

# Optical Communication 2017: Solutions

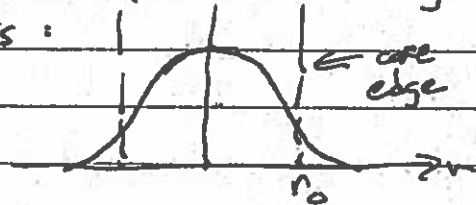
$$1. a) \quad v_p = \frac{\omega}{k} = \frac{2\pi f}{k} \therefore |k| = \frac{2\pi(2.5 \times 10^9)}{2.1 \times 10^8} \\ = \underline{74.8 \text{ m}^{-1}}$$

$$b) \quad P = \frac{hc \cdot N}{\lambda} \quad \lambda = \frac{hc N}{P} = \frac{6.63 \times 10^{-34} \times 3 \times 10^8 \times 2 \times 10^{16}}{3 \times 10^{-3}} \\ = \underline{133 \mu\text{m}}$$

$$c) \quad R = \left( \frac{n_2 - n_1}{n_2 + n_1} \right)^2 = \left( \frac{1.52 - 1.3}{1.52 + 1.3} \right)^2 = .0061$$

$$T = 1 - R = 0.994 \quad P_T = .994 \times 5 \text{ mW} = \underline{4.97 \text{ mW}}$$

d) The mode is circularly symmetrical, with a peak at the axial centre and decreasing monotonically with increasing radius. It decays exponentially into the cladding. Its cross-sectional shape is:



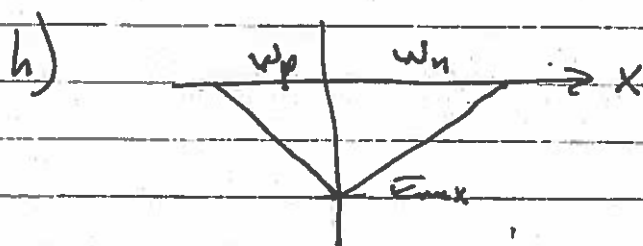
$$e) \quad \text{The loss in dB} = 10 \log_{10} \left( \frac{200}{65} \right) = 10.9 \text{ dB}$$

$$\text{loss/km} \quad \alpha_{10} = \frac{10.9}{25} = \underline{0.436 \text{ dB/km}}$$

This is good, but about 2x the best available.

$$f) \quad I_{ph} = \frac{q e A}{hc} \Phi_R = \frac{0.83 \times 1.6 \times 10^{-19} \times 980 \times 10^{-9} \times 2.5 \times 10^{-6}}{6.63 \times 10^{-34} \times 3 \times 10^8} \\ = \underline{1.63 \mu\text{A}}$$

1. g) Since any signal has a finite  $\Delta\lambda$ , the dispersion  $d^2n/d\lambda^2$  can only be zero at the centre  $\lambda$  of the signal. Since the amount by which  $d^2n/d\lambda^2$  changes within  $\Delta\lambda$  is proportional to  $\frac{d}{d\lambda}(d^2n/d\lambda^2)$ , the dispersion is proportional to  $\frac{d^3n}{d\lambda^3}$  in this case.



$$w_p N_A = w_n N_D$$

$$\therefore w_n = 2w_p = 2\mu\text{m}$$

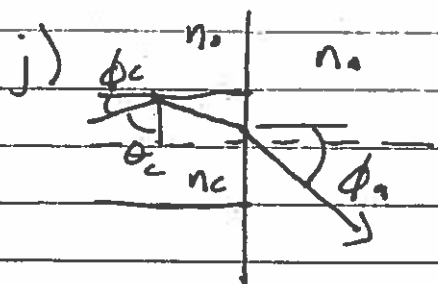
$$w_p = 1\mu\text{m}$$

$$(w_n + w_p = 3\mu\text{m})$$

$$|E_{\text{max}}| = \frac{e w_p N_A}{\epsilon_r \epsilon_0} = \frac{1.6 \times 10^{-19} \times 10^8 \times 2 \times 10^{20}}{12 \times 8.85 \times 10^{-12}}$$

$$= \underline{3.0 \times 10^5 \text{ V/m}}$$

i) We wish to excite the electrons to a level from which they will not rapidly decay by spontaneous recombination, to maximise stimulated emission. But for transitions between 2 levels, the excitation probabilities and spontaneous emission probabilities are equal, so a long decay lifetime means weak pump absorption. A 3 level system provides strong pump absorption to a higher level, and then even quicker decay to a metastable level.



The <sup>min</sup> maximum  $\theta_c$  is the critical angle

$$\theta_c = \sin^{-1}(n_c/n_0), \text{ so the max}$$

$$\phi_c = \cos^{-1}(n_c/n_0), \text{ so } \phi_{c\text{max}} =$$

$$\sin^{-1}(1 - (n_c/n_0)^2)^{1/2}, \sin \phi_{c\text{max}} = NA/n_0$$

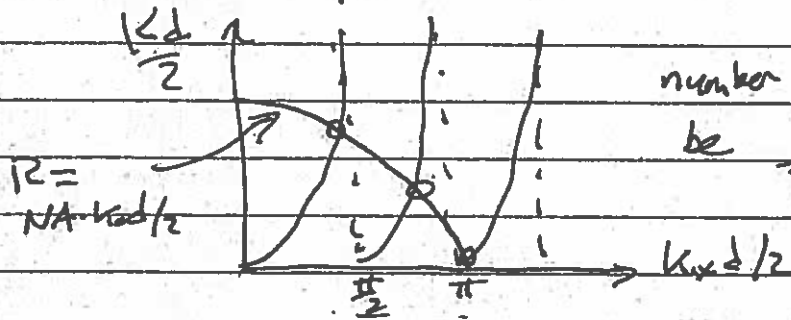
$$\text{And } \sin \phi_a(\text{max}) = \frac{n_c}{n_0} \sin \phi_{c\text{max}} = NA$$

$$\therefore \phi_a(\text{max}) = \sin^{-1}(NA) = \underline{8.6^\circ}$$

2. a) It is fine to use, from memory, the condition that mode  $m$  is supported if:

$$d \geq \frac{1}{2} \frac{m \lambda}{NA}$$

Alternatively, consider the  $k_x$ - $k$  diagram:



number of modes  $N$  must be  $\frac{R}{\pi/2}$  rounded up to nearest integer.

So in this case,  $N = \sqrt{1.5^2 - 1^2} \times \frac{2\pi \times 3 \times 10^{-3}}{0.5 \times 10^{-6}} \times \frac{2}{\pi}$

$N = \sqrt{1.25} \times 12 \times 10^3 = \underline{13,417 \text{ modes (rounded up)}}$

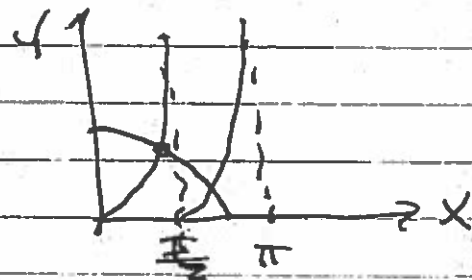
b) Now we have  $NA = \sqrt{1.49^2 - 1.485^2} = 0.1220$

$R = \frac{0.1220 \times 2\pi \times 6 \mu\text{m}}{1.33 \mu\text{m}} = 1.729$

$\pi/2 < R < \pi \therefore$  modes  $m=0$  and  $m=1$  are supported (2 modes)

c) Taking  $X = k_{ix}d/2$ , for  $m=0$  (cos) the eigenvalue equated to the equation of the circular arc gives  $\frac{\cos X}{X} = \pm \frac{1}{R}$

From the graph  $X$  should be just less than  $\pi/2$  so we can use  $\pi/2$  as a first value and iterate  $X = 1.729 \cos X$



Gives  $X_0 = 0.97$

For  $m=1$ ,  $X = 1.729 \sin X$

Start with  $X = 1.7$ , iterate, gives

$X_1 = 1.71$

To find  $n'$ :  $k_{ix} = \frac{2X}{d}$   $\beta = n'k_0 = \sqrt{n_1^2 k_0^2 - k_{ix}^2}$

$n' = \sqrt{1.49^2 - \left(\frac{X \lambda_0}{\pi d}\right)^2}$   $n_0' = \sqrt{1.49^2 - \left(\frac{0.97 \times 1.33}{\pi \times 6}\right)^2} = \underline{1.488}$

$n_1' = \sqrt{1.49^2 - \left(\frac{1.71 \times 1.33}{6\pi}\right)^2} = \underline{1.4851}$

Both are in the range  $n_2 < n' < n_1$

$$3. a) \Phi_T = 4 \text{ dBm} = 1 \text{ mW} \times 10^{0.4} = 2.51 \text{ mW}$$

$$\Phi_R = \Phi_T(\text{dB}) - \alpha_{\text{dB}} \times L = 4 -$$

$$3. a) \Phi_T = 4 \text{ dBm} = 1 \text{ mW} \times 10^{0.4} = 2.51 \text{ mW}$$

$$\Phi_R = \Phi_T e^{-\alpha L} \quad \alpha = \frac{\alpha_{\text{dB}}}{4.34} = 0.081 \text{ km}^{-1}$$

$$I_{ph} = \frac{\eta e \lambda}{hc} \Phi_T e^{-\alpha L} \quad \text{SNR} = \frac{I_{ph}}{\sqrt{\frac{4kT}{R}} \sqrt{\Delta f}} \quad \left( \frac{\text{Signal}}{\text{Noise}} \right)$$

$$\Delta f \approx B/2 \quad \text{SNR}^2 = \frac{\left( \frac{\eta e \lambda}{hc} \right)^2 \Phi_T^2 e^{-2\alpha L}}{\frac{2kT}{R} \cdot B}$$

$$\text{Then } B_{\text{max}} = A_T e^{-2\alpha L} \quad \text{where } A_T = \left( \frac{\eta e \lambda \Phi_T}{hc \cdot \text{SNR}} \right)^2 \frac{R}{2kT}$$

$$A_T = \left[ \frac{0.85 \times 1.6 \times 10^{-19} \times 1.33 \times 10^{-6} \times 2.51 \times 10^{-3}}{6.63 \times 10^{-34} \times 3 \times 10^8 \times 12^2} \right]^2 \times \frac{2 \times 10^4}{2 \times 1.38 \times 10^{-23} \times 300}$$

$$= 6.07 \times 10^{14}$$

$$b) \text{ Far shot noise, } \text{SNR} = \frac{I_{ph}}{\sqrt{2e I_{ph} \Delta f}} = \sqrt{\frac{I_{ph}}{2e B}}$$

$$B_{\text{max}} = \frac{I_{ph}}{e \cdot \text{SNR}^2} = A_S e^{-\alpha L} \quad \text{where } A_S = \frac{\eta e \lambda \Phi_T}{hc e \cdot \text{SNR}^2}$$

$$A_S = \frac{0.85 \times 1.6 \times 10^{-19} \times 1.33 \times 10^{-6} \times 2.51 \times 10^{-3}}{6.63 \times 10^{-34} \times 3 \times 10^8 \times 1.6 \times 10^{-19} \times 12^2} = 9.91 \times 10^{13}$$

$$c) I_b = D \cdot L \cdot \sigma_A = 11 \times 1.5 L = \frac{0.25}{B}$$

$$B_{\text{max}} = \frac{0.015}{L} \text{ ps}^{-1} = \frac{15 \times 10^9}{L} \text{ bit/s/km}$$

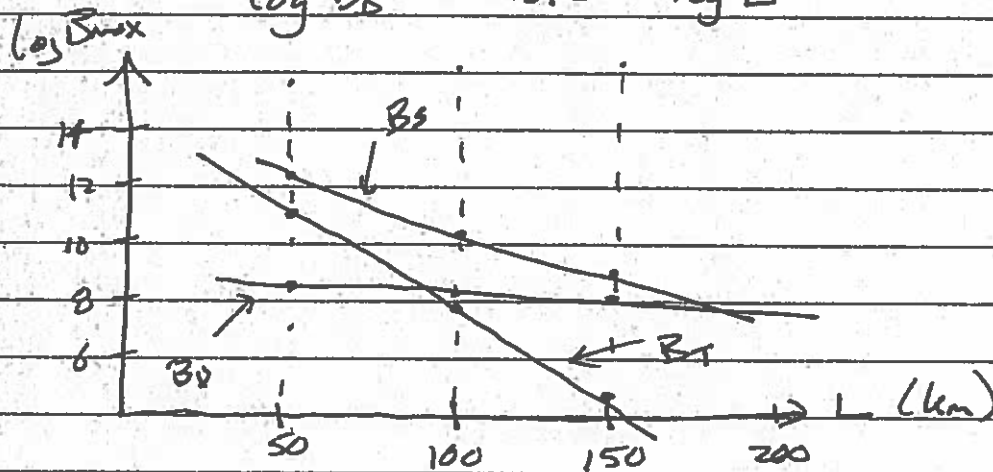
3 d) Since the first 2 have  $B \propto e^{-L}$ , best to plot  $\log(B_{\max})$  on a log-linear plot.

Try a few values to get a suitable range - From (c) it can be found that by 200 km  $B$  is very low. Labelling max  $B$  for thermal, shot and dispersion limits as  $B_T$ ,  $B_S$  and  $B_D$ :

$$\begin{aligned}\log B_T &= \log A_T - 2\alpha L \log e \\ &= 14.8 - .07 L\end{aligned}$$

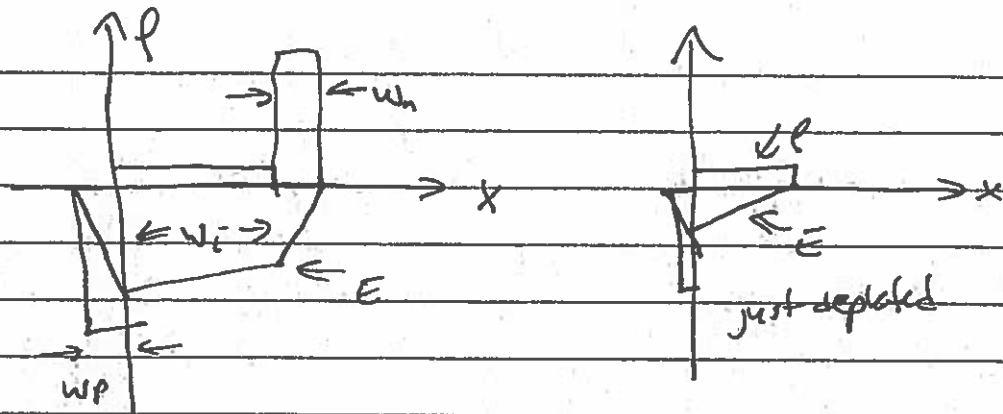
$$\begin{aligned}\log B_S &= \log A_S - \alpha L \log e \\ &= 14.0 - .035 L\end{aligned}$$

$$\log B_D = 10.2 - \log L$$



The link is limited by dispersion for  $L < 100$  km to about 100 Mbit/s, and by thermal noise at higher  $L$ . Above  $L \sim 140$  km,  $B_{\max}$  becomes too low to be useful. Shot noise is never the limiting factor.

5. a)



$w_i$  is just depleted when  $E=0$  at  $i-n$  boundary.

$$\text{Then } |E_{\max}| = \frac{q}{\epsilon_r \epsilon_0} \times w_i N_D^- = \frac{1.6 \times 10^{-19} \times 10^{-5} \times 2 \times 10^{20}}{12 \times 885 \times 10^{-12}} = 3.0 \times 10^6 \text{ V/m}$$

$$\text{And } w_p = w_i \frac{N_D^-}{N_A^+} = 1 \text{ mm} \therefore V_i = \frac{|E_{\max}| (w_p + w_i)}{2} = \frac{3 \times 10^6 \times 11 \times 10^{-6}}{2} = 16.5 \text{ V}$$

b) Call the depleted thickness in the i layer  $w_n^-$ . Then  $|E_{max}| = \frac{e}{\epsilon} w_n^- N_D^-$

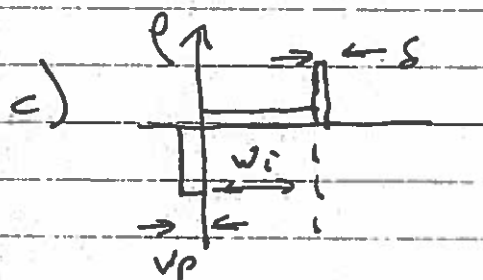
and  $w_p = w_n^- \left( \frac{N_D^-}{N_A^+} \right)$ ,  $w = \left( 1 + \frac{N_D^-}{N_A^+} \right) w_n^-$

$$|E_{max}| = \frac{e}{\epsilon} \frac{w N_D^-}{(1 + N_D^-/N_A^+)} \quad V = \frac{1}{2} |E_{max}| w$$

$$V = \frac{1}{2} \frac{e}{\epsilon} \times \frac{N_D^-}{(1 + N_D^-/N_A^+)} w^2$$

$$dV/dw = \frac{e}{\epsilon} \times \frac{N_D^- w}{(1 + N_D^-/N_A^+)} = 2V/w$$

and  $\frac{dw}{dV} = \left( \frac{dV}{dw} \right)^{-1}$   $\frac{dw/w}{dV/V} = \frac{w}{2V} \times \frac{V}{w} = \underline{\underline{\frac{1}{2}}}$



$$|E_{max}| = \frac{e}{\epsilon} (N_D^- w_i + N_D^+ \delta)$$

$$w_p = \frac{N_D^-}{N_A^+} w_i + \frac{N_D^+}{N_A^+} \delta$$

$$w = w_p + w_i + \delta = \left( 1 + \frac{N_D^-}{N_A^+} \right) w_i + \left( 1 + \frac{N_D^+}{N_A^+} \right) \delta$$

$$V = \frac{1}{2} |E_{max}| w$$

$$= \frac{1}{2} \frac{e}{\epsilon} \left[ (N_D^- w_i + N_D^+ \delta) \left( \left( 1 + \frac{N_D^-}{N_A^+} \right) w_i + \left( 1 + \frac{N_D^+}{N_A^+} \right) \delta \right) \right]$$

Since  $\delta \ll w_i$ , we can expand and neglect the  $\delta^2$  term.

$$V \approx \frac{1}{2} \frac{e}{\epsilon} \left[ N_D^- \left( 1 + \frac{N_D^-}{N_A^+} \right) w_i^2 + N_D^+ \left( 1 + \frac{N_D^-}{N_A^+} \right) w_i \delta + N_D^- \left( 1 + \frac{N_D^+}{N_A^+} \right) w_i \delta \right]$$

$$= \frac{1}{2} \frac{e}{\epsilon} \left[ N_D^- \left( 1 + \frac{N_D^-}{N_A^+} \right) w_i^2 + \left( N_D^+ + N_D^- + \frac{2 N_D^+ N_D^-}{N_A^+} \right) w_i \delta \right]$$



$$\text{Then } \frac{dV}{dw} = \frac{dV}{d\delta} \times \frac{d\delta}{dw}$$

$$\frac{dw}{d\delta} = 1 + \frac{N_D^+}{N_A^+}$$

$$\frac{dV}{d\delta} = \frac{1}{2} \frac{e}{\epsilon} \left( N_D^+ + N_D^- + \frac{2N_D^+ N_D^-}{N_A^+} \right) w_i$$

$$\frac{dV}{dw} = \frac{\frac{1}{2} \frac{e}{\epsilon} \left( N_D^+ + N_D^- + \frac{2N_D^+ N_D^-}{N_A^+} \right) w_i}{\left( 1 + N_D^+ / N_A^+ \right)}$$

$$\frac{dw/w}{dV/V} = \frac{V/w}{dV/dw} \quad \text{Take } \frac{V}{w} \text{ at } \delta = 0:$$

$$V = V_i = \frac{1}{2} \frac{e}{\epsilon} \left( \frac{N_A^-}{1 + N_D^- / N_A^+} \right) w^2$$

$$\therefore \frac{V}{w} = \frac{1}{2} \frac{e}{\epsilon} \left( \frac{N_D^-}{1 + N_D^- / N_A^+} \right) \left( 1 + \frac{N_D^-}{N_A^+} \right) w_i = \frac{1}{2} \frac{e}{\epsilon} N_D^- w_i$$

$$\frac{dw/w}{dV/V} = \frac{\left( 1 + N_D^+ / N_A^+ \right)}{1 + N_D^+ / N_D^- + 2N_D^+ / N_A^+} = 0.1$$

$$\text{Since } N_D^- = 0.1 N_A^+ :$$

$$0.1 = \frac{1 + \alpha}{1 + 2\alpha + 10\alpha} = \frac{1 + \alpha}{1 + 12\alpha} \rightarrow \alpha \approx 5$$

$$\therefore N_D^+ \approx 10^{22} \text{ m}^{-3}$$

d) Both the responsivity (through fraction of photons captured in depletion region) and the device capacitance depend on  $w$ , so it's useful for predictable operation to have these not be strongly dependent on  $V$ .