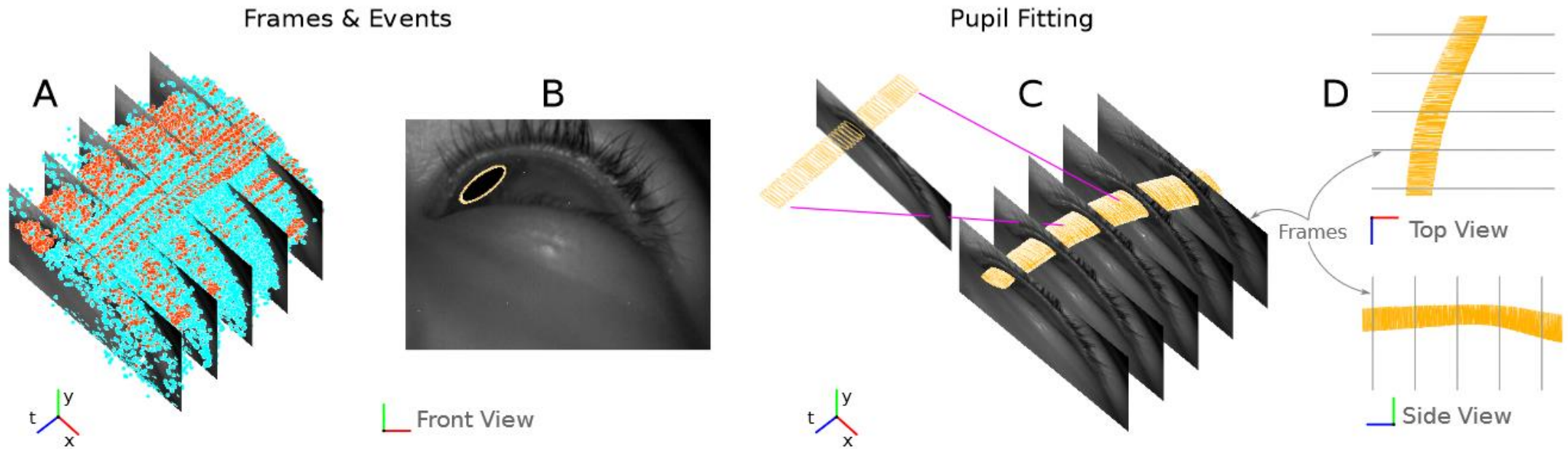
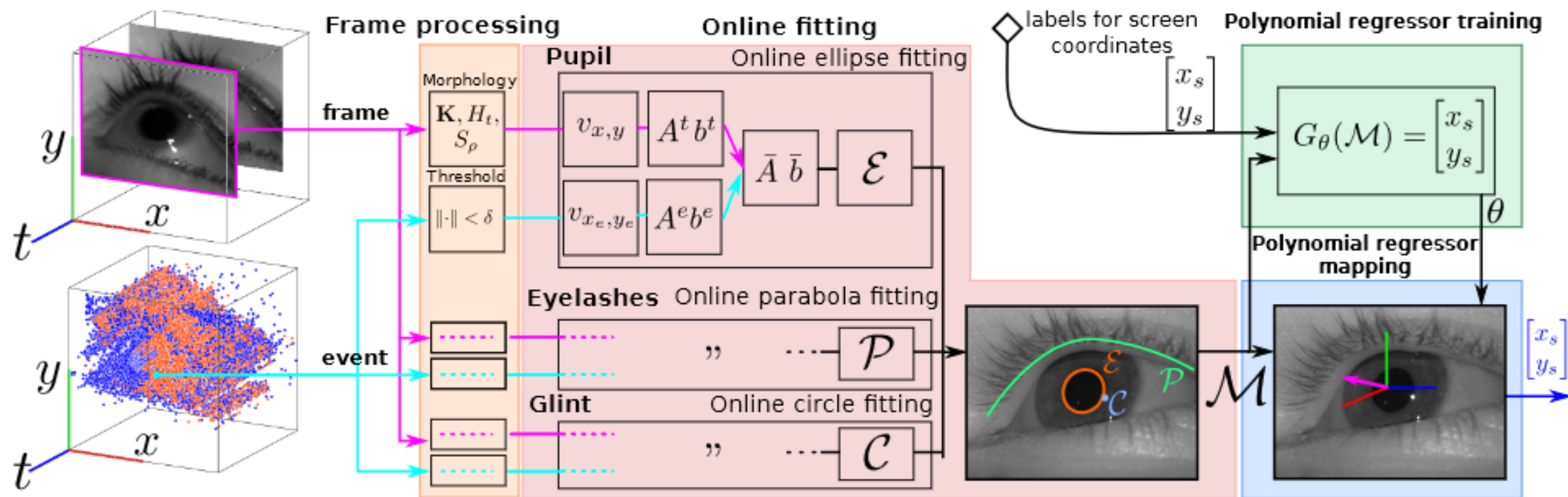


Event-Based Near-Eye Gaze Tracking

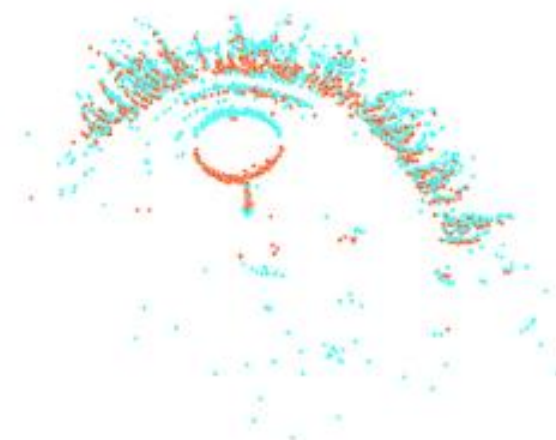


System flow



Eye Model

- $M = \{E, P, C\}$
- E representing pupil ellipse, R^5
- P representing eyelid parabola, R^3
- C representing glint circle, R^2

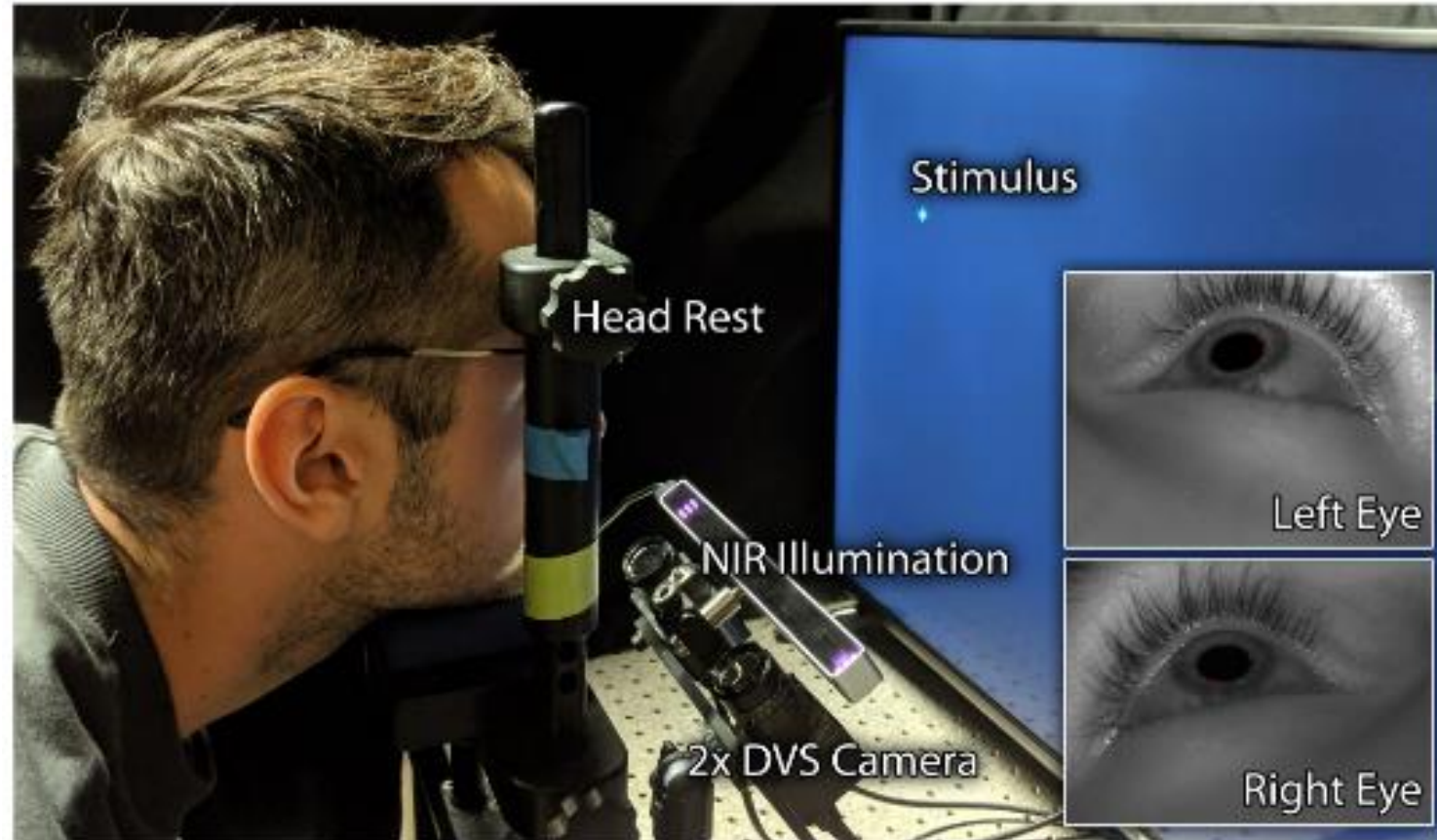


Mapping to a point of gaze

- Using two 2nd order polynomial functions to regress the output (x_s, y_s) from the pupil center (x_c, y_c)

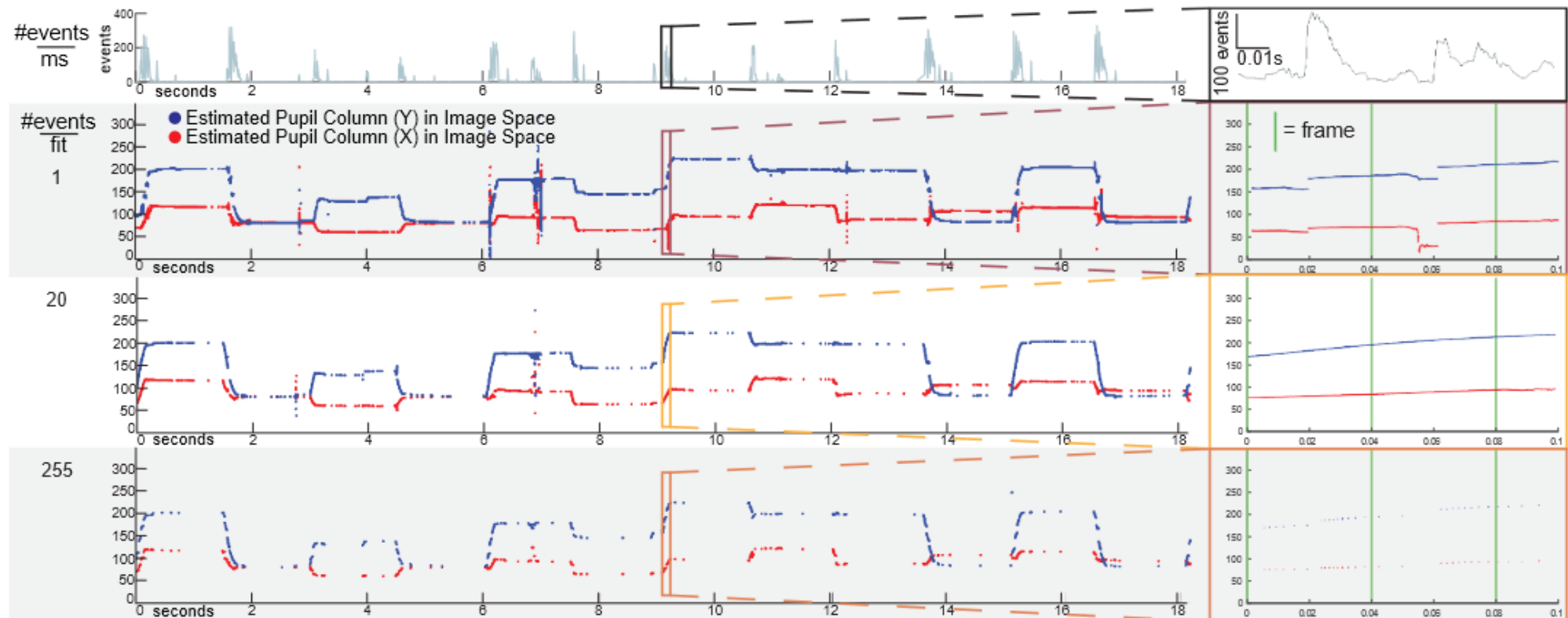
$$G_{\theta}(x_c, y_c) = \begin{pmatrix} x_s \\ y_s \end{pmatrix} = \begin{pmatrix} G_{\theta^1|_x}(x_c, y_c) \\ G_{\theta^2|_y}(x_c, y_c) \end{pmatrix}$$

Dataset



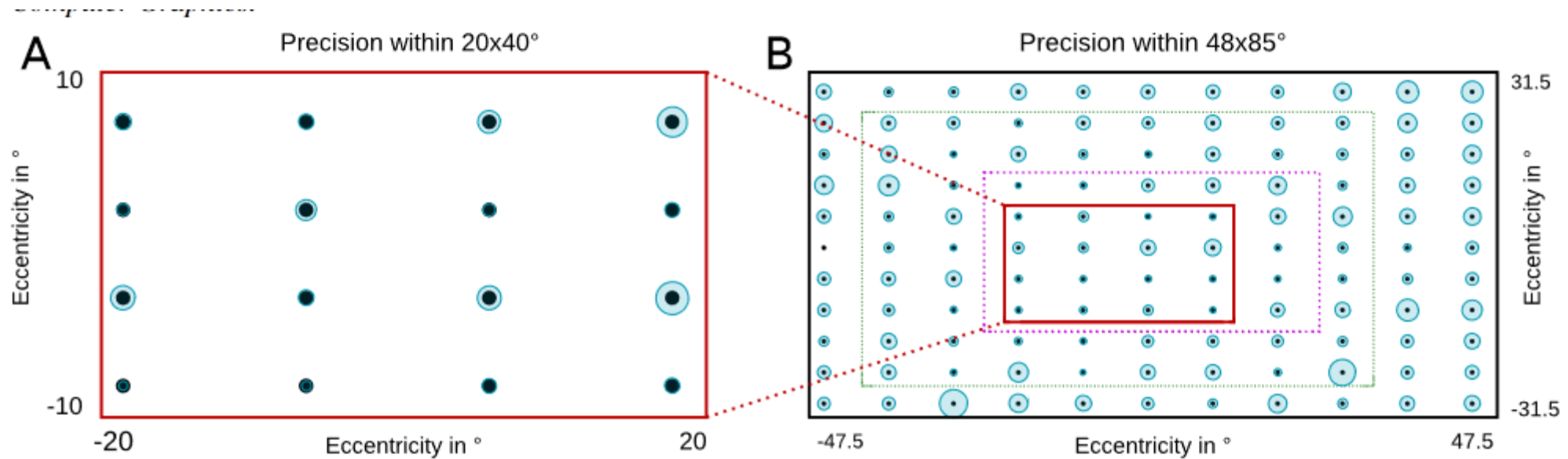
Result

- an inherent tradeoff between the smoothness, sparsity, and update rate.



Result

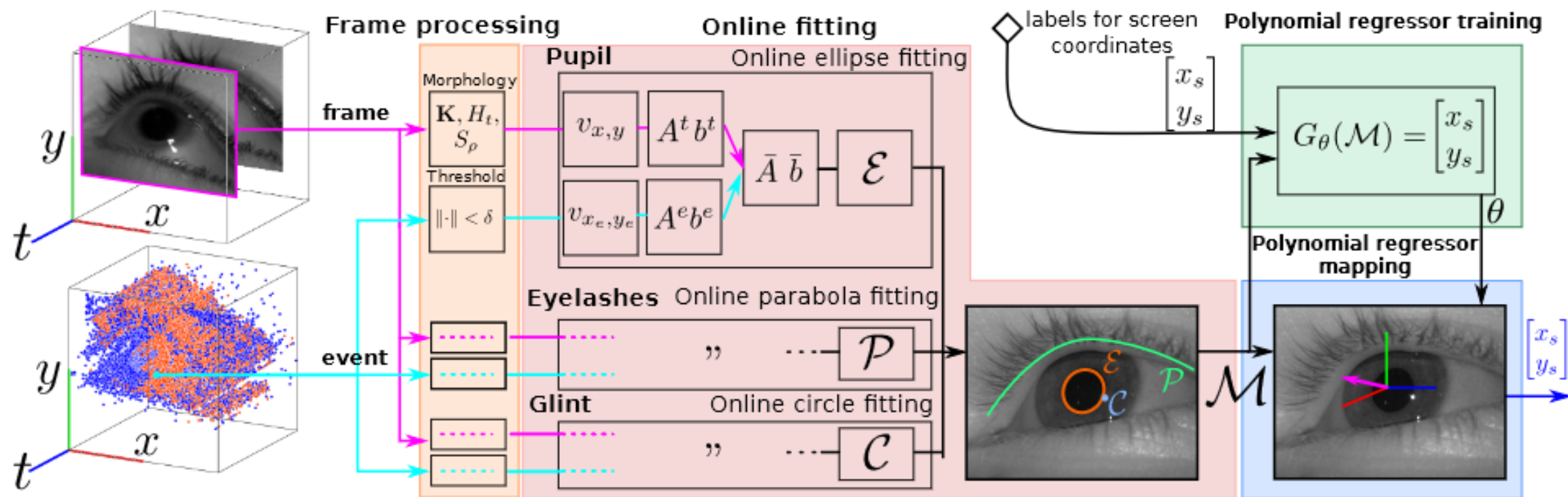
- Gaze Mapping accuracy and precision worsen in the edges of the field of view



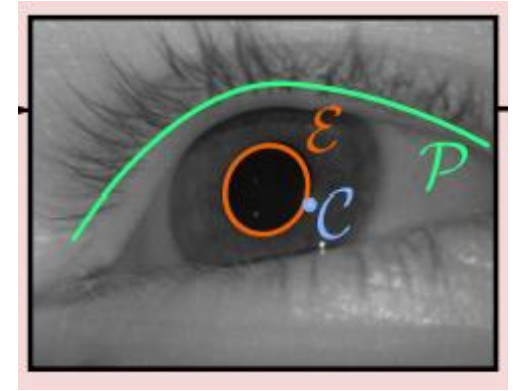
Possible Improvements

- Noise reduction and refresh rate enhancement
- Edge accuracy improvement
- Large distance camera
- Non fixed head position
- Single picture with two eyes
- New algorithms
- New evaluation methods

System flow



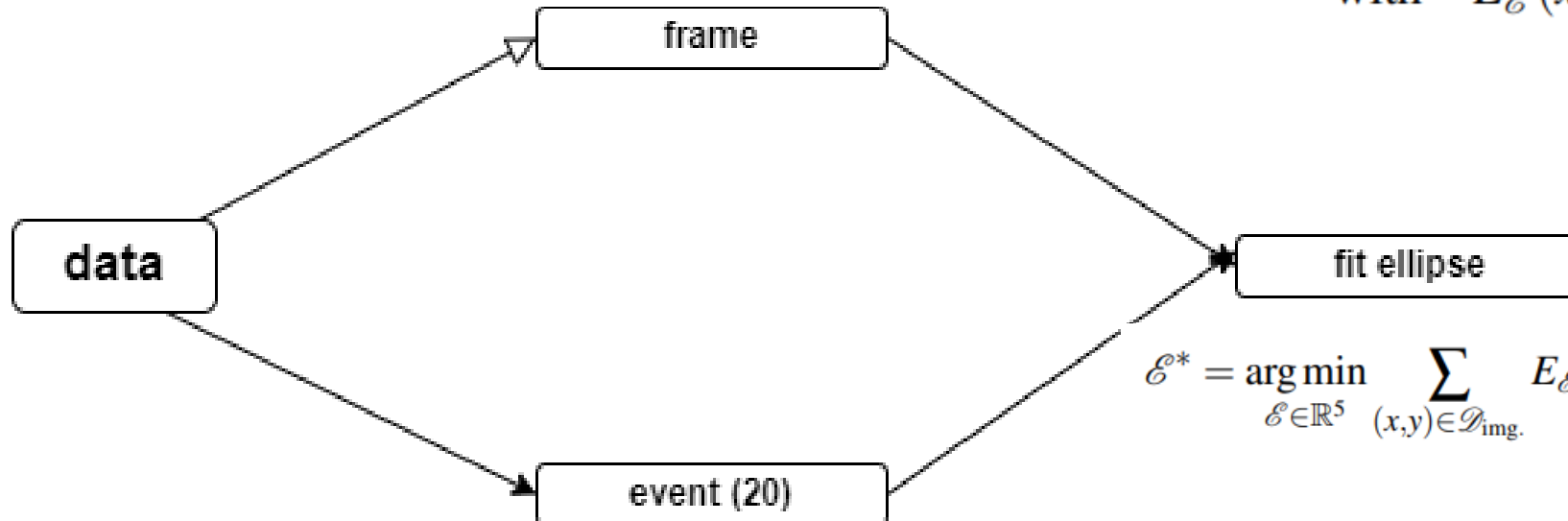
Eye Model-pupil ellipse



$$\mathcal{D}_{\text{img.}} = \{(x, y) \mid \mathbf{K}(\mathbf{H}_\theta(I) \circ S_\sigma)(x, y) = 1\},$$

$$E_{\mathcal{E}}(x, y) = 0$$

with $E_{\mathcal{E}}(x, y) = ax^2 + hxy + by^2 + gx + fy + d$



$$\mathcal{E}^* = \arg \min_{\mathcal{E} \in \mathbb{R}^5} \sum_{(x, y) \in \mathcal{D}_{\text{img.}}} E_{\mathcal{E}}(x, y)^2$$

$$\mathcal{D}_{\text{evt.}} = \{(x, y) \mid \|P_{\mathcal{E}}(x, y) - (x, y)\|_2 < \delta\},$$

for all $(x, y) \in \mathcal{D} = \mathcal{D}_{\text{evt.}} \cup \mathcal{D}_{\text{img.}}$ **do**

$$v_{x, y}^T \leftarrow (x^2, xy, y^2, x, y)$$

$$A \leftarrow \sum_{(x, y) \in \mathcal{D}} v_{x, y} v_{x, y}^T$$

$$b \leftarrow \sum_{(x, y) \in \mathcal{D}} v_{x, y}$$

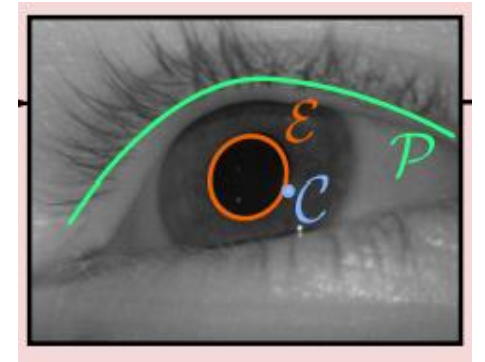
$$\bar{A} \leftarrow \gamma \bar{A} + (1 - \gamma) A$$

$$\bar{b} \leftarrow \gamma \bar{b} + (1 - \gamma) b$$

$$\bar{A}_{\text{inv.}} \leftarrow \bar{A}^{-1}$$

$$\mathcal{E} \leftarrow \bar{A}_{\text{inv.}} \bar{b}$$

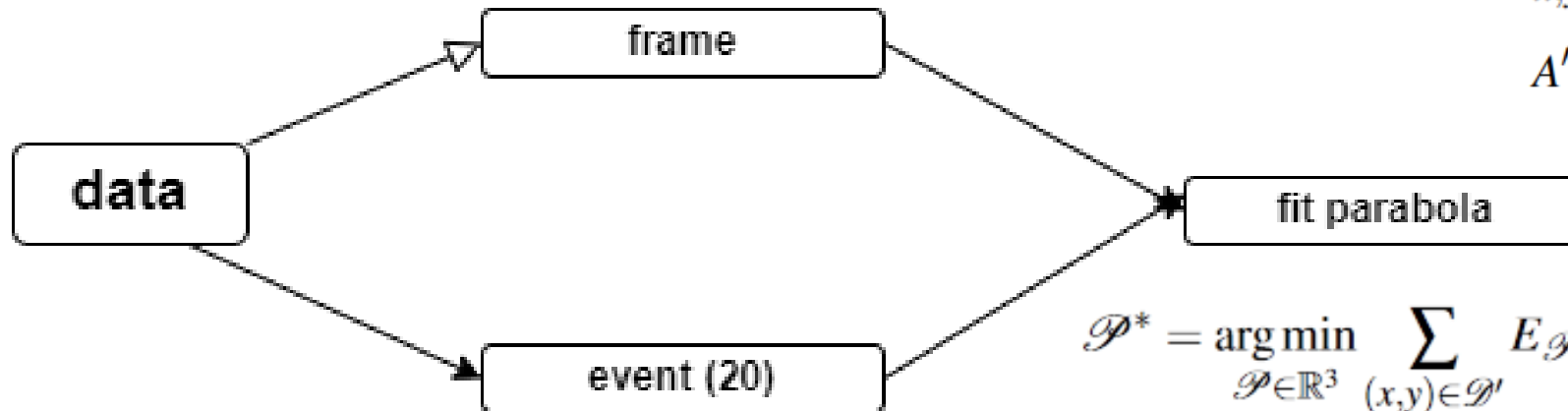
Eye Model- eyelid parabola



$$E_{\mathcal{P}}(x, y) = 0$$

with $E_{\mathcal{P}}(x, y) = a' y^2 + g' y + d' - x$

$$\mathcal{D}'_{\text{img.}} = \left\{ (x, y) \mid (x, y) \in \text{HarrisCorner} \circ \text{clip}(I, t_1, t_2), \right. \\ \left. \text{and } \|(x, y) - (x_e, y_e)\|^2 < \rho', \right. \\ \left. \text{and } y < \frac{\text{rows}}{2} \right\}$$



$$v'_{x,y} = (y^2, y, 1) \quad v'^2_{x,y} = x v'^1_{x,y} \top = (x y^2, x y, x)$$

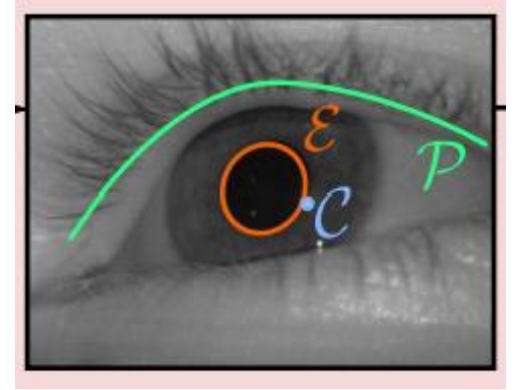
$$A' = \sum_{(x,y) \in \mathcal{D}_{\text{img.}}} v'_{x,y} v'^1_{x,y} \top, \quad b' = \sum_{(x,y) \in \mathcal{D}_{\text{img.}}} v'^2_{x,y}$$

$$\mathcal{P}^* = A'^{-1} b'$$

$$\mathcal{P}^* = \arg \min_{\mathcal{P} \in \mathbb{R}^3} \sum_{(x,y) \in \mathcal{D}'} E_{\mathcal{P}}(x, y)^2$$

$$\mathcal{D}'_{\text{evt.}} = \left\{ (x, y) \mid |E_{\mathcal{P}}((x, y))| < \delta \right\}$$

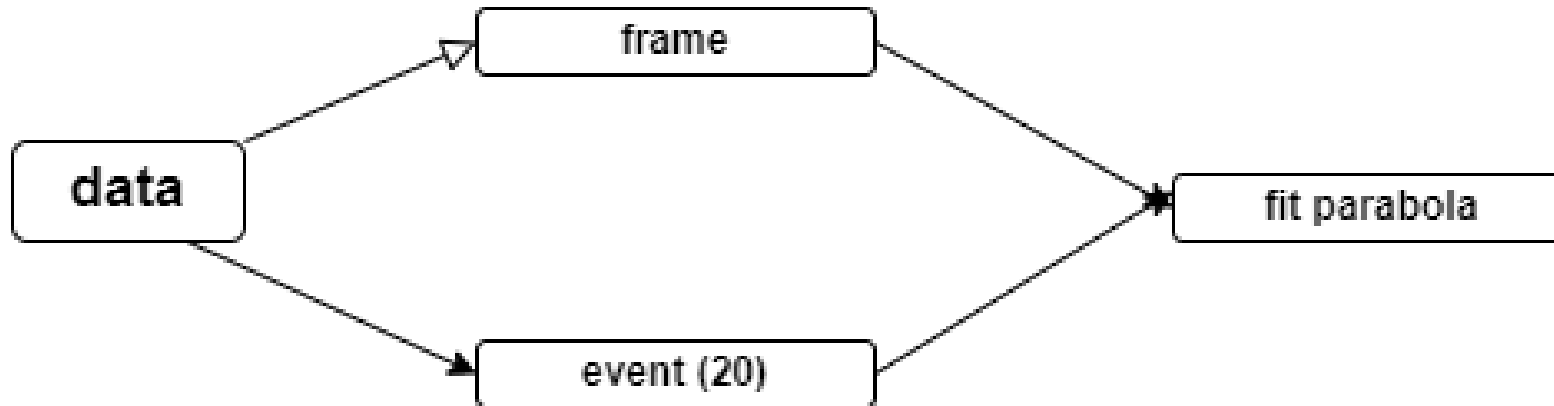
Eye Model- glint circle



$$E_{\mathcal{C}}(x, y) = 0$$

$$\text{with } E_{\mathcal{C}}(x, y) = x^2 - 2xc_x - 2yc_y + (c_x^2 + c_y^2 - r^2)$$

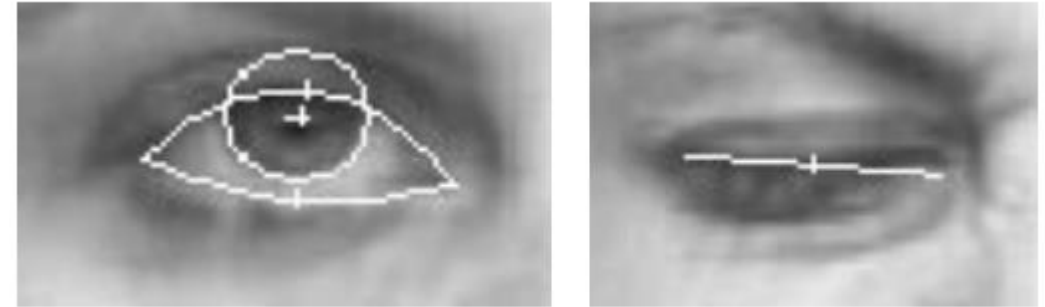
$$\mathcal{D}_{\text{img.}}'' = \left\{ (x, y) \mid (x, y) \in H_{t_3}(I(x, y)) \right. \\ \left. \text{and } \|(x, y) - (x_e, y_e)\|^2 < \rho'' \right\}$$



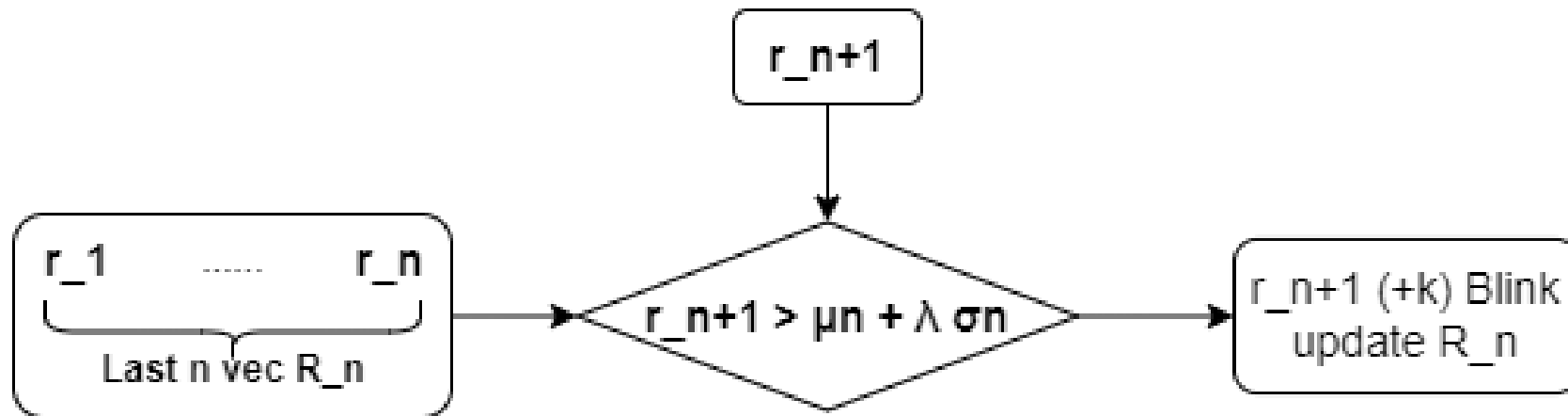
Similar to the ellipse

$$\mathcal{D}_{\text{evt.}}'' = \left\{ (x, y) \mid |E_{\mathcal{C}}(x, y)| < \delta \right\}$$

Blink Detector



Detect changes in *eccentricity* of pupil ellipse on frames

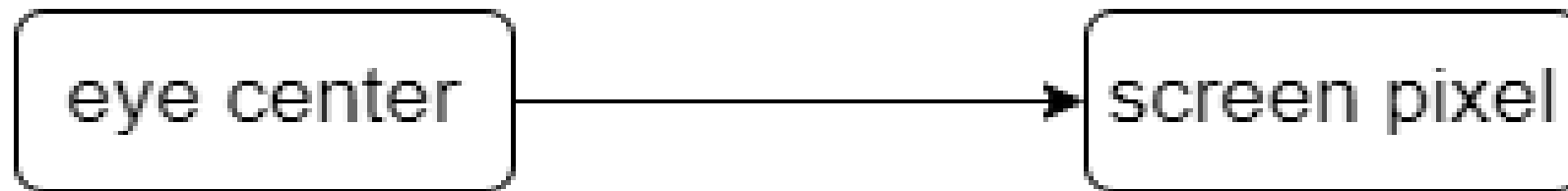


The Regressor – eye model to screen

$$G_{\theta^i}|_{x/y}(x_e, y_e) = \alpha_i x_e^2 + \gamma_i x_e y_e + \beta_i y_e^2 + \varepsilon_i x_e + \zeta_i y_e + \eta_i$$

$$\arg \min_{\theta^1} \|G_{\theta^1}|_x(x_e, y_e) - x_s\|^2$$

$$\arg \min_{\theta^2} \|G_{\theta^2}|_y(x_e, y_e) - y_s\|^2$$



$$x_e = \frac{2bg - hf}{h^2 - 4ab}, \text{ and } y_e = \frac{2af - hg}{h^2 - 4ab}$$

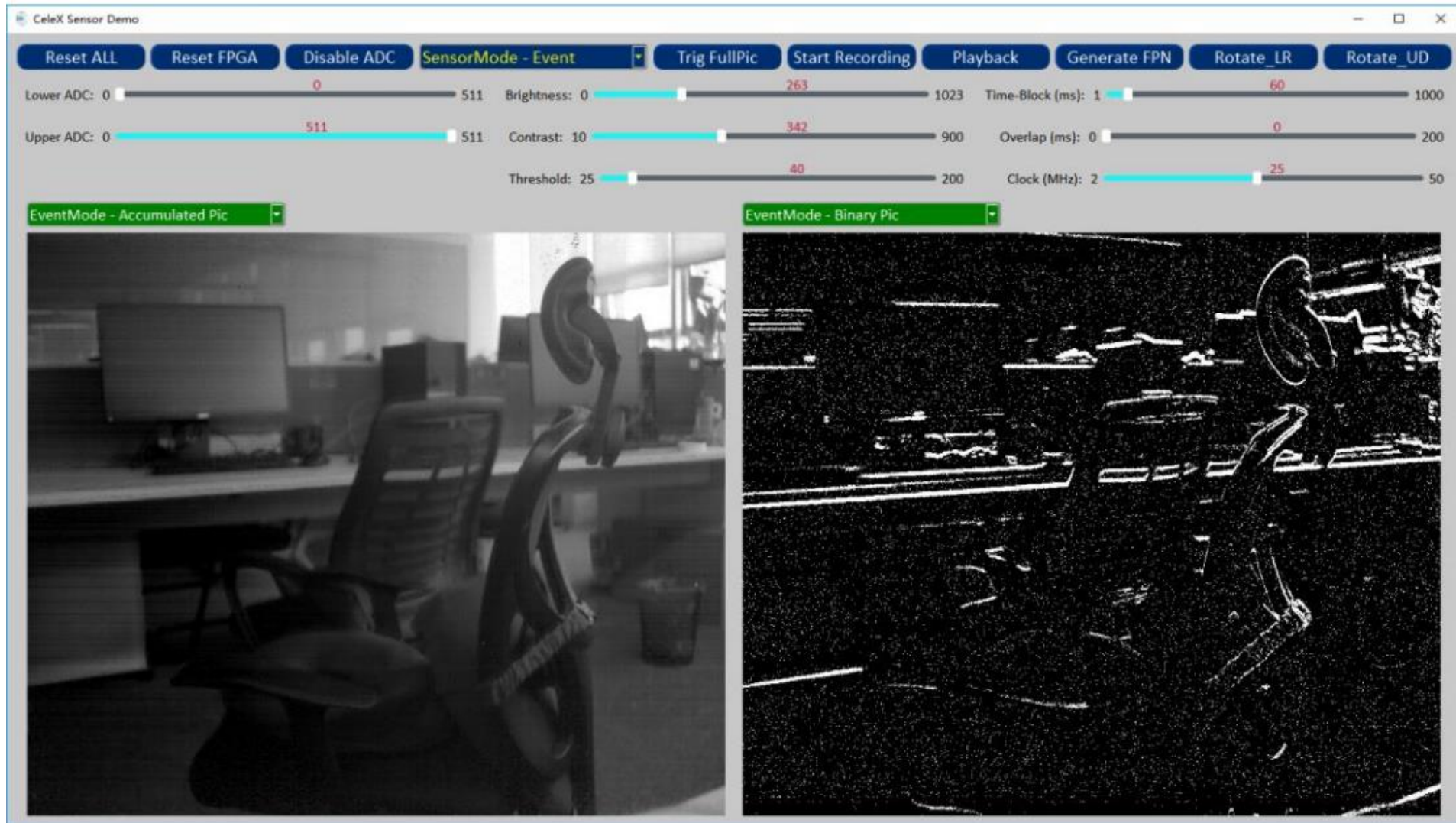
$$\mathcal{E} = (a, h, b, g, f, d)$$

$$G_{\theta}(\mathcal{E}) = \begin{pmatrix} x_s \\ y_s \end{pmatrix} = \begin{pmatrix} G_{\theta^1}|_x(\mathcal{E}) \\ G_{\theta^2}|_y(\mathcal{E}) \end{pmatrix}$$

CelePixel

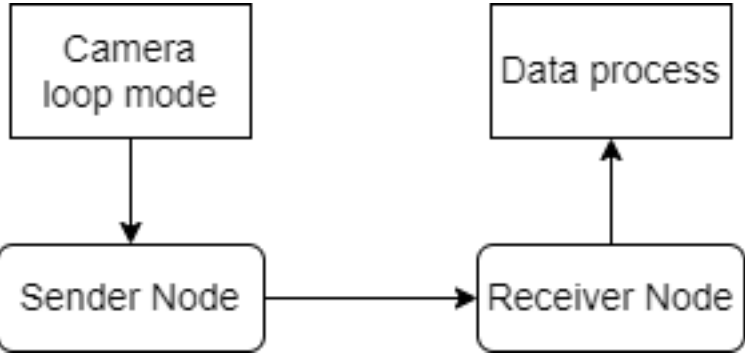


CelePixel Event Camera

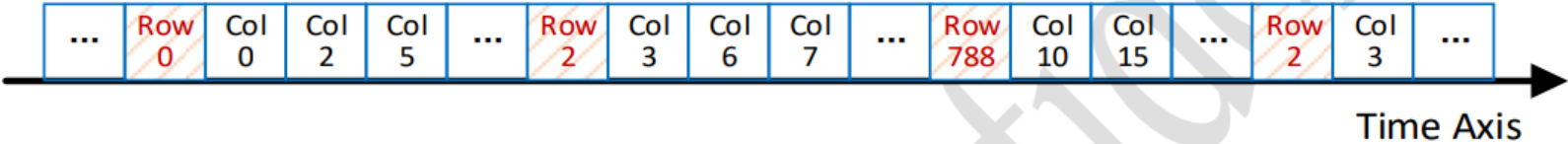


CelePixel Data Collection under ROS

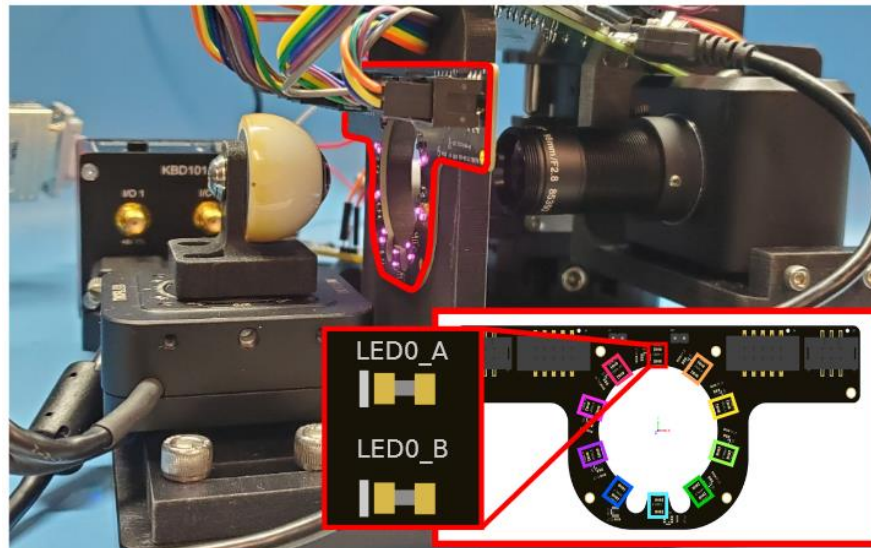
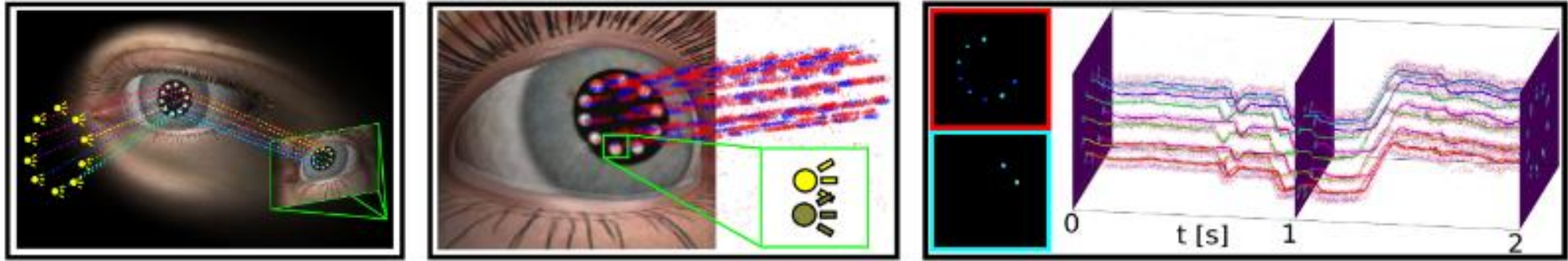
Full-Picture \longleftrightarrow Event Off-Pixel Timestamp



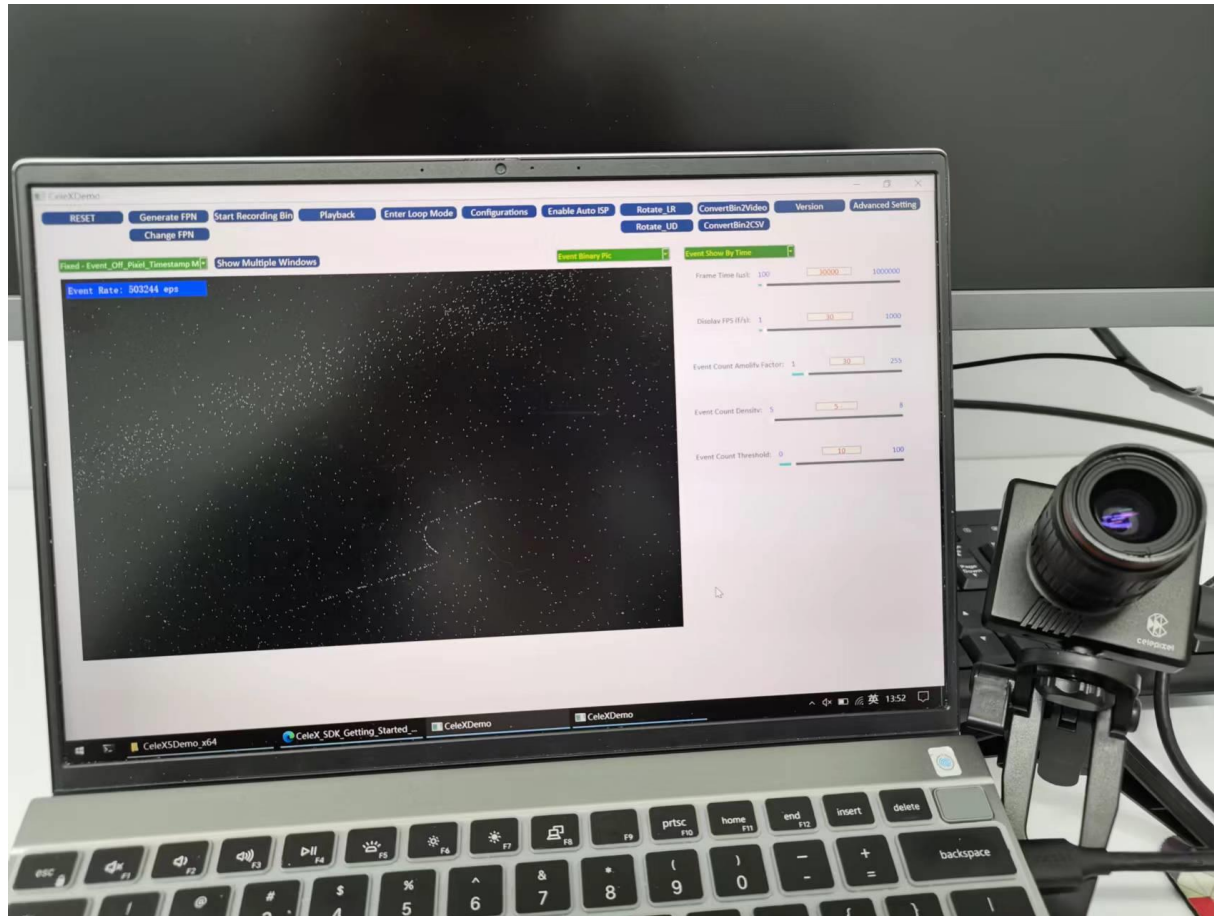
Sensor 的工作模式	SDK 输出的图像数据类型
Full-Picture Mode	Full Pic Buffer/Mat
Event Off-Pixel Timestamp Mode	Event Binary Pic Buffer/Mat Event Denoised Pic Buffer/Mat Event Count Pic Buffer/Mat Event Vector<row, col, off-pixel timestamp>



Event-Based Kilohertz Eye Tracking using Coded Differential Lighting



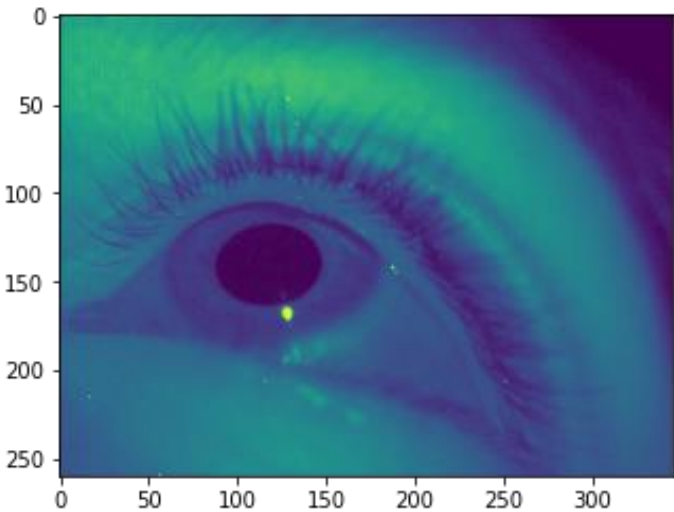
Data record



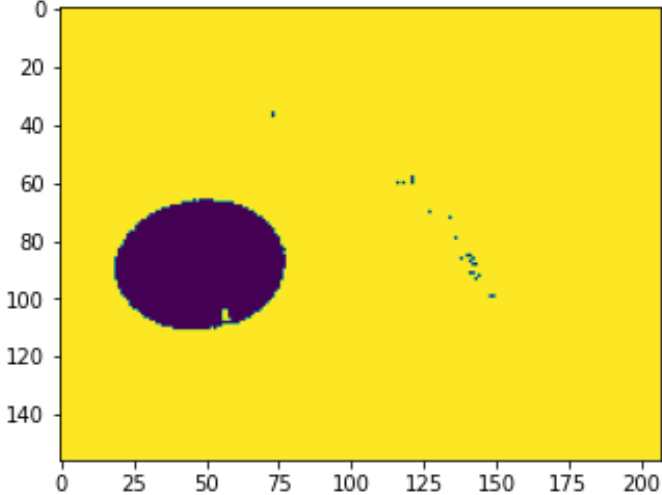
Ellipse pupil fit

$E_{\mathcal{E}}(x,y) = 0$
with $E_{\mathcal{E}}(x,y) = ax^2 + hxy + by^2 + gx + fy + d$

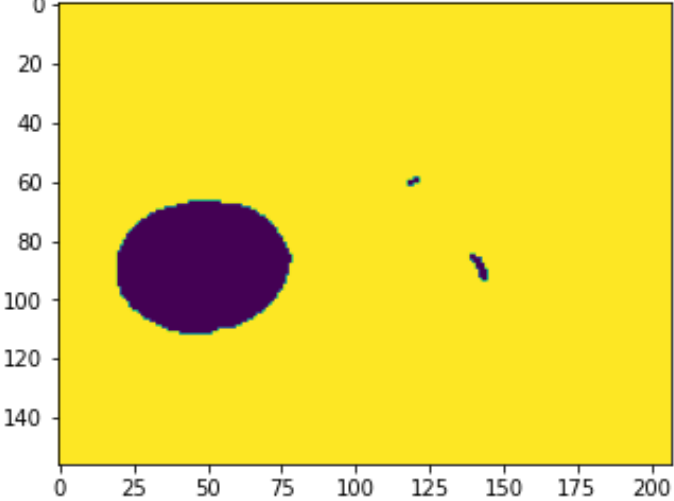
$\mathcal{D}_{\text{img.}} \leftarrow \{(x,y) \mid \mathbf{K}(\mathbf{H}_{\theta}(I^t) \circ S_{\rho})(x,y) = 1\}$



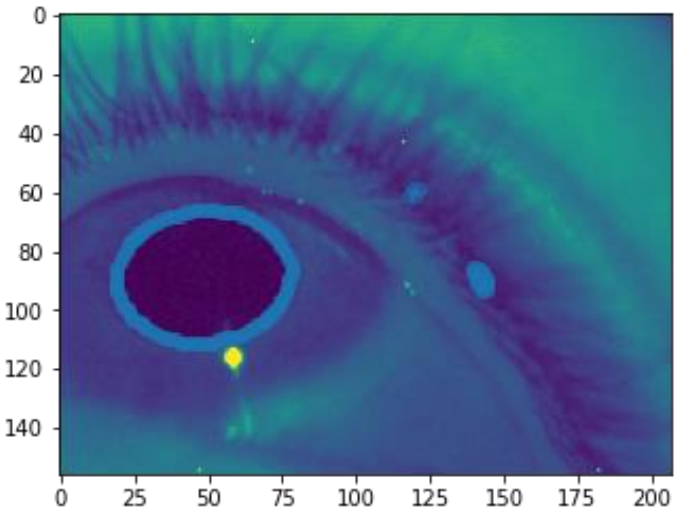
frame



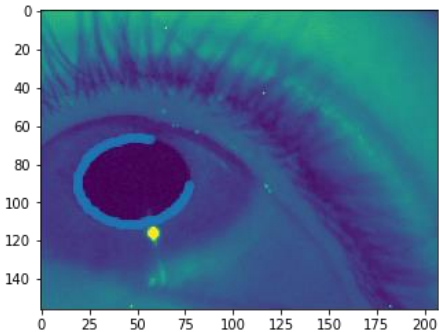
threshold



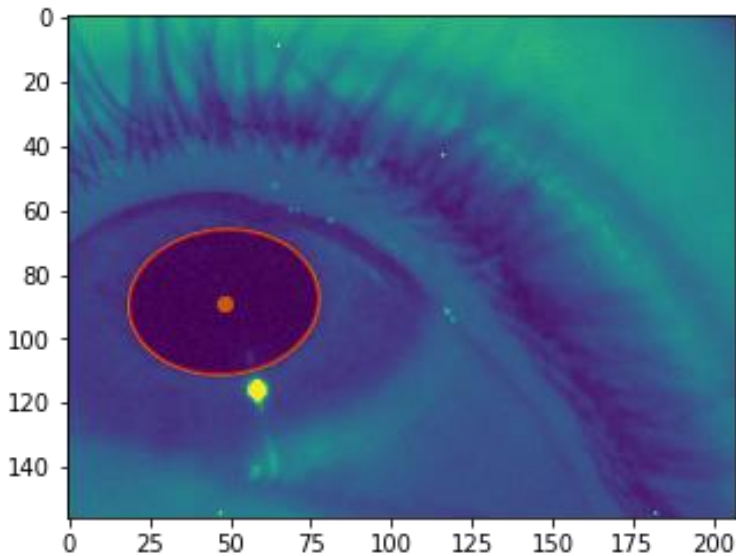
morphological
opening



edge detect



filter by distant



Fit result

Red: opencv
Yellow: paper

Coincident

Ellipse pupil fit

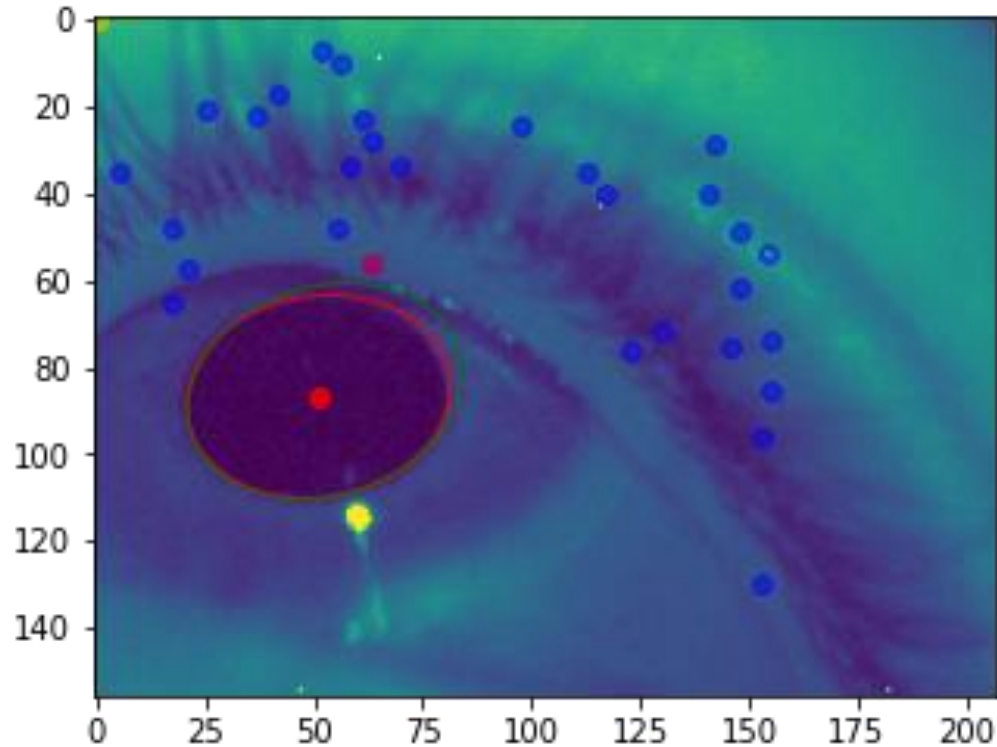
$$E_{\mathcal{E}}(x,y) = 0$$

with $E_{\mathcal{E}}(x,y) = ax^2 + hxy + by^2 + gx + fy + d$

$$\mathcal{D}_{\text{evt.}} = \{(x,y) \mid \|P_{\mathcal{E}}((x,y)) - (x,y)\|_2 < \delta\},$$

In implement

Distance (evt, center) < avg(a, b) + - tol



Event fit

Blue Point: events

Red Point: filtered events

Red ellipse: fitted by frame

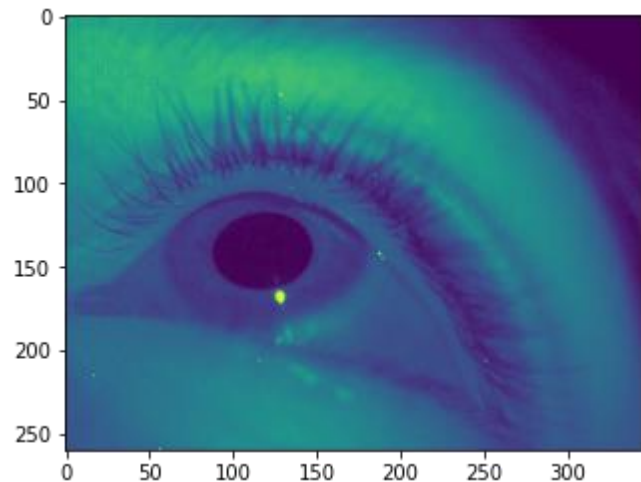
Green ellipse: fitted with new events

Parabola eyelid fit

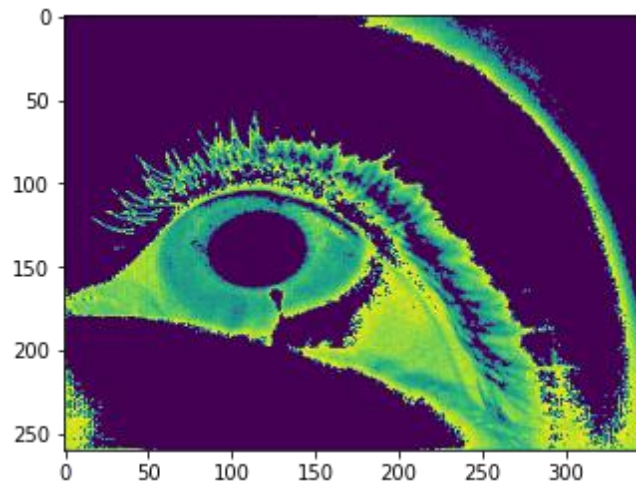
$$E_{\mathcal{D}}(x,y) = 0$$

with $E_{\mathcal{D}}(x,y) = a'y^2 + g'y + d' - x$

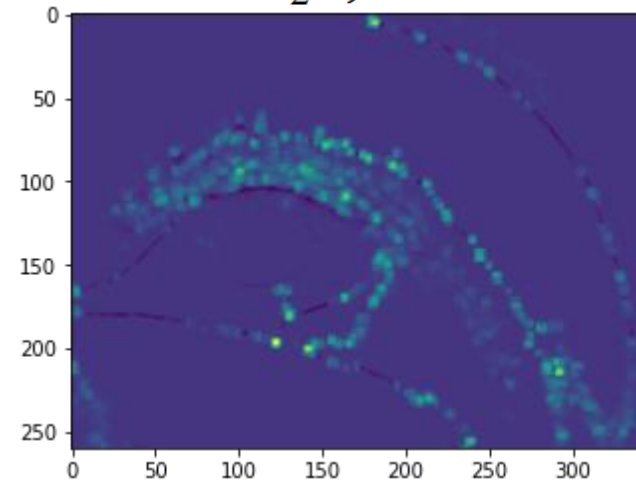
$$\mathcal{D}'_{\text{img.}} = \left\{ (x,y) \mid (x,y) \in \text{HarrisCorner} \circ \text{clip}(I, t_1, t_2), \right. \\ \left. \text{and } \|(x,y) - (x_e, y_e)\|^2 < \rho', \right. \\ \left. \text{and } y < \frac{\text{rows}}{2} \right\}$$



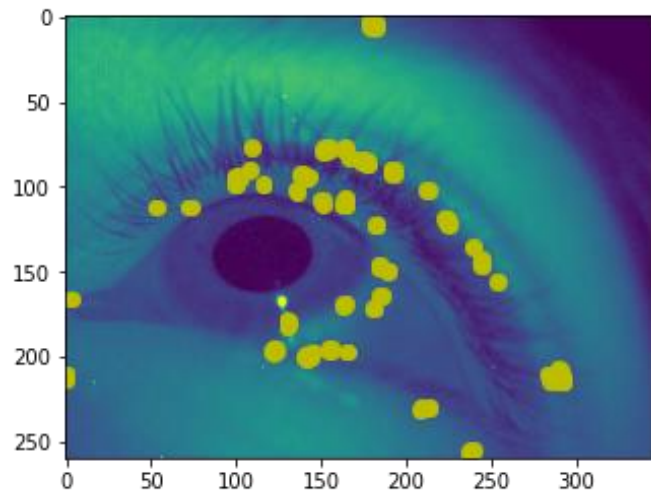
frame



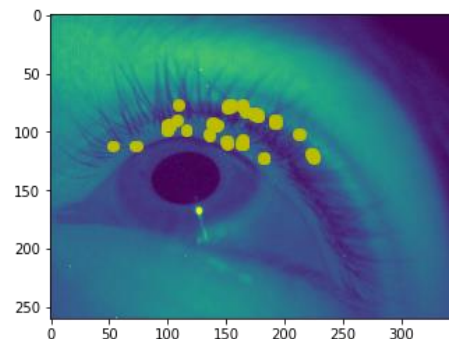
Clip



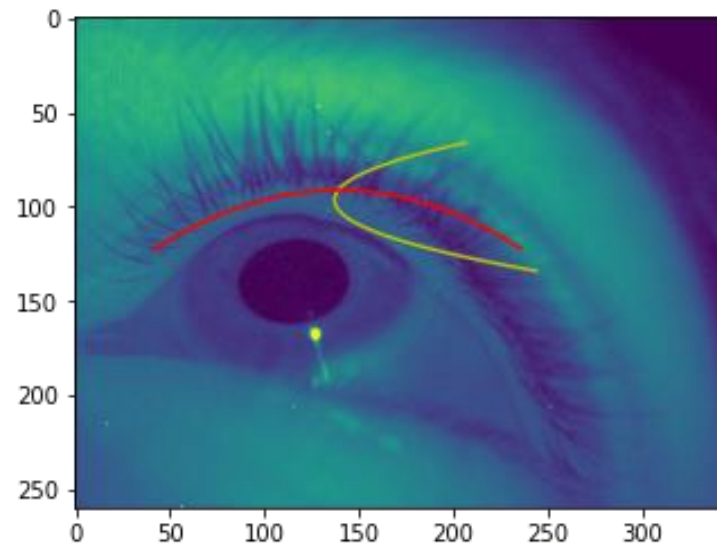
Harris Corner Detect



Corner points



Cut below



Fit result

Yellow: $x = y^2$

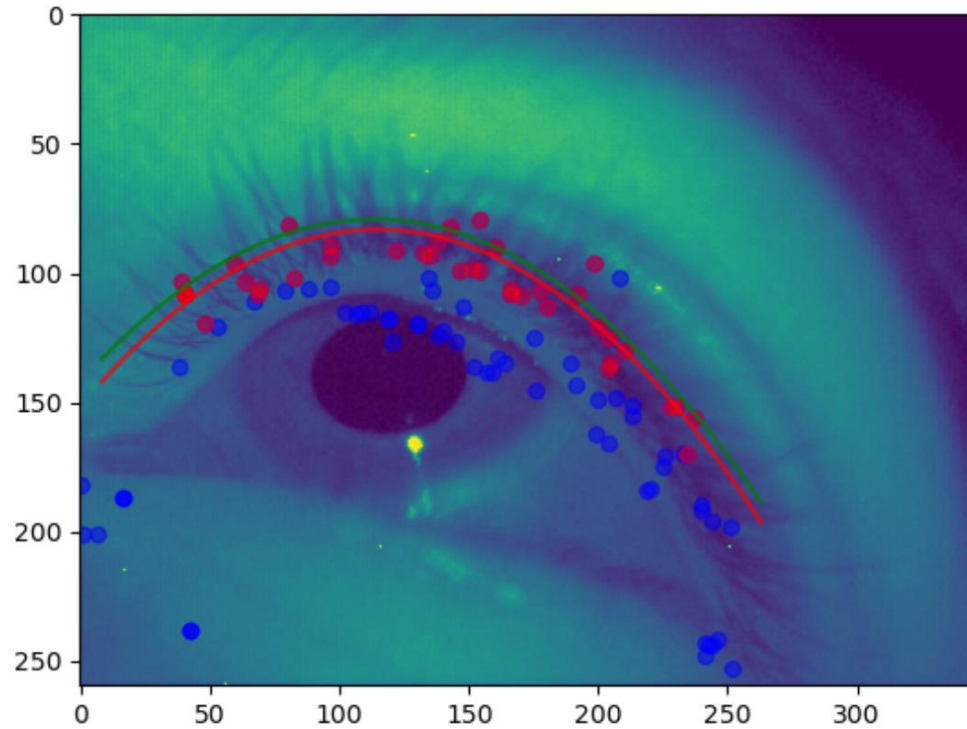
Red: $y = x^2$

Parabola eyelid fit

$$E_{\mathcal{P}}(x,y) = 0$$

with $E_{\mathcal{P}}(x,y) = a'y^2 + g'y + d' - x$

$$\mathcal{D}'_{\text{evt.}} = \left\{ (x,y) \mid |E_{\mathcal{P}}((x,y))| < \delta \right\}$$



Event fit

Blue Point: events

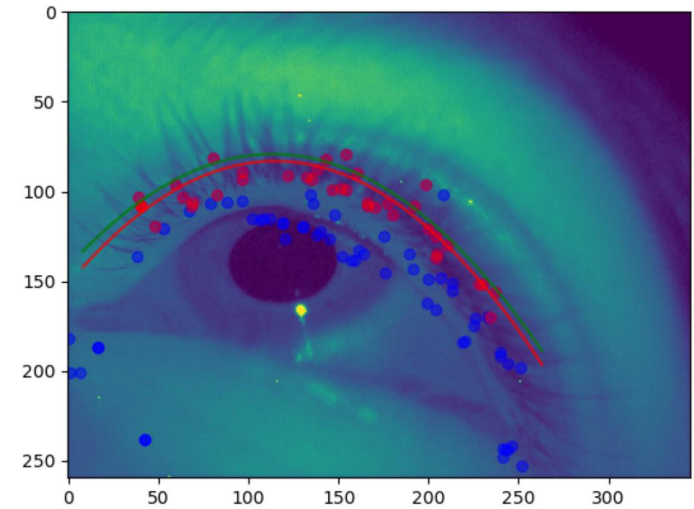
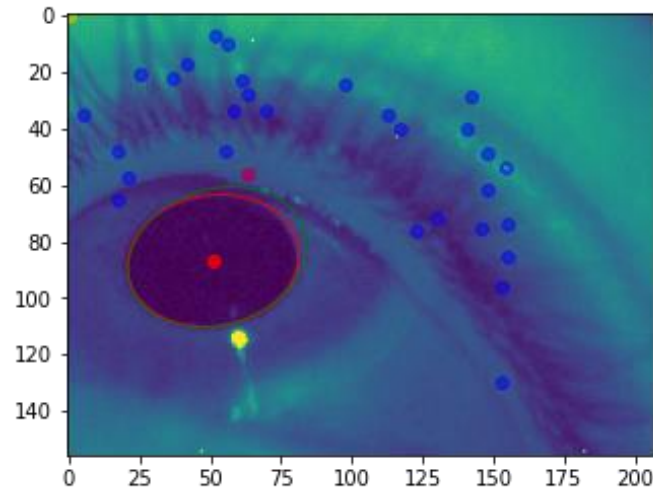
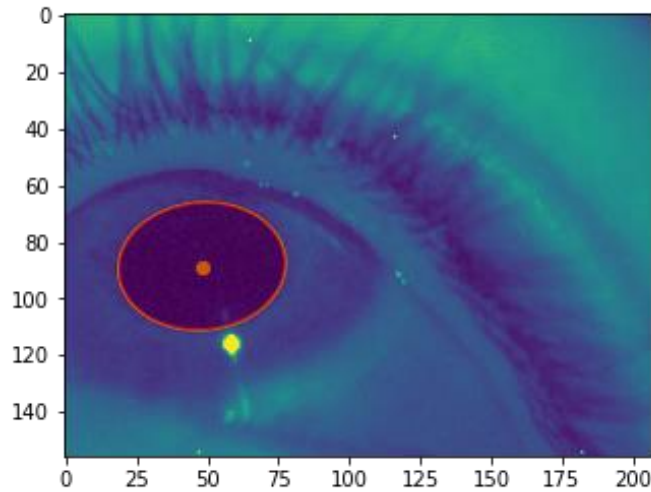
Red Point: filtered events

Red ellipse: fitted by frame

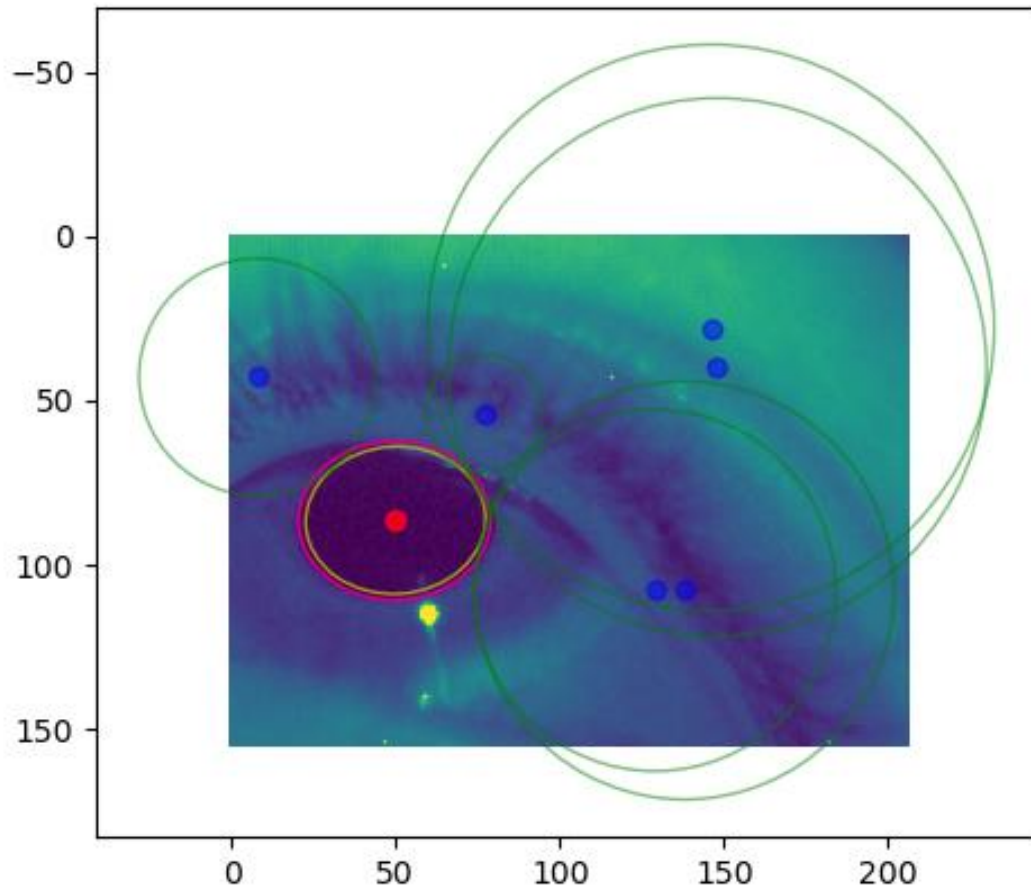
Green ellipse: fitted with new events

Last week

- Ellipse pupil fit and Parabola eyelid fit from flames and events



Distance to ellipse



Each **event** with calculated **distant circle** to **pupil ellipse**

Method 1:

① $\frac{dy}{dx}(\text{ellipse}) * \text{line slope} = -1$

② Ellipse equation

Method 2

Newton method

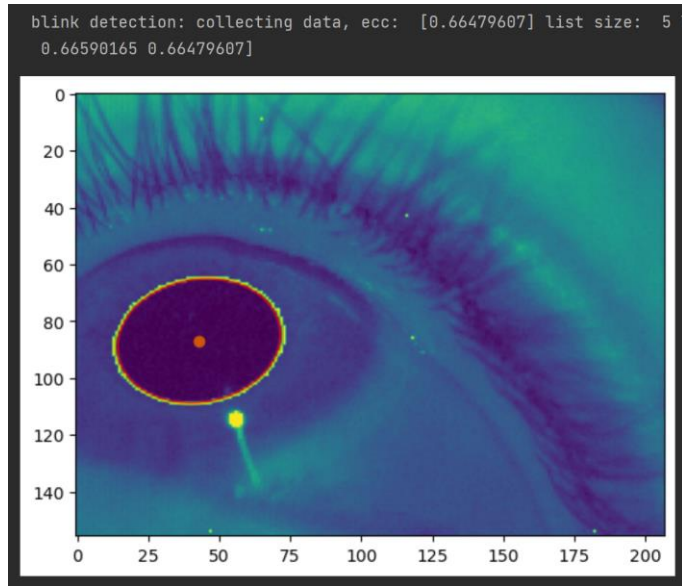
Transform to Polar forms

$$f = (x - a \cdot \cos(t))^2 + (y - b \cdot \sin(t))^2$$

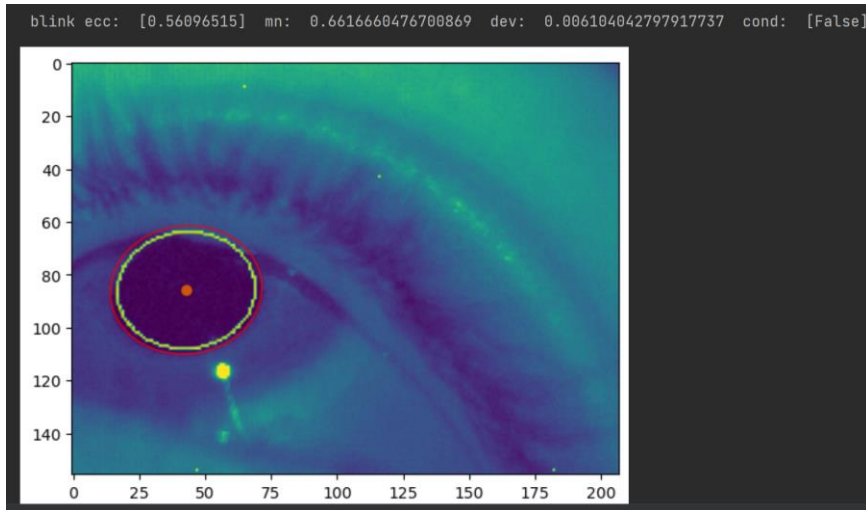
$$t_n = t_{n-1} - \frac{df}{ddf}$$

$$\text{Tol} = 1e-2, \text{max_itr} = 3$$

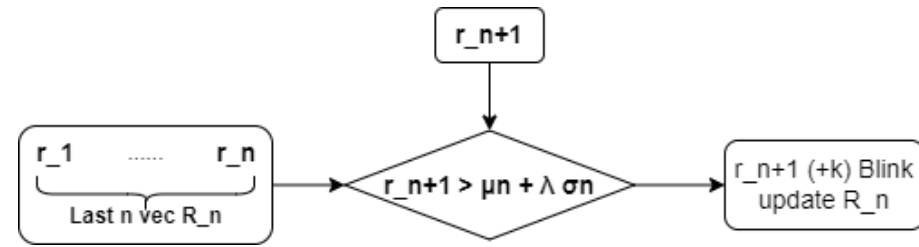
Blink detection



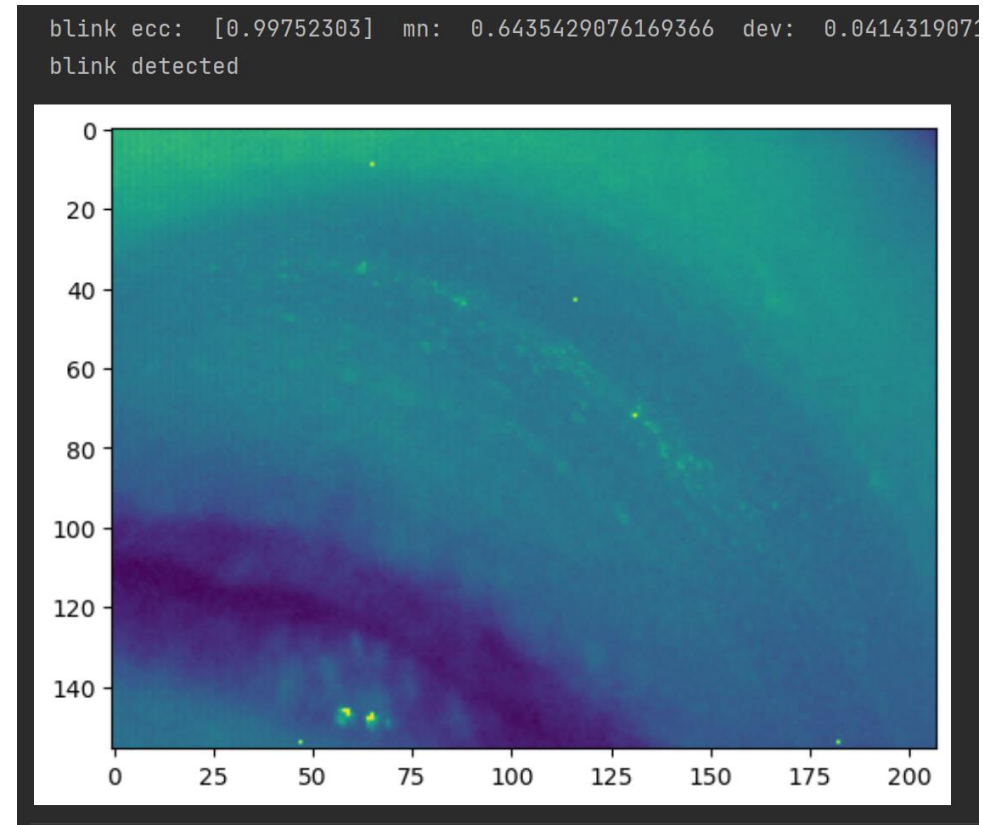
Collect data for first 5 flames



Not blink



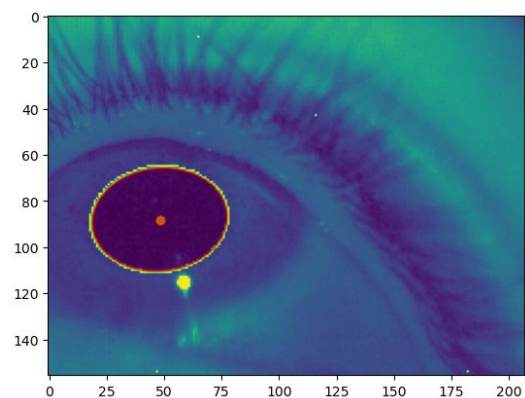
Condition = mean+3*var, list length = 5



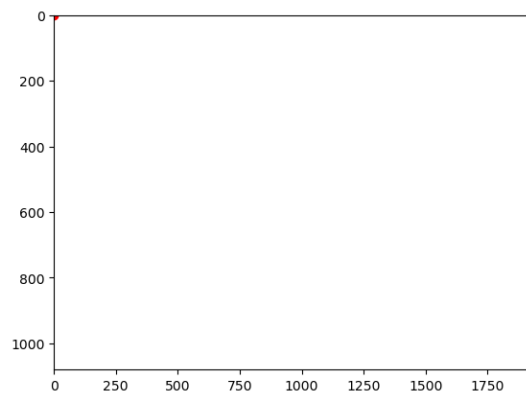
Blinked, will ignore following 3 flames

The Regressor – eye model to screen

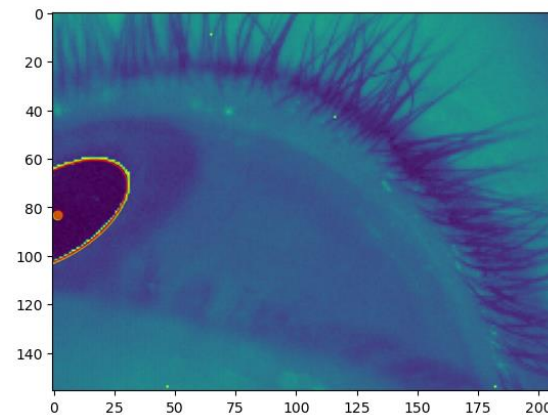
$$G_{\theta}(\mathcal{E}) = \begin{pmatrix} x_s \\ y_s \end{pmatrix} = \begin{pmatrix} G_{\theta^1}|_x(\mathcal{E}) \\ G_{\theta^2}|_y(\mathcal{E}) \end{pmatrix} \begin{array}{l} \arg \min_{\theta^1} \|G_{\theta^1}|_x(x_e, y_e) - x_s\|^2 \\ \arg \min_{\theta^2} \|G_{\theta^2}|_y(x_e, y_e) - y_s\|^2 \end{array} \quad G_{\theta^i}|_{x/y}(x_e, y_e) = \alpha_i x_e^2 + \gamma_i x_e y_e + \beta_i y_e^2 + \varepsilon_i x_e + \zeta_i y_e + \eta_i$$



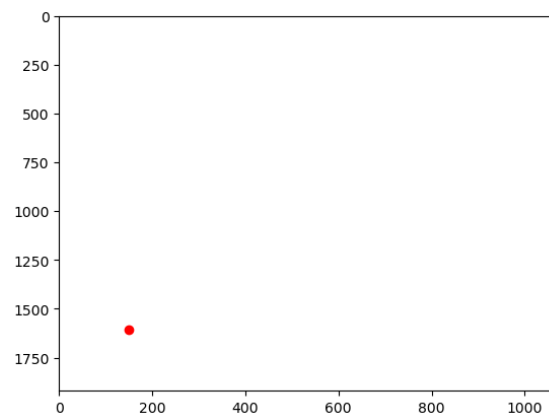
48.12, 88.09



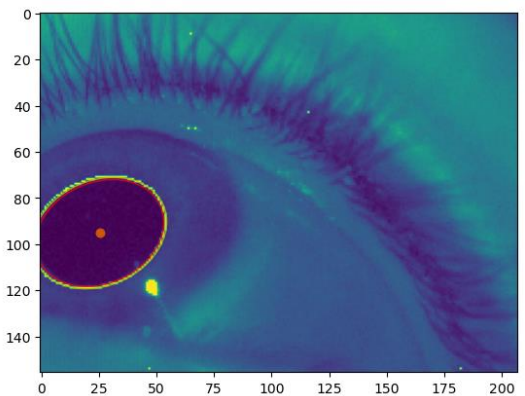
0 , 0



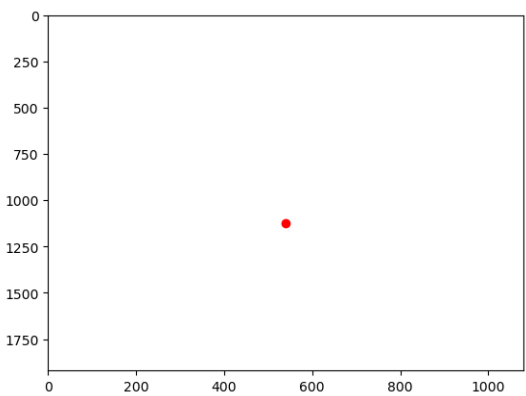
1.28, 83.10



150, 1608



25.34, 95.20

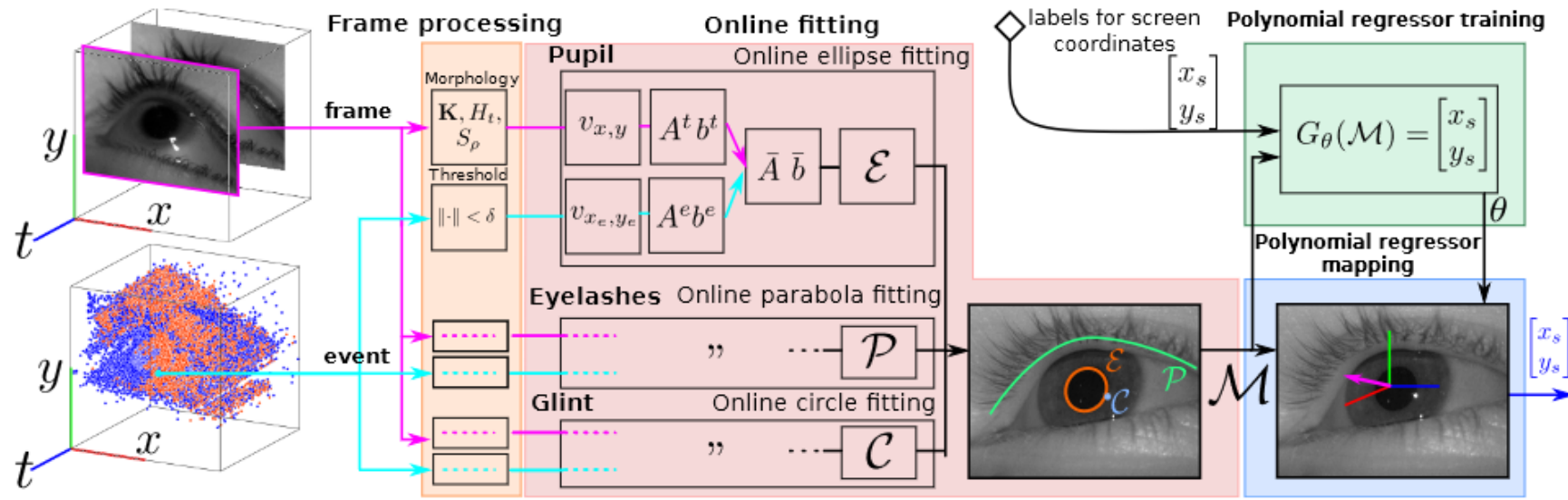


540, 1122

Next week

- Dynamic demo
- Personal data process and test
- More event and remote eye tracking papers

Pipeline



Finished

- Parabola eyelash model fit (frame/event)
- Circle glint model fit (frame/event)
- Blink detection (frame)

Bug fixing

- Ellipse pupil model fit (frame/event)
- Screen mapping polynomial regressor

Under processing

- Accuracy and Precision Examination

Dynamic demo

Problems:

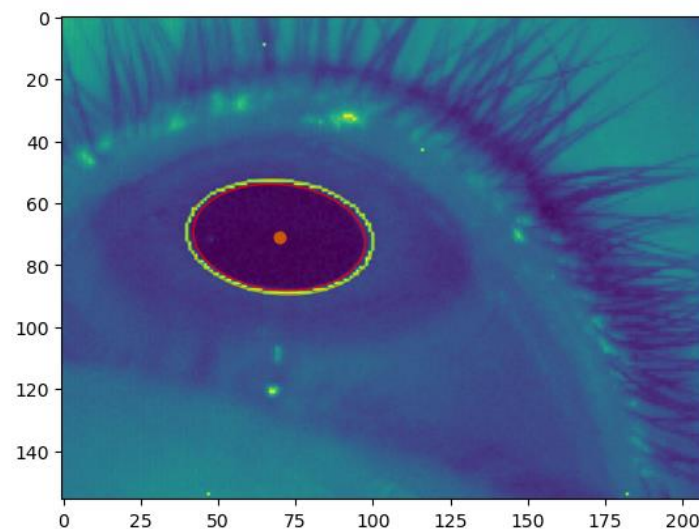
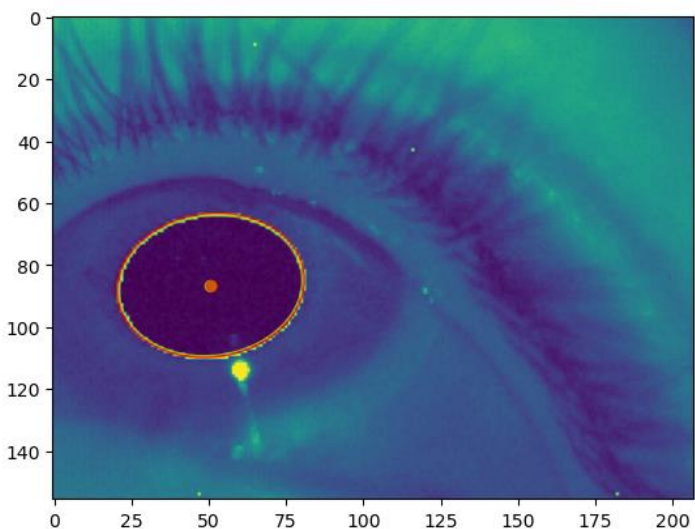
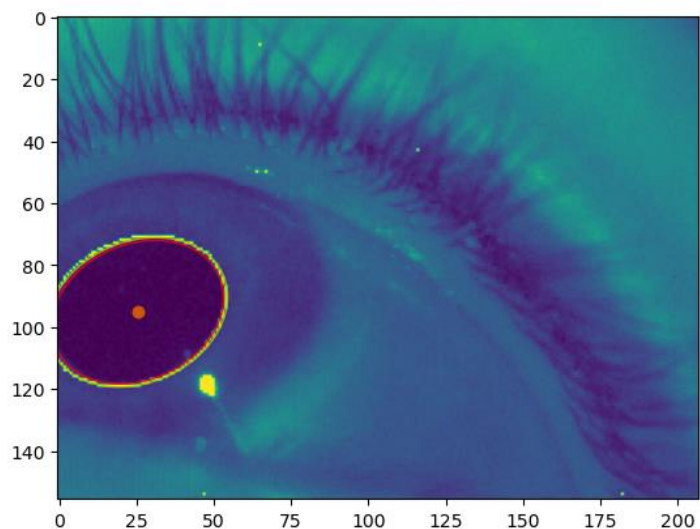
- Between frames, events fit is not reliable
 - With parameter filter distance=2, update event num = 50 (20 in paper)
 - Decrease distance to get better ellipse, but not sensitive to eye move
 - Increase update event num to get better ellipse, but react more slowly
- when near the blink, massive chaos events may fit ellipse badly m
- When blink, can't fit a valid ellipse, then blink detector is unusable

Ellipse pupil model fit

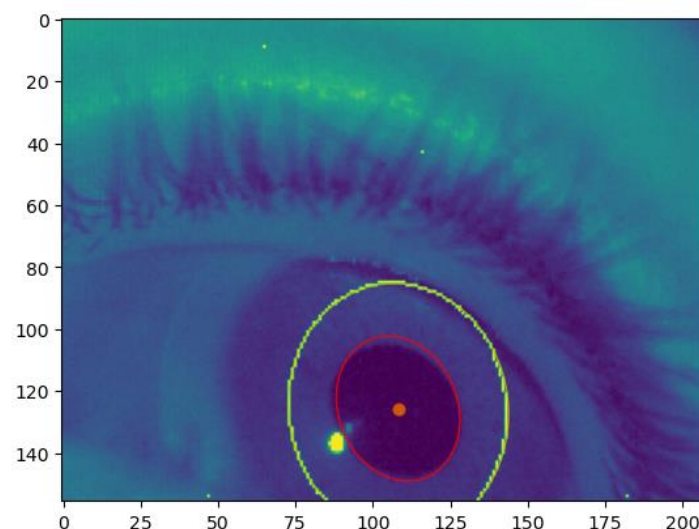
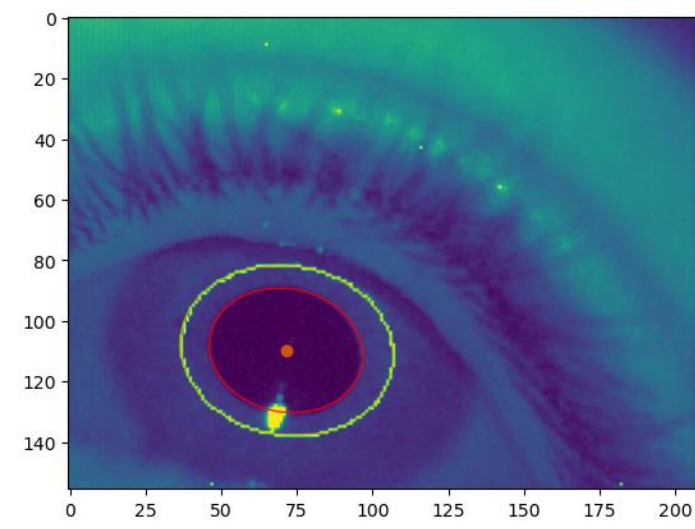
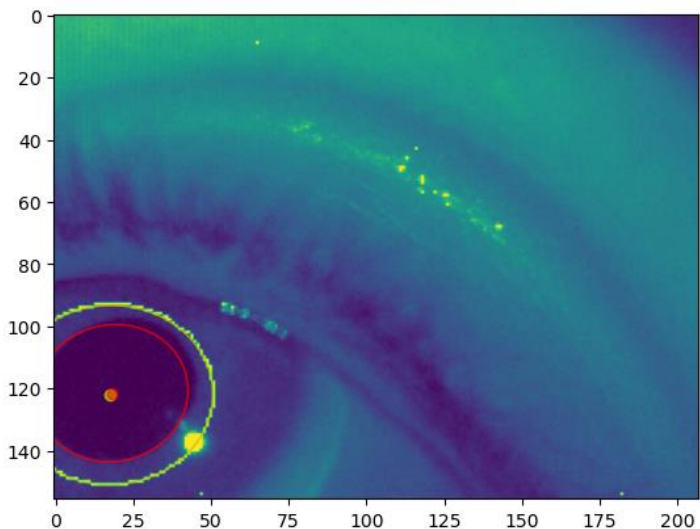
Red circle: cv2 ellipse

Yellow circle: paper method

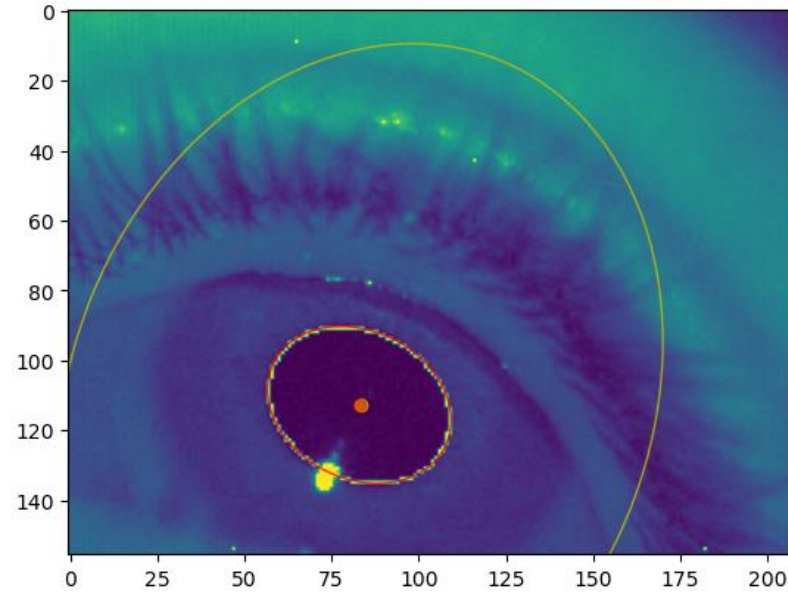
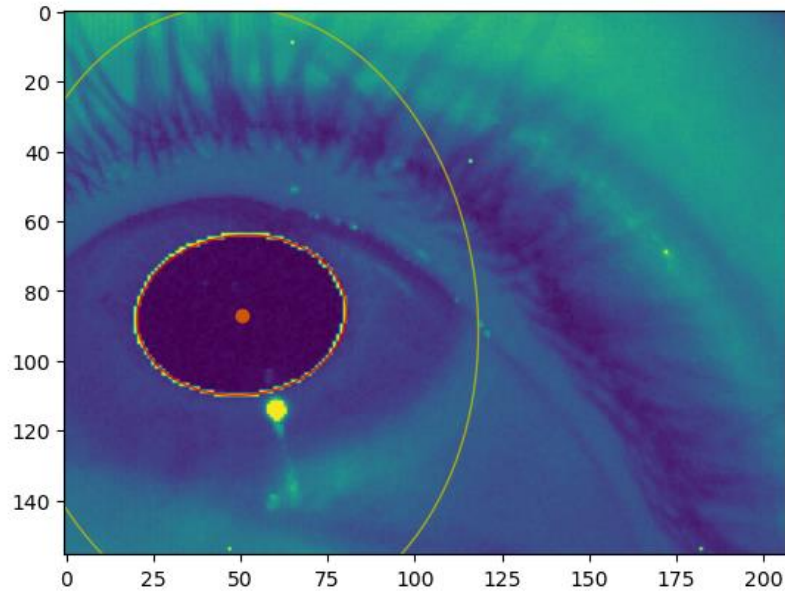
Good fit



Bad fit



Ellipse pupil model fit



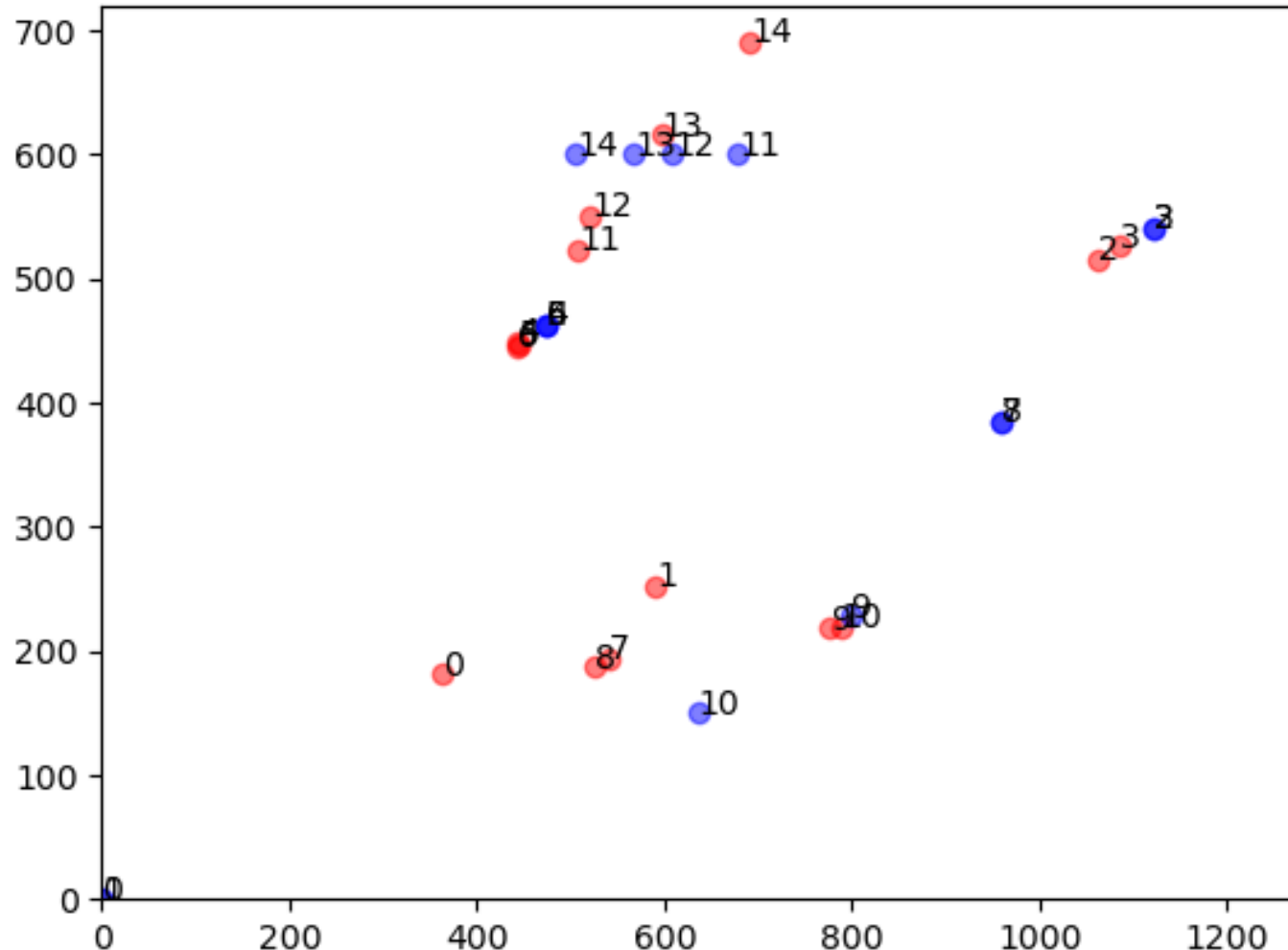
Process

- Above img: direct fit result of **paper method**. Compared with **cv2**
- Processed the result of paper method to fit the cv2 result
- $a = a/3$, $b = b/3$
- But not suitable for some other frames.

Screen map

$$G_{\theta}(\mathcal{E}) = \begin{pmatrix} x_s \\ y_s \end{pmatrix} = \begin{pmatrix} G_{\theta^1}|_x(\mathcal{E}) \\ G_{\theta^2}|_y(\mathcal{E}) \end{pmatrix} \begin{array}{l} \arg \min_{\theta^1} \|G_{\theta^1}|_x(x_e, y_e) - x_s\|^2 \\ \arg \min_{\theta^2} \|G_{\theta^2}|_y(x_e, y_e) - y_s\|^2 \end{array}$$

$$G_{\theta^i}|_{x/y}(x_e, y_e) = \alpha_i x_e^2 + \gamma_i x_e y_e + \beta_i y_e^2 + \epsilon_i x_e + \zeta_i y_e + \eta_i$$



Red points: map

Blue points: tag

Used selected 30 frames to train,
And tested on the same frames.

Process:

For x_s

A = Mat of stack [above func of x_e]

b = vec of some x_s

Solve for $p=[a,b,c,d,e,f]$

$p = \text{np.linalg.lstsq}(A, b)[0]$

Dynamic Demo

- Improvement:
 - Remove events on parabola before update the ellipse: not that useful
 - Limited the a, b, center of ellipse in event update (+ - 0.1)
 - Blink detection: by parabola difference of “c” between last frame and current events: $y = a(x+b)^2+c$

Parabola eyelid fit

$$E_{\mathcal{P}}(x,y) = 0$$

$$\text{with } E_{\mathcal{P}}(x,y) = a'y^2 + g'y + d' - x$$

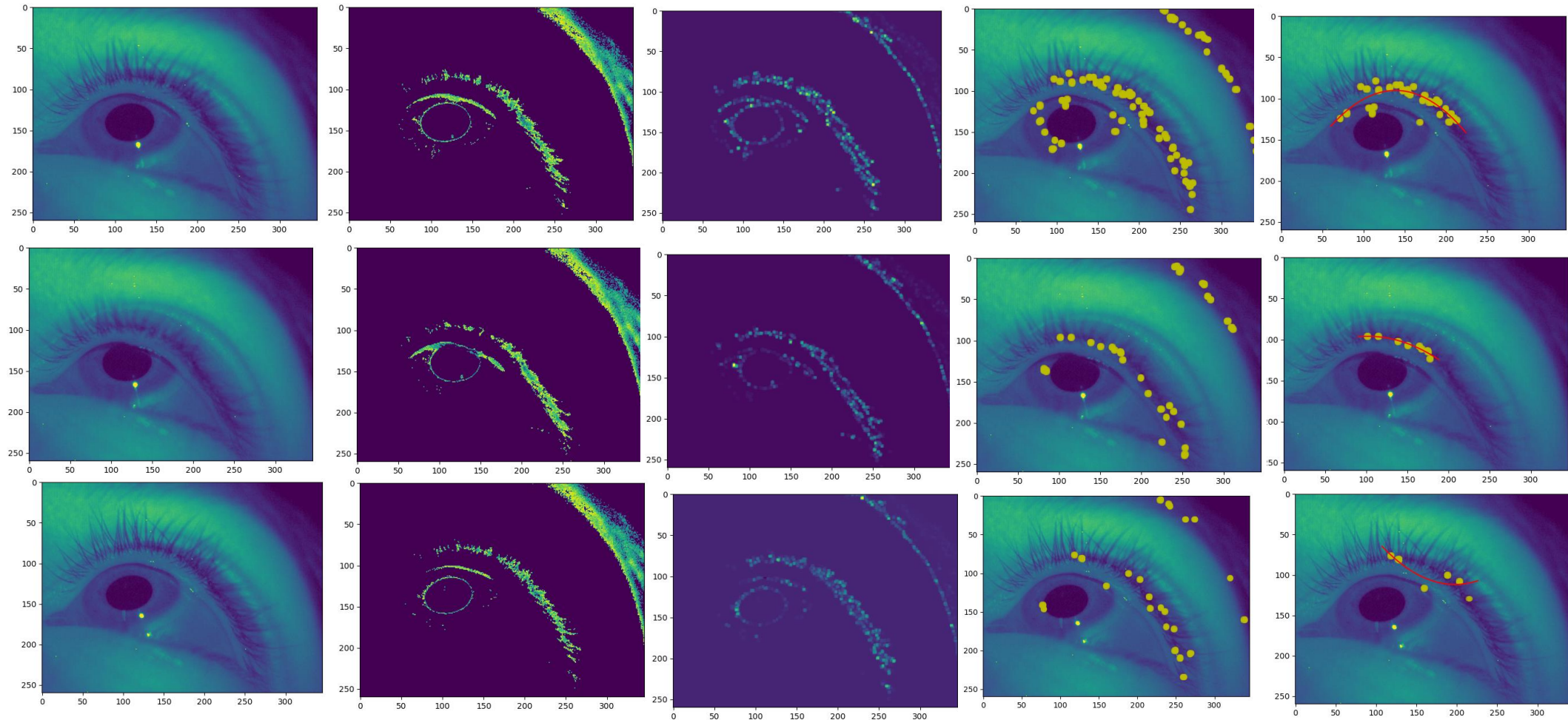
$$\mathcal{D}'_{\text{img.}} = \left\{ (x,y) \mid (x,y) \in \text{HarrisCorner} \circ \text{clip}(I, t_1, t_2), \right.$$

$$\text{and } \|(x,y) - (x_e, y_e)\|^2 < \rho',$$

$$\text{and } y < \frac{\text{rows}}{2} \left. \right\}$$

Events fit better than frame

Bad frame fit examples:

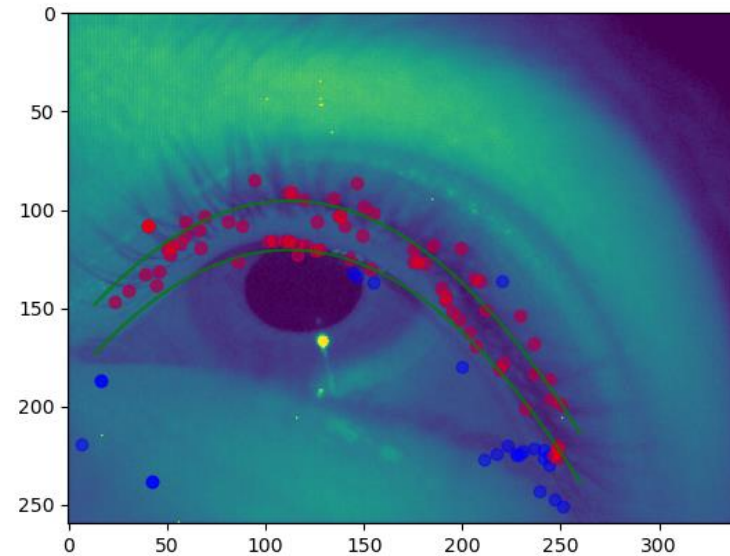
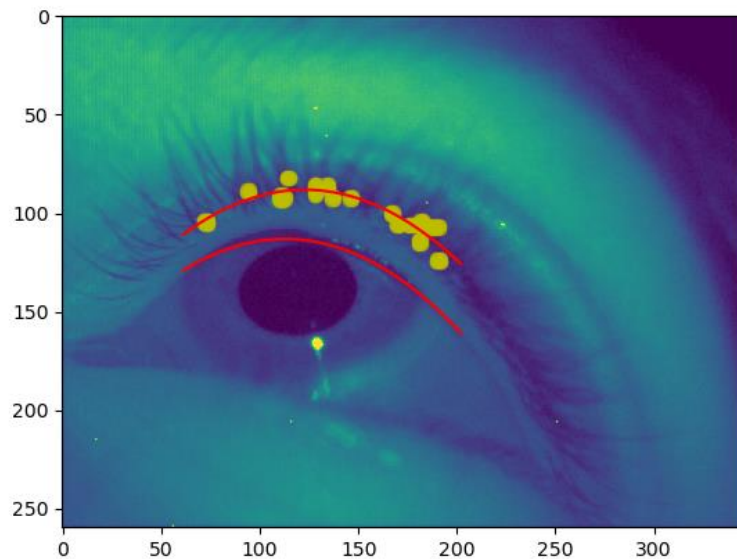


Consider fit the upper and lower curves of eyelash respectively, distinguish by distance to ellipse

Parabola eyelid fit

Update:

1. Add second lower eyelid curve, share parameters with first curve, and moving down and left (for the left eye) (Second eyelid helps filter events when fit pupil ellipse by events)
2. When fit eyelid parabola, remove corner points that too near or too far from the ellipse
3. Make the parabola curve must open downside



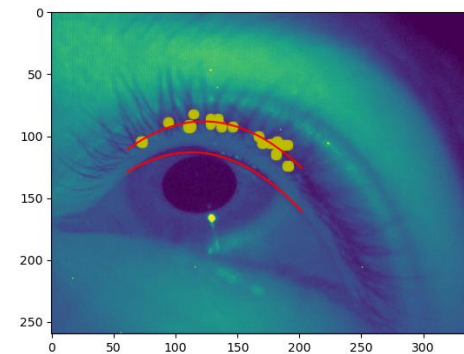
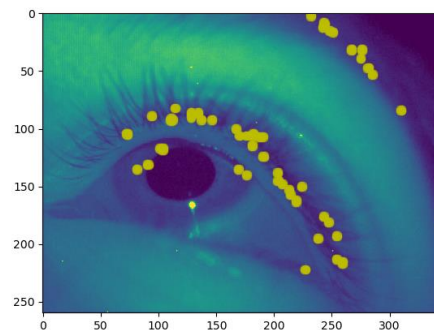
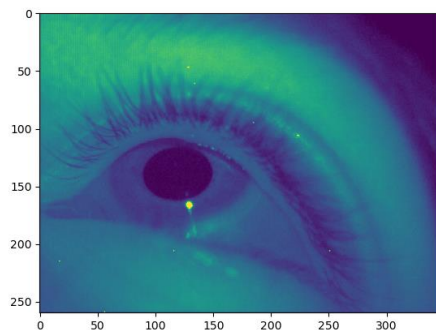
Tone Mapping

frame

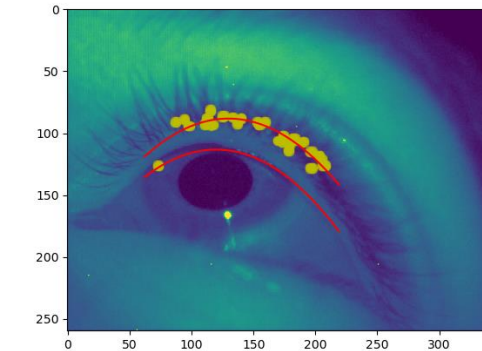
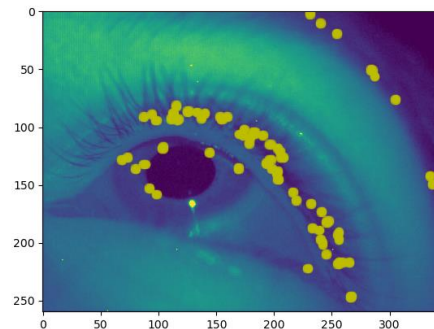
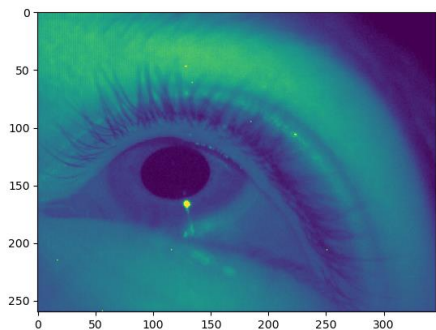
Corner points

Filter and fit

Normal



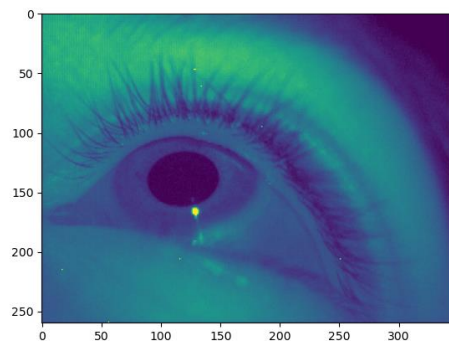
Tone Mapping



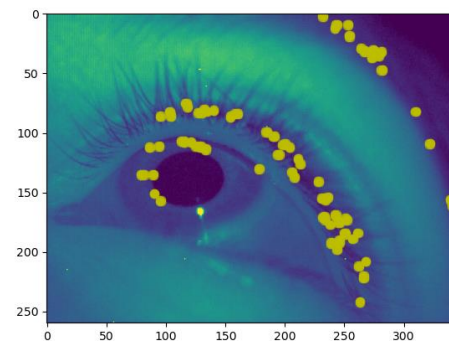
Tone Mapping

Normal

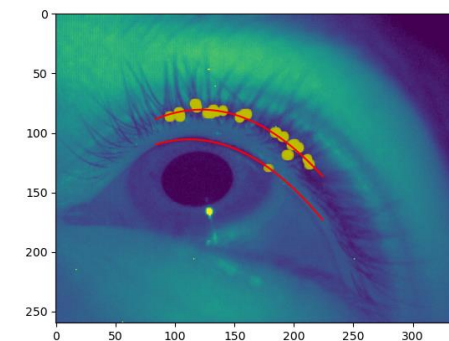
frame



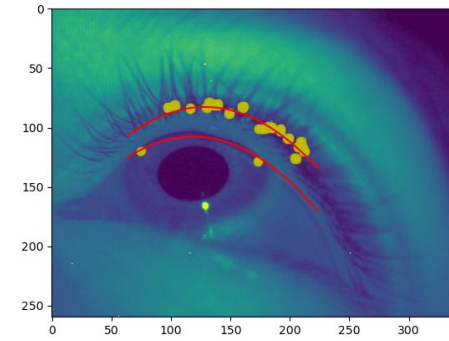
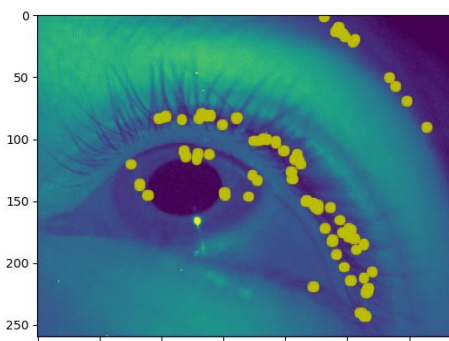
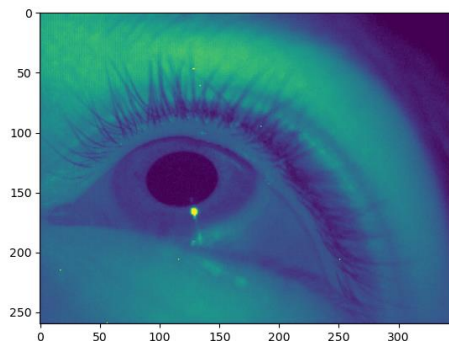
Corner points



Filter and fit



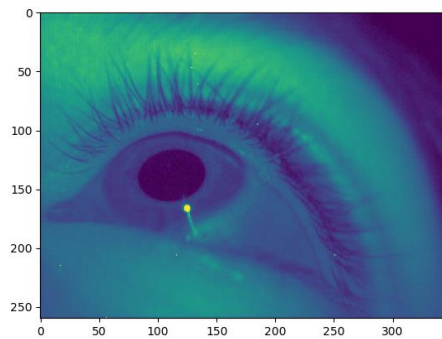
Tone Mapping



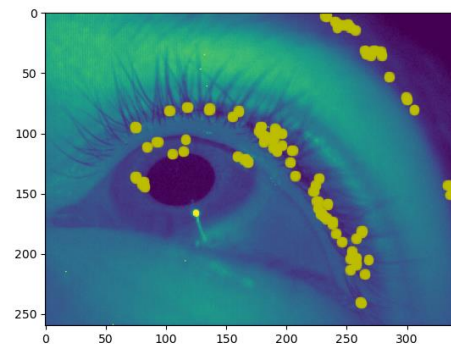
Tone Mapping

Normal

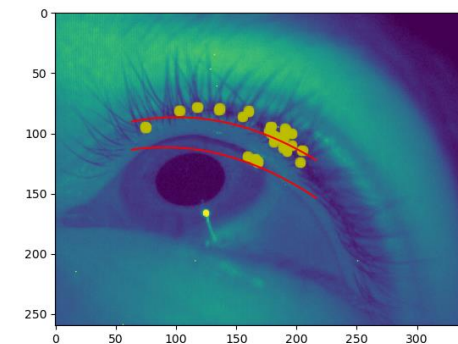
frame



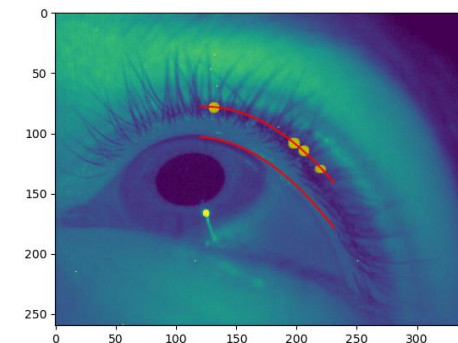
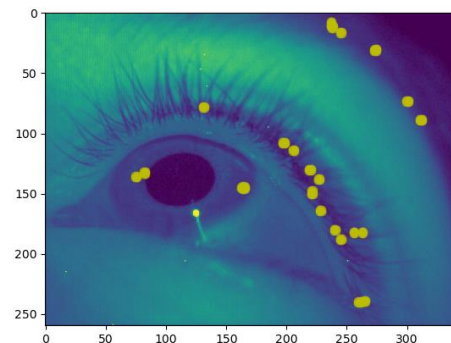
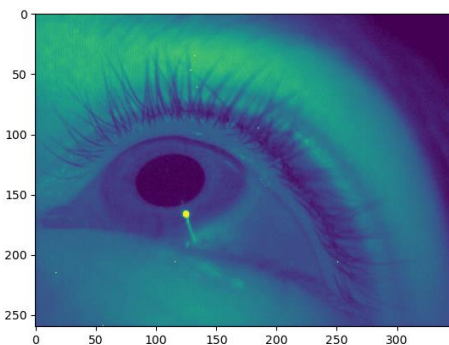
Corner points



Filter and fit



Tone Mapping



Screen Map

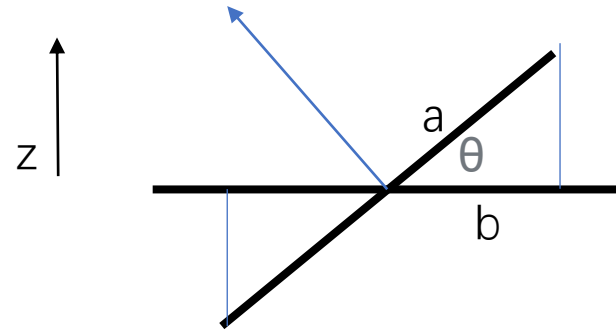
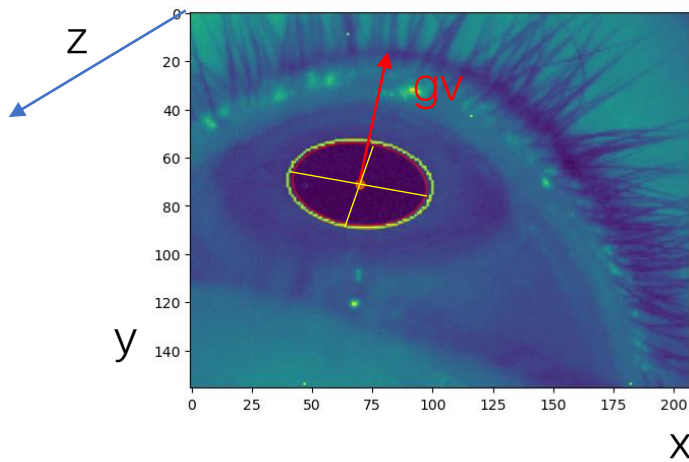
Original Paper: $G_{\theta}(\mathcal{E}) = \begin{pmatrix} x_s \\ y_s \end{pmatrix} = \begin{pmatrix} G_{\theta^1}|_x(\mathcal{E}) \\ G_{\theta^2}|_y(\mathcal{E}) \end{pmatrix}$

$$\arg \min_{\theta^1} \|G_{\theta^1}|_x(x_e, y_e) - x_s\|^2$$

$$\arg \min_{\theta^2} \|G_{\theta^2}|_y(x_e, y_e) - y_s\|^2$$

$$G_{\theta^i}|_{x/y}(x_e, y_e) = \alpha_i x_e^2 + \gamma_i x_e y_e + \beta_i y_e^2 + \varepsilon_i x_e + \zeta_i y_e + \eta_i$$

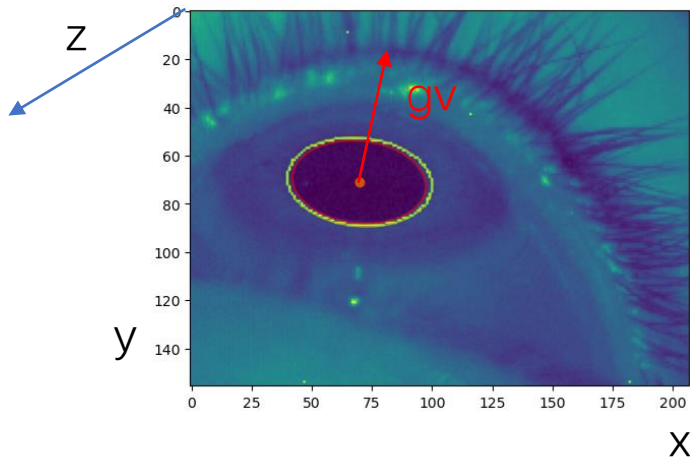
Gaze Vec:



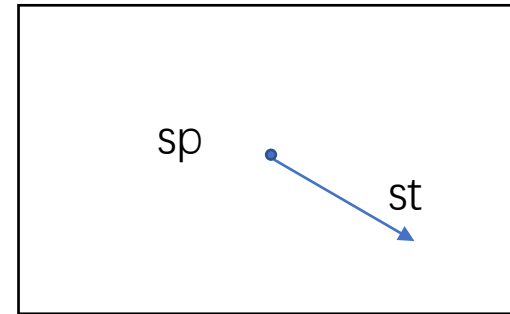
$$\cos \theta = b/a$$

Screen Map

Gaze Vec:



Screen Plain:



$$sp = (x_0, y_0, z_0)$$

$$st = (x_1, y_1, z_1)$$

$$\text{Transformation Matrix} = [2 \times 3]$$

$$\text{intersection points } (x_i, y_i, z_i) = (gv + st) * |sp|$$

$$\text{pixel tag } (x_s, y_s) = \text{TransMat} * (x_i, y_i, z_i)$$

Last Meeting

Previous work:

- Run without intermediate frames

Problems:

- Map Gaze to Screen
- Kalman Filter

Map Gaze to Screen

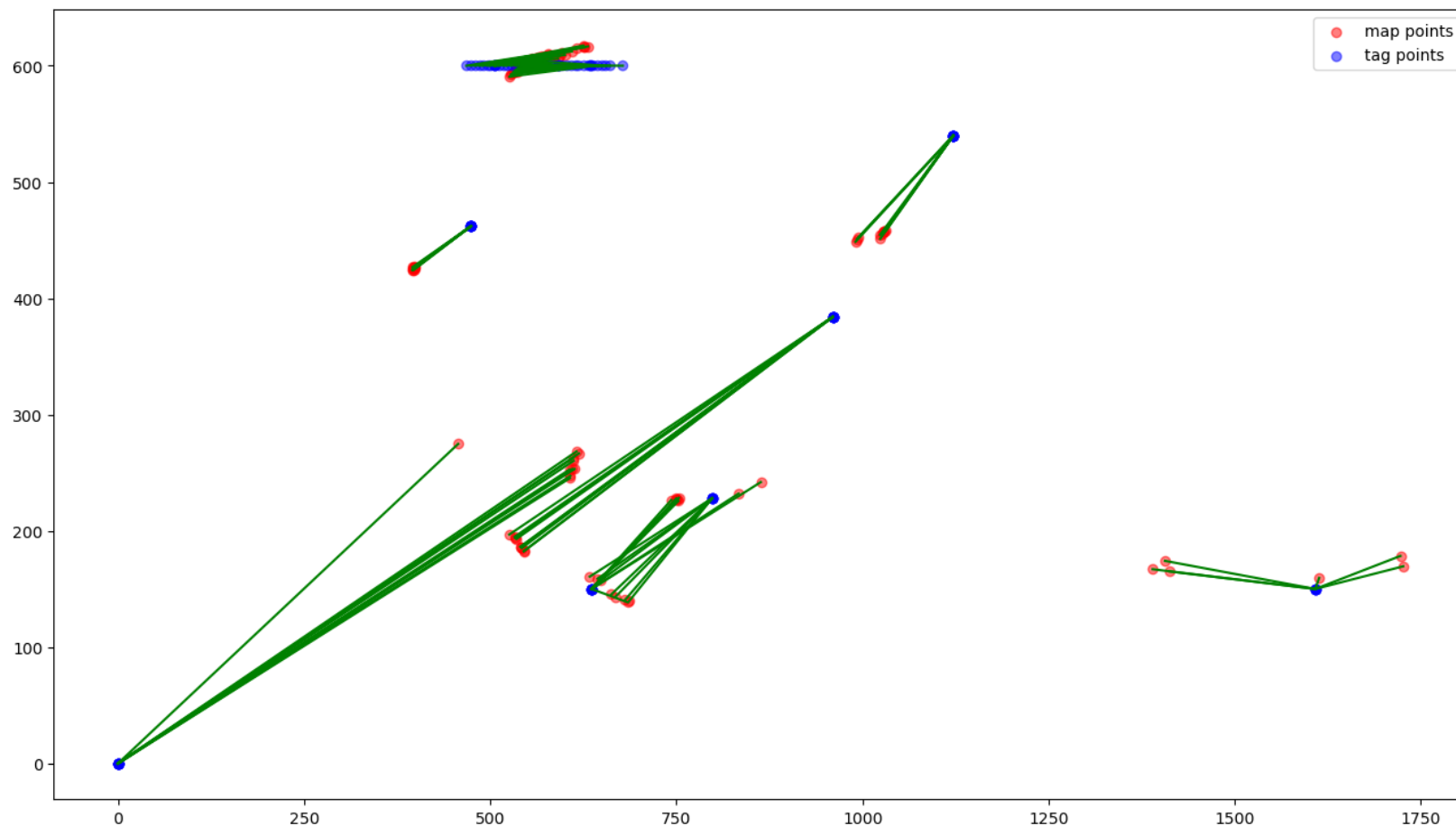
- Paper Method

$$G_{\theta}(\mathcal{E}) = \begin{pmatrix} x_s \\ y_s \end{pmatrix} = \begin{pmatrix} G_{\theta^1}|_x(\mathcal{E}) \\ G_{\theta^2}|_y(\mathcal{E}) \end{pmatrix}$$

$$\arg \min_{\theta^1} \|G_{\theta^1}|_x(x_e, y_e) - x_s\|^2$$

$$\arg \min_{\theta^2} \|G_{\theta^2}|_y(x_e, y_e) - y_s\|^2$$

$$G_{\theta^i}|_{x/y}(x_e, y_e) = \alpha_i x_e^2 + \gamma_i x_e y_e + \beta_i y_e^2 + \epsilon_i x_e + \zeta_i y_e + \eta_i$$



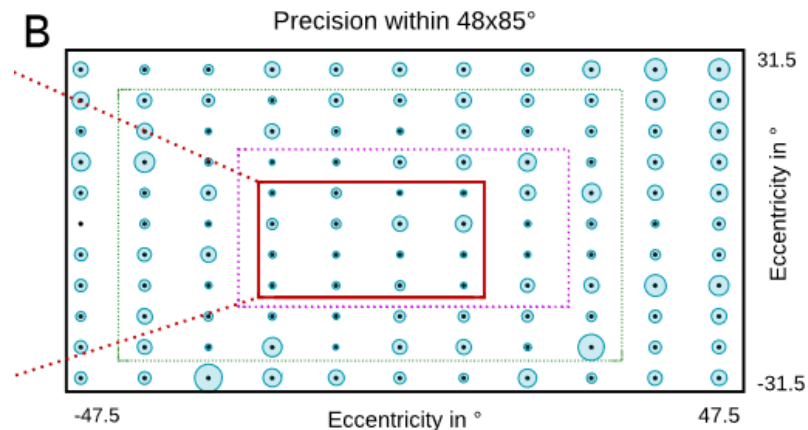
$$p = [a, b, c, d, e, f]$$

$$A = [x^2, xy, y^2, x, y, 1]$$

$$B = [x_s, y_s]$$

$$Ap=B$$

$$\text{np.linalg.lstsq}(A, b), \text{ train set: 200}$$



Kalman Filter

$$x_k = A * x_{k-1} + B * u_k + w_{k-1} \text{-----}(1)$$

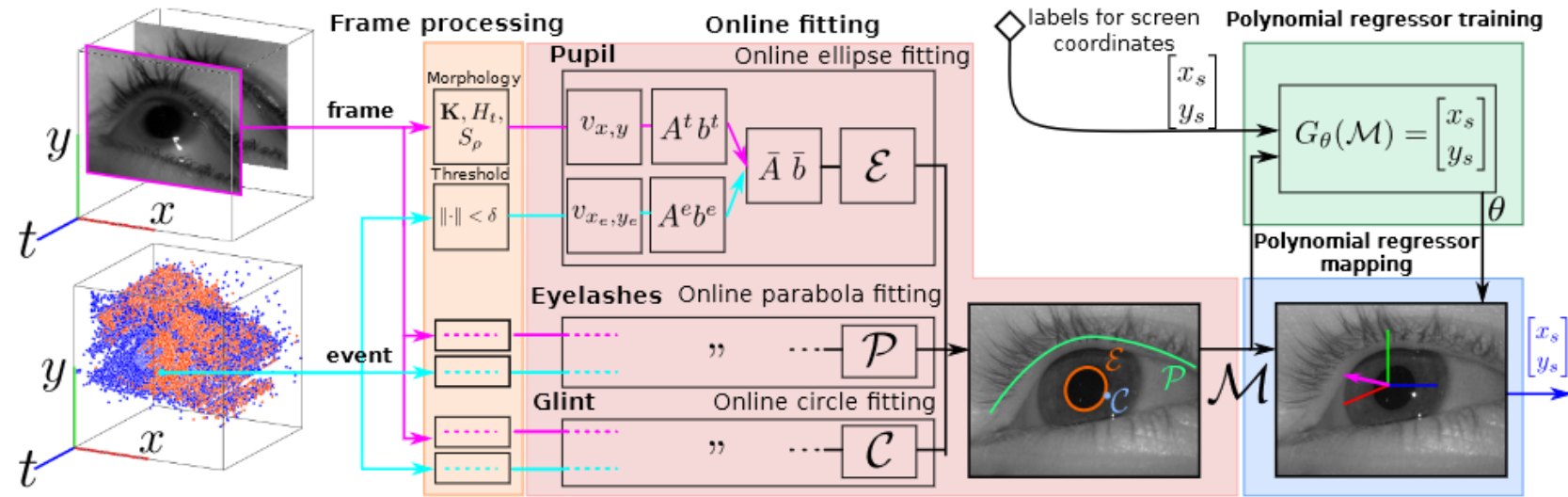
$$z_k = H * x_k + v_k \text{-----}(2)$$

Correct and Predict the ellipse for each fit:

$$x_k = \begin{bmatrix} x_e & y_e & a & b & \theta \\ dx_e & dy_e & da & db & d\theta \end{bmatrix}$$

$$x_{ek} = x_{ek-1} + \Delta t \, dx_{ek-1}$$

Summary



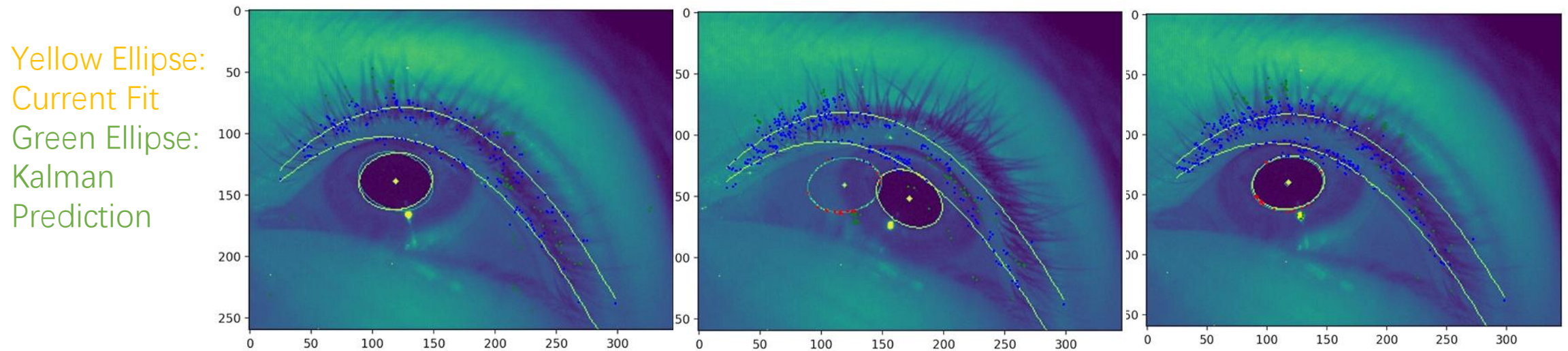
- Add corner point filter step on frame eyelid fit
- Add second eyelid to help denoise event pupil fit
- Change blink detection to eyelid movement
- Change screen map to 3D coordinate system map

Kalman Filter

Correct and predict the ellipse for each fit:

$$\begin{aligned} \text{state : } x_k &= [x_e \quad y_e \quad a \quad b \quad \theta \quad dx_e \quad dy_e \quad da \quad db \quad d\theta] & x_k &= A * x_{k-1} + B * u_k + w_{k-1} \text{-----(1)} \\ \text{measurement : } z_k &= [x_e \quad y_e \quad a \quad b \quad \theta] & z_k &= H * x_k + v_k \text{-----(2)} \end{aligned}$$

Strategy: discard new fitted pupil if it's much different from the last prediction



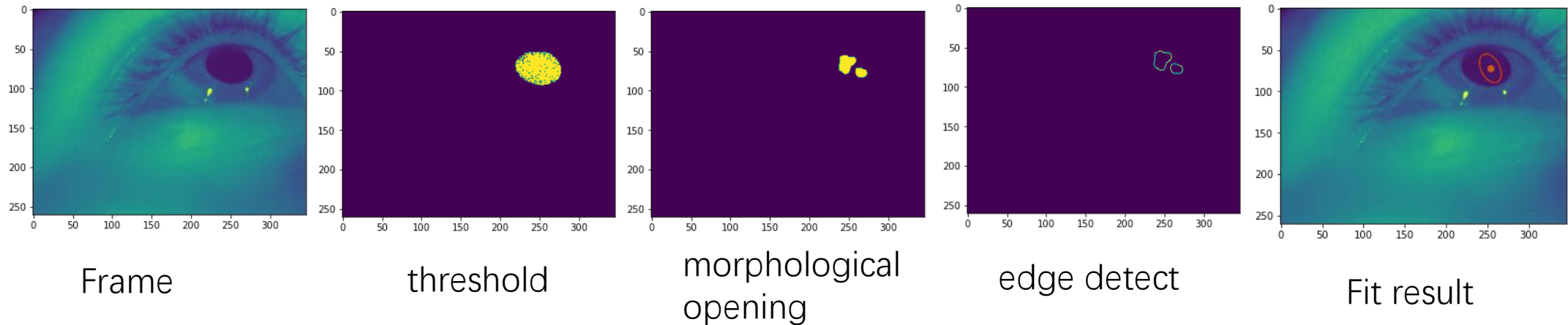
3 adjacent frames (the middle frame is replaced on purpose)

Problem on parameters setting

- Same group parameters can not run on all the data, need to set self-adaption, trying tone mapping, percentage parameter, ect.

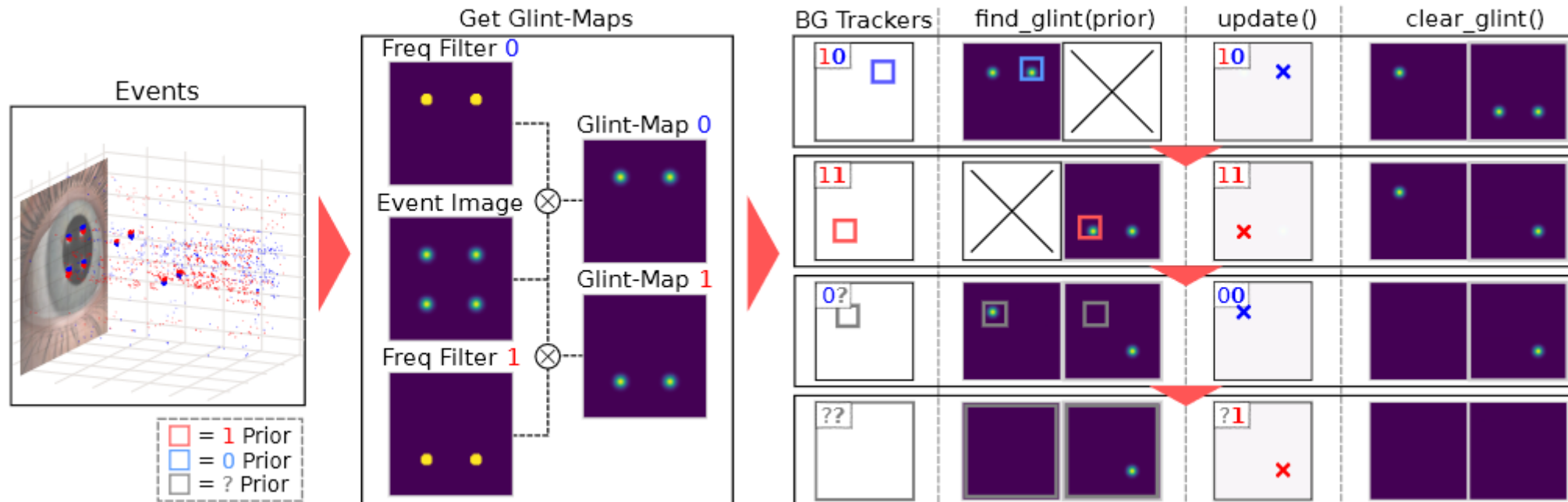
On another eye side:

Frame pupil ellipse bad fit:



Next Week

- Start reproduction of Tracking Corneal Glints using Coded Differential Lighting
- Data collection



This week

- Kalman Filter On eyelid, trying new strategy
- Pupil Tracking evaluation
- Gaze vectors analysis

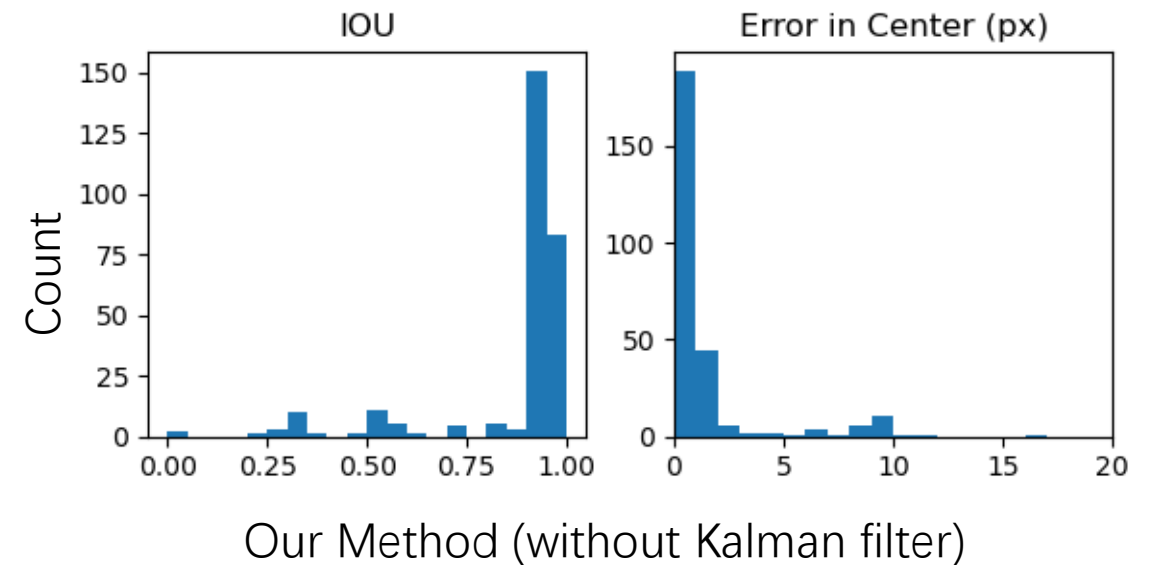
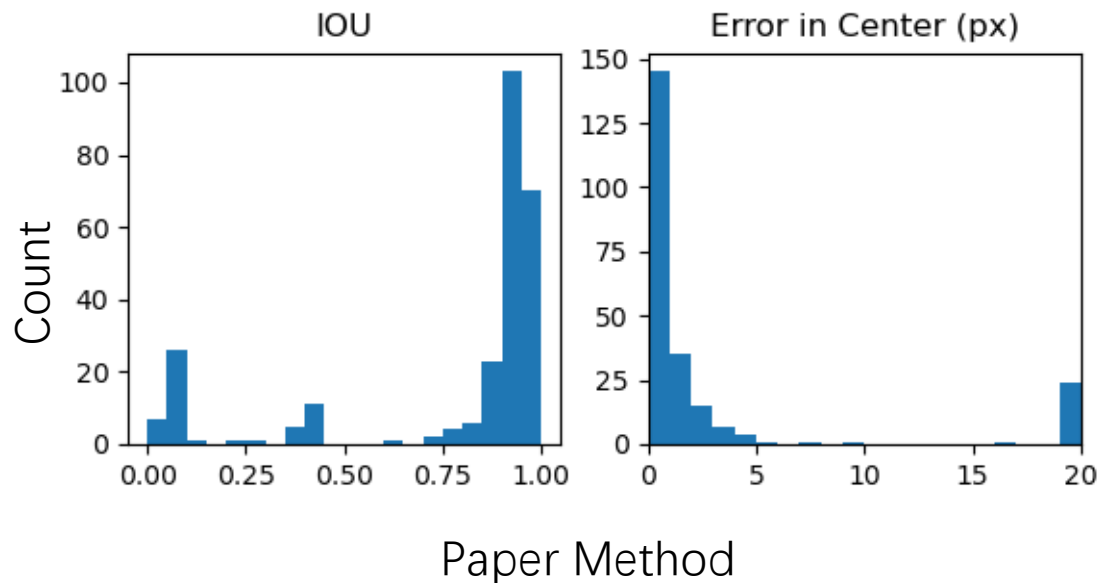
Kalman Filter

- Changed strategy: compare the prediction and current fitted ellipse, and set the less changed from last output as current output.
- Got worse result.

Pupil Tracking evaluation

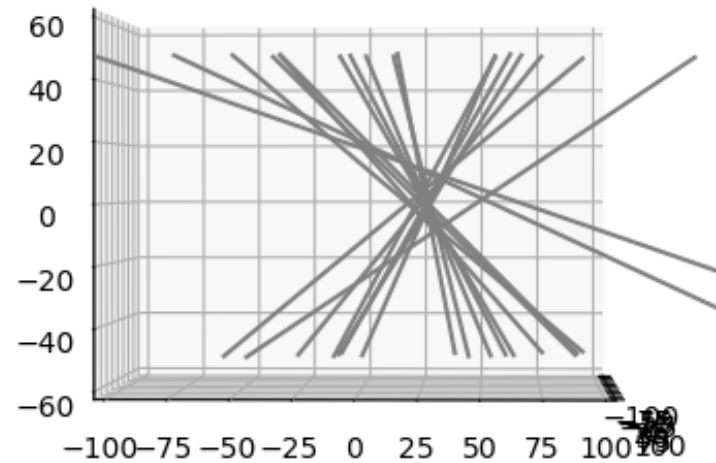
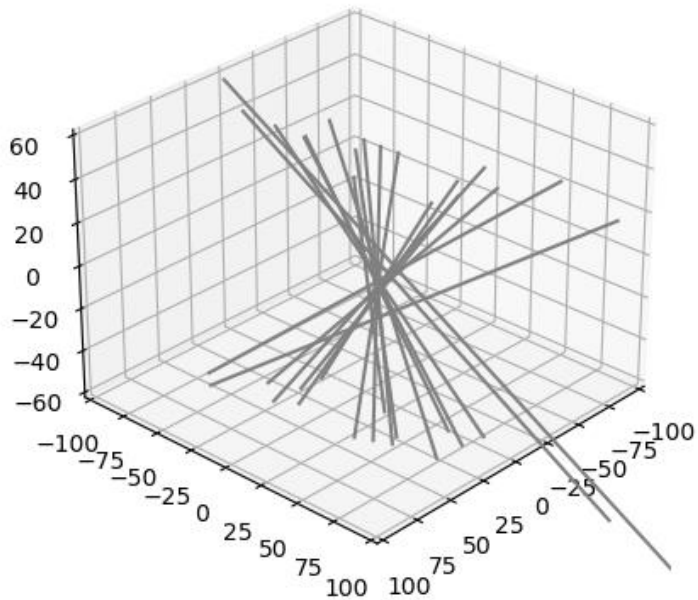
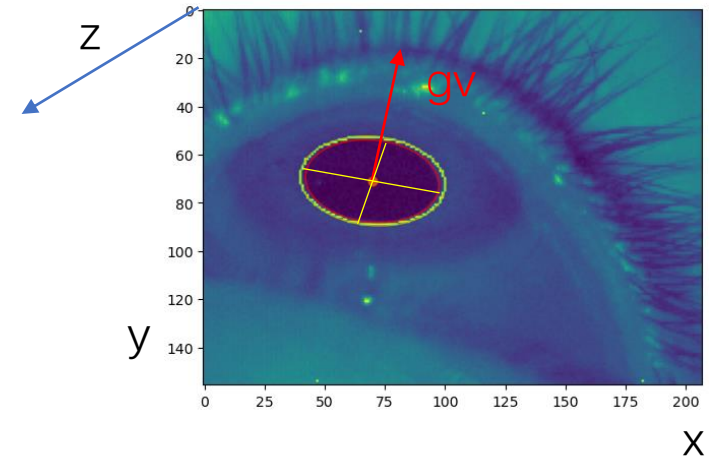
Standard: The intersection over union (IOU) and The error in center estimation

Compare: Frame estimation & Event estimation (just before the frame)

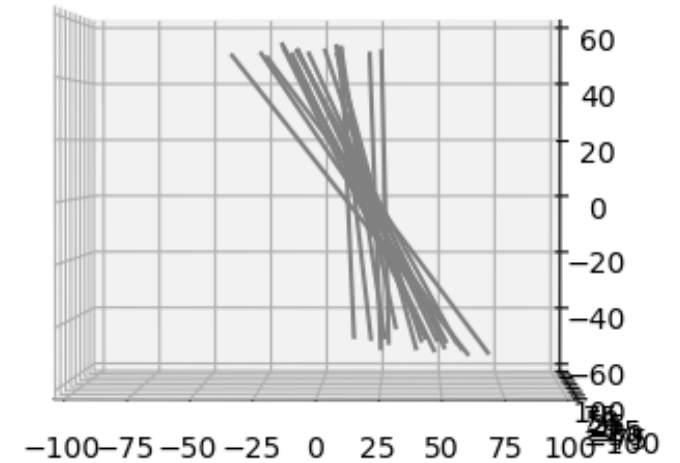


Gaze vectors analysis

The x-y plane ($z=0$) is set as eye frame.
The lines are based on unit gaze vectors and pupil centers.

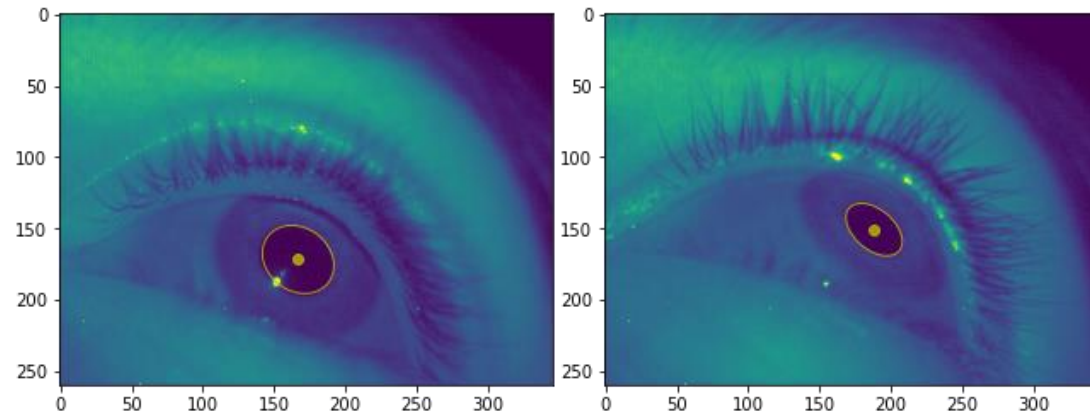


x-axis view



y-axis view

Gaze vectors analysis



Pupil size may change (not by angle)

Next week

- Finish the screen map evaluation
- Analysis gaze vectors and build advanced eye model

