

Examiners responsible      First Marker(s) :      M.K. Gurcan  
Second Marker(s) :      E. Gelenbe

This page is intentionally left blank.

Instructions to Candidates  
Useful equations

For  $T = 1$

$$\frac{1}{T} \sum_{n=-\infty}^{\infty} \frac{1}{1+a \exp(j(\omega + \frac{2\pi n}{T}))} \xLeftrightarrow{\text{Fourier Transform}} \sum_{k=-\infty}^0 (-a)^k \delta(t - kT)$$

$$\frac{1}{\sqrt{T}} \text{sinc}\left(\frac{t}{T}\right) \xLeftrightarrow{\text{Fourier Transform}} \sqrt{T} \text{rect}(T f)$$

$$\sum_{k=0}^{\infty} (-a)^{2k} = \frac{1}{1-a^2}$$

For  $P_e = 10^{-7}$  the gap value  $\Gamma = 9.8dB$

For  $P_e = 10^{-6}$  the gap value  $\Gamma = 8.8dB$

$$\text{For } 2 \times \left(1 - \frac{1}{4}\right) Q\left(\sqrt{\frac{3SNR}{15}}\right) = 5 \times 10^{-7}$$

$$SNR = 123.5$$

$$2.4 \times Q\left(\sqrt{\frac{10^{1.4}}{1.7}}\right) = 1.45 \times 10^{-4}$$

## Questions

1. Answer the following subquestions

(a) Consider the four waveforms shown in Figure 1

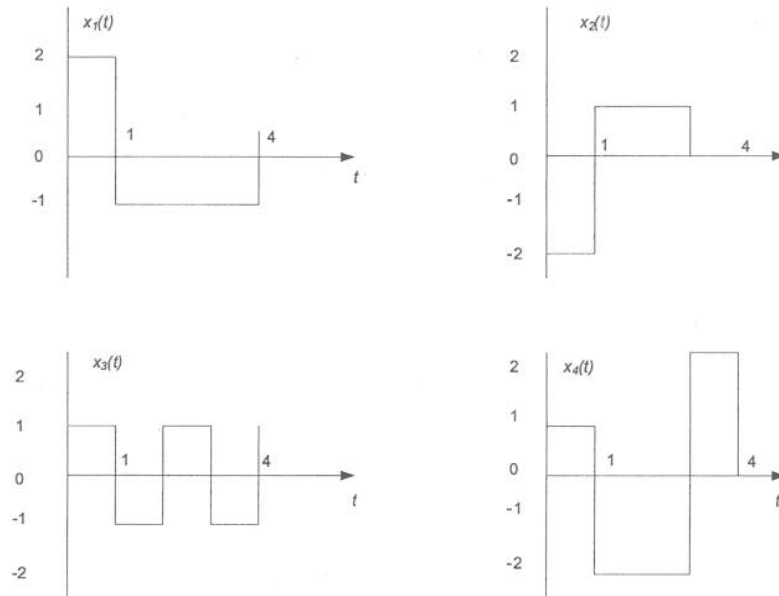


Figure 1. Time waveforms

- i. Determine the dimensionality,  $N$ , of the waveforms and the basis functions  $\phi_1(t), \dots, \phi_N(t)$ . [3]
  - ii. Represent the four waveforms by vectors  $\vec{x}_1, \vec{x}_2, \vec{x}_3$ , and  $\vec{x}_4$ , when using the basis functions. Determine the minimum distance between any pair of vectors. [1]
- (b) Consider the following signal constellation points when transmitting the corresponding time waveforms over an additive-white-Gaussian-noise AWGN channel.

$$\begin{aligned}
 x_0 &= (-1, -1) \\
 x_1 &= (1, -1) \\
 x_2 &= (-1, 1) \\
 x_3 &= (1, 1) \\
 x_4 &= (0, 3).
 \end{aligned}$$

Answer parts b.i and b.ii in terms of the noise variance  $\sigma^2$ .

- i. Find the Union-Bound for the probability of error  $P_e$  when using the Maximum Likelihood (ML) detector on this signal constellation. [2]
  - ii. Find the Nearest-Neighbour-Union-Bound (NNUB) for the probability of error  $P_e$  when using the ML detector with this signal constellation. [2]
  - iii. Let the SNR = 14 dB and determine a numerical value for  $P_e$  using the NNUB. [1]
- (c) Consider the signal

$$x(t) = \begin{cases} \frac{At}{T} \cos(2\pi f_c t) & \text{for } 0 \leq t \leq T \\ 0 & \text{otherwise.} \end{cases}$$

- i. Determine the impulse response of the matched filter for the signal. [2]

- ii. Determine the output of the matched filter at  $t = T$ . [3]
- (d) Binary antipodal signals are used to transmit information over an AWGN channel. The prior probabilities for the two input symbols (bits) are  $1/2$ .
  - i. Determine the optimum maximum-likelihood decision rule for the detector. [2]
  - ii. Determine the average probability of error as a function of signal-to-noise-ratio. [3]

2. Answer the following subquestions.

- (a) Consider the 64 QAM constellation with the distance  $d = 2$  between the adjacent constellation points (see Figure 2). The prior probabilities for the constellation points are equal. The 32 hybrid QAM ( $\times$ ) is obtained by taking one of two points of the constellation

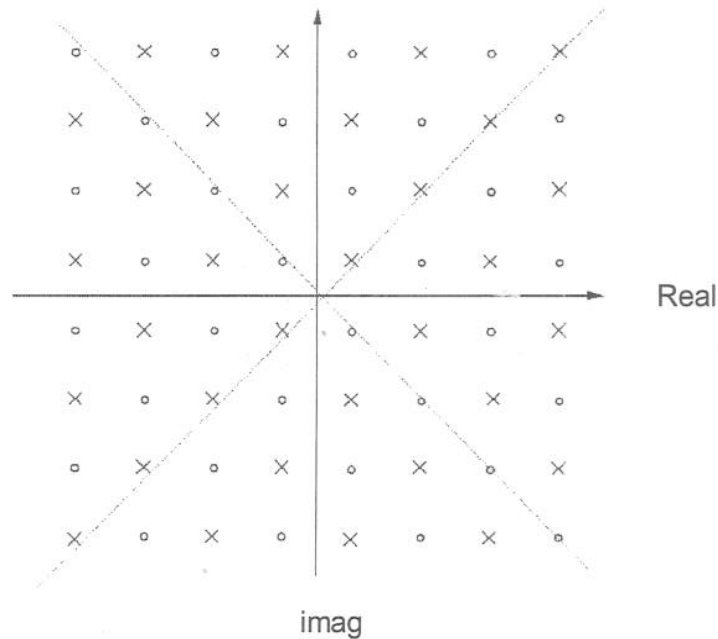


Figure 2 QAM constellation diagram.

- i. Compute the average energy  $\varepsilon_x$  of the 64 QAM and the 32 hybrid QAM constellations. [2]
  - ii. Find the NNUB for the probability of error for the 64 QAM and 32 hybrid QAM constellations in terms of the noise variance  $\sigma^2$ . [2]
  - iii. What is the minimum distance  $d_{min}$  for a 32 cross QAM constellation having the same energy as the 32 hybrid QAM? [2]
  - iv. Find the NNUB for the probability of error for the 32 cross QAM constellation. Compare the NNUB with the probability of error for the 32 hybrid QAM constellation. [1]
- (b) A three-level PAM system is used to transmit the output of a memoryless ternary source whose rate is 2000 symbols/sec. The prior probabilities for each constellation point is  $\frac{1}{3}$ . The signal constellation is shown in Figure 3.



Figure 3 a memoryless ternary system.

Determine

- i. the input to the detector, [2]
- ii. the optimum threshold which minimizes the average probability of error, and [2]
- iii. the average probability of error in terms of the noise variance  $\sigma^2$ . [1]

- (c) A QAM system is to be used to transmit over an AWGN channel with SNR=27.5 dB at a symbol rate of  $1/T = 5$  M symbol/s. The desired probability of symbol error is  $P_e \leq 10^{-6}$ . Answer the following parts
- i. List two basis functions that you would use for modulation. [2]
  - ii. Estimate the highest average bit rate  $\bar{b}$  per dimension, and the data rate,  $R$ , that can be achieved with the QAM system. [2]
  - iii. Determine which signal constellation is to be used. [2]
  - iv. Find how much (in dB) the average energy,  $\bar{\epsilon}_x$ , per dimension would need to be increased to have 5 Mbps more data rate at the same probability of error? [2]

3. Answer the following subquestions.

- (a) The Levin-Campello loading algorithm will be used to improve the energy utilization for PAM/QAM signals when transmitting them over the multi-tone modulation channel with  $1 + 0.5D^{-1}$ . Assume that the system has  $N = 8$  dimensions and operates at a bit error rate of  $P_e = 10^{-6}$  when the matched filter bound signal-to-noise-ratio  $SNR_{MFB} = 10dB$  and the average energy per dimension  $\bar{\epsilon}_x = 1$ . Answer the following questions.
- Create a table of incremental energies  $e(n)$  vs. the channel number  $n = 0, \dots, 4$ . [2]
  - Use the EF algorithm to make the average number of bits per dimension  $\bar{b} = 1$ . [2]
  - Use the E-Tightening algorithm to find the largest  $\bar{b}$ . [2]
  - The total number of bits  $b$  obtained in part (a.iii) is to be reduced by 2 bits. Use the EF and B-Tightening algorithms to maximize the margin. What is the maximum margin? [2]
- (b) A multi-tone modulation system operates over the channel  $H(f) = 1 + 0.5e^{j2\pi f}$ . The system operational parameters are: the matched filter bound SNR  $SNR_{MFB} = 10dB$ , the average energy per dimension  $\bar{\epsilon}_x = 1$  and the system dimension  $N = 8$ . Using the Rate-Adaptive water-filling optimization method answer the following questions.
- Calculate the optimal distribution of energy for the sub-channels and the maximum bit rate assuming that the gap,  $\Gamma = 1$  (0dB). [3]
  - Calculate the gap for PAM/QAM which produces an argument of the  $Q$ -function equal to 9dB. (The gap for  $\bar{b} \geq 1$  is the difference between the SNR derived from capacity and the argument of the  $Q$ -function for a particular probability of error). [2]
  - Calculate the optimal distribution of energy for the sub-channels and the maximum bit rate using the gap found in part (b.ii). [2]
- (c) For the system in problem (3.b), the system margin will be maximized using the Margin-Adaptive water filling method for a system dimension of  $N = 8$ . Answer the following questions.
- Is transmission of uncoded QAM/PAM at  $P_e = 10^{-7}$  at a data rate of 1 possible? What will the margin be when operating at the data rate of 1? [3]
  - For the data rate of 1, what gap provides a margin value equal to zero? [2]



4. Answer the following subquestions.

- (a) Show that a pulse having the raised cosine spectrum given by [7]

$$Q_{RC}(f) = \begin{cases} T & 0 \leq |f| \leq \frac{1-\alpha}{2T} \\ \frac{T}{2} \left\{ 1 + \cos \left( \frac{\pi T}{\alpha} \left( |f| - \frac{1-\alpha}{2T} \right) \right) \right\} & \frac{1-\alpha}{2T} \leq |f| \leq \frac{1+\alpha}{2T} \\ 0 & \text{otherwise} \end{cases}$$

satisfies the Nyquist criterion given by equation

$$q(nT) = \begin{cases} 1, & n = 0 \\ 0, & n \neq 0 \end{cases}$$

for any value of the roll-off factor  $\alpha$ , where  $n$  is an integer, and  $T$  is the symbol period.

- (b) A PAM system transmits time waveforms over a filtered AWGN channel when using the basis function  $\varphi(t) = \frac{1}{\sqrt{T}} \text{sinc}\left(\frac{t}{T}\right)$  with  $T = 1$  over a channel with a frequency response ( $|a| < 1$ ):

$$H(\omega) = \begin{cases} \frac{1}{1+a \exp(j\omega)} & |\omega| \leq \pi \\ 0 & |\omega| > \pi \end{cases}$$

when the  $\text{SNR} = \frac{\bar{\epsilon}_b}{\sigma^2} = 15 \text{ dB}$ .

- i. Find the Fourier Transform of the pulse,  $P(\omega)$ . [2]
  - ii. Find the pulse energy  $|p|^2$ . [1]
  - iii. Find  $Q(D)$ , the function characterizing ISI for the channel. [1]
  - iv. Find the equaliser filter coefficients  $W(D)$  for the zero forcing equaliser and MMSE linear equaliser on this channel. [3]
  - v. If  $a = 0$ , what data rate is achievable when the time waveforms are transmitted over this channel according to the gap approximation at a probability of error  $P_e = 10^{-6}$ ? [1]
- (c) Data symbols are transmitted over a 4 kHz voice-band telephone (bandpass) channel. Assuming that the transmitter pulse shape has a raised cosine spectrum with a 50% roll-off, determine the bit rate that can be transmitted through the channel if each of the following modulation methods are used:
- i. binary PSK, [1]
  - ii. four-phase PSK [2]
  - iii. 8-point QAM. [2]

