DEPARTMENT OF ELECTRICAL AND ELECTRONIC ENGINEERING **EXAMINATIONS 2015**

MSc and EEE PART IV: MEng and ACGI

Corrected Copy

WIRELESS COMMUNICATIONS

Monday, 18 May 10:00 am

Time allowed: 3:00 hours

There are THREE questions on this paper.

Answer THREE questions.

Any special instructions for invigilators and information for candidates are on page 1.

Examiners responsible

First Marker(s):

B. Clerckx

Second Marker(s): K.K. Leung



Important information for students

Notations:

- (a) A $n_r \times n_t$ MIMO channel consists in n_r receive antennas and n_t transmit antennas.
- (b) a, a, A denote a scalar, vector and matrix respectively.
- (c) A^H denotes conjugate transpose (Hermitian).
- (d) A* denotes conjugate.
- (e) A^T denotes transpose.
- (f) |a| denotes the absolute value of scalar a.
- (g) |a| denotes the (Euclidean) norm of vector a.
- (h) "i.i.d." means "independent and identically distributed".
- (i) "CSI" means "Channel State Information".
- (j) "CSIT" means "Channel State Information at the Transmitter".
- (k) "CDIT" means "Channel Distribution Information at the Transmitter".
- (1) $\mathscr{E}\{.\}$ denotes Expectation.
- (m) Tr {.} denotes the Trace of a matrix.

Assumptions:

- (a) The CSI is assumed to be always perfectly known to the receiver.
- (b) The receiver noise is a $n_r \times 1$ vector with i.i.d. entries modeled as zero mean complex additive white Gaussian noise with variance σ_n^2 .

Some useful relationships:

(a)
$$\|A\|_F^2 = \text{Tr}\{AA^H\} = \text{Tr}\{A^HA\}$$

- (b) $Tr\{AB\} = Tr\{BA\}$
- (c) det(I + AB) = det(I + BA)
- (d) $\operatorname{Tr}\left\{\mathbf{A}\mathbf{B}\mathbf{B}^{H}\mathbf{A}^{H}\right\} = \operatorname{vec}\left(\mathbf{A}^{H}\right)^{H}\left(\mathbf{I} \otimes \mathbf{B}\mathbf{B}^{H}\right)\operatorname{vec}\left(\mathbf{A}^{H}\right)$
- (e) Gaussian Q-function

$$Q(x) \stackrel{\Delta}{=} P(y \ge x) = \frac{1}{\sqrt{2\pi}} \int_{x}^{\infty} \exp\left(-\frac{y^2}{2}\right) dy$$

(f) Craig's formula

$$Q(x) = \frac{1}{\pi} \int_0^{\pi/2} \exp\left(-\frac{x^2}{2\sin^2(\beta)}\right) d\beta$$

(g) Chernoff bound

$$Q(x) \le \exp\left(-\frac{x^2}{2}\right)$$

(h) The moment generating function of a Hermitian quadratic form in complex Gaussian random variable $y = zFz^H$, where z is a circularly symmetric complex Gaussian vector with mean \overline{z} and a covariance matrix R_z and F a Hermitian matrix, is given by

$$M_{y}(s) \stackrel{\Delta}{=} \int_{0}^{\infty} \exp(sy) \, p_{y}(y) \, dy = \frac{\exp\left(s\overline{z}\mathbf{F}(\mathbf{I} - s\mathbf{R}_{z}\mathbf{F})^{-1}\overline{z}^{H}\right)}{\det\left(\mathbf{I} - s\mathbf{R}_{z}\mathbf{F}\right)}$$

1.

a) Consider the Downlink Multiuser Multiple-Input Single-Output (MU-MISO) transmission of two independent streams to two independent users. The transmitter is equipped with 4 antennas while each user is equipped with a single receive antenna. The Channel State Information (CSI) is perfectly known to the transmitter. Denoting the vector of transmitted symbols as $\mathbf{c} = [c_1, c_2]^T$ with c_1 and c_2 intended for user 1 and 2 respectively, the received signals are written as $y_1 = \mathbf{h}_1 \mathbf{Pc} + n_1$ and $y_2 = \mathbf{h}_2 \mathbf{Pc} + n_2$ at user 1 and 2, respectively. The precoder \mathbf{P} is made of two columns \mathbf{p}_1 and \mathbf{p}_2 , each column subject to a power constraint $\|\mathbf{p}_i\|^2 = 1$, i = 1, 2. The channel vector of user 1 is given by

$$\mathbf{h}_1 = \left[\begin{array}{cccc} 1 & 1+j & 1 & 1-j \end{array} \right]$$

while that of user 2 is given by

$$h_2 = \left[\begin{array}{cccc} 1 & 0 & -1 & 0 \end{array}\right].$$

At the transmitter we would like to design the precoder p_1 so as to maximize the SNR at user 1 and guarantee that the multi-user interference is completely nulled out.

i) Derive the expression of the precoder p_1 . Provide your reasoning.

[3]

ii) What kind of precoder is this? Explain your result.

[3]

- b) Figure 1.1 displays the average Symbol Error Probability of three schemes vs. SNR for point-to-point channels with i.i.d. Rayleigh slow fading. The three schemes are (1) Alamouti scheme without CSIT in a two-transmit one-receive MISO channel with BPSK, (2) matched beamforming (also called transmit MRC) with perfect CSIT in a two-transmit one-receive MISO channel with BPSK, (3) uncoded SISO transmission with BPSK.
 - i) Map schemes (1), (2) and (3) to curves (a), (b) and (c) in Figure 1.1 and provide your reasoning.

[3]

ii) From the analytical expressions of the error probability of (b) and (c), explain the gap between those two curves.

[5]

- c) Figure 1.2 displays the ergodic capacity of point-to-point i.i.d. Rayleigh fast fading channels with Channel Distribution Information at the Transmitter (CDIT) for five antenna $(n_r \times n_t)$ configurations (denoted as (a) to (e)) with $n_t + n_r = 7$.
 - i) What is the achievable (spatial) multiplexing gain (at high SNR) for each of cases (a) to (e)? Provide your reasoning.

[5]

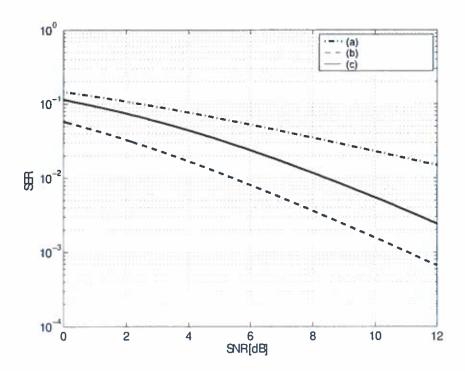


Figure 1.1 Average Symbol Error Probability vs. SNR.

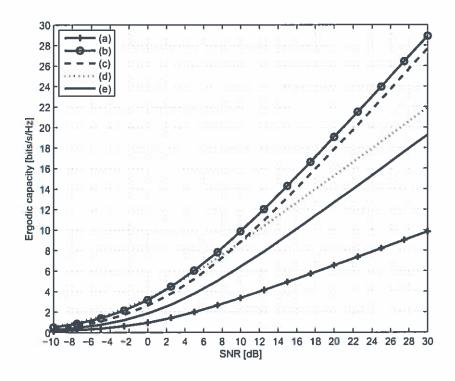


Figure 1.2 Ergodic capacity vs. SNR.

ii) For each of cases (a) to (e), identify the antenna configuration, i.e. n_t and n_r , satisfying $n_t + n_r = 7$ that achieves such multiplexing gain. Provide your reasoning.

[5]

- Consider two point-to-point 1×2 MISO deployment scenarios (with two transmit antennas) where the channel can be modeled as Rayleigh fading with a spatial correlation matrix $R_{t,1}$ and $R_{t,2}$, respectively. In the first deployment, the angle spread and antenna spacing are 4° and 0.5λ (with λ being the wavelength), respectively. In the second deployment, they are 20° and 4λ , respectively.
 - i) Which deployment would lead to a higher spatial correlation? Provide your reasoning. [2]
 - ii) Assuming no CSIT and no CDIT and a transmit diversity scheme based on O-STBC using QAM, which of the deployment scenarios would lead to a lower average error probability at high SNR? Provide your reasoning.
 - iii) Assuming perfect CSIT and a matched beamforming transmission strategy using QAM, which scenario would lead to a larger array gain? Provide your reasoning. [3]
- e) Consider Spatial Multiplexing with QAM in an i.i.d. slow Rayleigh fading MIMO channel with n_t transmit antennas and n_r receive antenna. CSI is assumed perfectly known to the receiver but is unknown to the transmitter. ML decoding is assumed at the receiver.
 - i) Starting from the conditional pairwise error probability and by making use of the Chernoff Bound, derive an upper bound on the average pairwise error probability. [6]
 - ii) Infer from (i) the diversity gain achieved by that scheme at high SNR.

2.

a) Show that the sum-rate capacity of a two-user SISO Broadcast Channel (BC) is achieved by allocating the transmit power to the strongest user.

[6]

b) Consider the transmission y = Hc' + n with perfect CSIT over a deterministic point to point MIMO channel with two transmit and two receive antennas whose matrix is given by

 $\mathbf{H} = \left[\begin{array}{cc} a & 0 \\ 0 & b \end{array} \right]$

where a and b are complex scalars with $|a| \ge |b|$. The receiver is subject to AWGN noise such that the noise variances on receive antenna 1 and 2 are given by $\sigma_{n,1}^2$ and $\sigma_{n,2}^2$, respectively. Assume $\sigma_{n,1}^2 \le \sigma_{n,2}^2$. The input covariance matrix is given by $\mathbf{Q} = \mathcal{E}\left\{\mathbf{c}'\mathbf{c}'^H\right\}$ and is subject to the transmit power constraint $\text{Tr}\left\{\mathbf{Q}\right\} \le P$. Compute the capacity with perfect CSIT of that deterministic channel. Explain your reasoning.

[6]

 Consider a narrowband transmission using a transmission strategy characterized by the following set of codewords

$$\mathbf{a} = \begin{bmatrix} a & b & c & d \end{bmatrix},$$

$$\mathbf{b} = \begin{bmatrix} b & c & d & a \end{bmatrix},$$

$$\mathbf{c} = \begin{bmatrix} c & d & a & b \end{bmatrix},$$

$$\mathbf{d} = \begin{bmatrix} d & a & b & c \end{bmatrix},$$

with $a = \frac{1}{\sqrt{2}}(1+j)$, $b = \frac{1}{\sqrt{2}}(-1+j)$, $c = \frac{1}{\sqrt{2}}(-1-j)$ and $d = \frac{1}{\sqrt{2}}(1-j)$ being the four constellation symbols taken from a unit average energy QPSK constellation. What is the diversity gain that can be achieved with a Maximum Likelihood (ML) receiver in i.i.d. fast Rayleigh fading channels with a single receive antenna and a single transmit antenna? Provide your reasoning.

[6]

- d) Discuss the validity of the following statements. Detail your argument.
 - i) In a two-user Gaussian SIMO Multiple Access Channel (one antenna at the transmitters and multiple antennas at the receiver) over the deterministic channels **h**₁ and **h**₂, an increase of user 1 rate leads to a decrease of user 2 rate.

[6]

ii)
$$\mathscr{E}\left\{\log_2\left(1+\rho|h|^2\right)\right\} \ge \log_2\left(1+\rho\mathscr{E}\left\{|h|^2\right\}\right) = \log_2\left(1+\rho\right)$$

where h is a circularly symmetric complex Gaussian random variable with $\mathcal{E}\left\{|h|^2\right\} = 1$ and ρ is the average SNR. Detail your argument.

F 6 1

6/7

3.

Assume an uplink narrowband transmission in a cellular network consisting of 2 cells. Each cell is equipped with one receiver, denoted as receiver 1 in cell 1 and receiver 2 in cell 2. In cell 1, there are two terminals (denoted as terminal 1 and 2). Similarly, in cell 2, there are also two terminals (denoted as terminal 3 and 4). The two receivers are each equipped with n_r receive antennas and the terminals equipped with 1 transmit antenna. The channel state information is assumed perfectly known to both receivers. Terminals are scheduled in the same time/frequency resource and each terminal transmits one stream with a transmit power P. Denote as stream 1 and 2 the stream transmitted by terminal 1 and 2, respectively.

a) Write an expression of the received signal at receiver 1 in cell 1 in terms of channel parameters and transmit symbol vectors. Clearly define each variable and identify the terms responsible for the intra-cell interference (also called multi-user interference) and inter-cell interference in your expression from the perspective of stream 1.

[5]

- b) We want to apply a linear combiner to detect stream 1 at receiver 1 in cell 1 such that the intra-cell interference is completely nulled out.
 - i) What is the smallest number of receive antennas required? Let us denote it by $n_{r,min}$. Explain your rationale.

[3]

ii) Assuming $n_r = n_{r,min}$, derive the expression of such a combiner and the achievable rate of stream 1. Is the choice unique? If not, what is the most suitable choice of combiner?

[4]

iii) Assuming $n_r > n_{r.min}$, derive the expression of such a combiner and the achievable rate of stream 1. Is the choice unique? If not, what is the most suitable choice of combiner?

[4]

iv) In the high SNR regime (e.g. *P* large), what is the multiplexing gain achieved by stream 1? Explain your rationale.

[4]

- c) We want to improve the rate of stream 1 by applying a combiner at receiver 1 in cell 1 such that the intra-cell and inter-cell interference is completely nulled out.
 - i) What is the smallest number of receive antennas required? Let us denote it by $n'_{r,min}$. Explain your rationale.

[3]

ii) Assuming $n_r = n'_{r,min}$, derive the expression of such a combiner and the achievable rate of stream 1.

[4]

iii) In the high SNR regime (e.g. *P* large), what is the multiplexing gain achieved by stream 1? Explain your rationale and compare with b).

[3]

