-validators-def is-equivocating-def*)  
**using** *observed-def* **by** *fastforce*

**lemma** (in *Protocol*) *equivocating-validators-preserved-over-honest-message* :  
 $\forall \sigma m. \sigma \in \Sigma \wedge m \in M$   
 $\longrightarrow \neg \text{is-equivocating } (\sigma \cup \{m\}) \ (\text{sender } m)$   
 $\longrightarrow \text{equivocating-validators } \sigma = \text{equivocating-validators } (\sigma \cup \{m\})$   
**apply** (*simp add: equivocating-validators-def is-equivocating-def observed-def equivocation-def*)

**by** *auto*

**definition** (in *Params*) *weight-measure* :: *validator set*  $\Rightarrow$  *real*  
**where**

$$\text{weight-measure } v\text{-set} = \text{sum } W \text{ } v\text{-set}$$

**lemma** (in *Params*) *weight-measure-subset-minus* :  
*finite A*  $\Rightarrow$  *finite B*  $\Rightarrow A \subseteq B$   
 $\Rightarrow \text{weight-measure } B - \text{weight-measure } A = \text{weight-measure } (B - A)$   
**apply** (*simp add: weight-measure-def*)  
**by** (*simp add: sum-diff*)

**lemma** (in *Params*) *weight-measure-strict-subset-minus* :  
*finite A*  $\Rightarrow$  *finite B*  $\Rightarrow A \subset B$   
 $\Rightarrow \text{weight-measure } B - \text{weight-measure } A = \text{weight-measure } (B - A)$   
**apply** (*simp add: weight-measure-def*)  
**by** (*simp add: sum-diff*)

**lemma** (in *Params*) *weight-measure-disjoint-plus* :  
*finite A*  $\Rightarrow$  *finite B*  $\Rightarrow A \cap B = \emptyset$   
 $\Rightarrow \text{weight-measure } A + \text{weight-measure } B = \text{weight-measure } (A \cup B)$   
**apply** (*simp add: weight-measure-def*)  
**by** (*simp add: sum.union-disjoint*)

**lemma** (in *Protocol*) *weight-positive* :  
 $A \subseteq V \Rightarrow \text{weight-measure } A \geq 0$   
**apply** (*simp add: weight-measure-def*)  
**using** *W-type*  
**by** (*smt subsetCE sum-nonneg*)

**lemma** (in *Protocol*) *weight-gte-diff* :  
 $A \subseteq V \Rightarrow \text{weight-measure } B \geq \text{weight-measure } B - \text{weight-measure } A$   
**using** *weight-positive* **by** *auto*

**lemma** (in *Protocol*) *weight-measure-subset-gte-diff* :  
 $A \subseteq V \Rightarrow A \subseteq B \Rightarrow \text{weight-measure } B \geq \text{weight-measure } (B - A)$   
**using** *weight-measure-subset-minus V-type weight-gte-diff*  
**by** (*smt finite-Diff2 finite-subset sum.infinite weight-measure-def*)

**lemma** (in *Protocol*) *weight-measure-subset-gte* :  
 $B \subseteq V \Rightarrow A \subseteq B \Rightarrow \text{weight-measure } B \geq \text{weight-measure } A$   
**using** *W-type V-type*  
**apply** (*simp add: weight-measure-def*)

by (smt DiffD1 Params.weight-measure-def finite-subset subsetCE sum-nonneg weight-measure-subset-minus)

**lemma** (in Protocol) weight-measure-strict-subset-gt :

$B \subseteq V \implies A \subset B \implies \text{weight-measure } B > \text{weight-measure } A$

**proof** –

fix A B

assume  $B \subseteq V$

and  $A \subset B$

then have  $A \subset V$

by auto

have  $\text{finite } A \wedge \text{finite } B$

using V-type finite-subset  $\langle B \subseteq V \rangle \langle A \subset B \rangle$  by auto

have  $B - A \neq \emptyset \wedge B - A \subseteq V$

using  $\langle A \subset B \rangle \langle B \subseteq V \rangle$

by blast

then have  $\text{weight-measure } (B - A) > 0$

using W-type

apply (simp add: weight-measure-def)

by (meson Diff-eq-empty-iff V-type rev-finite-subset subset-eq sum-pos)

have  $\text{weight-measure } B = \text{weight-measure } (B - A) + \text{weight-measure } A$

using weight-measure-strict-subset-minus  $\langle B \subseteq V \rangle \langle A \subset B \rangle \langle \text{finite } A \wedge \text{finite } B \rangle$

B)

by fastforce

then show  $\text{weight-measure } B > \text{weight-measure } A$

using  $\langle \text{weight-measure } (B - A) > 0 \rangle$

by linarith

qed

**definition** (in Params) equivocation-fault-weight :: state  $\Rightarrow$  real

where

$\text{equivocation-fault-weight } \sigma = \text{weight-measure } (\text{equivocating-validators } \sigma)$

**lemma** (in Protocol) equivocation-fault-weight-is-monotonic :

$\forall \sigma \sigma'. \sigma \in \Sigma \wedge \sigma' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma')$

$\longrightarrow \text{equivocation-fault-weight } \sigma \leq \text{equivocation-fault-weight } \sigma'$

using equivocation-is-monotonic weight-measure-subset-gte

by (smt equivocating-validators-is-finite equivocating-validators-type equivocation-fault-weight-def subset-iff)

**definition** (in Params) is-faults-lt-threshold :: state  $\Rightarrow$  bool

where

*is-faults-lt-threshold*  $\sigma = (\text{equivocation-fault-weight } \sigma < t)$

**definition** (in *Protocol*)  $\Sigma t :: \text{state set}$   
**where**  
 $\Sigma t = \{\sigma \in \Sigma. \text{is-faults-lt-threshold } \sigma\}$

**lemma** (in *Protocol*)  $\Sigma t\text{-is-subset-of-}\Sigma : \Sigma t \subseteq \Sigma$   
**using**  $\Sigma t\text{-def}$  **by** *auto*

**type-synonym** *state-property* = *state*  $\Rightarrow$  *bool*

**type-synonym** *consensus-value-property* = *consensus-value*  $\Rightarrow$  *bool*

**end**

## 2 Message Justification

**theory** *MessageJustification*

**imports** *Main CBCCaspar Libraries/LaTeXsugar*

**begin**

**definition** (in *Params*) *message-justification* :: *message rel*  
**where**  
 $\text{message-justification} = \{(m1, m2). \{m1, m2\} \subseteq M \wedge \text{justified } m1 \ m2\}$

**lemma** (in *Protocol*) *transitivity-of-justifications* :  
*trans message-justification*  
**apply** (*simp add: trans-def message-justification-def justified-def*)  
**by** (*meson Params.M-type Params.state-is-in-pow-Mi Protocol-axioms contra-subsetD*)

**lemma** (in *Protocol*) *irreflexivity-of-justifications* :  
*irrefl message-justification*  
**apply** (*simp add: irrefl-def message-justification-def justified-def*)  
**apply** (*simp add: M-def*)  
**apply** *auto*

**proof** –  
**fix** *n m*  
**assume** *est m*  $\in C$   
**assume** *sender m*  $\in V$   
**assume** *justification m*  $\in \Sigma i (V, C, \varepsilon) n$   
**assume** *est m*  $\in \varepsilon (\text{justification } m)$

```

assume  $m \in \text{justification } m$ 
have  $m \in Mi (V, C, \varepsilon) (n - 1)$ 
by (smt  $Mi.simps$  One-nat-def Params. $\Sigma i$ -subset- $Mi$  Pow-iff Suc-pred  $\langle est \ m \in C \rangle \langle est \ m \in \varepsilon \ (justification \ m) \rangle \langle justification \ m \in \Sigma i \ (V, C, \varepsilon) \ n \rangle \langle m \in justification \ m \rangle \langle sender \ m \in V \rangle$  add.right-neutral add-Suc-right diff-is-0-eq' diff-le-self diff-zero mem-Collect-eq not-gr0 subsetCE)
then have  $justification \ m \in \Sigma i (V, C, \varepsilon) (n - 1)$ 
using  $Mi.simps$  by blast
then have  $justification \ m \in \Sigma i (V, C, \varepsilon) 0$ 
apply (induction  $n$ )
apply simp
by (smt  $Mi.simps$  One-nat-def Params. $\Sigma i$ -subset- $Mi$  Pow-iff Suc-pred  $\langle m \in justification \ m \rangle$  add.right-neutral add-Suc-right diff-Suc-1 mem-Collect-eq not-gr0 subsetCE subsetCE)
then have  $justification \ m \in \{\emptyset\}$ 
by simp
then show False
using  $\langle m \in justification \ m \rangle$  by blast
qed

```

```

lemma (in Protocol) message-cannot-justify-itself :
 $(\forall \ m \in M. \neg \text{justified } m \ m)$ 
proof –
have irrefl message-justification
using irreflexivity-of-justifications by simp
then show ?thesis
by (simp add: irreflexivity-of-justifications irrefl-def message-justification-def)
qed

```

```

lemma (in Protocol) justification-is-strict-partial-order-on-M :
strict-partial-order message-justification
apply (simp add: strict-partial-order-def)
by (simp add: irreflexivity-of-justifications transitivity-of-justifications)

```

```

lemma (in Protocol) monotonicity-of-justifications :
 $\forall \ m \ m' \ \sigma. \ m \in M \wedge \sigma \in \Sigma \wedge \text{justified } m' \ m \longrightarrow \text{justification } m' \subseteq \text{justification } m$ 
apply simp
by (meson M-type justified-def message-in-state-is-valid state-is-in-pow-Mi)

```

```

lemma (in Protocol) strict-monotonicity-of-justifications :
 $\forall \ m \ m' \ \sigma. \ m \in M \wedge \sigma \in \Sigma \wedge \text{justified } m' \ m \longrightarrow \text{justification } m' \subset \text{justification } m$ 
by (metis M-type message-cannot-justify-itself justified-def message-in-state-is-valid monotonicity-of-justifications psubsetI)

```

```

lemma (in Protocol) justification-implies-different-messages :
 $\forall \ m \ m'. \ m \in M \wedge m' \in M \longrightarrow \text{justified } m' \ m \longrightarrow m \neq m'$ 
using message-cannot-justify-itself by auto

```



```

lemma (in Protocol) only-valid-message-is-justified :
   $\forall m \in M. \forall m'. \text{justified } m' m \longrightarrow m' \in M$ 
apply (simp add: justified-def)
using Params.M-type message-in-state-is-valid by blast

lemma (in Protocol) justified-message-exists-in-Mi-n-minus-1 :
   $\forall n m m'. n \in \mathbb{N}$ 
   $\longrightarrow \text{justified } m' m$ 
   $\longrightarrow m \in \text{Mi } (V, C, \varepsilon) n$ 
   $\longrightarrow m' \in \text{Mi } (V, C, \varepsilon) (n - 1)$ 
proof –
  have  $\forall n m m'. \text{justified } m' m$ 
   $\longrightarrow m \in \text{Mi } (V, C, \varepsilon) n$ 
   $\longrightarrow m \in M \wedge m' \in M$ 
   $\longrightarrow m' \in \text{Mi } (V, C, \varepsilon) (n - 1)$ 
  apply (rule, rule, rule, rule, rule, rule)
proof –
  fix  $n m m'$ 
  assume justified m' m
  assume  $m \in \text{Mi } (V, C, \varepsilon) n$ 
  assume  $m \in M \wedge m' \in M$ 
  then have justification m  $\in \Sigma i \text{ } (V, C, \varepsilon) n$ 
  using Mi.simps  $\langle m \in \text{Mi } (V, C, \varepsilon) n \rangle$  by blast
  then have justification m  $\in \text{Pow } (\text{Mi } (V, C, \varepsilon) (n - 1))$ 
  by (metis (no-types, lifting) Suc-diff-Suc  $\Sigma i.simps(1)$   $\Sigma i\text{-subset-Mi}$   $\langle \text{justified } m' m \rangle$  add-leE diff-add diff-le-self empty-iff justified-def neq0-conv plus-1-eq-Suc singletonD subsetCE)
  show  $m' \in \text{Mi } (V, C, \varepsilon) (n - 1)$ 
  using  $\langle \text{justification } m \in \text{Pow } (\text{Mi } (V, C, \varepsilon) (n - 1)) \rangle$   $\langle \text{justified } m' m \rangle$ 
justified-def by auto
qed
then show ?thesis
by (metis (no-types, lifting) M-def UN-I only-valid-message-is-justified)
qed

lemma (in Protocol) monotonicity-of-card-of-justification :
   $\forall m m'. m \in M$ 
   $\longrightarrow \text{justified } m' m$ 
   $\longrightarrow \text{card } (\text{justification } m') < \text{card } (\text{justification } m)$ 
by (meson M-type Protocol.strict-monotonicity-of-justifications Protocol-axioms justification-is-finite psubset-card-mono)

lemma (in Protocol) justification-is-well-founded-on-M :
  wfp-on justified M
proof (rule ccontr)
assume  $\neg \text{wfp-on justified } M$ 
then have  $\exists f. \forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i)$ 

```

```

    by (simp add: wfp-on-def)
  then obtain f where  $\forall i. f\ i \in M \wedge \text{justified}\ (f\ (\text{Suc}\ i))\ (f\ i)$  by auto
  have  $\forall i. \text{card}\ (\text{justification}\ (f\ i)) \leq \text{card}\ (\text{justification}\ (f\ 0)) - i$ 
    apply (rule)
  proof -
    fix i
    have  $\text{card}\ (\text{justification}\ (f\ (\text{Suc}\ i))) < \text{card}\ (\text{justification}\ (f\ i))$ 
    using  $\langle \forall i. f\ i \in M \wedge \text{justified}\ (f\ (\text{Suc}\ i))\ (f\ i) \rangle$  by (simp add: monotonicity-of-card-of-justification)
    show  $\text{card}\ (\text{justification}\ (f\ i)) \leq \text{card}\ (\text{justification}\ (f\ 0)) - i$ 
      apply (induction i)
      apply simp
      using  $\langle \text{card}\ (\text{justification}\ (f\ (\text{Suc}\ i))) < \text{card}\ (\text{justification}\ (f\ i)) \rangle$ 
      by (smt Suc-diff-le  $\langle \forall i. f\ i \in M \wedge \text{justified}\ (f\ (\text{Suc}\ i))\ (f\ i) \rangle$  diff-Suc-Suc
diff-is-0-eq le-iff-add less-Suc-eq-le less-imp-le monotonicity-of-card-of-justification
not-less-eq-eq trans-less-add1)
    qed
    then have  $\exists i. i = \text{card}\ (\text{justification}\ (f\ 0)) + \text{Suc}\ 0 \wedge \text{card}\ (\text{justification}\ (f\ i))$ 
 $\leq \text{card}\ (\text{justification}\ (f\ 0)) - i$ 
      by blast
    then show False
      using le-0-eq le-simps(2) linorder-not-le monotonicity-of-card-of-justification
nat-diff-split order-less-imp-le
      by (metis  $\langle \forall i. f\ i \in M \wedge \text{justified}\ (f\ (\text{Suc}\ i))\ (f\ i) \rangle$  add.right-neutral add-Suc-right)
    qed
  lemma (in Protocol) subset-of-M-have-minimal-of-justification :
     $\forall S \subseteq M. S \neq \emptyset \longrightarrow (\exists m\text{-min} \in S. \forall m. \text{justified}\ m\ m\text{-min} \longrightarrow m \notin S)$ 
    by (metis justification-is-well-founded-on-M wfp-on-imp-has-min-elt wfp-on-mono)

  lemma (in Protocol) message-in-state-is-strict-subset-of-the-state :
     $\forall \sigma \in \Sigma. \forall m \in \sigma. \text{justification}\ m \subset \sigma$ 
    using justification-implies-different-messages justified-def message-in-state-is-valid
state-is-in-pow-Mi by fastforce

```

end

### 3 Latest Message

theory LatestMessage

imports Main CBCCasper MessageJustification Libraries/LaTeXsugar

begin

**definition** *later* :: (message \* message set)  $\Rightarrow$  message set  
**where**  
*later* = ( $\lambda(m, \sigma). \{m' \in \sigma. \text{justified } m \ m'\}$ )

**lemma** (in *Protocol*) *later-type* :  
 $\forall \sigma m. \sigma \in \text{Pow } M \wedge m \in M \longrightarrow \text{later } (m, \sigma) \subseteq M$   
**apply** (simp add: later-def)  
**by** auto

**lemma** (in *Protocol*) *later-type-for-state* :  
 $\forall \sigma m. \sigma \in \Sigma \wedge m \in M \longrightarrow \text{later } (m, \sigma) \subseteq M$   
**apply** (simp add: later-def)  
**using** state-is-subset-of-M **by** auto

**definition** *from-sender* :: (validator \* message set)  $\Rightarrow$  message set  
**where**  
*from-sender* = ( $\lambda(v, \sigma). \{m \in \sigma. \text{sender } m = v\}$ )

**lemma** (in *Protocol*) *from-sender-type* :  
 $\forall \sigma v. \sigma \in \text{Pow } M \wedge v \in V \longrightarrow \text{from-sender } (v, \sigma) \in \text{Pow } M$   
**apply** (simp add: from-sender-def)  
**by** auto

**lemma** (in *Protocol*) *from-sender-type-for-state* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow \text{from-sender } (v, \sigma) \subseteq M$   
**apply** (simp add: from-sender-def)  
**using** state-is-subset-of-M **by** auto

**lemma** (in *Protocol*) *messages-from-observed-validator-is-non-empty* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in \text{observed } \sigma \longrightarrow \text{from-sender } (v, \sigma) \neq \emptyset$   
**apply** (simp add: observed-def from-sender-def)  
**by** auto

**lemma** (in *Protocol*) *messages-from-validator-is-finite* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow \text{finite } (\text{from-sender } (v, \sigma))$   
**by** (simp add: from-sender-def state-is-finite)

**definition** *from-group* :: (validator set \* message set)  $\Rightarrow$  state  
**where**  
*from-group* = ( $\lambda(v\text{-set}, \sigma). \{m \in \sigma. \text{sender } m \in v\text{-set}\}$ )

**lemma** (in *Protocol*) *from-group-type* :  
 $\forall \sigma v. \sigma \in \text{Pow } M \wedge v\text{-set} \subseteq V \longrightarrow \text{from-group } (v\text{-set}, \sigma) \in \text{Pow } M$   
**apply** (simp add: from-group-def)

**by** *auto*

**lemma** (**in** *Protocol*) *from-group-type-for-state* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v\text{-set} \subseteq V \longrightarrow \text{from-group } (v\text{-set}, \sigma) \subseteq M$   
**apply** (*simp add: from-group-def*)  
**using** *state-is-subset-of-M* **by** *auto*

**definition** *later-from* :: (*message* \* *validator* \* *message set*)  $\Rightarrow$  *message set*  
**where**  
 $\text{later-from} = (\lambda(m, v, \sigma). \text{later } (m, \sigma) \cap \text{from-sender } (v, \sigma))$

**lemma** (**in** *Protocol*) *later-from-type* :  
 $\forall \sigma v m. \sigma \in \text{Pow } M \wedge v \in V \wedge m \in M \longrightarrow \text{later-from } (m, v, \sigma) \in \text{Pow } M$   
**apply** (*simp add: later-from-def*)  
**using** *later-type from-sender-type* **by** *auto*

**lemma** (**in** *Protocol*) *later-from-type-for-state* :  
 $\forall \sigma v m. \sigma \in \Sigma \wedge v \in V \wedge m \in M \longrightarrow \text{later-from } (m, v, \sigma) \subseteq M$   
**apply** (*simp add: later-from-def*)  
**using** *later-type-for-state from-sender-type-for-state* **by** *auto*

**definition** *L-M* :: *message set*  $\Rightarrow$  (*validator*  $\Rightarrow$  *message set*)  
**where**  
 $L-M \sigma v = \{m \in \text{from-sender } (v, \sigma). \text{later-from } (m, v, \sigma) = \emptyset\}$

**lemma** (**in** *Protocol*) *L-M-type* :  
 $\forall \sigma v. \sigma \in \text{Pow } M \wedge v \in V \longrightarrow L-M \sigma v \in \text{Pow } M$   
**apply** (*simp add: L-M-def later-from-def*)  
**using** *from-sender-type* **by** *auto*

**lemma** (**in** *Protocol*) *L-M-type-for-state* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-M \sigma v \subseteq M$   
**apply** (*simp add: L-M-def later-from-def*)  
**using** *from-sender-type-for-state* **by** *auto*

**lemma** (**in** *Protocol*) *L-M-from-non-observed-validator-is-empty* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \wedge v \notin \text{observed } \sigma \longrightarrow L-M \sigma v = \emptyset$   
**by** (*simp add: L-M-def observed-def later-def from-sender-def*)

**lemma** (**in** *Protocol*) *L-M-is-subset-of-the-state* :  
 $\forall \sigma \in \Sigma. \forall v \in V. L-M \sigma v \subseteq \sigma$   
**by** (*simp add: L-M-def later-from-def from-sender-def*)

**definition** *observed-non-equivocating-validators* :: *state*  $\Rightarrow$  *validator set*  
**where**  
 $\text{observed-non-equivocating-validators } \sigma = \text{observed } \sigma - \text{equivocating-validators}$

$\sigma$

**lemma** (in *Protocol*) *observed-non-equivocating-validators-type* :  
 $\forall \sigma \in \Sigma. \text{observed-non-equivocating-validators } \sigma \in \text{Pow } V$   
**apply** (simp add: *observed-non-equivocating-validators-def*)  
**using** *observed-type-for-state equivocating-validators-type* **by** auto

**lemma** (in *Protocol*) *observed-non-equivocating-validators-are-not-equivocating* :  
 $\forall \sigma \in \Sigma. \text{observed-non-equivocating-validators } \sigma \cap \text{equivocating-validators } \sigma = \emptyset$   
**unfolding** *observed-non-equivocating-validators-def*  
**by** blast

**lemma** (in *Protocol*) *justification-is-well-founded-on-messages-from-validator*:  
 $\forall \sigma \in \Sigma. (\forall v \in V. \text{wfp-on justified (from-sender (v, } \sigma))})$   
**using** *justification-is-well-founded-on-M from-sender-type-for-state wfp-on-subset*  
**by** blast

**lemma** (in *Protocol*) *justification-is-total-on-messages-from-non-equivocating-validator*:  
 $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma \longrightarrow \text{Relation.total-on (from-sender (v, } \sigma)) \text{ message-justification})$

**proof** –

**have**  $\forall m1\ m2\ \sigma\ v. v \in V \wedge \sigma \in \Sigma \wedge \{m1, m2\} \subseteq \text{from-sender (v, } \sigma) \longrightarrow \text{sender } m1 = \text{sender } m2$

**by** (simp add: *from-sender-def*)

**then have**  $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma \longrightarrow (\forall m1\ m2. \{m1, m2\} \subseteq \text{from-sender (v, } \sigma) \longrightarrow m1 = m2 \vee \text{justified } m1\ m2 \vee \text{justified } m2\ m1))$

**apply** (simp add: *equivocating-validators-def is-equivocating-def equivocation-def from-sender-def observed-def*)

**by** blast

**then show** ?thesis

**apply** (simp add: *Relation.total-on-def message-justification-def*)

**using** *from-sender-type-for-state* **by** blast

**qed**

**lemma** (in *Protocol*) *justification-is-strict-linear-order-on-messages-from-non-equivocating-validator*:

$\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma \longrightarrow \text{strict-linear-order-on (from-sender (v, } \sigma)) \text{ message-justification})$

**by** (simp add: *strict-linear-order-on-def justification-is-total-on-messages-from-non-equivocating-validator*

*irreflexivity-of-justifications transitivity-of-justifications*)

**lemma** (in *Protocol*) *justification-is-strict-well-order-on-messages-from-non-equivocating-validator*:

$\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma$

$\longrightarrow \text{strict-linear-order-on (from-sender (v, } \sigma)) \text{ message-justification} \wedge \text{wfp-on justified (from-sender (v, } \sigma))})$

**using** *justification-is-well-founded-on-messages-from-validator*

*justification-is-strict-linear-order-on-messages-from-non-equivocating-validator*

**by** *blast*

**lemma** (in *Protocol*) *latest-message-is-maximal-element-of-justification* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L\text{-}M \ \sigma \ v = \{m. \text{maximal-on } (\text{from-sender } (v, \sigma)) \text{ message-justification } m\}$   
**apply** (*simp add: L-M-def later-from-def later-def message-justification-def maximal-on-def*)  
**using** *from-sender-type-for-state* **apply** *auto*  
**apply** (*metis (no-types, lifting) IntI empty-iff from-sender-def mem-Collect-eq prod.simps(2)*)  
**by** *blast*

**lemma** (in *Protocol*) *observed-non-equivocating-validators-have-one-latest-message*:  
 $\forall \sigma \in \Sigma. (\forall v \in \text{observed-non-equivocating-validators } \sigma. \text{is-singleton } (L\text{-}M \ \sigma \ v))$

**apply** (*simp add: observed-non-equivocating-validators-def*)  
**proof** –  
**have**  $\forall \sigma \in \Sigma. (\forall v \in \text{observed } \sigma - \text{equivocating-validators } \sigma. \text{is-singleton } \{m. \text{maximal-on } (\text{from-sender } (v, \sigma)) \text{ message-justification } m\})$   
**using**  
*messages-from-observed-validator-is-non-empty*  
*messages-from-validator-is-finite*  
*observed-type-for-state*  
*equivocating-validators-def*  
*justification-is-strict-linear-order-on-messages-from-non-equivocating-validator*  
*strict-linear-order-on-finite-non-empty-set-has-one-maximum*  
*maximal-and-maximum-coincide-for-strict-linear-order*  
**by** (*smt Collect-cong DiffD1 DiffD2 set-mp*)  
**then show**  $\forall \sigma \in \Sigma. \forall v \in \text{observed } \sigma - \text{equivocating-validators } \sigma. \text{is-singleton } (L\text{-}M \ \sigma \ v)$   
**using** *latest-message-is-maximal-element-of-justification*  
*observed-non-equivocating-validators-def observed-non-equivocating-validators-type*  
**by** *fastforce*  
**qed**

**definition** *L-E* :: *state*  $\Rightarrow$  *validator*  $\Rightarrow$  *consensus-value set*  
**where**  
 $L\text{-}E \ \sigma \ v = \{\text{est } m \mid m. m \in L\text{-}M \ \sigma \ v\}$

**lemma** (in *Protocol*) *L-E-type* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L\text{-}E \ \sigma \ v \subseteq C$

**using** *M-type Protocol.L-M-type-for-state Protocol-axioms L-E-def* **by** *fastforce*

**lemma** (**in** *Protocol*) *L-E-from-non-observed-validator-is-empty* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \wedge v \notin \text{observed } \sigma \longrightarrow L-E \ \sigma \ v = \emptyset$   
**using** *L-E-def L-M-from-non-observed-validator-is-empty* **by** *auto*

**definition** *L-H-M* :: *state*  $\Rightarrow$  *validator*  $\Rightarrow$  *message set*  
**where**  
 $L-H-M \ \sigma \ v = (\text{if } v \in \text{equivocating-validators } \sigma \text{ then } \emptyset \text{ else } L-M \ \sigma \ v)$

**lemma** (**in** *Protocol*) *L-H-M-type* :  
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-H-M \ \sigma \ v \subseteq M$   
**by** (*simp add: L-M-type-for-state L-H-M-def*)

**lemma** (**in** *Protocol*) *L-H-M-of-observed-non-equivocating-validator-is-singleton* :  
 $\forall \sigma \in \Sigma. \forall v \in \text{observed-non-equivocating-validators } \sigma.$   
 $\text{is-singleton } (L-H-M \ \sigma \ v)$   
**using** *observed-non-equivocating-validators-have-one-latest-message*  
**by** (*simp add: L-H-M-def observed-non-equivocating-validators-def*)

**lemma** (**in** *Protocol*) *sender-of-L-H-M*:  
 $\forall \sigma \in \Sigma. \forall v \in \text{observed-non-equivocating-validators } \sigma. \text{sender } (\text{the-elem } (L-H-M \ \sigma \ v)) = v$   
**using** *L-H-M-of-observed-non-equivocating-validator-is-singleton*  
 $L-H-M\text{-def } L-M\text{-def from-sender-def}$   
**by** (*smt Diff-iff is-singleton-the-elem mem-Collect-eq observed-non-equivocating-validators-def prod.simps(2) singletonI*)

**lemma** (**in** *Protocol*) *L-H-M-is-in-the-state*:  
 $\forall \sigma \in \Sigma. \forall v \in \text{observed-non-equivocating-validators } \sigma. \text{the-elem } (L-H-M \ \sigma \ v) \in \sigma$   
**using** *L-H-M-of-observed-non-equivocating-validator-is-singleton*  
 $L-H-M\text{-def } L-M\text{-is-subset-of-the-state}$   
**by** (*metis Diff-iff contra-subsetD insert-subset is-singleton-the-elem observed-non-equivocating-validators-def observed-type-for-state*)

**definition** *L-H-E* :: *state*  $\Rightarrow$  *validator*  $\Rightarrow$  *consensus-value set*  
**where**

$$L-H-E \ \sigma \ v = est \ 'L-H-M \ \sigma \ v$$

**lemma** (in *Protocol*) *L-H-E-type* :

$$\forall \ \sigma \ v. \ \sigma \in \Sigma \wedge v \in V \longrightarrow L-H-E \ \sigma \ v \in Pow \ C$$

**using** *Protocol.L-E-type Protocol-axioms L-E-def L-H-E-def L-H-M-def*

**using** *M-type L-H-M-type* **by** *fastforce*

**lemma** (in *Protocol*) *L-H-E-from-non-observed-validator-is-empty* :

$$\forall \ \sigma \ v. \ \sigma \in \Sigma \wedge v \in V \wedge v \notin observed \ \sigma \longrightarrow L-H-E \ \sigma \ v = \emptyset$$

**by** (*simp add: L-H-E-def L-H-M-def L-M-from-non-observed-validator-is-empty*)

**lemma** *image-of-singleton-is-singleton* :

$$is-singleton \ A \Longrightarrow is-singleton \ (f \ 'A)$$

**apply** (*simp add: is-singleton-def*)

**by** *blast*

**lemma** (in *Protocol*) *L-H-E-of-observed-non-equivocating-validator-is-singleton* :

$$\forall \ \sigma \in \Sigma. \ \forall \ v \in observed-non-equivocating-validators \ \sigma.$$

$$is-singleton \ (L-H-E \ \sigma \ v)$$

**using** *L-H-M-of-observed-non-equivocating-validator-is-singleton*

**apply** (*simp add: L-H-E-def*)

**using** *image-of-singleton-is-singleton*

**by** *blast*

**definition** *L-H-J* :: *state*  $\Rightarrow$  *validator*  $\Rightarrow$  *state set*

**where**

$$L-H-J \ \sigma \ v = justification \ 'L-H-M \ \sigma \ v$$

**lemma** (in *Protocol*) *L-H-J-type* :

$$\forall \ \sigma \ v. \ \sigma \in \Sigma \wedge v \in V \longrightarrow L-H-J \ \sigma \ v \subseteq \Sigma$$

**using** *M-type L-H-M-type*

*L-H-J-def* **by** *auto*

**lemma** (in *Protocol*) *L-H-J-of-observed-non-equivocating-validator-is-singleton* :

$$\forall \ \sigma \in \Sigma. \ v \in observed-non-equivocating-validators \ \sigma$$

$$\longrightarrow is-singleton \ (L-H-J \ \sigma \ v)$$

**using** *L-H-M-of-observed-non-equivocating-validator-is-singleton*

**apply** (*simp add: L-H-J-def*)

**using** *image-of-singleton-is-singleton*

**by** *blast*

**lemma** (in *Protocol*) *L-H-J-is-subset-of-the-state* :

$$\forall \ \sigma \ v. \ \sigma \in \Sigma \wedge v \in V \longrightarrow (\forall \ \sigma' \in L-H-J \ \sigma \ v. \ \sigma' \subset \sigma)$$

**apply** (*simp add: L-H-J-def*)



```

      L-H-M-def)
using L-M-is-subset-of-the-state
      message-in-state-is-strict-subset-of-the-state
by blast

```

```

end
theory StateTransition

```

```

imports Main CBCCaspar MessageJustification

```

```

begin

```

```

definition (in Params) state-transition :: state rel
where
  state-transition =  $\{(\sigma 1, \sigma 2). \{\sigma 1, \sigma 2\} \subseteq \Sigma \wedge \text{is-future-state}(\sigma 1, \sigma 2)\}$ 

```

```

lemma (in Params) reflexivity-of-state-transition :
  refl-on  $\Sigma$  state-transition
apply (simp add: state-transition-def refl-on-def)
by auto

```

```

lemma (in Params) transitivity-of-state-transition :
  trans state-transition
apply (simp add: state-transition-def trans-def)
by auto

```

```

lemma (in Params) state-transition-is-preorder :
  preorder-on  $\Sigma$  state-transition
by (simp add: preorder-on-def reflexivity-of-state-transition transitivity-of-state-transition)

```

```

lemma (in Params) antisymmetry-of-state-transition :
  antisym state-transition
apply (simp add: state-transition-def antisym-def)
by auto

```

```

lemma (in Params) state-transition-is-partial-order :
  partial-order-on  $\Sigma$  state-transition
by (simp add: partial-order-on-def state-transition-is-preorder antisymmetry-of-state-transition)

```

```

definition (in Protocol) minimal-transitions :: (state * state) set
where
  minimal-transitions  $\equiv \{(\sigma, \sigma') \mid \sigma \sigma'. \sigma \in \Sigma t \wedge \sigma' \in \Sigma t \wedge \text{is-future-state}(\sigma, \sigma') \wedge \sigma \neq \sigma'\}$ 

```

$$\wedge (\nexists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma')\}$$

**definition** *immediately-next-message* **where**

$$\text{immediately-next-message} = (\lambda(\sigma, m). \text{justification } m \subseteq \sigma \wedge m \notin \sigma)$$

**lemma** (in *Protocol*) *state-transition-by-immediately-next-message-of-same-depth-non-zero*:

$$\forall n \geq 1. \forall \sigma \in \Sigma i (V, C, \varepsilon) n. \forall m \in Mi (V, C, \varepsilon) n. \text{immediately-next-message } (\sigma, m) \longrightarrow \sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n+1)$$

**apply** (*rule*, *rule*, *rule*, *rule*, *rule*)

**proof** –

**fix**  $n \sigma m$

**assume**  $1 \leq n \sigma \in \Sigma i (V, C, \varepsilon) n m \in Mi (V, C, \varepsilon) n \text{immediately-next-message } (\sigma, m)$

**have**  $\exists n'. n = \text{Suc } n'$

**using**  $\langle 1 \leq n \rangle \text{old.nat.exhaust}$  **by** *auto*

**hence**  $\text{si: } \Sigma i (V, C, \varepsilon) n = \{\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n - 1)). \text{finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)\}$

**by** *force*

**hence**  $\Sigma i (V, C, \varepsilon) (n+1) = \{\sigma \in \text{Pow } (Mi (V, C, \varepsilon) n). \text{finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)\}$

**by** *force*

**have**  $\text{justification } m \subseteq \sigma$

**using** *immediately-next-message-def*

**by** (*metis* (*no-types*, *lifting*) (*immediately-next-message* ( $\sigma, m$ )) *case-prod-conv*)

**hence**  $\text{justification } m \subseteq \sigma \cup \{m\}$

**by** *blast*

**moreover** **have**  $\bigwedge m'. \text{finite } \sigma \wedge m' \in \sigma \implies \text{justification } m' \subseteq \sigma$

**using**  $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle \text{si}$  **by** *blast*

**hence**  $\bigwedge m'. \text{finite } \sigma \wedge m' \in \sigma \implies \text{justification } m' \subseteq \sigma \cup \{m\}$

**by** *auto*

**ultimately** **have**  $\bigwedge m'. m' \in \sigma \cup \{m\} \implies \text{justification } m' \subseteq \sigma$

**using**  $\langle \text{justification } m \subseteq \sigma \rangle$  **by** *blast*

**have**  $\{m\} \in \text{Pow } (Mi (V, C, \varepsilon) n)$

**using**  $\langle m \in Mi (V, C, \varepsilon) n \rangle$  **by** *auto*

**moreover** **have**  $\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n-1))$

**using**  $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle \text{si}$  **by** *auto*

**hence**  $\sigma \in \text{Pow } (Mi (V, C, \varepsilon) n)$

**using** *Mi-monotonic*

**by** (*metis* (*full-types*) *PowD PowI Suc-eq-plus1*  $\langle \exists n'. n = \text{Suc } n' \rangle \text{diff-Suc-1 subset-iff}$ )

**ultimately** **have**  $\sigma \cup \{m\} \in \text{Pow } (Mi (V, C, \varepsilon) n)$

**by** *blast*

**show**  $\sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n + 1)$   
**using**  $\langle \bigwedge m'. \text{finite } \sigma \wedge m' \in \sigma \implies \text{justification } m' \subseteq \sigma \cup \{m\} \rangle \langle \sigma \cup \{m\} \in \text{Pow } (Mi (V, C, \varepsilon) n) \rangle \langle \text{justification } m \subseteq \sigma \cup \{m\} \rangle$   
 $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$  **si** **by** *auto*  
**qed**

**lemma** (*in Protocol*) *state-transition-by-immediately-next-message-of-same-depth:*

$\forall \sigma \in \Sigma i (V, C, \varepsilon) n. \forall m \in Mi (V, C, \varepsilon) n. \text{immediately-next-message } (\sigma, m) \longrightarrow \sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n + 1)$   
**apply** (*cases n*)  
**apply** *auto[1]*  
**using** *state-transition-by-immediately-next-message-of-same-depth-non-zero*  
**by** (*metis le-add1 plus-1-eq-Suc*)

**lemma** (*in Params*) *past-state-exists-in-same-depth :*

$\forall \sigma \sigma'. \sigma' \in \Sigma i (V, C, \varepsilon) n \longrightarrow \sigma \subseteq \sigma' \longrightarrow \sigma \in \Sigma \longrightarrow \sigma \in \Sigma i (V, C, \varepsilon) n$   
**apply** (*rule, rule, rule, rule, rule*)  
**proof** (*cases n*)  
**case** 0  
**show**  $\bigwedge \sigma \sigma'. \sigma' \in \Sigma i (V, C, \varepsilon) n \implies \sigma \subseteq \sigma' \implies \sigma \in \Sigma \implies n = 0 \implies \sigma \in \Sigma i (V, C, \varepsilon) n$   
**by** *auto*  
**next**  
**case** (*Suc nat*)  
**show**  $\bigwedge \sigma \sigma' \text{nat}. \sigma' \in \Sigma i (V, C, \varepsilon) n \implies \sigma \subseteq \sigma' \implies \sigma \in \Sigma \implies n = \text{Suc nat} \implies \sigma \in \Sigma i (V, C, \varepsilon) n$   
**proof** –  
**fix**  $\sigma \sigma'$   
**assume**  $\sigma' \in \Sigma i (V, C, \varepsilon) n$   
**and**  $\sigma \subseteq \sigma'$   
**and**  $\sigma \in \Sigma$   
**have**  $n > 0$   
**by** (*simp add: Suc*)  
**have** *finite*  $\sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)$   
**using**  $\langle \sigma \in \Sigma \rangle$  *state-is-finite state-is-in-pow-Mi* **by** *blast*  
**moreover** **have**  $\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n - 1))$   
**using**  $\langle \sigma \subseteq \sigma' \rangle$   
**by** (*smt Pow-iff Suc-eq-plus1  $\Sigma i$ -monotonic  $\Sigma i$ -subset-Mi  $\langle \sigma' \in \Sigma i (V, C, \varepsilon) n \rangle$  add-diff-cancel-left' add-eq-if diff-is-0-eq diff-le-self plus-1-eq-Suc subset-iff*)  
**ultimately** **have**  $\sigma \in \{\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n - 1)). \text{finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)\}$   
**by** *blast*  
**then** **show**  $\sigma \in \Sigma i (V, C, \varepsilon) n$   
**by** (*simp add: Suc*)  
**qed**  
**qed**

**lemma** (in *Protocol*) *immediately-next-message-exists-in-same-depth*:  
 $\forall \sigma \in \Sigma. \forall m \in M. \text{immediately-next-message } (\sigma, m) \longrightarrow (\exists n \in \mathbb{N}. \sigma \in \Sigma_i$   
 $(V, C, \varepsilon) n \wedge m \in M_i (V, C, \varepsilon) n)$   
**apply** (*simp add: immediately-next-message-def M-def  $\Sigma$ -def*)  
**using** *past-state-exists-in-same-depth*  
**using**  *$\Sigma_i$ -is-subset-of- $\Sigma$*  **by** *blast*

**lemma** (in *Protocol*) *state-transition-by-immediately-next-message*:  
 $\forall \sigma \in \Sigma. \forall m \in M. \text{immediately-next-message } (\sigma, m) \longrightarrow \sigma \cup \{m\} \in \Sigma$   
**apply** (*rule, rule, rule*)  
**proof** –  
**fix**  $\sigma m$   
**assume**  $\sigma \in \Sigma$   
**and**  $m \in M$   
**and** *immediately-next-message*  $(\sigma, m)$   
**then have**  $(\exists n \in \mathbb{N}. \sigma \in \Sigma_i (V, C, \varepsilon) n \wedge m \in M_i (V, C, \varepsilon) n)$   
**using** *immediately-next-message-exists-in-same-depth*  $\langle \sigma \in \Sigma \rangle \langle m \in M \rangle$   
**by** *blast*  
**then have**  $\exists n \in \mathbb{N}. \sigma \cup \{m\} \in \Sigma_i (V, C, \varepsilon) (n + 1)$   
**using** *state-transition-by-immediately-next-message-of-same-depth*  
**using**  $\langle \text{immediately-next-message } (\sigma, m) \rangle$  **by** *blast*  
**show**  $\sigma \cup \{m\} \in \Sigma$   
**apply** (*simp add:  $\Sigma$ -def*)  
**by** (*metis Nats-1 Nats-add Un-insert-right*  $\langle \exists n \in \mathbb{N}. \sigma \cup \{m\} \in \Sigma_i (V, C, \varepsilon) (n + 1) \rangle$  *sup-bot.right-neutral*)  
**qed**

**lemma** (in *Protocol*) *state-transition-impls-immediately-next-message*:  
 $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \wedge m \notin \sigma \longrightarrow \text{immediately-next-message } (\sigma, m)$   
**proof** –  
**have**  $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \longrightarrow (\forall m' \in \sigma \cup \{m\}. \text{justification } m' \subseteq \sigma \cup \{m\})$   
**using** *state-is-in-pow-Mi* **by** *blast*  
**then have**  $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \longrightarrow \text{justification } m \subseteq \sigma \cup \{m\}$   
**by** *auto*  
**then have**  $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \wedge m \notin \sigma \longrightarrow \text{justification } m \subseteq \sigma$   
**using** *justification-implies-different-messages justified-def* **by** *fastforce*  
**then show** *?thesis*  
**by** (*simp add: immediately-next-message-def*)  
**qed**

**lemma** (in *Protocol*) *state-transition-only-made-by-immediately-next-message*:  
 $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \wedge m \notin \sigma \longleftrightarrow \text{immediately-next-message } (\sigma, m)$   
**using** *state-transition-impls-immediately-next-message state-transition-by-immediately-next-message*  
**apply** (*simp add: immediately-next-message-def*)  
**by** *blast*

**lemma** (in *Protocol*) *state-transition-is-immediately-next-message*:

$\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \iff \text{justification } m \subseteq \sigma$   
**using** *state-transition-only-made-by-immediately-next-message*  
**apply** (*simp add: immediately-next-message-def*)  
**using** *insert-Diff state-is-in-pow-Mi* **by** *fastforce*

**lemma** (*in Protocol*) *strict-subset-of-state-have-immediately-next-messages*:  
 $\forall \sigma \in \Sigma. \forall \sigma'. \sigma' \subset \sigma \longrightarrow (\exists m \in \sigma - \sigma'. \text{immediately-next-message } (\sigma', m))$   
**apply** (*simp add: immediately-next-message-def*)  
**apply** (*rule, rule, rule*)  
**proof** –  
**fix**  $\sigma \sigma'$   
**assume**  $\sigma \in \Sigma$   
**assume**  $\sigma' \subset \sigma$   
**show**  $\exists m \in \sigma - \sigma'. \text{justification } m \subseteq \sigma'$   
**proof** (*rule ccontr*)  
**assume**  $\neg (\exists m \in \sigma - \sigma'. \text{justification } m \subseteq \sigma')$   
**then have**  $\forall m \in \sigma - \sigma'. \exists m' \in \text{justification } m. m' \in \sigma - \sigma'$   
**using**  $\langle \neg (\exists m \in \sigma - \sigma'. \text{justification } m \subseteq \sigma') \rangle \text{state-is-in-pow-Mi } \langle \sigma' \subset \sigma \rangle$   
**by** (*metis Diff-iff*  $\langle \sigma \in \Sigma \rangle \text{subset-eq}$ )  
**then have**  $\forall m \in \sigma - \sigma'. \exists m'. \text{justified } m' m \wedge m' \in \sigma - \sigma'$   
**using** *justified-def* **by** *auto*  
**then have**  $\forall m \in \sigma - \sigma'. \exists m'. \text{justified } m' m \wedge m' \in \sigma - \sigma' \wedge m \neq m'$   
**using** *justification-implies-different-messages state-difference-is-valid-message*  
*message-in-state-is-valid*  $\langle \sigma' \subset \sigma \rangle$   
**by** (*meson DiffD1*  $\langle \sigma \in \Sigma \rangle$ )  
**have**  $\sigma - \sigma' \subseteq M$   
**using**  $\langle \sigma \in \Sigma \rangle \langle \sigma' \subset \sigma \rangle \text{state-is-subset-of-M}$  **by** *auto*  
**then have**  $\exists m\text{-min} \in \sigma - \sigma'. \forall m. \text{justified } m m\text{-min} \longrightarrow m \notin \sigma - \sigma'$   
**using** *subset-of-M-have-minimal-of-justification*  $\langle \sigma' \subset \sigma \rangle$   
**by** *blast*  
**then show** *False*  
**using**  $\langle \forall m \in \sigma - \sigma'. \exists m'. \text{justified } m' m \wedge m' \in \sigma - \sigma' \rangle$  **by** *blast*  
**qed**  
**qed**

**lemma** (*in Protocol*) *union-of-two-states-is-state* :  
 $\forall \sigma 1 \in \Sigma. \forall \sigma 2 \in \Sigma. (\sigma 1 \cup \sigma 2) \in \Sigma$   
**apply** (*rule, rule*)  
**proof** –  
**fix**  $\sigma 1 \sigma 2$   
**assume**  $\sigma 1 \in \Sigma$  **and**  $\sigma 2 \in \Sigma$   
**show**  $\sigma 1 \cup \sigma 2 \in \Sigma$   
**proof** (*cases*  $\sigma 1 \subseteq \sigma 2$ )  
**case** *True*  
**then show** *?thesis*  
**by** (*simp add: Un-absorb1*  $\langle \sigma 2 \in \Sigma \rangle$ )  
**next**  
**case** *False*

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    then have  $\neg \sigma 1 \subseteq \sigma 2$  by simp
    have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - (\sigma \cap \sigma'). \text{immediately-next-message}(\sigma \cap \sigma', m))$ 
    by (metis Int-subset-iff psubsetI strict-subset-of-state-have-immediately-next-messages subsetI)
    then have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - (\sigma \cap \sigma'). \text{immediately-next-message}(\sigma', m))$ 
    apply (simp add: immediately-next-message-def)
    by blast
    then have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma)$ 
    using state-transition-by-immediately-next-message
    by (metis DiffD1 DiffD2 DiffI IntI message-in-state-is-valid)
    have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
    proof -
      have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow \text{card}(\sigma - \sigma') > 0$ 
      by (meson Diff-eq-empty-iff card-0-eq finite-Diff gr0I state-is-finite)
      have  $\forall n. \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card}(\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
      apply (rule)
    proof -
      fix n
      show  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card}(\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
      apply (induction n)
      apply (rule, rule, rule)
    proof -
      fix  $\sigma \sigma'$ 
      assume  $\sigma \in \Sigma$  and  $\sigma' \in \Sigma$  and  $\neg \sigma \subseteq \sigma' \wedge \text{Suc } 0 = \text{card}(\sigma - \sigma')$ 
      then have is-singleton  $(\sigma - \sigma')$ 
      by (simp add: is-singleton-altdef)
      then have  $\{ \text{the-elem}(\sigma - \sigma') \} \cup \sigma' \in \Sigma$ 
      using  $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma) \rangle \langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle$ 
      by (metis Un-commute  $\langle \neg \sigma \subseteq \sigma' \wedge \text{Suc } 0 = \text{card}(\sigma - \sigma') \rangle$  is-singleton-the-elem singletonD)
      then show  $\sigma \cup \sigma' \in \Sigma$ 
      by (metis Un-Diff-cancel2  $\langle \text{is-singleton}(\sigma - \sigma') \rangle$  is-singleton-the-elem)

    next
    show  $\bigwedge n. \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card}(\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma \implies \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc}(\text{Suc } n) = \text{card}(\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
    apply (rule, rule, rule)
    proof -
      fix n  $\sigma \sigma'$ 
      assume  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card}(\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$  and  $\sigma \in \Sigma$  and  $\sigma' \in \Sigma$  and  $\neg \sigma \subseteq \sigma' \wedge \text{Suc}(\text{Suc } n) = \text{card}(\sigma - \sigma')$ 
      have  $\forall m \in \sigma - \sigma'. \neg \sigma \subseteq \sigma' \cup \{m\} \wedge \text{Suc } n = \text{card}(\sigma - (\sigma' \cup \{m\}))$ 
      using  $\langle \neg \sigma \subseteq \sigma' \wedge \text{Suc}(\text{Suc } n) = \text{card}(\sigma - \sigma') \rangle$ 
      by (metis Diff-eq-empty-iff Diff-insert Un-insert-right  $\langle \sigma \in \Sigma \rangle$  add-diff-cancel-left' card-0-eq card-Suc-Diff1 finite-Diff nat.simps(3) plus-1-eq-Suc)

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*state-is-finite sup-bot.right-neutral*)  
**have**  $\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma$   
**using**  $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma) \rangle$   
 $\langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle \langle \neg \sigma \subseteq \sigma' \wedge \text{Suc } (\text{Suc } n) = \text{card } (\sigma - \sigma') \rangle$   
**by blast**  
**then have**  $\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma \wedge \neg \sigma \subseteq \sigma' \cup \{m\} \wedge \text{Suc } n = \text{card } (\sigma - (\sigma' \cup \{m\}))$   
**using**  $\langle \forall m \in \sigma - \sigma'. \neg \sigma \subseteq \sigma' \cup \{m\} \wedge \text{Suc } n = \text{card } (\sigma - (\sigma' \cup \{m\})) \rangle$   
**by simp**  
**then show**  $\sigma \cup \sigma' \in \Sigma$   
**using**  $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma \rangle$   
**by** (*smt Un-Diff-cancel Un-commute Un-insert-right*  $\langle \sigma \in \Sigma \rangle$   
*insert-absorb2 mk-disjoint-insert sup-bot.right-neutral*)  
**qed**  
**qed**  
**qed**  
**then show** ?thesis  
**by** (*meson*  $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma) \rangle$   
*card-Suc-Diff1 finite-Diff state-is-finite*)  
**qed**  
**then show** ?thesis  
**using** *False*  $\langle \sigma 1 \in \Sigma \rangle \langle \sigma 2 \in \Sigma \rangle$  **by blast**  
**qed**  
**qed**

**lemma** (*in Protocol*) *union-of-finite-set-of-states-is-state* :

$\forall \sigma\text{-set} \subseteq \Sigma. \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$   
**apply** *auto*  
**proof** –  
**have**  $\forall n. \forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$   
**apply** (*rule*)  
**proof** –  
**fix**  $n$   
**show**  $\forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$   
**apply** (*induction n*)  
**apply** (*rule, rule, rule, rule*)  
**apply** (*simp add: empty-set-exists-in-Σ*)  
**apply** (*rule, rule, rule, rule*)  
**proof** –  
**fix**  $n \sigma\text{-set}$   
**assume**  $\forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$  **and**  
 $\sigma\text{-set} \subseteq \Sigma$  **and**  $\text{Suc } n = \text{card } \sigma\text{-set}$  **and**  $\text{finite } \sigma\text{-set}$   
**then have**  $\forall \sigma \in \sigma\text{-set}. \sigma\text{-set} - \{\sigma\} \subseteq \Sigma \wedge \bigcup (\sigma\text{-set} - \{\sigma\}) \in \Sigma$   
**using**  $\langle \sigma\text{-set} \subseteq \Sigma \rangle \langle \text{Suc } n = \text{card } \sigma\text{-set} \rangle \langle \forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma \rangle$   
**by** (*metis (mono-tags, lifting) Suc-inject card.remove finite-Diff insert-Diff*)

*insert-subset*  
**then have**  $\forall \sigma \in \sigma\text{-set}. \sigma\text{-set} - \{\sigma\} \subseteq \Sigma \wedge \bigcup (\sigma\text{-set} - \{\sigma\}) \in \Sigma \wedge \bigcup (\sigma\text{-set} - \{\sigma\}) \cup \sigma \in \Sigma$   
**using** *union-of-two-states-is-state*  $\langle \sigma\text{-set} \subseteq \Sigma \rangle$  **by** *auto*  
**then show**  $\bigcup \sigma\text{-set} \in \Sigma$   
**by** (*metis* *Sup-bot-conv*(1) *Sup-insert* *Un-commute* *empty-set-exists-in-Σ* *insert-Diff*)  
**qed**  
**qed**  
**then show**  $\bigwedge \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma \implies \text{finite } \sigma\text{-set} \implies \bigcup \sigma\text{-set} \in \Sigma$   
**by** *blast*  
**qed**

**lemma** (*in Protocol*) *state-differences-have-immediately-next-messages*:  
 $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \text{is-future-state } (\sigma, \sigma') \wedge \sigma \neq \sigma' \longrightarrow (\exists m \in \sigma' - \sigma. \text{immediately-next-message } (\sigma, m))$   
**using** *strict-subset-of-state-have-immediately-next-messages*  
**by** (*simp* *add: psubsetI*)

**lemma** *non-empty-non-singleton-impls-two-elements* :  
 $A \neq \emptyset \implies \neg \text{is-singleton } A \implies \exists a1\ a2. a1 \neq a2 \wedge \{a1, a2\} \subseteq A$   
**by** (*metis* *inf.orderI* *inf-bot-left* *insert-subset* *is-singletonI*)

**lemma** (*in Protocol*) *minimal-transition-implies-recieving-single-message* :  
 $\forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \text{is-singleton } (\sigma' - \sigma)$   
**proof** (*rule ccontr*)  
**assume**  $\neg (\forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \text{is-singleton } (\sigma' - \sigma))$   
**then have**  $\exists \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$   
**by** *blast*  
**have**  $\forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow$   
 $(\nexists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma')$   
**by** (*simp* *add: minimal-transitions-def*)  
**have**  $\forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$   
 $\longrightarrow (\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge$   
*immediately-next-message*  $(\sigma, m1))$   
**apply** (*rule, rule, rule*)  
**proof** –  
**fix**  $\sigma\ \sigma'$   
**assume**  $(\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$   
**then have**  $\sigma' - \sigma \neq \emptyset$   
**apply** (*simp* *add: minimal-transitions-def*)  
**by** *blast*  
**have**  $\sigma' \in \Sigma \wedge \sigma \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma')$   
**using**  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle$   
**by** (*simp* *add: minimal-transitions-def* *Σt-def*)  
**then have**  $\sigma' - \sigma \subseteq M$



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    using state-difference-is-valid-message by auto
    then have  $\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2$ 
    using non-empty-non-singleton-imps-two-elements
       $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle \langle \sigma' - \sigma \neq \emptyset \rangle$ 
    by (metis (full-types) contra-subsetD insert-subset subsetI)
    then show  $\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1)$ 
    using state-differences-have-immediately-next-messages
      by (metis Diff-iff  $\langle \sigma' \in \Sigma \wedge \sigma \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma') \rangle \text{insert-subset message-in-state-is-valid}$ )
    qed
    have  $\forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \longrightarrow$ 
       $(\exists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma')$ 
    apply (rule, rule, rule)
  proof -
    fix  $\sigma\ \sigma'$ 
    assume  $(\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$ 
    then have  $\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1)$ 
    using  $\langle \forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \longrightarrow$ 
       $(\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1)) \rangle$ 
    by simp
    then obtain  $m1\ m2$  where  $\{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1)$ 
    by auto
    have  $\sigma \in \Sigma \wedge \sigma' \in \Sigma$ 
    using  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle$ 
    by (simp add: minimal-transitions-def  $\Sigma t\text{-def}$ )
    then have  $\sigma \cup \{m1\} \in \Sigma$ 
    using  $\langle \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1) \rangle$ 
    state-transition-by-immediately-next-message
    by simp
    have  $\text{is-future-state } (\sigma, \sigma \cup \{m1\}) \wedge \text{is-future-state } (\sigma \cup \{m1\}, \sigma')$ 
    using  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle \langle \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1) \rangle$ 
    minimal-transitions-def by auto
    have  $\sigma \neq \sigma \cup \{m1\} \wedge \sigma \cup \{m1\} \neq \sigma'$ 
    using  $\langle \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1) \rangle$ 
    by auto
    then show  $\exists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma'$ 
    using  $\langle \sigma \cup \{m1\} \in \Sigma \rangle \langle \text{is-future-state } (\sigma, \sigma \cup \{m1\}) \wedge \text{is-future-state } (\sigma \cup \{m1\}, \sigma') \rangle$ 
    by auto
  qed

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**then show** *False*  
**using**  $\langle \forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow (\nexists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state}(\sigma, \sigma'') \wedge \text{is-future-state}(\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma') \rangle \langle \neg (\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \text{is-singleton}(\sigma' - \sigma)) \rangle$  **by** *blast*  
**qed**

**lemma** (**in** *Protocol*) *minimal-transitions-reconstruction* :  
 $\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \sigma \cup \{\text{the-elem}(\sigma' - \sigma)\} = \sigma'$   
**apply** (*rule*, *rule*, *rule*)  
**proof** –  
**fix**  $\sigma \sigma'$   
**assume**  $(\sigma, \sigma') \in \text{minimal-transitions}$   
**then have** *is-singleton*  $(\sigma' - \sigma)$   
**using** *minimal-transitions-def* *minimal-transition-implies-recieving-single-message*  
**by** *auto*  
**then have**  $\sigma \subseteq \sigma'$   
**using**  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \rangle$  *minimal-transitions-def* **by** *auto*  
**then show**  $\sigma \cup \{\text{the-elem}(\sigma' - \sigma)\} = \sigma'$   
**by** (*metis* *Diff-partition*  $\langle \text{is-singleton}(\sigma' - \sigma) \rangle$  *is-singleton-the-elem*)  
**qed**

**lemma** (**in** *Protocol*) *minimal-transition-is-immediately-next-message* :  
 $\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longleftrightarrow \text{immediately-next-message}(\sigma, \text{the-elem}(\sigma' - \sigma))$   
**proof** –  
**have**  $\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \text{immediately-next-message}(\sigma, \text{the-elem}(\sigma' - \sigma))$   
**using** *minimal-transition-implies-recieving-single-message* *state-transition-only-made-by-immediately-next-message* *state-differences-have-immediately-next-messages* *state-difference-is-valid-message*  
**apply** (*simp* *add: minimal-transitions-def* *immediately-next-message-def*)

**oops**

**lemma** (**in** *Protocol*) *road-to-future-state* :  
 $\forall \sigma \sigma'. \sigma \in \Sigma \wedge \sigma' \in \Sigma \wedge \text{is-future-state}(\sigma, \sigma') \longrightarrow n = \text{card}(\sigma' - \sigma) \longrightarrow (\exists f. f\ 0 = \sigma \wedge f\ n = \sigma' \wedge (\forall i. 0 \leq i \wedge i \leq n - 1 \longrightarrow f\ i \in \Sigma \wedge (\exists m \in M. f\ i \cup \{m\} = f\ (\text{Suc } i))))$   
**apply** (*rule*, *rule*, *rule*, *rule*)  
**oops**

**end**

## 4 Safety Proof

**theory** *ConsensusSafety*

**imports** *Main CBCCasper MessageJustification StateTransition Libraries/LaTeXsugar*

**begin**

**definition** (*in Protocol*) *futures* :: *state*  $\Rightarrow$  *state set*  
**where**  
*futures*  $\sigma = \{\sigma' \in \Sigma t. \text{is-future-state } (\sigma, \sigma')\}$

**lemma** (*in Protocol*) *monotonic-futures* :  
 $\forall \sigma' \sigma. \sigma' \in \Sigma t \wedge \sigma \in \Sigma t$   
 $\longrightarrow \sigma' \in \text{futures } \sigma \longleftrightarrow \text{futures } \sigma' \subseteq \text{futures } \sigma$   
**apply** (*simp add: futures-def*) **by** *auto*

**theorem** (*in Protocol*) *two-party-common-futures* :  
 $\forall \sigma 1 \sigma 2. \sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$   
 $\longrightarrow \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$   
 $\longrightarrow \text{futures } \sigma 1 \cap \text{futures } \sigma 2 \neq \emptyset$   
**apply** (*simp add: futures-def  $\Sigma t$ -def*) **using** *union-of-two-states-is-state*  
**by** *blast*

**theorem** (*in Protocol*) *n-party-common-futures* :  
 $\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$   
 $\longrightarrow \text{finite } \sigma\text{-set}$   
 $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$   
 $\longrightarrow \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\} \neq \emptyset$   
**apply** (*simp add: futures-def  $\Sigma t$ -def*) **using** *union-of-finite-set-of-states-is-state*  
**by** *blast*

**lemma** (*in Protocol*) *n-party-common-futures-exists* :  
 $\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$   
 $\longrightarrow \text{finite } \sigma\text{-set}$   
 $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$   
 $\longrightarrow (\exists \sigma \in \Sigma t. \sigma \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$   
**apply** (*simp add: futures-def  $\Sigma t$ -def*) **using** *union-of-finite-set-of-states-is-state*  
**by** *blast*

**definition** (*in Protocol*) *state-property-is-decided* :: (*state-property* \* *state*)  $\Rightarrow$  *bool*

**where**

$state\text{-}property\text{-}is\text{-}decided = (\lambda(p, \sigma). (\forall \sigma' \in \text{futures } \sigma . p \sigma'))$

**lemma** (*in Protocol*) *forward-consistency* :

$\forall \sigma' \sigma. \sigma' \in \Sigma t \wedge \sigma \in \Sigma t$

$\longrightarrow \sigma' \in \text{futures } \sigma$

$\longrightarrow state\text{-}property\text{-}is\text{-}decided (p, \sigma)$

$\longrightarrow state\text{-}property\text{-}is\text{-}decided (p, \sigma')$

**apply** (*simp add: futures-def state-property-is-decided-def*)

**by** *auto*

**fun** *state-property-not* :: *state-property*  $\Rightarrow$  *state-property*

**where**

$state\text{-}property\text{-}not\ p = (\lambda\sigma. (\neg p \sigma))$

**lemma** (*in Protocol*) *backward-consistency* :

$\forall \sigma' \sigma. \sigma' \in \Sigma t \wedge \sigma \in \Sigma t$

$\longrightarrow \sigma' \in \text{futures } \sigma$

$\longrightarrow state\text{-}property\text{-}is\text{-}decided (p, \sigma')$

$\longrightarrow \neg state\text{-}property\text{-}is\text{-}decided (state\text{-}property\text{-}not\ p, \sigma)$

**apply** (*simp add: futures-def state-property-is-decided-def*)

**by** *auto*

**theorem** (*in Protocol*) *two-party-consensus-safety-for-state-property* :

$\forall \sigma 1\ \sigma 2. \sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$

$\longrightarrow is\text{-}faults\text{-}lt\text{-}threshold (\sigma 1 \cup \sigma 2)$

$\longrightarrow \neg (state\text{-}property\text{-}is\text{-}decided (p, \sigma 1) \wedge state\text{-}property\text{-}is\text{-}decided (state\text{-}property\text{-}not\ p, \sigma 2))$

**apply** (*simp add: state-property-is-decided-def*)

**using** *two-party-common-futures*

**by** (*metis Int-emptyI*)

**definition** (*in Protocol*) *state-properties-are-inconsistent* :: *state-property set*  $\Rightarrow$  *bool*

**where**

$state\text{-}properties\text{-}are\text{-}inconsistent\ p\text{-}set = (\forall \sigma \in \Sigma. \neg (\forall p \in p\text{-}set. p \sigma))$

**definition** (*in Protocol*) *state-properties-are-consistent* :: *state-property set*  $\Rightarrow$  *bool*

**where**

$state\text{-}properties\text{-}are\text{-}consistent\ p\text{-}set = (\exists \sigma \in \Sigma. \forall p \in p\text{-}set. p \sigma)$

**definition** (*in Protocol*) *state-property-decisions* :: *state*  $\Rightarrow$  *state-property set*

**where**

*state-property-decisions*  $\sigma = \{p. \text{state-property-is-decided } (p, \sigma)\}$

**theorem** (in *Protocol*) *n-party-safety-for-state-properties* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$

$\longrightarrow \text{finite } \sigma\text{-set}$

$\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$

$\longrightarrow \text{state-properties-are-consistent } (\bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$

**apply** *rule+*

**proof**–

**fix**  $\sigma\text{-set}$

**assume**  $\sigma\text{-set}: \sigma\text{-set} \subseteq \Sigma t$

**and** *finite*  $\sigma\text{-set}$

**and** *is-faults-lt-threshold*  $(\bigcup \sigma\text{-set})$

**hence**  $\exists \sigma \in \Sigma t. \sigma \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}$

**using** *n-party-common-futures-exists* **by** *simp*

**hence**  $\exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. \sigma \in \text{futures } s$

**by** *blast*

**hence**  $\exists \sigma \in \Sigma t. (\forall s \in \sigma\text{-set}. \sigma \in \text{futures } s) \wedge (\forall s \in \sigma\text{-set}. \sigma \in \text{futures } s \longrightarrow (\forall p. \text{state-property-is-decided } (p, s) \longrightarrow \text{state-property-is-decided } (p, \sigma)))$

**by** (*simp add: subset-eq state-property-is-decided-def futures-def*)

**hence**  $\exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. (\forall p. \text{state-property-is-decided } (p, s) \longrightarrow \text{state-property-is-decided } (p, \sigma))$

**by** *blast*

**hence**  $\exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. (\forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma))$

**by** (*simp add: state-property-decisions-def*)

**hence**  $\exists \sigma \in \Sigma t. \forall p \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}. \text{state-property-is-decided } (p, \sigma)$

**proof**–

**obtain**  $\sigma$  **where**  $\sigma \in \Sigma t \forall s \in \sigma\text{-set}. (\forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma))$

**using**  $\langle \exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. \forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma) \rangle$  **by** *blast*

**have**  $\forall p \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}. \text{state-property-is-decided } (p, \sigma)$

**using**  $\langle \forall s \in \sigma\text{-set}. \forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma) \rangle$  **by** *fastforce*

**thus** *?thesis*

**using**  $\langle \sigma \in \Sigma t \rangle$  **by** *blast*

**qed**

**hence**  $\exists \sigma \in \Sigma t. \forall p \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}. \forall \sigma' \in \text{futures } \sigma. p \sigma'$

**by** (*simp add: state-property-decisions-def futures-def state-property-is-decided-def*)

**show** *state-properties-are-consistent*  $(\bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$

**unfolding** *state-properties-are-consistent-def*

**by** (*metis (mono-tags, lifting)  $\Sigma t\text{-def}$   $\langle \exists \sigma \in \Sigma t. \forall p \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}. \forall \sigma' \in \text{futures } \sigma. p \sigma' \rangle$  *mem-Collect-eq monotonic-futures order-refl**)

**qed**

**definition** (in *Protocol*) *naturally-corresponding-state-property* :: *consensus-value-property*  $\Rightarrow$  *state-property*

**where**

*naturally-corresponding-state-property*  $q = (\lambda \sigma. \forall c \in \varepsilon \sigma. q \ c)$

**definition** (in *Protocol*) *consensus-value-properties-are-consistent* :: *consensus-value-property* *set*  $\Rightarrow$  *bool*

**where**

*consensus-value-properties-are-consistent*  $q\text{-set} = (\exists c \in C. \forall q \in q\text{-set}. q \ c)$

**lemma** (in *Protocol*) *naturally-corresponding-consistency* :

$\forall q\text{-set}. \text{state-properties-are-consistent } \{ \text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set} \}$

$\longrightarrow \text{consensus-value-properties-are-consistent } q\text{-set}$

**apply** (*rule*, *rule*)

**proof** –

**fix**  $q\text{-set}$

**have**

*state-properties-are-consistent*  $\{ \text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set} \}$

$\longrightarrow (\exists \sigma \in \Sigma. \forall p \in \{ \lambda \sigma'. \forall c \in \varepsilon \sigma'. q \ c \mid q. q \in q\text{-set} \}. p \ \sigma)$

**by** (*simp add: naturally-corresponding-state-property-def state-properties-are-consistent-def*)

**moreover have**

$(\exists \sigma \in \Sigma. \forall p \in \{ \lambda \sigma'. \forall c \in \varepsilon \sigma'. q \ c \mid q. q \in q\text{-set} \}. p \ \sigma)$

$\longrightarrow (\exists \sigma \in \Sigma. \forall q' \in q\text{-set}. (\lambda \sigma'. \forall c \in \varepsilon \sigma'. q' \ c) \ \sigma)$

**by** (*metis (mono-tags, lifting) mem-Collect-eq*)

**moreover have**

$(\exists \sigma \in \Sigma. \forall q \in q\text{-set}. (\lambda \sigma'. \forall c \in \varepsilon \sigma'. q \ c) \ \sigma)$

$\longrightarrow (\exists \sigma \in \Sigma. \forall q' \in q\text{-set}. \forall c \in \varepsilon \sigma. q' \ c)$

**by** *blast*

**moreover have**

$(\exists \sigma \in \Sigma. \forall q \in q\text{-set}. \forall c \in \varepsilon \sigma. q \ c)$

$\longrightarrow (\exists \sigma \in \Sigma. \forall c \in \varepsilon \sigma. \forall q' \in q\text{-set}. q' \ c)$

**by** *blast*

**moreover have**

$(\exists \sigma \in \Sigma. \forall c \in \varepsilon \sigma. \forall q \in q\text{-set}. q \ c)$

$\longrightarrow (\exists \sigma \in \Sigma. \exists c \in \varepsilon \sigma. \forall q' \in q\text{-set}. q' \ c)$

**by** (*meson all-not-in-conv estimates-are-non-empty*)

**moreover have**

$(\exists \sigma \in \Sigma. \exists c \in \varepsilon \sigma. \forall q \in q\text{-set}. q \ c)$

$\longrightarrow (\exists c \in C. \forall q' \in q\text{-set}. q' \ c)$

**using** *is-valid-estimator-def*  $\varepsilon\text{-type}$  **by** *fastforce*

**ultimately show**

$state-properties-are-consistent \{naturally-corresponding-state-property \ q \mid q. \ q \in q-set\}$   
 $\implies consensus-value-properties-are-consistent \ q-set$   
**by** (simp add: consensus-value-properties-are-consistent-def)  
**qed**

**definition** (in Protocol)  $consensus-value-property-is-decided :: (consensus-value-property \ * \ state) \Rightarrow bool$   
**where**  
 $consensus-value-property-is-decided$   
 $= (\lambda(q, \sigma). \ state-property-is-decided \ (naturally-corresponding-state-property \ q, \sigma))$

**definition** (in Protocol)  $consensus-value-property-decisions :: state \Rightarrow consensus-value-property \ set$   
**where**  
 $consensus-value-property-decisions \ \sigma = \{q. \ consensus-value-property-is-decided \ (q, \sigma)\}$

**theorem** (in Protocol)  $n-party-safety-for-consensus-value-properties :$   
 $\forall \ \sigma-set. \ \sigma-set \subseteq \Sigma t$   
 $\longrightarrow finite \ \sigma-set$   
 $\longrightarrow is-faults-lt-threshold \ (\bigcup \ \sigma-set)$   
 $\longrightarrow consensus-value-properties-are-consistent \ (\bigcup \ \{consensus-value-property-decisions \ \sigma \mid \sigma. \ \sigma \in \sigma-set\})$   
**apply** (rule, rule, rule, rule)  
**proof** –  
**fix**  $\sigma-set$   
**assume**  $\sigma-set \subseteq \Sigma t$   
**and**  $finite \ \sigma-set$   
**and**  $is-faults-lt-threshold \ (\bigcup \ \sigma-set)$   
**hence**  $state-properties-are-consistent \ (\bigcup \ \{state-property-decisions \ \sigma \mid \sigma. \ \sigma \in \sigma-set\})$   
**using**  $\langle \sigma-set \subseteq \Sigma t \rangle \ n-party-safety-for-state-properties$  **by** auto  
**hence**  $state-properties-are-consistent \ \{p \in \bigcup \ \{state-property-decisions \ \sigma \mid \sigma. \ \sigma \in \sigma-set\}. \ \exists \ q. \ p = naturally-corresponding-state-property \ q\}$   
**unfolding**  $naturally-corresponding-state-property-def \ state-properties-are-consistent-def$   
**apply** (simp)  
**by** meson  
**hence**  $state-properties-are-consistent \ \{naturally-corresponding-state-property \ q \mid q. \ naturally-corresponding-state-property \ q \in \bigcup \ \{state-property-decisions \ \sigma \mid \sigma. \ \sigma \in \sigma-set\}\}$   
**by** (smt Collect-cong)  
**hence**  $consensus-value-properties-are-consistent \ \{q. \ naturally-corresponding-state-property \ q \in \bigcup \ \{state-property-decisions \ \sigma \mid \sigma. \ \sigma \in \sigma-set\}\}$   
**using**  $naturally-corresponding-consistency$

**proof** –  
**show** *?thesis*  
**by** (*metis* (*no-types*) *Setcompr-eq-image*  $\langle \forall q\text{-set}. \text{state-properties-are-consistent} \{ \text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set} \} \longrightarrow \text{consensus-value-properties-are-consistent } q\text{-set} \rangle \langle \text{state-properties-are-consistent } \{ \text{naturally-corresponding-state-property } q \mid q. \text{naturally-corresponding-state-property } q \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \} \rangle \text{setcompr-eq-image}$ )  
**qed**  
**hence** *consensus-value-properties-are-consistent*  $(\bigcup \{ \text{consensus-value-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \})$   
**apply** (*simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def state-property-decisions-def consensus-value-properties-are-consistent-def*)  
**by** (*metis mem-Collect-eq*)  
**thus**  
*consensus-value-properties-are-consistent*  $(\bigcup \{ \text{consensus-value-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \})$   
**by** *simp*  
**qed**

**fun** *consensus-value-property-not* :: *consensus-value-property*  $\Rightarrow$  *consensus-value-property*  
**where**  
*consensus-value-property-not*  $p = (\lambda c. (\neg p \ c))$

**lemma** (*in Protocol*) *negation-is-not-decided-by-other-validator* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$   
 $\longrightarrow \text{finite } \sigma\text{-set}$   
 $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$   
 $\longrightarrow (\forall \sigma \sigma' p. \{ \sigma, \sigma' \} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma$   
 $\longrightarrow \text{consensus-value-property-not } p \notin \text{consensus-value-property-decisions } \sigma')$

**apply** (*rule, rule, rule, rule, rule, rule, rule, rule*)

**proof** –

**fix**  $\sigma\text{-set } \sigma \sigma' p$   
**assume**  $\sigma\text{-set} \subseteq \Sigma t$  **and** *finite*  $\sigma\text{-set}$  **and** *is-faults-lt-threshold*  $(\bigcup \sigma\text{-set})$  **and**  $\{ \sigma, \sigma' \} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma$   
**hence**  $\exists \sigma. \sigma \in \Sigma t \wedge \sigma \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$   
**using** *n-party-common-futures-exists* **by** *meson*  
**then obtain**  $\sigma''$  **where**  $\sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$  **by** *auto*  
**hence** *state-property-is-decided* (*naturally-corresponding-state-property*  $p, \sigma''$ )  
**using**  $\langle \{ \sigma, \sigma' \} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma \rangle \text{consensus-value-property-decisions-def}$   
*consensus-value-property-is-decided-def*  
**using**  $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$  *forward-consistency* **by** *fastforce*  
**have**  $\sigma'' \in \text{futures } \sigma'$   
**using**  $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle \langle \{ \sigma, \sigma' \} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma \rangle$   
**by** *auto*  
**hence**  $\neg \text{state-property-is-decided } (\text{state-property-not } (\text{naturally-corresponding-state-property } p), \sigma')$



**using** *backward-consistency*  $\langle \text{state-property-is-decided } (\text{naturally-corresponding-state-property } p, \sigma') \rangle$   
**using**  $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle \langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma \rangle$  **by** *auto*  
**then show** *consensus-value-property-not*  $p \notin \text{consensus-value-property-decisions } \sigma'$   
**apply** (*simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def naturally-corresponding-state-property-def state-property-is-decided-def*)  
**using**  $\Sigma t\text{-def}$  *estimates-are-non-empty futures-def* **by** *fastforce*  
**qed**

**lemma** (in *Protocol*) *n-party-consensus-safety* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$   
 $\rightarrow \text{finite } \sigma\text{-set}$   
 $\rightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$   
 $\rightarrow (\forall p \in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}. (\lambda c. (\neg p \ c)) \notin \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}))$   
**apply** (*rule, rule, rule, rule, rule, rule*)

**proof** –

**fix**  $\sigma\text{-set } p$   
**assume**  $\sigma\text{-set} \subseteq \Sigma t$  **and** *finite*  $\sigma\text{-set}$  **and** *is-faults-lt-threshold*  $(\bigcup \sigma\text{-set})$  **and**  $p \in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}$   
**and**  $(\lambda c. (\neg p \ c)) \in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}$   
**hence**  $\exists \sigma. \sigma \in \Sigma t \wedge \sigma \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$   
**using** *n-party-common-futures-exists* **by** *meson*  
**then obtain**  $\sigma''$  **where**  $\sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$  **by** *auto*  
**hence** *state-property-is-decided*  $(\text{naturally-corresponding-state-property } p, \sigma'')$   
**using**  $\langle p \in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \} \rangle$  *consensus-value-property-decisions-def*  
**using**  $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$  *forward-consistency* **by** *fastforce*  
**have** *state-property-is-decided*  $(\text{naturally-corresponding-state-property } (\lambda c. (\neg p \ c)), \sigma'')$   
**using**  $\langle (\lambda c. (\neg p \ c)) \in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \} \rangle$  *consensus-value-property-decisions-def* *consensus-value-property-is-decided-def*

**using**  $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$  *forward-consistency*  $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle$  **by** *fastforce*  
**then show** *False*  
**using** *state-property-is-decided*  $(\text{naturally-corresponding-state-property } p, \sigma'')$   
**apply** (*simp add: state-property-is-decided-def naturally-corresponding-state-property-def*)  
**by** (*meson*  $\Sigma t\text{-is-subset-of-}\Sigma$   $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle$  *estimates-are-non-empty monotonic-futures order-refl subsetCE*)  
**qed**

**lemma** (in *Protocol*) *two-party-consensus-safety-for-consensus-value-property* :

$\forall \sigma 1 \ \sigma 2. \sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$   
 $\rightarrow \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$

$\longrightarrow$  *consensus-value-property-is-decided* ( $p, \sigma 1$ )  
 $\longrightarrow \neg$  *consensus-value-property-is-decided* (*consensus-value-property-not*  $p, \sigma 2$ )  
**apply** (*rule, rule, rule, rule, rule*)  
**proof** –  
**fix**  $\sigma 1 \sigma 2$   
**have** *two-party*:  $\forall \sigma 1 \sigma 2. \{\sigma 1, \sigma 2\} \subseteq \Sigma t$   
 $\longrightarrow$  *is-faults-lt-threshold* ( $\bigcup \{\sigma 1, \sigma 2\}$ )  
 $\longrightarrow p \in$  *consensus-value-property-decisions*  $\sigma 1$   
 $\longrightarrow$  *consensus-value-property-not*  $p \notin$  *consensus-value-property-decisions*  $\sigma 2$   
**using** *negation-is-not-decided-by-other-validator*  
**by** (*meson finite.emptyI finite.insertI order-refl*)  
**assume**  $\sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$  **and** *is-faults-lt-threshold* ( $\sigma 1 \cup \sigma 2$ ) **and** *consensus-value-property-is-decided* ( $p, \sigma 1$ )  
**then show**  $\neg$  *consensus-value-property-is-decided* (*consensus-value-property-not*  $p, \sigma 2$ )  
**using** *two-party*  
**apply** (*simp add: consensus-value-property-decisions-def*)  
**by** *blast*  
**qed**

**lemma** (**in** *Protocol*) *n-party-consensus-safety-for-power-set-of-decisions* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$   
 $\longrightarrow$  *finite*  $\sigma\text{-set}$   
 $\longrightarrow$  *is-faults-lt-threshold* ( $\bigcup \sigma\text{-set}$ )  
 $\longrightarrow (\forall \sigma \text{ p-set}. \sigma \in \sigma\text{-set} \wedge \text{p-set} \in \text{Pow} (\bigcup \{\text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set}\}) - \{\emptyset\})$   
 $\longrightarrow (\lambda c. \neg (\forall p \in \text{p-set}. p \ c)) \notin$  *consensus-value-property-decisions*  $\sigma$   
**apply** (*rule, rule, rule, rule, rule, rule, rule, rule, rule*)

**proof** –  
**fix**  $\sigma\text{-set} \sigma \text{ p-set}$   
**assume**  $\sigma\text{-set} \subseteq \Sigma t$  **and** *finite*  $\sigma\text{-set}$  **and** *is-faults-lt-threshold* ( $\bigcup \sigma\text{-set}$ )  
**and**  $\sigma \in \sigma\text{-set} \wedge \text{p-set} \in \text{Pow} (\bigcup \{\text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set}\}) - \{\emptyset\}$   
**and**  $(\lambda c. \neg (\forall p \in \text{p-set}. p \ c)) \in$  *consensus-value-property-decisions*  $\sigma$   
**hence**  $\exists \sigma. \sigma \in \Sigma t \wedge \sigma \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}$   
**using** *n-party-common-futures-exists* **by** *meson*  
**then obtain**  $\sigma'$  **where**  $\sigma' \in \Sigma t \wedge \sigma' \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}$  **by** *auto*  
**hence**  $\forall p \in \text{p-set}. \exists \sigma'' \in \sigma\text{-set}. \text{state-property-is-decided}$  (*naturally-corresponding-state-property*  $p, \sigma''$ )  
**using**  $\langle \sigma \in \sigma\text{-set} \wedge \text{p-set} \in \text{Pow} (\bigcup \{\text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set}\}) - \{\emptyset\} \rangle$   
**apply** (*simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def*)  
**by** *blast*  
**have**  $\forall \sigma'' \in \sigma\text{-set}. \sigma' \in \text{futures } \sigma''$   
**using**  $\langle \sigma' \in \Sigma t \wedge \sigma' \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\} \rangle$  **by** *blast*  
**hence**  $\forall p \in \text{p-set}. \text{state-property-is-decided}$  (*naturally-corresponding-state-property*  $p, \sigma'$ )  
**using** *forward-consistency*  $\forall p \in \text{p-set}. \exists \sigma'' \in \sigma\text{-set}. \text{state-property-is-decided}$

```

(naturally-corresponding-state-property p,  $\sigma'$ )
  by (meson  $\langle \sigma' \in \Sigma t \wedge \sigma' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle \langle \sigma\text{-set} \subseteq \Sigma t \rangle \text{subsetCE}$ )
  hence state-property-is-decided (naturally-corresponding-state-property ( $\lambda c. \forall p$ 
 $\in p\text{-set}. p\ c$ ),  $\sigma'$ )
  apply (simp add: naturally-corresponding-state-property-def state-property-is-decided-def)
  by auto
  then show False
    using  $\langle \lambda c. \neg (\forall p \in p\text{-set}. p\ c) \rangle \in \text{consensus-value-property-decisions } \sigma$ 
    apply (simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def
naturally-corresponding-state-property-def state-property-is-decided-def)
    using  $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma \in \sigma\text{-set} \wedge p\text{-set} \in \text{Pow } (\bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}) - \{\emptyset\} \rangle \langle \sigma' \in \Sigma t \wedge \sigma' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle$ 
    estimates-are-non-empty monotonic-futures by fastforce
qed

end
theory CliqueOracle

imports Main CBCCasper LatestMessage StateTransition ConsensusSafety

begin

```

```

definition agreeing :: (consensus-value-property * state * validator)  $\Rightarrow$  bool
where
  agreeing = ( $\lambda(p, \sigma, v). \forall c \in L\text{-H-E } \sigma\ v. p\ c$ )

```

```

definition agreeing-validators :: (consensus-value-property * state)  $\Rightarrow$  validator set
where
  agreeing-validators = ( $\lambda(p, \sigma). \{v \in \text{observed-non-equivocating-validators } \sigma. \text{agreeing } (p, \sigma, v)\}$ )

```

**lemma** (in *Protocol*) *agreeing-validators-type* :  
 $\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \subseteq V$   
**apply** (*simp add: observed-non-equivocating-validators-def agreeing-validators-def*)  
**using** *observed-type-for-state* **by** *auto*

**lemma** (in *Protocol*) *agreeing-validators-finite* :  
 $\forall \sigma \in \Sigma. \text{finite } (\text{agreeing-validators } (p, \sigma))$   
**by** (*meson V-type agreeing-validators-type rev-finite-subset*)

**lemma** (in *Protocol*) *agreeing-validators-are-observed-non-equivocating-validators* :  
 $\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \subseteq \text{observed-non-equivocating-validators } \sigma$   
**by** (*simp add: agreeing-validators-def*)

**lemma** (in *Protocol*) *agreeing-validators-are-not-equivocating* :  
 $\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \cap \text{equivocating-validators } \sigma = \emptyset$   
**using** *agreeing-validators-are-observed-non-equivocating-validators*  
*observed-non-equivocating-validators-are-not-equivocating*  
**by** *blast*

**definition** (in *Params*) *disagreeing-validators* :: (*consensus-value-property* \* *state*)  
 $\Rightarrow$  *validator set*  
**where**  
 $\text{disagreeing-validators} = (\lambda(p, \sigma). V - \text{agreeing-validators } (p, \sigma) - \text{equivocating-validators } \sigma)$

**lemma** (in *Protocol*) *disagreeing-validators-type* :  
 $\forall \sigma \in \Sigma. \text{disagreeing-validators } (p, \sigma) \subseteq V$   
**apply** (*simp add: disagreeing-validators-def*)  
**by** *auto*

**lemma** (in *Protocol*) *disagreeing-validators-are-non-observed-or-not-agreeing* :  
 $\forall \sigma \in \Sigma. \text{disagreeing-validators } (p, \sigma) = \{v \in V - \text{equivocating-validators } \sigma. v \notin \text{observed } \sigma \vee (\exists c \in L-H-E \sigma v. \neg p c)\}$   
**apply** (*simp add: disagreeing-validators-def agreeing-validators-def observed-non-equivocating-validators-def agreeing-def*)  
**by** *blast*

**lemma** (in *Protocol*) *disagreeing-validators-include-not-agreeing-validators* :  
 $\forall \sigma \in \Sigma. \{v \in V - \text{equivocating-validators } \sigma. \exists c \in L-H-E \sigma v. \neg p c\} \subseteq \text{disagreeing-validators } (p, \sigma)$   
**using** *disagreeing-validators-are-non-observed-or-not-agreeing* **by** *blast*

**lemma** (in *Protocol*) *weight-measure-agreeing-plus-equivocating* :  
 $\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma) \cup \text{equivocating-validators } \sigma)$   
 $= \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) + \text{equivocation-fault-weight } \sigma$   
**unfolding** *equivocation-fault-weight-def*  
**using** *agreeing-validators-are-not-equivocating weight-measure-disjoint-plus agreeing-validators-finite*

*equivocating-validators-is-finite*  
**by** *simp*

**lemma** (in *Protocol*) *disagreeing-validators-weight-combined* :  
 $\forall \sigma \in \Sigma. \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) = \text{weight-measure } V -$   
 $\text{weight-measure } (\text{agreeing-validators } (p, \sigma)) - \text{equivocation-fault-weight } \sigma$   
**unfolding** *disagreeing-validators-def*  
**using** *weight-measure-agreeing-plus-equivocating*  
**unfolding** *equivocation-fault-weight-def*  
**using** *agreeing-validators-are-not-equivocating weight-measure-subset-minus agreeing-validators-finite*  
*equivocating-validators-is-finite*  
**by** (*smt Diff-empty Diff-iff Int-iff V-type agreeing-validators-type equivocating-validators-type*  
*finite-Diff old.prod.case subset-iff*)

**lemma** (in *Protocol*) *agreeing-validators-weight-combined* :  
 $\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) = \text{weight-measure } V -$   
 $\text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) - \text{equivocation-fault-weight } \sigma$   
**using** *disagreeing-validators-weight-combined*  
**by** *simp*

**definition** (in *Params*) *majority* :: (validator set \* state)  $\Rightarrow$  bool  
**where**  
 $\text{majority} = (\lambda(v\text{-set}, \sigma). (\text{weight-measure } v\text{-set} > (\text{weight-measure } (V - \text{equivocating-validators } \sigma)) \text{ div } 2))$

**definition** (in *Protocol*) *majority-driven* :: consensus-value-property  $\Rightarrow$  bool  
**where**  
 $\text{majority-driven } p = (\forall \sigma \in \Sigma. \text{majority } (\text{agreeing-validators } (p, \sigma), \sigma) \longrightarrow (\forall c \in \varepsilon \sigma. p \ c))$

**definition** (in *Protocol*) *max-driven* :: consensus-value-property  $\Rightarrow$  bool  
**where**  
 $\text{max-driven } p =$   
 $(\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) > \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) \longrightarrow (\forall c \in \varepsilon \sigma. p \ c))$

**definition** (in *Protocol*) *max-driven-for-future* :: consensus-value-property  $\Rightarrow$  state  
 $\Rightarrow$  bool  
**where**  
 $\text{max-driven-for-future } p \ \sigma =$   
 $(\forall \sigma' \in \Sigma. \text{is-future-state } (\sigma, \sigma') \longrightarrow \text{weight-measure } (\text{agreeing-validators } (p, \sigma')) > \text{weight-measure } (\text{disagreeing-validators } (p, \sigma')) \longrightarrow (\forall c \in \varepsilon \sigma'. p \ c))$

**definition** *later-disagreeing-messages* :: (consensus-value-property \* message \* val-

$idator * state) \Rightarrow message\ set$

**where**

$later-disagreeing-messages = (\lambda(p, m, v, \sigma). \{m' \in later-from\ (m, v, \sigma). \neg p\ (est\ m')\})$

**lemma** (in *Protocol*) *later-disagreeing-messages-type* :

$\forall p\ \sigma\ v\ m. \sigma \in \Sigma \wedge v \in V \wedge m \in M \longrightarrow later-disagreeing-messages\ (p, m, v, \sigma) \subseteq M$

**unfolding** *later-disagreeing-messages-def*

**using** *later-from-type-for-state* **by** *auto*

**definition** *is-clique* ::  $(validator\ set * consensus-value-property * state) \Rightarrow bool$

**where**

$is-clique = (\lambda(v-set, p, \sigma).$

$(\forall v \in v-set. v \in observed-non-equivocating-validators\ \sigma$

$\wedge (\forall v' \in v-set.$

$agreeing\ (p, (the-elem\ (L-H-J\ \sigma\ v)), v')$

$\wedge later-disagreeing-messages\ (p, the-elem\ (L-H-M\ (the-elem\ (L-H-J\ \sigma\ v))\ v'), v', \sigma) = \emptyset)))$

**lemma** (in *Protocol*) *non-equivocating-validator-is-non-equivocating-in-past* :

$\forall \sigma\ v\ \sigma'. v \in V \wedge \{\sigma, \sigma'\} \subseteq \Sigma \wedge is-future-state\ (\sigma', \sigma)$

$\longrightarrow v \notin equivocating-validators\ \sigma$

$\longrightarrow v \notin equivocating-validators\ \sigma'$

**oops**

**lemma** (in *Protocol*) *validator-in-clique-see-L-H-M-of-others-is-singleton* :

$\forall v-set\ p\ \sigma. v-set \subseteq V \wedge \sigma \in \Sigma$

$\longrightarrow is-clique\ (v-set, p, \sigma)$

$\longrightarrow (\forall v\ v'. \{v, v'\} \subseteq v-set \longrightarrow is-singleton\ (L-H-M\ (the-elem\ (L-H-J\ \sigma\ v))\ v'))$

**sorry**

**lemma** (in *Protocol*) *later-from-of-non-sender-not-affected-by-minimal-transitions* :

$\forall \sigma\ \sigma'\ m\ m'\ v. (\sigma, \sigma') \in minimal-transitions \wedge m \in M$

```

  →  $m' = \text{the-elem } (\sigma' - \sigma)$ 
  →  $v \in V - \{\text{sender } m'\}$ 
  →  $\text{later-from } (m, v, \sigma) = \text{later-from } (m, v, \sigma')$ 
  apply (rule, rule, rule, rule, rule, rule, rule, rule)
proof -
  fix  $\sigma \sigma' m m' v$ 
  assume  $(\sigma, \sigma') \in \text{minimal-transitions} \wedge m \in M$ 
  assume  $m' = \text{the-elem } (\sigma' - \sigma)$ 
  assume  $v \in V - \{\text{sender } m'\}$ 

  have  $\text{later-from } (m, v, \sigma) = \{m'' \in \sigma. \text{sender } m'' = v \wedge \text{justified } m m''\}$ 
  apply (simp add: later-from-def from-sender-def later-def)
  by auto
  also have  $\dots = \{m'' \in \sigma. \text{sender } m'' = v \wedge \text{justified } m m''\} \cup \emptyset$ 
  by auto
  also have  $\dots = \{m'' \in \sigma. \text{sender } m'' = v \wedge \text{justified } m m''\} \cup \{m'' \in \{m'\}. \text{sender } m'' = v\}$ 
  sender  $m'' = v\}$ 
  proof -
    have  $\{m'' \in \{m'\}. \text{sender } m'' = v\} = \emptyset$ 
    using  $\langle v \in V - \{\text{sender } m'\} \rangle$  by auto
    thus ?thesis
    by blast
  qed
  also have  $\dots = \{m'' \in \sigma. \text{sender } m'' = v \wedge \text{justified } m m''\} \cup \{m'' \in \{m'\}. \text{sender } m'' = v \wedge \text{justified } m m''\}$ 
  sender  $m'' = v \wedge \text{justified } m m''\}$ 
  proof -
    have  $\text{sender } m' = v \implies \text{justified } m m'$ 
    using  $\langle v \in V - \{\text{sender } m'\} \rangle$  by auto
    thus ?thesis
    by blast
  qed
  also have  $\dots = \{m'' \in \sigma \cup \{m'\}. \text{sender } m'' = v \wedge \text{justified } m m''\}$ 
  by auto
  also have  $\dots = \{m'' \in \sigma'. \text{sender } m'' = v \wedge \text{justified } m m''\}$ 
  proof -
    have  $\sigma' = \sigma \cup \{m'\}$ 
    using  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge m \in M \rangle \langle m' = \text{the-elem } (\sigma' - \sigma) \rangle$ 
    minimal-transitions-reconstruction by auto
    then show ?thesis
    by auto
  qed
  then have  $\dots = \text{later-from } (m, v, \sigma')$ 
  apply (simp add: later-from-def from-sender-def later-def)
  by auto
  then show  $\text{later-from } (m, v, \sigma) = \text{later-from } (m, v, \sigma')$ 
  using  $\langle \{m'' \in \sigma \cup \{m'\}. \text{sender } m'' = v \wedge \text{justified } m m''\} = \{m'' \in \sigma'. \text{sender } m'' = v \wedge \text{justified } m m''\} \rangle$  calculation by auto
  qed

```

**lemma** (in *Protocol*) *equivocation-status-of-non-sender-not-affected-by-minimal-transitions*

:

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow v \in V - \{\text{sender } m'\}$   
 $\longrightarrow v \in \text{equivocating-validators } \sigma \longleftrightarrow v \in \text{equivocating-validators } \sigma'$   
**oops**

**lemma** (in *Protocol*) *L-M-of-non-sender-not-affected-by-minimal-transitions* :

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow v \in V - \{\text{sender } m'\}$   
 $\longrightarrow L-H-M \sigma v = L-H-M \sigma' v$   
**oops**

**lemma** (in *Protocol*) *latest-justificationss-of-non-sender-not-affected-by-minimal-transitions*

:

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow v \in V - \{\text{sender } m'\}$   
 $\longrightarrow L-H-J \sigma v = L-H-J \sigma' v$   
**oops**

**lemma** (in *Protocol*) *later-disagreeing-of-non-sender-not-affected-by-minimal-transitions*

:

$\forall \sigma \sigma' m m' v. (\sigma, \sigma') \in \text{minimal-transitions} \wedge m \in M$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow v \in V - \{\text{sender } m'\}$   
 $\longrightarrow \text{later-disagreeing-messages } (p, m, v, \sigma) = \text{later-disagreeing-messages } (p, m, v, \sigma')$   
**oops**

**lemma** (in *Protocol*) *clique-not-affected-by-message-from-non-member* :

$\forall \sigma m v\text{-set } p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \text{sender } m \notin v\text{-set}$   
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma)$   
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma \cup \{m\})$   
**sorry**



**lemma** (*in Protocol*) *free-sub-clique* :  
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) = \text{is-clique } (v\text{-set} - \{\text{sender } m'\}, p, \sigma')$   
**oops**

**lemma** (*in Protocol*) *later-messages-from-non-equivocating-validator-include-all-earlier-messages* :  
 $\forall v \sigma \sigma1 \sigma2. \sigma \in \Sigma \wedge \sigma1 \in \Sigma \wedge \sigma1 \subseteq \sigma \wedge \sigma2 \subseteq \sigma \wedge \sigma1 \cap \sigma2 = \emptyset$   
 $\longrightarrow (\forall m1 \in \sigma1. \text{sender}(m1) = v \longrightarrow (\forall m2 \in \sigma2. \text{sender}(m2) = v \longrightarrow m1$   
 $\in \text{justification}(m2)))$   
**using** *strict-subset-of-state-have-immediately-next-messages*  
**apply** (*simp add: immediately-next-message-def*)  
**oops**

**lemma** (*in Protocol*) *message-between-minimal-transition-is-latest-message* :  
 $\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow v \notin \text{equivocating-validators } \sigma'$   
 $\longrightarrow m' = \text{the-elem } (L\text{-H-M } \sigma' v)$   
**oops**

**lemma** (*in Protocol*) *latest-message-from-non-equivocating-validator-is-previous-latest-or-later* :  
 $\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma \wedge v \notin \text{equivocating-validators } \sigma'$   
 $\longrightarrow \text{the-elem } (L\text{-H-M } (\text{justification } m') v)$   
 $\quad = \text{the-elem } (L\text{-H-M } (\text{the-elem } (L\text{-H-J } \sigma (\text{sender } m')) v)$   
 $\quad \vee \text{justified } (\text{the-elem } (L\text{-H-M } (\text{the-elem } (L\text{-H-J } \sigma (\text{sender } m')) v))$   
 $\quad \quad (\text{the-elem } (L\text{-H-M } (\text{justification } m') v))$   
**oops**

**lemma** (*in Protocol*) *justified-message-exists-in-later-from* :  
 $\forall \sigma m1 m2. \sigma \in \Sigma \wedge \{m1, m2\} \subseteq \sigma$   
 $\longrightarrow \text{justified } m1 m2 \longrightarrow m2 \in \text{later-from } (m1, \text{sender } m1, \sigma)$   
**apply** (*simp add: later-from-def later-def from-sender-def*)  
**oops**

**lemma** (*in Protocol*) *non-equivocating-message-from-clique-see-clique-agreeing* :  
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) \wedge \text{sender } m' \in v\text{-set} \wedge \text{sender } m' \notin \text{equivocating-validators}$   
 $\sigma'$   
 $\longrightarrow v\text{-set} \subseteq \text{agreeing-validators } (p, \text{justification } m')$   
**oops**

**lemma** (*in Protocol*) *new-message-from-majority-clique-see-members-agreeing* :  
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) \wedge \text{sender } m' \in v\text{-set} \wedge \text{sender } m' \notin \text{equivocating-validators}$   
 $\sigma'$   
 $\wedge (\forall v \in v\text{-set}. \text{majority } (v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma v)))$   
 $\longrightarrow \text{sender } m' \in \text{agreeing-validators } (p, \text{justification } m')$   
**oops**

**lemma** (*in Protocol*) *latest-message-in-justification-of-new-message-is-latest-message* :  
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$   
 $\longrightarrow \text{the-elem } (L\text{-H-M } (\text{justification } m') (\text{sender } m')) = \text{the-elem } (L\text{-H-M } \sigma$   
 $(\text{sender } m'))$   
**oops**

**lemma** (*in Protocol*) *latest-message-justified-by-new-message* :  
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$   
 $\longrightarrow \text{justified } (\text{the-elem } (L\text{-H-M } \sigma (\text{sender } m'))) m'$   
**oops**

**lemma** (*in Protocol*) *nothing-later-than-latest-honest-message* :  
 $\forall v \sigma m. v \in V \wedge \sigma \in \Sigma \wedge m \in M$

$\longrightarrow v \notin \text{equivocating-validators } \sigma'$   
 $\longrightarrow \text{later-from } (\text{the-elem } (L-H-M \ \sigma \ v), v, \sigma) = \emptyset$   
**oops**

**lemma (in Protocol) later-messages-for-sender-is-new-message :**  
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$   
 $\longrightarrow \text{later-from } (\text{the-elem } (L-H-M \ \sigma \ (\text{sender } m')), \text{sender } m', \sigma') = \{m'\}$   
**oops**

**lemma (in Protocol) later-disagreeing-is-monotonic:**  
 $\forall v \sigma m1 \ m2. v \in V \wedge \sigma \in \Sigma \wedge \{m1, m2\} \subseteq M$   
 $\longrightarrow \text{justified } m1 \ m2$   
 $\longrightarrow \text{later-disagreeing-messages } (p, m2, v, \sigma) \subseteq \text{later-disagreeing-messages } (p, m1, v, \sigma)$   
**oops**

**lemma (in Protocol) empty-later-disagreeing-messages-in-new-message :**  
 $\forall \sigma \sigma' m' v\text{-set } v \ p. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V \wedge v \in V$   
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$   
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$   
 $\longrightarrow v \notin \text{equivocating-validators } \sigma$   
 $\longrightarrow \text{later-disagreeing-messages } (p, (\text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ (\text{sender } m')))) \ v)), v, \sigma) = \emptyset$   
 $\longrightarrow \text{later-disagreeing-messages } (p, (\text{the-elem } (L-H-M \ (\text{justification } m')) \ v)), v, \sigma) = \emptyset$   
**oops**

**lemma (in Protocol) clique-not-affected-by-honest-message-from-member :**  
 $\forall \sigma \ m \ v\text{-set } p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \text{sender } m \in v\text{-set}$   
 $\longrightarrow \neg \text{is-equivocating } (\sigma \cup \{m\}) \ (\text{sender } m)$   
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma)$   
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma \cup \{m\})$   
**sorry**

**definition (in Params) gt-threshold :: (validator set \* state)  $\Rightarrow$  bool**

**where**  
*gt-threshold*  
 $= (\lambda(v\text{-set}, \sigma).(\text{weight-measure } v\text{-set} > (\text{weight-measure } V) \text{ div } 2 + t - \text{weight-measure } (\text{equivocating-validators } \sigma)))$

**lemma** (in *Protocol*) *gt-threshold-imps-majority-for-any-validator* :  
 $\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{gt-threshold } (v\text{-set}, \sigma)$   
 $\longrightarrow (\forall v \in v\text{-set}. \text{majority } (v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma \ v)))$   
**oops**

**definition** (in *Params*) *is-clique-oracle* :: (*validator set* \* *state* \* *consensus-value-property*)  
 $\Rightarrow \text{bool}$   
**where**  
*is-clique-oracle*  
 $= (\lambda(v\text{-set}, \sigma, p). (\text{is-clique } (v\text{-set}, p, \sigma) \wedge \text{gt-threshold } (v\text{-set}, \sigma)))$

**lemma** (in *Protocol*) *clique-oracles-preserved-over-message-from-non-member* :  
 $\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \text{sender } m \notin v\text{-set}$   
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma, p)$   
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma \cup \{m\}, p)$   
**using** *clique-not-affected-by-message-from-non-member*  
**unfolding** *is-clique-oracle-def gt-threshold-def*  
**using** *equivocation-fault-weight-is-monotonic*  
**apply** *auto*  
**by** (*smt Un-insert-right  $\Sigma t$ -is-subset-of- $\Sigma$  equivocation-fault-weight-def state-transition-by-immediately-next-m*  
*subsetCE subset-insertI sup-bot.right-neutral*)

**lemma** (in *Protocol*) *clique-oracles-preserved-over-message-from-non-equivocating-member*  
:  
 $\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \text{sender } m \in v\text{-set}$   
 $\longrightarrow \neg \text{is-equivocating } (\sigma \cup \{m\}) (\text{sender } m)$   
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma, p)$   
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma \cup \{m\}, p)$   
**using** *clique-not-affected-by-honest-message-from-member*  
**unfolding** *is-clique-oracle-def gt-threshold-def*  
**using** *equivocating-validators-preserved-over-honest-message*

**using**  $\Sigma t$ -is-subset-of- $\Sigma$  **by** *auto*

**lemma** (**in** *Protocol*) *clique-oracles-preserved-over-message-from-equivocating-member* :

$\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow$  *majority-driven*  $p$   
 $\longrightarrow$  *immediately-next-message*  $(\sigma, m)$   
 $\longrightarrow$  *sender*  $m \in v\text{-set}$   
 $\longrightarrow$  *is-equivocating*  $(\sigma \cup \{m\})$  (*sender*  $m$ )  
 $\longrightarrow \sigma \cup \{m\} \in \Sigma t$   
 $\longrightarrow$  *is-clique-oracle*  $(v\text{-set}, \sigma, p)$   
 $\longrightarrow$  *is-clique-oracle*  $(v\text{-set}, \sigma \cup \{m\}, p)$

**sorry**

**lemma** (**in** *Protocol*) *clique-oracles-preserved-over-immediately-next-message* :

$\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$   
 $\longrightarrow$  *majority-driven*  $p$   
 $\longrightarrow$  *immediately-next-message*  $(\sigma, m)$   
 $\longrightarrow \sigma \cup \{m\} \in \Sigma t$   
 $\longrightarrow$  *is-clique-oracle*  $(v\text{-set}, \sigma, p)$   
 $\longrightarrow$  *is-clique-oracle*  $(v\text{-set}, \sigma \cup \{m\}, p)$

**using** *clique-oracles-preserved-over-message-from-non-member*

*clique-oracles-preserved-over-message-from-non-equivocating-member*

*clique-oracles-preserved-over-message-from-equivocating-member*

**by** (*metis* (*no-types*, *lifting*) *Un-insert-right*  $\Sigma t$ -def *insert-subset* *mem-Collect-eq* *state-is-subset-of-M*)

**lemma** (**in** *Protocol*) *clique-implies-everyone-agreeing* :

$\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$   
 $\longrightarrow$  *is-clique*  $(v\text{-set}, p, \sigma)$   
 $\longrightarrow v\text{-set} \subseteq$  *agreeing-validators*  $(p, \sigma)$   
**apply** (*rule*, *rule*, *rule*, *rule*, *rule*)

**proof**–

**fix**  $\sigma \ v\text{-set} \ p$  **assume**  $\sigma \in \Sigma \wedge v\text{-set} \subseteq V$  **and** *is-clique*  $(v\text{-set}, p, \sigma)$

**then have** *clique*:  $\forall v \in v\text{-set}. v \in$  *observed-non-equivocating-validators*  $\sigma$   
 $\wedge$  *later-disagreeing-messages*  $(p,$

$\text{the-elim } (L\text{-}H\text{-}M$   
 $(\text{the-elim } (L\text{-}H\text{-}J \ \sigma \ v)) \ v)$   
 $, v, \sigma) = \emptyset$

**by** (*simp add: is-clique-def*)

**then have** *p-on-est* :  $\forall v \in v\text{-set}. (\forall m \in \{m' \in \sigma. \text{sender } m' = v$

$\wedge$  *justified*  $(\text{the-elim } (L\text{-}H\text{-}M$   
 $(\text{the-elim } (L\text{-}H\text{-}J \ \sigma \ v)) \ v))$

$m\}.$   
 $p(est\ m))$

**by** (*simp add: later-disagreeing-messages-def later-from-def later-def from-sender-def*)  
**have**  $\forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma$   
**using** *clique by simp*  
**then have**  $\forall v \in v\text{-set}. \text{the-elem } (L\text{-}H\text{-}J\ \sigma\ v)$   
 $= \text{justification } (\text{the-elem } (L\text{-}H\text{-}M\ \sigma\ v))$   
**apply** (*simp add: L-H-J-def*)  
**by** (*metis*  $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$  *empty-iff is-singleton-the-elem L-H-M-of-observed-non-equivocating-validator-*  
*singletonD singletonI the-elem-image-unique*)  
**then have** *justified-ok*:  $\forall v \in v\text{-set}. \text{justified } (\text{the-elem } (L\text{-}H\text{-}M$   
 $(\text{the-elem } (L\text{-}H\text{-}J\ \sigma\ v))\ v))$   
 $(\text{the-elem } (L\text{-}H\text{-}M\ \sigma\ v))$   
**using** *validator-in-clique-see-L-H-M-of-others-is-singleton*  
**by** (*smt Diff-iff L-H-M-def L-H-M-is-in-the-state L-M-from-non-observed-validator-is-empty*  
*M-type*  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$   
 $\langle \text{is-clique } (v\text{-set}, p, \sigma) \rangle$  *empty-subsetI insert-subset is-singleton-the-elem justified-def*  
*observed-non-equivocating-validators-def state-is-subset-of-M subsetCE*)  
**have** *sender-ok*:  $\forall v \in v\text{-set}. \text{sender } (\text{the-elem } (L\text{-}H\text{-}M\ \sigma\ v)) = v$   
**using**  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle$  *sender-of-L-H-M*  
**using**  $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$  **by** *blast*  
**have**  $\forall v \in v\text{-set}. \text{the-elem } (L\text{-}H\text{-}M\ \sigma\ v) \in \sigma$   
**using**  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle$  *L-H-M-is-in-the-state*  
**using**  $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$  **by** *blast*  
**then have**  $\forall v \in v\text{-set}. p\ (est\ (\text{the-elem } (L\text{-}H\text{-}M\ \sigma\ v)))$   
**using** *p-on-est sender-ok justified-ok*  
**by** *blast*  
**then have**  $\forall v \in v\text{-set}. p\ (\text{the-elem } (L\text{-}H\text{-}E\ \sigma\ v))$   
**apply** (*simp add: L-H-E-def*)  
**by** (*metis* (*no-types, lifting*)  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators}$   
 $\sigma \rangle \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$  *empty-iff is-singleton-the-elem L-H-M-of-observed-non-equivocating-validator-is-singleton*  
*singletonD singletonI the-elem-image-unique*)  
**then show**  $v\text{-set} \subseteq \text{agreeing-validators } (p, \sigma)$   
**unfolding** *agreeing-validators-def agreeing-def*  
**by** (*smt*  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq$   
 $V \rangle$  *is-singleton-the-elem mem-Collect-eq L-H-E-of-observed-non-equivocating-validator-is-singleton*  
*old.prod.case singletonD subsetI*)  
**qed**

**lemma** (*in Protocol*) *threshold-sized-clique-imps-estimator-agreeing* :

$\forall \sigma\ v\text{-set}\ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{finite } v\text{-set}$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{is-clique } (v\text{-set} - \text{equivocating-validators } \sigma, p, \sigma) \wedge \text{gt-threshold } (v\text{-set} -$   
 $\text{equivocating-validators } \sigma, \sigma)$   
 $\longrightarrow (\forall c \in \varepsilon\ \sigma. p\ c)$   
**apply** (*rule, rule, rule, rule, rule, rule, rule, rule*)  
**proof** –

```

fix  $\sigma$   $v\text{-set}$   $p$   $c$ 
assume  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
and  $\text{finite } v\text{-set}$ 
and  $\text{majority-driven } p$ 
and  $\text{is-clique } (v\text{-set} - \text{equivocating-validators } \sigma, p, \sigma) \wedge \text{gt-threshold } (v\text{-set} -$ 
 $\text{equivocating-validators } \sigma, \sigma)$ 
and  $c \in \varepsilon \sigma$ 
then have  $v\text{-set} - \text{equivocating-validators } \sigma \subseteq \text{agreeing-validators } (p, \sigma)$ 
using  $\text{clique-imps-everyone-agreeing}$ 
by ( $\text{meson } \text{Diff-subset } \Sigma t\text{-is-subset-of-}\Sigma \text{ subsetCE subset-trans}$ )
then have  $\text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) \leq \text{weight-measure}$ 
 $(\text{agreeing-validators } (p, \sigma))$ 
using  $\text{agreeing-validators-finite equivocating-validators-def weight-measure-subset-gte}$ 
 $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \langle \text{finite } v\text{-set} \rangle$ 
by ( $\text{simp add: } \Sigma t\text{-def agreeing-validators-type}$ )
have  $\text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) > (\text{weight-measure } V)$ 
 $\text{div } 2 + t - \text{weight-measure } (\text{equivocating-validators } \sigma)$ 
using  $\langle \text{is-clique } (v\text{-set} - \text{equivocating-validators } \sigma, p, \sigma) \wedge \text{gt-threshold } (v\text{-set}$ 
 $- \text{equivocating-validators } \sigma, \sigma) \rangle$ 
unfolding  $\text{gt-threshold-def}$  by  $\text{simp}$ 
then have  $\text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) > (\text{weight-measure}$ 
 $V) \text{ div } 2$ 
using  $\Sigma t\text{-def } \langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \text{ equivocation-fault-weight-def is-faults-lt-threshold-def}$ 

by  $\text{auto}$ 
then have  $\text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) > (\text{weight-measure}$ 
 $(V - \text{equivocating-validators } \sigma)) \text{ div } 2$ 
proof -
have  $\text{finite } (V - \text{equivocating-validators } \sigma)$ 
using  $V\text{-type equivocating-validators-is-finite}$ 
by  $\text{simp}$ 
moreover have  $V - \text{equivocating-validators } \sigma \subseteq V$ 
by ( $\text{simp add: } \text{Diff-subset}$ )
ultimately have  $(\text{weight-measure } V) \text{ div } 2 \geq (\text{weight-measure } (V - \text{equivocating-validators}$ 
 $\sigma)) \text{ div } 2$ 
using  $\text{weight-measure-subset-gte}$ 
by ( $\text{simp add: } V\text{-type}$ )
then show  $?thesis$ 
using  $\langle \text{weight-measure } V / 2 < \text{weight-measure } (v\text{-set} - \text{equivocating-validators}$ 
 $\sigma) \rangle$  by  $\text{linarith}$ 
qed
then have  $\text{weight-measure } (\text{agreeing-validators } (p, \sigma)) > \text{weight-measure } (V -$ 
 $\text{equivocating-validators } \sigma) \text{ div } 2$ 
using  $\langle \text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) \leq \text{weight-measure}$ 
 $(\text{agreeing-validators } (p, \sigma)) \rangle$ 
by  $\text{linarith}$ 
then show  $p$   $c$ 
using  $\langle \text{majority-driven } p \rangle$  unfolding  $\text{majority-driven-def majority-def gt-threshold-def}$ 
using  $\langle c \in \varepsilon \sigma \rangle$ 

```

**using** *Mi.simps*  $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \text{ non-justifying-message-exists-in-}M\text{-}0$   
**by** *blast*  
**qed**

**lemma** (in *Protocol*) *clique-oracle-for-all-futures* :

$\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma, p)$   
 $\longrightarrow (\forall \sigma' \in \text{futures } \sigma. \text{is-clique-oracle } (v\text{-set}, \sigma', p))$   
**apply** (*rule+*)

**proof** –

**fix**  $\sigma \ v\text{-set} \ p \ \sigma'$   
**assume**  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$  **and** *majority-driven*  $p$  **and** *is-clique-oracle*  $(v\text{-set}, \sigma, p)$  **and**  $\sigma' \in \text{futures } \sigma$   
**show** *is-clique-oracle*  $(v\text{-set}, \sigma', p)$   
**using** *clique-oracles-preserved-over-immediately-next-message*

**sorry**  
**qed**

**lemma** (in *Protocol*) *clique-oracle-is-safety-oracle* :

$\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{finite } v\text{-set}$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma, p)$   
 $\longrightarrow (\forall \sigma' \in \text{futures } \sigma. \text{naturally-corresponding-state-property } p \ \sigma')$   
**apply** (*rule+*)

**proof** –

**fix**  $\sigma \ v\text{-set} \ p \ \sigma'$   
**assume**  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$  **and** *finite*  $v\text{-set}$  **and** *majority-driven*  $p$  **and** *is-clique-oracle*  $(v\text{-set}, \sigma, p)$  **and**  $\sigma' \in \text{futures } \sigma$   
**then have**  $\forall \sigma' \in \text{futures } \sigma. \text{is-clique-oracle } (v\text{-set}, \sigma', p)$   
**using** *clique-oracle-for-all-futures*  
**by** *blast*  
**then have**  $\forall \sigma' \in \text{futures } \sigma. \forall c \in \varepsilon \sigma'. p \ c$   
**using**  $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \langle \text{finite } v\text{-set} \rangle \langle \text{majority-driven } p \rangle \langle \sigma' \in \text{futures } \sigma \rangle$   
**using** *threshold-sized-clique-implies-estimator-agreeing*  
**apply** (*simp add: futures-def is-clique-oracle-def*)  
**sorry**  
**then show** *naturally-corresponding-state-property*  $p \ \sigma'$   
**apply** (*simp add: naturally-corresponding-state-property-def*)  
**using**  $\langle \sigma' \in \text{futures } \sigma \rangle$  **by** *blast*

**qed**

**end**

**theory** *Inspector*



**imports** *Main CBC\_Casper LatestMessage StateTransition ConsensusSafety*

**begin**

**definition** *agreeing* :: (*consensus-value-property* \* *state* \* *validator*)  $\Rightarrow$  *bool*

**where**

*agreeing* = ( $\lambda(p, \sigma, v). \forall c \in L-H-E \sigma v. p \ c$ )

**definition** *agreeing-validators* :: (*consensus-value-property* \* *state*)  $\Rightarrow$  *validator set*

**where**

*agreeing-validators* = ( $\lambda(p, \sigma). \{v \in \text{observed-non-equivocating-validators } \sigma. \text{agreeing } (p, \sigma, v)\}$ )

**lemma** (**in** *Protocol*) *agreeing-validators-type* :

$\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \subseteq V$

**apply** (*simp add: observed-non-equivocating-validators-def agreeing-validators-def*)

**using** *observed-type-for-state* **by** *auto*

**lemma** (**in** *Protocol*) *agreeing-validators-finite* :

$\forall \sigma \in \Sigma. \text{finite } (\text{agreeing-validators } (p, \sigma))$

**by** (*meson V-type agreeing-validators-type rev-finite-subset*)

**lemma** (**in** *Protocol*) *agreeing-validators-are-observed-non-equivocating-validators*

:

$\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \subseteq \text{observed-non-equivocating-validators } \sigma$

**by** (*simp add: agreeing-validators-def*)

**lemma** (**in** *Protocol*) *agreeing-validators-are-not-equivocating* :

$\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \cap \text{equivocating-validators } \sigma = \emptyset$

**using** *agreeing-validators-are-observed-non-equivocating-validators*

*observed-non-equivocating-validators-are-not-equivocating*

**by** *blast*

**definition** (in *Params*) *disagreeing-validators* :: (*consensus-value-property* \* *state*)  
 $\Rightarrow$  *validator set*

**where**

*disagreeing-validators* = ( $\lambda(p, \sigma). V - \text{agreeing-validators } (p, \sigma) - \text{equivocating-validators } \sigma$ )

**lemma** (in *Protocol*) *disagreeing-validators-type* :

$\forall \sigma \in \Sigma. \text{disagreeing-validators } (p, \sigma) \subseteq V$

**apply** (*simp add: disagreeing-validators-def*)

**by** *auto*

**lemma** (in *Protocol*) *disagreeing-validators-are-non-observed-or-not-agreeing* :

$\forall \sigma \in \Sigma. \text{disagreeing-validators } (p, \sigma) = \{v \in V - \text{equivocating-validators } \sigma. v \notin \text{observed } \sigma \vee (\exists c \in L-H-E \sigma v. \neg p c)\}$

**apply** (*simp add: disagreeing-validators-def agreeing-validators-def observed-non-equivocating-validators-def agreeing-def*)

**by** *blast*

**lemma** (in *Protocol*) *disagreeing-validators-include-not-agreeing-validators* :

$\forall \sigma \in \Sigma. \{v \in V - \text{equivocating-validators } \sigma. \exists c \in L-H-E \sigma v. \neg p c\} \subseteq \text{disagreeing-validators } (p, \sigma)$

**using** *disagreeing-validators-are-non-observed-or-not-agreeing* **by** *blast*

**lemma** (in *Protocol*) *weight-measure-agreeing-plus-equivocating* :

$\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma) \cup \text{equivocating-validators } \sigma) = \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) + \text{equivocation-fault-weight } \sigma$

**unfolding** *equivocation-fault-weight-def*

**using** *agreeing-validators-are-not-equivocating weight-measure-disjoint-plus agreeing-validators-finite equivocating-validators-is-finite*

**by** *simp*

**lemma** (in *Protocol*) *disagreeing-validators-weight-combined* :

$\forall \sigma \in \Sigma. \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) = \text{weight-measure } V - \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) - \text{equivocation-fault-weight } \sigma$

**unfolding** *disagreeing-validators-def*

**using** *weight-measure-agreeing-plus-equivocating*

**unfolding** *equivocation-fault-weight-def*

**using** *agreeing-validators-are-not-equivocating weight-measure-subset-minus agreeing-validators-finite equivocating-validators-is-finite*

**by** (*smt Diff-empty Diff-iff Int-iff V-type agreeing-validators-type equivocating-validators-type finite-Diff old.prod.case subset-iff*)

**lemma** (in *Protocol*) *agreeing-validators-weight-combined* :

$\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) = \text{weight-measure } V - \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) - \text{equivocation-fault-weight } \sigma$

**using** *disagreeing-validators-weight-combined*

**by** *simp*

**definition** (in *Params*) *majority* :: (validator set \* state)  $\Rightarrow$  bool

**where**

*majority* =  $(\lambda(v\text{-set}, \sigma). (\text{weight-measure } v\text{-set} > (\text{weight-measure } (V - \text{equivocating-validators } \sigma)) \text{ div } 2))$

**definition** (in *Protocol*) *majority-driven* :: consensus-value-property  $\Rightarrow$  bool

**where**

*majority-driven* *p* =  $(\forall \sigma \in \Sigma. \text{majority } (\text{agreeing-validators } (p, \sigma), \sigma) \longrightarrow (\forall c \in \varepsilon \sigma. p \ c))$

**definition** (in *Protocol*) *max-driven* :: consensus-value-property  $\Rightarrow$  bool

**where**

*max-driven* *p* =  
 $(\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) > \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) \longrightarrow (\forall c \in \varepsilon \sigma. p \ c))$

**definition** (in *Protocol*) *max-driven-for-future* :: consensus-value-property  $\Rightarrow$  state  $\Rightarrow$  bool

**where**

*max-driven-for-future* *p*  $\sigma$  =  
 $(\forall \sigma' \in \Sigma. \text{is-future-state } (\sigma, \sigma') \longrightarrow \text{weight-measure } (\text{agreeing-validators } (p, \sigma')) > \text{weight-measure } (\text{disagreeing-validators } (p, \sigma')) \longrightarrow (\forall c \in \varepsilon \sigma'. p \ c))$

**definition** *later-disagreeing-messages* :: (consensus-value-property \* message \* validator \* state)  $\Rightarrow$  message set

**where**

*later-disagreeing-messages* =  $(\lambda(p, m, v, \sigma). \{m' \in \text{later-from } (m, v, \sigma). \neg p \ (\text{est } m')\})$

**lemma** (in *Protocol*) *later-disagreeing-messages-type* :

$\forall p \ \sigma \ v \ m. \ \sigma \in \Sigma \wedge v \in V \wedge m \in M \longrightarrow \text{later-disagreeing-messages } (p, m, v, \sigma) \subseteq M$

**unfolding** *later-disagreeing-messages-def*

**using** *later-from-type-for-state* **by** *auto*

**lemma** (in *Protocol*) *non-equivocating-validator-is-non-equivocating-in-past* :

$\forall \sigma \ v \ \sigma'. \ v \in V \wedge \{\sigma, \sigma'\} \subseteq \Sigma \wedge \text{is-future-state } (\sigma', \sigma) \longrightarrow v \notin \text{equivocating-validators } \sigma$

$\longrightarrow v \notin \text{equivocating-validators } \sigma'$

**oops**

**definition** (in *Params*) *gt-threshold* :: (validator set \* state)  $\Rightarrow$  bool

**where**

*gt-threshold*

$= (\lambda(v\text{-set}, \sigma).(\text{weight-measure } v\text{-set} > (\text{weight-measure } V) \text{ div } 2 + t \text{ div } 2$   
 $- \text{weight-measure } (\text{equivocating-validators } \sigma)))$

**lemma** (in *Protocol*) *gt-threshold-imps-majority-for-any-validator* :

$\forall \sigma \ v\text{-set } p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$

$\longrightarrow \text{gt-threshold } (v\text{-set}, \sigma)$

$\longrightarrow (\forall v \in v\text{-set}. \text{majority } (v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma \ v)))$

**oops**

**definition** (in *Params*) *inspector* :: (validator set \* state \* consensus-value-property)

$\Rightarrow$  bool

**where**

*inspector*

$= (\lambda(v\text{-set}, \sigma, p). v\text{-set} \neq \emptyset \wedge$

$(\forall v \in v\text{-set}. v \in \text{agreeing-validators } (p, \sigma)$

$\wedge (\exists v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \wedge \text{gt-threshold}(v\text{-set}', \text{the-elem } (L\text{-H-J } \sigma \ v))$

$\wedge (\forall v' \in v\text{-set}'.$

$\text{agreeing } (p, (\text{the-elem } (L\text{-H-J } \sigma \ v)), v')$

$\wedge \text{later-disagreeing-messages } (p, \text{the-elem } (L\text{-H-M } (\text{the-elem } (L\text{-H-J } \sigma \ v)) \ v'), v', \sigma) = \emptyset)))$

**lemma** (in *Protocol*) *validator-in-inspector-see-L-H-M-of-others-is-singleton* :

$\forall v\text{-set } p \ \sigma. v\text{-set} \subseteq V \wedge \sigma \in \Sigma$

$\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$

$\longrightarrow (\forall v \ v'. \{v, v'\} \subseteq v\text{-set} \longrightarrow \text{is-singleton } (L\text{-H-M } (\text{the-elem } (L\text{-H-J } \sigma \ v)) \ v'))$

**oops**

**lemma** (in *Protocol*) *inspector-imps-everyone-agreeing* :

$\forall \sigma \ v\text{-set } p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$

$\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$

$\longrightarrow v\text{-set} \subseteq \text{agreeing-validators } (p, \sigma)$

**apply** (simp add: *inspector-def*)

**by** blast

**lemma** (in *Protocol*) *inspector-imps-gt-threshold* :

$\forall \sigma \ v\text{-set } p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$

```

     $\longrightarrow$  inspector (v-set,  $\sigma$ , p)
     $\longrightarrow$  gt-threshold(v-set,  $\sigma$ )
  apply (rule+)
proof -
  fix  $\sigma$  v-set p
  assume  $\sigma \in \Sigma \wedge v\text{-set} \subseteq V$ 
  assume inspector (v-set,  $\sigma$ , p)
  hence  $\exists v \in v\text{-set}. \exists v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \wedge \text{gt-threshold}(v\text{-set}', \text{the-elem } (L\text{-H-J } \sigma v))$ 
  apply (simp add: inspector-def)
  by blast
  hence  $\exists v \in v\text{-set}. \text{gt-threshold}(v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma v))$ 
  apply (simp add: gt-threshold-def)
  using weight-measure-subset-gte
  by (smt  $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ )
  hence  $\exists v \in v\text{-set}. \text{gt-threshold}(v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma v)) \wedge \text{the-elem } (L\text{-H-J } \sigma v) \subseteq \sigma$ 
  using L-H-J-is-subset-of-the-state  $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ 
  sorry
  show gt-threshold (v-set,  $\sigma$ )
  apply (simp add: gt-threshold-def)
  using equivocation-fault-weight-is-monotonic
  apply (simp add: equivocation-fault-weight-def)
  sorry
qed

```

**lemma** (in *Protocol*) *inspector-imps-estimator-agreeing* :

```

 $\forall \sigma$  v-set p.  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
 $\longrightarrow$  finite v-set
 $\longrightarrow$  majority-driven p
 $\longrightarrow$  inspector (v-set,  $\sigma$ , p)
 $\longrightarrow$   $(\forall c \in \varepsilon \sigma. p\ c)$ 

  apply (rule, rule, rule, rule, rule, rule, rule, rule)
proof -
  fix  $\sigma$  v-set p c
  assume  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$  and finite v-set and majority-driven p and inspector
(v-set,  $\sigma$ , p)
  and  $c \in \varepsilon \sigma$ 
  then have weight-measure v-set  $\leq$  weight-measure (agreeing-validators (p,  $\sigma$ ))
  using inspector-imps-everyone-agreeing
  using weight-measure-subset-gte
  using  $\Sigma t\text{-is-subset-of-}\Sigma$  agreeing-validators-type by auto
  then have weight-measure v-set  $>$  (weight-measure V) div 2 + t div 2 -
weight-measure (equivocating-validators  $\sigma$ )
  using  $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle$  inspector (v-set,  $\sigma$ , p)
  using inspector-imps-gt-threshold

```

```

    using gt-threshold-def
    using  $\Sigma t$ -is-subset-of- $\Sigma$  by auto
    then have weight-measure v-set > (weight-measure V) div 2 - weight-measure
    (equivocating-validators  $\sigma$ ) div 2
    using  $\Sigma t$ -def  $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle$  equivocation-fault-weight-def is-faults-lt-threshold-def
    by auto
    then have weight-measure v-set > (weight-measure (V - equivocating-validators
     $\sigma$ )) div 2
    by (metis Protocol.V-type Protocol-axioms  $\Sigma t$ -is-subset-of- $\Sigma$   $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle$ 
    diff-divide-distrib equivocating-validators-is-finite equivocating-validators-type
    subsetCE weight-measure-subset-minus)
    then have weight-measure (agreeing-validators (p,  $\sigma$ )) > weight-measure (V -
    equivocating-validators  $\sigma$ ) div 2
    using  $\langle \text{weight-measure } v\text{-set} \leq \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) \rangle$ 
    by auto
    then show p c
    using  $\langle \text{majority-driven } p \rangle$  unfolding majority-driven-def majority-def gt-threshold-def
    using  $\langle c \in \varepsilon \sigma \rangle$ 
    using Mi.simps  $\Sigma t$ -is-subset-of- $\Sigma$   $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle$  non-justifying-message-exists-in-M-0
    by blast
qed

```

**lemma** (in Protocol) later-from-of-non-sender-not-affected-by-minimal-transitions

```

:
 $\forall \sigma m m' v. \sigma \in \Sigma t \wedge m \in M \wedge m' \in M \wedge v \in V$ 
 $\longrightarrow \text{immediately-next-message } (\sigma, m')$ 
 $\longrightarrow v \in V - \{\text{sender } m'\}$ 
 $\longrightarrow \text{later-from } (m, v, \sigma) = \text{later-from } (m, v, \sigma \cup \{m'\})$ 
apply (rule, rule, rule, rule, rule, rule, rule, rule)

```

oops

**lemma** (in Protocol) equivocation-status-of-non-sender-not-affected-by-minimal-transitions

```

:
 $\forall \sigma m v. \sigma \in \Sigma t \wedge m \in M \wedge v \in V$ 
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$ 
 $\longrightarrow v \in V - \{\text{sender } m\}$ 
 $\longrightarrow v \in \text{equivocating-validators } \sigma \longleftrightarrow v \in \text{equivocating-validators } (\sigma \cup \{m\})$ 
oops

```

**lemma** (in Protocol) L-M-of-non-sender-not-affected-by-minimal-transitions :

$\forall \sigma m v. \sigma \in \Sigma t \wedge m \in M \wedge v \in V$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow v \in V - \{\text{sender } m\}$   
 $\longrightarrow L\text{-}H\text{-}M \sigma v = L\text{-}H\text{-}M (\sigma \cup \{m\}) v$   
**oops**

**lemma** (in *Protocol*) *latest-justificationss-of-non-sender-not-affected-by-minimal-transitions* :

$\forall \sigma m v. \sigma \in \Sigma t \wedge m \in M \wedge v \in V$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow v \in V - \{\text{sender } m\}$   
 $\longrightarrow L\text{-}H\text{-}J \sigma v = L\text{-}H\text{-}J (\sigma \cup \{m\}) v$   
**oops**

**lemma** (in *Protocol*) *later-disagreeing-of-non-sender-not-affected-by-minimal-transitions* :

$\forall \sigma m m' v. \sigma \in \Sigma t \wedge m \in M \wedge m' \in M \wedge v \in V$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m')$   
 $\longrightarrow v \in V - \{\text{sender } m'\}$   
 $\longrightarrow \text{later-disagreeing-messages } (p, m, v, \sigma) = \text{later-disagreeing-messages } (p, m,$   
 $v, \sigma \cup \{m'\})$   
**oops**

**lemma** (in *Protocol*) *inspector-preserved-over-message-from-non-member* :

$\forall \sigma m v\text{-set } p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \text{sender } m \notin v\text{-set}$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma \cup \{m\}, p)$

**sorry**

**lemma** (in *Protocol*) *later-messages-from-non-equivocating-validator-include-all-earlier-messages* :  
 $\forall v \sigma \sigma1 \sigma2. \sigma \in \Sigma \wedge \sigma1 \in \Sigma \wedge \sigma1 \subseteq \sigma \wedge \sigma2 \subseteq \sigma \wedge \sigma1 \cap \sigma2 = \emptyset$   
 $\longrightarrow (\forall m1 \in \sigma1. \text{sender } m1 = v$   
 $\longrightarrow (\forall m2 \in \sigma2. \text{sender } m2 = v \longrightarrow m1 \in \text{justification } m2))$   
**using** *strict-subset-of-state-have-immediately-next-messages*  
**apply** (*simp add: immediately-next-message-def*)  
**oops**

**lemma** (in *Protocol*) *message-between-minimal-transition-is-latest-message* :  
 $\forall \sigma m v. \sigma \in \Sigma t \wedge m \in M \wedge v \in V$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow v \notin \text{equivocating-validators } (\sigma \cup \{m\})$   
 $\longrightarrow m = \text{the-elem } (L-H-M \ (\sigma \cup \{m\}) \ v)$   
**oops**

**lemma** (in *Protocol*) *latest-message-from-non-equivocating-validator-is-the-previous-one-or-later* :  
 $\forall \sigma m v. \sigma \in \Sigma t \wedge m \in M \wedge v \in V$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\})$   
 $\longrightarrow v \notin \text{equivocating-validators } \sigma$   
 $\longrightarrow \text{the-elem } (L-H-M \ (\text{justification } m) \ v) = \text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ (\text{sender } m))) \ v)$   
 $\vee \text{justified } (\text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ (\text{sender } m))) \ v)) \ (\text{the-elem } (L-H-M \ (\text{justification } m) \ v))$   
**oops**

**lemma** (in *Protocol*) *justified-message-exists-in-later-from* :  
 $\forall \sigma m1 m2. \sigma \in \Sigma \wedge \{m1, m2\} \subseteq \sigma$   
 $\longrightarrow \text{justified } m1 \ m2$   
 $\longrightarrow m2 \in \text{later-from } (m1, \text{sender } m2, \sigma)$   
**by** (*simp add: later-from-def later-def from-sender-def*)

**lemma** (in *Protocol*) *non-equivocating-message-from-member-see-all-members-agreeing* :  
 $\forall \sigma m v\text{-set } p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \text{sender } m \in v\text{-set}$   
 $\longrightarrow \neg \text{is-equivocating } (\sigma \cup \{m\}) \ (\text{sender } m)$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$



$\longrightarrow v\text{-set} \subseteq \text{agreeing-validators } (p, \text{justification } m)$   
**oops**

**lemma** (in *Protocol*) *new-message-from-member-see-all-members-agreeing* :

$\forall \sigma \ m \ v\text{-set } p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \text{sender } m \in v\text{-set}$   
 $\longrightarrow \neg \text{is-equivocating } (\sigma \cup \{m\}) \text{ (sender } m)$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$   
 $\longrightarrow \text{sender } m \in \text{agreeing-validators } (p, \text{justification } m)$

**oops**

**lemma** (in *Protocol*) *latest-message-in-justification-of-new-message-is-latest-message* :

$\forall \sigma \ m. \sigma \in \Sigma t \wedge m \in M$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \neg \text{is-equivocating } (\sigma \cup \{m\}) \text{ (sender } m)$   
 $\longrightarrow \text{the-elem } (L\text{-H-M } (\text{justification } m) \text{ (sender } m)) = \text{the-elem } (L\text{-H-M } \sigma \text{ (sender } m))$

**oops**

**lemma** (in *Protocol*) *latest-message-justified-by-new-message* :

$\forall \sigma \ m. \sigma \in \Sigma t \wedge m \in M$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \neg \text{is-equivocating } (\sigma \cup \{m\}) \text{ (sender } m)$   
 $\longrightarrow \text{justified } (\text{the-elem } (L\text{-H-M } \sigma \text{ (sender } m))) \ m$

**oops**

**lemma** (in *Protocol*) *nothing-later-than-latest-honest-message* :

$\forall \sigma \ m \ v. \sigma \in \Sigma t \wedge m \in M \wedge v \in V$   
 $\longrightarrow v \notin \text{equivocating-validators } \sigma$   
 $\longrightarrow \text{later-from } (\text{the-elem } (L\text{-H-M } \sigma \ v), v, \sigma) = \emptyset$

**oops**

**lemma** (in *Protocol*) *later-messages-for-sender-is-new-message* :

$\forall \sigma \ m \ v\text{-set}. \sigma \in \Sigma t \wedge m \in M$

$\longrightarrow$  *immediately-next-message*  $(\sigma, m)$   
 $\longrightarrow \neg$  *is-equivocating*  $(\sigma \cup \{m\})$  (*sender*  $m$ )  
 $\longrightarrow$  *later-from*  $(\text{the-elem } (L-H-M \ \sigma \ (\text{sender } m)), \text{sender } m, \sigma \cup \{m\}) = \{m\}$   
**oops**

**lemma** (*in Protocol*) *later-disagreeing-is-monotonic*:  
 $\forall v \ \sigma \ m1 \ m2 \ p. v \in V \wedge \sigma \in \Sigma \wedge \{m1, m2\} \subseteq M$   
 $\longrightarrow$  *justified*  $m1 \ m2$   
 $\longrightarrow$  *later-disagreeing-messages*  $(p, m2, v, \sigma) \subseteq$  *later-disagreeing-messages*  $(p, m1, v, \sigma)$   
**oops**

**lemma** (*in Protocol*) *empty-later-disagreeing-messages-in-new-message* :  
 $\forall \sigma \ m \ v \ p. \sigma \in \Sigma t \wedge m \in M \wedge v \in V$   
 $\longrightarrow$  *immediately-next-message*  $(\sigma, m)$   
 $\longrightarrow \neg$  *is-equivocating*  $(\sigma \cup \{m\})$  (*sender*  $m$ )  
 $\longrightarrow$  *later-disagreeing-messages*  $(p, (\text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ (\text{sender } m))) \ v)), v, \sigma) = \emptyset$   
 $\longrightarrow$  *later-disagreeing-messages*  $(p, (\text{the-elem } (L-H-M \ (\text{justification } m) \ v)), v, \sigma) = \emptyset$   
**oops**

**lemma** (*in Protocol*) *inspector-preserved-over-message-from-non-equivocating-member* :  
 $\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow$  *majority-driven*  $p$   
 $\longrightarrow$  *immediately-next-message*  $(\sigma, m)$   
 $\longrightarrow$  *sender*  $m \in v\text{-set}$   
 $\longrightarrow \neg$  *is-equivocating*  $(\sigma \cup \{m\})$  (*sender*  $m$ )  
 $\longrightarrow$  *inspector*  $(v\text{-set}, \sigma, p)$   
 $\longrightarrow$  *inspector*  $(v\text{-set}, \sigma \cup \{m\}, p)$   
**sorry**

**lemma** (*in Protocol*) *inspector-preserved-over-message-from-equivocating-member* :  
 $\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$   
 $\longrightarrow$  *majority-driven*  $p$   
 $\longrightarrow$  *immediately-next-message*  $(\sigma, m)$   
 $\longrightarrow$  *sender*  $m \in v\text{-set}$   
 $\longrightarrow$  *is-equivocating*  $(\sigma \cup \{m\})$  (*sender*  $m$ )

$\longrightarrow \sigma \cup \{m\} \in \Sigma t$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma \cup \{m\}, p)$

**sorry**

**lemma** (*in Protocol*) *inspector-preserved-over-immediately-next-message* :  
 $\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$   
 $\longrightarrow \sigma \cup \{m\} \in \Sigma t$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma \cup \{m\}, p)$   
**using** *inspector-preserved-over-message-from-non-member*  
*inspector-preserved-over-message-from-non-equivocating-member*  
*inspector-preserved-over-message-from-equivocating-member*  
**by** (*metis (no-types, lifting) Un-insert-right  $\Sigma t$ -def insert-subset mem-Collect-eq state-is-subset-of-M*)

**lemma** (*in Protocol*) *inspector-presereved-forever* :  
 $\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$   
 $\longrightarrow (\forall \sigma' \in \text{futures } \sigma. \text{inspector } (v\text{-set}, \sigma', p))$   
**apply** (*rule+*)  
**proof** –  
**fix**  $\sigma \ v\text{-set} \ p \ \sigma'$   
**assume**  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$  **and** *majority-driven p* **and** *inspector (v-set,  $\sigma$ , p)*  
**and**  $\sigma' \in \text{futures } \sigma$   
**show** *inspector (v-set,  $\sigma'$ , p)*  
**using** *inspector-preserved-over-immediately-next-message*  
  
**sorry**  
**qed**

**lemma** (*in Protocol*) *inspector-is-safety-oracle* :  
 $\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$   
 $\longrightarrow \text{finite } v\text{-set}$   
 $\longrightarrow \text{majority-driven } p$   
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$   
 $\longrightarrow \text{state-property-is-decided } (\text{naturally-corresponding-state-property } p, \sigma)$   
**using** *inspector-presereved-forever inspector-imps-estimator-agreeing*  
**apply** (*simp add: naturally-corresponding-state-property-def futures-def state-property-is-decided-def*)  
**by meson**

```

end
theory TFGCasper

imports Main HOL.Real CBCCasper LatestMessage CliqueOracle ConsensusSafety

begin

locale BlockchainParams = Params +
  fixes genesis :: consensus-value

  and prev :: consensus-value  $\Rightarrow$  consensus-value

fun (in BlockchainParams) n-cestor :: consensus-value * nat  $\Rightarrow$  consensus-value
  where
    n-cestor (b, 0) = b
    | n-cestor (b, n) = n-cestor (prev b, n - 1)

definition (in BlockchainParams) blockchain-membership :: consensus-value  $\Rightarrow$ 
consensus-value  $\Rightarrow$  bool (infixl  $\downarrow$  70)
  where
    b1  $\downarrow$  b2 = ( $\exists$  n. n  $\in$   $\mathbb{N}$   $\wedge$  b1 = n-cestor (b2, n))

notation (ASCII)
  comp (infixl blockchain-membership 70)

lemma (in BlockchainParams) prev-membership :
  prev b  $\downarrow$  b
  apply (simp add: blockchain-membership-def)
  by (metis BlockchainParams.n-cestor.simps(1) BlockchainParams.n-cestor.simps(2)
Nats-1 One-nat-def diff-Suc-1)

definition (in BlockchainParams) block-conflicting :: (consensus-value * consensus-value)
 $\Rightarrow$  bool
  where
    block-conflicting = ( $\lambda$ (b1, b2).  $\neg$  (b1  $\downarrow$  b2  $\vee$  b2  $\downarrow$  b1))

lemma (in BlockchainParams) n-cestor-transitive :
   $\forall$  n1 n2 x y z.  $\{n1, n2\} \subseteq \mathbb{N}$ 
     $\longrightarrow$  x = n-cestor (y, n1)
     $\longrightarrow$  y = n-cestor (z, n2)
     $\longrightarrow$  x = n-cestor (z, n1 + n2)
  apply (rule, rule)

```

```

proof –
  fix  $n1\ n2$ 
  show  $\forall x\ y\ z. \{n1, n2\} \subseteq \mathbb{N} \longrightarrow x = n\text{-cestor}\ (y, n1) \longrightarrow y = n\text{-cestor}\ (z, n2)$ 
 $\longrightarrow x = n\text{-cestor}\ (z, n1 + n2)$ 
  apply (induction  $n2$ )
  apply simp
  apply (rule, rule, rule, rule, rule, rule)
proof –
  fix  $n2\ x\ y\ z$ 
  assume  $\forall x\ y\ z. \{n1, n2\} \subseteq \mathbb{N} \longrightarrow x = n\text{-cestor}\ (y, n1) \longrightarrow y = n\text{-cestor}\ (z,$ 
 $n2) \longrightarrow x = n\text{-cestor}\ (z, n1 + n2)$ 
  assume  $\{n1, \text{Suc } n2\} \subseteq \mathbb{N}$ 
  assume  $x = n\text{-cestor}\ (y, n1)$ 
  assume  $y = n\text{-cestor}\ (z, \text{Suc } n2)$ 
  then have  $y = n\text{-cestor}\ (\text{prev } z, n2)$ 
  by simp
  have  $\{n1, n2\} \subseteq \mathbb{N}$ 
  by (simp add: Nats-def)
  then have  $x = n\text{-cestor}\ (\text{prev } z, n1 + n2)$ 
  using  $\langle x = n\text{-cestor}\ (y, n1) \rangle \langle y = n\text{-cestor}\ (\text{prev } z, n2) \rangle$ 
 $\langle \forall x\ y\ z. \{n1, n2\} \subseteq \mathbb{N} \longrightarrow x = n\text{-cestor}\ (y, n1) \longrightarrow y = n\text{-cestor}\ (z,$ 
 $n2) \longrightarrow x = n\text{-cestor}\ (z, n1 + n2) \rangle$ 
  by simp
  then show  $x = n\text{-cestor}\ (z, n1 + \text{Suc } n2)$ 
  by simp
qed
qed

```

```

lemma (in BlockchainParams) transitivity-of-blockchain-membership :
   $b1 \downarrow b2 \wedge b2 \downarrow b3 \implies b1 \downarrow b3$ 
  apply (simp add: blockchain-membership-def)
  using n-cestor-transitive
  by (metis id-apply of-nat-eq-id of-nat-in-Nats subsetI)

```

```

lemma (in BlockchainParams) irreflexivity-of-blockchain-membership :
   $b \downarrow b$ 
  apply (simp add: blockchain-membership-def)
  using Nats-0 by fastforce

```

```

definition (in BlockchainParams) block-membership :: consensus-value  $\Rightarrow$  consensus-value-property
  where
    block-membership  $b = (\lambda b'. b \downarrow b')$ 

```

```

lemma (in BlockchainParams) also-agreeing-on-ancestors :
   $b' \downarrow b \implies \text{agreeing}\ (\text{block-membership } b, \sigma, v) \implies \text{agreeing}\ (\text{block-membership}$ 
 $b', \sigma, v)$ 
  apply (simp add: agreeing-def block-membership-def)

```

using *BlockchainParams.transitivity-of-blockchain-membership* by *blast*

**definition** (in *BlockchainParams*) *children* :: *consensus-value* \* *state*  $\Rightarrow$  *consensus-value* *set*

**where**

*children* =  $(\lambda(b, \sigma). \{b' \in \text{est} \mid \sigma. b = \text{prev } b'\})$

**lemma** (in *BlockchainParams*) *observed-block-is-children-of-prev-block* :

$\forall b \in \text{est} \mid \sigma. b \in \text{children} (\text{prev } b, \sigma)$

**by** (*simp add: children-def*)

**lemma** (in *BlockchainParams*) *children-membership* :

$\forall b \in \text{children} (b', \sigma). b' \downarrow b$

**apply** (*simp add: children-def*)

**by** (*metis BlockchainParams.blockchain-membership-def BlockchainParams.n-cestor.simps(2) diff-Suc-1 id-apply n-cestor.simps(1) of-nat-eq-id of-nat-in-Nats*)

**locale** *Blockchain* = *BlockchainParams* + *Protocol* +

**assumes** *blockchain-type* :  $\forall b \ b' \ b''. \{b, b', b''\} \subseteq C \longrightarrow b' \downarrow b \wedge b'' \downarrow b \longrightarrow (b' \downarrow b'' \vee b'' \downarrow b')$

**and** *children-conflicting* :  $\forall \sigma \in \Sigma. \forall b \ b1 \ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq \text{children} (b, \sigma) \longrightarrow \text{block-conflicting} (b1, b2)$

**and** *prev-type* :  $\forall b. b \in C \longleftrightarrow \text{prev } b \in C$

**and** *genesis-type* :  $\text{genesis} \in C \ \forall b \in C. \text{genesis} \downarrow b \ \text{prev } \text{genesis} = \text{genesis}$

**lemma** (in *Blockchain*) *children-type* :

$\forall b \ \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{children} (b, \sigma) \subseteq C$

**apply** (*simp add: children-def*)

**using** *prev-type* **by** *auto*

**lemma** (in *Blockchain*) *children-finite* :

$\forall b \ \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{finite} (\text{children} (b, \sigma))$

**apply** (*simp add: children-def*)

**using** *state-is-finite*

**by** *simp*

**lemma** (in *Blockchain*) *conflicting-blocks-implies-conflicting-decision* :

$\forall b1 \ b2 \ \sigma. \{b1, b2\} \subseteq C \wedge \sigma \in \Sigma$

$\longrightarrow \text{block-conflicting} (b1, b2)$

$\longrightarrow \text{consensus-value-property-is-decided} (\text{block-membership } b1, \sigma)$

$\longrightarrow \text{consensus-value-property-is-decided} (\text{consensus-value-property-not} (\text{block-membership$

$b2), \sigma)$   
**apply** (*simp* *add: block-membership-def consensus-value-property-is-decided-def*  
*naturally-corresponding-state-property-def state-property-is-decided-def*)  
**apply** (*rule, rule, rule, rule, rule, rule*)  
**proof** –  
**fix**  $b1\ b2\ \sigma$   
**assume**  $b1 \in C \wedge b2 \in C \wedge \sigma \in \Sigma$  **and** *block-conflicting* ( $b1, b2$ ) **and**  $\forall \sigma \in \text{futures}$   
 $\sigma. \forall b' \in \varepsilon\ \sigma. b1 \downarrow b'$   
**show**  $\forall \sigma \in \text{futures}\ \sigma. \forall c \in \varepsilon\ \sigma. \neg b2 \downarrow c$   
**proof** (*rule ccontr*)  
**assume**  $\neg (\forall \sigma \in \text{futures}\ \sigma. \forall c \in \varepsilon\ \sigma. \neg b2 \downarrow c)$   
**hence**  $\exists \sigma \in \text{futures}\ \sigma. \exists c \in \varepsilon\ \sigma. b2 \downarrow c$   
**by** *blast*  
**hence**  $\exists \sigma \in \text{futures}\ \sigma. \exists c \in \varepsilon\ \sigma. b2 \downarrow c \wedge b1 \downarrow c$   
**using**  $\langle \forall \sigma \in \text{futures}\ \sigma. \forall b' \in \varepsilon\ \sigma. b1 \downarrow b' \rangle$  **by** *simp*  
**hence**  $b1 \downarrow b2 \vee b2 \downarrow b1$   
**using** *blockchain-type*  
**apply** (*simp*)  
**using**  $\Sigma t\text{-is-subset-of-}\Sigma\ \langle b1 \in C \wedge b2 \in C \wedge \sigma \in \Sigma \rangle$  *estimates-are-subset-of- $C$*   
*futures-def* **by** *blast*  
**then show** *False*  
**using**  $\langle \text{block-conflicting}\ (b1, b2) \rangle$   
**by** (*simp* *add: block-conflicting-def*)  
**qed**  
**qed**

**theorem** (*in Blockchain*) *blockchain-safety* :

$\forall\ \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$   
 $\rightarrow$  *finite*  $\sigma\text{-set}$   
 $\rightarrow$  *is-faults-lt-threshold*  $(\bigcup \sigma\text{-set})$   
 $\rightarrow (\forall\ \sigma\ \sigma'\ b1\ b2. \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge \text{block-conflicting}\ (b1, b2)$   
 $\wedge \text{block-membership}\ b1 \in \text{consensus-value-property-decisions}\ \sigma$   
 $\rightarrow \text{block-membership}\ b2 \notin \text{consensus-value-property-decisions}\ \sigma')$   
**apply** (*rule, rule, rule, rule, rule, rule, rule, rule, rule, rule*)  
**proof** –  
**fix**  $\sigma\text{-set}\ \sigma\ \sigma'\ b1\ b2$   
**assume**  $\sigma\text{-set} \subseteq \Sigma t$  **and** *finite*  $\sigma\text{-set}$  **and** *is-faults-lt-threshold*  $(\bigcup \sigma\text{-set})$   
**and**  $\{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge \text{block-conflicting}\ (b1, b2) \wedge \text{block-membership}$   
 $b1 \in \text{consensus-value-property-decisions}\ \sigma$   
**and** *block-membership*  $b2 \in \text{consensus-value-property-decisions}\ \sigma'$   
**hence**  $\neg \text{consensus-value-property-is-decided}\ (\text{consensus-value-property-not}\ (\text{block-membership}$   
 $b1), \sigma')$   
**using** *negation-is-not-decided-by-other-validator*  $\langle \sigma\text{-set} \subseteq \Sigma t \rangle\ \langle \text{finite}\ \sigma\text{-set} \rangle$   
 $\langle \text{is-faults-lt-threshold}\ (\bigcup \sigma\text{-set}) \rangle$  **apply** (*simp* *add: consensus-value-property-decisions-def*)  
  
**using**  $\langle \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge \text{block-conflicting}\ (b1, b2) \wedge$   
 $\text{block-membership}\ b1 \in \text{consensus-value-property-decisions}\ \sigma \rangle$  **by** *auto*  
**have**  $\{b1, b2\} \subseteq C \wedge \sigma \in \Sigma \wedge \text{block-conflicting}\ (b1, b2)$   
**using**  $\Sigma t\text{-is-subset-of-}\Sigma\ \langle \sigma\text{-set} \subseteq \Sigma t \rangle\ \langle \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge$

$\text{block-conflicting } (b1, b2) \wedge \text{block-membership } b1 \in \text{consensus-value-property-decisions } \sigma \rangle$  **by** *auto*  
**hence**  $\text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership } b1), \sigma') \rangle$   
**using**  $\langle \text{block-membership } b2 \in \text{consensus-value-property-decisions } \sigma' \rangle \text{ conflicting-blocks-imps-conflicting-decisions-def}$   
**apply** (*simp add: consensus-value-property-decisions-def*)  
**by** (*metis*  $\langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \text{finite } \sigma\text{-set} \rangle \langle \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set}) \rangle \langle \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2) \wedge \text{block-membership } b1 \in \text{consensus-value-property-decisions } \sigma \rangle \text{ conflicting-blocks-imps-conflicting-decision consensus-value-property-decisions-def insert-subset mem-Collect-eq negation-is-not-decided-by-other-validator}$ )  
**then show** *False*  
**using**  $\langle \neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership } b1), \sigma') \rangle$  **by** *blast*  
**qed**

**theorem** (*in Blockchain*) *no-decision-on-conflicting-blocks* :

$\forall \sigma 1 \sigma 2. \{\sigma 1, \sigma 2\} \subseteq \Sigma t$   
 $\rightarrow \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$   
 $\rightarrow (\forall b1 b2. \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2)$   
 $\rightarrow \text{block-membership } b1 \in \text{consensus-value-property-decisions } \sigma 1$   
 $\rightarrow \text{block-membership } b2 \notin \text{consensus-value-property-decisions } \sigma 2)$   
**apply** (*rule, rule, rule, rule, rule, rule, rule, rule, rule*)  
**proof** –  
**fix**  $\sigma 1 \sigma 2 b1 b2$   
**assume**  $\{\sigma 1, \sigma 2\} \subseteq \Sigma t$  **and**  $\text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$  **and**  $\{b1, b2\} \subseteq C$   
 $\wedge \text{block-conflicting } (b1, b2)$   
**and**  $\text{block-membership } b1 \in \text{consensus-value-property-decisions } \sigma 1$   
**and**  $\text{block-membership } b2 \in \text{consensus-value-property-decisions } \sigma 2$   
**hence**  $\text{consensus-value-property-is-decided } (\text{block-membership } b1, \sigma 1)$   
**by** (*simp add: consensus-value-property-decisions-def*)  
**hence**  $\neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership } b1), \sigma 2)$   
**using** *two-party-consensus-safety-for-consensus-value-property*  $\langle \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2) \rangle \langle \{\sigma 1, \sigma 2\} \subseteq \Sigma t \rangle$  **by** *blast*  
**have**  $\text{block-membership } b2 \in \text{consensus-value-property-decisions } \sigma 2$   
**using**  $\langle \text{block-membership } b2 \in \text{consensus-value-property-decisions } \sigma 2 \rangle$   
**by** (*simp add: consensus-value-property-decisions-def*)  
**have**  $\sigma 2 \in \Sigma t \wedge \{b2, b1\} \subseteq C \wedge \text{block-conflicting } (b2, b1)$   
**using**  $\langle \{\sigma 1, \sigma 2\} \subseteq \Sigma t \rangle \langle \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2) \rangle$  **by** (*simp add: block-conflicting-def*)  
**hence**  $\text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership } b1), \sigma 2)$   
**using** *conflicting-blocks-imps-conflicting-decision*  $\langle \text{block-membership } b2 \in \text{consensus-value-property-decisions } \sigma 2 \rangle$   
**using**  $\Sigma t\text{-is-subset-of-}\Sigma$  *consensus-value-property-decisions-def* **by** *auto*  
**then show** *False*  
**using**  $\langle \neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership } b1), \sigma 2) \rangle$



(*block-membership* *b1*), *σ2*) by *blast*  
**qed**

**definition** (in *BlockchainParams*) *score* :: *state*  $\Rightarrow$  *consensus-value*  $\Rightarrow$  *real*  
**where**  
*score* *σ* *b* = *weight-measure* (*agreeing-validators* (*block-membership* *b*, *σ*))

**lemma** (in *Blockchain*) *unfolding-agreeing-on-block-membership* :  
 $\forall \sigma \in \Sigma. \text{agreeing-validators } (\text{block-membership } b, \sigma) = \{v \in V. \exists b' \in L-H-E$   
 $\sigma \ v. b \downarrow b'\}$

**proof** –

**have**  $\forall v \sigma. v \in V \wedge \sigma \in \Sigma \longrightarrow v \notin \text{equivocating-validators } \sigma$   
 $\longrightarrow (v \in \text{observed } \sigma \wedge (\forall x \in L-M \ \sigma \ v. b \downarrow \text{est } x)) = (v \in \text{observed } \sigma \wedge$   
 $(\exists x \in L-M \ \sigma \ v. b \downarrow \text{est } x))$

**using** *observed-non-equivocating-validators-have-one-latest-message*

**unfolding** *observed-non-equivocating-validators-def is-singleton-def*

**by** (*metis Diff-iff empty-iff insert-iff*)

**moreover have**  $\forall v \sigma. v \in V \wedge \sigma \in \Sigma \longrightarrow v \notin \text{equivocating-validators } \sigma$   
 $\longrightarrow (v \in V \wedge (\exists x \in L-M \ \sigma \ v. b \downarrow \text{est } x)) = (v \in \text{observed } \sigma \wedge (\exists x \in L-M$   
 $\sigma \ v. b \downarrow \text{est } x))$

**apply** (*simp add: observed-def L-M-def from-sender-def*)

**by** *auto*

**ultimately have**  $\forall v \sigma. v \in V \wedge \sigma \in \Sigma \longrightarrow v \notin \text{equivocating-validators } \sigma$   
 $\longrightarrow (v \in V \wedge (\exists x \in L-M \ \sigma \ v. b \downarrow \text{est } x)) = (v \in \text{observed } \sigma \wedge (\forall x \in$   
 $L-M \ \sigma \ v. b \downarrow \text{est } x))$

**by** *blast*

**then have**  $\forall v \sigma. v \in V \wedge \sigma \in \Sigma$   
 $\longrightarrow (v \notin \text{equivocating-validators } \sigma \longrightarrow v \in V \wedge (\exists x \in L-M \ \sigma \ v. b \downarrow \text{est}$   
 $x)) = (v \notin \text{equivocating-validators } \sigma \longrightarrow v \in \text{observed } \sigma \wedge (\forall x \in L-M \ \sigma \ v. b \downarrow$   
 $\text{est } x))$

**by** *blast*

**show** *?thesis*

**apply** (*simp add: agreeing-validators-def agreeing-def observed-non-equivocating-validators-def*  
*L-H-E-def L-H-M-def block-membership-def*)

**using**  $\langle \forall v \sigma. v \in V \wedge \sigma \in \Sigma$

$\longrightarrow (v \notin \text{equivocating-validators } \sigma \longrightarrow v \in V \wedge (\exists x \in L-M \ \sigma \ v. b \downarrow \text{est}$   
 $x)) = (v \notin \text{equivocating-validators } \sigma \longrightarrow v \in \text{observed } \sigma \wedge (\forall x \in L-M \ \sigma \ v. b \downarrow$   
 $\text{est } x))$

*observed-type-for-state*

**by** *blast*

**qed**

**definition** (in *BlockchainParams*) *score-magnitude* :: *state*  $\Rightarrow$  *consensus-value* *rel*

**where**  
 $\text{score-magnitude } \sigma = \{(b1, b2). \{b1, b2\} \subseteq C \wedge \text{score } \sigma \ b1 \leq \text{score } \sigma \ b2\}$

**lemma** (in *Blockchain*) *transitivity-of-score-magnitude* :  
 $\forall \sigma \in \Sigma. \text{trans } (\text{score-magnitude } \sigma)$   
**by** (simp add: trans-def score-magnitude-def)

**lemma** (in *Blockchain*) *reflexivity-of-score-magnitude* :  
 $\forall \sigma \in \Sigma. \text{refl-on } C \ (\text{score-magnitude } \sigma)$   
**apply** (simp add: refl-on-def score-magnitude-def)  
**by** auto

**lemma** (in *Blockchain*) *score-magnitude-is-preorder* :  
 $\forall \sigma \in \Sigma. \text{preorder-on } C \ (\text{score-magnitude } \sigma)$   
**unfolding** preorder-on-def  
**using** reflexivity-of-score-magnitude transitivity-of-score-magnitude **by** simp

**lemma** (in *Blockchain*) *totality-of-score-magnitude* :  
 $\forall \sigma \in \Sigma. \text{Relation.total-on } C \ (\text{score-magnitude } \sigma)$   
**apply** (simp add: Relation.total-on-def score-magnitude-def)  
**by** auto

**definition** (in *BlockchainParams*) *score-magnitude-children* :: *state*  $\Rightarrow$  *consensus-value*  $\Rightarrow$  *consensus-value* *rel*  
**where**  
 $\text{score-magnitude-children } \sigma \ b = \{(b1, b2). \{b1, b2\} \subseteq \text{children } (b, \sigma) \wedge \text{score } \sigma \ b1 \leq \text{score } \sigma \ b2\}$

**lemma** (in *Blockchain*) *transitivity-of-score-magnitude-children* :  
 $\forall \sigma \in \Sigma. \forall b \in C. \text{trans } (\text{score-magnitude-children } \sigma \ b)$   
**by** (simp add: trans-def score-magnitude-children-def)

**lemma** (in *Blockchain*) *reflexivity-of-score-magnitude-children* :  
 $\forall \sigma \in \Sigma. \forall b \in C. \text{refl-on } (\text{children } (b, \sigma)) \ (\text{score-magnitude-children } \sigma \ b)$   
**apply** (simp add: refl-on-def score-magnitude-children-def)  
**by** blast

**lemma** (in *Blockchain*) *score-magnitude-children-is-preorder* :  
 $\forall \sigma \in \Sigma. \forall b \in C. \text{preorder-on } (\text{children } (b, \sigma)) \ (\text{score-magnitude-children } \sigma \ b)$   
**unfolding** preorder-on-def  
**using** reflexivity-of-score-magnitude-children transitivity-of-score-magnitude-children  
**by** simp

**lemma** (in *Blockchain*) *totality-of-score-magnitude-children* :  
 $\forall \sigma \in \Sigma. \forall b \in C. \text{Relation.total-on } (\text{children } (b, \sigma)) \ (\text{score-magnitude-children } \sigma \ b)$   
**apply** (simp add: Relation.total-on-def score-magnitude-children-def)  
**by** auto

**definition** (in *BlockchainParams*) *best-children* :: *consensus-value* \* *state*  $\Rightarrow$  *consensus-value set*

**where**

*best-children* =  $(\lambda (b, \sigma). \{b' \in C. \text{is-arg-max} (\text{score } \sigma) (\lambda b'. b' \in \text{children } (b, \sigma)) b'\})$

**lemma** (in *Blockchain*) *best-children-type* :

$\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{best-children } (b, \sigma) \subseteq C$

**by** (*simp add: is-arg-max-def best-children-def*)

**lemma** (in *Blockchain*) *best-children-finite* :

$\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{finite } (\text{best-children } (b, \sigma))$

**apply** (*simp add: best-children-def is-arg-max-def*)

**using** *children-finite*

**by** *auto*

**lemma** (in *Blockchain*) *best-children-existence* :

$\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{children } (b, \sigma) \neq \emptyset \longrightarrow \text{best-children } (b, \sigma) \in \text{Pow } C - \{\emptyset\}$

**proof** –

**have**  $\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{children } (b, \sigma) \neq \emptyset$

$\longrightarrow (\exists b'. \text{maximum-on-non-strict } (\text{children } (b, \sigma)) (\text{score-magnitude-children } \sigma b) b')$

**using** *totality-of-score-magnitude-children score-magnitude-children-is-preorder*

*children-finite children-type connex-preorder-on-finite-non-empty-set-has-maximum*

**by** *blast*

**then show** *?thesis*

**apply** (*simp add: score-magnitude-children-def best-children-def is-arg-max-def*)

**apply** (*simp add: maximum-on-non-strict-def upper-bound-on-non-strict-def*)

**apply** *auto*

**by** (*smt children-type ex-in-conv subsetCE*)

**qed**

**definition** (in *BlockchainParams*) *best-child* :: *consensus-value*  $\Rightarrow$  *state-property*

**where**

*best-child* *b* =  $(\lambda \sigma. b \in \text{best-children } (\text{prev } b, \sigma))$

**function** (in *BlockchainParams*) *GHOST* :: (*consensus-value set* \* *state*)  $\Rightarrow$  *consensus-value set*

**where**

*GHOST* (*b-set*,  $\sigma$ ) =

$(\bigcup b \in \{b \in b\text{-set}. \text{children } (b, \sigma) \neq \emptyset\}. \text{GHOST } (\text{best-children } (b, \sigma), \sigma))$

$\cup \{b \in b\text{-set}. \text{children } (b, \sigma) = \emptyset\}$

**by** *auto*

**definition** (in *BlockchainParams*) *GHOST-heads-or-children* :: *state*  $\Rightarrow$  *consensus-value set*

**where**

$GHOST-heads-or-children\ \sigma = GHOST\ (\{genesis\}, \sigma) \cup (\bigcup\ b \in GHOST\ (\{genesis\}, \sigma). children\ (b, \sigma))$

**lemma** (in *Blockchain*) *GHOST-type* :

$\forall\ \sigma\ b\text{-set}. \sigma \in \Sigma \wedge b\text{-set} \subseteq C \longrightarrow GHOST\ (b\text{-set}, \sigma) \subseteq C$

**proof** –

**have**  $\forall\ \sigma\ b\text{-set}. \sigma \in \Sigma \wedge b\text{-set} \subseteq C \longrightarrow (\exists\ b\text{-set}'. b\text{-set}' \subseteq C \wedge GHOST\ (b\text{-set}, \sigma) = \{b \in b\text{-set}'. children\ (b, \sigma) = \emptyset\})$

**sorry**

**then show** *?thesis*

**by** *blast*

**qed**

**lemma** (in *Blockchain*) *GHOST-is-valid-estimator* :

*is-valid-estimator GHOST-heads-or-children*

**unfolding** *is-valid-estimator-def*

**apply** (*simp add: BlockchainParams.GHOST-heads-or-children-def*)

**apply** *auto*

**using** *GHOST-type genesis-type(1)* **apply** *blast*

**using** *GHOST-type children-type genesis-type(1)* **apply** *blast*

**using** *best-children-existence*

**oops**

**locale** *TFG* = *Blockchain* +

**assumes** *ghost-estimator* :  $\varepsilon = GHOST-heads-or-children$

**lemma** (in *TFG*) *block-membership-is-majority-driven* :

$\forall\ b \in C. majority-driven\ (block-membership\ b)$

**apply** (*simp add: majority-driven-def*)

**oops**

**lemma** (in *Blockchain*) *agreeing-validators-on-sistor-blocks-are-disagreeing* :

$\forall\ \sigma \in \Sigma. \forall\ b\ b1\ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq children\ (b, \sigma)$

$\longrightarrow agreeing-validators\ (block-membership\ b1, \sigma) \subseteq disagreeing-validators\ (block-membership\ b2, \sigma)$

**proof** –

**have**  $\forall\ \sigma \in \Sigma. \forall\ b\ b1\ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq children\ (b, \sigma)$

$\longrightarrow (\forall\ v \in agreeing-validators\ (block-membership\ b1, \sigma). \forall\ c \in L-H-E\ \sigma\ v.$

*block-membership\ b1\ c)*

**by** (*simp add: agreeing-validators-def agreeing-def*)

**hence**  $\forall\ \sigma \in \Sigma. \forall\ b\ b1\ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq children\ (b, \sigma)$

$\longrightarrow (\forall v \in \text{agreeing-validators } (\text{block-membership } b1, \sigma). \exists c \in L-H-E \ \sigma \ v. \neg$   
 $\text{block-membership } b2 \ c)$   
**using** *children-conflicting*  
**apply** (*simp add: block-membership-def block-conflicting-def*)  
**using** *irreflexivity-of-blockchain-membership* **by** *fast*  
**then show** *?thesis*  
**using** *disagreeing-validators-include-not-agreeing-validators*  
**by** (*metis (no-types, lifting)  $\langle \forall \sigma \in \Sigma. \forall b \ b1 \ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq$*   
 $\text{children } (b, \sigma) \longrightarrow (\forall v \in \text{agreeing-validators } (\text{block-membership } b1, \sigma). \forall c \in L-H-E$   
 $\sigma \ v. \text{block-membership } b1 \ c) \rangle \text{insert-subset subsetI}$ )  
**qed**

**lemma** (*in Blockchain*) *agreeing-validators-on-sistor-blocks-are-not-more-than-disagreeing*  
 $:$   
 $\forall \sigma \in \Sigma. \forall b \ b1 \ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq \text{children } (b, \sigma)$   
 $\longrightarrow \text{weight-measure } (\text{agreeing-validators } (\text{block-membership } b1, \sigma)) \leq \text{weight-measure}$   
 $(\text{disagreeing-validators } (\text{block-membership } b2, \sigma))$   
**using** *agreeing-validators-on-sistor-blocks-are-disagreeing*  
*agreeing-validators-on-sistor-blocks-are-disagreeing weight-measure-subset-gte*  
*agreeing-validators-type disagreeing-validators-type*  
**by** *auto*

**lemma** (*in Blockchain*) *no-child-and-best-child-at-all-earlier-height-imps-GHOST-heads*  
 $:$   
 $\forall \sigma \in \Sigma. \forall b \in C. \text{children } (b, \sigma) = \emptyset \wedge$   
 $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma))$   
 $\longrightarrow b \in \text{GHOST } (\{\text{genesis}\}, \sigma)$   
**apply** *auto*  
**oops**

**lemma** (*in Blockchain*) *best-child-at-all-earlier-height-imps-GHOST-heads-or-decendant*  
 $:$   
 $\forall \sigma \in \Sigma. \forall b \in C.$   
 $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma))$   
 $\longrightarrow (\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$   
**proof** –  
**have**  $\bigwedge n. \forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = n\text{-cestor } (b, n) \wedge$   
 $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma))$   
 $\longrightarrow (\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$   
**proof** –  
**fix**  $n$   
**show**  $\forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = n\text{-cestor } (b, n) \wedge$   
 $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma)) \longrightarrow$   
 $(\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$   
**apply** (*induction n*)  
**using** *genesis-type GHOST-type*  
**apply** (*metis contra-subsetD empty-subsetI insert-subset n-cestor.simps(1)*)  
**proof** –  
**fix**  $n$

**assume**  $\forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = n\text{-cestor } (b, n) \wedge$   
 $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma)) \longrightarrow$   
 $(\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$   
**show**  $\forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = n\text{-cestor } (b, \text{Suc } n) \wedge$   
 $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma)) \longrightarrow$   
 $(\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$   
**apply** (rule, rule, rule, rule)  
**proof** –  
**fix**  $\sigma \ b \ b''$   
**assume**  $\sigma \in \Sigma$   
**and**  $b \in C$   
**and**  $\text{genesis} = n\text{-cestor } (b, \text{Suc } n) \wedge (\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma))$   
**and**  $b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma)$   
**then have**  $\text{genesis} = n\text{-cestor } (\text{prev } b, n) \wedge (\forall b' \in C. b' \downarrow \text{prev } b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma))$   
**by** (metis BlockchainParams.blockchain-membership-def BlockchainParams.n-cestor.simps(2) diff-Suc-1 id-apply of-nat-eq-id of-nat-in-Nats)  
**then have**  $\text{prev } b \downarrow b''$   
**using**  $\langle \forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = n\text{-cestor } (b, n) \wedge$   
 $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma)) \longrightarrow$   
 $(\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'') \rangle$   
**using**  $\langle \sigma \in \Sigma \rangle \langle b \in C \rangle$  prev-type  $\langle b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma) \rangle$  **by** auto  
**have**  $b \in \text{best-children } (\text{prev } b, \sigma)$   
**using**  $\langle \text{genesis} = n\text{-cestor } (b, \text{Suc } n) \wedge (\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma)) \rangle$   
**using**  $\langle b \in C \rangle$  irreflexivity-of-blockchain-membership **by** blast  
**then show**  $b \downarrow b''$   
**using**  $\langle \text{prev } b \downarrow b'' \rangle \langle b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma) \rangle$   
**sorry**  
**qed**  
**qed**  
**qed**  
**then show** ?thesis  
**using** blockchain-membership-def genesis-type(2) **by** auto  
**qed**

**lemma** (in TFG) ancestor-of-observed-block-is-observed :  
 $\forall \sigma \in \Sigma. \forall b \in \text{est } \sigma. \forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{est } \sigma$   
**sorry**

**lemma** (in TFG) block-membership-is-max-driven :  
 $\forall \sigma \in \Sigma. \forall b \in \text{est } \sigma. \text{max-driven-for-future } (\text{block-membership } b) \sigma$   
**apply** (simp add: max-driven-for-future-def)  
**proof** –  
**have**  $\forall \sigma \in \Sigma. \forall b \ b'. \{b, b'\} \subseteq C \wedge b' \downarrow b$   
 $\longrightarrow \text{agreeing-validators } (\text{block-membership } b, \sigma) \subseteq \text{agreeing-validators } (\text{block-membership } b', \sigma)$   
**unfolding** agreeing-validators-def

**using** *also-agreeing-on-ancestors* **by** *blast*  
**hence**  $\forall \sigma \in \Sigma. \forall b \ b'. \{b, b'\} \subseteq C \wedge b' \downarrow b$   
 $\longrightarrow \text{weight-measure}(\text{agreeing-validators}(\text{block-membership } b', \sigma)) \geq \text{weight-measure}(\text{agreeing-validators}(\text{block-membership } b, \sigma))$   
**using** *weight-measure-subset-gte* *agreeing-validators-finite* *agreeing-validators-type*  
**by** *simp*  
**hence**  $\forall \sigma \in \Sigma. \forall b \ b'. \{b, b'\} \subseteq C \wedge b' \downarrow b$   
 $\longrightarrow \text{weight-measure } V - \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } b', \sigma)) - \text{equivocation-fault-weight } \sigma$   
 $\geq \text{weight-measure } V - \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } b, \sigma)) - \text{equivocation-fault-weight } \sigma$   
**using** *agreeing-validators-weight-combined* **by** *simp*  
**hence**  $\forall \sigma \in \Sigma. \forall b \ b'. \{b, b'\} \subseteq C \wedge b' \downarrow b$   
 $\longrightarrow \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } b, \sigma))$   
 $\geq \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } b', \sigma))$   
**by** *simp*  
**show**  $\forall \sigma \in \Sigma. \forall m \in \sigma. \forall \sigma' \in \Sigma. \sigma \subseteq \sigma' \longrightarrow \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } (\text{est } m), \sigma')) < \text{weight-measure}(\text{agreeing-validators}(\text{block-membership } (\text{est } m), \sigma'))$   
 $\longrightarrow (\forall c \in \varepsilon \sigma'. \text{block-membership } (\text{est } m) \ c)$   
**apply** (*rule*, *rule*, *rule*, *rule*, *rule*, *rule*)  
**proof** –  
**fix**  $\sigma \ m \ \sigma' \ c$   
**assume**  $\sigma \in \Sigma$   
**and**  $m \in \sigma$   
**and**  $\sigma' \in \Sigma$   
**and**  $\sigma \subseteq \sigma'$   
**and**  $\text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } (\text{est } m), \sigma')) < \text{weight-measure}(\text{agreeing-validators}(\text{block-membership } (\text{est } m), \sigma'))$   
**and**  $c \in \varepsilon \sigma'$   
**hence**  $\text{est } m \in C$   
**using** *M-type message-in-state-is-valid* **by** *blast*  
**hence**  $\forall b' \in C. b' \downarrow \text{est } m \longrightarrow \text{weight-measure}(\text{agreeing-validators}(\text{block-membership } b', \sigma')) > \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } (\text{est } m), \sigma'))$   
**using**  $\langle \forall \sigma \in \Sigma. \forall b \ b'. \{b, b'\} \subseteq C \wedge b' \downarrow b$   
 $\longrightarrow \text{weight-measure}(\text{agreeing-validators}(\text{block-membership } b', \sigma)) \geq \text{weight-measure}(\text{agreeing-validators}(\text{block-membership } b, \sigma)) \rangle$   
 $\langle \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } (\text{est } m), \sigma')) < \text{weight-measure}(\text{agreeing-validators}(\text{block-membership } (\text{est } m), \sigma')) \rangle$   
 $\langle \sigma' \in \Sigma \rangle$  **by** *fastforce*  
**hence**  $\forall b' \in C. b' \downarrow \text{est } m \longrightarrow \text{weight-measure}(\text{agreeing-validators}(\text{block-membership } b', \sigma')) > \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } b', \sigma'))$   
**using**  $\langle \forall \sigma \in \Sigma. \forall b \ b'. \{b, b'\} \subseteq C \wedge b' \downarrow b$   
 $\longrightarrow \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } b, \sigma)) \geq \text{weight-measure}(\text{disagreeing-validators}(\text{block-membership } b', \sigma)) \rangle$   
 $\langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle \langle \text{est } m \in C \rangle$  **by** *force*  
  
**have**  $\forall b' \in C. b' \downarrow \text{est } m \longrightarrow b' \in \text{best-children}(\text{prev } b', \sigma')$   
**apply** (*simp* *add: best-children-def* *is-arg-max-def* *score-def*)

```

apply (auto)
using ancestor-of-observed-block-is-observed
apply (meson  $\langle \sigma \subseteq \sigma' \rangle \langle \sigma' \in \Sigma \rangle \langle m \in \sigma \rangle$  contra-subsetD image-eqI observed-block-is-children-of-prev-block)

using M-type Params.message-in-state-is-valid  $\langle \sigma \in \Sigma \rangle$ 
using agreeing-validators-on-sistor-blocks-are-not-more-than-disagreeing
prev-type
 $\langle \forall b' \in C. b' \downarrow \text{est } m \longrightarrow \text{weight-measure } (\text{agreeing-validators } (\text{block-membership } b', \sigma')) > \text{weight-measure } (\text{disagreeing-validators } (\text{block-membership } b', \sigma')) \rangle$ 
by (smt  $\langle \sigma' \in \Sigma \rangle$  agreeing-validators-weight-combined children-type contra-subsetD
empty-subsetI insert-absorb2 insert-subset)
have  $c \in \text{GHOST } (\{\text{genesis}\}, \sigma') \cup (\bigcup b \in \text{GHOST } (\{\text{genesis}\}, \sigma'). \text{children}$ 
 $(b, \sigma'))$ 
using ghost-estimator  $\langle c \in \varepsilon \sigma' \rangle$ 
unfolding GHOST-heads-or-children-def
by blast
have  $\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma'). \text{est } m \downarrow b''$ 
using best-child-at-all-earlier-height-imps-GHOST-heads-or-decendant  $\langle \forall b' \in C. b' \downarrow \text{est } m \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma') \rangle$ 
 $\langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle \langle \text{est } m \in C \rangle$  by blast
then show block-membership (est m) c
unfolding block-membership-def
using  $\langle c \in \text{GHOST } (\{\text{genesis}\}, \sigma') \cup (\bigcup b \in \text{GHOST } (\{\text{genesis}\}, \sigma'). \text{children}$ 
 $(b, \sigma')) \rangle$ 
transitivity-of-blockchain-membership children-membership
by blast
qed
qed
end

```