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## A deep learning based reconstruction of high-energy muons in IceCube

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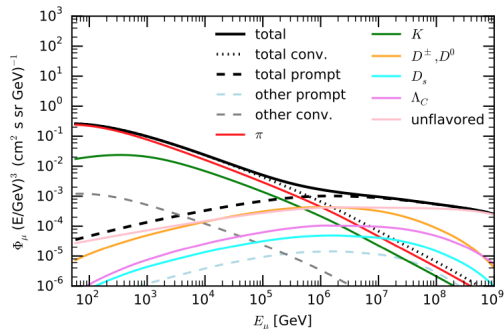
- Atmospheric muons divided into a prompt and a conventional component
- Conventional component:

$$\pi^{\pm} \rightarrow \mu^{\pm} + \nu_{\mu}(\bar{\nu}_{\mu}), \quad (1)$$

$$K^{\pm} \rightarrow \mu^{\pm} + \nu_{\mu}(\bar{\nu}_{\mu}) \quad (2)$$

- Lifetime:  $\tau_{\pi} \approx 2.6 \cdot 10^{-8}\text{s}$ ,  $\tau_K \approx 1.2 \cdot 10^{-8}\text{s}$
- Prompt component: originate from particles with a significantly shorter lifetime like the D-meson ( $\tau_D \approx 10^{-12}\text{s}$ ) and unflavored mesons
- Short lifetime  $\rightarrow$  decay before interaction
- Main differences to conventional muons
  - Isotropic angular distribution
  - Energy spectrum: prompt component becomes dominant for high energies

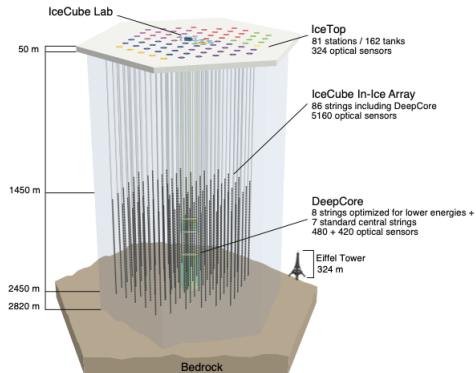
## Introduction: Prompt muons



### ■ Main differences to conventional muons [3]

- Isotropic angular distribution
- Energy spectrum: prompt component becomes dominant for high energies

## Introduction: IceCube Neutrino Observatory

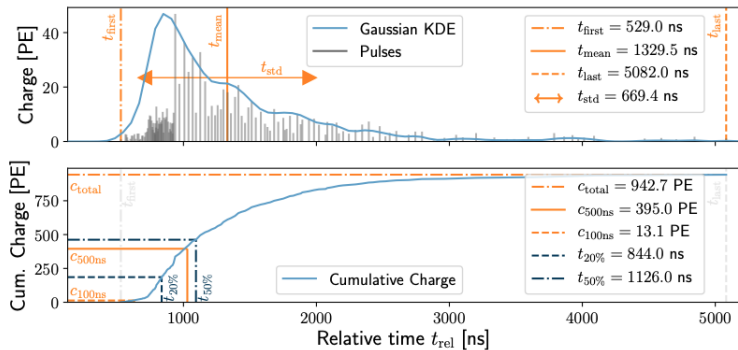


- Kilometer-scale cherenkov detector [1]
- Designed to detect neutrinos, atmospheric muons are background
- 3000 Hz event rate → fast runtime needed

## Introduction

- Detecting the prompt component of the atmospheric muon flux requires efficient energy reconstruction
- Focus on the leading muon: most energetic muon in a bundle
- Two important values to reconstruct:
  - Bundle energy at detector entry
  - Energy of the leading muon at detector entry
- Neural networks: Good results in similar tasks

## Introduction: Input features



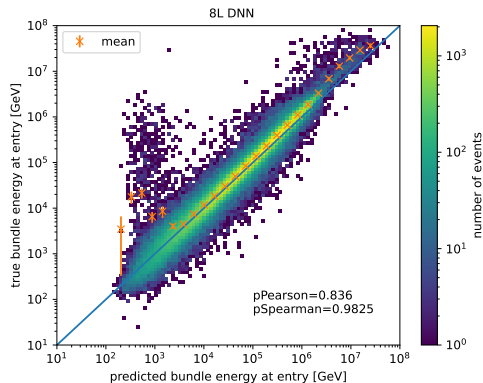
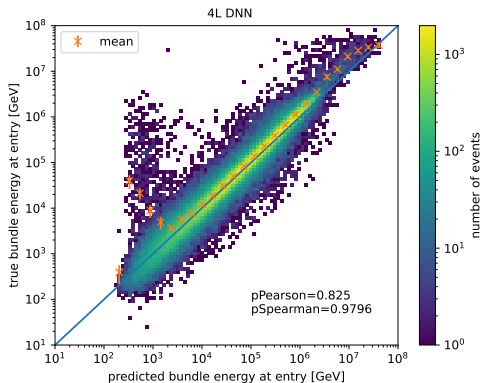
- DNN input features: pulse series summarized by nine features [2]
- Only three used to minimize runtime
  - $c_{total}$
  - $t_{first}$
  - $t_{std}$

## Motivation

- Muon puzzle: Unexplained difference between measurement and simulation of muon number
- Likely reason: Hadronic interactions
- Improving knowledge of hadronic interactions

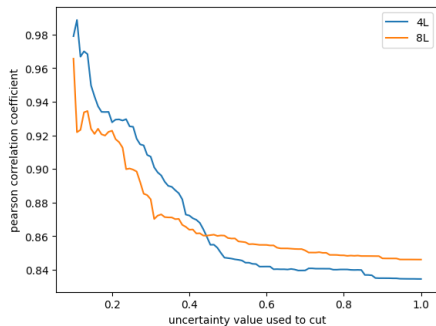


## Bundle energy



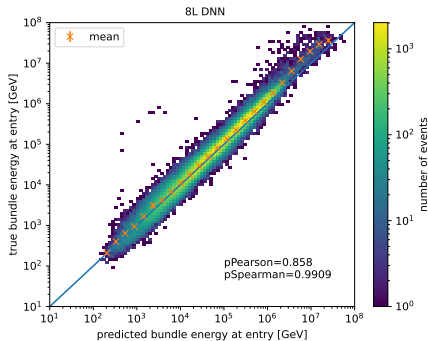
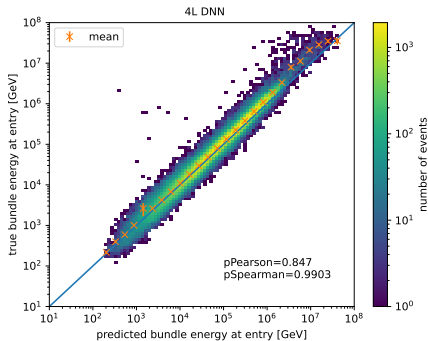
- Some significant smearing for lower energies
- Bigger network doesn't seem to be visibly better, except for slight improvements in correlation
- Bias towards underestimation for higher energies

## Bundle energy quality cut



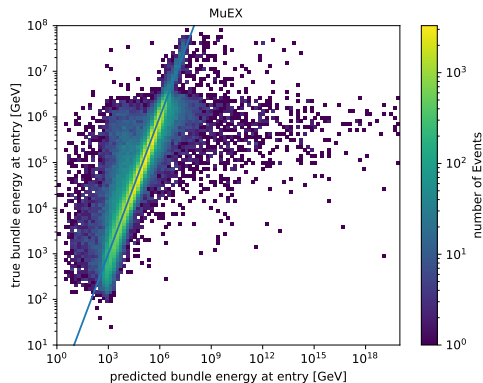
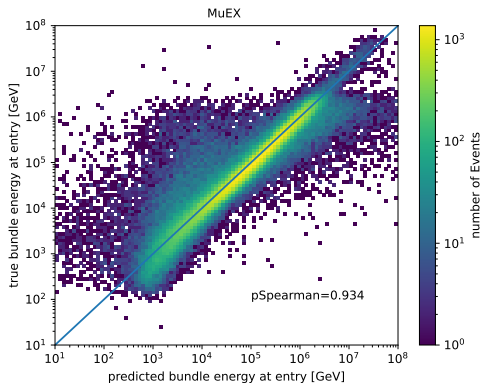
- Uncertainty estimated by separate subnetwork
- Improve reconstruction by cutting for low uncertainty
- Value to cut depends on application: How much data loss is affordable?
- Correlation coefficient can be used as an indication

## Bundle energy quality cut



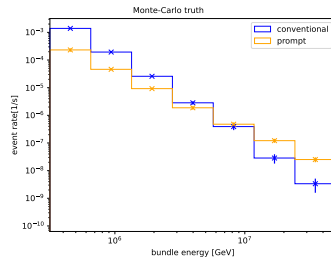
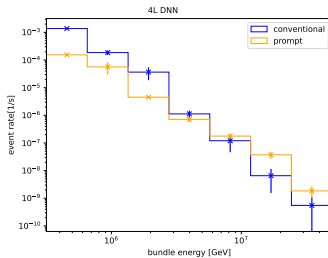
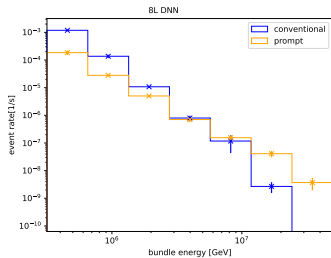
- Fixed uncertainty cut for both networks  $\sigma = 0.5$
- Events remaining: **84.9 %** (4L) and **87.1 %**
- Smearing on low energies almost completely eliminated, bias still present
- Better linear correlation
- Limited amount of statistic → Bigger cuts hard to evaluate

## Comparison to non DNN based reconstruction



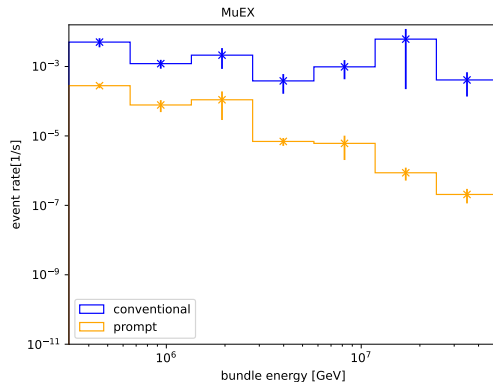
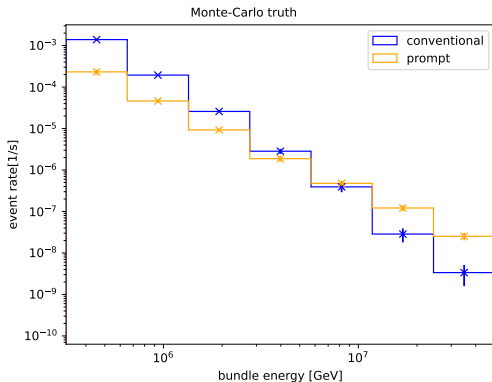
- Considerably stronger overall smearing than for the DNN
- Extreme overestimation for high energies (up to  $10^{27}$  GeV)
- Non DNN based approach shows significantly worse reconstruction especially in the important high energy region

## Resulting energy spectrum for high bundle energies: DNN



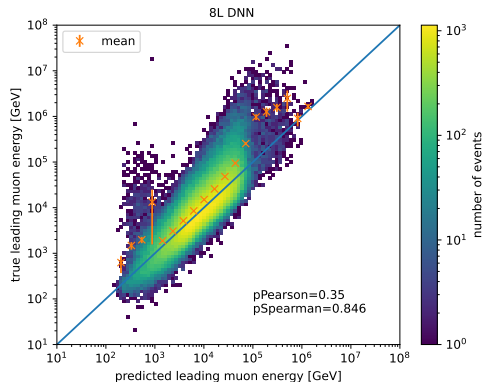
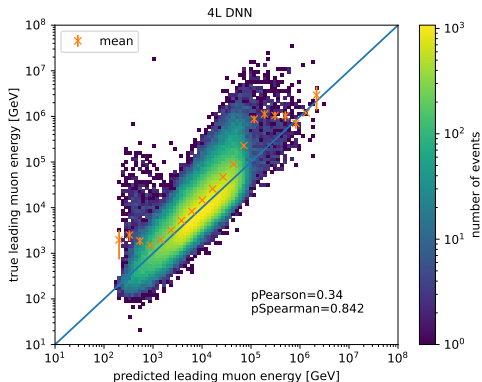
- All plots weighted using GaisserH3a
- Both DNNs generally show a good reconstruction of the high energy spectrum. the systematic underestimation leads to a slightly lower event rate in the highest energy bins

## Resulting energy spectrum for high bundle energies: MuEX



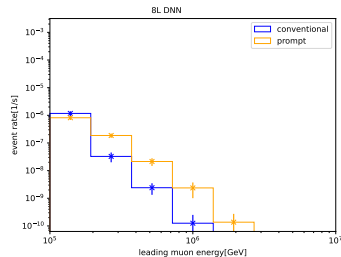
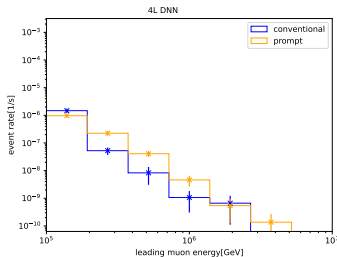
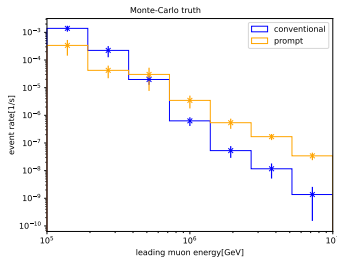
- Unrealistically high event rate for very high energies
- The MuEX Fit does not even see the prompt component as dominant for high energies. → strong overestimation makes it unusable for the purpose of this analysis

## Energy of the leading muon



- As expected: Results much worse compared to bundle energy (especially linear correlation)
- Generally higher spread
- Strong underestimation in high energy region
- Reconstructed energy rarely above 100 TeV (only 8.9 % of events above 100 TeV were predicted above 100 TeV)

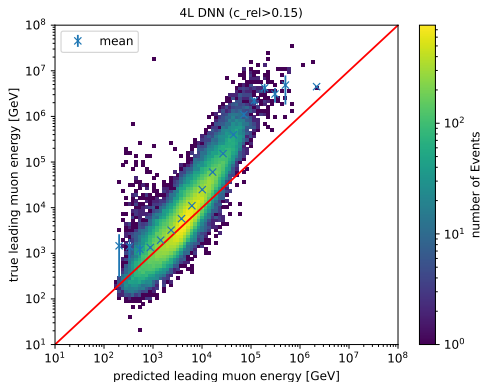
## Leading muon energy spectrum



- Prompt sensitivity is better in this label, as seen in Monte-Carlo
- Event rate at least three orders of magnitude too low for both DNNs
- Spectrum much steeper than it should be
- Strong underestimation in the highest energy bins as seen in correlation plots previously

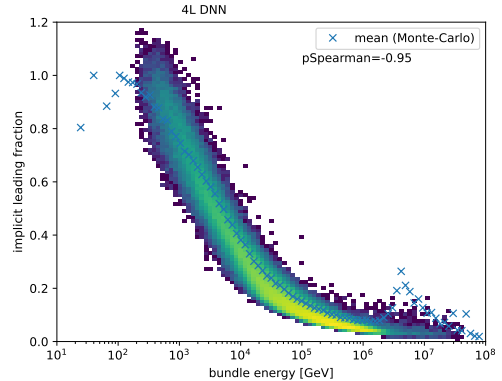
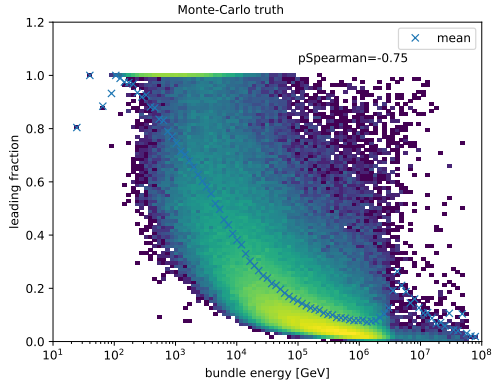


## Leading muon energy reconstruction: leading fraction



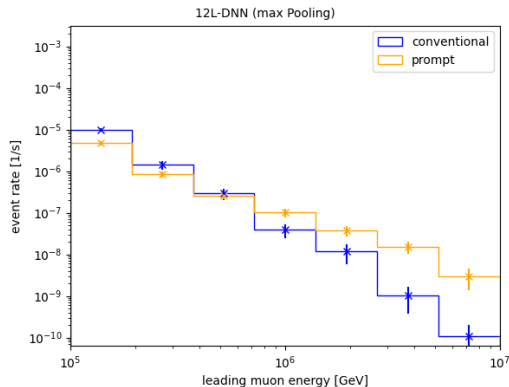
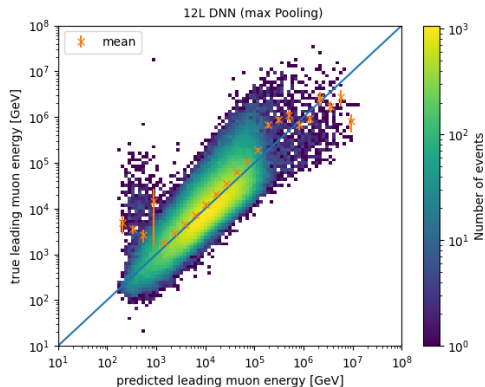
- Assumption: if the network is actually able to predict, how dominant the leading muon is within the bundle, this should be easier to reconstruct for higher leading fractions
- Cut for events with more dominant leading muons  $E_{lead}/E_{bundle} > 0.15$
- Resulting energy reconstruction is significantly worse for higher energies

## Correlation of bundle energy and leading fraction



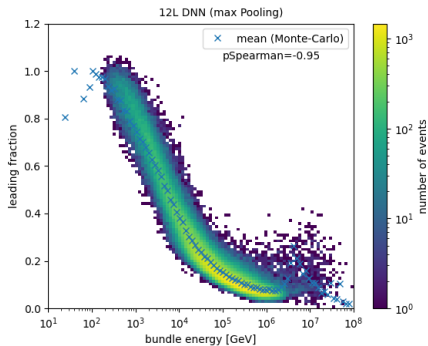
- Reconstructed leading fraction shows very strong correlation to bundle energy
- Spearman correlation coefficient:  $\rho_{MC} = -0.75$ ,  $\rho_{DNN} = -0.95$
- Prediction as energy based mean instead of actual prediction of the leading fraction
- Automatically assuming a low leading fraction for high energies explains the underestimation

## Optimization: Leading muon energy



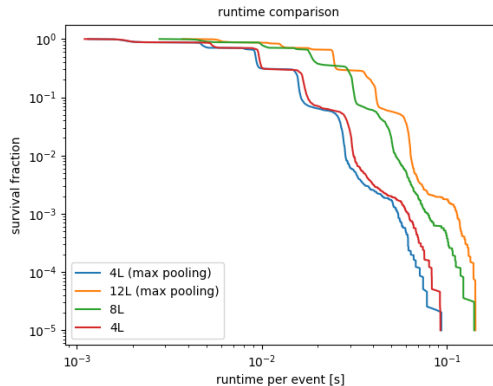
- To improve the leading muon reconstruction, further changes can be made
- Increasing number of convolutional layers and number of filters, max instead of average pooling
- Use independently calculated leading fraction
- Better coverage of the high energy region: 22.3 % compared to 8.9 %
- Leads to better spectrum and prompt sensitivity

## Optimization: Leading muon energy



- Underlying problem remains the same
- Event rate still underestimated → bundle energy better alternative
- Potential limited unless leading fraction is separated from bundle energy
- Not easily achieved by increasing network size

## Runtime comparison



■ Median:

■  $\text{median}(t_{4L}) = 0.0096 \text{ s}$

■  $\text{median}(t_{8L}) = 0.0185 \text{ s}$

■  $\text{median}(t_{12L}) = 0.0247 \text{ s}$

■  $\text{Median}(t_{8L}/t_{4L} = 1.88) \rightarrow$  small DNN almost twice as fast

■ Faster in 99,9% of cases

## Summary

- Good reconstruction for the bundle energy
- DNNs outperform non DNN based alternative
- Leading muon energy is not correctly learned
- Bigger DNN provides no significant performance improvement in relation to runtime increase

## Outlook

- Improve leading muon energy prediction
- Can this be properly trained or are the underlying physics just not there?
- Evaluate event multiplicity reconstruction
- Simulate and try to train on stochasticity
- Single label reconstruction

## Bibliography

- [1] M.G. Aartsen u. a. „The IceCube Neutrino Observatory: instrumentation and online systems“. In: *Journal of Instrumentation* 12.03 (März 2017), P03012–P03012. DOI: [10.1088/1748-0221/12/03/p03012](https://doi.org/10.1088/1748-0221/12/03/p03012). URL: <https://doi.org/10.1088/1748-0221/12/03/p03012>.
- [2] R. Abbasi u. a. „A convolutional neural network based cascade reconstruction for the IceCube Neutrino Observatory“. In: *Journal of Instrumentation* 16.07 (Juli 2021), P07041. DOI: [10.1088/1748-0221/16/07/p07041](https://doi.org/10.1088/1748-0221/16/07/p07041). URL: <https://doi.org/10.1088/1748-0221/16/07/p07041>.
- [3] Anatoli Fedynitch u. a. Calculation of conventional and prompt lepton fluxes at very high energy. 2015. *arXiv*: 1503.00544 [hep-ph].