

Minimal CBC Casper Isabelle/HOL proofs

LayerX

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theory *Strict-Order*

imports *Main*

begin

notation *Set.empty* (\emptyset)

definition *strict-partial-order* $r \equiv \text{trans } r \wedge \text{irrefl } r$

definition *strict-well-order-on* $A \ r \equiv \text{strict-linear-order-on } A \ r \wedge \text{wf } r$

lemma *strict-linear-order-is-strict-partial-order* :
 $\text{strict-linear-order-on } A \ r \implies \text{strict-partial-order } r$
by (*simp add: strict-linear-order-on-def strict-partial-order-def*)

definition *upper-bound-on* $:: 'a \text{ set} \Rightarrow 'a \text{ rel} \Rightarrow 'a \Rightarrow \text{bool}$
where
 $\text{upper-bound-on } A \ r \ x = (\forall \ y. \ y \in A \longrightarrow (y, x) \in r \vee x = y)$

definition *maximum-on* $:: 'a \text{ set} \Rightarrow 'a \text{ rel} \Rightarrow 'a \Rightarrow \text{bool}$
where

$\text{maximum-on } A \ r \ x = (x \in A \wedge \text{upper-bound-on } A \ r \ x)$

definition $\text{minimal-on} :: 'a \ \text{set} \Rightarrow 'a \ \text{rel} \Rightarrow 'a \Rightarrow \text{bool}$

where

$\text{minimal-on } A \ r \ x = (x \in A \wedge (\forall y. (y, x) \in r \longrightarrow y \notin A))$

definition $\text{maximal-on} :: 'a \ \text{set} \Rightarrow 'a \ \text{rel} \Rightarrow 'a \Rightarrow \text{bool}$

where

$\text{maximal-on } A \ r \ x = (x \in A \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A))$

lemma $\text{maximal-and-maximum-coincide-for-strict-linear-order} :$

$\text{strict-linear-order-on } A \ r \Longrightarrow \text{maximal-on } A \ r \ x = \text{maximum-on } A \ r \ x$

apply ($\text{simp add: strict-linear-order-on-def irreft-def total-on-def trans-def maximal-on-def maximum-on-def upper-bound-on-def}$)

by blast

lemma $\text{strict-partial-order-on-finite-non-empty-set-has-maximal} :$

$\text{strict-partial-order } r \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. \text{maximal-on } A \ r \ x)$

proof –

have $\bigwedge n. \text{strict-partial-order } r \Longrightarrow (\forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. \text{maximal-on } A \ r \ x))$

proof –

assume $\text{strict-partial-order } r$

then have $(\forall a. (a, a) \notin r)$

by ($\text{simp add: strict-partial-order-def irreft-def}$)

fix n

show $\forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. \text{maximal-on } A \ r \ x)$

apply ($\text{induction } n$)

unfolding maximal-on-def

using $\langle (\forall a. (a, a) \notin r) \rangle$

apply ($\text{metis card-eq-SucD empty-iff insert-iff}$)

proof –

fix n

assume $\forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. x \in A \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A))$

have $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset \longrightarrow (\exists A' b. B = A' \cup \{b\} \wedge \text{card } A' = \text{Suc } n \wedge b \notin A')$

by ($\text{metis Un-commute add-diff-cancel-left' card-gt-0-iff card-insert-disjoint card-le-Suc-iff insert-is-Un not-le not-less-eq-eq plus-1-eq-Suc}$)

then have $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset \longrightarrow (\exists A' b. B = A' \cup \{b\} \wedge \text{card } A' = \text{Suc } n \wedge \text{finite } A' \wedge A' \neq \emptyset \wedge b \notin A')$

by ($\text{metis card-gt-0-iff zero-less-Suc}$)

then have $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset$

$\longrightarrow (\exists A' b x. B = A' \cup \{b\} \wedge b \notin A' \wedge x \in A' \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A'))$

using $\langle \forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. x \in A \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A)) \rangle$

by metis

then show $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset \longrightarrow (\exists x. x \in B \wedge (\forall y. (x, y) \in r \longrightarrow y \notin B))$
by (*metis (no-types, lifting) Un-insert-right $\langle \forall a. (a, a) \notin r \rangle$ (strict-partial-order r) insertE insert-iff strict-partial-order-def sup-bot.right-neutral transE*)
qed
qed
then show *?thesis*
by (*metis card.insert-remove finite.cases*)
qed

lemma *strict-partial-order-has-at-most-one-maximum :*

$\text{strict-partial-order } r$
 $\longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset$
 $\longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}$
proof (*rule ccontr*)
assume $\neg (\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\})$
then have $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow \neg \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}$
by *simp*
then have $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow (\exists x1 \ x2. x1 \neq x2 \wedge \{x1, x2\} \subseteq \{x. \text{maximum-on } A \ r \ x\})$
by (*meson empty-subsetI insert-subset is-singletonI*)
then have $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow (\exists x1 \ x2. x1 \neq x2 \wedge \{x1, x2\} \subseteq \{x \in A. \forall y. y \in A \longrightarrow (y, x) \in r \vee x = y\})$
by (*simp add: maximum-on-def upper-bound-on-def*)
then have $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow (\exists x1 \ x2. x1 \neq x2 \wedge \{x1, x2\} \subseteq A \wedge (\forall y. y \in A \longrightarrow (y, x1) \in r \vee x1 = y) \wedge (\forall y. y \in A \longrightarrow (y, x2) \in r \vee x2 = y))$
by *auto*
then show *False*
using *strict-partial-order-def*

by (*metis $\langle \neg (\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}) \rangle$ insert-subset irrefl-def transE*)
qed

lemma *strict-linear-order-on-finite-non-empty-set-has-one-maximum :*

$\text{strict-linear-order-on } A \ r \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}$
using *strict-linear-order-is-strict-partial-order strict-partial-order-on-finite-non-empty-set-has-maximal*

strict-partial-order-has-at-most-one-maximum maximal-and-maximum-coincide-for-strict-linear-order
by *fastforce*

definition *upper-bound-on-non-strict* :: 'a set \Rightarrow 'a rel \Rightarrow 'a \Rightarrow bool

where

upper-bound-on-non-strict A r x = (\forall y. y \in A \longrightarrow (y, x) \in r)

definition *maximum-on-non-strict* :: 'a set \Rightarrow 'a rel \Rightarrow 'a \Rightarrow bool

where

maximum-on-non-strict A r x = (x \in A \wedge *upper-bound-on-non-strict* A r x)

definition *maximal-on-non-strict* :: 'a set \Rightarrow 'a rel \Rightarrow 'a \Rightarrow bool

where

maximal-on-non-strict A r x = (x \in A \wedge (\forall y. y \in A \longrightarrow (y, x) \in r \vee (x, y) \notin r))

lemma *preorder-on-finite-non-empty-set-has-maximal* :

preorder-on A r \longrightarrow finite A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. *maximal-on-non-strict* A r x)

proof –

have $\bigwedge n$. *preorder-on* A r \implies (\forall A. Suc n = card A \longrightarrow finite A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. *maximal-on-non-strict* A r x))

proof –

fix n

assume *preorder-on* A r

show \forall A. Suc n = card A \longrightarrow finite A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. *maximal-on-non-strict* A r x)

apply (*induction* n)

unfolding *maximal-on-non-strict-def*

apply (*metis* card-eq-SucD singletonD singletonI)

proof –

fix n

assume \forall A. Suc n = card A \longrightarrow finite A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. x \in A \wedge (\forall y. y \in A \longrightarrow (y, x) \in r \vee (x, y) \notin r))

have \forall B. Suc (Suc n) = card B \longrightarrow finite B \longrightarrow B \neq \emptyset \longrightarrow (\exists A' b. B = A' \cup {b} \wedge card A' = Suc n \wedge b \notin A')

by (*metis* Un-commute add-diff-cancel-left' card-gt-0-iff card-insert-disjoint card-le-Suc-iff insert-is-Un not-le not-less-eq-eq plus-1-eq-Suc)

then have \forall B. Suc (Suc n) = card B \longrightarrow finite B \longrightarrow B \neq \emptyset

\longrightarrow (\exists A' b. B = A' \cup {b} \wedge card A' = Suc n \wedge finite A' \wedge A' \neq \emptyset \wedge b \notin A')

by (*metis* card-gt-0-iff zero-less-Suc)

then have \forall B. Suc (Suc n) = card B \longrightarrow finite B \longrightarrow B \neq \emptyset

\longrightarrow (\exists A' b x. B = A' \cup {b} \wedge b \notin A' \wedge x \in A' \wedge (\forall y. y \in A' \longrightarrow (y, x) \in r \vee (x, y) \notin r))

using (\forall A. Suc n = card A \longrightarrow finite A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. x \in A \wedge (\forall y. y \in A \longrightarrow (y, x) \in r \vee (x, y) \notin r)))

by *metis*

then show \forall B. Suc (Suc n) = card B \longrightarrow finite B \longrightarrow B \neq \emptyset \longrightarrow (\exists x. x \in B \wedge (\forall y. y \in B \longrightarrow (y, x) \in r \vee (x, y) \notin r))

```

      by (metis (no-types, lifting) Un-insert-right ⟨preorder-on A r⟩ insertE
insert-iff preorder-on-def sup-bot.right-neutral transE)
    qed
  qed
  then show ?thesis
    by (metis card.insert-remove finite.cases)
  qed

end

lemma connex-preorder-on-finite-non-empty-set-has-maximum :
  preorder-on A r ∧ total-on A r ⟶ finite A ⟶ A ≠ ∅ ⟶ (∃ x. maximum-on-non-strict
A r x)
  apply (simp add: total-on-def maximum-on-non-strict-def upper-bound-on-non-strict-def
maximal-on-non-strict-def)
  by (metis maximal-on-non-strict-def order-on-defs(1) preorder-on-finite-non-empty-set-has-maximal
refl-onD)

end

```

1 CBC Casper

```
theory CBCCasper
```

```
imports Main HOL.Real Libraries/Strict-Order Libraries/Restricted-Predicates Li-
braries/LaTeXsugar
```

```
begin
```

```
notation Set.empty (∅)
```

```
typedecl validator
```

```
typedecl consensus-value
```

```
datatype message =
  Message consensus-value * validator * message list
```

```
type-synonym state = message set
```

fun *sender* :: *message* \Rightarrow *validator*

where

sender (*Message* (-, *v*, -)) = *v*

fun *est* :: *message* \Rightarrow *consensus-value*

where

est (*Message* (*c*, -, -)) = *c*

fun *justification* :: *message* \Rightarrow *state*

where

justification (*Message* (-, -, *s*)) = *set s*

fun

$\Sigma i :: (\text{validator set} \times \text{consensus-value set} \times (\text{message set} \Rightarrow \text{consensus-value set})) \Rightarrow \text{nat} \Rightarrow \text{state set}$ **and**

$M i :: (\text{validator set} \times \text{consensus-value set} \times (\text{message set} \Rightarrow \text{consensus-value set})) \Rightarrow \text{nat} \Rightarrow \text{message set}$

where

$\Sigma i (V, C, \varepsilon) 0 = \{\emptyset\}$

$|\Sigma i (V, C, \varepsilon) n = \{\sigma \in \text{Pow } (M i (V, C, \varepsilon) (n - 1)). \text{finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)\}$

$|\ M i (V, C, \varepsilon) n = \{m. \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m \in (\Sigma i (V, C, \varepsilon) n) \wedge \text{est } m \in \varepsilon (\text{justification } m)\}$

locale *Params* =

fixes *V* :: *validator set*

and *W* :: *validator* \Rightarrow *real*

and *t* :: *real*

fixes *C* :: *consensus-value set*

and $\varepsilon :: \text{message set} \Rightarrow \text{consensus-value set}$

begin

definition $\Sigma = (\bigcup_{i \in \mathbb{N}} \Sigma i (V, C, \varepsilon) i)$

definition $M = (\bigcup_{i \in \mathbb{N}} M i (V, C, \varepsilon) i)$

definition *is-valid-estimator* :: (*state* \Rightarrow *consensus-value set*) \Rightarrow *bool*

where

is-valid-estimator *e* = $(\forall \sigma \in \Sigma. e \sigma \in \text{Pow } C - \{\emptyset\})$

lemma $\Sigma i\text{-subset-}M i$: $\Sigma i (V, C, \varepsilon) (n + 1) \subseteq \text{Pow } (M i (V, C, \varepsilon) n)$

by *force*

lemma $\Sigma i\text{-subset-to-}M i$: $\Sigma i (V, C, \varepsilon) n \subseteq \Sigma i (V, C, \varepsilon) (n+1) \Longrightarrow M i (V, C, \varepsilon) n \subseteq M i (V, C, \varepsilon) (n+1)$

by *auto*

lemma $M i\text{-subset-to-}\Sigma i$: $M i (V, C, \varepsilon) n \subseteq M i (V, C, \varepsilon) (n+1) \Longrightarrow \Sigma i (V, C, \varepsilon)$

```

(n+1) ⊆ Σi (V, C, ε) (n+2)
  by auto

lemma Σi-monotonic: Σi (V, C, ε) n ⊆ Σi (V, C, ε) (n+1)
  apply (induction n)
  apply simp
  apply (metis Mi-subset-to-Σi Suc-eq-plus1 Σi-subset-to-Mi add commute add-2-eq-Suc)
  done

lemma Mi-monotonic: Mi (V, C, ε) n ⊆ Mi (V, C, ε) (n+1)
  apply (induction n)
  defer
  using Σi-monotonic Σi-subset-to-Mi apply blast
  apply auto
  done

lemma Σi-monotonicity: ∀ m ∈ ℕ. ∀ n ∈ ℕ. m ≤ n ⟶ Σi (V, C, ε) m ⊆ Σi
(V, C, ε) n
  using Σi-monotonic
  by (metis Suc-eq-plus1 lift-Suc-mono-le)

lemma Mi-monotonicity: ∀ m ∈ ℕ. ∀ n ∈ ℕ. m ≤ n ⟶ Mi (V, C, ε) m ⊆ Mi
(V, C, ε) n
  using Mi-monotonic
  by (metis Suc-eq-plus1 lift-Suc-mono-le)

lemma message-is-in-Mi :
  ∀ m ∈ M. ∃ n ∈ ℕ. m ∈ Mi (V, C, ε) (n - 1)
  apply (simp add: M-def Σi.elims)
  by (metis Nats-1 Nats-add One-nat-def diff-Suc-1 plus-1-eq-Suc)

lemma state-is-in-pow-Mi :
  ∀ σ ∈ Σ. (∃ n ∈ ℕ. σ ∈ Pow (Mi (V, C, ε) (n - 1)) ∧ (∀ m ∈ σ. justification
m ⊆ σ))
  apply (simp add: Σ-def)

  apply auto
  proof -
    fix y :: nat and σ :: message set
    assume a1: σ ∈ Σi (V, C, ε) y
    assume a2: y ∈ ℕ
    have σ ⊆ Mi (V, C, ε) y
      using a1 by (meson Params.Σi-monotonic Params.Σi-subset-Mi Pow-iff
contra-subsetD)
    then have ∃ n. n ∈ ℕ ∧ σ ⊆ Mi (V, C, ε) (n - 1)
      using a2 by (metis (no-types) Nats-1 Nats-add diff-Suc-1 plus-1-eq-Suc)
    then show ∃ n ∈ ℕ. σ ⊆ {m. est m ∈ C ∧ sender m ∈ V ∧ justification m
∈ Σi (V, C, ε) (n - Suc 0) ∧ est m ∈ ε (justification m)}

```

```

    by auto
  next
    show  $\bigwedge y \sigma m x. y \in \mathbf{N} \implies \sigma \in \Sigma i (V, C, \varepsilon) y \implies m \in \sigma \implies x \in$ 
justification  $m \implies x \in \sigma$ 
    using Params.Σi-monotonic by fastforce
  qed

lemma message-is-in-Mi-n :
   $\forall m \in M. \exists n \in \mathbf{N}. m \in Mi (V, C, \varepsilon) n$ 
  by (smt Mi-monotonic Suc-diff-Suc add-leE diff-add diff-le-self message-is-in-Mi
neq0-conv plus-1-eq-Suc subsetCE zero-less-diff)

lemma message-in-state-is-valid :
   $\forall \sigma m. \sigma \in \Sigma \wedge m \in \sigma \longrightarrow m \in M$ 
  apply (rule, rule, rule)
proof -
  fix  $\sigma m$ 
  assume  $\sigma \in \Sigma \wedge m \in \sigma$ 
  have
     $\exists n \in \mathbf{N}. m \in Mi (V, C, \varepsilon) n$ 
     $\implies m \in M$ 
    using M-def by blast
  then show
     $m \in M$ 
    apply (simp add: M-def)
    by (smt Mi.simps Params.Σi-monotonic PowD Suc-diff-Suc  $(\sigma \in \Sigma \wedge m \in$ 
 $\sigma) \text{ add-leE diff-add diff-le-self gr0I mem-Collect-eq plus-1-eq-Suc state-is-in-pow-Mi}$ 
subsetCE zero-less-diff)
  qed

lemma state-is-subset-of-M :  $\forall \sigma \in \Sigma. \sigma \subseteq M$ 
  using message-in-state-is-valid by blast

lemma state-is-finite :  $\forall \sigma \in \Sigma. \text{finite } \sigma$ 
  apply (simp add:  $\Sigma$ -def)
  using Params.Σi-monotonic by fastforce

lemma justification-is-finite :  $\forall m \in M. \text{finite } (\text{justification } m)$ 
  apply (simp add: M-def)
  using Params.Σi-monotonic by fastforce

lemma Σis-subseteq-of-pow-M :  $\Sigma \subseteq \text{Pow } M$ 
  by (simp add: state-is-subset-of-M subsetI)

lemma M-type :  $\bigwedge m. m \in M \implies \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m$ 
 $\in \Sigma$ 
  unfolding M-def  $\Sigma$ -def
  by auto

```


end

locale *Protocol* = *Params* +
assumes *V-type*: $V \neq \emptyset \wedge \text{finite } V$
and *W-type*: $\forall v \in V. W v > 0$
and *t-type*: $0 \leq t \ t < \text{sum } W \ V$
and *C-type*: $\text{card } C > 1$
and *ε -type*: *is-valid-estimator* ε

lemma (**in** *Protocol*) *estimates-are-non-empty*: $\bigwedge \sigma. \sigma \in \Sigma \implies \varepsilon \sigma \neq \emptyset$
using *is-valid-estimator-def* *ε -type* **by** *auto*

lemma (**in** *Protocol*) *estimates-are-subset-of-C*: $\bigwedge \sigma. \sigma \in \Sigma \implies \varepsilon \sigma \subseteq C$
using *is-valid-estimator-def* *ε -type* **by** *auto*

lemma (**in** *Params*) *empty-set-exists-in- Σ -0*: $\emptyset \in \Sigma i \ (V, C, \varepsilon) \ 0$
by *simp*

lemma (**in** *Params*) *empty-set-exists-in- Σ* : $\emptyset \in \Sigma$
apply (*simp add: Σ -def*)
using *Nats-0* *Σ i.simps(1)* **by** *blast*

lemma (**in** *Params*) *Σ i-is-non-empty*: $\Sigma i \ (V, C, \varepsilon) \ n \neq \emptyset$
apply (*induction n*)
using *empty-set-exists-in- Σ -0* **by** *auto*

lemma (**in** *Params*) *Σ is-non-empty*: $\Sigma \neq \emptyset$
using *empty-set-exists-in- Σ* **by** *blast*

lemma (**in** *Protocol*) *estimates-exists-for-empty-set* :
 $\varepsilon \emptyset \neq \emptyset$
by (*simp add: empty-set-exists-in- Σ estimates-are-non-empty*)

lemma (**in** *Protocol*) *non-justifying-message-exists-in-M-0*:

$\exists m. m \in Mi \ (V, C, \varepsilon) \ 0 \wedge \text{justification } m = \emptyset$

apply *auto*

proof –

have $\varepsilon \emptyset \subseteq C$

using *Params.empty-set-exists-in- Σ ε -type is-valid-estimator-def* **by** *auto*

then show $\exists m. \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m = \emptyset \wedge \text{est } m \in \varepsilon$
 $(\text{justification } m) \wedge \text{justification } m = \emptyset$

by (*metis V-type all-not-in-conv est.simps estimates-exists-for-empty-set justification.simps sender.simps set-empty subsetCE*)

qed

lemma (**in** *Protocol*) *Mi-is-non-empty*: $Mi \ (V, C, \varepsilon) \ n \neq \emptyset$

apply (*induction n*)

using *non-justifying-message-exists-in-M-0* **apply** *auto*

```

using Mi-monotonic empty-iff empty-subsetI by fastforce

lemma (in Protocol) Mis-non-empty:  $M \neq \emptyset$ 
using non-justifying-message-exists-in-M-0 M-def Nats-0 by blast

lemma (in Protocol) C-is-not-empty :  $C \neq \emptyset$ 
using C-type by auto

lemma (in Params)  $\Sigma i$ -is-subset-of- $\Sigma$  :
 $\forall n \in \mathbb{N}. \Sigma i (V, C, \varepsilon) n \subseteq \Sigma$ 
by (simp add:  $\Sigma$ -def SUP-upper)

lemma (in Protocol) message-justifying-state-in- $\Sigma$ -n-exists-in-M-n :
 $\forall n \in \mathbb{N}. (\forall \sigma. \sigma \in \Sigma i (V, C, \varepsilon) n \longrightarrow (\exists m. m \in Mi (V, C, \varepsilon) n \wedge justification\ m = \sigma))$ 
apply auto
proof –
  fix  $n \sigma$ 
  assume  $n \in \mathbb{N}$ 
  and  $\sigma \in \Sigma i (V, C, \varepsilon) n$ 
  then have  $\sigma \in \Sigma$ 
    using  $\Sigma i$ -is-subset-of- $\Sigma$  by auto
  have  $\varepsilon \sigma \neq \emptyset$ 
    using estimates-are-non-empty  $\langle \sigma \in \Sigma \rangle$  by auto
  have finite  $\sigma$ 
    using state-is-finite  $\langle \sigma \in \Sigma \rangle$  by auto
  moreover have  $\exists m. sender\ m \in V \wedge est\ m \in \varepsilon \sigma \wedge justification\ m = \sigma$ 
    using est.simps sender.simps justification.simps V-type  $\langle \varepsilon \sigma \neq \emptyset \rangle \langle finite\ \sigma \rangle$ 
    by (metis all-not-in-conv finite-list)
  moreover have  $\varepsilon \sigma \subseteq C$ 
    using estimates-are-subset-of-C  $\Sigma i$ -is-subset-of- $\Sigma$   $\langle n \in \mathbb{N} \rangle \langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$  by blast
  ultimately show  $\exists m. est\ m \in C \wedge sender\ m \in V \wedge justification\ m \in \Sigma i (V, C, \varepsilon) n \wedge est\ m \in \varepsilon (justification\ m) \wedge justification\ m = \sigma$ 
    using Nats-1 One-nat-def
    using  $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$  by blast
qed

lemma (in Protocol)  $\Sigma$ -type:  $\Sigma \subset Pow\ M$ 
proof –
  obtain  $m$  where  $m \in Mi (V, C, \varepsilon) 0 \wedge justification\ m = \emptyset$ 
    using non-justifying-message-exists-in-M-0 by auto
  then have  $\{m\} \in \Sigma i (V, C, \varepsilon) (Suc\ 0)$ 
    using Params. $\Sigma i$ -subset-Mi by auto
  then have  $\exists m'. m' \in Mi (V, C, \varepsilon) (Suc\ 0) \wedge justification\ m' = \{m\}$ 
    using message-justifying-state-in- $\Sigma$ -n-exists-in-M-n Nats-1 One-nat-def by metis
  then obtain  $m'$  where  $m' \in Mi (V, C, \varepsilon) (Suc\ 0) \wedge justification\ m' = \{m\}$ 
by auto

```

```

then have  $\{m'\} \in \text{Pow } M$ 
using  $M\text{-def}$ 
by (metis Nats-1 One-nat-def PowD PowI Pow-bottom UN-I insert-subset)
moreover have  $\{m'\} \notin \Sigma$ 
using  $\text{Params.state-is-in-pow-Mi Protocol-axioms } \langle m' \in \text{Mi } (V, C, \varepsilon) \text{ (Suc } 0) \rangle$ 
 $\wedge \text{ justification } m' = \{m\}$  by fastforce
ultimately show ?thesis
using  $\Sigma\text{is-subseteq-of-pow-M}$  by auto
qed

```

```

lemma (in Protocol) M-type-counterexample:
   $(\forall \sigma. \varepsilon \sigma = C) \implies M = \{m. \text{ est } m \in C \wedge \text{ sender } m \in V \wedge \text{ justification } m \in \Sigma\}$ 
apply (simp add: M-def)
apply auto
using  $\Sigma\text{is-subset-of-}\Sigma$  apply blast
by (simp add: Sigma-def)

```

```

definition observed :: message set  $\Rightarrow$  validator set
where
  observed  $\sigma = \{\text{sender } m \mid m. m \in \sigma\}$ 

```

```

lemma (in Protocol) observed-type :
   $\forall \sigma \in \text{Pow } M. \text{ observed } \sigma \in \text{Pow } V$ 
using  $\text{Params.M-type Protocol-axioms observed-def}$  by fastforce

```

```

lemma (in Protocol) observed-type-for-state :
   $\forall \sigma \in \Sigma. \text{ observed } \sigma \subseteq V$ 
using  $\text{Params.M-type Protocol-axioms observed-def state-is-subset-of-M}$  by fastforce

```

```

fun is-future-state :: (state * state)  $\Rightarrow$  bool
where
  is-future-state  $(\sigma 1, \sigma 2) = (\sigma 1 \subseteq \sigma 2)$ 

```

```

lemma (in Params) state-difference-is-valid-message :
   $\forall \sigma \sigma'. \sigma \in \Sigma \wedge \sigma' \in \Sigma$ 
   $\longrightarrow \text{is-future-state}(\sigma, \sigma')$ 
   $\longrightarrow \sigma' - \sigma \subseteq M$ 
using  $\text{state-is-subset-of-M}$  by blast

```

```

definition justified :: message  $\Rightarrow$  message  $\Rightarrow$  bool
where
  justified  $m1 \ m2 = (m1 \in \text{ justification } m2)$ 

```

definition *equivocation* :: (message * message) \Rightarrow bool
where
equivocation =
 $(\lambda(m1, m2). \text{sender } m1 = \text{sender } m2 \wedge m1 \neq m2 \wedge \neg (\text{justified } m1 \ m2) \wedge \neg (\text{justified } m2 \ m1))$

definition *is-equivocating* :: state \Rightarrow validator \Rightarrow bool
where
is-equivocating σ v = $(\exists m1 \in \sigma. \exists m2 \in \sigma. \text{equivocation } (m1, m2) \wedge \text{sender } m1 = v)$

definition *equivocating-validators* :: state \Rightarrow validator set
where
equivocating-validators σ = $\{v \in \text{observed } \sigma. \text{is-equivocating } \sigma \ v\}$

lemma (in *Protocol*) *equivocating-validators-type* :
 $\forall \sigma \in \Sigma. \text{equivocating-validators } \sigma \subseteq V$
using *observed-type-for-state equivocating-validators-def* **by** *blast*

lemma (in *Protocol*) *equivocating-validators-is-finite* :
 $\forall \sigma \in \Sigma. \text{finite } (\text{equivocating-validators } \sigma)$
using *V-type equivocating-validators-type rev-finite-subset* **by** *blast*

definition (in *Params*) *equivocating-validators-paper* :: state \Rightarrow validator set
where
equivocating-validators-paper σ = $\{v \in V. \text{is-equivocating } \sigma \ v\}$

lemma (in *Protocol*) *equivocating-validators-is-equivalent-to-paper* :
 $\forall \sigma \in \Sigma. \text{equivocating-validators } \sigma = \text{equivocating-validators-paper } \sigma$
by (*smt Collect-cong Params.equivocating-validators-paper-def equivocating-validators-def is-equivocating-def mem-Collect-eq observed-type-for-state observed-def subsetCE*)

lemma (in *Protocol*) *equivocation-is-monotonic* :
 $\forall \sigma \sigma' v. \text{is-future-state } (\sigma, \sigma') \wedge v \in V$
 $\longrightarrow v \in \text{equivocating-validators } \sigma$
 $\longrightarrow v \in \text{equivocating-validators } \sigma'$
apply (*simp add: equivocating-validators-def is-equivocating-def*)
using *observed-def* **by** *fastforce*

lemma (in *Protocol*) *equivocating-validators-preserved-over-honest-message* :
 $\forall \sigma m. \sigma \in \Sigma \wedge m \in M$
 $\longrightarrow \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\})$
 $\longrightarrow \text{equivocating-validators } \sigma = \text{equivocating-validators } (\sigma \cup \{m\})$

apply (*simp add: equivocating-validators-def is-equivocating-def observed-def equivocation-def*)
by *auto*

definition (*in Params*) *weight-measure* :: *validator set* \Rightarrow *real*
where

$$\text{weight-measure } v\text{-set} = \text{sum } W \text{ } v\text{-set}$$

lemma (*in Params*) *weight-measure-subset-minus* :
finite A \Rightarrow *finite B* $\Rightarrow A \subseteq B$
 $\Rightarrow \text{weight-measure } B - \text{weight-measure } A = \text{weight-measure } (B - A)$
apply (*simp add: weight-measure-def*)
by (*simp add: sum-diff*)

lemma (*in Params*) *weight-measure-strict-subset-minus* :
finite A \Rightarrow *finite B* $\Rightarrow A \subset B$
 $\Rightarrow \text{weight-measure } B - \text{weight-measure } A = \text{weight-measure } (B - A)$
apply (*simp add: weight-measure-def*)
by (*simp add: sum-diff*)

lemma (*in Params*) *weight-measure-disjoint-plus* :
finite A \Rightarrow *finite B* $\Rightarrow A \cap B = \emptyset$
 $\Rightarrow \text{weight-measure } A + \text{weight-measure } B = \text{weight-measure } (A \cup B)$
apply (*simp add: weight-measure-def*)
by (*simp add: sum.union-disjoint*)

lemma (*in Protocol*) *weight-positive* :
 $A \subseteq V \Rightarrow \text{weight-measure } A \geq 0$
apply (*simp add: weight-measure-def*)
using *W-type*
by (*smt subsetCE sum-nonneg*)

lemma (*in Protocol*) *weight-gte-diff* :
 $A \subseteq V \Rightarrow \text{weight-measure } B \geq \text{weight-measure } B - \text{weight-measure } A$
using *weight-positive* **by** *auto*

lemma (*in Protocol*) *weight-measure-subset-gte-diff* :
 $A \subseteq V \Rightarrow A \subseteq B \Rightarrow \text{weight-measure } B \geq \text{weight-measure } (B - A)$
using *weight-measure-subset-minus V-type weight-gte-diff*
by (*smt finite-Diff2 finite-subset sum.infinite weight-measure-def*)

lemma (*in Protocol*) *weight-measure-subset-gte* :
 $B \subseteq V \Rightarrow A \subseteq B \Rightarrow \text{weight-measure } B \geq \text{weight-measure } A$
using *W-type V-type*

apply (*simp add: weight-measure-def*)
by (*smt DiffD1 Params.weight-measure-def finite-subset subsetCE sum-nonneg weight-measure-subset-minus*)

lemma (*in Protocol*) *weight-measure-strict-subset-gt* :

$B \subseteq V \implies A \subset B \implies \text{weight-measure } B > \text{weight-measure } A$

proof –

fix $A B$

assume $B \subseteq V$

and $A \subset B$

then have $A \subset V$

by *auto*

have $\text{finite } A \wedge \text{finite } B$

using *V-type finite-subset* $\langle B \subseteq V \rangle \langle A \subset B \rangle$ **by** *auto*

have $B - A \neq \emptyset \wedge B - A \subseteq V$

using $\langle A \subset B \rangle \langle B \subseteq V \rangle$

by *blast*

then have $\text{weight-measure } (B - A) > 0$

using *W-type*

apply (*simp add: weight-measure-def*)

by (*meson Diff-eq-empty-iff V-type rev-finite-subset subset-eq sum-pos*)

have $\text{weight-measure } B = \text{weight-measure } (B - A) + \text{weight-measure } A$

using *weight-measure-strict-subset-minus* $\langle B \subseteq V \rangle \langle A \subset B \rangle \langle \text{finite } A \wedge \text{finite } B \rangle$

B

by *fastforce*

then show $\text{weight-measure } B > \text{weight-measure } A$

using $\langle \text{weight-measure } (B - A) > 0 \rangle$

by *linarith*

qed

definition (*in Params*) *equivocation-fault-weight* :: $\text{state} \Rightarrow \text{real}$

where

$\text{equivocation-fault-weight } \sigma = \text{weight-measure } (\text{equivocating-validators } \sigma)$

lemma (*in Protocol*) *equivocation-fault-weight-is-monotonic* :

$\forall \sigma \sigma'. \sigma \in \Sigma \wedge \sigma' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma')$

$\longrightarrow \text{equivocation-fault-weight } \sigma \leq \text{equivocation-fault-weight } \sigma'$

using *equivocation-is-monotonic weight-measure-subset-gte*

by (*smt equivocating-validators-is-finite equivocating-validators-type equivocation-fault-weight-def subset-iff*)

definition (*in Params*) *is-faults-lt-threshold* :: $\text{state} \Rightarrow \text{bool}$

```

where
  is-faults-lt-threshold  $\sigma = (\text{equivocation-fault-weight } \sigma < t)$ 

definition (in Protocol)  $\Sigma t :: \text{state set}$ 
where
   $\Sigma t = \{\sigma \in \Sigma. \text{is-faults-lt-threshold } \sigma\}$ 

lemma (in Protocol)  $\Sigma t\text{-is-subset-of-}\Sigma : \Sigma t \subseteq \Sigma$ 
using  $\Sigma t\text{-def}$  by auto

lemma (in Protocol) past-state-of- $\Sigma t$ -is- $\Sigma t$  :
   $\forall \sigma \sigma'. \sigma' \in \Sigma t \wedge \sigma \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma')$ 
   $\longrightarrow \sigma \in \Sigma t$ 
using equivocation-fault-weight-is-monotonic
apply (simp add:  $\Sigma t\text{-def}$  is-faults-lt-threshold-def)
by fastforce

definition (in Protocol) futures ::  $\text{state} \Rightarrow \text{state set}$ 
where
  futures  $\sigma = \{\sigma' \in \Sigma t. \text{is-future-state } (\sigma, \sigma')\}$ 

type-synonym state-property =  $\text{state} \Rightarrow \text{bool}$ 

type-synonym consensus-value-property =  $\text{consensus-value} \Rightarrow \text{bool}$ 

end

## 2 Message Justification

theory MessageJustification

imports Main CBCCasper Libraries/LaTeXsugar

begin

definition (in Params) message-justification :: message rel
where
  message-justification =  $\{(m1, m2). \{m1, m2\} \subseteq M \wedge \text{justified } m1 \ m2\}$ 

lemma (in Protocol) transitivity-of-justifications :
  trans message-justification
apply (simp add: trans-def message-justification-def justified-def)

```

by (*meson Params.M-type Params.state-is-in-pow-Mi Protocol-axioms contra-subsetD*)

lemma (*in Protocol*) *irreflexivity-of-justifications* :

irrefl message-justification

apply (*simp add: irrefl-def message-justification-def justified-def*)

apply (*simp add: M-def*)

apply *auto*

proof –

fix *n m*

assume *est m ∈ C*

assume *sender m ∈ V*

assume *justification m ∈ Σi (V, C, ε) n*

assume *est m ∈ ε (justification m)*

assume *m ∈ justification m*

have *m ∈ Mi (V, C, ε) (n – 1)*

by (*smt Mi.simps One-nat-def Params.Σi-subset-Mi Pow-iff Suc-pred (est m ∈ C) (est m ∈ ε (justification m)) (justification m ∈ Σi (V, C, ε) n) (m ∈ justification m) (sender m ∈ V) add.right-neutral add-Suc-right diff-is-0-eq' diff-le-self diff-zero mem-Collect-eq not-gr0 subsetCE*)

then have *justification m ∈ Σi (V, C, ε) (n – 1)*

using *Mi.simps* **by** *blast*

then have *justification m ∈ Σi (V, C, ε) 0*

apply (*induction n*)

apply *simp*

by (*smt Mi.simps One-nat-def Params.Σi-subset-Mi Pow-iff Suc-pred (m ∈ justification m) add.right-neutral add-Suc-right diff-Suc-1 mem-Collect-eq not-gr0 subsetCE subsetCE*)

then have *justification m ∈ {∅}*

by *simp*

then show *False*

using *(m ∈ justification m)* **by** *blast*

qed

lemma (*in Protocol*) *message-cannot-justify-itself* :

(∀ m ∈ M. ¬ justified m m)

proof –

have *irrefl message-justification*

using *irreflexivity-of-justifications* **by** *simp*

then show *?thesis*

by (*simp add: irreflexivity-of-justifications irrefl-def message-justification-def*)

qed

lemma (*in Protocol*) *justification-is-strict-partial-order-on-M* :

strict-partial-order message-justification

apply (*simp add: strict-partial-order-def*)

by (*simp add: irreflexivity-of-justifications transitivity-of-justifications*)

lemma (*in Protocol*) *monotonicity-of-justifications* :

∀ m m' σ. m ∈ M ∧ σ ∈ Σ ∧ justified m' m → justification m' ⊆ justification

m
apply *simp*
by (*meson* *M-type justified-def message-in-state-is-valid state-is-in-pow-Mi*)

lemma (*in Protocol*) *strict-monotonicity-of-justifications* :
 $\forall m m' \sigma. m \in M \wedge \sigma \in \Sigma \wedge \text{justified } m' m \longrightarrow \text{justification } m' \subset \text{justification } m$
by (*metis M-type message-cannot-justify-itself justified-def message-in-state-is-valid monotonicity-of-justifications psubsetI*)

lemma (*in Protocol*) *justification-implies-different-messages* :
 $\forall m m'. m \in M \wedge m' \in M \longrightarrow \text{justified } m' m \longrightarrow m \neq m'$
using *message-cannot-justify-itself* **by** *auto*

lemma (*in Protocol*) *only-valid-message-is-justified* :
 $\forall m \in M. \forall m'. \text{justified } m' m \longrightarrow m' \in M$
apply (*simp add: justified-def*)
using *Params.M-type message-in-state-is-valid* **by** *blast*

lemma (*in Protocol*) *justified-message-exists-in-Mi-n-minus-1* :
 $\forall n m m'. n \in \mathbb{N}$
 $\longrightarrow \text{justified } m' m$
 $\longrightarrow m \in \text{Mi } (V, C, \varepsilon) n$
 $\longrightarrow m' \in \text{Mi } (V, C, \varepsilon) (n - 1)$
proof –
have $\forall n m m'. \text{justified } m' m$
 $\longrightarrow m \in \text{Mi } (V, C, \varepsilon) n$
 $\longrightarrow m \in M \wedge m' \in M$
 $\longrightarrow m' \in \text{Mi } (V, C, \varepsilon) (n - 1)$
apply (*rule, rule, rule, rule, rule, rule*)
proof –
fix $n m m'$
assume *justified* $m' m$
assume $m \in \text{Mi } (V, C, \varepsilon) n$
assume $m \in M \wedge m' \in M$
then have *justification* $m \in \Sigma i (V, C, \varepsilon) n$
using *Mi.simps* $\langle m \in \text{Mi } (V, C, \varepsilon) n \rangle$ **by** *blast*
then have *justification* $m \in \text{Pow } (\text{Mi } (V, C, \varepsilon) (n - 1))$
by (*metis* (*no-types, lifting*) *Suc-diff-Suc* *$\Sigma i.simps(1)$* *$\Sigma i\text{-subset-Mi}$* $\langle \text{justified } m' m \rangle$ *add-leE* *diff-add* *diff-le-self* *empty-iff justified-def* *neq0-conv* *plus-1-eq-Suc* *singletonD* *subsetCE*)
show $m' \in \text{Mi } (V, C, \varepsilon) (n - 1)$
using $\langle \text{justification } m \in \text{Pow } (\text{Mi } (V, C, \varepsilon) (n - 1)) \rangle \langle \text{justified } m' m \rangle$
justified-def **by** *auto*
qed
then show *?thesis*
by (*metis* (*no-types, lifting*) *M-def UN-I only-valid-message-is-justified*)
qed

lemma (in *Protocol*) *monotonicity-of-card-of-justification* :
 $\forall m m'. m \in M$
 $\longrightarrow \text{justified } m' m$
 $\longrightarrow \text{card } (\text{justification } m') < \text{card } (\text{justification } m)$
by (meson *M-type Protocol.strict-monotonicity-of-justifications Protocol-axioms justification-is-finite psubset-card-mono*)

lemma (in *Protocol*) *justification-is-well-founded-on-M* :
 $\text{wfp-on justified } M$
proof (rule *ccontr*)
assume $\neg \text{wfp-on justified } M$
then have $\exists f. \forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i)$
by (simp add: *wfp-on-def*)
then obtain f **where** $\forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i)$ **by** *auto*
have $\forall i. \text{card } (\text{justification } (f i)) \leq \text{card } (\text{justification } (f 0)) - i$
apply (rule)
proof –
fix i
have $\text{card } (\text{justification } (f (\text{Suc } i))) < \text{card } (\text{justification } (f i))$
using $\langle \forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i) \rangle$ **by** (simp add: *monotonicity-of-card-of-justification*)
show $\text{card } (\text{justification } (f i)) \leq \text{card } (\text{justification } (f 0)) - i$
apply (induction i)
apply *simp*
using $\langle \text{card } (\text{justification } (f (\text{Suc } i))) < \text{card } (\text{justification } (f i)) \rangle$
by (smt *Suc-diff-le* $\langle \forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i) \rangle$ *diff-Suc-Suc diff-is-0-eq le-iff-add less-Suc-eq-le less-imp-le monotonicity-of-card-of-justification not-less-eq-eq trans-less-add1*)
qed
then have $\exists i. i = \text{card } (\text{justification } (f 0)) + \text{Suc } 0 \wedge \text{card } (\text{justification } (f i)) \leq \text{card } (\text{justification } (f 0)) - i$
by *blast*
then show *False*
using *le-0-eq le-simps(2) linorder-not-le monotonicity-of-card-of-justification nat-diff-split order-less-imp-le*
by (metis $\langle \forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i) \rangle$ *add.right-neutral add-Suc-right*)
qed

lemma (in *Protocol*) *subset-of-M-have-minimal-of-justification* :
 $\forall S \subseteq M. S \neq \emptyset \longrightarrow (\exists m\text{-min} \in S. \forall m. \text{justified } m m\text{-min} \longrightarrow m \notin S)$
by (metis *justification-is-well-founded-on-M wfp-on-imp-has-min-elt wfp-on-mono*)

lemma (in *Protocol*) *message-in-state-is-strict-subset-of-the-state* :
 $\forall \sigma \in \Sigma. \forall m \in \sigma. \text{justification } m \subset \sigma$
using *justification-implies-different-messages justified-def message-in-state-is-valid state-is-in-pow-Mi* **by** *fastforce*

end

3 Latest Message

theory *LatestMessage*

imports *Main CBCCasper MessageJustification Libraries/LaTeXsugar*

begin

definition *later* :: (message * message set) \Rightarrow message set
where
later = $(\lambda(m, \sigma). \{m' \in \sigma. \text{justified } m \ m'\})$

lemma (**in** *Protocol*) *later-type* :
 $\forall \sigma \ m. \sigma \in \text{Pow } M \wedge m \in M \longrightarrow \text{later } (m, \sigma) \subseteq M$
apply (*simp add: later-def*)
by *auto*

lemma (**in** *Protocol*) *later-type-for-state* :
 $\forall \sigma \ m. \sigma \in \Sigma \wedge m \in M \longrightarrow \text{later } (m, \sigma) \subseteq M$
apply (*simp add: later-def*)
using *state-is-subset-of-M* **by** *auto*

definition *from-sender* :: (validator * message set) \Rightarrow message set
where
from-sender = $(\lambda(v, \sigma). \{m \in \sigma. \text{sender } m = v\})$

lemma (**in** *Protocol*) *from-sender-type* :
 $\forall \sigma \ v. \sigma \in \text{Pow } M \wedge v \in V \longrightarrow \text{from-sender } (v, \sigma) \in \text{Pow } M$
apply (*simp add: from-sender-def*)
by *auto*

lemma (**in** *Protocol*) *from-sender-type-for-state* :
 $\forall \sigma \ v. \sigma \in \Sigma \wedge v \in V \longrightarrow \text{from-sender } (v, \sigma) \subseteq M$
apply (*simp add: from-sender-def*)
using *state-is-subset-of-M* **by** *auto*

lemma (**in** *Protocol*) *messages-from-observed-validator-is-non-empty* :
 $\forall \sigma \ v. \sigma \in \Sigma \wedge v \in \text{observed } \sigma \longrightarrow \text{from-sender } (v, \sigma) \neq \emptyset$
apply (*simp add: observed-def from-sender-def*)
by *auto*

lemma (in *Protocol*) *messages-from-validator-is-finite* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \sigma \longrightarrow \text{finite } (\text{from-sender } (v, \sigma))$
by (simp add: from-sender-def state-is-finite)

definition *from-group* :: (validator set * message set) \Rightarrow state
where
 $\text{from-group} = (\lambda(v\text{-set}, \sigma). \{m \in \sigma. \text{sender } m \in v\text{-set}\})$

lemma (in *Protocol*) *from-group-type* :
 $\forall \sigma v. \sigma \in \text{Pow } M \wedge v\text{-set} \subseteq V \longrightarrow \text{from-group } (v\text{-set}, \sigma) \in \text{Pow } M$
apply (simp add: from-group-def)
by auto

lemma (in *Protocol*) *from-group-type-for-state* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v\text{-set} \subseteq V \longrightarrow \text{from-group } (v\text{-set}, \sigma) \subseteq M$
apply (simp add: from-group-def)
using state-is-subset-of-M **by** auto

definition *later-from* :: (message * validator * message set) \Rightarrow message set
where
 $\text{later-from} = (\lambda(m, v, \sigma). \{m' \in \sigma. \text{sender } m' = v \wedge \text{justified } m \ m'\})$

lemma (in *Protocol*) *later-from-type* :
 $\forall \sigma v m. \sigma \in \text{Pow } M \wedge v \in V \wedge m \in M \longrightarrow \text{later-from } (m, v, \sigma) \in \text{Pow } M$
apply (simp add: later-from-def)
by auto

lemma (in *Protocol*) *later-from-type-for-state* :
 $\forall \sigma v m. \sigma \in \Sigma \wedge v \in V \wedge m \in M \longrightarrow \text{later-from } (m, v, \sigma) \subseteq M$
apply (simp add: later-from-def)
using message-in-state-is-valid **by** auto

definition *L-M* :: message set \Rightarrow (validator \Rightarrow message set)
where
 $L\text{-M } \sigma v = \{m \in \text{from-sender } (v, \sigma). \text{later-from } (m, v, \sigma) = \emptyset\}$

lemma (in *Protocol*) *L-M-type* :
 $\forall \sigma v. \sigma \in \text{Pow } M \wedge v \in V \longrightarrow L\text{-M } \sigma v \in \text{Pow } M$
apply (simp add: L-M-def later-from-def)
using from-sender-type **by** auto

lemma (in *Protocol*) *L-M-type-for-state* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L\text{-M } \sigma v \subseteq M$
apply (simp add: L-M-def later-from-def)

using *from-sender-type-for-state* **by** *auto*

lemma (**in** *Protocol*) *L-M-from-non-observed-validator-is-empty* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \wedge v \notin \text{observed } \sigma \longrightarrow L\text{-}M \ \sigma \ v = \emptyset$
by (*simp add: L-M-def observed-def later-def from-sender-def*)

lemma (**in** *Protocol*) *L-M-is-subset-of-the-state* :
 $\forall \sigma \in \Sigma. \forall v \in V. L\text{-}M \ \sigma \ v \subseteq \sigma$
by (*simp add: L-M-def later-from-def from-sender-def*)

definition *observed-non-equivocating-validators* :: *state* \Rightarrow *validator set*
where
 $\text{observed-non-equivocating-validators } \sigma = \text{observed } \sigma - \text{equivocating-validators } \sigma$

lemma (**in** *Protocol*) *observed-non-equivocating-validators-type* :
 $\forall \sigma \in \Sigma. \text{observed-non-equivocating-validators } \sigma \in \text{Pow } V$
apply (*simp add: observed-non-equivocating-validators-def*)
using *observed-type-for-state equivocating-validators-type* **by** *auto*

lemma (**in** *Protocol*) *observed-non-equivocating-validators-are-not-equivocating* :
 $\forall \sigma \in \Sigma. \text{observed-non-equivocating-validators } \sigma \cap \text{equivocating-validators } \sigma = \emptyset$
unfolding *observed-non-equivocating-validators-def*
by *blast*

lemma (**in** *Protocol*) *justification-is-well-founded-on-messages-from-validator*:
 $\forall \sigma \in \Sigma. (\forall v \in V. \text{wfp-on justified (from-sender (v, } \sigma))$
using *justification-is-well-founded-on-M from-sender-type-for-state wfp-on-subset*
by *blast*

lemma (**in** *Protocol*) *justification-is-total-on-messages-from-non-equivocating-validator*:
 $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma \longrightarrow \text{Relation.total-on (from-sender (v, } \sigma)) \text{ message-justification})$
proof –
have $\forall m1 \ m2 \ \sigma \ v. v \in V \wedge \sigma \in \Sigma \wedge \{m1, m2\} \subseteq \text{from-sender (v, } \sigma) \longrightarrow \text{sender } m1 = \text{sender } m2$
by (*simp add: from-sender-def*)
then have $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma \longrightarrow (\forall m1 \ m2. \{m1, m2\} \subseteq \text{from-sender (v, } \sigma) \longrightarrow m1 = m2 \vee \text{justified } m1 \ m2 \vee \text{justified } m2 \ m1))$
apply (*simp add: equivocating-validators-def is-equivocating-def equivocation-def from-sender-def observed-def*)
by *blast*
then show *?thesis*
apply (*simp add: Relation.total-on-def message-justification-def*)
using *from-sender-type-for-state* **by** *blast*
qed

lemma (in *Protocol*) *justification-is-strict-linear-order-on-messages-from-non-equivocating-validator*:
 $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma \longrightarrow \text{strict-linear-order-on}$
(from-sender (v, σ)) message-justification)
by (*simp add: strict-linear-order-on-def justification-is-total-on-messages-from-non-equivocating-validator*
irreflexivity-of-justifications transitivity-of-justifications)

lemma (in *Protocol*) *justification-is-strict-well-order-on-messages-from-non-equivocating-validator*:
 $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma$
 $\longrightarrow \text{strict-linear-order-on (from-sender (v, σ)) message-justification} \wedge \text{wfp-on}$
justified (from-sender (v, σ)))
using *justification-is-well-founded-on-messages-from-validator*
justification-is-strict-linear-order-on-messages-from-non-equivocating-validator
by *blast*

lemma (in *Protocol*) *latest-message-is-maximal-element-of-justification* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L\text{-}M \sigma v = \{m. \text{maximal-on (from-sender (v, σ))}$
message-justification m}
apply (*simp add: L-M-def later-from-def from-sender-def message-justification-def*
maximal-on-def)
using *from-sender-type-for-state apply auto*
using *message-in-state-is-valid by blast*

lemma (in *Protocol*) *observed-non-equivocating-validators-have-one-latest-message*:
 $\forall \sigma \in \Sigma. (\forall v \in \text{observed-non-equivocating-validators } \sigma. \text{is-singleton (L-M } \sigma v))$

apply (*simp add: observed-non-equivocating-validators-def*)
proof –
have $\forall \sigma \in \Sigma. (\forall v \in \text{observed } \sigma - \text{equivocating-validators } \sigma. \text{is-singleton } \{m.$
maximal-on (from-sender (v, σ)) message-justification m})
using
messages-from-observed-validator-is-non-empty
messages-from-validator-is-finite
observed-type-for-state
equivocating-validators-def
justification-is-strict-linear-order-on-messages-from-non-equivocating-validator
strict-linear-order-on-finite-non-empty-set-has-one-maximum
maximal-and-maximum-coincide-for-strict-linear-order
by (*smt Collect-cong DiffD1 DiffD2 set-mp*)
then show $\forall \sigma \in \Sigma. \forall v \in \text{observed } \sigma - \text{equivocating-validators } \sigma. \text{is-singleton (L-M}$
 $\sigma v)$
using *latest-message-is-maximal-element-of-justification*
observed-non-equivocating-validators-def observed-non-equivocating-validators-type
by *fastforce*
qed

definition $L-E :: state \Rightarrow validator \Rightarrow consensus-value\ set$

where

$$L-E\ \sigma\ v = \{est\ m \mid m. m \in L-M\ \sigma\ v\}$$

lemma (in *Protocol*) $L-E-type$:

$$\forall\ \sigma\ v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-E\ \sigma\ v \subseteq C$$

using $M-type\ Protocol.L-M-type-for-state\ Protocol-axioms\ L-E-def$ **by** *fastforce*

lemma (in *Protocol*) $L-E-from-non-observed-validator-is-empty$:

$$\forall\ \sigma\ v. \sigma \in \Sigma \wedge v \in V \wedge v \notin observed\ \sigma \longrightarrow L-E\ \sigma\ v = \emptyset$$

using $L-E-def\ L-M-from-non-observed-validator-is-empty$ **by** *auto*

definition $L-H-M :: state \Rightarrow validator \Rightarrow message\ set$

where

$$L-H-M\ \sigma\ v = (if\ v \in equivocating-validators\ \sigma\ then\ \emptyset\ else\ L-M\ \sigma\ v)$$

lemma (in *Protocol*) $L-H-M-type$:

$$\forall\ \sigma\ v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-H-M\ \sigma\ v \subseteq M$$

by (*simp add: L-M-type-for-state L-H-M-def*)

lemma (in *Protocol*) $L-H-M-of-observed-non-equivocating-validator-is-singleton$:

$$\forall\ \sigma \in \Sigma. \forall\ v \in observed-non-equivocating-validators\ \sigma.$$

$$is-singleton\ (L-H-M\ \sigma\ v)$$

using $observed-non-equivocating-validators-have-one-latest-message$

by (*simp add: L-H-M-def observed-non-equivocating-validators-def*)

lemma (in *Protocol*) $sender-of-L-H-M$:

$$\forall\ \sigma \in \Sigma. \forall\ v \in observed-non-equivocating-validators\ \sigma. sender\ (the-elem\ (L-H-M\ \sigma\ v)) = v$$

using $L-H-M-of-observed-non-equivocating-validator-is-singleton$

$$L-H-M-def\ L-M-def\ from-sender-def$$

by (*smt Diff-iff is-singleton-the-elem mem-Collect-eq observed-non-equivocating-validators-def prod.simps(2) singletonI*)

lemma (in *Protocol*) $L-H-M-is-in-the-state$:

$$\forall\ \sigma \in \Sigma. \forall\ v \in observed-non-equivocating-validators\ \sigma. the-elem\ (L-H-M\ \sigma\ v)$$

$\in \sigma$
using *L-H-M-of-observed-non-equivocating-validator-is-singleton*
L-H-M-def L-M-is-subset-of-the-state
by (*metis Diff-iff contra-subsetD insert-subset is-singleton-the-elem observed-non-equivocating-validators-def*
observed-type-for-state)

definition *L-H-E* :: *state* \Rightarrow *validator* \Rightarrow *consensus-value set*
where

L-H-E σ v = *est* '*L-H-M* σ v

lemma (**in** *Protocol*) *L-H-E-type* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-H-E \sigma v \in Pow C$
using *Protocol.L-E-type Protocol-axioms L-E-def L-H-E-def L-H-M-def*
using *M-type L-H-M-type* **by** *fastforce*

lemma (**in** *Protocol*) *L-H-E-from-non-observed-validator-is-empty* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \wedge v \notin observed \sigma \longrightarrow L-H-E \sigma v = \emptyset$
by (*simp add: L-H-E-def L-H-M-def L-M-from-non-observed-validator-is-empty*)

lemma *image-of-singleton-is-singleton* :
is-singleton $A \implies is-singleton (f 'A)$
apply (*simp add: is-singleton-def*)
by *blast*

lemma (**in** *Protocol*) *L-H-E-of-observed-non-equivocating-validator-is-singleton* :
 $\forall \sigma \in \Sigma. \forall v \in observed-non-equivocating-validators \sigma.$
is-singleton (*L-H-E* σ v)
using *L-H-M-of-observed-non-equivocating-validator-is-singleton*
apply (*simp add: L-H-E-def*)
using *image-of-singleton-is-singleton*
by *blast*

definition *L-H-J* :: *state* \Rightarrow *validator* \Rightarrow *state set*
where
L-H-J σ v = *justification* '*L-H-M* σ v

lemma (**in** *Protocol*) *L-H-J-type* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-H-J \sigma v \subseteq \Sigma$
using *M-type L-H-M-type*


```

    L-H-J-def by auto

lemma (in Protocol) L-H-J-of-observed-non-equivocating-validator-is-singleton :
   $\forall \sigma \in \Sigma. v \in \text{observed-non-equivocating-validators } \sigma$ 
   $\longrightarrow \text{is-singleton } (L-H-J \ \sigma \ v)$ 
using L-H-M-of-observed-non-equivocating-validator-is-singleton
apply (simp add: L-H-J-def)
using image-of-singleton-is-singleton
by blast

lemma (in Protocol) L-H-J-is-subset-of-the-state :
   $\forall \sigma \ v. \sigma \in \Sigma \wedge v \in V \longrightarrow (\forall \sigma' \in L-H-J \ \sigma \ v. \sigma' \subset \sigma)$ 
apply (simp add: L-H-J-def
    L-H-M-def)
using L-M-is-subset-of-the-state
    message-in-state-is-strict-subset-of-the-state
by blast

end
theory StateTransition

imports Main CBCCasper MessageJustification

begin

definition (in Params) state-transition :: state rel
where
  state-transition =  $\{(\sigma 1, \sigma 2). \{\sigma 1, \sigma 2\} \subseteq \Sigma \wedge \text{is-future-state}(\sigma 1, \sigma 2)\}$ 

lemma (in Params) reflexivity-of-state-transition :
  refl-on  $\Sigma$  state-transition
apply (simp add: state-transition-def refl-on-def)
by auto

lemma (in Params) transitivity-of-state-transition :
  trans state-transition
apply (simp add: state-transition-def trans-def)
by auto

lemma (in Params) state-transition-is-preorder :
  preorder-on  $\Sigma$  state-transition
by (simp add: preorder-on-def reflexivity-of-state-transition transitivity-of-state-transition)

lemma (in Params) antisymmetry-of-state-transition :

```

antisym state-transition
apply (simp add: state-transition-def antisym-def)
by auto

lemma (in Params) state-transition-is-partial-order :
partial-order-on Σ state-transition
by (simp add: partial-order-on-def state-transition-is-preorder antisymmetry-of-state-transition)

definition immediately-next-message **where**
immediately-next-message = $(\lambda(\sigma, m). \text{ justification } m \subseteq \sigma \wedge m \notin \sigma)$

lemma (in Protocol) state-transition-by-immediately-next-message-of-same-depth-non-zero:

$\forall n \geq 1. \forall \sigma \in \Sigma i (V, C, \varepsilon) n. \forall m \in Mi (V, C, \varepsilon) n. \text{ immediately-next-message } (\sigma, m)$
 $\longrightarrow \sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n+1)$
apply (rule, rule, rule, rule, rule)

proof –
fix $n \sigma m$
assume $1 \leq n \sigma \in \Sigma i (V, C, \varepsilon) n m \in Mi (V, C, \varepsilon) n \text{ immediately-next-message } (\sigma, m)$

have $\exists n'. n = \text{Suc } n'$
using $\langle 1 \leq n \rangle \text{ old.nat.exhaust}$ **by** auto
hence $si: \Sigma i (V, C, \varepsilon) n = \{\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n - 1)). \text{ finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{ justification } m \subseteq \sigma)\}$
by force

hence $\Sigma i (V, C, \varepsilon) (n+1) = \{\sigma \in \text{Pow } (Mi (V, C, \varepsilon) n). \text{ finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{ justification } m \subseteq \sigma)\}$
by force

have $\text{ justification } m \subseteq \sigma$
using immediately-next-message-def
by (metis (no-types, lifting) immediately-next-message (σ, m) case-prod-conv)

hence $\text{ justification } m \subseteq \sigma \cup \{m\}$
by blast

moreover **have** $\bigwedge m'. \text{ finite } \sigma \wedge m' \in \sigma \implies \text{ justification } m' \subseteq \sigma$
using $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle si$ **by** blast

hence $\bigwedge m'. \text{ finite } \sigma \wedge m' \in \sigma \implies \text{ justification } m' \subseteq \sigma \cup \{m\}$
by auto

ultimately **have** $\bigwedge m'. m' \in \sigma \cup \{m\} \implies \text{ justification } m' \subseteq \sigma$
using $\langle \text{ justification } m \subseteq \sigma \rangle$ **by** blast

have $\{m\} \in \text{Pow } (Mi (V, C, \varepsilon) n)$
using $\langle m \in Mi (V, C, \varepsilon) n \rangle$ **by** auto

moreover **have** $\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n-1))$
using $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle si$ **by** auto

hence $\sigma \in \text{Pow } (Mi (V, C, \varepsilon) n)$

using *Mi-monotonic*
by (*metis* (*full-types*) *PowD PowI Suc-eq-plus1* $\langle \exists n'. n = \text{Suc } n' \rangle$ *diff-Suc-1 subset-iff*)
ultimately have $\sigma \cup \{m\} \in \text{Pow } (Mi (V, C, \varepsilon) n)$
by *blast*

show $\sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n + 1)$
using $\langle \bigwedge m'. \text{finite } \sigma \wedge m' \in \sigma \implies \text{justification } m' \subseteq \sigma \cup \{m\} \rangle$ $\langle \sigma \cup \{m\} \in \text{Pow } (Mi (V, C, \varepsilon) n) \rangle$ $\langle \text{justification } m \subseteq \sigma \cup \{m\} \rangle$
 $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$ *si* **by** *auto*
qed

lemma (*in Protocol*) *state-transition-by-immediately-next-message-of-same-depth:*

$\forall \sigma \in \Sigma i (V, C, \varepsilon) n. \forall m \in Mi (V, C, \varepsilon) n. \text{immediately-next-message } (\sigma, m) \longrightarrow \sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n + 1)$
apply (*cases n*)
apply *auto[1]*
using *state-transition-by-immediately-next-message-of-same-depth-non-zero*
by (*metis le-add1 plus-1-eq-Suc*)

lemma (*in Params*) *past-state-exists-in-same-depth :*

$\forall \sigma \sigma'. \sigma' \in \Sigma i (V, C, \varepsilon) n \longrightarrow \sigma \subseteq \sigma' \longrightarrow \sigma \in \Sigma \longrightarrow \sigma \in \Sigma i (V, C, \varepsilon) n$
apply (*rule, rule, rule, rule, rule*)
proof (*cases n*)
case 0
show $\bigwedge \sigma \sigma'. \sigma' \in \Sigma i (V, C, \varepsilon) n \implies \sigma \subseteq \sigma' \implies \sigma \in \Sigma \implies n = 0 \implies \sigma \in \Sigma i (V, C, \varepsilon) n$
by *auto*
next
case (*Suc nat*)
show $\bigwedge \sigma \sigma' \text{ nat}. \sigma' \in \Sigma i (V, C, \varepsilon) n \implies \sigma \subseteq \sigma' \implies \sigma \in \Sigma \implies n = \text{Suc nat} \implies \sigma \in \Sigma i (V, C, \varepsilon) n$
proof –
fix $\sigma \sigma'$
assume $\sigma' \in \Sigma i (V, C, \varepsilon) n$
and $\sigma \subseteq \sigma'$
and $\sigma \in \Sigma$
have $n > 0$
by (*simp add: Suc*)
have *finite* $\sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)$
using $\langle \sigma \in \Sigma \rangle$ *state-is-finite state-is-in-pow-Mi* **by** *blast*
moreover have $\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n - 1))$
using $\langle \sigma \subseteq \sigma' \rangle$
by (*smt Pow-iff Suc-eq-plus1* *Σi -monotonic* *Σi -subset-Mi* $\langle \sigma' \in \Sigma i (V, C, \varepsilon) n \rangle$ *add-diff-cancel-left'* *add-eq-if diff-is-0-eq diff-le-self plus-1-eq-Suc subset-iff*)
ultimately have $\sigma \in \{\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n - 1)). \text{finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)\}$
by *blast*

then show $\sigma \in \Sigma_i (V, C, \varepsilon) n$
 by (simp add: Suc)
 qed
 qed

lemma (in Protocol) *immediately-next-message-exists-in-same-depth*:
 $\forall \sigma \in \Sigma. \forall m \in M. \text{immediately-next-message } (\sigma, m) \longrightarrow (\exists n \in \mathbb{N}. \sigma \in \Sigma_i (V, C, \varepsilon) n \wedge m \in Mi (V, C, \varepsilon) n)$
 apply (simp add: immediately-next-message-def M-def Σ -def)
 using past-state-exists-in-same-depth
 using Σ_i -is-subset-of- Σ by blast

lemma (in Protocol) *state-transition-by-immediately-next-message*:
 $\forall \sigma \in \Sigma. \forall m \in M. \text{immediately-next-message } (\sigma, m) \longrightarrow \sigma \cup \{m\} \in \Sigma$
 apply (rule, rule, rule)
proof –
 fix σm
 assume $\sigma \in \Sigma$
 and $m \in M$
 and immediately-next-message (σ, m)
 then have $(\exists n \in \mathbb{N}. \sigma \in \Sigma_i (V, C, \varepsilon) n \wedge m \in Mi (V, C, \varepsilon) n)$
 using immediately-next-message-exists-in-same-depth $\langle \sigma \in \Sigma \rangle \langle m \in M \rangle$
 by blast
 then have $\exists n \in \mathbb{N}. \sigma \cup \{m\} \in \Sigma_i (V, C, \varepsilon) (n + 1)$
 using state-transition-by-immediately-next-message-of-same-depth
 using $\langle \text{immediately-next-message } (\sigma, m) \rangle$ by blast
 show $\sigma \cup \{m\} \in \Sigma$
 apply (simp add: Σ -def)
 by (metis Nats-1 Nats-add Un-insert-right $\langle \exists n \in \mathbb{N}. \sigma \cup \{m\} \in \Sigma_i (V, C, \varepsilon) (n + 1) \rangle$ sup-bot.right-neutral)
 qed

lemma (in Protocol) *state-transition-implies-immediately-next-message*:
 $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \wedge m \notin \sigma \longrightarrow \text{immediately-next-message } (\sigma, m)$
proof –
 have $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \longrightarrow (\forall m' \in \sigma \cup \{m\}. \text{justification } m' \subseteq \sigma \cup \{m\})$
 using state-is-in-pow-Mi by blast
 then have $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \longrightarrow \text{justification } m \subseteq \sigma \cup \{m\}$
 by auto
 then have $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \wedge m \notin \sigma \longrightarrow \text{justification } m \subseteq \sigma$
 using justification-implies-different-messages justified-def by fastforce
 then show ?thesis
 by (simp add: immediately-next-message-def)
 qed

lemma (in Protocol) *state-transition-only-made-by-immediately-next-message*:
 $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \wedge m \notin \sigma \longleftrightarrow \text{immediately-next-message } (\sigma, m)$

using *state-transition-imps-immediately-next-message state-transition-by-immediately-next-message*
apply (*simp add: immediately-next-message-def*)
by *blast*

lemma (**in** *Protocol*) *state-transition-is-immediately-next-message*:

$\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \longleftrightarrow \text{justification } m \subseteq \sigma$

using *state-transition-only-made-by-immediately-next-message*

apply (*simp add: immediately-next-message-def*)

using *insert-Diff state-is-in-pow-Mi* **by** *fastforce*

lemma (**in** *Protocol*) *strict-subset-of-state-have-immediately-next-messages*:

$\forall \sigma \in \Sigma. \forall \sigma'. \sigma' \subset \sigma \longrightarrow (\exists m \in \sigma - \sigma'. \text{immediately-next-message } (\sigma', m))$

apply (*simp add: immediately-next-message-def*)

apply (*rule, rule, rule*)

proof –

fix $\sigma \sigma'$

assume $\sigma \in \Sigma$

assume $\sigma' \subset \sigma$

show $\exists m \in \sigma - \sigma'. \text{justification } m \subseteq \sigma'$

proof (*rule ccontr*)

assume $\neg (\exists m \in \sigma - \sigma'. \text{justification } m \subseteq \sigma')$

then have $\forall m \in \sigma - \sigma'. \exists m' \in \text{justification } m. m' \in \sigma - \sigma'$

using $\langle \neg (\exists m \in \sigma - \sigma'. \text{justification } m \subseteq \sigma') \rangle \text{state-is-in-pow-Mi } \langle \sigma' \subset \sigma \rangle$

by (*metis Diff-iff* $\langle \sigma \in \Sigma \rangle \text{subset-eq}$)

then have $\forall m \in \sigma - \sigma'. \exists m'. \text{justified } m' m \wedge m' \in \sigma - \sigma'$

using *justified-def* **by** *auto*

then have $\forall m \in \sigma - \sigma'. \exists m'. \text{justified } m' m \wedge m' \in \sigma - \sigma' \wedge m \neq m'$

using *justification-implies-different-messages state-difference-is-valid-message*

message-in-state-is-valid $\langle \sigma' \subset \sigma \rangle$

by (*meson DiffD1* $\langle \sigma \in \Sigma \rangle$)

have $\sigma - \sigma' \subseteq M$

using $\langle \sigma \in \Sigma \rangle \langle \sigma' \subset \sigma \rangle \text{state-is-subset-of-M}$ **by** *auto*

then have $\exists m\text{-min} \in \sigma - \sigma'. \forall m. \text{justified } m m\text{-min} \longrightarrow m \notin \sigma - \sigma'$

using *subset-of-M-have-minimal-of-justification* $\langle \sigma' \subset \sigma \rangle$

by *blast*

then show *False*

using $\langle \forall m \in \sigma - \sigma'. \exists m'. \text{justified } m' m \wedge m' \in \sigma - \sigma' \rangle$ **by** *blast*

qed

qed

lemma (**in** *Protocol*) *intermediate-state-towards-strict-future*:

$\forall \sigma \in \Sigma. \forall \sigma' \in \text{futures } \sigma. \sigma \subset \sigma' \longrightarrow (\exists m \in \sigma' - \sigma. \sigma \cup \{m\} \in \Sigma t)$

apply (*rule, rule, rule*)

proof –

fix $\sigma \sigma'$

assume $\sigma \in \Sigma$

assume $\sigma' \in \text{futures } \sigma$

assume $\sigma \subset \sigma'$

have $\exists m \in \sigma' - \sigma. \text{immediately-next-message } (\sigma, m)$
using *strict-subset-of-state-have-immediately-next-messages*
 $\langle \sigma \in \Sigma \rangle \langle \sigma \subset \sigma' \rangle \langle \sigma' \in \text{futures } \sigma \rangle$
by (*simp add: futures-def Σt -def*)
then have $\exists m \in \sigma' - \sigma. \sigma \cup \{m\} \in \Sigma$
using *state-transition-only-made-by-immediately-next-message* $\langle \sigma \in \Sigma \rangle \langle \sigma' \in \text{futures } \sigma \rangle$
by (*smt DiffD1 Σt -is-subset-of- Σ futures-def mem-Collect-eq message-in-state-is-valid subsetCE*)
then have $\exists m \in \sigma' - \sigma. \sigma \cup \{m\} \in \Sigma \wedge \sigma \cup \{m\} \subseteq \sigma'$
using $\langle \sigma \subset \sigma' \rangle$ **by** *auto*
then show $\exists m \in \sigma' - \sigma. \sigma \cup \{m\} \in \Sigma t$
using *equivocation-fault-weight-is-monotonic* $\langle \sigma' \in \text{futures } \sigma \rangle$
apply (*simp add: futures-def Σt -def is-faults-lt-threshold-def*)
by *fastforce*
qed

lemma (*in Protocol*) *intermediate-state-by-immediately-next-message-towards-strict-future*:

$\forall \sigma \in \Sigma t. \forall \sigma' \in \text{futures } \sigma. \sigma \subset \sigma'$
 $\longrightarrow (\exists m \in \sigma' - \sigma. \text{immediately-next-message } (\sigma, m) \wedge \sigma \cup \{m\} \in \Sigma t \wedge \sigma' \in \text{futures } (\sigma \cup \{m\}))$
using *intermediate-state-towards-strict-future*
message-in-state-is-valid state-transition-imps-immediately-next-message
apply (*simp add: Σt -def futures-def*)
by (*meson DiffE*)

lemma (*in Protocol*) *state-differences-have-immediately-next-messages*:

$\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \text{is-future-state } (\sigma, \sigma') \wedge \sigma \neq \sigma' \longrightarrow (\exists m \in \sigma' - \sigma. \text{immediately-next-message } (\sigma, m))$
using *strict-subset-of-state-have-immediately-next-messages*
by (*simp add: psubsetI*)

lemma (*in Protocol*) *union-of-two-states-is-state* :

$\forall \sigma 1 \in \Sigma. \forall \sigma 2 \in \Sigma. (\sigma 1 \cup \sigma 2) \in \Sigma$
apply (*rule, rule*)
proof –
fix $\sigma 1 \ \sigma 2$
assume $\sigma 1 \in \Sigma$ **and** $\sigma 2 \in \Sigma$
show $\sigma 1 \cup \sigma 2 \in \Sigma$
proof (*cases $\sigma 1 \subseteq \sigma 2$*)
case *True*
then show *?thesis*
by (*simp add: Un-absorb1* $\langle \sigma 2 \in \Sigma \rangle$)
next
case *False*
then have $\neg \sigma 1 \subseteq \sigma 2$ **by** *simp*
have $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - (\sigma \cap \sigma'). \text{immediately-next-message } (\sigma \cap \sigma', m))$

```

    by (metis Int-subset-iff psubsetI strict-subset-of-state-have-immediately-next-messages
subsetI)
    then have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - (\sigma \cap \sigma')).$ 
immediately-next-message( $\sigma', m$ )
    apply (simp add: immediately-next-message-def)
    by blast
    then have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma)$ 
    using state-transition-by-immediately-next-message
    by (metis DiffD1 DiffD2 DiffI IntI message-in-state-is-valid)
    have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
    proof -
      have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow \text{card } (\sigma - \sigma') > 0$ 
      by (meson Diff-eq-empty-iff card-0-eq finite-Diff gr0I state-is-finite)
      have  $\forall n. \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
      apply (rule)
    proof -
      fix n
      show  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
      apply (induction n)
      apply (rule, rule, rule)
    proof -
      fix  $\sigma \sigma'$ 
      assume  $\sigma \in \Sigma$  and  $\sigma' \in \Sigma$  and  $\neg \sigma \subseteq \sigma' \wedge \text{Suc } 0 = \text{card } (\sigma - \sigma')$ 
      then have is-singleton ( $\sigma - \sigma'$ )
      by (simp add: is-singleton-altdef)
      then have  $\{ \text{the-elem } (\sigma - \sigma') \} \cup \sigma' \in \Sigma$ 
      using  $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma) \rangle \langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle$ 
      by (metis Un-commute  $\langle \neg \sigma \subseteq \sigma' \wedge \text{Suc } 0 = \text{card } (\sigma - \sigma') \rangle$ 
is-singleton-the-elem singletonD)
      then show  $\sigma \cup \sigma' \in \Sigma$ 
      by (metis Un-Diff-cancel2  $\langle \text{is-singleton } (\sigma - \sigma') \rangle$  is-singleton-the-elem)

    next
    show  $\bigwedge n. \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma \implies \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } (\text{Suc } n) = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
    apply (rule, rule, rule)
    proof -
      fix n  $\sigma \sigma'$ 
      assume  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
and  $\sigma \in \Sigma$  and  $\sigma' \in \Sigma$  and  $\neg \sigma \subseteq \sigma' \wedge \text{Suc } (\text{Suc } n) = \text{card } (\sigma - \sigma')$ 
      have  $\forall m \in \sigma - \sigma'. \neg \sigma \subseteq \sigma' \cup \{m\} \wedge \text{Suc } n = \text{card } (\sigma - (\sigma' \cup \{m\}))$ 
      using  $\langle \neg \sigma \subseteq \sigma' \wedge \text{Suc } (\text{Suc } n) = \text{card } (\sigma - \sigma') \rangle$ 
      by (metis Diff-eq-empty-iff Diff-insert Un-insert-right  $\langle \sigma \in \Sigma \rangle$ 
add-diff-cancel-left' card-0-eq card-Suc-Diff1 finite-Diff nat.simps(3) plus-1-eq-Suc
state-is-finite sup-bot.right-neutral)
      have  $\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma$ 
      using  $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma) \rangle$ 

```

$\Sigma\rangle \langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle \langle \neg \sigma \subseteq \sigma' \wedge \text{Suc } (\text{Suc } n) = \text{card } (\sigma - \sigma') \rangle$
 by *blast*
 then have $\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma \wedge \neg \sigma \subseteq \sigma' \cup \{m\} \wedge \text{Suc } n =$
 $\text{card } (\sigma - (\sigma' \cup \{m\}))$
 using $\langle \forall m \in \sigma - \sigma'. \neg \sigma \subseteq \sigma' \cup \{m\} \wedge \text{Suc } n = \text{card } (\sigma - (\sigma' \cup$
 $\{m\})) \rangle$
 by *simp*
 then show $\sigma \cup \sigma' \in \Sigma$
 using $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma'$
 $\in \Sigma \rangle$
 by (*smt Un-Diff-cancel Un-commute Un-insert-right* $\langle \sigma \in \Sigma \rangle$
insert-absorb2 mk-disjoint-insert sup-bot.right-neutral)
 qed
 qed
 qed
 then show ?thesis
 by (*meson* $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma) \rangle$
card-Suc-Diff1 finite-Diff state-is-finite)
 qed
 then show ?thesis
 using *False* $\langle \sigma 1 \in \Sigma \rangle \langle \sigma 2 \in \Sigma \rangle$ by *blast*
 qed
 qed

lemma (in *Protocol*) *union-of-finite-set-of-states-is-state* :

$\forall \sigma\text{-set} \subseteq \Sigma. \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$
 apply *auto*
 proof –
 have $\forall n. \forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$
 apply (rule)
 proof –
 fix n
 show $\forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$
 apply (induction n)
 apply (rule, rule, rule, rule)
 apply (*simp add: empty-set-exists-in-Σ*)
 apply (rule, rule, rule, rule)
 proof –
 fix $n \sigma\text{-set}$
 assume $\forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$ and
 $\sigma\text{-set} \subseteq \Sigma$ and $\text{Suc } n = \text{card } \sigma\text{-set}$ and *finite* $\sigma\text{-set}$
 then have $\forall \sigma \in \sigma\text{-set}. \sigma\text{-set} - \{\sigma\} \subseteq \Sigma \wedge \bigcup (\sigma\text{-set} - \{\sigma\}) \in \Sigma$
 using $\langle \sigma\text{-set} \subseteq \Sigma \rangle \langle \text{Suc } n = \text{card } \sigma\text{-set} \rangle \langle \forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow$
finite $\sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma \rangle$
 by (*metis (mono-tags, lifting) Suc-inject card.remove finite-Diff insert-Diff*
insert-subset)
 then have $\forall \sigma \in \sigma\text{-set}. \sigma\text{-set} - \{\sigma\} \subseteq \Sigma \wedge \bigcup (\sigma\text{-set} - \{\sigma\}) \in \Sigma \wedge \bigcup (\sigma\text{-set}$
 $- \{\sigma\}) \cup \sigma \in \Sigma$
 using *union-of-two-states-is-state* $\langle \sigma\text{-set} \subseteq \Sigma \rangle$ by *auto*

then show $\bigcup \sigma\text{-set} \in \Sigma$
 by (metis Sup-bot-conv(1) Sup-insert Un-commute empty-set-exists-in- Σ
 insert-Diff)
 qed
 qed
 then show $\bigwedge \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma \implies \text{finite } \sigma\text{-set} \implies \bigcup \sigma\text{-set} \in \Sigma$
 by blast
 qed

lemma (in Protocol) *non-empty-state-is-reached-by-receiving-single-message* :
 $\forall \sigma \in \Sigma. \sigma \neq \emptyset \longrightarrow (\exists \sigma' m. \sigma' \in \Sigma \wedge m \in \sigma \wedge m \notin \sigma' \wedge \sigma = \sigma' \cup \{m\})$
 sorry

lemma (in Protocol) *non-empty-state-is-reached-by-receiving-immediately-next-message* :
 $\forall \sigma \in \Sigma. \sigma \neq \emptyset \longrightarrow (\exists \sigma' m. \sigma' \in \Sigma \wedge m \in \sigma \wedge \text{immediately-next-message}(\sigma', m) \wedge \sigma = \sigma' \cup \{m\})$
 using state-differences-have-immediately-next-messages
 state-transition-only-made-by-immediately-next-message
 non-empty-state-is-reached-by-receiving-single-message
 by (metis message-in-state-is-valid)

lemma (in Protocol) *intermediate-state-before-receiving-single-message* :
 $\forall \sigma \sigma'. \{\sigma, \sigma'\} \subseteq \Sigma \wedge \sigma \subset \sigma' \wedge \sigma' \neq \emptyset$
 $\longrightarrow (\exists \sigma'' m. \sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message}(\sigma'', m) \wedge \sigma' = \sigma'' \cup \{m\} \wedge \sigma \subseteq \sigma'')$
 apply (rule, rule, rule)
proof –
 fix $\sigma \sigma'$
 assume $\{\sigma, \sigma'\} \subseteq \Sigma \wedge \sigma \subset \sigma' \wedge \sigma' \neq \emptyset$
 then have $\exists \sigma'' m. \sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message}(\sigma'', m) \wedge \sigma' = \sigma'' \cup \{m\}$
 using non-empty-state-is-reached-by-receiving-immediately-next-message
 by simp
 then obtain $\sigma'' m$ where $\sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message}(\sigma'', m) \wedge \sigma' = \sigma'' \cup \{m\}$
 by auto
 then have $\sigma \subset \sigma' \wedge \sigma' \neq \emptyset \wedge \sigma' = \sigma'' \cup \{m\} \wedge m \in \sigma' \wedge m \notin \sigma''$
 apply (simp add: immediately-next-message-def)
 using $\{\sigma, \sigma'\} \subseteq \Sigma \wedge \sigma \subset \sigma' \wedge \sigma' \neq \emptyset$ by auto
 then have $\sigma \subseteq \sigma''$
 sorry
 then show $\exists \sigma'' m. \sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message}(\sigma'', m) \wedge \sigma' = \sigma'' \cup \{m\} \wedge \sigma \subseteq \sigma''$
 using $\langle \sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message}(\sigma'', m) \wedge \sigma' = \sigma'' \cup \{m\} \rangle$ by blast
 qed

definition (in *Protocol*) *minimal-transitions* :: (state * state) set

where

$$\begin{aligned} \text{minimal-transitions} \equiv & \{(\sigma, \sigma') \mid \sigma \neq \sigma'. \sigma \in \Sigma t \wedge \sigma' \in \Sigma t \wedge \text{is-future-state } (\sigma, \\ & \sigma') \wedge \sigma \neq \sigma' \\ & \wedge (\nexists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \\ & \sigma'' \wedge \sigma'' \neq \sigma')\} \end{aligned}$$

lemma *non-empty-non-singleton-impls-two-elements* :

$A \neq \emptyset \implies \neg \text{is-singleton } A \implies \exists a1 a2. a1 \neq a2 \wedge \{a1, a2\} \subseteq A$

by (metis inf.orderI inf-bot-left insert-subset is-singletonI)

lemma (in *Protocol*) *minimal-transition-implies-recieving-single-message* :

$\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \text{is-singleton } (\sigma' - \sigma)$

proof (rule ccontr)

assume $\neg (\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \text{is-singleton } (\sigma' - \sigma))$

then have $\exists \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$

by blast

have $\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow$

$(\nexists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma')$

by (simp add: minimal-transitions-def)

have $\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$

$\longrightarrow (\exists m1 m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1))$

apply (rule, rule, rule)

proof -

fix $\sigma \sigma'$

assume $(\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$

then have $\sigma' - \sigma \neq \emptyset$

apply (simp add: minimal-transitions-def)

by blast

have $\sigma' \in \Sigma \wedge \sigma \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma')$

using $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle$

by (simp add: minimal-transitions-def Σt -def)

then have $\sigma' - \sigma \subseteq M$

using state-difference-is-valid-message by auto

then have $\exists m1 m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2$

using non-empty-non-singleton-impls-two-elements

$\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle \langle \sigma' - \sigma \neq \emptyset \rangle$

by (metis (full-types) contra-subsetD insert-subset subsetI)

then show $\exists m1 m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1$

$\neq m2 \wedge \text{immediately-next-message } (\sigma, m1)$
using *state-differences-have-immediately-next-messages*
by (*metis Diff-iff* $\langle \sigma' \in \Sigma \wedge \sigma \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma') \rangle \text{ insert-subset message-in-state-is-valid}$)
qed
have $\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \longrightarrow$
 $(\exists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma$
 $\neq \sigma'' \wedge \sigma'' \neq \sigma')$
apply (*rule, rule, rule*)
proof –
fix $\sigma \sigma'$
assume $(\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$
then have $\exists m1 m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq$
 $m2 \wedge \text{immediately-next-message } (\sigma, m1)$
using $\langle \forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$
 $\longrightarrow (\exists m1 m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge$
 $\text{immediately-next-message } (\sigma, m1)) \rangle$
by simp
then obtain $m1 m2$ **where** $\{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge$
 $m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1)$
by auto
have $\sigma \in \Sigma \wedge \sigma' \in \Sigma$
using $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle$
by (*simp add: minimal-transitions-def Σ t-def*)
then have $\sigma \cup \{m1\} \in \Sigma$
using $\langle \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge$
 $\text{immediately-next-message } (\sigma, m1) \rangle$
state-transition-by-immediately-next-message
by simp
have $\text{is-future-state } (\sigma, \sigma \cup \{m1\}) \wedge \text{is-future-state } (\sigma \cup \{m1\}, \sigma')$
using $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle \langle \{m1, m2\} \subseteq$
 $M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma,$
 $m1) \rangle \text{minimal-transitions-def}$ **by auto**
have $\sigma \neq \sigma \cup \{m1\} \wedge \sigma \cup \{m1\} \neq \sigma'$
using $\langle \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge$
 $\text{immediately-next-message } (\sigma, m1) \rangle$ **by auto**
then show $\exists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge$
 $\sigma \neq \sigma'' \wedge \sigma'' \neq \sigma'$
using $\langle \sigma \cup \{m1\} \in \Sigma \rangle \langle \text{is-future-state } (\sigma, \sigma \cup \{m1\}) \wedge \text{is-future-state } (\sigma \cup$
 $\{m1\}, \sigma') \rangle$
by auto
qed
then show *False*
using $\langle \forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow (\nexists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state}$
 $(\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma') \rangle \langle \neg (\forall \sigma \sigma'. (\sigma, \sigma') \in$
 $\text{minimal-transitions} \longrightarrow \text{is-singleton } (\sigma' - \sigma)) \rangle$ **by blast**
qed

lemma (*in Protocol*) *minimal-transitions-reconstruction* :

```

  ∀ σ σ'. (σ, σ') ∈ minimal-transitions → σ ∪ {the-elem (σ' - σ)} = σ'
  apply (rule, rule, rule)
proof -
  fix σ σ'
  assume (σ, σ') ∈ minimal-transitions
  then have is-singleton (σ' - σ)
    using minimal-transitions-def minimal-transition-implies-recieving-single-message
  by auto
  then have σ ⊆ σ'
    using ⟨(σ, σ') ∈ minimal-transitions⟩ minimal-transitions-def by auto
  then show σ ∪ {the-elem (σ' - σ)} = σ'
    by (metis Diff-partition ⟨is-singleton (σ' - σ)⟩ is-singleton-the-elem)
qed

```

lemma (in Protocol) *minimal-transition-is-immediately-next-message* :

∀ σ σ'. (σ, σ') ∈ minimal-transitions ↔ immediately-next-message (σ, the-elem (σ' - σ))

proof -

have ∀ σ σ'. (σ, σ') ∈ minimal-transitions → immediately-next-message (σ, the-elem (σ' - σ))

using minimal-transition-implies-recieving-single-message state-transition-only-made-by-immediately-next-messages
state-differences-have-immediately-next-messages
state-difference-is-valid-message

apply (simp add: minimal-transitions-def immediately-next-message-def)

oops

lemma (in Protocol) *road-to-future-state* :

∀ σ σ'. σ ∈ Σ ∧ σ' ∈ Σ ∧ is-future-state(σ, σ')

→ n = card (σ' - σ)

→ (∃ f. f 0 = σ ∧ f n = σ' ∧ (∀ i. 0 ≤ i ∧ i ≤ n - 1 → f i ∈ Σ ∧ (∃ m ∈

M. f i ∪ {m} = f (Suc i))))

apply (rule, rule, rule, rule)

oops

end

4 Safety Proof

theory ConsensusSafety

imports Main CBCCaspar MessageJustification StateTransition Libraries/LaTeXsugar

begin

lemma (in *Protocol*) *monotonic-futures* :

$\forall \sigma' \sigma. \sigma' \in \Sigma t \wedge \sigma \in \Sigma t$
 $\longrightarrow \sigma' \in \text{futures } \sigma \longleftrightarrow \text{futures } \sigma' \subseteq \text{futures } \sigma$
apply (simp add: futures-def) **by** auto

theorem (in *Protocol*) *two-party-common-futures* :

$\forall \sigma 1 \sigma 2. \sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$
 $\longrightarrow \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$
 $\longrightarrow \text{futures } \sigma 1 \cap \text{futures } \sigma 2 \neq \emptyset$
apply (simp add: futures-def Σt -def) **using** union-of-two-states-is-state
by blast

theorem (in *Protocol*) *n-party-common-futures* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$
 $\longrightarrow \text{finite } \sigma\text{-set}$
 $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$
 $\longrightarrow \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\} \neq \emptyset$
apply (simp add: futures-def Σt -def) **using** union-of-finite-set-of-states-is-state
by blast

lemma (in *Protocol*) *n-party-common-futures-exists* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$
 $\longrightarrow \text{finite } \sigma\text{-set}$
 $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$
 $\longrightarrow (\exists \sigma \in \Sigma t. \sigma \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$
apply (simp add: futures-def Σt -def) **using** union-of-finite-set-of-states-is-state
by blast

definition (in *Protocol*) *state-property-is-decided* :: (state-property * state) \Rightarrow bool
where

$\text{state-property-is-decided} = (\lambda(p, \sigma). (\forall \sigma' \in \text{futures } \sigma. p \sigma'))$

lemma (in *Protocol*) *forward-consistency* :

$\forall \sigma' \sigma. \sigma' \in \Sigma t \wedge \sigma \in \Sigma t$
 $\longrightarrow \sigma' \in \text{futures } \sigma$
 $\longrightarrow \text{state-property-is-decided } (p, \sigma)$
 $\longrightarrow \text{state-property-is-decided } (p, \sigma')$
apply (simp add: futures-def state-property-is-decided-def)
by auto

fun *state-property-not* :: *state-property* \Rightarrow *state-property*
where
state-property-not *p* = ($\lambda\sigma. (\neg p \ \sigma)$)

lemma (**in** *Protocol*) *backward-consistency* :
 $\forall \ \sigma' \ \sigma. \ \sigma' \in \Sigma t \wedge \sigma \in \Sigma t$
 $\longrightarrow \sigma' \in \text{futures } \sigma$
 $\longrightarrow \text{state-property-is-decided } (p, \sigma')$
 $\longrightarrow \neg \text{state-property-is-decided } (\text{state-property-not } p, \sigma)$
apply (*simp add: futures-def state-property-is-decided-def*)
by *auto*

theorem (**in** *Protocol*) *two-party-consensus-safety-for-state-property* :
 $\forall \ \sigma 1 \ \sigma 2. \ \sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$
 $\longrightarrow \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$
 $\longrightarrow \neg (\text{state-property-is-decided } (p, \sigma 1) \wedge \text{state-property-is-decided } (\text{state-property-not } p, \sigma 2))$
apply (*simp add: state-property-is-decided-def*)
using *two-party-common-futures*
by (*metis Int-emptyI*)

definition (**in** *Protocol*) *state-properties-are-inconsistent* :: *state-property set* \Rightarrow *bool*
where
state-properties-are-inconsistent *p-set* = ($\forall \ \sigma \in \Sigma. \neg (\forall \ p \in p\text{-set}. p \ \sigma)$)

definition (**in** *Protocol*) *state-properties-are-consistent* :: *state-property set* \Rightarrow *bool*
where
state-properties-are-consistent *p-set* = ($\exists \ \sigma \in \Sigma. \forall \ p \in p\text{-set}. p \ \sigma$)

definition (**in** *Protocol*) *state-property-decisions* :: *state* \Rightarrow *state-property set*
where
state-property-decisions σ = $\{p. \text{state-property-is-decided } (p, \sigma)\}$

theorem (**in** *Protocol*) *n-party-safety-for-state-properties* :
 $\forall \ \sigma\text{-set}. \ \sigma\text{-set} \subseteq \Sigma t$
 $\longrightarrow \text{finite } \sigma\text{-set}$
 $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \ \sigma\text{-set})$
 $\longrightarrow \text{state-properties-are-consistent } (\bigcup \ \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$
apply *rule+*
proof –
fix $\sigma\text{-set}$
assume $\sigma\text{-set}: \sigma\text{-set} \subseteq \Sigma t$

and *finite σ -set*
and *is-faults-lt-threshold $(\bigcup \sigma\text{-set})$*
hence $\exists \sigma \in \Sigma t. \sigma \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$
using *n-party-common-futures-exists* **by** *simp*
hence $\exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. \sigma \in \text{futures } s$
by *blast*
hence $\exists \sigma \in \Sigma t. (\forall s \in \sigma\text{-set}. \sigma \in \text{futures } s) \wedge (\forall s \in \sigma\text{-set}. \sigma \in \text{futures } s \longrightarrow (\forall p. \text{state-property-is-decided } (p, s) \longrightarrow \text{state-property-is-decided } (p, \sigma)))$
by (*simp add: subset-eq state-property-is-decided-def futures-def*)
hence $\exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. (\forall p. \text{state-property-is-decided } (p, s) \longrightarrow \text{state-property-is-decided } (p, \sigma))$
by *blast*
hence $\exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. (\forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma))$
by (*simp add: state-property-decisions-def*)
hence $\exists \sigma \in \Sigma t. \forall p \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}. \text{state-property-is-decided } (p, \sigma)$
proof–
obtain σ **where** $\sigma \in \Sigma t \forall s \in \sigma\text{-set}. (\forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma))$
using $\langle \exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. \forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma) \rangle$ **by** *blast*
have $\forall p \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}. \text{state-property-is-decided } (p, \sigma)$
using $\langle \forall s \in \sigma\text{-set}. \forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma) \rangle$ **by** *fastforce*
thus *?thesis*
using $\langle \sigma \in \Sigma t \rangle$ **by** *blast*
qed
hence $\exists \sigma \in \Sigma t. \forall p \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}. \forall \sigma' \in \text{futures } \sigma. p \sigma'$
by (*simp add: state-property-decisions-def futures-def state-property-is-decided-def*)
show *state-properties-are-consistent $(\bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \})$*
unfolding *state-properties-are-consistent-def*
by (*metis (mono-tags, lifting) $\Sigma t\text{-def}$ $\langle \exists \sigma \in \Sigma t. \forall p \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}. \forall \sigma' \in \text{futures } \sigma. p \sigma' \rangle$ mem-Collect-eq monotonic-futures order-refl*)
qed

definition (**in** *Protocol*) *naturally-corresponding-state-property* :: *consensus-value-property* \Rightarrow *state-property*

where

naturally-corresponding-state-property $q = (\lambda \sigma. \forall c \in \varepsilon \sigma. q \ c)$

definition (**in** *Protocol*) *consensus-value-properties-are-consistent* :: *consensus-value-property set* \Rightarrow *bool*

where

$\text{consensus-value-properties-are-consistent } q\text{-set} = (\exists c \in C. \forall q \in q\text{-set}. q\ c)$

lemma (in *Protocol*) *naturally-corresponding-consistency* :

$\forall q\text{-set}. \text{state-properties-are-consistent } \{\text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set}\}$

$\longrightarrow \text{consensus-value-properties-are-consistent } q\text{-set}$

apply (*rule*, *rule*)

proof –

fix *q-set*

have

$\text{state-properties-are-consistent } \{\text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set}\}$

$\longrightarrow (\exists \sigma \in \Sigma. \forall p \in \{\lambda\sigma'. \forall c \in \varepsilon \sigma'. q\ c \mid q. q \in q\text{-set}\}. p\ \sigma)$

by (*simp add: naturally-corresponding-state-property-def state-properties-are-consistent-def*)

moreover have

$(\exists \sigma \in \Sigma. \forall p \in \{\lambda\sigma'. \forall c \in \varepsilon \sigma'. q\ c \mid q. q \in q\text{-set}\}. p\ \sigma)$

$\longrightarrow (\exists \sigma \in \Sigma. \forall q' \in q\text{-set}. (\lambda\sigma'. \forall c \in \varepsilon \sigma'. q'\ c)\ \sigma)$

by (*metis (mono-tags, lifting) mem-Collect-eq*)

moreover have

$(\exists \sigma \in \Sigma. \forall q \in q\text{-set}. (\lambda\sigma'. \forall c \in \varepsilon \sigma'. q\ c)\ \sigma)$

$\longrightarrow (\exists \sigma \in \Sigma. \forall q' \in q\text{-set}. \forall c \in \varepsilon \sigma. q'\ c)$

by *blast*

moreover have

$(\exists \sigma \in \Sigma. \forall q \in q\text{-set}. \forall c \in \varepsilon \sigma. q\ c)$

$\longrightarrow (\exists \sigma \in \Sigma. \forall c \in \varepsilon \sigma. \forall q' \in q\text{-set}. q'\ c)$

by *blast*

moreover have

$(\exists \sigma \in \Sigma. \forall c \in \varepsilon \sigma. \forall q \in q\text{-set}. q\ c)$

$\longrightarrow (\exists \sigma \in \Sigma. \exists c \in \varepsilon \sigma. \forall q' \in q\text{-set}. q'\ c)$

by (*meson all-not-in-conv estimates-are-non-empty*)

moreover have

$(\exists \sigma \in \Sigma. \exists c \in \varepsilon \sigma. \forall q \in q\text{-set}. q\ c)$

$\longrightarrow (\exists c \in C. \forall q' \in q\text{-set}. q'\ c)$

using *is-valid-estimator-def* $\varepsilon\text{-type}$ **by** *fastforce*

ultimately show

$\text{state-properties-are-consistent } \{\text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set}\}$

$\implies \text{consensus-value-properties-are-consistent } q\text{-set}$

by (*simp add: consensus-value-properties-are-consistent-def*)

qed

definition (in *Protocol*) *consensus-value-property-is-decided* :: (*consensus-value-property* * *state*) \Rightarrow *bool*

where

consensus-value-property-is-decided

$= (\lambda(q, \sigma). \text{state-property-is-decided } (\text{naturally-corresponding-state-property } q,$

$\sigma))$

definition (in *Protocol*) *consensus-value-property-decisions* :: *state* \Rightarrow *consensus-value-property set*

where

consensus-value-property-decisions $\sigma = \{q. \text{consensus-value-property-is-decided } (q, \sigma)\}$

theorem (in *Protocol*) *n-party-safety-for-consensus-value-properties* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$

\longrightarrow *finite* $\sigma\text{-set}$

\longrightarrow *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$

\longrightarrow *consensus-value-properties-are-consistent* $(\bigcup \{\text{consensus-value-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$

apply (*rule*, *rule*, *rule*, *rule*)

proof –

fix $\sigma\text{-set}$

assume $\sigma\text{-set} \subseteq \Sigma t$

and *finite* $\sigma\text{-set}$

and *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$

hence *state-properties-are-consistent* $(\bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$

using $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$ *n-party-safety-for-state-properties* **by** *auto*

hence *state-properties-are-consistent* $\{p \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}. \exists q. p = \text{naturally-corresponding-state-property } q\}$

unfolding *naturally-corresponding-state-property-def* *state-properties-are-consistent-def*

apply (*simp*)

by *meson*

hence *state-properties-are-consistent* $\{\text{naturally-corresponding-state-property } q \mid q. \text{naturally-corresponding-state-property } q \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}\}$

by (*smt Collect-cong*)

hence *consensus-value-properties-are-consistent* $\{q. \text{naturally-corresponding-state-property } q \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}\}$

using *naturally-corresponding-consistency*

proof –

show *?thesis*

by (*metis* (*no-types*) *Setcompr-eq-image* $\langle \forall q\text{-set}. \text{state-properties-are-consistent } \{\text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set}\} \longrightarrow \text{consensus-value-properties-are-consistent } q\text{-set} \rangle \langle \text{state-properties-are-consistent } \{\text{naturally-corresponding-state-property } q \mid q. \text{naturally-corresponding-state-property } q \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}\} \rangle \text{setcompr-eq-image}$)

qed

hence *consensus-value-properties-are-consistent* $(\bigcup \{\text{consensus-value-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$

apply (*simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def state-property-decisions-def consensus-value-properties-are-consistent-def*)

```

    by (metis mem-Collect-eq)
  thus
    consensus-value-properties-are-consistent ( $\bigcup \{ \text{consensus-value-property-decisions} \}$ 
 $\sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$ )
    by simp
qed

```

```

fun consensus-value-property-not :: consensus-value-property  $\Rightarrow$  consensus-value-property
  where
    consensus-value-property-not  $p = (\lambda c. (\neg p\ c))$ 

```

lemma (in Protocol) *negation-is-not-decided-by-other-validator* :

```

 $\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$ 
 $\longrightarrow$  finite  $\sigma\text{-set}$ 
 $\longrightarrow$  is-faults-lt-threshold ( $\bigcup \sigma\text{-set}$ )
 $\longrightarrow (\forall \sigma \sigma' p. \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma$ 
 $\longrightarrow \text{consensus-value-property-not } p \notin \text{consensus-value-property-decisions}$ 
 $\sigma')$ 

```

```

  apply (rule, rule, rule, rule, rule, rule, rule, rule)

```

```

proof -

```

```

  fix  $\sigma\text{-set } \sigma \sigma' p$ 
  assume  $\sigma\text{-set} \subseteq \Sigma t$  and finite  $\sigma\text{-set}$  and is-faults-lt-threshold ( $\bigcup \sigma\text{-set}$ ) and  $\{\sigma,$ 
 $\sigma'\} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma$ 
  hence  $\exists \sigma. \sigma \in \Sigma t \wedge \sigma \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}$ 
  using n-party-common-futures-exists by meson
  then obtain  $\sigma''$  where  $\sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}$  by auto
  hence state-property-is-decided (naturally-corresponding-state-property  $p, \sigma''$ )
  using  $\langle \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma \rangle$  consensus-value-property-decisions-def
consensus-value-property-is-decided-def
  using  $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$  forward-consistency by fastforce
  have  $\sigma'' \in \text{futures } \sigma'$ 
  using  $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\} \rangle \langle \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge p \in$ 
consensus-value-property-decisions  $\sigma \rangle$ 
  by auto
  hence  $\neg \text{state-property-is-decided } (\text{state-property-not } (\text{naturally-corresponding-state-property}$ 
 $p), \sigma')$ 

```

```

  using backward-consistency  $\langle \text{state-property-is-decided } (\text{naturally-corresponding-state-property}$ 
 $p, \sigma'') \rangle$ 

```

```

  using  $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\} \rangle \langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \{\sigma, \sigma'\}$ 
 $\subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma \rangle$  by auto

```

```

  then show consensus-value-property-not  $p \notin \text{consensus-value-property-decisions}$ 
 $\sigma'$ 

```

```

  apply (simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def
naturally-corresponding-state-property-def state-property-is-decided-def)

```

```

  using  $\Sigma t\text{-def}$  estimates-are-non-empty futures-def by fastforce

```

```

qed

```

lemma (in *Protocol*) *n-party-consensus-safety* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$
 $\rightarrow \text{finite } \sigma\text{-set}$
 $\rightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$
 $\rightarrow (\forall p \in \bigcup \{\text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set}\}.$
 $(\lambda c. (\neg p c)) \notin \bigcup \{\text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set}\})$
apply (rule, rule, rule, rule, rule, rule)

proof –

fix $\sigma\text{-set } p$
assume $\sigma\text{-set} \subseteq \Sigma t$ **and** *finite* $\sigma\text{-set}$ **and** *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$ **and** p
 $\in \bigcup \{\text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set}\}$
and $(\lambda c. (\neg p c)) \in \bigcup \{\text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set}\}$
hence $\exists \sigma. \sigma \in \Sigma t \wedge \sigma \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}$
using *n-party-common-futures-exists* **by** *meson*
then obtain σ'' **where** $\sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}$ **by** *auto*
hence *state-property-is-decided* (*naturally-corresponding-state-property* p, σ'')
using $\langle p \in \bigcup \{\text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set}\} \rangle$ *consensus-value-property-decisions-de*
consensus-value-property-is-decided-def
using $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$ *forward-consistency* **by** *fastforce*
have *state-property-is-decided* (*naturally-corresponding-state-property* $(\lambda c. (\neg p c)), \sigma''$)

using $\langle (\lambda c. (\neg p c)) \in \bigcup \{\text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set}\} \rangle$ *consensus-value-property-decisions-def* *consensus-value-property-is-decided-def*

using $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$ *forward-consistency* $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\} \rangle$ **by** *fastforce*

then show *False*

using *state-property-is-decided* (*naturally-corresponding-state-property* p, σ'')

apply (*simp add: state-property-is-decided-def naturally-corresponding-state-property-def*)

by (*meson* $\Sigma t\text{-is-subset-of-}\Sigma$ $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\} \rangle$

estimates-are-non-empty *monotonic-futures* *order-refl* *subsetCE*)

qed

lemma (in *Protocol*) *two-party-consensus-safety-for-consensus-value-property* :

$\forall \sigma 1 \sigma 2. \sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$
 $\rightarrow \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$
 $\rightarrow \text{consensus-value-property-is-decided } (p, \sigma 1)$
 $\rightarrow \neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } p, \sigma 2)$
apply (rule, rule, rule, rule, rule)

proof –

fix $\sigma 1 \sigma 2$

have *two-party*: $\forall \sigma 1 \sigma 2. \{\sigma 1, \sigma 2\} \subseteq \Sigma t$

$\rightarrow \text{is-faults-lt-threshold } (\bigcup \{\sigma 1, \sigma 2\})$

$\rightarrow p \in \text{consensus-value-property-decisions } \sigma 1$

$\rightarrow \text{consensus-value-property-not } p \notin \text{consensus-value-property-decisions}$

$\sigma 2$

using *negation-is-not-decided-by-other-validator*

by (*meson* *finite.emptyI* *finite.insertI* *order-refl*)

assume $\sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$ **and** *is-faults-lt-threshold* $(\sigma 1 \cup \sigma 2)$ **and** *consensus-value-property-is-decided* $(p, \sigma 1)$
then show \neg *consensus-value-property-is-decided* $(\text{consensus-value-property-not } p, \sigma 2)$
using *two-party*
apply (*simp add: consensus-value-property-decisions-def*)
by blast
qed

lemma (in *Protocol*) *n-party-consensus-safety-for-power-set-of-decisions* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$
 \longrightarrow *finite* $\sigma\text{-set}$
 \longrightarrow *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$
 $\longrightarrow (\forall \sigma \text{ p-set}. \sigma \in \sigma\text{-set} \wedge \text{p-set} \in \text{Pow } (\bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}) - \{\emptyset\})$
 $\longrightarrow (\lambda c. \neg (\forall p \in \text{p-set}. p \ c)) \notin \text{consensus-value-property-decisions } \sigma$
apply (*rule, rule, rule, rule, rule, rule, rule, rule, rule*)
proof –
fix $\sigma\text{-set } \sigma \text{ p-set}$
assume $\sigma\text{-set} \subseteq \Sigma t$ **and** *finite* $\sigma\text{-set}$ **and** *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$
and $\sigma \in \sigma\text{-set} \wedge \text{p-set} \in \text{Pow } (\bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}) - \{\emptyset\}$
and $(\lambda c. \neg (\forall p \in \text{p-set}. p \ c)) \in \text{consensus-value-property-decisions } \sigma$
hence $\exists \sigma. \sigma \in \Sigma t \wedge \sigma \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$
using *n-party-common-futures-exists* **by meson**
then obtain σ' **where** $\sigma' \in \Sigma t \wedge \sigma' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$ **by auto**
hence $\forall p \in \text{p-set}. \exists \sigma'' \in \sigma\text{-set}. \text{state-property-is-decided } (\text{naturally-corresponding-state-property } p, \sigma'')$
using $\langle \sigma \in \sigma\text{-set} \wedge \text{p-set} \in \text{Pow } (\bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}) - \{\emptyset\} \rangle$
apply (*simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def*)
by blast
have $\forall \sigma'' \in \sigma\text{-set}. \sigma' \in \text{futures } \sigma''$
using $\langle \sigma' \in \Sigma t \wedge \sigma' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle$ **by blast**
hence $\forall p \in \text{p-set}. \text{state-property-is-decided } (\text{naturally-corresponding-state-property } p, \sigma')$
using *forward-consistency* $\langle \forall p \in \text{p-set}. \exists \sigma'' \in \sigma\text{-set}. \text{state-property-is-decided } (\text{naturally-corresponding-state-property } p, \sigma'') \rangle$
by (*meson* $\langle \sigma' \in \Sigma t \wedge \sigma' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle \langle \sigma\text{-set} \subseteq \Sigma t \rangle$ *subsetCE*)
hence *state-property-is-decided* $(\text{naturally-corresponding-state-property } (\lambda c. \forall p \in \text{p-set}. p \ c), \sigma')$
apply (*simp add: naturally-corresponding-state-property-def state-property-is-decided-def*)
by auto
then show *False*
using $\langle (\lambda c. \neg (\forall p \in \text{p-set}. p \ c)) \in \text{consensus-value-property-decisions } \sigma \rangle$
apply (*simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def naturally-corresponding-state-property-def state-property-is-decided-def*)
using $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma \in \sigma\text{-set} \wedge \text{p-set} \in \text{Pow } (\bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}) - \{\emptyset\} \rangle \langle \sigma' \in \Sigma t \wedge \sigma' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle$

```

estimates-are-non-empty monotonic-futures by fastforce
qed

end
theory CliqueOracle

imports Main CBCCaspar LatestMessage StateTransition ConsensusSafety

begin

```

```

definition agreeing :: (consensus-value-property * state * validator)  $\Rightarrow$  bool
where
  agreeing = ( $\lambda(p, \sigma, v). \forall c \in L-H-E \sigma v. p\ c$ )

```

```

definition agreeing-validators :: (consensus-value-property * state)  $\Rightarrow$  validator set
where
  agreeing-validators = ( $\lambda(p, \sigma). \{v \in \text{observed-non-equivocating-validators } \sigma. \text{agreeing } (p, \sigma, v)\}$ )

```

```

lemma (in Protocol) agreeing-validators-type :
   $\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \subseteq V$ 
apply (simp add: observed-non-equivocating-validators-def agreeing-validators-def)
using observed-type-for-state by auto

```

```

lemma (in Protocol) agreeing-validators-finite :
   $\forall \sigma \in \Sigma. \text{finite } (\text{agreeing-validators } (p, \sigma))$ 
by (meson V-type agreeing-validators-type rev-finite-subset)

```

```

lemma (in Protocol) agreeing-validators-are-observed-non-equivocating-validators
:
   $\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \subseteq \text{observed-non-equivocating-validators } \sigma$ 

```

by (*simp add: agreeing-validators-def*)

lemma (*in Protocol*) *agreeing-validators-are-not-equivocating* :
 $\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \cap \text{equivocating-validators } \sigma = \emptyset$
using *agreeing-validators-are-observed-non-equivocating-validators*
observed-non-equivocating-validators-are-not-equivocating
by *blast*

definition (*in Params*) *disagreeing-validators* :: (*consensus-value-property* * *state*)
 \Rightarrow *validator set*
where
 $\text{disagreeing-validators} = (\lambda(p, \sigma). V - \text{agreeing-validators } (p, \sigma) - \text{equivocating-validators } \sigma)$

lemma (*in Protocol*) *disagreeing-validators-type* :
 $\forall \sigma \in \Sigma. \text{disagreeing-validators } (p, \sigma) \subseteq V$
apply (*simp add: disagreeing-validators-def*)
by *auto*

lemma (*in Protocol*) *disagreeing-validators-are-non-observed-or-not-agreeing* :
 $\forall \sigma \in \Sigma. \text{disagreeing-validators } (p, \sigma) = \{v \in V - \text{equivocating-validators } \sigma. v \notin \text{observed } \sigma \vee (\exists c \in L-H-E \sigma v. \neg p c)\}$
apply (*simp add: disagreeing-validators-def agreeing-validators-def observed-non-equivocating-validators-def agreeing-def*)
by *blast*

lemma (*in Protocol*) *disagreeing-validators-include-not-agreeing-validators* :
 $\forall \sigma \in \Sigma. \{v \in V - \text{equivocating-validators } \sigma. \exists c \in L-H-E \sigma v. \neg p c\} \subseteq \text{disagreeing-validators } (p, \sigma)$
using *disagreeing-validators-are-non-observed-or-not-agreeing* **by** *blast*

lemma (*in Protocol*) *weight-measure-agreeing-plus-equivocating* :
 $\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma) \cup \text{equivocating-validators } \sigma)$
 $= \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) + \text{equivocation-fault-weight } \sigma$
unfolding *equivocation-fault-weight-def*
using *agreeing-validators-are-not-equivocating weight-measure-disjoint-plus agreeing-validators-finite equivocating-validators-is-finite*
by *simp*

lemma (*in Protocol*) *disagreeing-validators-weight-combined* :
 $\forall \sigma \in \Sigma. \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) = \text{weight-measure } V - \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) - \text{equivocation-fault-weight } \sigma$
unfolding *disagreeing-validators-def*
using *weight-measure-agreeing-plus-equivocating*
unfolding *equivocation-fault-weight-def*
using *agreeing-validators-are-not-equivocating weight-measure-subset-minus agreeing-validators-finite equivocating-validators-is-finite*
by (*smt Diff-empty Diff-iff Int-iff V-type agreeing-validators-type equivocating-validators-type*)

finite-Diff old.prod.case subset-iff)

lemma (in *Protocol*) *agreeing-validators-weight-combined* :

$\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) = \text{weight-measure } V -$
 $\text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) - \text{equivocation-fault-weight } \sigma$
using *disagreeing-validators-weight-combined*
by *simp*

definition (in *Params*) *majority* :: (validator set * state) \Rightarrow bool

where

$\text{majority} = (\lambda(v\text{-set}, \sigma). (\text{weight-measure } v\text{-set} > (\text{weight-measure } (V - \text{equivocating-validators } \sigma)) \text{ div } 2))$

definition (in *Protocol*) *majority-driven* :: consensus-value-property \Rightarrow bool

where

$\text{majority-driven } p = (\forall \sigma \in \Sigma. \text{majority } (\text{agreeing-validators } (p, \sigma), \sigma) \longrightarrow (\forall c \in \varepsilon \sigma. p \ c))$

definition (in *Protocol*) *max-driven* :: consensus-value-property \Rightarrow bool

where

$\text{max-driven } p =$
 $(\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) > \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) \longrightarrow (\forall c \in \varepsilon \sigma. p \ c))$

definition (in *Protocol*) *max-driven-for-future* :: consensus-value-property \Rightarrow state \Rightarrow bool

where

$\text{max-driven-for-future } p \ \sigma =$
 $(\forall \sigma' \in \Sigma. \text{is-future-state } (\sigma, \sigma') \longrightarrow \text{weight-measure } (\text{agreeing-validators } (p, \sigma')) > \text{weight-measure } (\text{disagreeing-validators } (p, \sigma')) \longrightarrow (\forall c \in \varepsilon \sigma'. p \ c))$

definition *later-disagreeing-messages* :: (consensus-value-property * message * validator * state) \Rightarrow message set

where

$\text{later-disagreeing-messages} = (\lambda(p, m, v, \sigma). \{m' \in \text{later-from } (m, v, \sigma). \neg p \ (\text{est } m')\})$

lemma (in *Protocol*) *later-disagreeing-messages-type* :

$\forall p \ \sigma \ v \ m. \ \sigma \in \Sigma \wedge v \in V \wedge m \in M \longrightarrow \text{later-disagreeing-messages } (p, m, v, \sigma) \subseteq M$

unfolding *later-disagreeing-messages-def*

using *later-from-type-for-state* **by** *auto*

definition *is-clique* :: (validator set * consensus-value-property * state) \Rightarrow bool
where
is-clique = ($\lambda(v\text{-set}, p, \sigma).$
 $(\forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma$
 $\wedge (\forall v' \in v\text{-set}.$
 $\text{agreeing } (p, (\text{the-elem } (L\text{-H-J } \sigma \ v)), v')$
 $\wedge \text{later-disagreeing-messages } (p, \text{the-elem } (L\text{-H-M } (\text{the-elem } (L\text{-H-J } \sigma$
 $v)) \ v'), v', \sigma) = \emptyset)))$

lemma (in *Protocol*) *non-equivocating-validator-is-non-equivocating-in-past* :
 $\forall \sigma \ v \ \sigma'. v \in V \wedge \{\sigma, \sigma'\} \subseteq \Sigma \wedge \text{is-future-state } (\sigma', \sigma)$
 $\longrightarrow v \notin \text{equivocating-validators } \sigma$
 $\longrightarrow v \notin \text{equivocating-validators } \sigma'$
oops

lemma (in *Protocol*) *validator-in-clique-see-L-H-M-of-others-is-singleton* :
 $\forall v\text{-set } p \ \sigma. v\text{-set} \subseteq V \wedge \sigma \in \Sigma$
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma)$
 $\longrightarrow (\forall v \ v'. \{v, v'\} \subseteq v\text{-set} \longrightarrow \text{is-singleton } (L\text{-H-M } (\text{the-elem } (L\text{-H-J } \sigma \ v))$
 $v'))$
sorry

lemma (in *Protocol*) *later-from-of-non-sender-not-affected-by-minimal-transitions* :

$\forall \sigma \ \sigma' \ m \ m' \ v. (\sigma, \sigma') \in \text{minimal-transitions} \wedge m \in M$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow v \in V - \{\text{sender } m'\}$
 $\longrightarrow \text{later-from } (m, v, \sigma) = \text{later-from } (m, v, \sigma')$
apply (rule, rule, rule, rule, rule, rule, rule, rule)

proof–

fix $\sigma \ \sigma' \ m \ m' \ v$
assume $(\sigma, \sigma') \in \text{minimal-transitions} \wedge m \in M$
assume $m' = \text{the-elem } (\sigma' - \sigma)$
assume $v \in V - \{\text{sender } m'\}$

have $\text{later-from } (m, v, \sigma) = \{m'' \in \sigma. \text{sender } m'' = v \wedge \text{justified } m \ m''\}$
by (simp add: later-from-def from-sender-def later-def)

also have $\dots = \{m'' \in \sigma. \text{ sender } m'' = v \wedge \text{ justified } m \ m''\} \cup \emptyset$
by *auto*
also have $\dots = \{m'' \in \sigma. \text{ sender } m'' = v \wedge \text{ justified } m \ m''\} \cup \{m'' \in \{m'\}.$
sender $m'' = v\}$
proof –
have $\{m'' \in \{m'\}. \text{ sender } m'' = v\} = \emptyset$
using $\langle v \in V - \{\text{sender } m'\} \rangle$ **by** *auto*
thus *?thesis*
by *blast*
qed
also have $\dots = \{m'' \in \sigma. \text{ sender } m'' = v \wedge \text{ justified } m \ m''\} \cup \{m'' \in \{m'\}.$
sender $m'' = v \wedge \text{ justified } m \ m''\}$
proof –
have $\text{ sender } m' = v \implies \text{ justified } m \ m'$
using $\langle v \in V - \{\text{sender } m'\} \rangle$ **by** *auto*
thus *?thesis*
by *blast*
qed
also have $\dots = \{m'' \in \sigma \cup \{m'\}. \text{ sender } m'' = v \wedge \text{ justified } m \ m''\}$
by *auto*
also have $\dots = \{m'' \in \sigma'. \text{ sender } m'' = v \wedge \text{ justified } m \ m''\}$
proof –
have $\sigma' = \sigma \cup \{m'\}$
using $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge m \in M \rangle \langle m' = \text{the-elem } (\sigma' - \sigma) \rangle$
minimal-transitions-reconstruction **by** *auto*
then show *?thesis*
by *auto*
qed
then have $\dots = \text{later-from } (m, v, \sigma')$
by (*simp add: later-from-def from-sender-def later-def*)
then show $\text{later-from } (m, v, \sigma) = \text{later-from } (m, v, \sigma')$
using $\langle \{m'' \in \sigma \cup \{m'\}. \text{ sender } m'' = v \wedge \text{ justified } m \ m''\} = \{m'' \in \sigma'. \text{ sender } m'' = v \wedge \text{ justified } m \ m''\} \rangle$ *calculation* **by** *auto*
qed

lemma (in Protocol) *equivocation-status-of-non-sender-not-affected-by-minimal-transitions*

:

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow v \in V - \{\text{sender } m'\}$
 $\longrightarrow v \in \text{equivocating-validators } \sigma \longleftrightarrow v \in \text{equivocating-validators } \sigma'$
oops

lemma (in Protocol) *L-M-of-non-sender-not-affected-by-minimal-transitions* :

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow v \in V - \{\text{sender } m'\}$

$\longrightarrow L-H-M \ \sigma \ v = L-H-M \ \sigma' \ v$
oops

lemma (in *Protocol*) *latest-justificationss-of-non-sender-not-affected-by-minimal-transitions*
 :

$\forall \ \sigma \ \sigma' \ m' \ v. \ (\sigma, \sigma') \in \text{minimal-transitions}$
 $\longrightarrow m' = \text{the-elem} \ (\sigma' - \sigma)$
 $\longrightarrow v \in V - \{\text{sender } m'\}$
 $\longrightarrow L-H-J \ \sigma \ v = L-H-J \ \sigma' \ v$
oops

lemma (in *Protocol*) *later-disagreeing-of-non-sender-not-affected-by-minimal-transitions*
 :

$\forall \ \sigma \ \sigma' \ m \ m' \ v. \ (\sigma, \sigma') \in \text{minimal-transitions} \wedge m \in M$
 $\longrightarrow m' = \text{the-elem} \ (\sigma' - \sigma)$
 $\longrightarrow v \in V - \{\text{sender } m'\}$
 $\longrightarrow \text{later-disagreeing-messages} \ (p, m, v, \sigma) = \text{later-disagreeing-messages} \ (p, m,$
 $v, \sigma')$
oops

lemma (in *Protocol*) *clique-not-affected-by-message-from-non-member* :

$\forall \ \sigma \ m \ v\text{-set } p. \ \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{immediately-next-message} \ (\sigma, m)$
 $\longrightarrow \text{sender } m \notin v\text{-set}$
 $\longrightarrow \text{is-clique} \ (v\text{-set}, p, \sigma)$
 $\longrightarrow \text{is-clique} \ (v\text{-set}, p, \sigma \cup \{m\})$
sorry

lemma (in *Protocol*) *free-sub-clique* :

$\forall \ \sigma \ \sigma' \ m' \ v\text{-set}. \ (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem} \ (\sigma' - \sigma)$
 $\longrightarrow \text{is-clique} \ (v\text{-set}, p, \sigma) = \text{is-clique} \ (v\text{-set} - \{\text{sender } m'\}, p, \sigma')$
oops

lemma (in *Protocol*) *later-messages-from-non-equivocating-validator-include-all-earlier-messages* :

$\forall v \sigma \sigma 1 \sigma 2. \sigma \in \Sigma \wedge \sigma 1 \in \Sigma \wedge \sigma 1 \subseteq \sigma \wedge \sigma 2 \subseteq \sigma \wedge \sigma 1 \cap \sigma 2 = \emptyset$
 $\longrightarrow (\forall m1 \in \sigma 1. \text{sender}(m1) = v \longrightarrow (\forall m2 \in \sigma 2. \text{sender}(m2) = v \longrightarrow m1$
 $\in \text{justification}(m2)))$
using *strict-subset-of-state-have-immediately-next-messages*
apply (*simp add: immediately-next-message-def*)
oops

lemma (in *Protocol*) *message-between-minimal-transition-is-latest-message* :

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow v \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow m' = \text{the-elem } (L-H-M \ \sigma' \ v)$
oops

lemma (in *Protocol*) *latest-message-from-non-equivocating-validator-is-previous-latest-or-later*:

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma \wedge v \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{the-elem } (L-H-M \ (\text{justification } m') \ v)$
 $\quad = \text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ (\text{sender } m')) \ v)$
 $\quad \vee \text{justified } (\text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ (\text{sender } m')) \ v)) \ v)$
 $\quad \quad (\text{the-elem } (L-H-M \ (\text{justification } m') \ v))$
oops

lemma (in *Protocol*) *justified-message-exists-in-later-from*:

$\forall \sigma m1 m2. \sigma \in \Sigma \wedge \{m1, m2\} \subseteq \sigma$
 $\longrightarrow \text{justified } m1 \ m2 \longrightarrow m2 \in \text{later-from } (m1, \text{sender } m1, \sigma)$
apply (*simp add: later-from-def later-def from-sender-def*)
oops

lemma (in *Protocol*) *non-equivocating-message-from-clique-see-clique-agreeing* :

$\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) \wedge \text{sender } m' \in v\text{-set} \wedge \text{sender } m' \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow v\text{-set} \subseteq \text{agreeing-validators } (p, \text{justification } m')$
oops

lemma (in *Protocol*) *new-message-from-majority-clique-see-members-agreeing* :
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) \wedge \text{sender } m' \in v\text{-set} \wedge \text{sender } m' \notin \text{equivocating-validators}$
 σ'
 $\wedge (\forall v \in v\text{-set}. \text{majority } (v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma v)))$
 $\longrightarrow \text{sender } m' \in \text{agreeing-validators } (p, \text{justification } m')$
oops

lemma (in *Protocol*) *latest-message-in-justification-of-new-message-is-latest-message* :
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{the-elem } (L\text{-H-M } (\text{justification } m') (\text{sender } m')) = \text{the-elem } (L\text{-H-M } \sigma$
 $(\text{sender } m'))$
oops

lemma (in *Protocol*) *latest-message-justified-by-new-message* :
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{justified } (\text{the-elem } (L\text{-H-M } \sigma (\text{sender } m'))) m'$
oops

lemma (in *Protocol*) *nothing-later-than-latest-honest-message* :
 $\forall v \sigma m. v \in V \wedge \sigma \in \Sigma \wedge m \in M$
 $\longrightarrow v \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{later-from } (\text{the-elem } (L\text{-H-M } \sigma v), v, \sigma) = \emptyset$
oops

lemma (in *Protocol*) *later-messages-for-sender-is-new-message* :
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{later-from } (\text{the-elem } (L\text{-H-M } \sigma (\text{sender } m')), \text{sender } m', \sigma') = \{m'\}$
oops

lemma (in *Protocol*) *later-disagreeing-is-monotonic*:

$\forall v \sigma m1 m2. v \in V \wedge \sigma \in \Sigma \wedge \{m1, m2\} \subseteq M$
 $\longrightarrow justified\ m1\ m2$
 $\longrightarrow later-disagreeing-messages\ (p, m2, v, \sigma) \subseteq later-disagreeing-messages\ (p, m1, v, \sigma)$
oops

lemma (in Protocol) empty-later-disagreeing-messages-in-new-message :
 $\forall \sigma \sigma' m' v\text{-set } v\ p. (\sigma, \sigma') \in minimal-transitions \wedge v\text{-set} \subseteq V \wedge v \in V$
 $\longrightarrow m' = the\text{-}elem\ (\sigma' - \sigma)$
 $\longrightarrow sender\ m' \notin equivocating\text{-}validators\ \sigma'$
 $\longrightarrow v \notin equivocating\text{-}validators\ \sigma$
 $\longrightarrow later-disagreeing-messages\ (p, (the\text{-}elem\ (L\text{-}H\text{-}M\ (the\text{-}elem\ (L\text{-}H\text{-}J\ \sigma\ (sender\ m'))\ v)), v, \sigma) = \emptyset$
 $\longrightarrow later-disagreeing-messages\ (p, (the\text{-}elem\ (L\text{-}H\text{-}M\ (justification\ m')\ v)), v, \sigma) = \emptyset$
oops

lemma (in Protocol) clique-not-affected-by-honest-message-from-member :
 $\forall \sigma m v\text{-set } p. \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$
 $\longrightarrow majority\text{-}driven\ p$
 $\longrightarrow immediately\text{-}next\text{-}message\ (\sigma, m)$
 $\longrightarrow sender\ m \in v\text{-set}$
 $\longrightarrow \neg is\text{-}equivocating\ (\sigma \cup \{m\})\ (sender\ m)$
 $\longrightarrow is\text{-}clique\ (v\text{-set}, p, \sigma)$
 $\longrightarrow is\text{-}clique\ (v\text{-set}, p, \sigma \cup \{m\})$
sorry

definition (in Params) gt-threshold :: (validator set * state) \Rightarrow bool
where
 $gt\text{-}threshold$
 $= (\lambda(v\text{-set}, \sigma). (weight\text{-}measure\ v\text{-set} > (weight\text{-}measure\ V) \text{ div } 2 + t - weight\text{-}measure\ (equivocating\text{-}validators\ \sigma)))$

lemma (in Protocol) gt-threshold-imps-majority-for-any-validator :
 $\forall \sigma v\text{-set } p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$
 $\longrightarrow gt\text{-}threshold\ (v\text{-set}, \sigma)$
 $\longrightarrow (\forall v \in v\text{-set}. majority\ (v\text{-set}, the\text{-}elem\ (L\text{-}H\text{-}J\ \sigma\ v)))$
oops

definition (in *Params*) *is-clique-oracle* :: (*validator set* * *state* * *consensus-value-property*)

⇒ *bool*

where

is-clique-oracle

= ($\lambda(v\text{-set}, \sigma, p).$ (*is-clique* (*v-set*, *p*, σ) ∧ *gt-threshold* (*v-set*, σ)))

lemma (in *Protocol*) *clique-oracles-preserved-over-message-from-non-member* :

∀ σ *m v-set p*. $\sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$

→ *majority-driven* *p*

→ *immediately-next-message* (σ , *m*)

→ *sender* *m* ∉ *v-set*

→ *is-clique-oracle* (*v-set*, σ , *p*)

→ *is-clique-oracle* (*v-set*, $\sigma \cup \{m\}$, *p*)

using *clique-not-affected-by-message-from-non-member*

unfolding *is-clique-oracle-def gt-threshold-def*

using *equivocation-fault-weight-is-monotonic*

apply *auto*

by (*smt Un-insert-right* $\Sigma t\text{-is-subset-of-}\Sigma$ *equivocation-fault-weight-def* *state-transition-by-immediately-next-m*
subsetCE subset-insertI sup-bot.right-neutral)

lemma (in *Protocol*) *clique-oracles-preserved-over-message-from-non-equivocating-member*

:

∀ σ *m v-set p*. $\sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$

→ *majority-driven* *p*

→ *immediately-next-message* (σ , *m*)

→ *sender* *m* ∈ *v-set*

→ ¬ *is-equivocating* ($\sigma \cup \{m\}$) (*sender* *m*)

→ *is-clique-oracle* (*v-set*, σ , *p*)

→ *is-clique-oracle* (*v-set*, $\sigma \cup \{m\}$, *p*)

using *clique-not-affected-by-honest-message-from-member*

unfolding *is-clique-oracle-def gt-threshold-def*

using *equivocating-validators-preserved-over-honest-message*

using $\Sigma t\text{-is-subset-of-}\Sigma$

sorry

lemma (in *Protocol*) *clique-oracles-preserved-over-message-from-equivocating-member*

:

∀ σ *m v-set p*. $\sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V$

→ *majority-driven* *p*

→ *immediately-next-message* (σ , *m*)

→ *sender* *m* ∈ *v-set*

→ *is-equivocating* ($\sigma \cup \{m\}$) (*sender* *m*)

→ $\sigma \cup \{m\} \in \Sigma t$

→ *is-clique-oracle* (*v-set*, σ , *p*)

→ *is-clique-oracle* (*v-set*, $\sigma \cup \{m\}$, *p*)

sorry

lemma (in *Protocol*) *clique-oracles-preserved-over-immediately-next-message* :
 $\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{majority-driven } p$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow \sigma \cup \{m\} \in \Sigma t$
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma, p)$
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma \cup \{m\}, p)$
using *clique-oracles-preserved-over-message-from-non-member*
clique-oracles-preserved-over-message-from-non-equivocating-member
clique-oracles-preserved-over-message-from-equivocating-member
by (*metis* (*no-types*, *lifting*) *Un-insert-right* $\Sigma t\text{-def}$ *insert-subset* *mem-Collect-eq*
state-is-subset-of-M)

lemma (in *Protocol*) *clique-implies-everyone-agreeing* :
 $\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma)$
 $\longrightarrow v\text{-set} \subseteq \text{agreeing-validators } (p, \sigma)$
apply (*rule*, *rule*, *rule*, *rule*, *rule*)
proof–
fix $\sigma \ v\text{-set} \ p$ **assume** $\sigma \in \Sigma \wedge v\text{-set} \subseteq V$ **and** *is-clique* ($v\text{-set}, p, \sigma$)
then have *clique*: $\forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma$
 $\wedge \text{later-disagreeing-messages } (p,$
 $\quad \text{the-elm } (L\text{-H-M}$
 $\quad \quad (\text{the-elm } (L\text{-H-J } \sigma \ v)) \ v)$
 $\quad \quad , v, \sigma) = \emptyset$
by (*simp add: is-clique-def*)
then have *p-on-est* : $\forall v \in v\text{-set}. (\forall m \in \{m' \in \sigma. \text{sender } m' = v$
 $\quad \wedge \text{justified } (\text{the-elm } (L\text{-H-M}$
 $\quad \quad (\text{the-elm } (L\text{-H-J } \sigma \ v)) \ v))$
 $\quad \quad m'\}.$
 $\quad \quad p(\text{est } m))$
by (*simp add: later-disagreeing-messages-def later-from-def later-def from-sender-def*)
have $\forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma$
using *clique* **by** *simp*
then have $\forall v \in v\text{-set}. \text{the-elm } (L\text{-H-J } \sigma \ v)$
 $\quad = \text{justification } (\text{the-elm } (L\text{-H-M } \sigma \ v))$
apply (*simp add: L-H-J-def*)
by (*metis* ($\sigma \in \Sigma \wedge v\text{-set} \subseteq V$) *empty-iff is-singleton-the-elm L-H-M-of-observed-non-equivocating-validator-*
singletonD singletonI the-elm-image-unique)
then have *justified-ok*: $\forall v \in v\text{-set}. \text{justified } (\text{the-elm } (L\text{-H-M}$
 $\quad \quad (\text{the-elm } (L\text{-H-J } \sigma \ v)) \ v))$
 $\quad \quad (\text{the-elm } (L\text{-H-M } \sigma \ v))$

```

    using validator-in-clique-see-L-H-M-of-others-is-singleton
  by (smt Diff-iff L-H-M-def L-H-M-is-in-the-state L-M-from-non-observed-validator-is-empty
M-type  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ 
 $\langle \text{is-clique } (v\text{-set}, p, \sigma) \rangle \text{ empty-subsetI insert-subset is-singleton-the-elem justified-def}$ 
observed-non-equivocating-validators-def state-is-subset-of-M subsetCE)
  have sender-ok:  $\forall v \in v\text{-set}. \text{sender } (\text{the-elem } (L\text{-H-M } \sigma v)) = v$ 
  using  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle \text{ sender-of-L-H-M}$ 
  using  $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$  by blast
  have  $\forall v \in v\text{-set}. \text{the-elem } (L\text{-H-M } \sigma v) \in \sigma$ 
  using  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle \text{ L-H-M-is-in-the-state}$ 
  using  $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$  by blast
  then have  $\forall v \in v\text{-set}. p (\text{est } (\text{the-elem } (L\text{-H-M } \sigma v)))$ 
  using p-on-est sender-ok justified-ok
  by blast
  then have  $\forall v \in v\text{-set}. p (\text{the-elem } (L\text{-H-E } \sigma v))$ 
  apply (simp add: L-H-E-def)
  by (metis (no-types, lifting)  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators}$ 
 $\sigma \rangle \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle \text{ empty-iff is-singleton-the-elem L-H-M-of-observed-non-equivocating-validator-is-singleton}$ 
singletonD singletonI the-elem-image-unique)
  then show  $v\text{-set} \subseteq \text{agreeing-validators } (p, \sigma)$ 
  unfolding agreeing-validators-def agreeing-def
  by (smt  $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq$ 
 $V \rangle \text{ is-singleton-the-elem mem-Collect-eq L-H-E-of-observed-non-equivocating-validator-is-singleton}$ 
old.prod.case singletonD subsetI)
qed

```

lemma (in Protocol) *threshold-sized-clique-imps-estimator-agreeing* :

```

 $\forall \sigma v\text{-set } p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
 $\longrightarrow \text{finite } v\text{-set}$ 
 $\longrightarrow \text{majority-driven } p$ 
 $\longrightarrow \text{is-clique } (v\text{-set} - \text{equivocating-validators } \sigma, p, \sigma) \wedge \text{gt-threshold } (v\text{-set} -$ 
equivocating-validators  $\sigma, \sigma)$ 
 $\longrightarrow (\forall c \in \varepsilon \sigma. p c)$ 
  apply (rule, rule, rule, rule, rule, rule, rule)
proof -
  fix  $\sigma v\text{-set } p c$ 
  assume  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
  and finite v-set
  and majority-driven p
  and is-clique  $(v\text{-set} - \text{equivocating-validators } \sigma, p, \sigma) \wedge \text{gt-threshold } (v\text{-set} -$ 
equivocating-validators  $\sigma, \sigma)$ 
  and  $c \in \varepsilon \sigma$ 
  then have  $v\text{-set} - \text{equivocating-validators } \sigma \subseteq \text{agreeing-validators } (p, \sigma)$ 
  using clique-imps-everyone-agreeing
  by (meson Diff-subset  $\Sigma t$ -is-subset-of- $\Sigma$  subsetCE subset-trans)
  then have weight-measure  $(v\text{-set} - \text{equivocating-validators } \sigma) \leq \text{weight-measure}$ 
  (agreeing-validators  $(p, \sigma)$ )
  using agreeing-validators-finite equivocating-validators-def weight-measure-subset-gte

```


$\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \langle \text{finite } v\text{-set} \rangle$
by (*simp add: $\Sigma t\text{-def}$ agreeing-validators-type*)
have $\text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) > (\text{weight-measure } V)$
 $\text{div } 2 + t - \text{weight-measure } (\text{equivocating-validators } \sigma)$
using $\langle \text{is-clique } (v\text{-set} - \text{equivocating-validators } \sigma, p, \sigma) \wedge \text{gt-threshold } (v\text{-set} - \text{equivocating-validators } \sigma, \sigma) \rangle$
unfolding *gt-threshold-def* **by** *simp*
then have $\text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) > (\text{weight-measure } V) \text{ div } 2$
using $\Sigma t\text{-def } \langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \text{equivocation-fault-weight-def is-faults-lt-threshold-def}$

by *auto*
then have $\text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) > (\text{weight-measure } (V - \text{equivocating-validators } \sigma)) \text{ div } 2$
proof –
have $\text{finite } (V - \text{equivocating-validators } \sigma)$
using *V-type equivocating-validators-is-finite*
by *simp*
moreover have $V - \text{equivocating-validators } \sigma \subseteq V$
by (*simp add: Diff-subset*)
ultimately have $(\text{weight-measure } V) \text{ div } 2 \geq (\text{weight-measure } (V - \text{equivocating-validators } \sigma)) \text{ div } 2$
using *weight-measure-subset-gte*
by (*simp add: V-type*)
then show *?thesis*
using $\langle \text{weight-measure } V / 2 < \text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) \rangle$ **by** *linarith*
qed
then have $\text{weight-measure } (\text{agreeing-validators } (p, \sigma)) > \text{weight-measure } (V - \text{equivocating-validators } \sigma) \text{ div } 2$
using $\langle \text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma) \leq \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) \rangle$
by *linarith*
then show $p \text{ c}$
using $\langle \text{majority-driven } p \rangle$ **unfolding** *majority-driven-def majority-def gt-threshold-def*
using $\langle c \in \varepsilon \sigma \rangle$
using *Mi.simps $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \text{non-justifying-message-exists-in-M-0}$*
by *blast*
qed

lemma (*in Protocol*) *clique-oracle-for-all-futures* :

$\forall \sigma \ v\text{-set } p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$

$\longrightarrow \text{majority-driven } p$

$\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma, p)$

$\longrightarrow (\forall \sigma' \in \text{futures } \sigma. \text{is-clique-oracle } (v\text{-set}, \sigma', p))$

apply (*rule+*)

proof –

fix $\sigma \ v\text{-set } p \ \sigma'$

```

    assume  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$  and majority-driven  $p$  and is-clique-oracle  $(v\text{-set}, \sigma, p)$  and  $\sigma' \in \text{futures } \sigma$ 
    show is-clique-oracle  $(v\text{-set}, \sigma', p)$ 
    using clique-oracles-preserved-over-immediately-next-message

    sorry
qed

```

lemma (in *Protocol*) *clique-oracle-is-safety-oracle* :

```

 $\forall \sigma \ v\text{-set } p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
 $\longrightarrow$  finite  $v\text{-set}$ 
 $\longrightarrow$  majority-driven  $p$ 
 $\longrightarrow$  is-clique-oracle  $(v\text{-set}, \sigma, p)$ 
 $\longrightarrow (\forall \sigma' \in \text{futures } \sigma. \text{naturally-corresponding-state-property } p \ \sigma')$ 
apply rule+
proof -
  fix  $\sigma \ v\text{-set } p \ \sigma'$ 
  assume  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$  and finite  $v\text{-set}$  and majority-driven  $p$  and is-clique-oracle
   $(v\text{-set}, \sigma, p)$  and  $\sigma' \in \text{futures } \sigma$ 
  then have  $\forall \sigma' \in \text{futures } \sigma. \text{is-clique-oracle } (v\text{-set}, \sigma', p)$ 
    using clique-oracle-for-all-futures
  by blast
  then have  $\forall \sigma' \in \text{futures } \sigma. \forall c \in \varepsilon \sigma'. p \ c$ 
    using  $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \langle \text{finite } v\text{-set} \rangle \langle \text{majority-driven } p \rangle \langle \sigma' \in \text{futures } \sigma \rangle$ 
    using threshold-sized-clique-imps-estimator-agreeing
  apply (simp add: futures-def is-clique-oracle-def)
  sorry
  then show naturally-corresponding-state-property  $p \ \sigma'$ 
    apply (simp add: naturally-corresponding-state-property-def)
    using  $\langle \sigma' \in \text{futures } \sigma \rangle$  by blast
qed

```

```

end
theory Inspector

```

```

imports Main CBCcCasper LatestMessage StateTransition ConsensusSafety

```

```

begin

```

definition *agreeing* :: (consensus-value-property * state * validator) \Rightarrow bool

where

agreeing = ($\lambda(p, \sigma, v). \forall c \in L-H-E \sigma v. p \ c$)

definition *agreeing-validators* :: (consensus-value-property * state) \Rightarrow validator set

where

agreeing-validators = ($\lambda(p, \sigma). \{v \in \text{observed-non-equivocating-validators } \sigma. \text{agreeing } (p, \sigma, v)\}$)

lemma (in *Protocol*) *agreeing-validators-type* :

$\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \subseteq V$

apply (simp add: observed-non-equivocating-validators-def agreeing-validators-def)

using observed-type-for-state **by** auto

lemma (in *Protocol*) *agreeing-validators-finite* :

$\forall \sigma \in \Sigma. \text{finite } (\text{agreeing-validators } (p, \sigma))$

by (meson *V-type agreeing-validators-type rev-finite-subset*)

lemma (in *Protocol*) *agreeing-validators-are-observed-non-equivocating-validators*

:

$\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \subseteq \text{observed-non-equivocating-validators } \sigma$

by (simp add: agreeing-validators-def)

lemma (in *Protocol*) *agreeing-validators-are-not-equivocating* :

$\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \cap \text{equivocating-validators } \sigma = \emptyset$

using agreeing-validators-are-observed-non-equivocating-validators

observed-non-equivocating-validators-are-not-equivocating

by blast

definition (in *Params*) *disagreeing-validators* :: (consensus-value-property * state)

\Rightarrow validator set

where

disagreeing-validators = ($\lambda(p, \sigma). V - \text{agreeing-validators } (p, \sigma) - \text{equivocating-validators } \sigma$)

lemma (in *Protocol*) *disagreeing-validators-type* :

$\forall \sigma \in \Sigma. \text{disagreeing-validators } (p, \sigma) \subseteq V$

apply (simp add: disagreeing-validators-def)

by auto

lemma (in *Protocol*) *disagreeing-validators-are-non-observed-or-not-agreeing* :
 $\forall \sigma \in \Sigma. \text{disagreeing-validators } (p, \sigma) = \{v \in V - \text{equivocating-validators } \sigma. v \notin \text{observed } \sigma \vee (\exists c \in L\text{-}H\text{-}E \sigma v. \neg p c)\}$
apply (*simp add: disagreeing-validators-def agreeing-validators-def observed-non-equivocating-validators-def agreeing-def*)
by *blast*

lemma (in *Protocol*) *disagreeing-validators-include-not-agreeing-validators* :
 $\forall \sigma \in \Sigma. \{v \in V - \text{equivocating-validators } \sigma. \exists c \in L\text{-}H\text{-}E \sigma v. \neg p c\} \subseteq \text{disagreeing-validators } (p, \sigma)$
using *disagreeing-validators-are-non-observed-or-not-agreeing* **by** *blast*

lemma (in *Protocol*) *weight-measure-agreeing-plus-equivocating* :
 $\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma) \cup \text{equivocating-validators } \sigma)$
 $= \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) + \text{equivocation-fault-weight } \sigma$
unfolding *equivocation-fault-weight-def*
using *agreeing-validators-are-not-equivocating weight-measure-disjoint-plus agreeing-validators-finite equivocating-validators-is-finite*
by *simp*

lemma (in *Protocol*) *disagreeing-validators-weight-combined* :
 $\forall \sigma \in \Sigma. \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) = \text{weight-measure } V - \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) - \text{equivocation-fault-weight } \sigma$
unfolding *disagreeing-validators-def*
using *weight-measure-agreeing-plus-equivocating*
unfolding *equivocation-fault-weight-def*
using *agreeing-validators-are-not-equivocating weight-measure-subset-minus agreeing-validators-finite equivocating-validators-is-finite*
by (*smt Diff-empty Diff-iff Int-iff V-type agreeing-validators-type equivocating-validators-type finite-Diff old.prod.case subset-iff*)

lemma (in *Protocol*) *agreeing-validators-weight-combined* :
 $\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) = \text{weight-measure } V - \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) - \text{equivocation-fault-weight } \sigma$
using *disagreeing-validators-weight-combined*
by *simp*

definition (in *Params*) *majority* :: (validator set * state) \Rightarrow bool
where
 $\text{majority} = (\lambda(v\text{-set}, \sigma). (\text{weight-measure } v\text{-set} > (\text{weight-measure } (V - \text{equivocating-validators } \sigma)) \text{ div } 2))$

definition (in *Protocol*) *majority-driven* :: consensus-value-property \Rightarrow bool
where
 $\text{majority-driven } p = (\forall \sigma \in \Sigma. \text{majority } (\text{agreeing-validators } (p, \sigma), \sigma) \longrightarrow (\forall c \in \varepsilon \sigma. p c))$

definition (in *Protocol*) *max-driven* :: *consensus-value-property* \Rightarrow *bool*

where

max-driven $p =$
 $(\forall \sigma \in \Sigma. \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) > \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) \longrightarrow (\forall c \in \varepsilon \sigma. p \ c))$

definition (in *Protocol*) *max-driven-for-future* :: *consensus-value-property* \Rightarrow *state* \Rightarrow *bool*

where

max-driven-for-future $p \ \sigma =$
 $(\forall \sigma' \in \Sigma. \text{is-future-state } (\sigma, \sigma') \longrightarrow \text{weight-measure } (\text{agreeing-validators } (p, \sigma')) > \text{weight-measure } (\text{disagreeing-validators } (p, \sigma')) \longrightarrow (\forall c \in \varepsilon \sigma'. p \ c))$

definition *later-disagreeing-messages* :: (*consensus-value-property* * *message* * *validator* * *state*) \Rightarrow *message set*

where

later-disagreeing-messages $= (\lambda(p, m, v, \sigma). \{m' \in \text{later-from } (m, v, \sigma). \neg p \ (\text{est } m')\})$

lemma (in *Protocol*) *later-disagreeing-messages-type* :

$\forall p \ \sigma \ v \ m. \ \sigma \in \Sigma \wedge v \in V \wedge m \in M \longrightarrow \text{later-disagreeing-messages } (p, m, v, \sigma) \subseteq M$

unfolding *later-disagreeing-messages-def*

using *later-from-type-for-state* **by** *auto*

lemma (in *Protocol*) *non-equivocating-validator-is-non-equivocating-in-past* :

$\forall \sigma \ v \ \sigma'. \ v \in V \wedge \{\sigma, \sigma'\} \subseteq \Sigma \wedge \text{is-future-state } (\sigma', \sigma)$

$\longrightarrow v \notin \text{equivocating-validators } \sigma$

$\longrightarrow v \notin \text{equivocating-validators } \sigma'$

oops

definition (in *Params*) *gt-threshold* :: (*validator set* * *state*) \Rightarrow *bool*

where

gt-threshold

$= (\lambda(v\text{-set}, \sigma). (\text{weight-measure } v\text{-set} > (\text{weight-measure } V) \text{ div } 2 + t \text{ div } 2 - \text{weight-measure } (\text{equivocating-validators } \sigma)))$

lemma (in *Protocol*) *gt-threshold-imps-majority-for-any-validator* :

$\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{gt-threshold} (v\text{-set}, \sigma)$
 $\longrightarrow (\forall v \in v\text{-set}. \text{majority} (v\text{-set}, \text{the-elem} (L\text{-H-J } \sigma \ v)))$
oops

definition (in *Params*) *inspector* :: (*validator set* * *state* * *consensus-value-property*)

$\Rightarrow \text{bool}$

where

inspector

$= (\lambda(v\text{-set}, \sigma, p). v\text{-set} \neq \emptyset \wedge$
 $(\forall v \in v\text{-set}. v \in \text{agreeing-validators} (p, \sigma)$
 $\wedge (\exists v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \wedge \text{gt-threshold}(v\text{-set}', \text{the-elem} (L\text{-H-J } \sigma \ v))$
 $\wedge (\forall v' \in v\text{-set}'.$
 $v' \in \text{agreeing-validators} (p, (\text{the-elem} (L\text{-H-J } \sigma \ v)))$
 $\wedge \text{later-disagreeing-messages} (p, \text{the-elem} (L\text{-H-M} (\text{the-elem}$
 $(L\text{-H-J } \sigma \ v)) \ v'), v', \sigma) = \emptyset)))$

lemma (in *Protocol*) *validator-in-inspector-see-L-H-M-of-others-is-singleton* :

$\forall v\text{-set} \ p \ \sigma. v\text{-set} \subseteq V \wedge \sigma \in \Sigma$
 $\longrightarrow \text{inspector} (v\text{-set}, \sigma, p)$
 $\longrightarrow (\forall v \ v'. \{v, v'\} \subseteq v\text{-set} \longrightarrow \text{is-singleton} (L\text{-H-M} (\text{the-elem} (L\text{-H-J } \sigma \ v))$
 $v'))$
oops

lemma (in *Protocol*) *inspector-imps-everyone-observed-non-equivocating* :

$\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{inspector} (v\text{-set}, \sigma, p)$
 $\longrightarrow v\text{-set} \subseteq \text{observed-non-equivocating-validators} (\sigma)$
apply (*simp add: inspector-def agreeing-validators-def*)
by blast

lemma (in *Protocol*) *inspector-imps-everyone-agreeing* :

$\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{inspector} (v\text{-set}, \sigma, p)$
 $\longrightarrow v\text{-set} \subseteq \text{agreeing-validators} (p, \sigma)$
apply (*simp add: inspector-def*)
by blast

lemma (in *Protocol*) *inspector-imps-gt-threshold* :

$\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{inspector} (v\text{-set}, \sigma, p)$
 $\longrightarrow \text{gt-threshold}(v\text{-set}, \sigma)$
apply (*rule+*)

proof –

fix $\sigma \ v\text{-set} \ p$
assume $\sigma \in \Sigma \wedge v\text{-set} \subseteq V$
assume $\text{inspector} (v\text{-set}, \sigma, p)$

hence $\exists v \in v\text{-set}. \exists v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \wedge \text{gt-threshold}(v\text{-set}', \text{the-elem } (L\text{-H-J } \sigma v))$
 apply (simp add: inspector-def)
 by blast
 hence $\exists v \in v\text{-set}. \text{gt-threshold}(v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma v))$
 apply (simp add: gt-threshold-def)
 using weight-measure-subset-gte
 by (smt $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$)
 obtain v where $v \in v\text{-set} \wedge \text{gt-threshold}(v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma v))$
 using $\langle \exists v \in v\text{-set}. \text{gt-threshold}(v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma v)) \rangle$ by blast
 hence $\forall \sigma' \in L\text{-H-J } \sigma v. \sigma' \subseteq \sigma$
 using $L\text{-H-J-is-subset-of-the-state } \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$
 by blast
 hence $\text{is-singleton } (L\text{-H-J } \sigma v) \wedge (\forall \sigma' \in L\text{-H-J } \sigma v. \sigma' \subseteq \sigma)$
 using $L\text{-H-J-is-subset-of-the-state } \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ $L\text{-H-J-of-observed-non-equivocating-validator-is-singletonI } \langle \text{inspector } (v\text{-set}, \sigma, p) \rangle$
 apply (simp add: inspector-def agreeing-validators-def)
 using $\langle v \in v\text{-set} \wedge \text{gt-threshold}(v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma v)) \rangle$ by auto
 hence $\text{the-elem } (L\text{-H-J } \sigma v) \subseteq \sigma$
 by (metis insert-iff is-singleton-the-elem)
 then show $\text{gt-threshold}(v\text{-set}, \sigma)$
 using $\langle v \in v\text{-set} \wedge \text{gt-threshold}(v\text{-set}, \text{the-elem } (L\text{-H-J } \sigma v)) \rangle$
 apply (simp add: gt-threshold-def)
 using equivocation-fault-weight-is-monotonic
 apply (simp add: equivocation-fault-weight-def)
 by (smt $L\text{-H-J-type } \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ $\langle \text{is-singleton } (L\text{-H-J } \sigma v) \wedge (\forall \sigma' \in L\text{-H-J } \sigma v. \sigma' \subseteq \sigma) \rangle$ $\text{is-singleton-the-elem singletonI subsetCE}$)
 qed

lemma (in Protocol) gt-threshold-imps-estimator-agreeing :

$\forall \sigma v\text{-set } p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{finite } v\text{-set}$
 $\longrightarrow \text{majority-driven } p$
 $\longrightarrow v\text{-set} \subseteq \text{agreeing-validators } (p, \sigma)$
 $\longrightarrow \text{gt-threshold}(v\text{-set}, \sigma)$
 $\longrightarrow (\forall c \in \varepsilon \sigma. p c)$
 apply (rule, rule, rule, rule, rule, rule, rule, rule)
 proof –
 fix $\sigma v\text{-set } p c$
 assume $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ $\text{finite } v\text{-set}$ $\text{majority-driven } p$ $v\text{-set} \subseteq \text{agreeing-validators } (p, \sigma)$ $\text{gt-threshold}(v\text{-set}, \sigma)$ $c \in \varepsilon \sigma$
 then have $\text{weight-measure } v\text{-set} \leq \text{weight-measure } (\text{agreeing-validators } (p, \sigma))$
 using inspector-imps-everyone-agreeing
 weight-measure-subset-gte
 $\Sigma t\text{-is-subset-of-}\Sigma$ agreeing-validators-type by auto
 then have $\text{weight-measure } v\text{-set} > (\text{weight-measure } V) \text{ div } 2 + t \text{ div } 2 -$
 $\text{weight-measure } (\text{equivocating-validators } \sigma)$
 using $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle$ $\langle \text{gt-threshold}(v\text{-set}, \sigma) \rangle$

$gt\text{-threshold-def}$
 $\Sigma t\text{-is-subset-of-}\Sigma$ **by** *auto*
then have $weight\text{-measure } v\text{-set} > (weight\text{-measure } V) \text{ div } 2 - weight\text{-measure}$
 $(equivocating\text{-validators } \sigma) \text{ div } 2$
using $\Sigma t\text{-def } \langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle equivocation\text{-fault-weight-def is-faults-lt-threshold-def}$
by *auto*
then have $weight\text{-measure } v\text{-set} > (weight\text{-measure } (V - equivocating\text{-validators}$
 $\sigma)) \text{ div } 2$
by (*metis Protocol.V-type Protocol-axioms $\Sigma t\text{-is-subset-of-}\Sigma$ $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle$ diff-divide-distrib equivocating-validators-is-finite equivocating-validators-type subsetCE weight-measure-subset-minus*)
then have $weight\text{-measure } (agreeing\text{-validators } (p, \sigma)) > weight\text{-measure } (V -$
 $equivocating\text{-validators } \sigma) \text{ div } 2$
using $\langle weight\text{-measure } v\text{-set} \leq weight\text{-measure } (agreeing\text{-validators } (p, \sigma)) \rangle$
by *auto*
then show $p \ c$
using $\langle majority\text{-driven } p \rangle$ **unfolding** $majority\text{-driven-def majority-def gt\text{-threshold-def}$
using $\langle c \in \varepsilon \sigma \rangle$ *Mi.simps $\Sigma t\text{-is-subset-of-}\Sigma$ $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle$ non-justifying-message-exists-in-M-0*
by *blast*
qed

lemma (in Protocol) inspector-imps-estimator-agreeing :
 $\forall \sigma \ v\text{-set } p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$
 $\longrightarrow finite \ v\text{-set}$
 $\longrightarrow majority\text{-driven } p$
 $\longrightarrow inspector \ (v\text{-set}, \sigma, p)$
 $\longrightarrow (\forall \ c \in \varepsilon \sigma. p \ c)$
by (*simp add: gt-threshold-imps-estimator-agreeing inspector-imps-gt-threshold $\Sigma t\text{-def inspector-imps-everyone-agreeing$*)

lemma (in Protocol) later-from-of-non-sender-not-affected-by-minimal-transitions
:
 $\forall \sigma \ m \ m' \ v. \sigma \in \Sigma \wedge m \in M \wedge m' \in M \wedge v \in V$
 $\longrightarrow immediately\text{-next-message } (\sigma, m')$
 $\longrightarrow v \in V - \{sender \ m'\}$
 $\longrightarrow later\text{-from } (m, v, \sigma) = later\text{-from } (m, v, \sigma \cup \{m'\})$
apply (*simp add: later-from-def*)
by *auto*

lemma (in Protocol) from-sender-of-non-sender-not-affected-by-minimal-transitions
:
 $\forall \sigma \ m \ m' \ v. \sigma \in \Sigma \wedge m \in M \wedge m' \in M \wedge v \in V$


```

→ immediately-next-message (σ, m')
→ v ∈ V - {sender m'}
→ from-sender (v, σ) = from-sender (v, σ ∪ {m'})
apply (simp add: from-sender-def)
by auto

```

lemma (in Protocol) *equivocation-status-of-non-sender-not-affected-by-minimal-transitions* :

```

∀ σ m v. σ ∈ Σ ∧ m ∈ M ∧ v ∈ V
→ immediately-next-message (σ, m)
→ v ∈ V - {sender m}
→ v ∈ equivocating-validators σ ↔ v ∈ equivocating-validators (σ ∪ {m})
apply (rule, rule, rule, rule, rule, rule)
proof -
  fix σ m v
  assume σ ∈ Σ ∧ m ∈ M ∧ v ∈ V
  and immediately-next-message (σ, m)
  and v ∈ V - {sender m}
  then have g1: observed σ ⊆ observed (σ ∪ {m})
    apply (simp add: observed-def)
    by auto
  have g2: is-equivocating σ v = is-equivocating (σ ∪ {m}) v
    using ⟨v ∈ V - {sender m}⟩
    apply (simp add: is-equivocating-def equivocation-def)
    by blast
  show (v ∈ equivocating-validators σ) = (v ∈ equivocating-validators (σ ∪ {m}))
    apply (simp add: equivocating-validators-def)
    using g1 g2
  by (metis (mono-tags, lifting) Un-insert-right is-equivocating-def mem-Collect-eq
    observed-def sup-bot.right-neutral)
qed

```

lemma (in Protocol) *L-H-M-of-non-sender-not-affected-by-minimal-transitions* :

```

∀ σ m v. σ ∈ Σ ∧ m ∈ M ∧ v ∈ V
→ immediately-next-message (σ, m)
→ v ∈ V - {sender m}
→ L-H-M σ v = L-H-M (σ ∪ {m}) v
apply (rule, rule, rule, rule, rule, rule)
proof -
  fix σ m v
  assume σ ∈ Σ ∧ m ∈ M ∧ v ∈ V immediately-next-message (σ, m) v ∈ V -
{sender m}
  show L-H-M σ v = L-H-M (σ ∪ {m}) v
  proof (cases v ∈ equivocating-validators σ)
    case True
    then show ?thesis
      apply (simp add: L-H-M-def)

```

using $\langle \sigma \in \Sigma \wedge m \in M \wedge v \in V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle \langle v \in V - \{ \text{sender } m \} \rangle$ *equivocation-status-of-non-sender-not-affected-by-minimal-transitions*
by *auto*
next
case *False*
then have $v \notin \text{equivocating-validators } \sigma \wedge v \notin \text{equivocating-validators } (\sigma \cup \{m\})$
using *equivocation-status-of-non-sender-not-affected-by-minimal-transitions*
 $\langle \sigma \in \Sigma \wedge m \in M \wedge v \in V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle \langle v \in V - \{ \text{sender } m \} \rangle$
by *auto*
then show *?thesis*
apply (*simp add: L-H-M-def L-M-def*)
using $\langle \sigma \in \Sigma \wedge m \in M \wedge v \in V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle \langle v \in V - \{ \text{sender } m \} \rangle$
later-from-of-non-sender-not-affected-by-minimal-transitions
from-sender-of-non-sender-not-affected-by-minimal-transitions
by (*metis (no-types, lifting) Un-insert-right from-sender-type-for-state subsetCE sup-bot.right-neutral*)
qed
qed

lemma (*in Protocol*) *agreeing-status-of-non-sender-not-affected-by-minimal-transitions*

$\forall \sigma m v p. \sigma \in \Sigma \wedge m \in M \wedge v \in V$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow v \in V - \{ \text{sender } m \}$
 $\longrightarrow v \in \text{agreeing-validators } (p, \sigma) \longleftrightarrow v \in \text{agreeing-validators } (p, \sigma \cup \{m\})$
apply (*simp add: agreeing-validators-def agreeing-def L-H-E-def observed-non-equivocating-validators-def observed-def*)
using *L-H-M-of-non-sender-not-affected-by-minimal-transitions*
equivocation-status-of-non-sender-not-affected-by-minimal-transitions
by *auto*

lemma (*in Protocol*) *L-H-J-of-non-sender-not-affected-by-minimal-transitions* :

$\forall \sigma m v. \sigma \in \Sigma \wedge m \in M \wedge v \in V$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow v \in V - \{ \text{sender } m \}$
 $\longrightarrow L-H-J \sigma v = L-H-J (\sigma \cup \{m\}) v$
apply (*simp add: L-H-J-def*)
using *L-H-M-of-non-sender-not-affected-by-minimal-transitions*
by *auto*

lemma (*in Protocol*) *later-disagreeing-of-non-sender-not-affected-by-minimal-transitions*

$\forall \sigma m m' v. \sigma \in \Sigma \wedge m \in M \wedge m' \in M \wedge v \in V$

\longrightarrow *immediately-next-message* (σ, m')
 $\longrightarrow v \in V - \{\text{sender } m'\}$
 \longrightarrow *later-disagreeing-messages* (p, m, v, σ) = *later-disagreeing-messages* ($p, m,$
 $v, \sigma \cup \{m'\}$)
apply (*simp add: later-disagreeing-messages-def*)
using *later-from-of-non-sender-not-affected-by-minimal-transitions* **by** *auto*

lemma (*in Protocol*) *inspector-preserved-over-message-from-non-member* :

$\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V$

\longrightarrow *immediately-next-message* (σ, m)

\longrightarrow *sender* $m \notin v\text{-set}$

\longrightarrow *inspector* ($v\text{-set}, \sigma, p$)

\longrightarrow *inspector* ($v\text{-set}, \sigma \cup \{m\}, p$)

apply (*rule, rule, rule, rule, rule, rule, rule, rule*)

proof –

fix $\sigma \ m \ v\text{-set} \ p$

assume $\sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V$ *immediately-next-message* (σ, m) *sender*
 $m \notin v\text{-set}$ *inspector* ($v\text{-set}, \sigma, p$)

then have $\forall v \in v\text{-set}. v \in \text{agreeing-validators} (p, \sigma) \longrightarrow v \in \text{agreeing-validators}$
 $(p, \sigma \cup \{m\})$

using *agreeing-status-of-non-sender-not-affected-by-minimal-transitions*
by *blast*

moreover have $\forall v \in v\text{-set}.$

$(\forall v\text{-set}'. \text{gt-threshold}(v\text{-set}', \text{the-elem } (L\text{-H-J } \sigma \ v)) \longrightarrow$
 $\text{gt-threshold}(v\text{-set}', \text{the-elem } (L\text{-H-J } (\sigma \cup \{m\}) \ v)))$

using $\langle \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle \langle \text{sender}$
 $m \notin v\text{-set} \rangle$

L-H-J-of-non-sender-not-affected-by-minimal-transitions

by *fastforce*

moreover have $\forall v \in v\text{-set}.$

$(\forall v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \wedge$

$(\forall v' \in v\text{-set}'.$

$v' \in \text{agreeing-validators} (p, (\text{the-elem } (L\text{-H-J } \sigma \ v)))$

$\wedge \text{later-disagreeing-messages} (p, \text{the-elem } (L\text{-H-M } (\text{the-elem}$

$(L\text{-H-J } \sigma \ v)) \ v'), v', \sigma) = \emptyset$)

$\longrightarrow (\forall v' \in v\text{-set}'.$

$v' \in \text{agreeing-validators} (p, (\text{the-elem } (L\text{-H-J } (\sigma \cup \{m\}) \ v)))$

$\wedge \text{later-disagreeing-messages} (p, \text{the-elem } (L\text{-H-M } (\text{the-elem}$

$(L\text{-H-J } (\sigma \cup \{m\}) \ v)) \ v'), v', (\sigma \cup \{m\})) = \emptyset$)

apply (*rule, rule, rule, rule*)

proof –

fix $v \ v\text{-set}' \ v'$

assume $v \in v\text{-set}$

and $a1: v\text{-set}' \subseteq v\text{-set} \wedge (\forall v' \in v\text{-set}'.$

$v' \in \text{agreeing-validators } (p, \text{the-elem } (L-H-J \ \sigma \ v)) \wedge \text{later-disagreeing-messages}$
 $(p, \text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ v)) \ v'), v', \sigma) = \emptyset$
and $v' \in v\text{-set}'$
then have $ll1: v' \in \text{agreeing-validators } (p, \text{the-elem } (L-H-J \ \sigma \ v)) \wedge \text{later-disagreeing-messages}$
 $(p, \text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ v)) \ v'), v', \sigma) = \emptyset$
by *blast*
have $v \in \text{observed-non-equivocating-validators } \sigma$
using $\langle v \in v\text{-set} \rangle \langle \text{inspector } (v\text{-set}, \sigma, p) \rangle \text{inspector-impls-everyone-observed-non-equivocating}$
 $\langle \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V \rangle$ **by** *blast*
have $v' \in \text{observed-non-equivocating-validators } (\text{the-elem } (L-H-J \ \sigma \ v))$
using $ll1$ **by** *(simp add: agreeing-validators-def)*
then have $v' \in V - \{\text{sender } m\}$
using $\langle \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V \rangle \langle \text{sender } m \notin v\text{-set} \rangle \langle v' \in v\text{-set}' \rangle$
a1 by *blast*
then moreover have $\text{the-elem } (L-H-J \ \sigma \ v) = \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ v)$
using *L-H-J-of-non-sender-not-affected-by-minimal-transitions* $\langle \sigma \in \Sigma \wedge m \in$
 $M \wedge v\text{-set} \subseteq V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle \langle \text{sender } m \notin v\text{-set} \rangle \langle v \in v\text{-set} \rangle$
by *(metis (no-types, lifting) M-type $\langle \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V \rangle$ insert-Diff*
insert-iff subsetCE)
then moreover have $\text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ v)) \ v') = \text{the-elem}$
 $(L-H-M \ (\text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ v)) \ v')$
using *L-H-M-of-non-sender-not-affected-by-minimal-transitions*
by *simp*
then moreover have $\text{later-disagreeing-messages } (p, \text{the-elem } (L-H-M \ (\text{the-elem}$
 $(L-H-J \ (\sigma \cup \{m\}) \ v)) \ v'), v', \sigma \cup \{m\}) = \emptyset$
proof –
have $ll1: \text{later-disagreeing-messages } (p, \text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma$
 $v)) \ v'), v', \sigma) = \text{later-disagreeing-messages } (p, \text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J$
 $(\sigma \cup \{m\}) \ v)) \ v'), v', \sigma)$
by *(simp add: calculation(2))*
have $\sigma \cup \{m\} \in \Sigma \wedge v \in V$
using $\langle \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle$
state-transition-only-made-by-immediately-next-message
 $\langle v \in v\text{-set} \rangle$ **by** *blast*
hence $\text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ v) \in \Sigma$
using *L-H-J-type L-H-J-of-observed-non-equivocating-validator-is-singleton*
 $\langle v \in \text{observed-non-equivocating-validators } \sigma \rangle$
by *(metis $\langle \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V \rangle$ calculation(2) insert-subset*
is-singleton-the-elem)
hence $\text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ v)) \ v') \in M$
using *L-H-M-type L-H-M-of-observed-non-equivocating-validator-is-singleton*
 $\langle v' \in \text{observed-non-equivocating-validators } (\text{the-elem } (L-H-J \ \sigma \ v)) \rangle$
using *L-H-M-is-in-the-state calculation(2) state-is-subset-of-M* **by** *fastforce*
hence $\text{later-disagreeing-messages } (p, \text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ (\sigma$
 $\cup \{m\}) \ v)) \ v'), v', \sigma) = \text{later-disagreeing-messages } (p, \text{the-elem } (L-H-M \ (\text{the-elem}$
 $(L-H-J \ (\sigma \cup \{m\}) \ v)) \ v'), v', \sigma \cup \{m\})$
using *later-disagreeing-of-non-sender-not-affected-by-minimal-transitions*
 $ll1$
 $\langle \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle$

```

    ⟨v' ∈ V - {sender m}⟩
      by auto
    then show ?thesis
      using l1 ll1 by blast
    qed
  ultimately show v' ∈ agreeing-validators (p, the-elem (L-H-J (σ ∪ {m}) v))
    ∧
      later-disagreeing-messages (p, the-elem (L-H-M (the-elem (L-H-J (σ ∪ {m}) v)) v'), v', σ ∪ {m}) = ∅
    using later-disagreeing-of-non-sender-not-affected-by-minimal-transitions l1
      ⟨σ ∈ Σ ∧ m ∈ M ∧ v-set ⊆ V⟩ ⟨immediately-next-message (σ, m)⟩ ⟨v' ∈
V - {sender m}⟩
    by simp
  qed
  ultimately show inspector (v-set, σ ∪ {m}, p)
    using ⟨inspector (v-set, σ, p)⟩
    apply (simp add: inspector-def)
    by meson
  qed

```

lemma (in Protocol) later-messages-from-non-equivocating-validator-include-all-earlier-messages :

```

  ∀ v σ σ1 σ2. σ ∈ Σ ∧ σ1 ∈ Σ ∧ σ1 ⊆ σ ∧ σ2 ⊆ σ ∧ σ1 ∩ σ2 = ∅
    → (∀ m1 ∈ σ1. sender m1 = v
      → (∀ m2 ∈ σ2. sender m2 = v → m1 ∈ justification m2))
  using strict-subset-of-state-have-immediately-next-messages
  apply (simp add: immediately-next-message-def)
  sorry

```

lemma (in Protocol) new-message-is-L-H-M-of-sender :

```

  ∀ σ m v. σ ∈ Σ ∧ m ∈ M
    → immediately-next-message (σ, m)
    → sender m ∉ equivocating-validators (σ ∪ {m})
    → m = the-elem (L-H-M (σ ∪ {m}) (sender m))
  using L-H-M-of-observed-non-equivocating-validator-is-singleton
  sorry

```

lemma (*in Protocol*) *new-justification-is-L-H-J-of-sender* :
 $\forall \sigma m v. \sigma \in \Sigma \wedge m \in M$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\})$
 $\longrightarrow \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ (\text{sender } m)) = \text{justification } m$
using *new-message-is-L-H-M-of-sender*
apply (*simp add: L-H-J-def*)
using *L-H-M-of-observed-non-equivocating-validator-is-singleton*
sorry

lemma (*in Protocol*) *L-H-M-of-others-for-sender-is-the-previous-one-or-later*:
 $\forall \sigma m v. \sigma \in \Sigma \wedge m \in M \wedge v \in V$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\})$
 $\longrightarrow v \notin \text{equivocating-validators } \sigma$
 $\longrightarrow \text{the-elem } (L-H-M \ (\text{justification } m) \ v) = \text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ (\text{sender } m))) \ v)$
 $\vee \text{justified } (\text{the-elem } (L-H-M \ (\text{the-elem } (L-H-J \ \sigma \ (\text{sender } m))) \ v)) \ (\text{the-elem } (L-H-M \ (\text{justification } m) \ v))$
sorry

lemma (*in Protocol*) *justified-message-exists-in-later-from*:
 $\forall \sigma m1 m2. \sigma \in \Sigma \wedge \{m1, m2\} \subseteq \sigma$
 $\longrightarrow \text{justified } m1 \ m2$
 $\longrightarrow m2 \in \text{later-from } (m1, \text{sender } m2, \sigma)$
by (*simp add: later-from-def later-def from-sender-def*)

lemma (*in Protocol*) *new-message-see-all-members-agreeing* :
 $\forall \sigma m v\text{-set } p. \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow \text{sender } m \in v\text{-set}$
 $\longrightarrow \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\})$
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$
 $\longrightarrow v\text{-set} \subseteq \text{agreeing-validators } (p, \text{justification } m)$
sorry

lemma (*in Protocol*) *new-message-from-member-see-itself-agreeing* :

$\forall \sigma m v\text{-set } p. \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow \text{sender } m \in v\text{-set}$
 $\longrightarrow \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\})$
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$
 $\longrightarrow \text{sender } m \in \text{agreeing-validators } (p, \text{justification } m)$
using *new-message-see-all-members-agreeing*
by *blast*

lemma (*in Protocol*) *L-H-M-of-sender-is-previous-L-H-M* :
 $\forall \sigma m. \sigma \in \Sigma \wedge m \in M$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\})$
 $\longrightarrow \text{the-elem } (L\text{-H-M } (\text{justification } m) (\text{sender } m)) = \text{the-elem } (L\text{-H-M } \sigma (\text{sender } m))$
sorry

lemma (*in Protocol*) *L-H-M-of-sender-justified-by-new-message* :
 $\forall \sigma m. \sigma \in \Sigma \wedge m \in M$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\})$
 $\longrightarrow \text{justified } (\text{the-elem } (L\text{-H-M } \sigma (\text{sender } m))) m$
using *justification-is-total-on-messages-from-non-equivocating-validator*
sorry

lemma (*in Protocol*) *nothing-later-than-L-H-M* :
 $\forall \sigma m v. \sigma \in \Sigma \wedge m \in M \wedge v \in V$
 $\longrightarrow v \notin \text{equivocating-validators } \sigma$
 $\longrightarrow \text{later-from } (\text{the-elem } (L\text{-H-M } \sigma v), v, \sigma) = \emptyset$
apply (*simp add: later-from-def L-H-M-def L-M-def from-sender-def justified-def equivocating-validators-def is-equivocating-def*)
sorry

lemma (*in Protocol*) *later-messages-for-sender-is-only-new-message* :
 $\forall \sigma m. \sigma \in \Sigma \wedge m \in M$
 $\longrightarrow \text{immediately-next-message } (\sigma, m)$
 $\longrightarrow \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\})$
 $\longrightarrow \text{later-from } (\text{the-elem } (L\text{-H-M } \sigma (\text{sender } m)), \text{sender } m, \sigma \cup \{m\}) = \{m\}$
sorry

lemma (in *Protocol*) *later-disagreeing-is-monotonic*:
 $\forall v \sigma m1 m2 p. v \in V \wedge \sigma \in \Sigma \wedge \{m1, m2\} \subseteq M$
 $\rightarrow justified\ m1\ m2$
 $\rightarrow later-disagreeing-messages\ (p, m2, v, \sigma) \subseteq later-disagreeing-messages\ (p, m1, v, \sigma)$
using *message-in-state-is-strict-subset-of-the-state message-in-state-is-valid M-type state-is-in-pow-Mi*
apply (simp add: *later-disagreeing-messages-def later-from-def justified-def*)
by *auto*

lemma (in *Protocol*) *previous-empty-later-disagreeing-messages-imps-empty-in-new-message*
:
 $\forall \sigma m v p. \sigma \in \Sigma \wedge m \in M \wedge v \in V$
 $\rightarrow immediately-next-message\ (\sigma, m)$
 $\rightarrow sender\ m \notin equivocating-validators\ (\sigma \cup \{m\})$
 $\rightarrow later-disagreeing-messages\ (p, (the-elem\ (L-H-M\ (the-elem\ (L-H-J\ \sigma\ (sender\ m))))\ v)), v, \sigma) = \emptyset$
 $\rightarrow later-disagreeing-messages\ (p, (the-elem\ (L-H-M\ (justification\ m)\ v)), v, \sigma) = \emptyset$
apply (simp add: *later-disagreeing-messages-def*)
sorry

lemma (in *Protocol*) *inspector-preserved-over-message-from-non-equivocating-member*
:

$\forall \sigma m v-set\ p. \sigma \in \Sigma t \wedge m \in M \wedge v-set \subseteq V$
 $\rightarrow finite\ v-set$
 $\rightarrow majority-driven\ p$
 $\rightarrow immediately-next-message\ (\sigma, m)$
 $\rightarrow sender\ m \in v-set$
 $\rightarrow sender\ m \notin equivocating-validators\ (\sigma \cup \{m\})$
 $\rightarrow inspector\ (v-set, \sigma, p)$
 $\rightarrow inspector\ (v-set, \sigma \cup \{m\}, p)$
apply (rule+)
proof –
fix $\sigma m v-set\ p$
assume $\sigma \in \Sigma t \wedge m \in M \wedge v-set \subseteq V\ finite\ v-set\ majority-driven\ p\ immediately-next-message\ (\sigma, m)\ sender\ m \in v-set$
 $sender\ m \notin equivocating-validators\ (\sigma \cup \{m\})\ inspector\ (v-set, \sigma, p)$

then have $\sigma \cup \{m\} \in \Sigma t$
by (*metis* (*no-types*, *lifting*) $\Sigma t-def\ equivocating-validators-preserved-over-honest-message\ equivocation-fault-weight-def\ is-faults-lt-threshold-def\ mem-Collect-eq\ state-transition-by-immediately-next-message$)

then have $sender\ m \in observed-non-equivocating-validators\ (\sigma \cup \{m\})$
using *inspector-imps-everyone-observed-non-equivocating* ($\langle inspector\ (v-set, \sigma, p) \rangle \langle \sigma \in \Sigma t \wedge m \in M \wedge v-set \subseteq V \rangle \langle sender\ m \notin equivocating-validators\ (\sigma \cup \{m\}) \rangle$)

$\{m\})$
apply (*simp add: observed-non-equivocating-validators-def observed-def*)
by blast
then have *the-elem* (*L-H-J* ($\sigma \cup \{m\}$) (*sender m*)) = *justification m*
using *new-justification-is-L-H-J-of-sender*
 $\langle \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle \langle \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\}) \rangle$
by (*simp add: Σt -def*)

then moreover have $\forall v \in v\text{-set}.$
 $(\forall v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \wedge \text{gt-threshold}(v\text{-set}', \text{the-elem } (L-H-J \ \sigma \ v))) \longrightarrow \text{gt-threshold}(v\text{-set}', \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ v)))$
using $\langle \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle \langle \text{sender } m \in v\text{-set} \rangle$
L-H-J-of-non-sender-not-affected-by-minimal-transitions
sorry

then moreover have $\forall v \in v\text{-set}. v \in \text{agreeing-validators } (p, \sigma \cup \{m\})$
proof –
have *sender m* \in *agreeing-validators* (*p*, $\sigma \cup \{m\}$)
proof –
have $\forall v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \longrightarrow v\text{-set}' \subseteq \text{agreeing-validators } (p, \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ (\text{sender } m)))$
using *new-message-see-all-members-agreeing*
by (*smt Protocol.new-message-see-all-members-agreeing Protocol-axioms Σt -is-subset-of- Σ $\langle \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle \langle \text{inspector } (v\text{-set}, \sigma, p) \rangle \langle \text{sender } m \in v\text{-set} \rangle \langle \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\}) \rangle \langle \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ (\text{sender } m)) = \text{justification } m \rangle \text{subsetCE subset-trans}$*)
have $\exists v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \wedge \text{gt-threshold}(v\text{-set}', \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ (\text{sender } m)))$
using *inspector* (*v-set*, σ , *p*)
apply (*simp add: inspector-def*)
using $\langle \forall v \in v\text{-set}. \forall v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \wedge \text{gt-threshold}(v\text{-set}', \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ v)) \longrightarrow \text{gt-threshold}(v\text{-set}', \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ v)) \rangle$
 $\langle \text{sender } m \in v\text{-set} \rangle \langle \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ (\text{sender } m)) = \text{justification } m \rangle$
by (*smt Un-insert-right Σt -is-subset-of- Σ $\langle \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V \rangle \langle \text{immediately-next-message } (\sigma, m) \rangle \langle \text{inspector } (v\text{-set}, \sigma, p) \rangle \langle \text{sender } m \notin \text{equivocating-validators } (\sigma \cup \{m\}) \rangle \text{subsetCE subset-trans sup-bot.right-neutral}$*)
then have $\exists v\text{-set}'. v\text{-set}' \subseteq V \wedge \text{finite } v\text{-set}'$
 $\wedge v\text{-set}' \subseteq \text{agreeing-validators } (p, \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ (\text{sender } m))) \wedge \text{gt-threshold}(v\text{-set}', \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ (\text{sender } m)))$
using *finite v-set* $\langle \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V \rangle \langle \forall v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \longrightarrow v\text{-set}' \subseteq \text{agreeing-validators } (p, \text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ (\text{sender } m))) \rangle$
by (*meson rev-finite-subset subset-trans*)
then have $\forall c \in \varepsilon. (\text{the-elem } (L-H-J \ (\sigma \cup \{m\}) \ (\text{sender } m))). p \ c$
using *majority-driven p* $\langle \text{sender } m \in v\text{-set} \rangle \text{gt-threshold-imps-estimator-agreeing}$
 $\langle \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V \rangle$

$\langle \text{sender } m \in \text{observed-non-equivocating-validators } (\sigma \cup \{m\}) \rangle \langle \sigma \cup \{m\} \in \Sigma t \rangle \langle \text{the-elem } (L-H-J (\sigma \cup \{m\}) (\text{sender } m)) = \text{justification } m \rangle$
past-state-of- Σt -is- Σt state-transition-is-immediately-next-message M -type
unfolding Σt -def
by (smt Σt -def Σt -is-subset-of- Σ is-future-state.simps subsetD)
then have $\forall c \in L-H-E (\sigma \cup \{m\}) (\text{sender } m). p \ c$
using $\langle \text{sender } m \in \text{observed-non-equivocating-validators } (\sigma \cup \{m\}) \rangle \langle \sigma \cup \{m\} \in \Sigma t \rangle$ *L-H-M-of-observed-non-equivocating-validator-is-singleton*
apply (simp add: L-H-E-def L-H-J-def)
sorry
then show ?thesis
using $\langle \text{sender } m \in \text{observed-non-equivocating-validators } (\sigma \cup \{m\}) \rangle$
by (simp add: agreeing-validators-def agreeing-def)
qed
then show ?thesis
using *agreeing-status-of-non-sender-not-affected-by-minimal-transitions*
by (smt Diff-iff Σt -is-subset-of- Σ $\langle \sigma \in \Sigma t \wedge m \in M \wedge v\text{-set} \subseteq V \rangle$ *immediately-next-message* (σ, m)) *inspector (v-set, σ , p) contra-subsetD empty-iff insert-iff inspector-impls-everyone-agreeing*
qed

moreover have $\forall v \in v\text{-set}.$
 $(\forall v\text{-set}'. v\text{-set}' \subseteq v\text{-set} \wedge$
 $(\forall v' \in v\text{-set}'.$
 $v' \in \text{agreeing-validators } (p, (\text{the-elem } (L-H-J \ \sigma \ v)))$
 $\wedge \text{later-disagreeing-messages } (p, \text{the-elem } (L-H-M (\text{the-elem}$
 $(L-H-J \ \sigma \ v)) \ v'), v', \sigma) = \emptyset)$
 $\longrightarrow (\forall v' \in v\text{-set}'.$
 $v' \in \text{agreeing-validators } (p, (\text{the-elem } (L-H-J (\sigma \cup \{m\}) \ v)))$
 $\wedge \text{later-disagreeing-messages } (p, \text{the-elem } (L-H-M (\text{the-elem}$
 $(L-H-J (\sigma \cup \{m\}) \ v)) \ v'), v', (\sigma \cup \{m\})) = \emptyset)$
apply (rule, rule, rule, rule)
proof –
fix $v \ v\text{-set}' \ v'$
assume $v \in v\text{-set}$
and $a1: v\text{-set}' \subseteq v\text{-set} \wedge (\forall v' \in v\text{-set}'.$
 $v' \in \text{agreeing-validators } (p, \text{the-elem } (L-H-J \ \sigma \ v)) \wedge \text{later-disagreeing-messages}$
 $(p, \text{the-elem } (L-H-M (\text{the-elem } (L-H-J \ \sigma \ v)) \ v'), v', \sigma) = \emptyset)$
and $v' \in v\text{-set}'$
show $v' \in \text{agreeing-validators } (p, \text{the-elem } (L-H-J (\sigma \cup \{m\}) \ v)) \wedge$
 $\text{later-disagreeing-messages } (p, \text{the-elem } (L-H-M (\text{the-elem } (L-H-J (\sigma \cup$
 $\{m\}) \ v)) \ v'), v', \sigma \cup \{m\}) = \emptyset$
sorry
qed
ultimately show *inspector (v-set, $\sigma \cup \{m\}$, p)*
using *inspector (v-set, σ , p)*
apply (simp add: inspector-def)
by meson
qed

lemma (in *Protocol*) *inspector-preserved-over-message-from-equivocating-member* :

$\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma \wedge m \in M \wedge v\text{-set} \subseteq V$
 \longrightarrow *majority-driven* p
 \longrightarrow *immediately-next-message* (σ, m)
 \longrightarrow *sender* $m \in v\text{-set}$
 \longrightarrow *sender* $m \in \text{equivocating-validators} (\sigma \cup \{m\})$
 $\longrightarrow \sigma \cup \{m\} \in \Sigma t$
 \longrightarrow *inspector* $(v\text{-set}, \sigma, p)$
 \longrightarrow *inspector* $(v\text{-set}, \sigma \cup \{m\}, p)$

sorry

lemma (in *Protocol*) *inspector-preserved-over-immediately-next-message* :

$\forall \sigma \ m \ v\text{-set} \ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$
 \longrightarrow *majority-driven* p
 \longrightarrow *immediately-next-message* (σ, m)
 $\longrightarrow \sigma \cup \{m\} \in \Sigma t$
 \longrightarrow *inspector* $(v\text{-set}, \sigma, p)$
 \longrightarrow *inspector* $(v\text{-set}, \sigma \cup \{m\}, p)$
using *inspector-preserved-over-message-from-non-member*
inspector-preserved-over-message-from-non-equivocating-member
inspector-preserved-over-message-from-equivocating-member
apply (*simp add: Σt -def*)
by (*metis V-type insert-iff message-in-state-is-valid rev-finite-subset*)

lemma (in *Protocol*) *inspector-presereved-forever* :

$\forall \sigma \ v\text{-set} \ p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$
 \longrightarrow *majority-driven* p
 \longrightarrow *inspector* $(v\text{-set}, \sigma, p)$
 $\longrightarrow (\forall \sigma' \in \text{futures } \sigma. \text{inspector } (v\text{-set}, \sigma', p))$
apply (*rule+*)

proof –

fix $\sigma \ v\text{-set} \ p \ \sigma'$

assume $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ **and** *majority-driven* p **and** *inspector* $(v\text{-set}, \sigma, p)$

and $\sigma' \in \text{futures } \sigma$

then show *inspector* $(v\text{-set}, \sigma', p)$

apply (*cases* $\sigma = \sigma'$)

apply *blast*

proof –

```

fix  $\sigma$   $v\text{-set}$   $p$   $\sigma'$ 
assume  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$  and  $\text{majority-driven } p$  and  $\text{inspector } (v\text{-set}, \sigma,$ 
 $p)$  and  $\sigma' \in \text{futures } \sigma$  and  $\sigma \neq \sigma'$ 
then have  $\sigma \subset \sigma'$ 
  by (simp add: futures-def psubsetI)

then show  $\text{inspector } (v\text{-set}, \sigma', p)$ 
  using  $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \langle \text{majority-driven } p \rangle$ 
  using inspector-preserved-over-immediately-next-message state-is-finite
  intermediate-state-by-immediately-next-message-towards-strict-future
  sorry
qed
qed

```

lemma (*in Protocol*) *inspector-preserved-forever-by-induction* :

```

 $\forall \sigma$   $v\text{-set } p.$   $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
 $\longrightarrow \text{majority-driven } p$ 
 $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$ 
 $\longrightarrow (\forall \sigma' \in \text{futures } \sigma. \text{inspector } (v\text{-set}, \sigma', p))$ 
proof –
  have  $\forall n. \forall \sigma$   $v\text{-set } p.$   $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
   $\longrightarrow \text{majority-driven } p$ 
   $\longrightarrow \text{inspector } (v\text{-set}, \sigma, p)$ 
   $\longrightarrow (\forall \sigma' \in \text{futures } \sigma. \text{card } (\sigma' - \sigma) = n \longrightarrow \text{inspector } (v\text{-set}, \sigma', p))$ 
  apply (rule)
proof –
  fix  $n$ 
  show  $\forall \sigma$   $v\text{-set } p.$   $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V \longrightarrow$ 
     $\text{majority-driven } p \longrightarrow$ 
     $\text{inspector } (v\text{-set}, \sigma, p) \longrightarrow$ 
     $(\forall \sigma' \in \text{futures } \sigma.$ 
       $\text{card } (\sigma' - \sigma) = n \longrightarrow$ 
       $\text{inspector } (v\text{-set}, \sigma', p))$ 
  apply (induction n)
  apply (simp add: futures-def)
  using  $\Sigma t\text{-is-subset-of-}\Sigma$  state-is-finite apply auto[1]
  apply (rule+)
proof –
  fix  $n$   $\sigma$   $v\text{-set } p$   $\sigma'$ 
  assume a1:  $\forall \sigma$   $v\text{-set } p.$ 
     $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V \longrightarrow$ 
     $\text{majority-driven } p \longrightarrow$ 
     $\text{inspector } (v\text{-set}, \sigma, p) \longrightarrow$ 
     $(\forall \sigma' \in \text{futures } \sigma.$ 
       $\text{card } (\sigma' - \sigma) = n \longrightarrow$ 
       $\text{inspector } (v\text{-set}, \sigma', p))$ 
  and  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
  and  $\text{majority-driven } p$ 
  and  $\text{inspector } (v\text{-set}, \sigma, p)$ 

```

```

    and  $\sigma' \in \text{futures } \sigma$ 
    and  $\text{card } (\sigma' - \sigma) = \text{Suc } n$ 
  then have  $\sigma' \in \Sigma \wedge \sigma' \neq \emptyset$ 
    apply (simp add: futures-def)
  by (metis  $\Sigma t\text{-is-subset-of-}\Sigma$  card-Diff-subset card-mono diff-is-0-eq' finite.emptyI
    nat.simps(3) subsetCE subset-empty)
  have  $\sigma \subset \sigma'$ 
    using  $\langle \sigma' \in \text{futures } \sigma \rangle \langle \text{card } (\sigma' - \sigma) = \text{Suc } n \rangle$ 
    apply (simp add: futures-def  $\Sigma t\text{-def}$ )
    by force
  then have  $\exists m \sigma''. \sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message } (\sigma'', m)$ 
 $\wedge \sigma' = \sigma'' \cup \{m\} \wedge \sigma \subseteq \sigma''$ 
    using intermediate-state-before-receiving-single-message  $\langle \sigma' \in \Sigma \wedge \sigma' \neq \emptyset \rangle$ 
 $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle$ 
    apply (simp add:  $\Sigma t\text{-def}$ )
    by blast
  then obtain  $m \sigma''$  where  $\sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message } (\sigma'',$ 
 $m) \wedge \sigma' = \sigma'' \cup \{m\} \wedge \sigma \subseteq \sigma''$ 
    by auto
  then have  $\sigma'' \in \text{futures } \sigma$ 
    using past-state-of- $\Sigma t\text{-is-}\Sigma t$   $\langle \sigma' \in \text{futures } \sigma \rangle$ 
    apply (simp add: futures-def)
    by blast
  have is-singleton  $(\sigma' - \sigma'')$ 
    using  $\langle \sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message } (\sigma'', m) \wedge \sigma' = \sigma'' \cup$ 
 $\{m\} \wedge \sigma \subseteq \sigma'' \rangle \langle \sigma' \in \Sigma \wedge \sigma' \neq \emptyset \rangle$ 
    by (simp add: immediately-next-message-def insert-Diff-if)
  then have  $\text{card } (\sigma'' - \sigma) = n$ 
    using  $\langle \text{card } (\sigma' - \sigma) = \text{Suc } n \rangle$ 
    by (smt Suc-diff-le Un-insert-right  $\Sigma t\text{-is-subset-of-}\Sigma$   $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \langle \sigma \subset$ 
 $\sigma' \rangle \langle \sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message } (\sigma'', m) \wedge \sigma' = \sigma'' \cup \{m\} \wedge \sigma \subseteq$ 
 $\sigma'' \rangle$  add-left-cancel card.insert card-Diff-subset card-mono message-in-state-is-valid
    plus-1-eq-Suc psubsetE state-is-finite state-transition-only-made-by-immediately-next-message
    subsetCE sup-bot.right-neutral)
  then have inspector  $(v\text{-set}, \sigma'', p)$ 
    using  $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \langle \text{majority-driven } p \rangle \langle \text{inspector } (v\text{-set}, \sigma, p) \rangle a1$ 
 $\langle \sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message } (\sigma'', m) \wedge \sigma' = \sigma'' \cup$ 
 $\{m\} \wedge \sigma \subseteq \sigma'' \rangle$ 
 $\langle \sigma'' \in \text{futures } \sigma \rangle$  by auto
  then show inspector  $(v\text{-set}, \sigma', p)$ 
    using inspector-preserved-over-immediately-next-message
 $\langle \sigma'' \in \Sigma \wedge m \in \sigma' \wedge \text{immediately-next-message } (\sigma'', m) \wedge \sigma' = \sigma'' \cup$ 
 $\{m\} \wedge \sigma \subseteq \sigma'' \rangle$ 
 $\langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \langle \sigma' \in \text{futures } \sigma \rangle \langle \sigma'' \in \text{futures } \sigma \rangle \langle \text{majority-driven}$ 
 $p \rangle$  futures-def
    by auto
  qed
  qed
  then show ?thesis

```

by blast
qed

lemma (in *Protocol*) *inspector-is-safety-oracle* :

$\forall \sigma \text{ v-set } p. \sigma \in \Sigma t \wedge \text{v-set} \subseteq V$

\longrightarrow *finite v-set*

\longrightarrow *majority-driven p*

\longrightarrow *inspector (v-set, σ , p)*

\longrightarrow *state-property-is-decided (naturally-corresponding-state-property p, σ)*

using *inspector-presereved-forever inspector-imps-estimator-agreeing*

apply (*simp add: naturally-corresponding-state-property-def futures-def state-property-is-decided-def*)

by *meson*

end

theory *TFGCasper*

imports *Main HOL.Real CBCCasper LatestMessage CliqueOracle ConsensusSafety*

begin

locale *BlockchainParams* = *Params* +

fixes *genesis* :: *consensus-value*

and *prev* :: *consensus-value* \Rightarrow *consensus-value*

fun (in *BlockchainParams*) *n-cestor* :: *consensus-value* * *nat* \Rightarrow *consensus-value*

where

n-cestor (*b*, 0) = *b*

| *n-cestor* (*b*, *n*) = *n-cestor* (*prev b*, *n* - 1)

definition (in *BlockchainParams*) *blockchain-membership* :: *consensus-value* \Rightarrow

consensus-value \Rightarrow *bool* (**infixl** \downarrow 70)

where

b1 \downarrow *b2* = ($\exists n. n \in \mathbb{N} \wedge b1 = \text{n-cestor } (b2, n)$)

notation (*ASCII*)

comp (**infixl** *blockchain-membership* 70)

lemma (in *BlockchainParams*) *prev-membership* :

prev b \downarrow *b*

apply (*simp add: blockchain-membership-def*)

by (*metis BlockchainParams.n-cestor.simps(1) BlockchainParams.n-cestor.simps(2)*
Nats-1 One-nat-def diff-Suc-1)

definition (*in BlockchainParams*) *block-conflicting* :: (*consensus-value* * *consensus-value*)
 \Rightarrow *bool*

where

block-conflicting = ($\lambda(b1, b2). \neg (b1 \downarrow b2 \vee b2 \downarrow b1)$)

lemma (*in BlockchainParams*) *n-cestor-transitive* :

$\forall n1\ n2\ x\ y\ z. \{n1, n2\} \subseteq \mathbb{N}$

$\longrightarrow x = n\text{-cestor}\ (y, n1)$

$\longrightarrow y = n\text{-cestor}\ (z, n2)$

$\longrightarrow x = n\text{-cestor}\ (z, n1 + n2)$

apply (*rule, rule*)

proof –

fix *n1 n2*

show $\forall x\ y\ z. \{n1, n2\} \subseteq \mathbb{N} \longrightarrow x = n\text{-cestor}\ (y, n1) \longrightarrow y = n\text{-cestor}\ (z, n2)$

$\longrightarrow x = n\text{-cestor}\ (z, n1 + n2)$

apply (*induction n2*)

apply *simp*

apply (*rule, rule, rule, rule, rule, rule*)

proof –

fix *n2 x y z*

assume $\forall x\ y\ z. \{n1, n2\} \subseteq \mathbb{N} \longrightarrow x = n\text{-cestor}\ (y, n1) \longrightarrow y = n\text{-cestor}\ (z,$

n2) $\longrightarrow x = n\text{-cestor}\ (z, n1 + n2)$

assume $\{n1, \text{Suc } n2\} \subseteq \mathbb{N}$

assume $x = n\text{-cestor}\ (y, n1)$

assume $y = n\text{-cestor}\ (z, \text{Suc } n2)$

then have $y = n\text{-cestor}\ (\text{prev } z, n2)$

by *simp*

have $\{n1, n2\} \subseteq \mathbb{N}$

by (*simp add: Nats-def*)

then have $x = n\text{-cestor}\ (\text{prev } z, n1 + n2)$

using $\langle x = n\text{-cestor}\ (y, n1) \rangle \langle y = n\text{-cestor}\ (\text{prev } z, n2) \rangle$

$\langle \forall x\ y\ z. \{n1, n2\} \subseteq \mathbb{N} \longrightarrow x = n\text{-cestor}\ (y, n1) \longrightarrow y = n\text{-cestor}\ (z,$

n2) $\longrightarrow x = n\text{-cestor}\ (z, n1 + n2) \rangle$

by *simp*

then show $x = n\text{-cestor}\ (z, n1 + \text{Suc } n2)$

by *simp*

qed

qed

lemma (*in BlockchainParams*) *transitivity-of-blockchain-membership* :

$b1 \downarrow b2 \wedge b2 \downarrow b3 \Longrightarrow b1 \downarrow b3$

apply (*simp add: blockchain-membership-def*)

using *n-cestor-transitive*

by (*metis id-apply of-nat-eq-id of-nat-in-Nats subsetI*)

lemma (*in BlockchainParams*) *irreflexivity-of-blockchain-membership* :

$b \downarrow b$
apply (*simp add: blockchain-membership-def*)
using *Nats-0* **by** *fastforce*

definition (*in BlockchainParams*) *block-membership* :: *consensus-value* \Rightarrow *consensus-value-property*
where
 $\text{block-membership } b = (\lambda b'. b \downarrow b')$

lemma (*in BlockchainParams*) *also-agreeing-on-ancestors* :
 $b' \downarrow b \implies \text{agreeing } (\text{block-membership } b, \sigma, v) \implies \text{agreeing } (\text{block-membership } b', \sigma, v)$
apply (*simp add: agreeing-def block-membership-def*)
using *BlockchainParams.transitivity-of-blockchain-membership* **by** *blast*

definition (*in BlockchainParams*) *children* :: *consensus-value* * *state* \Rightarrow *consensus-value set*
where
 $\text{children} = (\lambda(b, \sigma). \{b' \in \text{est } ' \sigma. b = \text{prev } b'\})$

lemma (*in BlockchainParams*) *observed-block-is-children-of-prev-block* :
 $\forall b \in \text{est } ' \sigma. b \in \text{children } (\text{prev } b, \sigma)$
by (*simp add: children-def*)

lemma (*in BlockchainParams*) *children-membership* :
 $\forall b \in \text{children } (b', \sigma). b' \downarrow b$
apply (*simp add: children-def*)
by (*metis BlockchainParams.blockchain-membership-def BlockchainParams.n-cestor.simps(2) diff-Suc-1 id-apply n-cestor.simps(1) of-nat-eq-id of-nat-in-Nats*)

locale *Blockchain* = *BlockchainParams* + *Protocol* +

assumes *blockchain-type* : $\forall b b' b''. \{b, b', b''\} \subseteq C \longrightarrow b' \downarrow b \wedge b'' \downarrow b \longrightarrow (b' \downarrow b'' \vee b'' \downarrow b')$
and *children-conflicting* : $\forall \sigma \in \Sigma. \forall b b1 b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq \text{children } (b, \sigma) \longrightarrow \text{block-conflicting } (b1, b2)$
and *prev-type* : $\forall b. b \in C \longleftrightarrow \text{prev } b \in C$
and *genesis-type* : $\text{genesis} \in C \forall b \in C. \text{genesis} \downarrow b \text{ prev genesis} = \text{genesis}$

lemma (*in Blockchain*) *children-type* :
 $\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{children } (b, \sigma) \subseteq C$


```

apply (simp add: children-def)
using prev-type by auto

lemma (in Blockchain) children-finite :
   $\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{finite } (\text{children } (b, \sigma))$ 
apply (simp add: children-def)
using state-is-finite
by simp

lemma (in Blockchain) conflicting-blocks-implies-conflicting-decision :
   $\forall b1 \ b2 \ \sigma. \{b1, b2\} \subseteq C \wedge \sigma \in \Sigma$ 
   $\longrightarrow \text{block-conflicting } (b1, b2)$ 
   $\longrightarrow \text{consensus-value-property-is-decided } (\text{block-membership } b1, \sigma)$ 
   $\longrightarrow \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership } b2), \sigma)$ 
apply (simp add: block-membership-def consensus-value-property-is-decided-def
  naturally-corresponding-state-property-def state-property-is-decided-def)
apply (rule, rule, rule, rule, rule, rule, rule)
proof –
  fix b1 b2  $\sigma$ 
  assume  $b1 \in C \wedge b2 \in C \wedge \sigma \in \Sigma$  and  $\text{block-conflicting } (b1, b2)$  and  $\forall \sigma \in \text{futures}$ 
 $\sigma. \forall b' \in \varepsilon \ \sigma. b1 \downarrow b'$ 
  show  $\forall \sigma \in \text{futures} \ \sigma. \forall c \in \varepsilon \ \sigma. \neg b2 \downarrow c$ 
  proof (rule ccontr)
    assume  $\neg (\forall \sigma \in \text{futures} \ \sigma. \forall c \in \varepsilon \ \sigma. \neg b2 \downarrow c)$ 
    hence  $\exists \sigma \in \text{futures} \ \sigma. \exists c \in \varepsilon \ \sigma. b2 \downarrow c$ 
    by blast
    hence  $\exists \sigma \in \text{futures} \ \sigma. \exists c \in \varepsilon \ \sigma. b2 \downarrow c \wedge b1 \downarrow c$ 
    using  $\langle \forall \sigma \in \text{futures} \ \sigma. \forall b' \in \varepsilon \ \sigma. b1 \downarrow b' \rangle$  by simp
    hence  $b1 \downarrow b2 \vee b2 \downarrow b1$ 
    using blockchain-type
    apply (simp)
    using  $\Sigma t$ -is-subset-of- $\Sigma \langle b1 \in C \wedge b2 \in C \wedge \sigma \in \Sigma \rangle$  estimates-are-subset-of- $C$ 
    futures-def by blast
    then show False
    using  $\langle \text{block-conflicting } (b1, b2) \rangle$ 
    by (simp add: block-conflicting-def)
  qed
qed

theorem (in Blockchain) blockchain-safety :
   $\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$ 
   $\longrightarrow \text{finite } \sigma\text{-set}$ 
   $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$ 
   $\longrightarrow (\forall \sigma \ \sigma' \ b1 \ b2. \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2)$ 
 $\wedge \text{block-membership } b1 \in \text{consensus-value-property-decisions } \sigma$ 
 $\longrightarrow \text{block-membership } b2 \notin \text{consensus-value-property-decisions } \sigma')$ 
apply (rule, rule, rule, rule, rule, rule, rule, rule, rule, rule)
proof –

```

fix $\sigma\text{-set } \sigma \ \sigma' \ b1 \ b2$
assume $\sigma\text{-set} \subseteq \Sigma t$ **and** *finite* $\sigma\text{-set}$ **and** *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$
and $\{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2) \wedge \text{block-membership}$
 $b1 \in \text{consensus-value-property-decisions } \sigma$
and *block-membership* $b2 \in \text{consensus-value-property-decisions } \sigma'$
hence $\neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership}$
 $b1), \sigma')$
using *negation-is-not-decided-by-other-validator* $\langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \text{finite } \sigma\text{-set} \rangle$
 $\langle \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set}) \rangle$ **apply** (*simp add: consensus-value-property-decisions-def*)

using $\{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2) \wedge$
 $\text{block-membership } b1 \in \text{consensus-value-property-decisions } \sigma$ **by** *auto*
have $\{b1, b2\} \subseteq C \wedge \sigma \in \Sigma \wedge \text{block-conflicting } (b1, b2)$
using $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge$
 $\text{block-conflicting } (b1, b2) \wedge \text{block-membership } b1 \in \text{consensus-value-property-decisions}$
 $\sigma \rangle$ **by** *auto*
hence *consensus-value-property-is-decided* $(\text{consensus-value-property-not } (\text{block-membership}$
 $b1), \sigma')$
using $(\text{block-membership } b2 \in \text{consensus-value-property-decisions } \sigma') \text{ conflicting-blocks-implies-conflicting-dec}$
apply (*simp add: consensus-value-property-decisions-def*)
by (*metis* $\langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \text{finite } \sigma\text{-set} \rangle \langle \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set}) \rangle \langle \{\sigma,$
 $\sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2) \wedge \text{block-membership } b1$
 $\in \text{consensus-value-property-decisions } \sigma \rangle \text{ conflicting-blocks-implies-conflicting-decision}$
 $\text{consensus-value-property-decisions-def insert-subset mem-Collect-eq negation-is-not-decided-by-other-validator}$)

then show *False*
using $\langle \neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not}$
 $(\text{block-membership } b1), \sigma') \rangle$ **by** *blast*
qed

theorem (*in Blockchain*) *no-decision-on-conflicting-blocks* :

$\forall \sigma1 \ \sigma2. \{\sigma1, \sigma2\} \subseteq \Sigma t$
 $\longrightarrow \text{is-faults-lt-threshold } (\sigma1 \cup \sigma2)$
 $\longrightarrow (\forall b1 \ b2. \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2)$
 $\longrightarrow \text{block-membership } b1 \in \text{consensus-value-property-decisions } \sigma1$
 $\longrightarrow \text{block-membership } b2 \notin \text{consensus-value-property-decisions } \sigma2)$
apply (*rule, rule, rule, rule, rule, rule, rule, rule, rule*)

proof –

fix $\sigma1 \ \sigma2 \ b1 \ b2$
assume $\{\sigma1, \sigma2\} \subseteq \Sigma t$ **and** *is-faults-lt-threshold* $(\sigma1 \cup \sigma2)$ **and** $\{b1, b2\} \subseteq C$
 $\wedge \text{block-conflicting } (b1, b2)$
and *block-membership* $b1 \in \text{consensus-value-property-decisions } \sigma1$
and *block-membership* $b2 \in \text{consensus-value-property-decisions } \sigma2$
hence *consensus-value-property-is-decided* $(\text{block-membership } b1, \sigma1)$
by (*simp add: consensus-value-property-decisions-def*)
hence $\neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership}$
 $b1), \sigma2)$
using *two-party-consensus-safety-for-consensus-value-property* $\langle \text{is-faults-lt-threshold}$

$(\sigma 1 \cup \sigma 2) \langle \{\sigma 1, \sigma 2\} \subseteq \Sigma t \rangle$ **by** *blast*
have *block-membership* $b2 \in \text{consensus-value-property-decisions } \sigma 2$
using $\langle \text{block-membership } b2 \in \text{consensus-value-property-decisions } \sigma 2 \rangle$
by (*simp add: consensus-value-property-decisions-def*)
have $\sigma 2 \in \Sigma t \wedge \{b2, b1\} \subseteq C \wedge \text{block-conflicting } (b2, b1)$
using $\langle \{\sigma 1, \sigma 2\} \subseteq \Sigma t \rangle \langle \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2) \rangle$ **by** (*simp add: block-conflicting-def*)
hence *consensus-value-property-is-decided* (*consensus-value-property-not* (*block-membership* $b1$), $\sigma 2$)
using *conflicting-blocks-implies-conflicting-decision* (*block-membership* $b2 \in \text{consensus-value-property-decision } \sigma 2$)
using $\Sigma t\text{-is-subset-of-}\Sigma$ *consensus-value-property-decisions-def* **by** *auto*
then show *False*
using $\langle \neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership } b1), \sigma 2) \rangle$ **by** *blast*
qed

definition (*in BlockchainParams*) *score* :: *state* \Rightarrow *consensus-value* \Rightarrow *real*
where
score σ $b = \text{weight-measure } (\text{agreeing-validators } (\text{block-membership } b, \sigma))$

lemma (*in Blockchain*) *unfolding-agreeing-on-block-membership* :
 $\forall \sigma \in \Sigma. \text{agreeing-validators } (\text{block-membership } b, \sigma) = \{v \in V. \exists b' \in L\text{-H-E } \sigma \text{ v. } b \downarrow b'\}$
proof –
have $\forall v \sigma. v \in V \wedge \sigma \in \Sigma \longrightarrow v \notin \text{equivocating-validators } \sigma$
 $\longrightarrow (v \in \text{observed } \sigma \wedge (\forall x \in L\text{-M } \sigma \text{ v. } b \downarrow \text{est } x)) = (v \in \text{observed } \sigma \wedge (\exists x \in L\text{-M } \sigma \text{ v. } b \downarrow \text{est } x))$
using *observed-non-equivocating-validators-have-one-latest-message*
unfolding *observed-non-equivocating-validators-def is-singleton-def*
by (*metis Diff-iff empty-iff insert-iff*)
moreover have $\forall v \sigma. v \in V \wedge \sigma \in \Sigma \longrightarrow v \notin \text{equivocating-validators } \sigma$
 $\longrightarrow (v \in V \wedge (\exists x \in L\text{-M } \sigma \text{ v. } b \downarrow \text{est } x)) = (v \in \text{observed } \sigma \wedge (\exists x \in L\text{-M } \sigma \text{ v. } b \downarrow \text{est } x))$
apply (*simp add: observed-def L-M-def from-sender-def*)
by *auto*
ultimately have $\forall v \sigma. v \in V \wedge \sigma \in \Sigma \longrightarrow v \notin \text{equivocating-validators } \sigma$
 $\longrightarrow (v \in V \wedge (\exists x \in L\text{-M } \sigma \text{ v. } b \downarrow \text{est } x)) = (v \in \text{observed } \sigma \wedge (\forall x \in L\text{-M } \sigma \text{ v. } b \downarrow \text{est } x))$
by *blast*
then have $\forall v \sigma. v \in V \wedge \sigma \in \Sigma$
 $\longrightarrow (v \notin \text{equivocating-validators } \sigma \longrightarrow v \in V \wedge (\exists x \in L\text{-M } \sigma \text{ v. } b \downarrow \text{est } x)) = (v \notin \text{equivocating-validators } \sigma \longrightarrow v \in \text{observed } \sigma \wedge (\forall x \in L\text{-M } \sigma \text{ v. } b \downarrow \text{est } x))$

$est\ x))$
by *blast*
show *?thesis*
apply (*simp add: agreeing-validators-def agreeing-def observed-non-equivocating-validators-def L-H-E-def L-H-M-def block-membership-def*)
using $\langle \forall\ v\ \sigma. v \in V \wedge \sigma \in \Sigma$
 $\longrightarrow (v \notin equivocating\text{-}validators\ \sigma \longrightarrow v \in V \wedge (\exists\ x \in L\text{-}M\ \sigma\ v. b \downarrow est$
 $x)) = (v \notin equivocating\text{-}validators\ \sigma \longrightarrow v \in observed\ \sigma \wedge (\forall\ x \in L\text{-}M\ \sigma\ v. b \downarrow$
 $est\ x)) \rangle$
observed-type-for-state
by *blast*
qed

definition (*in BlockchainParams*) *score-magnitude* :: *state* \Rightarrow *consensus-value rel*
where
score-magnitude $\sigma = \{(b1, b2). \{b1, b2\} \subseteq C \wedge score\ \sigma\ b1 \leq score\ \sigma\ b2\}$

lemma (*in Blockchain*) *transitivity-of-score-magnitude* :
 $\forall\ \sigma \in \Sigma. trans\ (score\text{-}magnitude\ \sigma)$
by (*simp add: trans-def score-magnitude-def*)

lemma (*in Blockchain*) *reflexivity-of-score-magnitude* :
 $\forall\ \sigma \in \Sigma. refl\text{-}on\ C\ (score\text{-}magnitude\ \sigma)$
apply (*simp add: refl-on-def score-magnitude-def*)
by *auto*

lemma (*in Blockchain*) *score-magnitude-is-preorder* :
 $\forall\ \sigma \in \Sigma. preorder\text{-}on\ C\ (score\text{-}magnitude\ \sigma)$
unfolding *preorder-on-def*
using *reflexivity-of-score-magnitude transitivity-of-score-magnitude* **by** *simp*

lemma (*in Blockchain*) *totality-of-score-magnitude* :
 $\forall\ \sigma \in \Sigma. Relation.total\text{-}on\ C\ (score\text{-}magnitude\ \sigma)$
apply (*simp add: Relation.total-on-def score-magnitude-def*)
by *auto*

definition (*in BlockchainParams*) *score-magnitude-children* :: *state* \Rightarrow *consensus-value*
 \Rightarrow *consensus-value rel*
where
score-magnitude-children $\sigma\ b = \{(b1, b2). \{b1, b2\} \subseteq children\ (b, \sigma) \wedge score\ \sigma\ b1 \leq score\ \sigma\ b2\}$

lemma (*in Blockchain*) *transitivity-of-score-magnitude-children* :
 $\forall\ \sigma \in \Sigma. \forall\ b \in C. trans\ (score\text{-}magnitude\text{-}children\ \sigma\ b)$
by (*simp add: trans-def score-magnitude-children-def*)

lemma (*in Blockchain*) *reflexivity-of-score-magnitude-children* :
 $\forall\ \sigma \in \Sigma. \forall\ b \in C. refl\text{-}on\ (children\ (b, \sigma))\ (score\text{-}magnitude\text{-}children\ \sigma\ b)$

```

apply (simp add: refl-on-def score-magnitude-children-def)
by blast

lemma (in Blockchain) score-magnitude-children-is-preorder :
   $\forall \sigma \in \Sigma. \forall b \in C. \text{preorder-on } (\text{children } (b, \sigma)) (\text{score-magnitude-children } \sigma b)$ 
unfolding preorder-on-def
using reflexivity-of-score-magnitude-children transitivity-of-score-magnitude-children
by simp

lemma (in Blockchain) totality-of-score-magnitude-children :
   $\forall \sigma \in \Sigma. \forall b \in C. \text{Relation.total-on } (\text{children } (b, \sigma)) (\text{score-magnitude-children } \sigma b)$ 
apply (simp add: Relation.total-on-def score-magnitude-children-def)
by auto

definition (in BlockchainParams) best-children :: consensus-value * state  $\Rightarrow$  consensus-value
  set
  where
    best-children =  $(\lambda (b, \sigma). \{b' \in C. \text{is-arg-max } (\text{score } \sigma) (\lambda b'. b' \in \text{children } (b, \sigma)) b'\})$ 

lemma (in Blockchain) best-children-type :
   $\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{best-children } (b, \sigma) \subseteq C$ 
by (simp add: is-arg-max-def best-children-def)

lemma (in Blockchain) best-children-finite :
   $\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{finite } (\text{best-children } (b, \sigma))$ 
apply (simp add: best-children-def is-arg-max-def)
using children-finite
by auto

lemma (in Blockchain) best-children-existence :
   $\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{children } (b, \sigma) \neq \emptyset \longrightarrow \text{best-children } (b, \sigma) \in \text{Pow } C - \{\emptyset\}$ 
proof -
  have  $\forall b \sigma. b \in C \wedge \sigma \in \Sigma \longrightarrow \text{children } (b, \sigma) \neq \emptyset$ 
     $\longrightarrow (\exists b'. \text{maximum-on-non-strict } (\text{children } (b, \sigma)) (\text{score-magnitude-children } \sigma b) b')$ 
  using totality-of-score-magnitude-children score-magnitude-children-is-preorder
    children-finite children-type connex-preorder-on-finite-non-empty-set-has-maximum
  by blast
  then show ?thesis
  apply (simp add: score-magnitude-children-def best-children-def is-arg-max-def)
  apply (simp add: maximum-on-non-strict-def upper-bound-on-non-strict-def)
  apply auto
  by (smt children-type ex-in-conv subsetCE)
qed

```

definition (in *BlockchainParams*) *best-child* :: *consensus-value* \Rightarrow *state-property*
where
best-child *b* = ($\lambda\sigma. b \in \text{best-children } (\text{prev } b, \sigma)$)

function (in *BlockchainParams*) *GHOST* :: (*consensus-value set* * *state*) \Rightarrow *consensus-value set*
where
GHOST (*b-set*, σ) =
 $(\bigcup b \in \{b \in b\text{-set}. \text{children } (b, \sigma) \neq \emptyset\}. \text{GHOST } (\text{best-children } (b, \sigma), \sigma))$
 $\cup \{b \in b\text{-set}. \text{children } (b, \sigma) = \emptyset\}$
by *auto*

definition (in *BlockchainParams*) *GHOST-heads-or-children* :: *state* \Rightarrow *consensus-value set*
where
GHOST-heads-or-children $\sigma = \text{GHOST } (\{\text{genesis}\}, \sigma) \cup (\bigcup b \in \text{GHOST } (\{\text{genesis}\}, \sigma). \text{children } (b, \sigma))$

lemma (in *Blockchain*) *GHOST-type* :
 $\forall \sigma \text{ } b\text{-set}. \sigma \in \Sigma \wedge b\text{-set} \subseteq C \longrightarrow \text{GHOST } (b\text{-set}, \sigma) \subseteq C$
proof –

have $\forall \sigma \text{ } b\text{-set}. \sigma \in \Sigma \wedge b\text{-set} \subseteq C \longrightarrow (\exists b\text{-set}'. b\text{-set}' \subseteq C \wedge \text{GHOST } (b\text{-set}, \sigma) = \{b \in b\text{-set}'. \text{children } (b, \sigma) = \emptyset\})$
sorry
then show *?thesis*
by *blast*
qed

lemma (in *Blockchain*) *GHOST-is-valid-estimator* :
is-valid-estimator GHOST-heads-or-children
unfolding *is-valid-estimator-def*
apply (*simp add: BlockchainParams.GHOST-heads-or-children-def*)
apply *auto*
using *GHOST-type genesis-type(1)* **apply** *blast*
using *GHOST-type children-type genesis-type(1)* **apply** *blast*
using *best-children-existence*
oops

locale *TFG* = *Blockchain* +
assumes *ghost-estimator* : $\varepsilon = \text{GHOST-heads-or-children}$

lemma (in *TFG*) *block-membership-is-majority-driven* :

$\forall b \in C. \text{majority-driven (block-membership } b)$
apply (*simp add: majority-driven-def*)
oops

lemma (*in Blockchain*) *agreeing-validators-on-sistor-blocks-are-disagreeing* :
 $\forall \sigma \in \Sigma. \forall b \ b1 \ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq \text{children } (b, \sigma)$
 $\longrightarrow \text{agreeing-validators (block-membership } b1, \sigma) \subseteq \text{disagreeing-validators (block-membership } b2, \sigma)$
proof –
have $\forall \sigma \in \Sigma. \forall b \ b1 \ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq \text{children } (b, \sigma)$
 $\longrightarrow (\forall v \in \text{agreeing-validators (block-membership } b1, \sigma). \forall c \in L-H-E \ \sigma \ v. \neg$
block-membership $b1 \ c)$
by (*simp add: agreeing-validators-def agreeing-def*)
hence $\forall \sigma \in \Sigma. \forall b \ b1 \ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq \text{children } (b, \sigma)$
 $\longrightarrow (\forall v \in \text{agreeing-validators (block-membership } b1, \sigma). \exists c \in L-H-E \ \sigma \ v. \neg$
block-membership $b2 \ c)$
using *children-conflicting*
apply (*simp add: block-membership-def block-conflicting-def*)
using *irreflexivity-of-blockchain-membership* **by** *fast*
then show *?thesis*
using *disagreeing-validators-include-not-agreeing-validators*
by (*metis (no-types, lifting) $\langle \forall \sigma \in \Sigma. \forall b \ b1 \ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq$*
children (b, σ) $\longrightarrow (\forall v \in \text{agreeing-validators (block-membership } b1, \sigma). \forall c \in L-H-E$
 $\sigma \ v. \text{block-membership } b1 \ c) \rangle \text{insert-subset subsetI}$)
qed

lemma (*in Blockchain*) *agreeing-validators-on-sistor-blocks-are-not-more-than-disagreeing*
:
 $\forall \sigma \in \Sigma. \forall b \ b1 \ b2. \{b, b1, b2\} \subseteq C \wedge \{b1, b2\} \subseteq \text{children } (b, \sigma)$
 $\longrightarrow \text{weight-measure (agreeing-validators (block-membership } b1, \sigma)) \leq \text{weight-measure}$
(disagreeing-validators (block-membership $b2, \sigma))$
using *agreeing-validators-on-sistor-blocks-are-disagreeing*
agreeing-validators-on-sistor-blocks-are-disagreeing weight-measure-subset-gte
agreeing-validators-type disagreeing-validators-type
by *auto*

lemma (*in Blockchain*) *no-child-and-best-child-at-all-earlier-height-imps-GHOST-heads*
:
 $\forall \sigma \in \Sigma. \forall b \in C. \text{children } (b, \sigma) = \emptyset \wedge$
 $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children (prev } b', \sigma))$
 $\longrightarrow b \in \text{GHOST } (\{\text{genesis}\}, \sigma)$
apply *auto*
oops

lemma (*in Blockchain*) *best-child-at-all-earlier-height-imps-GHOST-heads-or-decendant*
:
 $\forall \sigma \in \Sigma. \forall b \in C.$
 $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children (prev } b', \sigma))$
 $\longrightarrow (\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$

```

proof –
  have  $\bigwedge n. \forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = \text{n-cestor } (b, n) \wedge$ 
     $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma))$ 
     $\longrightarrow (\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$ 
  proof –
    fix  $n$ 
    show  $\forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = \text{n-cestor } (b, n) \wedge$ 
       $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma)) \longrightarrow$ 
       $(\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$ 
    apply (induction n)
    using genesis-type GHOST-type
    apply (metis contra-subsetD empty-subsetI insert-subset n-cestor.simps(1))
  proof –
    fix  $n$ 
    assume  $\forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = \text{n-cestor } (b, n) \wedge$ 
       $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma)) \longrightarrow$ 
       $(\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$ 
    show  $\forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = \text{n-cestor } (b, \text{Suc } n) \wedge$ 
       $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma)) \longrightarrow$ 
       $(\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'')$ 
    apply (rule, rule, rule, rule)
  proof –
    fix  $\sigma \ b \ b''$ 
    assume  $\sigma \in \Sigma$ 
    and  $b \in C$ 
    and  $\text{genesis} = \text{n-cestor } (b, \text{Suc } n) \wedge (\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children}$ 
       $(\text{prev } b', \sigma))$ 
    and  $b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma)$ 
    then have  $\text{genesis} = \text{n-cestor } (\text{prev } b, n) \wedge (\forall b' \in C. b' \downarrow \text{prev } b \longrightarrow b' \in \text{best-children}$ 
       $(\text{prev } b', \sigma))$ 
    by (metis BlockchainParams.blockchain-membership-def Blockchain-
      Params.n-cestor.simps(2) diff-Suc-1 id-apply of-nat-eq-id of-nat-in-Nats)
    then have  $\text{prev } b \downarrow b''$ 
    using  $\langle \forall \sigma \in \Sigma. \forall b \in C. \text{genesis} = \text{n-cestor } (b, n) \wedge$ 
       $(\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma)) \longrightarrow$ 
       $(\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma). b \downarrow b'') \rangle$ 
    using  $\langle \sigma \in \Sigma \rangle \langle b \in C \rangle$  prev-type  $\langle b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma) \rangle$  by auto
    have  $b \in \text{best-children } (\text{prev } b, \sigma)$ 
    using  $\langle \text{genesis} = \text{n-cestor } (b, \text{Suc } n) \wedge (\forall b' \in C. b' \downarrow b \longrightarrow b' \in \text{best-children}$ 
       $(\text{prev } b', \sigma)) \rangle$ 
    using  $\langle b \in C \rangle$  irreflexivity-of-blockchain-membership by blast
    then show  $b \downarrow b''$ 
    using  $\langle \text{prev } b \downarrow b'' \rangle \langle b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma) \rangle$ 
    sorry
  qed
qed
qed
then show ?thesis
using blockchain-membership-def genesis-type(2) by auto

```


qed

lemma (in *TFG*) *ancestor-of-observed-block-is-observed* :
 $\forall \sigma \in \Sigma. \forall b \in \text{est} \text{ '}\sigma. \forall b' \in C. b' \mid b \longrightarrow b' \in \text{est} \text{ '}\sigma$
 sorry

lemma (in *TFG*) *block-membership-is-max-driven* :
 $\forall \sigma \in \Sigma. \forall b \in \text{est} \text{ '}\sigma. \text{max-driven-for-future} (\text{block-membership } b) \sigma$
 apply (simp add: max-driven-for-future-def)

proof –

have $\forall \sigma \in \Sigma. \forall b b'. \{b, b'\} \subseteq C \wedge b' \mid b$
 $\longrightarrow \text{agreeing-validators} (\text{block-membership } b, \sigma) \subseteq \text{agreeing-validators}$
 (block-membership b' , σ)
 unfolding agreeing-validators-def
 using also-agreeing-on-ancestors by blast
 hence $\forall \sigma \in \Sigma. \forall b b'. \{b, b'\} \subseteq C \wedge b' \mid b$
 $\longrightarrow \text{weight-measure} (\text{agreeing-validators} (\text{block-membership } b', \sigma)) \geq \text{weight-measure}$
 (agreeing-validators (block-membership b , σ))
 using weight-measure-subset-gte agreeing-validators-finite agreeing-validators-type
 by simp
 hence $\forall \sigma \in \Sigma. \forall b b'. \{b, b'\} \subseteq C \wedge b' \mid b$
 $\longrightarrow \text{weight-measure } V - \text{weight-measure} (\text{disagreeing-validators} (\text{block-membership}$
 $b', \sigma)) - \text{equivocation-fault-weight } \sigma$
 $\geq \text{weight-measure } V - \text{weight-measure} (\text{disagreeing-validators} (\text{block-membership}$
 $b, \sigma)) - \text{equivocation-fault-weight } \sigma$
 using agreeing-validators-weight-combined by simp
 hence $\forall \sigma \in \Sigma. \forall b b'. \{b, b'\} \subseteq C \wedge b' \mid b$
 $\longrightarrow \text{weight-measure} (\text{disagreeing-validators} (\text{block-membership } b, \sigma))$
 $\geq \text{weight-measure} (\text{disagreeing-validators} (\text{block-membership } b', \sigma))$
 by simp
 show $\forall \sigma \in \Sigma. \forall m \in \sigma. \forall \sigma' \in \Sigma. \sigma \subseteq \sigma' \longrightarrow \text{weight-measure} (\text{disagreeing-validators}$
 (block-membership (est m), $\sigma')) < \text{weight-measure} (\text{agreeing-validators} (\text{block-membership}$
 (est m), $\sigma'))$
 $\longrightarrow (\forall c \in \varepsilon \sigma'. \text{block-membership} (\text{est } m) c)$
 apply (rule, rule, rule, rule, rule, rule)

proof –

fix $\sigma m \sigma' c$
 assume $\sigma \in \Sigma$
 and $m \in \sigma$
 and $\sigma' \in \Sigma$
 and $\sigma \subseteq \sigma'$
 and $\text{weight-measure} (\text{disagreeing-validators} (\text{block-membership} (\text{est } m), \sigma')) <$
 $\text{weight-measure} (\text{agreeing-validators} (\text{block-membership} (\text{est } m), \sigma'))$
 and $c \in \varepsilon \sigma'$
 hence $\text{est } m \in C$
 using M-type message-in-state-is-valid by blast
 hence $\forall b' \in C. b' \mid \text{est } m \longrightarrow \text{weight-measure} (\text{agreeing-validators} (\text{block-membership}$
 $b', \sigma')) > \text{weight-measure} (\text{disagreeing-validators} (\text{block-membership} (\text{est } m), \sigma'))$
 using $\forall \sigma \in \Sigma. \forall b b'. \{b, b'\} \subseteq C \wedge b' \mid b$

$\longrightarrow \text{weight-measure } (\text{agreeing-validators } (\text{block-membership } b', \sigma)) \geq \text{weight-measure } (\text{agreeing-validators } (\text{block-membership } b, \sigma))$
 $\langle \text{weight-measure } (\text{disagreeing-validators } (\text{block-membership } (\text{est } m), \sigma')) < \text{weight-measure } (\text{agreeing-validators } (\text{block-membership } (\text{est } m), \sigma')) \rangle$
 $\langle \sigma' \in \Sigma \rangle$ **by** *fastforce*
hence $\forall b' \in C. b' \downarrow \text{est } m \longrightarrow \text{weight-measure } (\text{agreeing-validators } (\text{block-membership } b', \sigma')) > \text{weight-measure } (\text{disagreeing-validators } (\text{block-membership } b', \sigma'))$
using $\langle \forall \sigma \in \Sigma. \forall b \ b'. \{b, b'\} \subseteq C \wedge b' \downarrow b \longrightarrow \text{weight-measure } (\text{disagreeing-validators } (\text{block-membership } b, \sigma)) \geq \text{weight-measure } (\text{disagreeing-validators } (\text{block-membership } b', \sigma)) \rangle$
 $\langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle \langle \text{est } m \in C \rangle$ **by** *force*

have $\forall b' \in C. b' \downarrow \text{est } m \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma')$
apply (*simp add: best-children-def is-arg-max-def score-def*)
apply (*auto*)
using *ancestor-of-observed-block-is-observed*
apply (*meson* $\langle \sigma \subseteq \sigma' \rangle \langle \sigma' \in \Sigma \rangle \langle m \in \sigma \rangle$ *contra-subsetD image-eqI observed-block-is-children-of-prev-block*)

using *M-type Params.message-in-state-is-valid* $\langle \sigma \in \Sigma \rangle$
using *agreeing-validators-on-sistor-blocks-are-not-more-than-disagreeing*
prev-type
 $\langle \forall b' \in C. b' \downarrow \text{est } m \longrightarrow \text{weight-measure } (\text{agreeing-validators } (\text{block-membership } b', \sigma')) > \text{weight-measure } (\text{disagreeing-validators } (\text{block-membership } b', \sigma')) \rangle$
by (*smt* $\langle \sigma' \in \Sigma \rangle$ *agreeing-validators-weight-combined children-type contra-subsetD empty-subsetI insert-absorb2 insert-subset*)
have $c \in \text{GHOST } (\{\text{genesis}\}, \sigma') \cup (\bigcup b \in \text{GHOST } (\{\text{genesis}\}, \sigma'). \text{children } (b, \sigma'))$
using *ghost-estimator* $\langle c \in \varepsilon \sigma' \rangle$
unfolding *GHOST-heads-or-children-def*
by *blast*
have $\forall b'' \in \text{GHOST } (\{\text{genesis}\}, \sigma'). \text{est } m \downarrow b''$
using *best-child-at-all-earlier-height-imps-GHOST-heads-or-decendant* $\langle \forall b' \in C. b' \downarrow \text{est } m \longrightarrow b' \in \text{best-children } (\text{prev } b', \sigma') \rangle$
 $\langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle \langle \text{est } m \in C \rangle$ **by** *blast*
then show *block-membership* (*est m*) *c*
unfolding *block-membership-def*
using $\langle c \in \text{GHOST } (\{\text{genesis}\}, \sigma') \cup (\bigcup b \in \text{GHOST } (\{\text{genesis}\}, \sigma'). \text{children } (b, \sigma')) \rangle$
transitivity-of-blockchain-membership children-membership
by *blast*
qed
qed
end