

## 4.3 What Derivatives Tell About the Shape of a Graph

### 1 Increasing and Decreasing: Using $f'(x)$

Recall that for a function  $f(x)$ , we can evaluate  $f'(c)$  at a number to determine the slope of the function at  $x = c$ .

**Fact:** The only places where a function can change from increasing to decreasing or vice-versa is at a critical number.

To find the intervals where  $f(x)$  is increasing or decreasing, do the following:

1. Find the critical numbers of  $f(x)$ .
2. Cut the real number line into intervals at the critical numbers.
3. For each interval, pick a test value and check to see if  $f'(x)$  is positive or negative.
  - if  $f'(x)$  is positive at a test value, then then  $f(x)$  is increasing on that test value's entire interval.
  - if  $f'(x)$  is negative at a test value, then then  $f(x)$  is decreasing on that test value's entire interval.

#### 1.0.1 Example:

Find the intervals where  $f(x) = 2x^3 - 2x^2 - \frac{7}{2}x + 1$  is increasing or decreasing.

**The First Derivative Test:** Suppose that  $c$  is a critical number of a continuous function  $f$ .

1. If  $f'$  changes from positive to negative at  $c$ , then  $f$  has a local maximum at  $x = c$ .
2. If  $f'$  changes from negative to positive at  $c$ , then  $f$  has a local minimum at  $x = c$ .
3. If the sign of  $f'$  does not change at  $c$ , then  $f$  has no local max or min at  $x = c$ .

### 1.0.2 Example:

Find the intervals where  $y = x^3 + x$  is increasing or decreasing, and find any local max or mins.

### 1.0.3 Example:

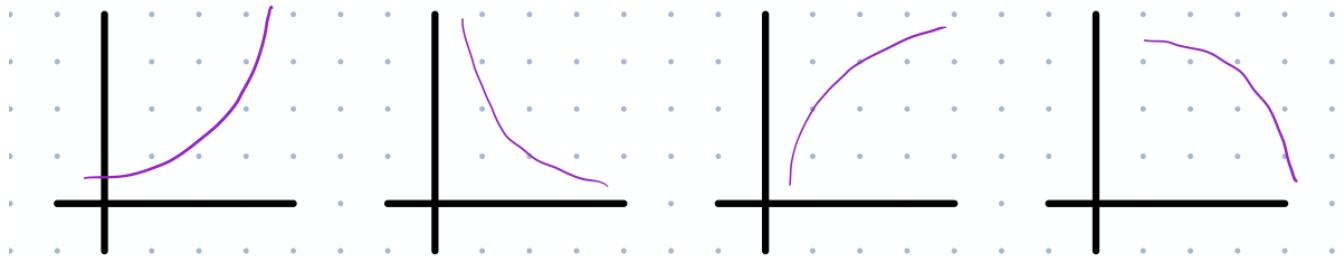
Find the intervals where  $y = x^2 e^{(\frac{x}{2})}$  is increasing or decreasing, and find any local max or mins.

#### 1.0.4 Example:

Find the intervals where  $y = x^{\frac{4}{3}} - 2x^{\frac{2}{3}}$  is increasing or decreasing, and find any local max or mins.

## 2 Concavity: Using $f''(x)$

**Definition:** A graph is **concave up** at a point if the tangent at that point lies below the graph, and **concave down** at a point if the tangent at that point lies above the graph.



Concave up, shaped like a cup,  
Concave down, shaped like a frown

<https://www.desmos.com/calculator/fs3lx8gptb>  
Consider the graphs of  $y = x^2$  and  $y = -x^2$

**Fact:** The second derivative,  $f''(x)$  tell the **concavity** of  $f(x)$ .

- if  $f''(c)$  is positive, then the graph is concave up at  $x = c$ .
- if  $f''(c)$  is negative, then the graph is concave down at  $x = c$ .

To find the intervals where  $f(x)$  is concave up or concave down, do the following:

1. Find the hypercritical numbers of  $f(x)$ . (that's my nonstandard term for numbers that make the **second derivative** zero or undefined.)
2. Cut the real number line into intervals at the hypercritical numbers.
3. For each interval, pick a test value and check to see if  $f''(x)$  is positive or negative.
  - if  $f''(x)$  is positive at a test value, then then  $f(x)$  is concave up on that test value's entire interval.
  - if  $f''(x)$  is negative at a test value, then then  $f(x)$  is concave down on that test value's entire interval.

**Definition:** A point on a graph where the concavity changes sign is called an **inflection point**.

### 2.0.1 Example:

Find the intervals where  $f(x) = \frac{4}{3}x^3 + x^2 - 5x$  is concave up or concave down, and find any inflection points.

### **2.0.2 Example:**

Find the intervals where  $f(x) = 3x^4 - 4x^3 + x$  is concave up or concave down, and find any inflection points.

### **2.0.3 Example:**

Find the intervals where  $y = 4xe^{x/2}$  is concave up or concave down, and find any inflection points.

## 2.1 Second Derivative Test

**The Second Derivative Test:** Suppose that the second derivative,  $f''$  is continuous near  $c$ .

1. If  $f'(c) = 0$  and  $f''(c) > 0$ , then  $f$  has a local minimum at  $x = c$
2. If  $f'(c) = 0$  and  $f''(c) < 0$ , then  $f$  has a local maximum at  $x = c$
3. If  $f'(c) = 0$  and  $f''(c) = 0$ , then the test does not tell you anything. (There may be a max, min, or neither at  $x = c$ ).

### 2.1.1 Example:

Use the second derivative test to find any relative extrema of  $f(x) = 4x^3 - 3x^2$

### 2.1.2 Example:

What does the second derivative test tell about the graphs  $f(x) = (x + 3)^4$  and  $g(x) = -5x^5$ ?