

Minimal CBC Casper Isabelle/HOL proofs

LayerX

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theory *Strict-Order*

imports *Main*

begin

notation *Set.empty* (\emptyset)

definition *strict-partial-order* $r \equiv \text{trans } r \wedge \text{irrefl } r$

definition *strict-well-order-on* $A \ r \equiv \text{strict-linear-order-on } A \ r \wedge \text{wf } r$

lemma *strict-linear-order-is-strict-partial-order* :
 $\text{strict-linear-order-on } A \ r \implies \text{strict-partial-order } r$
by (*simp add: strict-linear-order-on-def strict-partial-order-def*)

definition *upper-bound-on* $:: 'a \text{ set} \Rightarrow 'a \text{ rel} \Rightarrow 'a \Rightarrow \text{bool}$
where
 $\text{upper-bound-on } A \ r \ x = (\forall \ y. \ y \in A \longrightarrow (y, x) \in r \vee x = y)$

definition *maximum-on* $:: 'a \text{ set} \Rightarrow 'a \text{ rel} \Rightarrow 'a \Rightarrow \text{bool}$
where

$\text{maximum-on } A \ r \ x = (x \in A \wedge \text{upper-bound-on } A \ r \ x)$

definition $\text{minimal-on} :: 'a \ \text{set} \Rightarrow 'a \ \text{rel} \Rightarrow 'a \Rightarrow \text{bool}$

where

$\text{minimal-on } A \ r \ x = (x \in A \wedge (\forall y. (y, x) \in r \longrightarrow y \notin A))$

definition $\text{maximal-on} :: 'a \ \text{set} \Rightarrow 'a \ \text{rel} \Rightarrow 'a \Rightarrow \text{bool}$

where

$\text{maximal-on } A \ r \ x = (x \in A \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A))$

lemma $\text{maximal-and-maximum-coincide-for-strict-linear-order} :$

$\text{strict-linear-order-on } A \ r \Longrightarrow \text{maximal-on } A \ r \ x = \text{maximum-on } A \ r \ x$

apply ($\text{simp add: strict-linear-order-on-def irreft-def total-on-def trans-def maximal-on-def maximum-on-def upper-bound-on-def}$)

by blast

lemma $\text{strict-partial-order-on-finite-non-empty-set-has-maximal} :$

$\text{strict-partial-order } r \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. \text{maximal-on } A \ r \ x)$

proof –

have $\bigwedge n. \text{strict-partial-order } r \Longrightarrow (\forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. \text{maximal-on } A \ r \ x))$

proof –

assume $\text{strict-partial-order } r$

then have $(\forall a. (a, a) \notin r)$

by ($\text{simp add: strict-partial-order-def irreft-def}$)

fix n

show $\forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. \text{maximal-on } A \ r \ x)$

apply ($\text{induction } n$)

unfolding maximal-on-def

using $\langle (\forall a. (a, a) \notin r) \rangle$

apply ($\text{metis card-eq-SucD empty-iff insert-iff}$)

proof –

fix n

assume $\forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. x \in A \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A))$

have $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset \longrightarrow (\exists A' b. B = A' \cup \{b\} \wedge \text{card } A' = \text{Suc } n \wedge b \notin A')$

by ($\text{metis Un-commute add-diff-cancel-left' card-gt-0-iff card-insert-disjoint card-le-Suc-iff insert-is-Un not-le not-less-eq-eq plus-1-eq-Suc}$)

then have $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset \longrightarrow (\exists A' b. B = A' \cup \{b\} \wedge \text{card } A' = \text{Suc } n \wedge \text{finite } A' \wedge A' \neq \emptyset \wedge b \notin A')$

by ($\text{metis card-gt-0-iff zero-less-Suc}$)

then have $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset$

$\longrightarrow (\exists A' b x. B = A' \cup \{b\} \wedge b \notin A' \wedge x \in A' \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A'))$

using $\langle \forall A. \text{Suc } n = \text{card } A \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow (\exists x. x \in A \wedge (\forall y. (x, y) \in r \longrightarrow y \notin A)) \rangle$

by metis

then show $\forall B. \text{Suc } (\text{Suc } n) = \text{card } B \longrightarrow \text{finite } B \longrightarrow B \neq \emptyset \longrightarrow (\exists x. x \in B \wedge (\forall y. (x, y) \in r \longrightarrow y \notin B))$
by (*metis (no-types, lifting) Un-insert-right $\langle \forall a. (a, a) \notin r \rangle$ (strict-partial-order r) insertE insert-iff strict-partial-order-def sup-bot.right-neutral transE*)
qed
qed
then show *?thesis*
by (*metis card.insert-remove finite.cases*)
qed

lemma *strict-partial-order-has-at-most-one-maximum :*

$\text{strict-partial-order } r$
 $\longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset$
 $\longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}$
proof (*rule ccontr*)
assume $\neg (\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\})$
then have $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow \neg \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}$
by *simp*
then have $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow (\exists x1 \ x2. x1 \neq x2 \wedge \{x1, x2\} \subseteq \{x. \text{maximum-on } A \ r \ x\})$
by (*meson empty-subsetI insert-subset is-singletonI*)
then have $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow (\exists x1 \ x2. x1 \neq x2 \wedge \{x1, x2\} \subseteq \{x \in A. \forall y. y \in A \longrightarrow (y, x) \in r \vee x = y\})$
by (*simp add: maximum-on-def upper-bound-on-def*)
then have $\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow (\exists x1 \ x2. x1 \neq x2 \wedge \{x1, x2\} \subseteq A \wedge (\forall y. y \in A \longrightarrow (y, x1) \in r \vee x1 = y) \wedge (\forall y. y \in A \longrightarrow (y, x2) \in r \vee x2 = y))$
by *auto*
then show *False*
using *strict-partial-order-def*

by (*metis $\langle \neg (\text{strict-partial-order } r \longrightarrow \{x. \text{maximum-on } A \ r \ x\} \neq \emptyset \longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}) \rangle$ insert-subset irreft-def transE*)
qed

lemma *strict-linear-order-on-finite-non-empty-set-has-one-maximum :*

$\text{strict-linear-order-on } A \ r \longrightarrow \text{finite } A \longrightarrow A \neq \emptyset \longrightarrow \text{is-singleton } \{x. \text{maximum-on } A \ r \ x\}$
using *strict-linear-order-is-strict-partial-order strict-partial-order-on-finite-non-empty-set-has-maximal*

strict-partial-order-has-at-most-one-maximum maximal-and-maximum-coincide-for-strict-linear-order
by *fastforce*

end

1 CBC Casper

theory *CBCCasper*

imports *Main HOL.Real Libraries / Strict-Order Libraries / Restricted-Predicates Libraries / LaTeXsugar*

begin

notation *Set.empty* (\emptyset)

typedecl *validator*

typedecl *consensus-value*

datatype *message* =
 *Message consensus-value * validator * message list*

type-synonym *state* = *message set*

fun *sender* :: *message* \Rightarrow *validator*
 where
 sender (*Message* ($-, v, -$)) = *v*

fun *est* :: *message* \Rightarrow *consensus-value*
 where
 est (*Message* (*c*, $-, -$)) = *c*

fun *justification* :: *message* \Rightarrow *state*
 where
 justification (*Message* ($-, -, s$)) = *set s*

fun
 Σi :: (*validator set* \times *consensus-value set* \times (*message set* \Rightarrow *consensus-value set*)) \Rightarrow *nat* \Rightarrow *state set* **and**
 $M i$:: (*validator set* \times *consensus-value set* \times (*message set* \Rightarrow *consensus-value set*)) \Rightarrow *nat* \Rightarrow *message set*

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where
   $\Sigma i \ (V, C, \varepsilon) \ 0 = \{\emptyset\}$ 
  |  $\Sigma i \ (V, C, \varepsilon) \ n = \{\sigma \in \text{Pow} \ (Mi \ (V, C, \varepsilon) \ (n - 1)). \text{finite } \sigma \wedge (\forall \ m. \ m \in \sigma \longrightarrow$ 
justification  $m \subseteq \sigma)\}$ 
  |  $Mi \ (V, C, \varepsilon) \ n = \{m. \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m \in (\Sigma i$ 
 $(V, C, \varepsilon) \ n) \wedge \text{est } m \in \varepsilon \ (\text{justification } m)\}$ 

locale Params =
  fixes  $V :: \text{validator set}$ 
  and  $W :: \text{validator} \Rightarrow \text{real}$ 
  and  $t :: \text{real}$ 
  fixes  $C :: \text{consensus-value set}$ 
  and  $\varepsilon :: \text{message set} \Rightarrow \text{consensus-value set}$ 

begin
  definition  $\Sigma = (\bigcup_{i \in \mathbb{N}} \Sigma i \ (V, C, \varepsilon) \ i)$ 
  definition  $M = (\bigcup_{i \in \mathbb{N}} Mi \ (V, C, \varepsilon) \ i)$ 
  definition is-valid-estimator ::  $(\text{state} \Rightarrow \text{consensus-value set}) \Rightarrow \text{bool}$ 
  where
    is-valid-estimator  $e = (\forall \sigma \in \Sigma. \ e \ \sigma \in \text{Pow } C - \{\emptyset\})$ 

  lemma  $\Sigma i$ -subset- $Mi$ :  $\Sigma i \ (V, C, \varepsilon) \ (n + 1) \subseteq \text{Pow} \ (Mi \ (V, C, \varepsilon) \ n)$ 
    by force

  lemma  $\Sigma i$ -subset-to- $Mi$ :  $\Sigma i \ (V, C, \varepsilon) \ n \subseteq \Sigma i \ (V, C, \varepsilon) \ (n+1) \Longrightarrow Mi \ (V, C, \varepsilon) \ n$ 
 $\subseteq Mi \ (V, C, \varepsilon) \ (n+1)$ 
    by auto

  lemma  $Mi$ -subset-to- $\Sigma i$ :  $Mi \ (V, C, \varepsilon) \ n \subseteq Mi \ (V, C, \varepsilon) \ (n+1) \Longrightarrow \Sigma i \ (V, C, \varepsilon)$ 
 $(n+1) \subseteq \Sigma i \ (V, C, \varepsilon) \ (n+2)$ 
    by auto

  lemma  $\Sigma i$ -monotonic:  $\Sigma i \ (V, C, \varepsilon) \ n \subseteq \Sigma i \ (V, C, \varepsilon) \ (n+1)$ 
    apply (induction  $n$ )
    apply simp
    apply (metis  $Mi$ -subset-to- $\Sigma i$  Suc-eq-plus1  $\Sigma i$ -subset-to- $Mi$  add commute add-2-eq-Suc)
    done

  lemma  $Mi$ -monotonic:  $Mi \ (V, C, \varepsilon) \ n \subseteq Mi \ (V, C, \varepsilon) \ (n+1)$ 
    apply (induction  $n$ )
    defer
    using  $\Sigma i$ -monotonic  $\Sigma i$ -subset-to- $Mi$  apply blast
    apply auto
    done

  lemma  $\Sigma i$ -monotonicity:  $\forall \ m \in \mathbb{N}. \ \forall \ n \in \mathbb{N}. \ m \leq n \longrightarrow \Sigma i \ (V, C, \varepsilon) \ m \subseteq \Sigma i$ 
 $(V, C, \varepsilon) \ n$ 
    using  $\Sigma i$ -monotonic

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by (metis Suc-eq-plus1 lift-Suc-mono-le)

lemma Mi-monotonicity:  $\forall m \in \mathbf{N}. \forall n \in \mathbf{N}. m \leq n \longrightarrow Mi (V, C, \varepsilon) m \subseteq Mi$ 
(V, C,  $\varepsilon$ ) n
  using Mi-monotonic
  by (metis Suc-eq-plus1 lift-Suc-mono-le)

lemma message-is-in-Mi :
 $\forall m \in M. \exists n \in \mathbf{N}. m \in Mi (V, C, \varepsilon) (n - 1)$ 
  apply (simp add: M-def  $\Sigma i.elims$ )
  by (metis Nats-1 Nats-add One-nat-def diff-Suc-1 plus-1-eq-Suc)

lemma state-is-in-pow-Mi :
 $\forall \sigma \in \Sigma. (\exists n \in \mathbf{N}. \sigma \in Pow (Mi (V, C, \varepsilon) (n - 1)) \wedge (\forall m \in \sigma. justification$ 
m  $\subseteq \sigma))$ 
  apply (simp add:  $\Sigma$ -def)

  apply auto
  proof -
    fix y :: nat and  $\sigma :: message\ set$ 
    assume a1:  $\sigma \in \Sigma i (V, C, \varepsilon) y$ 
    assume a2:  $y \in \mathbf{N}$ 
    have  $\sigma \subseteq Mi (V, C, \varepsilon) y$ 
      using a1 by (meson Params. $\Sigma i$ -monotonic Params. $\Sigma i$ -subset-Mi Pow-iff
contra-subsetD)
    then have  $\exists n. n \in \mathbf{N} \wedge \sigma \subseteq Mi (V, C, \varepsilon) (n - 1)$ 
      using a2 by (metis (no-types) Nats-1 Nats-add diff-Suc-1 plus-1-eq-Suc)
    then show  $\exists n \in \mathbf{N}. \sigma \subseteq \{m. est\ m \in C \wedge sender\ m \in V \wedge justification\ m$ 
 $\in \Sigma i (V, C, \varepsilon) (n - Suc\ 0) \wedge est\ m \in \varepsilon (justification\ m)\}$ 
      by auto
    next
      show  $\bigwedge y\ \sigma\ m\ x. y \in \mathbf{N} \Longrightarrow \sigma \in \Sigma i (V, C, \varepsilon) y \Longrightarrow m \in \sigma \Longrightarrow x \in$ 
justification m  $\Longrightarrow x \in \sigma$ 
      using Params. $\Sigma i$ -monotonic by fastforce
    qed

lemma message-is-in-Mi-n :
 $\forall m \in M. \exists n \in \mathbf{N}. m \in Mi (V, C, \varepsilon) n$ 
  by (smt Mi-monotonic Suc-diff-Suc add-leE diff-add diff-le-self message-is-in-Mi
neq0-conv plus-1-eq-Suc subsetCE zero-less-diff)

lemma message-in-state-is-valid :
 $\forall \sigma\ m. \sigma \in \Sigma \wedge m \in \sigma \longrightarrow m \in M$ 
  apply (rule, rule, rule)
  proof -
    fix  $\sigma\ m$ 
    assume  $\sigma \in \Sigma \wedge m \in \sigma$ 
    have

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     $\exists n \in \mathbb{N}. m \in Mi (V, C, \varepsilon) n$ 
     $\implies m \in M$ 
    using M-def by blast
  then show
     $m \in M$ 
    apply (simp add: M-def)
    by (smt Mi.simps Params. $\Sigma$ i-monotonic PowD Suc-diff-Suc  $\langle \sigma \in \Sigma \wedge m \in \sigma \rangle$  add-leE diff-add diff-le-self gr0I mem-Collect-eq plus-1-eq-Suc state-is-in-pow-Mi subsetCE zero-less-diff)
  qed

lemma state-is-subset-of-M :  $\forall \sigma \in \Sigma. \sigma \subseteq M$ 
  using message-in-state-is-valid by blast

lemma state-is-finite :  $\forall \sigma \in \Sigma. \text{finite } \sigma$ 
  apply (simp add:  $\Sigma$ -def)
  using Params. $\Sigma$ i-monotonic by fastforce

lemma justification-is-finite :  $\forall m \in M. \text{finite } (\text{justification } m)$ 
  apply (simp add: M-def)
  using Params. $\Sigma$ i-monotonic by fastforce

lemma  $\Sigma$ is-subseteq-of-pow-M :  $\Sigma \subseteq \text{Pow } M$ 
  by (simp add: state-is-subset-of-M subsetI)

lemma M-type :  $\bigwedge m. m \in M \implies \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m \in \Sigma$ 
  unfolding M-def  $\Sigma$ -def
  by auto

end

locale Protocol = Params +
  assumes V-type:  $V \neq \emptyset \wedge \text{finite } V$ 
  and W-type:  $\bigwedge w. w \in \text{range } W \implies w > 0$ 
  and t-type:  $0 \leq t \ t < \text{Sum } (W \text{ ` } V)$ 
  and C-type:  $\text{card } C > 1$ 
  and  $\varepsilon$ -type: is-valid-estimator  $\varepsilon$ 

lemma (in Protocol) estimates-are-non-empty:  $\bigwedge \sigma. \sigma \in \Sigma \implies \varepsilon \sigma \neq \emptyset$ 
  using is-valid-estimator-def  $\varepsilon$ -type by auto

lemma (in Protocol) estimates-are-subset-of-C:  $\bigwedge \sigma. \sigma \in \Sigma \implies \varepsilon \sigma \subseteq C$ 
  using is-valid-estimator-def  $\varepsilon$ -type by auto

lemma (in Params) empty-set-exists-in- $\Sigma$ -0:  $\emptyset \in \Sigma$ 
  by (simp add: (V, C,  $\varepsilon$ ) 0)

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lemma (in Params) empty-set-exists-in-Σ:  $\emptyset \in \Sigma$ 
  apply (simp add: Σ-def)
  using Nats-0 Σi.simps(1) by blast

lemma (in Params) Σi-is-non-empty:  $\Sigma i \ (V, C, \varepsilon) \ n \neq \emptyset$ 
  apply (induction n)
  using empty-set-exists-in-Σ-0 by auto

lemma (in Params) Σis-non-empty:  $\Sigma \neq \emptyset$ 
  using empty-set-exists-in-Σ by blast

lemma (in Protocol) estimates-exists-for-empty-set :
   $\varepsilon \emptyset \neq \emptyset$ 
  by (simp add: empty-set-exists-in-Σ estimates-are-non-empty)

lemma (in Protocol) non-justifying-message-exists-in-M-0:
   $\exists m. m \in Mi \ (V, C, \varepsilon) \ 0 \wedge \text{justification } m = \emptyset$ 
  apply auto
proof –
  have  $\varepsilon \emptyset \subseteq C$ 
    using Params.empty-set-exists-in-Σ ε-type is-valid-estimator-def by auto
  then show  $\exists m. \text{est } m \in C \wedge \text{sender } m \in V \wedge \text{justification } m = \emptyset \wedge \text{est } m \in \varepsilon$ 
    (justification m)  $\wedge \text{justification } m = \emptyset$ 
    by (metis V-type all-not-in-conv est.simps estimates-exists-for-empty-set justification.simps sender.simps set-empty subsetCE)
qed

lemma (in Protocol) Mi-is-non-empty:  $Mi \ (V, C, \varepsilon) \ n \neq \emptyset$ 
  apply (induction n)
  using non-justifying-message-exists-in-M-0 apply auto
  using Mi-monotonic empty-iff empty-subsetI by fastforce

lemma (in Protocol) Mis-non-empty:  $M \neq \emptyset$ 
  using non-justifying-message-exists-in-M-0 M-def Nats-0 by blast

lemma (in Protocol) C-is-not-empty :  $C \neq \emptyset$ 
  using C-type by auto

lemma (in Params) Σi-is-subset-of-Σ :
   $\forall n \in \mathbb{N}. \Sigma i \ (V, C, \varepsilon) \ n \subseteq \Sigma$ 
  by (simp add: Σ-def SUP-upper)

lemma (in Protocol) message-justifying-state-in-Σ-n-exists-in-M-n :
   $\forall n \in \mathbb{N}. (\forall \sigma. \sigma \in \Sigma i \ (V, C, \varepsilon) \ n \longrightarrow (\exists m. m \in Mi \ (V, C, \varepsilon) \ n \wedge \text{justification } m = \sigma))$ 
  apply auto
proof –
  fix  $n \ \sigma$ 
  assume  $n \in \mathbb{N}$ 

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and $\sigma \in \Sigma i (V, C, \varepsilon) n$
then have $\sigma \in \Sigma$
using $\Sigma i\text{-is-subset-of-}\Sigma$ **by** *auto*
have $\varepsilon \sigma \neq \emptyset$
using $\text{estimates-are-non-empty } \langle \sigma \in \Sigma \rangle$ **by** *auto*
have *finite* σ
using $\text{state-is-finite } \langle \sigma \in \Sigma \rangle$ **by** *auto*
moreover have $\exists m. \text{ sender } m \in V \wedge \text{ est } m \in \varepsilon \sigma \wedge \text{ justification } m = \sigma$
using $\text{est.simps sender.simps justification.simps } V\text{-type } \langle \varepsilon \sigma \neq \emptyset \rangle \langle \text{finite } \sigma \rangle$
by (*metis all-not-in-conv finite-list*)
moreover have $\varepsilon \sigma \subseteq C$
using $\text{estimates-are-subset-of-}C \Sigma i\text{-is-subset-of-}\Sigma \langle n \in \mathbb{N} \rangle \langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$ **by** *blast*
ultimately show $\exists m. \text{ est } m \in C \wedge \text{ sender } m \in V \wedge \text{ justification } m \in \Sigma i (V, C, \varepsilon) n \wedge \text{ est } m \in \varepsilon (\text{justification } m) \wedge \text{ justification } m = \sigma$
using *Nats-1 One-nat-def*
using $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$ **by** *blast*
qed

lemma (in Protocol) Σ -type: $\Sigma \subset \text{Pow } M$

proof –

obtain m **where** $m \in \text{Mi } (V, C, \varepsilon) 0 \wedge \text{ justification } m = \emptyset$
using $\text{non-justifying-message-exists-in-}M\text{-}0$ **by** *auto*
then have $\{m\} \in \Sigma i (V, C, \varepsilon) (\text{Suc } 0)$
using $\text{Params.}\Sigma i\text{-subset-Mi}$ **by** *auto*
then have $\exists m'. m' \in \text{Mi } (V, C, \varepsilon) (\text{Suc } 0) \wedge \text{ justification } m' = \{m\}$
using $\text{message-justifying-state-in-}\Sigma\text{-}n\text{-exists-in-}M\text{-}n$ *Nats-1 One-nat-def* **by** *metis*
then obtain m' **where** $m' \in \text{Mi } (V, C, \varepsilon) (\text{Suc } 0) \wedge \text{ justification } m' = \{m\}$
by *auto*
then have $\{m'\} \in \text{Pow } M$
using $M\text{-def}$
by (*metis Nats-1 One-nat-def PowD PowI Pow-bottom UN-I insert-subset*)
moreover have $\{m'\} \notin \Sigma$
using $\text{Params.state-is-in-pow-Mi Protocol-axioms } \langle m' \in \text{Mi } (V, C, \varepsilon) (\text{Suc } 0) \wedge \text{ justification } m' = \{m\} \rangle$ **by** *fastforce*
ultimately show *?thesis*
using $\Sigma\text{-subsetq-of-pow-}M$ **by** *auto*
qed

lemma (in Protocol) M -type-counterexample:

$(\forall \sigma. \varepsilon \sigma = C) \implies M = \{m. \text{ est } m \in C \wedge \text{ sender } m \in V \wedge \text{ justification } m \in \Sigma\}$

apply (*simp add: M-def*)
apply *auto*
using $\Sigma i\text{-is-subset-of-}\Sigma$ **apply** *blast*
by (*simp add: Σ -def*)

definition *observed* :: *message set* \Rightarrow *validator set*

where

observed $\sigma = \{\text{sender } m \mid m. m \in \sigma\}$

lemma (*in Protocol*) *observed-type* :

$\forall \sigma \in \text{Pow } M. \text{observed } \sigma \in \text{Pow } V$

using *Params.M-type Protocol-axioms observed-def* **by** *fastforce*

lemma (*in Protocol*) *observed-type-for-state* :

$\forall \sigma \in \Sigma. \text{observed } \sigma \subseteq V$

using *Params.M-type Protocol-axioms observed-def state-is-subset-of-M* **by** *fastforce*

fun *is-future-state* :: (*state* * *state*) \Rightarrow *bool*

where

is-future-state ($\sigma 1, \sigma 2$) = ($\sigma 1 \subseteq \sigma 2$)

lemma (*in Params*) *state-difference-is-valid-message* :

$\forall \sigma \sigma'. \sigma \in \Sigma \wedge \sigma' \in \Sigma$

$\longrightarrow \text{is-future-state}(\sigma, \sigma')$

$\longrightarrow \sigma' - \sigma \subseteq M$

using *state-is-subset-of-M* **by** *blast*

definition *justified* :: *message* \Rightarrow *message* \Rightarrow *bool*

where

justified $m1 \ m2 = (m1 \in \text{justification } m2)$

definition *equivocation* :: (*message* * *message*) \Rightarrow *bool*

where

equivocation =

$(\lambda(m1, m2). \text{sender } m1 = \text{sender } m2 \wedge m1 \neq m2 \wedge \neg (\text{justified } m1 \ m2) \wedge \neg (\text{justified } m2 \ m1))$

definition *is-equivocating* :: *state* \Rightarrow *validator* \Rightarrow *bool*

where

is-equivocating $\sigma \ v = (\exists m1 \in \sigma. \exists m2 \in \sigma. \text{equivocation } (m1, m2) \wedge \text{sender } m1 = v)$

definition *equivocating-validators* :: *state* \Rightarrow *validator set*

where

equivocating-validators $\sigma = \{v \in \text{observed } \sigma. \text{is-equivocating } \sigma \ v\}$

lemma (in *Protocol*) *equivocating-validators-type* :
 $\forall \sigma \in \Sigma. \text{equivocating-validators } \sigma \subseteq V$
using *observed-type-for-state equivocating-validators-def* **by** *blast*

lemma (in *Protocol*) *equivocating-validators-is-finite* :
 $\forall \sigma \in \Sigma. \text{finite } (\text{equivocating-validators } \sigma)$
using *V-type equivocating-validators-type rev-finite-subset* **by** *blast*

definition (in *Params*) *equivocating-validators-paper* :: *state* \Rightarrow *validator set*
where
equivocating-validators-paper $\sigma = \{v \in V. \text{is-equivocating } \sigma v\}$

lemma (in *Protocol*) *equivocating-validators-is-equivalent-to-paper* :
 $\forall \sigma \in \Sigma. \text{equivocating-validators } \sigma = \text{equivocating-validators-paper } \sigma$
by (*smt Collect-cong Params.equivocating-validators-paper-def equivocating-validators-def is-equivocating-def mem-Collect-eq observed-type-for-state observed-def subsetCE*)

lemma (in *Protocol*) *equivocation-is-monotonic* :
 $\forall \sigma \sigma' v. \sigma \in \Sigma \wedge \sigma' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma') \wedge v \in V$
 $\longrightarrow v \in \text{equivocating-validators } \sigma$
 $\longrightarrow v \in \text{equivocating-validators } \sigma'$
apply (*simp add: equivocating-validators-def is-equivocating-def*)
using *observed-def* **by** *fastforce*

definition (in *Params*) *weight-measure* :: *validator set* \Rightarrow *real*
where
weight-measure $v\text{-set} = \text{Sum } (W \text{ 'v-set})$

lemma (in *Protocol*) *weight-measure-comparison-strict-subset-gte* :
 $\text{finite } A \Longrightarrow \text{finite } B \Longrightarrow B \subseteq A \Longrightarrow \text{weight-measure } A \geq \text{weight-measure } B$
apply (*simp add: weight-measure-def*)
using *W-type*
by (*smt Diff-iff finite-imageI subsetCE subset-UNIV subset-image-iff sum-mono2*)

lemma (in *Protocol*) *weight-measure-comparison-strict-subset-gt* :
 $\text{finite } A \Longrightarrow \text{finite } B \Longrightarrow B \subset A \Longrightarrow \text{weight-measure } A > \text{weight-measure } B$
apply (*simp add: weight-measure-def*)
using *W-type*
oops

lemma (in *Protocol*) *weight-measure-gt-set-difference* :
 $\text{finite } A \Longrightarrow \text{finite } B \Longrightarrow B \neq \emptyset \Longrightarrow \text{weight-measure } A > \text{weight-measure } (A - B)$

oops

definition (in *Params*) *equivocation-fault-weight* :: *state* \Rightarrow *real*
where

equivocation-fault-weight $\sigma = \text{weight-measure } (\text{equivocating-validators } \sigma)$

lemma (in *Protocol*) *equivocation-fault-weight-is-monotonic* :
 $\forall \sigma \sigma'. \sigma \in \Sigma \wedge \sigma' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma')$
 $\longrightarrow \text{equivocation-fault-weight } \sigma \leq \text{equivocation-fault-weight } \sigma'$
using *equivocation-is-monotonic weight-measure-comparison-strict-subset-gte*
by (*smt equivocating-validators-is-finite equivocating-validators-type equivocation-fault-weight-def subset-iff*)

definition (in *Params*) *is-faults-lt-threshold* :: *state* \Rightarrow *bool*
where
is-faults-lt-threshold $\sigma = (\text{equivocation-fault-weight } \sigma < t)$

definition (in *Protocol*) Σt :: *state set*
where
 $\Sigma t = \{\sigma \in \Sigma. \text{is-faults-lt-threshold } \sigma\}$

lemma (in *Protocol*) *Σt -is-subset-of- Σ* : $\Sigma t \subseteq \Sigma$
using *Σt -def* **by** *auto*

type-synonym *state-property* = *state* \Rightarrow *bool*

type-synonym *consensus-value-property* = *consensus-value* \Rightarrow *bool*

end

2 Message Justification

theory *MessageJustification*

imports *Main CBCCaspar Libraries/LaTeXsugar*

begin

definition (in *Params*) *message-justification* :: *message rel*
where

$message-justification = \{(m1, m2). \{m1, m2\} \subseteq M \wedge justified\ m1\ m2\}$

lemma (in *Protocol*) *transitivity-of-justifications* :
trans message-justification
apply (simp add: trans-def message-justification-def justified-def)
by (meson Params.M-type Params.state-is-in-pow-Mi Protocol-axioms contra-subsetD)

lemma (in *Protocol*) *irreflexivity-of-justifications* :
irrefl message-justification
apply (simp add: irrefl-def message-justification-def justified-def)
apply (simp add: M-def)
apply auto

proof –
fix $n\ m$
assume $est\ m \in C$
assume $sender\ m \in V$
assume $justification\ m \in \Sigma i\ (V, C, \varepsilon)\ n$
assume $est\ m \in \varepsilon\ (justification\ m)$
assume $m \in justification\ m$
have $m \in Mi\ (V, C, \varepsilon)\ (n - 1)$
by (smt Mi.simps One-nat-def Params. Σi -subset-Mi Pow-iff Suc-pred $\langle est\ m \in C \rangle \langle est\ m \in \varepsilon\ (justification\ m) \rangle \langle justification\ m \in \Sigma i\ (V, C, \varepsilon)\ n \rangle \langle m \in justification\ m \rangle \langle sender\ m \in V \rangle$ add.right-neutral add-Suc-right diff-is-0-eq' diff-le-self diff-zero mem-Collect-eq not-gr0 subsetCE)
then have $justification\ m \in \Sigma i\ (V, C, \varepsilon)\ (n - 1)$
using Mi.simps **by** blast
then have $justification\ m \in \Sigma i\ (V, C, \varepsilon)\ 0$
apply (induction n)
apply simp
by (smt Mi.simps One-nat-def Params. Σi -subset-Mi Pow-iff Suc-pred $\langle m \in justification\ m \rangle$ add.right-neutral add-Suc-right diff-Suc-1 mem-Collect-eq not-gr0 subsetCE subsetCE)
then have $justification\ m \in \{\emptyset\}$
by simp
then show False
using $\langle m \in justification\ m \rangle$ **by** blast
qed

lemma (in *Protocol*) *message-cannot-justify-itself* :
 $(\forall\ m \in M. \neg\ justified\ m\ m)$

proof –
have *irrefl message-justification*
using *irreflexivity-of-justifications* **by** simp
then show ?thesis
by (simp add: irreflexivity-of-justifications irrefl-def message-justification-def)
qed

lemma (in *Protocol*) *justification-is-strict-partial-order-on-M* :
strict-partial-order message-justification

apply (*simp add: strict-partial-order-def*)
by (*simp add: irreflexivity-of-justifications transitivity-of-justifications*)

lemma (*in Protocol*) *monotonicity-of-justifications* :
 $\forall m m' \sigma. m \in M \wedge \sigma \in \Sigma \wedge \text{justified } m' m \longrightarrow \text{justification } m' \subseteq \text{justification } m$
apply *simp*
by (*meson M-type justified-def message-in-state-is-valid state-is-in-pow-Mi*)

lemma (*in Protocol*) *strict-monotonicity-of-justifications* :
 $\forall m m' \sigma. m \in M \wedge \sigma \in \Sigma \wedge \text{justified } m' m \longrightarrow \text{justification } m' \subset \text{justification } m$
by (*metis M-type message-cannot-justify-itself justified-def message-in-state-is-valid monotonicity-of-justifications psubsetI*)

lemma (*in Protocol*) *justification-implies-different-messages* :
 $\forall m m'. m \in M \wedge m' \in M \longrightarrow \text{justified } m' m \longrightarrow m \neq m'$
using *message-cannot-justify-itself* **by** *auto*

lemma (*in Protocol*) *only-valid-message-is-justified* :
 $\forall m \in M. \forall m'. \text{justified } m' m \longrightarrow m' \in M$
apply (*simp add: justified-def*)
using *Params.M-type message-in-state-is-valid* **by** *blast*

lemma (*in Protocol*) *justified-message-exists-in-Mi-n-minus-1* :
 $\forall n m m'. n \in \mathbb{N}$
 $\longrightarrow \text{justified } m' m$
 $\longrightarrow m \in \text{Mi } (V, C, \varepsilon) n$
 $\longrightarrow m' \in \text{Mi } (V, C, \varepsilon) (n - 1)$
proof –
have $\forall n m m'. \text{justified } m' m$
 $\longrightarrow m \in \text{Mi } (V, C, \varepsilon) n$
 $\longrightarrow m \in M \wedge m' \in M$
 $\longrightarrow m' \in \text{Mi } (V, C, \varepsilon) (n - 1)$
apply (*rule, rule, rule, rule, rule, rule*)
proof –
fix $n m m'$
assume *justified m' m*
assume $m \in \text{Mi } (V, C, \varepsilon) n$
assume $m \in M \wedge m' \in M$
then have *justification m* $\in \Sigma i (V, C, \varepsilon) n$
using *Mi.simps* $\langle m \in \text{Mi } (V, C, \varepsilon) n \rangle$ **by** *blast*
then have *justification m* $\in \text{Pow } (\text{Mi } (V, C, \varepsilon) (n - 1))$
by (*metis* (*no-types, lifting*) *Suc-diff-Suc* *$\Sigma i.simps(1)$* *Σi -subset-Mi* $\langle \text{justified } m' m \rangle$ *add-leE* *diff-add* *diff-le-self* *empty-iff* *justified-def* *neq0-conv* *plus-1-eq-Suc* *singletonD* *subsetCE*)
show $m' \in \text{Mi } (V, C, \varepsilon) (n - 1)$
using $\langle \text{justification } m \in \text{Pow } (\text{Mi } (V, C, \varepsilon) (n - 1)) \rangle \langle \text{justified } m' m \rangle$
justified-def **by** *auto*

qed
then show *?thesis*
by (*metis* (*no-types*, *lifting*) *M-def UN-I only-valid-message-is-justified*)
qed

lemma (**in** *Protocol*) *monotonicity-of-card-of-justification* :
 $\forall m m'. m \in M$
 $\longrightarrow \text{justified } m' m$
 $\longrightarrow \text{card } (\text{justification } m') < \text{card } (\text{justification } m)$
by (*meson* *M-type Protocol.strict-monotonicity-of-justifications Protocol-axioms justification-is-finite psubset-card-mono*)

lemma (**in** *Protocol*) *justification-is-well-founded-on-M* :
wfp-on justified M
proof (*rule ccontr*)
assume $\neg \text{wfp-on justified } M$
then have $\exists f. \forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i)$
by (*simp add: wfp-on-def*)
then obtain *f* **where** $\forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i)$ **by** *auto*
have $\forall i. \text{card } (\text{justification } (f i)) \leq \text{card } (\text{justification } (f 0)) - i$
apply (*rule*)
proof –
fix *i*
have $\text{card } (\text{justification } (f (\text{Suc } i))) < \text{card } (\text{justification } (f i))$
using $\langle \forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i) \rangle$ **by** (*simp add: monotonicity-of-card-of-justification*)
show $\text{card } (\text{justification } (f i)) \leq \text{card } (\text{justification } (f 0)) - i$
apply (*induction i*)
apply *simp*
using $\langle \text{card } (\text{justification } (f (\text{Suc } i))) < \text{card } (\text{justification } (f i)) \rangle$
by (*smt Suc-diff-le* $\langle \forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i) \rangle$ *diff-Suc-Suc diff-is-0-eq le-iff-add less-Suc-eq-le less-imp-le monotonicity-of-card-of-justification not-less-eq-eq trans-less-add1*)
qed
then have $\exists i. i = \text{card } (\text{justification } (f 0)) + \text{Suc } 0 \wedge \text{card } (\text{justification } (f i)) \leq \text{card } (\text{justification } (f 0)) - i$
by *blast*
then show *False*
using *le-0-eq le-simps(2) linorder-not-le monotonicity-of-card-of-justification nat-diff-split order-less-imp-le*
by (*metis* $\langle \forall i. f i \in M \wedge \text{justified } (f (\text{Suc } i)) (f i) \rangle$ *add.right-neutral add-Suc-right*)
qed

lemma (**in** *Protocol*) *subset-of-M-have-minimal-of-justification* :
 $\forall S \subseteq M. S \neq \emptyset \longrightarrow (\exists m\text{-min} \in S. \forall m. \text{justified } m m\text{-min} \longrightarrow m \notin S)$
by (*metis justification-is-well-founded-on-M wfp-on-imp-has-min-elt wfp-on-mono*)

lemma (**in** *Protocol*) *message-in-state-is-strict-subset-of-the-state* :
 $\forall \sigma \in \Sigma. \forall m \in \sigma. \text{justification } m \subset \sigma$

using *justification-implies-different-messages justified-def message-in-state-is-valid state-is-in-pow-Mi* **by** *fastforce*

end

3 Latest Message

theory *LatestMessage*

imports *Main CBCCasper MessageJustification Libraries/LaTeXsugar*

begin

definition *later* :: (message * message set) \Rightarrow message set

where

later = $(\lambda(m, \sigma). \{m' \in \sigma. \text{justified } m \ m'\})$

lemma (**in** *Protocol*) *later-type* :

$\forall \sigma \ m. \sigma \in \text{Pow } M \wedge m \in M \longrightarrow \text{later } (m, \sigma) \subseteq M$

apply (*simp add: later-def*)

by *auto*

lemma (**in** *Protocol*) *later-type-for-state* :

$\forall \sigma \ m. \sigma \in \Sigma \wedge m \in M \longrightarrow \text{later } (m, \sigma) \subseteq M$

apply (*simp add: later-def*)

using *state-is-subset-of-M* **by** *auto*

definition *from-sender* :: (validator * message set) \Rightarrow message set

where

from-sender = $(\lambda(v, \sigma). \{m \in \sigma. \text{sender } m = v\})$

lemma (**in** *Protocol*) *from-sender-type* :

$\forall \sigma \ v. \sigma \in \text{Pow } M \wedge v \in V \longrightarrow \text{from-sender } (v, \sigma) \in \text{Pow } M$

apply (*simp add: from-sender-def*)

by *auto*

lemma (**in** *Protocol*) *from-sender-type-for-state* :

$\forall \sigma \ v. \sigma \in \Sigma \wedge v \in V \longrightarrow \text{from-sender } (v, \sigma) \subseteq M$

apply (*simp add: from-sender-def*)

using *state-is-subset-of-M* **by** *auto*

lemma (in *Protocol*) *messages-from-observed-validator-is-non-empty* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in \text{observed } \sigma \longrightarrow \text{from-sender } (v, \sigma) \neq \emptyset$
apply (simp add: *observed-def from-sender-def*)
by *auto*

lemma (in *Protocol*) *messages-from-validator-is-finite* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \sigma \longrightarrow \text{finite } (\text{from-sender } (v, \sigma))$
by (simp add: *from-sender-def state-is-finite*)

definition *from-group* :: (validator set * message set) \Rightarrow state
where
 $\text{from-group} = (\lambda(v\text{-set}, \sigma). \{m \in \sigma. \text{sender } m \in v\text{-set}\})$

lemma (in *Protocol*) *from-group-type* :
 $\forall \sigma v. \sigma \in \text{Pow } M \wedge v\text{-set} \subseteq V \longrightarrow \text{from-group } (v\text{-set}, \sigma) \in \text{Pow } M$
apply (simp add: *from-group-def*)
by *auto*

lemma (in *Protocol*) *from-group-type-for-state* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v\text{-set} \subseteq V \longrightarrow \text{from-group } (v\text{-set}, \sigma) \subseteq M$
apply (simp add: *from-group-def*)
using *state-is-subset-of-M* **by** *auto*

definition *later-from* :: (message * validator * message set) \Rightarrow message set
where
 $\text{later-from} = (\lambda(m, v, \sigma). \text{later } (m, \sigma) \cap \text{from-sender } (v, \sigma))$

lemma (in *Protocol*) *later-from-type* :
 $\forall \sigma v m. \sigma \in \text{Pow } M \wedge v \in V \wedge m \in M \longrightarrow \text{later-from } (m, v, \sigma) \in \text{Pow } M$
apply (simp add: *later-from-def*)
using *later-type from-sender-type* **by** *auto*

lemma (in *Protocol*) *later-from-type-for-state* :
 $\forall \sigma v m. \sigma \in \Sigma \wedge v \in V \wedge m \in M \longrightarrow \text{later-from } (m, v, \sigma) \subseteq M$
apply (simp add: *later-from-def*)
using *later-type-for-state from-sender-type-for-state* **by** *auto*

definition *L-M* :: message set \Rightarrow (validator \Rightarrow message set)
where
 $L\text{-M } \sigma v = \{m \in \text{from-sender } (v, \sigma). \text{later-from } (m, v, \sigma) = \emptyset\}$

lemma (in *Protocol*) *L-M-type* :
 $\forall \sigma v. \sigma \in \text{Pow } M \wedge v \in V \longrightarrow L\text{-M } \sigma v \in \text{Pow } M$
apply (simp add: *L-M-def later-from-def*)
using *from-sender-type* **by** *auto*

lemma (in *Protocol*) *L-M-type-for-state* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L\text{-}M \ \sigma \ v \subseteq M$
apply (simp add: *L-M-def later-from-def*)
using *from-sender-type-for-state* **by** *auto*

lemma (in *Protocol*) *L-M-from-non-observed-validator-is-empty* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \wedge v \notin \text{observed } \sigma \longrightarrow L\text{-}M \ \sigma \ v = \emptyset$
by (simp add: *L-M-def observed-def later-def from-sender-def*)

lemma (in *Protocol*) *L-M-is-subset-of-the-state* :
 $\forall \sigma \in \Sigma. \forall v \in V. L\text{-}M \ \sigma \ v \subseteq \sigma$
apply (simp add: *L-M-def later-from-def from-sender-def*)
by *auto*

definition *observed-non-equivocating-validators* :: *state* \Rightarrow *validator set*
where
 $\text{observed-non-equivocating-validators } \sigma = \text{observed } \sigma - \text{equivocating-validators } \sigma$

lemma (in *Protocol*) *observed-non-equivocating-validators-type* :
 $\forall \sigma \in \Sigma. \text{observed-non-equivocating-validators } \sigma \in \text{Pow } V$
apply (simp add: *observed-non-equivocating-validators-def*)
using *observed-type-for-state equivocating-validators-type* **by** *auto*

lemma (in *Protocol*) *justification-is-well-founded-on-messages-from-validator*:
 $\forall \sigma \in \Sigma. (\forall v \in V. \text{wfp-on justified (from-sender (v, } \sigma))})$
using *justification-is-well-founded-on-M from-sender-type-for-state wfp-on-subset*
by *blast*

lemma (in *Protocol*) *justification-is-total-on-messages-from-non-equivocating-validator*:
 $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma \longrightarrow \text{Relation.total-on (from-sender (v, } \sigma)) \text{ message-justification})$

proof –

have $\forall m1 \ m2 \ \sigma \ v. v \in V \wedge \sigma \in \Sigma \wedge \{m1, m2\} \subseteq \text{from-sender (v, } \sigma) \longrightarrow \text{sender } m1 = \text{sender } m2$

by (simp add: *from-sender-def*)

then have $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma$

$\longrightarrow (\forall m1 \ m2. \{m1, m2\} \subseteq \text{from-sender (v, } \sigma) \longrightarrow m1 = m2 \vee \text{justified}$

$m1 \ m2 \vee \text{justified } m2 \ m1))$

apply (simp add: *equivocating-validators-def is-equivocating-def equivocation-def from-sender-def observed-def*)

by *blast*

then show *?thesis*

apply (simp add: *Relation.total-on-def message-justification-def*)

using *from-sender-type-for-state* **by** *blast*

qed

lemma (in *Protocol*) *justification-is-strict-linear-order-on-messages-from-non-equivocating-validator*:
 $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma \longrightarrow \text{strict-linear-order-on}$
(from-sender (v, σ)) message-justification)
by (*simp add: strict-linear-order-on-def justification-is-total-on-messages-from-non-equivocating-validator*
irreflexivity-of-justifications transitivity-of-justifications)

lemma (in *Protocol*) *justification-is-strict-well-order-on-messages-from-non-equivocating-validator*:
 $\forall \sigma \in \Sigma. (\forall v \in V. v \notin \text{equivocating-validators } \sigma$
 $\longrightarrow \text{strict-linear-order-on (from-sender (v, σ)) message-justification} \wedge \text{wfp-on}$
justified (from-sender (v, σ)))
using *justification-is-well-founded-on-messages-from-validator*
justification-is-strict-linear-order-on-messages-from-non-equivocating-validator
by *blast*

lemma (in *Protocol*) *latest-message-is-maximal-element-of-justification* :
 $\forall \sigma v. \sigma \in \Sigma \wedge v \in V \longrightarrow L\text{-}M \ \sigma \ v = \{m. \text{maximal-on (from-sender (v, σ))}$
message-justification m}
apply (*simp add: L-M-def later-from-def later-def message-justification-def maximal-on-def*)
using *from-sender-type-for-state* **apply** *auto*
apply (*metis (no-types, lifting) IntI empty-iff from-sender-def mem-Collect-eq*
prod.simps(2))
by *blast*

lemma (in *Protocol*) *observed-non-equivocating-validators-have-one-latest-message*:
 $\forall \sigma \in \Sigma. (\forall v \in \text{observed-non-equivocating-validators } \sigma. \text{is-singleton (L-M } \sigma \ v))$

apply (*simp add: observed-non-equivocating-validators-def*)
proof –
have $\forall \sigma \in \Sigma. (\forall v \in \text{observed } \sigma - \text{equivocating-validators } \sigma. \text{is-singleton } \{m.$
maximal-on (from-sender (v, σ)) message-justification m})
using
messages-from-observed-validator-is-non-empty
messages-from-validator-is-finite
observed-type-for-state
equivocating-validators-def
justification-is-strict-linear-order-on-messages-from-non-equivocating-validator
strict-linear-order-on-finite-non-empty-set-has-one-maximum
maximal-and-maximum-coincide-for-strict-linear-order
by (*smt Collect-cong DiffD1 DiffD2 set-mp*)
then show $\forall \sigma \in \Sigma. \forall v \in \text{observed } \sigma - \text{equivocating-validators } \sigma. \text{is-singleton (L-M}$
 $\sigma \ v)$
using *latest-message-is-maximal-element-of-justification*
observed-non-equivocating-validators-def observed-non-equivocating-validators-type
by *fastforce*

qed

definition $L-E :: state \Rightarrow validator \Rightarrow consensus-value\ set$

where

$$L-E\ \sigma\ v = \{est\ m \mid m. m \in L-M\ \sigma\ v\}$$

lemma (in *Protocol*) $L-E-type$:

$$\forall\ \sigma\ v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-E\ \sigma\ v \subseteq C$$

using $M-type\ Protocol.L-M-type-for-state\ Protocol-axioms\ L-E-def$ **by** *fastforce*

lemma (in *Protocol*) $L-E-from-non-observed-validator-is-empty$:

$$\forall\ \sigma\ v. \sigma \in \Sigma \wedge v \in V \wedge v \notin observed\ \sigma \longrightarrow L-E\ \sigma\ v = \emptyset$$

using $L-E-def\ L-M-from-non-observed-validator-is-empty$ **by** *auto*

definition $L-H-M :: state \Rightarrow validator \Rightarrow message\ set$

where

$$L-H-M\ \sigma\ v = (if\ v \in equivocating-validators\ \sigma\ then\ \emptyset\ else\ L-M\ \sigma\ v)$$

lemma (in *Protocol*) $L-H-M-type$:

$$\forall\ \sigma\ v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-H-M\ \sigma\ v \subseteq M$$

by (*simp add: L-M-type-for-state L-H-M-def*)

lemma (in *Protocol*) $L-H-M-of-observed-non-equivocating-validator-is-singleton$:

$$\forall\ \sigma \in \Sigma. \forall\ v \in observed-non-equivocating-validators\ \sigma.$$

$$is-singleton\ (L-H-M\ \sigma\ v)$$

using $observed-non-equivocating-validators-have-one-latest-message$

by (*simp add: L-H-M-def observed-non-equivocating-validators-def*)

lemma (in *Protocol*) $sender-of-L-H-M$:

$$\forall\ \sigma \in \Sigma. \forall\ v \in observed-non-equivocating-validators\ \sigma. sender\ (the-elem\ (L-H-M\ \sigma\ v)) = v$$

using $L-H-M-of-observed-non-equivocating-validator-is-singleton$

$L-H-M-def\ L-M-def\ from-sender-def$

by (*smt Diff-iff is-singleton-the-elem mem-Collect-eq observed-non-equivocating-validators-def prod.simps(2) singletonI*)

lemma (in *Protocol*) $L-H-M-is-in-the-state$:

$\forall \sigma \in \Sigma. \forall v \in \text{observed-non-equivocating-validators } \sigma. \text{the-elem } (L-H-M \ \sigma \ v)$
 $\in \sigma$
using *L-H-M-of-observed-non-equivocating-validator-is-singleton*
L-H-M-def L-M-is-subset-of-the-state
by (*metis Diff-iff contra-subsetD insert-subset is-singleton-the-elem observed-non-equivocating-validators-def*
observed-type-for-state)

definition *L-H-E* :: *state* \Rightarrow *validator* \Rightarrow *consensus-value set*
where

$L-H-E \ \sigma \ v = \text{est } 'L-H-M \ \sigma \ v$

lemma (**in** *Protocol*) *L-H-E-type* :
 $\forall \sigma \ v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-H-E \ \sigma \ v \in \text{Pow } C$
using *Protocol.L-E-type Protocol-axioms L-E-def L-H-E-def L-H-M-def*
using *M-type L-H-M-type* **by** *fastforce*

lemma (**in** *Protocol*) *L-H-E-from-non-observed-validator-is-empty* :
 $\forall \sigma \ v. \sigma \in \Sigma \wedge v \in V \wedge v \notin \text{observed } \sigma \longrightarrow L-H-E \ \sigma \ v = \emptyset$
by (*simp add: L-H-E-def L-H-M-def L-M-from-non-observed-validator-is-empty*)

lemma *image-of-singleton-is-singleton* :
 $\text{is-singleton } A \Longrightarrow \text{is-singleton } (f \ 'A)$
apply (*simp add: is-singleton-def*)
by *blast*

lemma (**in** *Protocol*) *L-H-E-of-observed-non-equivocating-validator-is-singleton* :
 $\forall \sigma \in \Sigma. \forall v \in \text{observed-non-equivocating-validators } \sigma.$
 $\text{is-singleton } (L-H-E \ \sigma \ v)$
using *L-H-M-of-observed-non-equivocating-validator-is-singleton*
apply (*simp add: L-H-E-def*)
using *image-of-singleton-is-singleton*
by *blast*

definition *L-H-J* :: *state* \Rightarrow *validator* \Rightarrow *state set*
where
 $L-H-J \ \sigma \ v = \text{justification } 'L-H-M \ \sigma \ v$

lemma (**in** *Protocol*) *L-H-J-type* :
 $\forall \sigma \ v. \sigma \in \Sigma \wedge v \in V \longrightarrow L-H-J \ \sigma \ v \subseteq \Sigma$

```

using M-type L-H-M-type
      L-H-J-def by auto

lemma (in Protocol) L-H-J-of-observed-non-equivocating-validator-is-singleton :
   $\forall \sigma \in \Sigma. v \in \text{observed-non-equivocating-validators } \sigma$ 
     $\longrightarrow \text{is-singleton } (L-H-J \ \sigma \ v)$ 
using L-H-M-of-observed-non-equivocating-validator-is-singleton
apply (simp add: L-H-J-def)
using image-of-singleton-is-singleton
by blast

lemma (in Protocol) L-H-J-is-subset-of-the-state :
   $\forall \sigma \ v. \sigma \in \Sigma \wedge v \in V \longrightarrow (\forall \sigma' \in L-H-J \ \sigma \ v. \sigma' \subset \sigma)$ 
apply (simp add: L-H-J-def
      L-H-M-def)
using L-M-is-subset-of-the-state
      message-in-state-is-strict-subset-of-the-state
by blast

end
theory StateTransition

imports Main CBCCaspar MessageJustification

begin

definition (in Params) state-transition :: state rel
where
  state-transition =  $\{(\sigma 1, \sigma 2). \{\sigma 1, \sigma 2\} \subseteq \Sigma \wedge \text{is-future-state}(\sigma 1, \sigma 2)\}$ 

lemma (in Params) reflexivity-of-state-transition :
  refl-on  $\Sigma$  state-transition
apply (simp add: state-transition-def refl-on-def)
by auto

lemma (in Params) transitivity-of-state-transition :
  trans state-transition
apply (simp add: state-transition-def trans-def)
by auto

lemma (in Params) state-transition-is-preorder :
  preorder-on  $\Sigma$  state-transition
by (simp add: preorder-on-def reflexivity-of-state-transition transitivity-of-state-transition)

```

lemma (in *Params*) *antisymmetry-of-state-transition* :
antisym state-transition
apply (simp add: state-transition-def antisym-def)
by auto

lemma (in *Params*) *state-transition-is-partial-order* :
partial-order-on Σ state-transition
by (simp add: partial-order-on-def state-transition-is-preorder antisymmetry-of-state-transition)

definition (in *Protocol*) *minimal-transitions* :: (state * state) set
where

$$\text{minimal-transitions} \equiv \{(\sigma, \sigma') \mid \sigma \sigma'. \sigma \in \Sigma t \wedge \sigma' \in \Sigma t \wedge \text{is-future-state } (\sigma, \sigma') \wedge \sigma \neq \sigma' \\ \wedge (\nexists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma')\}$$

definition *immediately-next-message* **where**
 $\text{immediately-next-message} = (\lambda(\sigma, m). \text{justification } m \subseteq \sigma \wedge m \notin \sigma)$

lemma (in *Protocol*) *state-transition-by-immediately-next-message-of-same-depth-non-zero*:

$\forall n \geq 1. \forall \sigma \in \Sigma i (V, C, \varepsilon) n. \forall m \in Mi (V, C, \varepsilon) n. \text{immediately-next-message } (\sigma, m) \\ \longrightarrow \sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n+1)$
apply (rule, rule, rule, rule, rule)

proof–

fix $n \sigma m$
assume $1 \leq n \sigma \in \Sigma i (V, C, \varepsilon) n m \in Mi (V, C, \varepsilon) n \text{immediately-next-message } (\sigma, m)$

have $\exists n'. n = \text{Suc } n'$
using $\langle 1 \leq n \rangle \text{old.nat.exhaust}$ **by** auto
hence $si: \Sigma i (V, C, \varepsilon) n = \{\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n - 1)). \text{finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)\}$
by force

hence $\Sigma i (V, C, \varepsilon) (n+1) = \{\sigma \in \text{Pow } (Mi (V, C, \varepsilon) n). \text{finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)\}$
by force

have $\text{justification } m \subseteq \sigma$
using *immediately-next-message-def*
by (metis (no-types, lifting) $\langle \text{immediately-next-message } (\sigma, m) \rangle \text{case-prod-conv}$)
hence $\text{justification } m \subseteq \sigma \cup \{m\}$
by blast
moreover **have** $\bigwedge m'. \text{finite } \sigma \wedge m' \in \sigma \implies \text{justification } m' \subseteq \sigma$
using $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle si$ **by** blast
hence $\bigwedge m'. \text{finite } \sigma \wedge m' \in \sigma \implies \text{justification } m' \subseteq \sigma \cup \{m\}$

by *auto*
 ultimately have $\bigwedge m'. m' \in \sigma \cup \{m\} \implies \text{justification } m \subseteq \sigma$
 using $\langle \text{justification } m \subseteq \sigma \rangle$ by *blast*

have $\{m\} \in \text{Pow } (Mi (V, C, \varepsilon) n)$
 using $\langle m \in Mi (V, C, \varepsilon) n \rangle$ by *auto*
 moreover have $\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n-1))$
 using $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$ si by *auto*
 hence $\sigma \in \text{Pow } (Mi (V, C, \varepsilon) n)$
 using *Mi-monotonic*
 by (*metis (full-types) PowD PowI Suc-eq-plus1 $\langle \exists n'. n = Suc n' \rangle$ diff-Suc-1 subset-iff*)
 ultimately have $\sigma \cup \{m\} \in \text{Pow } (Mi (V, C, \varepsilon) n)$
 by *blast*

show $\sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n+1)$
 using $\langle \bigwedge m'. \text{finite } \sigma \wedge m' \in \sigma \implies \text{justification } m' \subseteq \sigma \cup \{m\} \rangle$ $\langle \sigma \cup \{m\} \in \text{Pow } (Mi (V, C, \varepsilon) n) \rangle$ $\langle \text{justification } m \subseteq \sigma \cup \{m\} \rangle$
 $\langle \sigma \in \Sigma i (V, C, \varepsilon) n \rangle$ si by *auto*
 qed

lemma (in Protocol) state-transition-by-immediately-next-message-of-same-depth:

$\forall \sigma \in \Sigma i (V, C, \varepsilon) n. \forall m \in Mi (V, C, \varepsilon) n. \text{immediately-next-message } (\sigma, m) \longrightarrow \sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n+1)$
 apply (*cases n*)
 apply *auto[1]*
 using *state-transition-by-immediately-next-message-of-same-depth-non-zero*
 by (*metis le-add1 plus-1-eq-Suc*)

lemma (in Params) past-state-exists-in-same-depth :

$\forall \sigma \sigma'. \sigma' \in \Sigma i (V, C, \varepsilon) n \longrightarrow \sigma \subseteq \sigma' \longrightarrow \sigma \in \Sigma \longrightarrow \sigma \in \Sigma i (V, C, \varepsilon) n$
 apply (*rule, rule, rule, rule, rule*)
proof (*cases n*)
 case 0
 show $\bigwedge \sigma \sigma'. \sigma' \in \Sigma i (V, C, \varepsilon) n \implies \sigma \subseteq \sigma' \implies \sigma \in \Sigma \implies n = 0 \implies \sigma \in \Sigma i (V, C, \varepsilon) n$
 by *auto*
 next
 case (*Suc nat*)
 show $\bigwedge \sigma \sigma' \text{ nat}. \sigma' \in \Sigma i (V, C, \varepsilon) n \implies \sigma \subseteq \sigma' \implies \sigma \in \Sigma \implies n = \text{Suc nat} \implies \sigma \in \Sigma i (V, C, \varepsilon) n$
proof –
 fix $\sigma \sigma'$
 assume $\sigma' \in \Sigma i (V, C, \varepsilon) n$
 and $\sigma \subseteq \sigma'$
 and $\sigma \in \Sigma$
 have $n > 0$
 by (*simp add: Suc*)

have *finite* $\sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)$
using $\langle \sigma \in \Sigma \rangle$ *state-is-finite state-is-in-pow-Mi* **by** *blast*
moreover have $\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n - 1))$
using $\langle \sigma \subseteq \sigma' \rangle$
by (*smt Pow-iff Suc-eq-plus1 Σi -monotonic Σi -subset-Mi $\langle \sigma' \in \Sigma i (V, C, \varepsilon) n \rangle$ add-diff-cancel-left' add-eq-if diff-is-0-eq diff-le-self plus-1-eq-Suc subset-iff*)
ultimately have $\sigma \in \{\sigma \in \text{Pow } (Mi (V, C, \varepsilon) (n - 1)). \text{finite } \sigma \wedge (\forall m. m \in \sigma \longrightarrow \text{justification } m \subseteq \sigma)\}$
by *blast*
then show $\sigma \in \Sigma i (V, C, \varepsilon) n$
by (*simp add: Suc*)
qed
qed

lemma (in Protocol) immediately-next-message-exists-in-same-depth:
 $\forall \sigma \in \Sigma. \forall m \in M. \text{immediately-next-message } (\sigma, m) \longrightarrow (\exists n \in \mathbb{N}. \sigma \in \Sigma i (V, C, \varepsilon) n \wedge m \in Mi (V, C, \varepsilon) n)$
apply (*simp add: immediately-next-message-def M-def Σ -def*)
using *past-state-exists-in-same-depth*
using Σi -is-subset-of- Σ **by** *blast*

lemma (in Protocol) state-transition-by-immediately-next-message:
 $\forall \sigma \in \Sigma. \forall m \in M. \text{immediately-next-message } (\sigma, m) \longrightarrow \sigma \cup \{m\} \in \Sigma$
apply (*rule, rule, rule*)
proof –
fix σm
assume $\sigma \in \Sigma$
and $m \in M$
and *immediately-next-message* (σ, m)
then have $(\exists n \in \mathbb{N}. \sigma \in \Sigma i (V, C, \varepsilon) n \wedge m \in Mi (V, C, \varepsilon) n)$
using *immediately-next-message-exists-in-same-depth* $\langle \sigma \in \Sigma \rangle \langle m \in M \rangle$
by *blast*
then have $\exists n \in \mathbb{N}. \sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n + 1)$
using *state-transition-by-immediately-next-message-of-same-depth*
using *immediately-next-message* (σ, m) **by** *blast*
show $\sigma \cup \{m\} \in \Sigma$
apply (*simp add: Σ -def*)
by (*metis Nats-1 Nats-add Un-insert-right $\langle \exists n \in \mathbb{N}. \sigma \cup \{m\} \in \Sigma i (V, C, \varepsilon) (n + 1) \rangle$ sup-bot.right-neutral*)
qed

lemma (in Protocol) state-transition-imps-immediately-next-message:
 $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \wedge m \notin \sigma \longrightarrow \text{immediately-next-message } (\sigma, m)$
proof –
have $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \longrightarrow (\forall m' \in \sigma \cup \{m\}. \text{justification } m' \subseteq \sigma \cup \{m\})$
using *state-is-in-pow-Mi* **by** *blast*
then have $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \longrightarrow \text{justification } m \subseteq \sigma \cup \{m\}$
by *auto*

then have $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \wedge m \notin \sigma \longrightarrow \text{justification } m \subseteq \sigma$
using *justification-implies-different-messages justified-def* **by** *fastforce*
then show *?thesis*
by (*simp add: immediately-next-message-def*)
qed

lemma (*in Protocol*) *state-transition-only-made-by-immediately-next-message*:
 $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \wedge m \notin \sigma \longleftrightarrow \text{immediately-next-message } (\sigma, m)$
using *state-transition-imps-immediately-next-message state-transition-by-immediately-next-message*
apply (*simp add: immediately-next-message-def*)
by *blast*

lemma (*in Protocol*) *state-transition-is-immediately-next-message*:
 $\forall \sigma \in \Sigma. \forall m \in M. \sigma \cup \{m\} \in \Sigma \longleftrightarrow \text{justification } m \subseteq \sigma$
using *state-transition-only-made-by-immediately-next-message*
apply (*simp add: immediately-next-message-def*)
using *insert-Diff state-is-in-pow-Mi* **by** *fastforce*

lemma (*in Protocol*) *strict-subset-of-state-have-immediately-next-messages*:
 $\forall \sigma \in \Sigma. \forall \sigma'. \sigma' \subset \sigma \longrightarrow (\exists m \in \sigma - \sigma'. \text{immediately-next-message } (\sigma', m))$
apply (*simp add: immediately-next-message-def*)
apply (*rule, rule, rule*)

proof –
fix $\sigma \sigma'$
assume $\sigma \in \Sigma$
assume $\sigma' \subset \sigma$
show $\exists m \in \sigma - \sigma'. \text{justification } m \subseteq \sigma'$
proof (*rule ccontr*)
assume $\neg (\exists m \in \sigma - \sigma'. \text{justification } m \subseteq \sigma')$
then have $\forall m \in \sigma - \sigma'. \exists m' \in \text{justification } m. m' \in \sigma - \sigma'$
using $\neg (\exists m \in \sigma - \sigma'. \text{justification } m \subseteq \sigma')$ *state-is-in-pow-Mi* $\langle \sigma' \subset \sigma \rangle$
by (*metis Diff-iff* $\langle \sigma \in \Sigma \rangle$ *subset-eq*)
then have $\forall m \in \sigma - \sigma'. \exists m'. \text{justified } m' m \wedge m' \in \sigma - \sigma'$
using *justified-def* **by** *auto*
then have $\forall m \in \sigma - \sigma'. \exists m'. \text{justified } m' m \wedge m' \in \sigma - \sigma' \wedge m \neq m'$
using *justification-implies-different-messages state-difference-is-valid-message*
message-in-state-is-valid $\langle \sigma' \subset \sigma \rangle$
by (*meson DiffD1* $\langle \sigma \in \Sigma \rangle$)
have $\sigma - \sigma' \subseteq M$
using $\langle \sigma \in \Sigma \rangle \langle \sigma' \subset \sigma \rangle$ *state-is-subset-of-M* **by** *auto*
then have $\exists m\text{-min} \in \sigma - \sigma'. \forall m. \text{justified } m m\text{-min} \longrightarrow m \notin \sigma - \sigma'$
using *subset-of-M-have-minimal-of-justification* $\langle \sigma' \subset \sigma \rangle$
by *blast*
then show *False*
using $\langle \forall m \in \sigma - \sigma'. \exists m'. \text{justified } m' m \wedge m' \in \sigma - \sigma' \rangle$ **by** *blast*
qed
qed

lemma (*in Protocol*) *union-of-two-states-is-state* :

```

 $\forall \sigma 1 \in \Sigma. \forall \sigma 2 \in \Sigma. (\sigma 1 \cup \sigma 2) \in \Sigma$ 
apply (rule, rule)
proof –
  fix  $\sigma 1 \sigma 2$ 
  assume  $\sigma 1 \in \Sigma$  and  $\sigma 2 \in \Sigma$ 
  show  $\sigma 1 \cup \sigma 2 \in \Sigma$ 
  proof (cases  $\sigma 1 \subseteq \sigma 2$ )
    case True
    then show ?thesis
    by (simp add: Un-absorb1  $\langle \sigma 2 \in \Sigma \rangle$ )
  next
    case False
    then have  $\neg \sigma 1 \subseteq \sigma 2$  by simp
    have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - (\sigma \cap \sigma'). \text{immediately-next-message}(\sigma \cap \sigma', m))$ 
    by (metis Int-subset-iff psubsetI strict-subset-of-state-have-immediately-next-messages subsetI)
    then have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - (\sigma \cap \sigma'). \text{immediately-next-message}(\sigma', m))$ 
    apply (simp add: immediately-next-message-def)
    by blast
    then have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma)$ 
    using state-transition-by-immediately-next-message
    by (metis DiffD1 DiffD2 DiffI IntI message-in-state-is-valid)
    have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
    proof –
      have  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow \text{card}(\sigma - \sigma') > 0$ 
      by (meson Diff-eq-empty-iff card-0-eq finite-Diff gr0I state-is-finite)
      have  $\forall n. \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card}(\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
      apply (rule)
    proof –
      fix  $n$ 
      show  $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card}(\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$ 
      apply (induction n)
      apply (rule, rule, rule)
    proof –
      fix  $\sigma \sigma'$ 
      assume  $\sigma \in \Sigma$  and  $\sigma' \in \Sigma$  and  $\neg \sigma \subseteq \sigma' \wedge \text{Suc } 0 = \text{card}(\sigma - \sigma')$ 
      then have is-singleton  $(\sigma - \sigma')$ 
      by (simp add: is-singleton-altdef)
      then have  $\{ \text{the-elem}(\sigma - \sigma') \} \cup \sigma' \in \Sigma$ 
      using  $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma) \rangle \langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle$ 
      by (metis Un-commute  $\langle \neg \sigma \subseteq \sigma' \wedge \text{Suc } 0 = \text{card}(\sigma - \sigma') \rangle$  is-singleton-the-elem singletonD)
      then show  $\sigma \cup \sigma' \in \Sigma$ 
      by (metis Un-Diff-cancel2  $\langle \text{is-singleton}(\sigma - \sigma') \rangle$  is-singleton-the-elem)

```

next
show $\bigwedge n. \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma \implies \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } (\text{Suc } n) = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$
apply (rule, rule, rule)
proof –
fix $n \ \sigma \ \sigma'$
assume $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma$
and $\sigma \in \Sigma$ **and** $\sigma' \in \Sigma$ **and** $\neg \sigma \subseteq \sigma' \wedge \text{Suc } (\text{Suc } n) = \text{card } (\sigma - \sigma')$
have $\forall m \in \sigma - \sigma'. \neg \sigma \subseteq \sigma' \cup \{m\} \wedge \text{Suc } n = \text{card } (\sigma - (\sigma' \cup \{m\}))$
using $\langle \neg \sigma \subseteq \sigma' \wedge \text{Suc } (\text{Suc } n) = \text{card } (\sigma - \sigma') \rangle$
by (metis Diff-eq-empty-iff Diff-insert Un-insert-right $\langle \sigma \in \Sigma \rangle$ add-diff-cancel-left' card-0-eq card-Suc-Diff1 finite-Diff nat.simps(3) plus-1-eq-Suc state-is-finite sup-bot.right-neutral)
have $\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma$
using $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma) \rangle \langle \sigma \in \Sigma \rangle \langle \sigma' \in \Sigma \rangle \langle \neg \sigma \subseteq \sigma' \wedge \text{Suc } (\text{Suc } n) = \text{card } (\sigma - \sigma') \rangle$
by blast
then have $\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma \wedge \neg \sigma \subseteq \sigma' \cup \{m\} \wedge \text{Suc } n = \text{card } (\sigma - (\sigma' \cup \{m\}))$
using $\langle \forall m \in \sigma - \sigma'. \neg \sigma \subseteq \sigma' \cup \{m\} \wedge \text{Suc } n = \text{card } (\sigma - (\sigma' \cup \{m\})) \rangle$
by simp
then show $\sigma \cup \sigma' \in \Sigma$
using $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \wedge \text{Suc } n = \text{card } (\sigma - \sigma') \longrightarrow \sigma \cup \sigma' \in \Sigma \rangle$
by (smt Un-Diff-cancel Un-commute Un-insert-right $\langle \sigma \in \Sigma \rangle$ insert-absorb2 mk-disjoint-insert sup-bot.right-neutral)
qed
qed
qed
then show ?thesis
by (meson $\langle \forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \neg \sigma \subseteq \sigma' \longrightarrow (\exists m \in \sigma - \sigma'. \sigma' \cup \{m\} \in \Sigma) \rangle$ card-Suc-Diff1 finite-Diff state-is-finite)
qed
then show ?thesis
using False $\langle \sigma 1 \in \Sigma \rangle \langle \sigma 2 \in \Sigma \rangle$ **by** blast
qed
qed

lemma (in Protocol) union-of-finite-set-of-states-is-state :

$\forall \sigma\text{-set} \subseteq \Sigma. \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$

apply auto

proof –

have $\forall n. \forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$

apply (rule)

proof –

fix n

show $\forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$

apply (*induction* n)
apply (*rule*, *rule*, *rule*, *rule*)
apply (*simp* *add*: *empty-set-exists-in- Σ*)
apply (*rule*, *rule*, *rule*, *rule*)
proof –
fix n σ -set
assume $\forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow \text{finite } \sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma$ **and**
 $\sigma\text{-set} \subseteq \Sigma$ **and** $\text{Suc } n = \text{card } \sigma\text{-set}$ **and** *finite* $\sigma\text{-set}$
then have $\forall \sigma \in \sigma\text{-set}. \sigma\text{-set} - \{\sigma\} \subseteq \Sigma \wedge \bigcup (\sigma\text{-set} - \{\sigma\}) \in \Sigma$
using $\langle \sigma\text{-set} \subseteq \Sigma \rangle \langle \text{Suc } n = \text{card } \sigma\text{-set} \rangle \langle \forall \sigma\text{-set} \subseteq \Sigma. n = \text{card } \sigma\text{-set} \longrightarrow$
finite $\sigma\text{-set} \longrightarrow \bigcup \sigma\text{-set} \in \Sigma \rangle$
by (*metis* (*mono-tags*, *lifting*) *Suc-inject* *card.remove* *finite-Diff* *insert-Diff* *insert-subset*)
then have $\forall \sigma \in \sigma\text{-set}. \sigma\text{-set} - \{\sigma\} \subseteq \Sigma \wedge \bigcup (\sigma\text{-set} - \{\sigma\}) \in \Sigma \wedge \bigcup (\sigma\text{-set}$
 $- \{\sigma\}) \cup \sigma \in \Sigma$
using *union-of-two-states-is-state* $\langle \sigma\text{-set} \subseteq \Sigma \rangle$ **by** *auto*
then show $\bigcup \sigma\text{-set} \in \Sigma$
by (*metis* *Sup-bot-conv*(1) *Sup-insert* *Un-commute* *empty-set-exists-in- Σ* *insert-Diff*)
qed
qed
then show $\bigwedge \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma \implies \text{finite } \sigma\text{-set} \implies \bigcup \sigma\text{-set} \in \Sigma$
by *blast*
qed

lemma (*in* *Protocol*) *state-differences-have-immediately-next-messages*:
 $\forall \sigma \in \Sigma. \forall \sigma' \in \Sigma. \text{is-future-state } (\sigma, \sigma') \wedge \sigma \neq \sigma' \longrightarrow (\exists m \in \sigma' - \sigma. \text{immediately-next-message } (\sigma, m))$
using *strict-subset-of-state-have-immediately-next-messages*
by (*simp* *add*: *psubsetI*)

lemma *non-empty-non-singleton-imps-two-elements* :
 $A \neq \emptyset \implies \neg \text{is-singleton } A \implies \exists a1\ a2. a1 \neq a2 \wedge \{a1, a2\} \subseteq A$
by (*metis* *inf.orderI* *inf-bot-left* *insert-subset* *is-singletonI*)

lemma (*in* *Protocol*) *minimal-transition-implies-recieving-single-message* :
 $\forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \text{is-singleton } (\sigma' - \sigma)$
proof (*rule* *ccontr*)
assume $\neg (\forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \text{is-singleton } (\sigma' - \sigma))$
then have $\exists \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$
by *blast*
have $\forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow$
 $(\nexists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma$
 $\neq \sigma'' \wedge \sigma'' \neq \sigma')$
by (*simp* *add*: *minimal-transitions-def*)
have $\forall \sigma\ \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$
 $\longrightarrow (\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge$

```

immediately-next-message ( $\sigma, m1$ )
  apply (rule, rule, rule)
  proof –
    fix  $\sigma \sigma'$ 
    assume  $(\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$ 
    then have  $\sigma' - \sigma \neq \emptyset$ 
    apply (simp add: minimal-transitions-def)
    by blast
    have  $\sigma' \in \Sigma \wedge \sigma \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma')$ 
    using  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle$ 
    by (simp add: minimal-transitions-def  $\Sigma t$ -def)
    then have  $\sigma' - \sigma \subseteq M$ 
    using state-difference-is-valid-message by auto
    then have  $\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1$ 
 $\neq m2$ 
    using non-empty-non-singleton-implies-two-elements
     $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle \langle \sigma' - \sigma \neq \emptyset \rangle$ 
    by (metis (full-types) contra-subsetD insert-subset subsetI)
    then show  $\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1$ 
 $\neq m2 \wedge \text{immediately-next-message } (\sigma, m1)$ 
    using state-differences-have-immediately-next-messages
    by (metis Diff-iff  $\langle \sigma' \in \Sigma \wedge \sigma \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma') \rangle$  insert-subset
message-in-state-is-valid)
  qed
  have  $\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \longrightarrow$ 
 $(\exists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma$ 
 $\neq \sigma'' \wedge \sigma'' \neq \sigma')$ 
  apply (rule, rule, rule)
  proof –
    fix  $\sigma \sigma'$ 
    assume  $(\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma)$ 
    then have  $\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq$ 
 $m2 \wedge \text{immediately-next-message } (\sigma, m1)$ 
    using  $\langle \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle$ 
 $\longrightarrow (\exists m1\ m2. \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge$ 
immediately-next-message ( $\sigma, m1$ ))
    by simp
    then obtain  $m1\ m2$  where  $\{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge$ 
 $m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1)$ 
    by auto
    have  $\sigma \in \Sigma \wedge \sigma' \in \Sigma$ 
    using  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle$ 
    by (simp add: minimal-transitions-def  $\Sigma t$ -def)
    then have  $\sigma \cup \{m1\} \in \Sigma$ 
    using  $\langle \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge$ 
immediately-next-message ( $\sigma, m1$ )
    state-transition-by-immediately-next-message
    by simp
    have is-future-state ( $\sigma, \sigma \cup \{m1\}$ )  $\wedge$  is-future-state ( $\sigma \cup \{m1\}, \sigma'$ )

```

```

    using  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge \neg \text{is-singleton } (\sigma' - \sigma) \rangle \langle \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1) \rangle$  minimal-transitions-def by auto
    have  $\sigma \neq \sigma \cup \{m1\} \wedge \sigma \cup \{m1\} \neq \sigma'$ 
    using  $\langle \{m1, m2\} \subseteq M \wedge m1 \in \sigma' - \sigma \wedge m2 \in \sigma' - \sigma \wedge m1 \neq m2 \wedge \text{immediately-next-message } (\sigma, m1) \rangle$  by auto
    then show  $\exists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma'$ 
    using  $\langle \sigma \cup \{m1\} \in \Sigma \rangle \langle \text{is-future-state } (\sigma, \sigma \cup \{m1\}) \wedge \text{is-future-state } (\sigma \cup \{m1\}, \sigma') \rangle$ 
    by auto
  qed
  then show False
  using  $\langle \forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow (\nexists \sigma''. \sigma'' \in \Sigma \wedge \text{is-future-state } (\sigma, \sigma'') \wedge \text{is-future-state } (\sigma'', \sigma') \wedge \sigma \neq \sigma'' \wedge \sigma'' \neq \sigma') \rangle \langle \neg (\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \text{is-singleton } (\sigma' - \sigma)) \rangle$  by blast
  qed

```

```

lemma (in Protocol) minimal-transitions-reconstruction :
   $\forall \sigma \sigma'. (\sigma, \sigma') \in \text{minimal-transitions} \longrightarrow \sigma \cup \{\text{the-elem } (\sigma' - \sigma)\} = \sigma'$ 
  apply (rule, rule, rule)
proof -
  fix  $\sigma \sigma'$ 
  assume  $(\sigma, \sigma') \in \text{minimal-transitions}$ 
  then have is-singleton  $(\sigma' - \sigma)$ 
  using minimal-transitions-def minimal-transition-implies-recieving-single-message
by auto
  then have  $\sigma \subseteq \sigma'$ 
  using  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \rangle$  minimal-transitions-def by auto
  then show  $\sigma \cup \{\text{the-elem } (\sigma' - \sigma)\} = \sigma'$ 
  by (metis Diff-partition  $\langle \text{is-singleton } (\sigma' - \sigma) \rangle$  is-singleton-the-elem)
  qed

```

```

lemma (in Protocol) road-to-future-state :
   $\forall \sigma \sigma'. \sigma \in \Sigma \wedge \sigma' \in \Sigma \wedge \text{is-future-state}(\sigma, \sigma') \longrightarrow n = \text{card } (\sigma' - \sigma) \longrightarrow (\exists f. f\ 0 = \sigma \wedge f\ n = \sigma' \wedge (\forall i. 0 \leq i \wedge i \leq n - 1 \longrightarrow f\ i \in \Sigma \wedge (\exists m \in M. f\ i \cup \{m\} = f\ (\text{Suc } i))))$ 
  apply (rule, rule, rule, rule)
  oops

```

end

4 Safety Proof

theory *ConsensusSafety*

imports *Main CBCCasper MessageJustification StateTransition Libraries/LaTeXsugar*

begin

definition (*in Protocol*) *futures* :: *state* \Rightarrow *state set*
where
futures $\sigma = \{\sigma' \in \Sigma t. \text{is-future-state } (\sigma, \sigma')\}$

lemma (*in Protocol*) *monotonic-futures* :
 $\forall \sigma' \sigma. \sigma' \in \Sigma t \wedge \sigma \in \Sigma t$
 $\longrightarrow \sigma' \in \text{futures } \sigma \longleftrightarrow \text{futures } \sigma' \subseteq \text{futures } \sigma$
apply (*simp add: futures-def*) **by** *auto*

theorem (*in Protocol*) *two-party-common-futures* :
 $\forall \sigma 1 \sigma 2. \sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$
 $\longrightarrow \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$
 $\longrightarrow \text{futures } \sigma 1 \cap \text{futures } \sigma 2 \neq \emptyset$
apply (*simp add: futures-def Σt -def*) **using** *union-of-two-states-is-state*
by *blast*

theorem (*in Protocol*) *n-party-common-futures* :
 $\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$
 $\longrightarrow \text{finite } \sigma\text{-set}$
 $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$
 $\longrightarrow \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\} \neq \emptyset$
apply (*simp add: futures-def Σt -def*) **using** *union-of-finite-set-of-states-is-state*
by *blast*

lemma (*in Protocol*) *n-party-common-futures-exists* :
 $\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$
 $\longrightarrow \text{finite } \sigma\text{-set}$
 $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$
 $\longrightarrow (\exists \sigma \in \Sigma t. \sigma \in \bigcap \{\text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$
apply (*simp add: futures-def Σt -def*) **using** *union-of-finite-set-of-states-is-state*
by *blast*

definition (*in Protocol*) *state-property-is-decided* :: (*state-property* * *state*) \Rightarrow *bool*
where
state-property-is-decided = $(\lambda(p, \sigma). (\forall \sigma' \in \text{futures } \sigma. p \sigma'))$

lemma (in *Protocol*) *forward-consistency* :
 $\forall \sigma' \sigma. \sigma' \in \Sigma t \wedge \sigma \in \Sigma t$
 $\longrightarrow \sigma' \in \text{futures } \sigma$
 $\longrightarrow \text{state-property-is-decided } (p, \sigma)$
 $\longrightarrow \text{state-property-is-decided } (p, \sigma')$
apply (simp add: futures-def state-property-is-decided-def)
by auto

fun *state-property-not* :: *state-property* \Rightarrow *state-property*
where
state-property-not $p = (\lambda \sigma. (\neg p \ \sigma))$

lemma (in *Protocol*) *backward-consistency* :
 $\forall \sigma' \sigma. \sigma' \in \Sigma t \wedge \sigma \in \Sigma t$
 $\longrightarrow \sigma' \in \text{futures } \sigma$
 $\longrightarrow \text{state-property-is-decided } (p, \sigma')$
 $\longrightarrow \neg \text{state-property-is-decided } (\text{state-property-not } p, \sigma)$
apply (simp add: futures-def state-property-is-decided-def)
by auto

theorem (in *Protocol*) *two-party-consensus-safety-for-state-property* :
 $\forall \sigma 1 \ \sigma 2. \sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$
 $\longrightarrow \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$
 $\longrightarrow \neg (\text{state-property-is-decided } (p, \sigma 1) \wedge \text{state-property-is-decided } (\text{state-property-not } p, \sigma 2))$
apply (simp add: state-property-is-decided-def)
using two-party-common-futures
by (metis Int-emptyI)

definition (in *Protocol*) *state-properties-are-inconsistent* :: *state-property set* \Rightarrow *bool*
where
state-properties-are-inconsistent $p\text{-set} = (\forall \sigma \in \Sigma. \neg (\forall p \in p\text{-set}. p \ \sigma))$

definition (in *Protocol*) *state-properties-are-consistent* :: *state-property set* \Rightarrow *bool*
where
state-properties-are-consistent $p\text{-set} = (\exists \sigma \in \Sigma. \forall p \in p\text{-set}. p \ \sigma)$

definition (in *Protocol*) *state-property-decisions* :: *state* \Rightarrow *state-property set*
where
state-property-decisions $\sigma = \{p. \text{state-property-is-decided } (p, \sigma)\}$

theorem (in *Protocol*) *n-party-safety-for-state-properties* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$

\longrightarrow *finite* $\sigma\text{-set}$

\longrightarrow *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$

\longrightarrow *state-properties-are-consistent* $(\bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \})$

apply *rule+*

proof–

fix $\sigma\text{-set}$

assume $\sigma\text{-set}: \sigma\text{-set} \subseteq \Sigma t$

and *finite* $\sigma\text{-set}$

and *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$

hence $\exists \sigma \in \Sigma t. \sigma \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$

using *n-party-common-futures-exists* **by** *simp*

hence $\exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. \sigma \in \text{futures } s$

by *blast*

hence $\exists \sigma \in \Sigma t. (\forall s \in \sigma\text{-set}. \sigma \in \text{futures } s) \wedge (\forall s \in \sigma\text{-set}. \sigma \in \text{futures } s \longrightarrow (\forall p.$

state-property-is-decided $(p, s) \longrightarrow \text{state-property-is-decided } (p, \sigma))$

by (*simp add: subset-eq state-property-is-decided-def futures-def*)

hence $\exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. (\forall p. \text{state-property-is-decided } (p, s) \longrightarrow \text{state-property-is-decided } (p, \sigma))$

by *blast*

hence $\exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. (\forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma))$

by (*simp add: state-property-decisions-def*)

hence $\exists \sigma \in \Sigma t. \forall p \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}. \text{state-property-is-decided } (p, \sigma)$

proof–

obtain σ **where** $\sigma \in \Sigma t \forall s \in \sigma\text{-set}. (\forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma))$

using $\langle \exists \sigma \in \Sigma t. \forall s \in \sigma\text{-set}. \forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma) \rangle$

by *blast*

have $\forall p \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}. \text{state-property-is-decided } (p, \sigma)$

using $\langle \forall s \in \sigma\text{-set}. \forall p \in \text{state-property-decisions } s. \text{state-property-is-decided } (p, \sigma) \rangle$

by *fastforce*

thus *?thesis*

using $\langle \sigma \in \Sigma t \rangle$ **by** *blast*

qed

hence $\exists \sigma \in \Sigma t. \forall p \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}. \forall \sigma' \in \text{futures } \sigma. p \sigma'$

by (*simp add: state-property-decisions-def futures-def state-property-is-decided-def*)

show *state-properties-are-consistent* $(\bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \})$

unfolding *state-properties-are-consistent-def*

by (*metis* (*mono-tags*, *lifting*) $\Sigma t\text{-def}$ $\langle \exists \sigma \in \Sigma t. \forall p \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}. \forall \sigma' \in \text{futures } \sigma. p \sigma' \rangle$ *mem-Collect-eq monotonic-futures order-refl*)

qed

definition (in *Protocol*) *naturally-corresponding-state-property* :: *consensus-value-property*
 \Rightarrow *state-property*

where

naturally-corresponding-state-property $q = (\lambda\sigma. \forall c \in \varepsilon \sigma. q \ c)$

definition (in *Protocol*) *consensus-value-properties-are-consistent* :: *consensus-value-property*
 $set \Rightarrow bool$

where

consensus-value-properties-are-consistent $q\text{-set} = (\exists c \in C. \forall q \in q\text{-set}. q \ c)$

lemma (in *Protocol*) *naturally-corresponding-consistency* :

$\forall q\text{-set}. \text{state-properties-are-consistent } \{ \text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set} \}$

$\longrightarrow \text{consensus-value-properties-are-consistent } q\text{-set}$

apply (*rule*, *rule*)

proof –

fix $q\text{-set}$

have

$\text{state-properties-are-consistent } \{ \text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set} \}$

$\longrightarrow (\exists \sigma \in \Sigma. \forall p \in \{ \lambda\sigma'. \forall c \in \varepsilon \sigma'. q \ c \mid q. q \in q\text{-set} \}. p \ \sigma)$

by (*simp add: naturally-corresponding-state-property-def state-properties-are-consistent-def*)

moreover have

$(\exists \sigma \in \Sigma. \forall p \in \{ \lambda\sigma'. \forall c \in \varepsilon \sigma'. q \ c \mid q. q \in q\text{-set} \}. p \ \sigma)$

$\longrightarrow (\exists \sigma \in \Sigma. \forall q' \in q\text{-set}. (\lambda\sigma'. \forall c \in \varepsilon \sigma'. q' \ c) \ \sigma)$

by (*metis (mono-tags, lifting) mem-Collect-eq*)

moreover have

$(\exists \sigma \in \Sigma. \forall q \in q\text{-set}. (\lambda\sigma'. \forall c \in \varepsilon \sigma'. q \ c) \ \sigma)$

$\longrightarrow (\exists \sigma \in \Sigma. \forall q' \in q\text{-set}. \forall c \in \varepsilon \sigma. q' \ c)$

by *blast*

moreover have

$(\exists \sigma \in \Sigma. \forall q \in q\text{-set}. \forall c \in \varepsilon \sigma. q \ c)$

$\longrightarrow (\exists \sigma \in \Sigma. \forall c \in \varepsilon \sigma. \forall q' \in q\text{-set}. q' \ c)$

by *blast*

moreover have

$(\exists \sigma \in \Sigma. \forall c \in \varepsilon \sigma. \forall q \in q\text{-set}. q \ c)$

$\longrightarrow (\exists \sigma \in \Sigma. \exists c \in \varepsilon \sigma. \forall q' \in q\text{-set}. q' \ c)$

by (*meson all-not-in-conv estimates-are-non-empty*)

moreover have

$(\exists \sigma \in \Sigma. \exists c \in \varepsilon \sigma. \forall q \in q\text{-set}. q \ c)$

$\longrightarrow (\exists c \in C. \forall q' \in q\text{-set}. q' \ c)$

using *is-valid-estimator-def* $\varepsilon\text{-type}$ **by** *fastforce*

ultimately show

$\text{state-properties-are-consistent } \{ \text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set} \}$

$\Longrightarrow \text{consensus-value-properties-are-consistent } q\text{-set}$

by (simp add: consensus-value-properties-are-consistent-def)
qed

definition (in Protocol) consensus-value-property-is-decided :: (consensus-value-property * state) \Rightarrow bool
where
consensus-value-property-is-decided
= $(\lambda(q, \sigma). \text{state-property-is-decided } (\text{naturally-corresponding-state-property } q, \sigma))$

definition (in Protocol) consensus-value-property-decisions :: state \Rightarrow consensus-value-property set
where
consensus-value-property-decisions $\sigma = \{q. \text{consensus-value-property-is-decided } (q, \sigma)\}$

theorem (in Protocol) n-party-safety-for-consensus-value-properties :
 $\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$
 $\longrightarrow \text{finite } \sigma\text{-set}$
 $\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$
 $\longrightarrow \text{consensus-value-properties-are-consistent } (\bigcup \{\text{consensus-value-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$
apply (rule, rule, rule, rule)

proof –
fix $\sigma\text{-set}$
assume $\sigma\text{-set} \subseteq \Sigma t$
and finite $\sigma\text{-set}$
and is-faults-lt-threshold $(\bigcup \sigma\text{-set})$
hence state-properties-are-consistent $(\bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\})$
using $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$ n-party-safety-for-state-properties by auto
hence state-properties-are-consistent $\{p \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}. \exists q. p = \text{naturally-corresponding-state-property } q\}$
unfolding naturally-corresponding-state-property-def state-properties-are-consistent-def
apply (simp)
by meson
hence state-properties-are-consistent $\{\text{naturally-corresponding-state-property } q \mid q. \text{naturally-corresponding-state-property } q \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}\}$
by (smt Collect-cong)
hence consensus-value-properties-are-consistent $\{q. \text{naturally-corresponding-state-property } q \in \bigcup \{\text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set}\}\}$
using naturally-corresponding-consistency
proof –
show ?thesis
by (metis (no-types) Setcompr-eq-image $\forall q\text{-set}. \text{state-properties-are-consistent}$)

$\{ \text{naturally-corresponding-state-property } q \mid q. q \in q\text{-set} \} \longrightarrow \text{consensus-value-properties-are-consistent } q\text{-set} \rangle \langle \text{state-properties-are-consistent } \{ \text{naturally-corresponding-state-property } q \mid q. \text{naturally-corresponding-state-property } q \in \bigcup \{ \text{state-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \} \rangle \text{ setcompr-eq-image}$

qed

hence $\text{consensus-value-properties-are-consistent } (\bigcup \{ \text{consensus-value-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \})$

apply (*simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def state-property-decisions-def consensus-value-properties-are-consistent-def*)

by (*metis mem-Collect-eq*)

thus

$\text{consensus-value-properties-are-consistent } (\bigcup \{ \text{consensus-value-property-decisions } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \})$

by *simp*

qed

fun $\text{consensus-value-property-not} :: \text{consensus-value-property} \Rightarrow \text{consensus-value-property}$

where

$\text{consensus-value-property-not } p = (\lambda c. (\neg p \ c))$

lemma (*in Protocol*) *negation-is-not-decided-by-other-validator :*

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$

$\longrightarrow \text{finite } \sigma\text{-set}$

$\longrightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$

$\longrightarrow (\forall \sigma \sigma' p. \{ \sigma, \sigma' \} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma$

$\longrightarrow \text{consensus-value-property-not } p \notin \text{consensus-value-property-decisions}$

$\sigma')$

apply (*rule, rule, rule, rule, rule, rule, rule, rule*)

proof –

fix $\sigma\text{-set } \sigma \sigma' p$

assume $\sigma\text{-set} \subseteq \Sigma t$ **and** *finite* $\sigma\text{-set}$ **and** *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$ **and** $\{ \sigma, \sigma' \} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma$

hence $\exists \sigma. \sigma \in \Sigma t \wedge \sigma \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$

using *n-party-common-futures-exists* **by** *meson*

then obtain σ'' **where** $\sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$ **by** *auto*

hence *state-property-is-decided* (*naturally-corresponding-state-property* p, σ'')

using $\langle \{ \sigma, \sigma' \} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma \rangle$ *consensus-value-property-decisions-def* *consensus-value-property-is-decided-def*

using $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$ *forward-consistency* **by** *fastforce*

have $\sigma'' \in \text{futures } \sigma'$

using $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle \langle \{ \sigma, \sigma' \} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma \rangle$

by *auto*

hence $\neg \text{state-property-is-decided } (\text{state-property-not } (\text{naturally-corresponding-state-property } p), \sigma')$

using *backward-consistency* $\langle \text{state-property-is-decided } (\text{naturally-corresponding-state-property } p, \sigma'') \rangle$

using $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \text{-Collect } (\text{futures } \sigma) \ (\sigma \in \sigma\text{-set}) \rangle \langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \{ \sigma,$

$\sigma' \} \subseteq \sigma\text{-set} \wedge p \in \text{consensus-value-property-decisions } \sigma \rangle$ **by** *auto*
then show $\text{consensus-value-property-not } p \notin \text{consensus-value-property-decisions } \sigma'$
apply (*simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def*
naturally-corresponding-state-property-def state-property-is-decided-def)
using $\Sigma t\text{-def estimates-are-non-empty futures-def}$ **by** *fastforce*
qed

lemma (*in Protocol*) *n-party-consensus-safety* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$
 $\rightarrow \text{finite } \sigma\text{-set}$
 $\rightarrow \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set})$
 $\rightarrow (\forall p \in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}.$
 $(\lambda c. (\neg p \ c)) \notin \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \})$
apply (*rule, rule, rule, rule, rule, rule*)

proof –

fix $\sigma\text{-set } p$
assume $\sigma\text{-set} \subseteq \Sigma t$ **and** *finite* $\sigma\text{-set}$ **and** *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$ **and** p
 $\in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}$
and $(\lambda c. (\neg p \ c)) \in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \}$
hence $\exists \sigma. \sigma \in \Sigma t \wedge \sigma \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$

using *n-party-common-futures-exists* **by** *meson*
then obtain σ'' **where** $\sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \}$ **by** *auto*
hence *state-property-is-decided* (*naturally-corresponding-state-property* p, σ'')
using $\langle p \in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \} \rangle$ *consensus-value-property-decisions-de*
consensus-value-property-is-decided-def

using $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$ *forward-consistency* **by** *fastforce*
have *state-property-is-decided* (*naturally-corresponding-state-property* $(\lambda c. (\neg p \ c)), \sigma''$)
using $\langle (\lambda c. (\neg p \ c)) \in \bigcup \{ \text{consensus-value-property-decisions } \sigma' \mid \sigma'. \sigma' \in \sigma\text{-set} \} \rangle$ *consensus-value-property-decisions-def* *consensus-value-property-is-decided-def*

using $\langle \sigma\text{-set} \subseteq \Sigma t \rangle$ *forward-consistency* $\langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \{ \text{futures } \sigma \mid \sigma. \sigma \in \sigma\text{-set} \} \rangle$ **by** *fastforce*
then show *False*
using *state-property-is-decided* (*naturally-corresponding-state-property* p, σ'')
apply (*simp add: state-property-is-decided-def naturally-corresponding-state-property-def*)
by (*meson* $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma'' \in \Sigma t \wedge \sigma'' \in \bigcap \text{-Collect } (\text{futures } \sigma) \ (\sigma \in \sigma\text{-set}) \rangle$ *estimates-are-non-empty monotonic-futures order-refl subsetCE*)
qed

lemma (*in Protocol*) *two-party-consensus-safety-for-consensus-value-property* :

$\forall \sigma 1 \ \sigma 2. \sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$
 $\rightarrow \text{is-faults-lt-threshold } (\sigma 1 \cup \sigma 2)$
 $\rightarrow \text{consensus-value-property-is-decided } (p, \sigma 1)$
 $\rightarrow \neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } p, \sigma 2)$
apply (*rule, rule, rule, rule, rule*)

proof –
fix $\sigma 1 \ \sigma 2$
have $two\text{-}party: \forall \ \sigma 1 \ \sigma 2. \{\sigma 1, \sigma 2\} \subseteq \Sigma t$
 $\longrightarrow is\text{-}faults\text{-}lt\text{-}threshold \ (\bigcup \{\sigma 1, \sigma 2\})$
 $\longrightarrow p \in consensus\text{-}value\text{-}property\text{-}decisions \ \sigma 1$
 $\longrightarrow consensus\text{-}value\text{-}property\text{-}not \ p \notin consensus\text{-}value\text{-}property\text{-}decisions$
 $\sigma 2$
using $negation\text{-}is\text{-}not\text{-}decided\text{-}by\text{-}other\text{-}validator$
by $(meson \ finite.emptyI \ finite.insertI \ order\text{-}refl)$
assume $\sigma 1 \in \Sigma t \wedge \sigma 2 \in \Sigma t$ **and** $is\text{-}faults\text{-}lt\text{-}threshold \ (\sigma 1 \cup \sigma 2)$ **and** $consensus\text{-}value\text{-}property\text{-}is\text{-}decided$
 $(p, \sigma 1)$
then show $\neg consensus\text{-}value\text{-}property\text{-}is\text{-}decided \ (consensus\text{-}value\text{-}property\text{-}not$
 $p, \sigma 2)$
using $two\text{-}party$
apply $(simp \ add: \ consensus\text{-}value\text{-}property\text{-}decisions\text{-}def)$
by $blast$
qed

lemma (in *Protocol*) $n\text{-}party\text{-}consensus\text{-}safety\text{-}for\text{-}power\text{-}set\text{-}of\text{-}decisions :$
 $\forall \ \sigma\text{-}set. \ \sigma\text{-}set \subseteq \Sigma t$
 $\longrightarrow finite \ \sigma\text{-}set$
 $\longrightarrow is\text{-}faults\text{-}lt\text{-}threshold \ (\bigcup \ \sigma\text{-}set)$
 $\longrightarrow (\forall \ \sigma \ p\text{-}set. \ \sigma \in \sigma\text{-}set \wedge p\text{-}set \in Pow \ (\bigcup \ \{consensus\text{-}value\text{-}property\text{-}decisions$
 $\sigma' \mid \sigma'. \ \sigma' \in \sigma\text{-}set\}) - \{\emptyset\})$
 $\longrightarrow (\lambda c. \neg (\forall \ p \in p\text{-}set. \ p \ c)) \notin consensus\text{-}value\text{-}property\text{-}decisions \ \sigma)$
apply $(rule, rule, rule, rule, rule, rule, rule, rule)$

proof –
fix $\sigma\text{-}set \ \sigma \ p\text{-}set$
assume $\sigma\text{-}set \subseteq \Sigma t$ **and** $finite \ \sigma\text{-}set$ **and** $is\text{-}faults\text{-}lt\text{-}threshold \ (\bigcup \sigma\text{-}set)$
and $\sigma \in \sigma\text{-}set \wedge p\text{-}set \in Pow \ (\bigcup \ \{consensus\text{-}value\text{-}property\text{-}decisions \ \sigma' \mid \sigma'. \ \sigma'$
 $\in \sigma\text{-}set\}) - \{\emptyset\})$
and $(\lambda c. \neg (\forall \ p \in p\text{-}set. \ p \ c)) \in consensus\text{-}value\text{-}property\text{-}decisions \ \sigma$
hence $\exists \ \sigma. \ \sigma \in \Sigma t \wedge \sigma \in \bigcap \ \{futures \ \sigma \mid \sigma. \ \sigma \in \sigma\text{-}set\}$
using $n\text{-}party\text{-}common\text{-}futures\text{-}exists$ **by** $meson$
then obtain σ' **where** $\sigma' \in \Sigma t \wedge \sigma' \in \bigcap \ \{futures \ \sigma \mid \sigma. \ \sigma \in \sigma\text{-}set\}$ **by** $auto$
hence $\forall \ p \in p\text{-}set. \ \exists \ \sigma'' \in \sigma\text{-}set. \ state\text{-}property\text{-}is\text{-}decided \ (naturally\text{-}corresponding\text{-}state\text{-}property$
 $p, \sigma'')$
using $\langle \sigma \in \sigma\text{-}set \wedge p\text{-}set \in Pow \ (\bigcup \ \{consensus\text{-}value\text{-}property\text{-}decisions \ \sigma' \mid$
 $\sigma'. \ \sigma' \in \sigma\text{-}set\}) - \{\emptyset\} \rangle$
apply $(simp \ add: \ consensus\text{-}value\text{-}property\text{-}decisions\text{-}def \ consensus\text{-}value\text{-}property\text{-}is\text{-}decided\text{-}def)$
by $blast$
have $\forall \ \sigma'' \in \sigma\text{-}set. \ \sigma' \in futures \ \sigma''$
using $\langle \sigma' \in \Sigma t \wedge \sigma' \in \bigcap \text{-}Collect \ (futures \ \sigma) \ (\sigma \in \sigma\text{-}set) \rangle$ **by** $blast$
hence $\forall \ p \in p\text{-}set. \ state\text{-}property\text{-}is\text{-}decided \ (naturally\text{-}corresponding\text{-}state\text{-}property$
 $p, \sigma')$
using $forward\text{-}consistency \ \forall \ p \in p\text{-}set. \ \exists \ \sigma'' \in \sigma\text{-}set. \ state\text{-}property\text{-}is\text{-}decided$
 $(naturally\text{-}corresponding\text{-}state\text{-}property \ p, \sigma'')$
by $(meson \ \langle \sigma' \in \Sigma t \wedge \sigma' \in \bigcap \text{-}Collect \ (futures \ \sigma) \ (\sigma \in \sigma\text{-}set) \rangle \ \langle \sigma\text{-}set \subseteq \Sigma t \rangle$
 $subsetCE)$

```

hence state-property-is-decided (naturally-corresponding-state-property ( $\lambda c. \forall p$ 
 $\in p\text{-set}. p\ c), \sigma')$ 
  apply (simp add: naturally-corresponding-state-property-def state-property-is-decided-def)
  by auto
then show False
  using  $\langle \lambda c. \neg (\forall p \in p\text{-set}. p\ c) \rangle \in \text{consensus-value-property-decisions } \sigma$ 
  apply (simp add: consensus-value-property-decisions-def consensus-value-property-is-decided-def
naturally-corresponding-state-property-def state-property-is-decided-def)
  using  $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma \in \sigma\text{-set} \wedge p\text{-set} \in \text{Pow } (\bigcup\text{-Collect } (\text{consensus-value-property-decisions}
 $\sigma') \langle \sigma' \in \sigma\text{-set} \rangle) - \{\emptyset\} \rangle \langle \sigma' \in \Sigma t \wedge \sigma' \in \bigcap\text{-Collect } (\text{futures } \sigma) \langle \sigma \in \sigma\text{-set} \rangle$ 
estimates-are-non-empty monotonic-futures by fastforce
qed

end
theory SafetyOracle

imports Main CBCCasper LatestMessage StateTransition ConsensusSafety

begin$ 
```

```

definition agreeing-validators :: (consensus-value-property * state)  $\Rightarrow$  validator set
where
  agreeing-validators =  $(\lambda(p, \sigma). \{v \in \text{observed-non-equivocating-validators } \sigma. \forall$ 
 $c \in L\text{-H-E } \sigma\ v. p\ c\})$ 

```

```

definition is-agreeing :: (consensus-value-property * state * validator)  $\Rightarrow$  bool
where
  is-agreeing =  $(\lambda(p, \sigma, v). \forall c \in L\text{-H-E } \sigma\ v. p\ c)$ 

```

```

lemma (in Protocol) agreeing-validators-type :

```


$\forall \sigma \in \Sigma. \text{agreeing-validators } (p, \sigma) \subseteq V$
apply (*simp add: observed-non-equivocating-validators-def agreeing-validators-def*)
using *observed-type-for-state* **by** *auto*

lemma (**in** *Protocol*) *agreeing-validators-finite* :
 $\forall \sigma \in \Sigma. \text{finite } (\text{agreeing-validators } (p, \sigma))$
by (*meson V-type agreeing-validators-type rev-finite-subset*)

definition *disagreeing-validators* :: (*consensus-value-property* * *state*) \Rightarrow *validator set*
where
 $\text{disagreeing-validators} = (\lambda(p, \sigma). \{v \in \text{observed-non-equivocating-validators } \sigma. \exists c \in L-H-E \sigma v. \neg p c\})$

lemma (**in** *Protocol*) *disagreeing-validators-type* :
 $\forall \sigma \in \Sigma. \text{disagreeing-validators } (p, \sigma) \subseteq V$
apply (*simp add: observed-non-equivocating-validators-def disagreeing-validators-def*)
using *observed-type-for-state* **by** *auto*

definition (**in** *Params*) *is-majority* :: (*validator set* * *state*) \Rightarrow *bool*
where
 $\text{is-majority} = (\lambda(v\text{-set}, \sigma). (\text{weight-measure } v\text{-set} > (\text{weight-measure } (V - \text{equivocating-validators } \sigma)) \text{ div } 2))$

definition (**in** *Protocol*) *is-majority-driven* :: *consensus-value-property* \Rightarrow *bool*
where
 $\text{is-majority-driven } p = (\forall \sigma c. \sigma \in \Sigma \wedge c \in C \wedge \text{is-majority } (\text{agreeing-validators } (p, \sigma), \sigma) \longrightarrow (\forall c \in \varepsilon \sigma. p c))$

definition (**in** *Protocol*) *is-max-driven* :: *consensus-value-property* \Rightarrow *bool*
where
 $\text{is-max-driven } p = (\forall \sigma c. \sigma \in \Sigma \wedge c \in C \wedge \text{weight-measure } (\text{agreeing-validators } (p, \sigma)) > \text{weight-measure } (\text{disagreeing-validators } (p, \sigma)) \longrightarrow c \in \varepsilon \sigma \wedge p c)$

definition *later-disagreeing-messages* :: (*consensus-value-property* * *message* * *validator* * *state*) \Rightarrow *message set*
where
 $\text{later-disagreeing-messages} = (\lambda(p, m, v, \sigma). \{m' \in \text{later-from } (m, v, \sigma). \neg p (\text{est } m')\})$

lemma (**in** *Protocol*) *later-disagreeing-messages-type* :
 $\forall p \sigma v m. \sigma \in \Sigma \wedge v \in V \wedge m \in M \longrightarrow \text{later-disagreeing-messages } (p, m, v,$

$\sigma) \subseteq M$
unfolding *later-disagreeing-messages-def*
using *later-from-type-for-state* **by** *auto*

definition *is-clique* :: (*validator set* * *consensus-value-property* * *state*) \Rightarrow *bool*
where
 $is-clique = (\lambda(v-set, p, \sigma). (\forall v \in v-set. v \in observed-non-equivocating-validators$
 σ
 $\wedge (\forall v' \in v-set.$
 $is-singleton (L-H-M$
 $(the-elem (L-H-J \sigma v)) v')$
 $\wedge is-agreeing (p, (the-elem (L-H-J \sigma v)), v')$
 $\wedge later-disagreeing-messages (p,$
 $the-elem (L-H-M$
 $(the-elem (L-H-J \sigma v)) v')$
 $, v', \sigma) = \emptyset)))$

lemma (**in** *Protocol*) *later-from-of-non-sender-not-affected-by-minimal-transitions*
 $:$
 $\forall \sigma \sigma' m m' v. (\sigma, \sigma') \in minimal-transitions \wedge m \in M$
 $\longrightarrow m' = the-elem (\sigma' - \sigma)$
 $\longrightarrow v \in V - \{sender\ m'\}$
 $\longrightarrow later-from (m, v, \sigma) = later-from (m, v, \sigma')$
apply (*rule, rule, rule, rule, rule, rule, rule, rule*)
proof—
fix $\sigma \sigma' m m' v$
assume $(\sigma, \sigma') \in minimal-transitions \wedge m \in M$
assume $m' = the-elem (\sigma' - \sigma)$
assume $v \in V - \{sender\ m'\}$

have $later-from (m, v, \sigma) = \{m'' \in \sigma. sender\ m'' = v \wedge justified\ m\ m''\}$
apply (*simp add: later-from-def from-sender-def later-def*)
by *auto*
also have $\dots = \{m'' \in \sigma. sender\ m'' = v \wedge justified\ m\ m''\} \cup \emptyset$
by *auto*
also have $\dots = \{m'' \in \sigma. sender\ m'' = v \wedge justified\ m\ m''\} \cup \{m'' \in \{m'\}.$
 $sender\ m'' = v\}$
proof—

```

    have  $\{m'' \in \{m'\}. \text{sender } m'' = v\} = \emptyset$ 
      using  $\langle v \in V - \{\text{sender } m'\} \rangle$  by auto
    thus ?thesis
      by blast
  qed
  also have  $\dots = \{m'' \in \sigma. \text{sender } m'' = v \wedge \text{justified } m \ m''\} \cup \{m'' \in \{m'\}. \text{sender } m'' = v \wedge \text{justified } m \ m''\}$ 
  proof -
    have  $\text{sender } m' = v \implies \text{justified } m \ m'$ 
      using  $\langle v \in V - \{\text{sender } m'\} \rangle$  by auto
    thus ?thesis
      by blast
  qed
  also have  $\dots = \{m'' \in \sigma \cup \{m'\}. \text{sender } m'' = v \wedge \text{justified } m \ m''\}$ 
  proof -
    have  $\sigma' = \sigma \cup \{m'\}$ 
      using  $\langle (\sigma, \sigma') \in \text{minimal-transitions} \wedge m \in M \rangle \langle m' = \text{the-elem } (\sigma' - \sigma) \rangle$ 
      minimal-transitions-reconstruction by auto
    then show ?thesis
      by auto
  qed
  then have  $\dots = \text{later-from } (m, v, \sigma')$ 
    apply (simp add: later-from-def from-sender-def later-def)
    by auto
  then show  $\text{later-from } (m, v, \sigma) = \text{later-from } (m, v, \sigma')$ 
    using  $\{m'' \in \sigma \cup \{m'\}. \text{sender } m'' = v \wedge \text{justified } m \ m''\} = \{m'' \in \sigma'. \text{sender } m'' = v \wedge \text{justified } m \ m''\}$  calculation by auto
  qed

```

lemma (in Protocol) *equivocation-status-of-non-sender-not-affected-by-minimal-transitions*
:

```

 $\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$ 
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$ 
 $\longrightarrow v \in V - \{\text{sender } m'\}$ 
 $\longrightarrow v \in \text{equivocating-validators } \sigma \longleftrightarrow v \in \text{equivocating-validators } \sigma'$ 
oops

```

lemma (in Protocol) *L-M-of-non-sender-not-affected-by-minimal-transitions* :

```

 $\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$ 
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$ 
 $\longrightarrow v \in V - \{\text{sender } m'\}$ 
 $\longrightarrow L\text{-H-M } \sigma \ v = L\text{-H-M } \sigma' \ v$ 
oops

```

lemma (in Protocol) *latest-justificationss-of-non-sender-not-affected-by-minimal-transitions*

:

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$

$\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$

$\longrightarrow v \in V - \{\text{sender } m'\}$

$\longrightarrow L\text{-}H\text{-}J \sigma v = L\text{-}H\text{-}J \sigma' v$

oops

lemma (in Protocol) *later-disagreeing-of-non-sender-not-affected-by-minimal-transitions*

:

$\forall \sigma \sigma' m m' v. (\sigma, \sigma') \in \text{minimal-transitions} \wedge m \in M$

$\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$

$\longrightarrow v \in V - \{\text{sender } m'\}$

$\longrightarrow \text{later-disagreeing-messages } (p, m, v, \sigma) = \text{later-disagreeing-messages } (p, m, v, \sigma')$

oops

lemma (in Protocol) *clique-not-affected-by-minimal-transitions-outside-clique* :

$\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$

$\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$

$\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) = \text{is-clique } (v\text{-set}, p, \sigma')$

oops

lemma (in Protocol) *free-sub-clique* :

$\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$

$\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$

$\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) = \text{is-clique } (v\text{-set} - \{\text{sender } m'\}, p, \sigma')$

oops

lemma (in Protocol) *later-messages-from-non-equivocating-validator-include-all-earlier-messages*

:

$\forall v \sigma \sigma 1 \sigma 2. \sigma \in \Sigma \wedge \sigma 1 \in \Sigma \wedge \sigma 1 \subseteq \sigma \wedge \sigma 2 \subseteq \sigma \wedge \sigma 1 \cap \sigma 2 = \emptyset$

$\longrightarrow (\forall m1 \in \sigma 1. \text{sender}(m1) = v \longrightarrow (\forall m2 \in \sigma 2. \text{sender}(m2) = v \longrightarrow m1 \in \text{justification}(m2)))$

oops

lemma (in Protocol) *message-between-minimal-transition-is-latest-message* :

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow v \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow m' = \text{the-elem } (L\text{-}H\text{-}M \ \sigma' \ v)$
oops

lemma (in *Protocol*) *latest-message-from-non-equivocating-validator-is-previous-latest-or-later:*

$\forall \sigma \sigma' m' v. (\sigma, \sigma') \in \text{minimal-transitions}$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma \wedge v \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{the-elem } (L\text{-}H\text{-}M \ (\text{justification } m') \ v)$
 $\quad = \text{the-elem } (L\text{-}H\text{-}M \ (\text{the-elem } (L\text{-}H\text{-}J \ \sigma \ (\text{sender } m')) \ v))$
 $\quad \vee \text{justified } (\text{the-elem } (L\text{-}H\text{-}M \ (\text{the-elem } (L\text{-}H\text{-}J \ \sigma \ (\text{sender } m')) \ v))$
 $\quad \quad (\text{the-elem } (L\text{-}H\text{-}M \ (\text{justification } m') \ v))$
oops

lemma (in *Protocol*) *justified-message-exists-in-later-from:*

$\forall \sigma m1 \ m2. \sigma \in \Sigma \wedge \{m1, m2\} \subseteq \sigma$
 $\longrightarrow \text{justified } m1 \ m2 \longrightarrow m2 \in \text{later-from } (m1, \text{sender } m1, \sigma)$
apply (*simp add: later-from-def later-def from-sender-def*)
oops

lemma (in *Protocol*) *non-equivocating-message-from-clique-see-clique-agreeing :*

$\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) \wedge \text{sender } m' \in v\text{-set} \wedge \text{sender } m' \notin \text{equivocating-validators}$
 σ'
 $\longrightarrow v\text{-set} \subseteq \text{agreeing-validators } (p, \text{justification } m')$
oops

lemma (in *Protocol*) *new-message-from-majority-clique-see-members-agreeing :*

$\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) \wedge \text{sender } m' \in v\text{-set} \wedge \text{sender } m' \notin \text{equivocating-validators}$
 σ'
 $\quad \wedge (\forall v \in v\text{-set}. \text{is-majority } (v\text{-set}, \text{the-elem } (L\text{-}H\text{-}J \ \sigma \ v)))$
 $\longrightarrow \text{sender } m' \in \text{agreeing-validators } (p, \text{justification } m')$
oops

lemma (in Protocol) *latest-message-in-justification-of-new-message-is-latest-message* :
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{the-elem } (L\text{-H-M } (\text{justification } m') (\text{sender } m')) = \text{the-elem } (L\text{-H-M } \sigma$
 $(\text{sender } m'))$
oops

lemma (in Protocol) *latest-message-justified-by-new-message* :
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{justified } (\text{the-elem } (L\text{-H-M } \sigma (\text{sender } m')))) m'$
oops

lemma (in Protocol) *nothing-later-than-latest-honest-message* :
 $\forall v \sigma m. v \in V \wedge \sigma \in \Sigma \wedge m \in M$
 $\longrightarrow v \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{later-from } (\text{the-elem } (L\text{-H-M } \sigma v), v, \sigma) = \emptyset$
oops

lemma (in Protocol) *later-messages-for-sender-is-new-message* :
 $\forall \sigma \sigma' m' v\text{-set}. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow \text{later-from } (\text{the-elem } (L\text{-H-M } \sigma (\text{sender } m')), \text{sender } m', \sigma') = \{m'\}$
oops

lemma (in Protocol) *later-disagreeing-is-monotonic*:
 $\forall v \sigma m1 m2. v \in V \wedge \sigma \in \Sigma \wedge \{m1, m2\} \subseteq M$
 $\longrightarrow \text{justified } m1 m2$
 $\longrightarrow \text{later-disagreeing-messages } (p, m2, v, \sigma) \subseteq \text{later-disagreeing-messages } (p,$
 $m1, v, \sigma)$
oops

lemma (in Protocol) *empty-later-disagreeing-messages-in-new-message* :
 $\forall \sigma \sigma' m' v\text{-set } v p. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V \wedge v \in V$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin \text{equivocating-validators } \sigma'$
 $\longrightarrow v \notin \text{equivocating-validators } \sigma$
 $\longrightarrow \text{later-disagreeing-messages } (p, (\text{the-elem } (L\text{-H-M } (\text{the-elem } (L\text{-H-J } \sigma (\text{sender$

$m')) v)), v, \sigma) = \emptyset$
 $\longrightarrow \text{later-disagreeing-messages } (p, (\text{the-elem } (L-H-M \text{ (justification } m')) v)), v, \sigma)$
 $= \emptyset$
oops

lemma (**in** *Protocol*) *clique-not-affected-by-minimal-transitions-outside-clique* :
 $\forall \sigma \sigma' m' v\text{-set } p. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{is-majority-driven } p$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma) \wedge \text{sender } m' \in v\text{-set} \wedge \text{sender } m' \notin \text{equivocating-validators}$
 σ'
 $\wedge (\forall v \in v\text{-set}. \text{is-majority } (v\text{-set}, \text{the-elem } (L-H-J \sigma v)))$
 $\longrightarrow \text{is-clique } (v\text{-set}, p, \sigma')$
oops

definition (**in** *Params*) *gt-threshold* :: (validator set * state) \Rightarrow bool
where
gt-threshold
 $= (\lambda(v\text{-set}, \sigma). (\text{weight-measure } v\text{-set} > (\text{weight-measure } V) \text{ div } 2 + t - \text{weight-measure } (\text{equivocating-validators } \sigma)))$

lemma (**in** *Protocol*) *gt-threshold-implies-majority-for-any-validator* :
 $\forall \sigma v\text{-set } p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{gt-threshold } (v\text{-set}, \sigma)$
 $\longrightarrow (\forall v \in v\text{-set}. \text{is-majority } (v\text{-set}, \text{the-elem } (L-H-J \sigma v)))$
oops

definition (**in** *Params*) *is-clique-oracle* :: (validator set * state * consensus-value-property)
 \Rightarrow bool
where
is-clique-oracle
 $= (\lambda(v\text{-set}, \sigma, p). (\text{is-clique } (v\text{-set} - (\text{equivocating-validators } \sigma), p, \sigma) \wedge \text{gt-threshold } (v\text{-set} - (\text{equivocating-validators } \sigma), \sigma)))$

lemma (**in** *Protocol*) *clique-oracles-preserved-over-minimal-transitions-from-validators-not-in-clique*
:
 $\forall \sigma \sigma' m' v\text{-set } p. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{is-majority-driven } p$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \notin v\text{-set} - \text{equivocating-validators } \sigma$
 $\wedge \text{is-clique-oracle } (v\text{-set}, \sigma, p)$

$\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma', p)$
oops

lemma (*in Protocol*) *clique-oracles-preserved-over-minimal-transitions-from-non-equivocating-validator* :

$\forall \sigma \sigma' m' v\text{-set } p. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{is-majority-driven } p$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \in v\text{-set} - \text{equivocating-validators } \sigma \wedge \text{sender } m' \notin \text{equivocating-validators}$
 σ'
 $\wedge \text{is-clique-oracle } (v\text{-set}, \sigma, p)$
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma', p)$
oops

lemma (*in Protocol*) *clique-oracles-preserved-over-minimal-transitions-from-equivocating-validator* :

$\forall \sigma \sigma' m' v\text{-set } p. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{is-majority-driven } p$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{sender } m' \in v\text{-set} - \text{equivocating-validators } \sigma \wedge \text{sender } m' \in \text{equivocating-validators}$
 σ'
 $\wedge \text{is-clique-oracle } (v\text{-set}, \sigma, p)$
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma', p)$
oops

lemma (*in Protocol*) *clique-oracles-preserved-over-minimal-transitions* :

$\forall \sigma \sigma' m' v\text{-set } p. (\sigma, \sigma') \in \text{minimal-transitions} \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{is-majority-driven } p$
 $\longrightarrow m' = \text{the-elem } (\sigma' - \sigma)$
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma, p)$
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma', p)$
sorry

lemma (*in Protocol*) *clique-oracles-preserved-over-nice-message* :

$\forall \sigma m' v\text{-set } p. \sigma \in \Sigma t \wedge v\text{-set} \subseteq V$
 $\longrightarrow \text{is-majority-driven } p$
 $\longrightarrow \sigma \cup \{m'\} \in \Sigma t$
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma, p)$
 $\longrightarrow \text{is-clique-oracle } (v\text{-set}, \sigma \cup \{m'\}, p)$
sorry

lemma (*in Protocol*) *clique-implies-everyone-agreeing* :

$\forall \sigma v\text{-set } p. \sigma \in \Sigma \wedge v\text{-set} \subseteq V$

\longrightarrow *is-clique* (*v-set*, *p*, σ)
 \longrightarrow *v-set* \subseteq *agreeing-validators* (*p*, σ)
apply (*rule*, *rule*, *rule*, *rule*, *rule*)
proof –
fix σ *v-set* *p* **assume** $\sigma \in \Sigma \wedge v\text{-set} \subseteq V$ **and** *is-clique* (*v-set*, *p*, σ)
then have *clique*: $\forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma$
 \wedge *is-singleton* (*L-H-M*
 $(\text{the-elem } (L\text{-H-J } \sigma \ v)) \ v)$
 \wedge *later-disagreeing-messages* (*p*,
 $\text{the-elem } (L\text{-H-M}$
 $(\text{the-elem } (L\text{-H-J } \sigma \ v)) \ v)$
 $, v, \sigma) = \emptyset$
by (*simp add: is-clique-def*)
then have *p-on-est*: $\forall v \in v\text{-set}. (\forall m \in \{m' \in \sigma. \text{sender } m' = v$
 $\wedge \text{justified } (\text{the-elem } (L\text{-H-M}$
 $(\text{the-elem } (L\text{-H-J } \sigma \ v)) \ v))$
 $m'\}.$
 $p(\text{est } m))$
by (*simp add: later-disagreeing-messages-def later-from-def later-def from-sender-def*)
have $\forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma$
using *clique* **by** *simp*
then have $\forall v \in v\text{-set}. \text{the-elem } (L\text{-H-J } \sigma \ v)$
 $= \text{justification } (\text{the-elem } (L\text{-H-M } \sigma \ v))$
apply (*simp add: L-H-J-def*)
by (*metis* $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ *empty-iff is-singleton-the-elem L-H-M-of-observed-non-equivocating-validator-*
singletonD singletonI the-elem-image-unique)
then have *justified-ok*: $\forall v \in v\text{-set}. \text{justified } (\text{the-elem } (L\text{-H-M}$
 $(\text{the-elem } (L\text{-H-J } \sigma \ v)) \ v))$
 $(\text{the-elem } (L\text{-H-M } \sigma \ v))$
by (*smt* $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ *clique empty-iff is-singleton-the-elem justified-def*
L-H-J-type L-H-M-def L-M-is-subset-of-the-state L-H-J-of-observed-non-equivocating-validator-is-singleton
singletonI subsetCE)
have *sender-ok*: $\forall v \in v\text{-set}. \text{sender } (\text{the-elem } (L\text{-H-M } \sigma \ v)) = v$
using $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle$ *sender-of-L-H-M*
using $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ **by** *blast*
have $\forall v \in v\text{-set}. \text{the-elem } (L\text{-H-M } \sigma \ v) \in \sigma$
using $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle$ *L-H-M-is-in-the-state*
using $\langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ **by** *blast*
then have $\forall v \in v\text{-set}. p (\text{est } (\text{the-elem } (L\text{-H-M } \sigma \ v)))$
using *p-on-est sender-ok justified-ok*
by *blast*
then have $\forall v \in v\text{-set}. p (\text{the-elem } (L\text{-H-E } \sigma \ v))$
apply (*simp add: L-H-E-def*)
by (*metis* (*no-types, lifting*) $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators}$
 $\sigma \rangle \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq V \rangle$ *empty-iff is-singleton-the-elem L-H-M-of-observed-non-equivocating-validator-is-singleton*
singletonD singletonI the-elem-image-unique)
then show *v-set* \subseteq *agreeing-validators* (*p*, σ)
unfolding *agreeing-validators-def*
by (*smt* $\langle \forall v \in v\text{-set}. v \in \text{observed-non-equivocating-validators } \sigma \rangle \langle \sigma \in \Sigma \wedge v\text{-set} \subseteq$

$V \triangleright \text{is-singleton-the-elem mem-Collect-eq L-H-E-of-observed-non-equivocating-validator-is-singleton}$
 $\text{old.prod.case singletonD subsetI})$

qed

lemma (in *Protocol*) *threshold-sized-clique-imps-estimator-agreeing* :

$\forall \sigma \text{ v-set } p. \sigma \in \Sigma t \wedge \text{v-set} \subseteq V$
 $\longrightarrow \text{finite v-set}$
 $\longrightarrow \text{is-majority-driven } p$
 $\longrightarrow \text{is-clique } (\text{v-set} - \text{equivocating-validators } \sigma, p, \sigma) \wedge \text{gt-threshold } (\text{v-set} - \text{equivocating-validators } \sigma, \sigma)$
 $\longrightarrow (\forall c \in \varepsilon \sigma. p \ c)$
apply (*rule, rule, rule, rule, rule, rule, rule, rule*)

proof –

fix $\sigma \text{ v-set } p \ c$
assume $\sigma \in \Sigma t \wedge \text{v-set} \subseteq V$
and *finite v-set*
and *is-majority-driven p*
and *is-clique* ($\text{v-set} - \text{equivocating-validators } \sigma, p, \sigma$) \wedge *gt-threshold* ($\text{v-set} - \text{equivocating-validators } \sigma, \sigma$)
and $c \in \varepsilon \sigma$
then have $\text{v-set} - \text{equivocating-validators } \sigma \subseteq \text{agreeing-validators } (p, \sigma)$
using *clique-imps-everyone-agreeing*
by (*meson Diff-subset Σt -is-subset-of- Σ subsetCE subset-trans*)
then have $\text{weight-measure } (\text{v-set} - \text{equivocating-validators } \sigma) \leq \text{weight-measure } (\text{agreeing-validators } (p, \sigma))$
using *agreeing-validators-finite equivocating-validators-def weight-measure-comparison-strict-subset-gte Σt -is-subset-of- Σ $\langle \sigma \in \Sigma t \wedge \text{v-set} \subseteq V \rangle \langle \text{finite v-set} \rangle$* **by** *auto*
have $\text{weight-measure } (\text{v-set} - \text{equivocating-validators } \sigma) > (\text{weight-measure } V)$
 $\text{div } 2 + t - \text{weight-measure } (\text{equivocating-validators } \sigma)$
using $\langle \text{is-clique } (\text{v-set} - \text{equivocating-validators } \sigma, p, \sigma) \wedge \text{gt-threshold } (\text{v-set} - \text{equivocating-validators } \sigma, \sigma) \rangle$
unfolding *gt-threshold-def* **by** *simp*
then have $\text{weight-measure } (\text{v-set} - \text{equivocating-validators } \sigma) > (\text{weight-measure } V) \text{ div } 2$
using $\Sigma t\text{-def } \langle \sigma \in \Sigma t \wedge \text{v-set} \subseteq V \rangle \text{equivocation-fault-weight-def is-faults-lt-threshold-def}$

by *auto*
then have $\text{weight-measure } (\text{v-set} - \text{equivocating-validators } \sigma) > (\text{weight-measure } (V - \text{equivocating-validators } \sigma)) \text{ div } 2$

proof –

have *finite* ($V - \text{equivocating-validators } \sigma$)
using *V-type equivocating-validators-is-finite*
by *simp*
moreover have $V - \text{equivocating-validators } \sigma \subseteq V$
by (*simp add: Diff-subset*)
ultimately have $(\text{weight-measure } V) \text{ div } 2 \geq (\text{weight-measure } (V - \text{equivocating-validators } \sigma)) \text{ div } 2$
using *weight-measure-comparison-strict-subset-gte*

```

    by (simp add: V-type)
  then show ?thesis
    using ⟨weight-measure  $V / 2 < \text{weight-measure } (v\text{-set} - \text{equivocating-validators } \sigma)$ ⟩ by linarith
  qed
  then have weight-measure (agreeing-validators (p,  $\sigma$ )) > weight-measure ( $V - \text{equivocating-validators } \sigma$ ) div 2
    using ⟨weight-measure ( $v\text{-set} - \text{equivocating-validators } \sigma$ ) ≤ weight-measure (agreeing-validators (p,  $\sigma$ ))⟩
    by linarith
  then show p c
    using ⟨is-majority-driven p⟩ unfolding is-majority-driven-def is-majority-def gt-threshold-def
    using ⟨c ∈  $\varepsilon \sigma$ ⟩
    using Mi.simps  $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma \in \Sigma t \wedge v\text{-set} \subseteq V \rangle \text{ non-justifying-message-exists-in-}M-0$ 
  by blast
qed

```

lemma (in Protocol) *clique-oracle-for-all-futures* :

```

  ∀  $\sigma$  v-set p.  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
  → is-majority-driven p
  → is-clique-oracle (v-set,  $\sigma$ , p)
  → (∀  $\sigma' \in \text{futures } \sigma$ . is-clique-oracle (v-set,  $\sigma'$ , p))
  apply (rule+)
proof -
  fix  $\sigma$  v-set p  $\sigma'$ 
  assume  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$  and is-majority-driven p and is-clique-oracle (v-set,  $\sigma$ , p) and  $\sigma' \in \text{futures } \sigma$ 
  show is-clique-oracle (v-set,  $\sigma'$ , p)
    using clique-oracles-preserved-over-minimal-transitions
  sorry
qed

```

lemma (in Protocol) *clique-oracle-is-safety-oracle* :

```

  ∀  $\sigma$  v-set p.  $\sigma \in \Sigma t \wedge v\text{-set} \subseteq V$ 
  → finite v-set
  → is-majority-driven p
  → is-clique-oracle (v-set,  $\sigma$ , p)
  → (∀  $\sigma' \in \text{futures } \sigma$ . naturally-corresponding-state-property p  $\sigma'$ )
  using clique-oracle-for-all-futures threshold-sized-clique-imps-estimator-agreeing
  apply (simp add: is-clique-oracle-def naturally-corresponding-state-property-def)
  by (metis (mono-tags, lifting) futures-def mem-Collect-eq)

```

end

theory TFGCasper

imports Main HOL.Real CBCCasper LatestMessage SafetyOracle ConsensusSafety

begin

type-synonym *block* = *consensus-value*

locale *BlockchainParams* = *Params* +

fixes *B* :: *block set*
fixes *genesis* :: *block*

and *prev* :: *block* \Rightarrow *block*

fun (**in** *BlockchainParams*) *n-cestor* :: *block* * *nat* \Rightarrow *block*
where
 n-cestor (*b*, 0) = *b*
 | *n-cestor* (*b*, *n*) = *n-cestor* (*prev* *b*, *n* - 1)

definition (**in** *BlockchainParams*) *blockchain-membership* :: *block* \Rightarrow *block* \Rightarrow *bool*
(**infixl** \downarrow 70)
where
 b1 \downarrow *b2* = (\exists *n*. *n* \in \mathbb{N} \wedge *b1* = *n-cestor* (*b2*, *n*))

notation (*ASCII*)
comp (**infixl** *blockchain-membership* 70)

definition (**in** *BlockchainParams*) *score* :: *state* \Rightarrow *block* \Rightarrow *real*
where
 score σ *b* = *sum* *W* {*v* \in *observed* σ . \exists *b'* \in *B*. *b'* \in (*L-H-E* σ *v*) \wedge (*b* \downarrow *b'*)}

definition (**in** *BlockchainParams*) *children* :: *block* * *state* \Rightarrow *block set*
where
 children = (λ (*b*, σ). {*b'* \in *est* ' σ . *b* = *prev* *b'*'})

definition (**in** *BlockchainParams*) *best-children* :: *block* * *state* \Rightarrow *block set*
where
 best-children = (λ (*b*, σ). {*arg-max-on* (*score* σ) (*children* (*b*, σ))})

function (in *BlockchainParams*) *GHOST* :: (block set * state) => block set
where
GHOST (b-set, σ) =
 $(\bigcup b \in \{b \in b\text{-set}. \text{children } (b, \sigma) \neq \emptyset\}. \text{GHOST } (\text{best-children } (b, \sigma), \sigma))$
 $\cup \{b \in b\text{-set}. \text{children } (b, \sigma) = \emptyset\}$
by *auto*

definition (in *BlockchainParams*) *GHOST-estimator* :: state \Rightarrow block set
where
GHOST-estimator $\sigma = \text{GHOST } (\{\text{genesis}\}, \sigma) \cup (\bigcup b \in \text{GHOST } (\{\text{genesis}\}, \sigma). \text{children } (b, \sigma))$

abbreviation (in *BlockchainParams*) *P* :: consensus-value-property set
where
 $P \equiv \{p. \exists! b \in B. \forall b' \in B. (b \downarrow b' \longrightarrow p \ b' = \text{True}) \wedge \neg (b \downarrow b' \longrightarrow p \ b' = \text{False})\}$

locale *Blockchain* = *BlockchainParams* + *Protocol* +
assumes *blockchain-type* : $\forall b \ b' \ b''. \{b, b', b''\} \subseteq B \longrightarrow b' \downarrow b \wedge b'' \downarrow b \longrightarrow (b' \downarrow b'' \vee b'' \downarrow b')$
and *block-is-consensus-value* : $B = C$

definition (in *BlockchainParams*) *block-membership-property* :: block \Rightarrow consensus-value-property
where
block-membership-property $b = (\lambda b'. b \downarrow b')$

definition (in *BlockchainParams*) *block-conflicting* :: (block * block) \Rightarrow bool
where
block-conflicting = $(\lambda (b1, b2). \neg (b1 \downarrow b2 \vee b2 \downarrow b1))$

lemma (in *Blockchain*) *conflicting-blocks-impls-conflicting-decision* :
 $\forall b1 \ b2 \ \sigma. \{b1, b2\} \subseteq B \wedge \sigma \in \Sigma$
 $\longrightarrow \text{block-conflicting } (b1, b2)$
 $\longrightarrow \text{consensus-value-property-is-decided } (\text{block-membership-property } b1, \sigma)$
 $\longrightarrow \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership-property } b2), \sigma)$
apply (*simp add: block-membership-property-def consensus-value-property-is-decided-def naturally-corresponding-state-property-def state-property-is-decided-def*)
apply (*rule, rule, rule, rule, rule, rule*)
proof –
fix $b1 \ b2 \ \sigma$
assume $b1 \in B \wedge b2 \in B \wedge \sigma \in \Sigma$ **and** *block-conflicting* ($b1, b2$) **and** $\forall \sigma \in \text{futures } \sigma. \forall b' \in \varepsilon \ \sigma. b1 \downarrow b'$
show $\forall \sigma \in \text{futures } \sigma. \forall c \in \varepsilon \ \sigma. \neg b2 \downarrow c$
proof (*rule ccontr*)

assume $\neg (\forall \sigma \in \text{futures } \sigma. \forall c \in \varepsilon \sigma. \neg b2 \mid c)$
hence $\exists \sigma \in \text{futures } \sigma. \exists c \in \varepsilon \sigma. b2 \mid c$
by *blast*
hence $\exists \sigma \in \text{futures } \sigma. \exists c \in \varepsilon \sigma. b2 \mid c \wedge b1 \mid c$
using $\langle \forall \sigma \in \text{futures } \sigma. \forall b' \in \varepsilon \sigma. b1 \mid b' \rangle$ **by** *simp*
hence $b1 \mid b2 \vee b2 \mid b1$
using *blockchain-type*
apply (*simp*)
using $\Sigma t\text{-is-subset-of-}\Sigma \langle b1 \in B \wedge b2 \in B \wedge \sigma \in \Sigma \rangle$ *block-is-consensus-value*
estimates-are-subset-of-C futures-def **by** *blast*
then show *False*
using $\langle \text{block-conflicting } (b1, b2) \rangle$
by (*simp add: block-conflicting-def*)
qed
qed

theorem (*in Blockchain*) *blockchain-safety* :

$\forall \sigma\text{-set}. \sigma\text{-set} \subseteq \Sigma t$
 \longrightarrow *finite* $\sigma\text{-set}$
 \longrightarrow *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$
 $\longrightarrow (\forall \sigma \sigma' b1 b2. \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq B \wedge \text{block-conflicting } (b1, b2)$
 $\wedge \text{block-membership-property } b1 \in \text{consensus-value-property-decisions } \sigma$
 $\longrightarrow \text{block-membership-property } b2 \notin \text{consensus-value-property-decisions } \sigma')$
apply (*rule, rule, rule, rule, rule, rule, rule, rule, rule, rule*)

proof –

fix $\sigma\text{-set } \sigma \sigma' b1 b2$
assume $\sigma\text{-set} \subseteq \Sigma t$ **and** *finite* $\sigma\text{-set}$ **and** *is-faults-lt-threshold* $(\bigcup \sigma\text{-set})$
and $\{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq B \wedge \text{block-conflicting } (b1, b2) \wedge \text{block-membership-property}$
 $b1 \in \text{consensus-value-property-decisions } \sigma$
and *block-membership-property* $b2 \in \text{consensus-value-property-decisions } \sigma'$
hence $\neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership-property}$
 $b1), \sigma')$
using *negation-is-not-decided-by-other-validator* $\langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \text{finite } \sigma\text{-set} \rangle$
 $\langle \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set}) \rangle$ **apply** (*simp add: consensus-value-property-decisions-def*)

using $\langle \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq B \wedge \text{block-conflicting } (b1, b2) \wedge$
 $\text{block-membership-property } b1 \in \text{consensus-value-property-decisions } \sigma \rangle$ **by** *auto*
have $\{b1, b2\} \subseteq B \wedge \sigma \in \Sigma \wedge \text{block-conflicting } (b1, b2)$
using $\Sigma t\text{-is-subset-of-}\Sigma \langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \{\sigma, \sigma'\} \subseteq \sigma\text{-set} \wedge \{b1, b2\} \subseteq B \wedge$
 $\text{block-conflicting } (b1, b2) \wedge \text{block-membership-property } b1 \in \text{consensus-value-property-decisions}$
 $\sigma \rangle$ **by** *auto*
hence *consensus-value-property-is-decided* $(\text{consensus-value-property-not } (\text{block-membership-property}$
 $b1), \sigma')$
using $\langle \text{block-membership-property } b2 \in \text{consensus-value-property-decisions } \sigma' \rangle$
conflicting-blocks-implies-conflicting-decision
apply (*simp add: consensus-value-property-decisions-def*)
by (*metis* $\langle \sigma\text{-set} \subseteq \Sigma t \rangle \langle \text{finite } \sigma\text{-set} \rangle \langle \text{is-faults-lt-threshold } (\bigcup \sigma\text{-set}) \rangle \langle \{\sigma, \sigma'\} \subseteq$
 $\sigma\text{-set} \wedge \{b1, b2\} \subseteq B \wedge \text{block-conflicting } (b1, b2) \wedge \text{block-membership-property } b1$
 $\in \text{consensus-value-property-decisions } \sigma \rangle$ *conflicting-blocks-implies-conflicting-decision*)

consensus-value-property-decisions-def insert-subset mem-Collect-eq negation-is-not-decided-by-other-validator)

then show *False*
using $\langle \neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership-property } b1), \sigma') \rangle$ **by** *blast*
qed

theorem (**in** *Blockchain*) *no-decision-on-conflicting-blocks* :

$\forall \sigma1 \sigma2. \{\sigma1, \sigma2\} \subseteq \Sigma t$
 $\rightarrow \text{is-faults-lt-threshold } (\sigma1 \cup \sigma2)$
 $\rightarrow (\forall b1 b2. \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2)$
 $\rightarrow \text{block-membership-property } b1 \in \text{consensus-value-property-decisions } \sigma1$
 $\rightarrow \text{block-membership-property } b2 \notin \text{consensus-value-property-decisions } \sigma2)$
apply (*rule, rule, rule, rule, rule, rule, rule, rule, rule, rule*)
proof –
fix $\sigma1 \sigma2 b1 b2$
assume $\{\sigma1, \sigma2\} \subseteq \Sigma t$ **and** *is-faults-lt-threshold* $(\sigma1 \cup \sigma2)$ **and** $\{b1, b2\} \subseteq C$
 $\wedge \text{block-conflicting } (b1, b2)$
and *block-membership-property* $b1 \in \text{consensus-value-property-decisions } \sigma1$
and *block-membership-property* $b2 \in \text{consensus-value-property-decisions } \sigma2$
hence *consensus-value-property-is-decided* $(\text{block-membership-property } b1, \sigma1)$
by (*simp add: consensus-value-property-decisions-def*)
hence $\neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership-property } b1), \sigma2)$
using *two-party-consensus-safety-for-consensus-value-property* $\langle \text{is-faults-lt-threshold } (\sigma1 \cup \sigma2) \rangle \langle \{\sigma1, \sigma2\} \subseteq \Sigma t \rangle$ **by** *blast*
have *block-membership-property* $b2 \in \text{consensus-value-property-decisions } \sigma2$
using $\langle \text{block-membership-property } b2 \in \text{consensus-value-property-decisions } \sigma2 \rangle$

by (*simp add: consensus-value-property-decisions-def*)
have $\sigma2 \in \Sigma t \wedge \{b2, b1\} \subseteq B \wedge \text{block-conflicting } (b2, b1)$
using *block-is-consensus-value* $\langle \{\sigma1, \sigma2\} \subseteq \Sigma t \rangle \langle \{b1, b2\} \subseteq C \wedge \text{block-conflicting } (b1, b2) \rangle$ **by** (*simp add: block-conflicting-def*)
hence *consensus-value-property-is-decided* $(\text{consensus-value-property-not } (\text{block-membership-property } b1), \sigma2)$
using *conflicting-blocks-implies-conflicting-decision* $\langle \text{block-membership-property } b2 \in \text{consensus-value-property-decisions } \sigma2 \rangle$
using *Σt -is-subset-of- Σ consensus-value-property-decisions-def* **by** *auto*
then show *False*
using $\langle \neg \text{consensus-value-property-is-decided } (\text{consensus-value-property-not } (\text{block-membership-property } b1), \sigma2) \rangle$ **by** *blast*
qed

locale *Ghost* = *BlockchainParams* + *Protocol* +
assumes *block-type* : $\forall b. b \in B \longleftrightarrow \text{prev } b \in B$
and *block-is-consensus-value* : $B = C$

```

and ghost-is-estimator :  $\varepsilon = \text{GHOST-estimator}$ 
and genesis-type :  $\text{genesis} \in C$ 

lemma (in Ghost) children-type :
   $\forall b \sigma. b \in B \wedge \sigma \in \Sigma \longrightarrow \text{children}(b, \sigma) \subseteq B$ 
  apply (simp add: children-def)
  using Ghost-axioms Ghost-axioms-def Ghost-def by auto

lemma argmax-type :
   $S \subseteq A \implies \text{arg-max-on } f S \in A$ 
  apply (simp add: arg-max-on-def arg-max-def is-arg-max-def)
  oops

lemma (in Ghost) best-children-type :
   $\forall b \sigma. b \in B \wedge \sigma \in \Sigma \longrightarrow \text{best-children}(b, \sigma) \subseteq B$ 
  apply (simp add: best-children-def arg-max-on-def arg-max-def is-arg-max-def)
  using children-type
  apply auto
  oops

lemma (in Ghost) GHSOT-type :
   $\forall \sigma \text{ b-set}. \sigma \in \Sigma \wedge \text{b-set} \subseteq B \longrightarrow \text{GHOST}(\text{b-set}, \sigma) \subseteq B$ 
  oops

lemma (in BlockchainParams) GHOST-is-valid-estimator :
   $(\forall b. b \in B \longleftrightarrow \text{prev } b \in B) \wedge B = C \wedge \text{genesis} \in C$ 
   $\implies \text{is-valid-estimator } \text{GHOST-estimator}$ 
  apply (simp add: is-valid-estimator-def BlockchainParams.GHOST-estimator-def)
  oops

lemma (in Ghost) block-membership-property-is-majority-driven :
   $\forall p \in P. \text{is-majority-driven } p$ 
  apply (simp add: is-majority-driven-def)

  oops

lemma (in Ghost) block-membership-property-is-max-driven :
   $\forall p \in P. \text{is-max-driven } p$ 
  apply (simp add: is-max-driven-def)

  oops

end

```