

# Number Fields

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## 1 Algebraic Numbers and Algebraic Integers; Number Fields

Here, we will use  $F$  to denote any field containing  $\mathbb{Q}$ , for instance  $F = \mathbb{C}$ . Recall that an element  $\alpha \in F$  is **algebraic** (over  $\mathbb{Q}$ ) if it is the root of some polynomial in  $\mathbb{Q}[x]$ . If so, there is a unique monic polynomial  $m_\alpha \in \mathbb{Q}[x]$  of minimal degree with  $m_\alpha(\alpha) = 0$ , called the **minimal polynomial** of  $\alpha$ . The **degree** of  $\alpha$  is the degree of  $m_\alpha$ .

**Proposition 1.1.** *Suppose  $\alpha \in F$  is algebraic. Then  $m_\alpha$  is irreducible in  $\mathbb{Q}[x]$ , and if  $f \in \mathbb{Q}[x]$ , then  $f(\alpha) = 0 \iff m_\alpha | f$ .*

*Proof.* If  $m_\alpha = fg$ , then  $f(\alpha)g(\alpha) = 0$ , and since fields are integral domains we have  $f(\alpha) = 0$  or  $g(\alpha) = 0$ . By minimality of degree,  $f$  or  $g$  is constant.

If  $f(\alpha) = 0$ , we write  $f = gm_\alpha + h$ , with  $g, h \in \mathbb{Q}[x]$ , and  $\deg h < \deg m_\alpha$ . Then  $h(\alpha) = f(\alpha) - g(\alpha)m_\alpha(\alpha) = 0$ , and so by minimality  $h = 0$  and  $m_\alpha | f$ .

I.e.  $\{f : f(\alpha) = 0\}$  is a principal ideal in  $\mathbb{Q}[x]$  generated by  $m_\alpha$   $\square$

If  $\alpha \in F$ , define  $\mathbb{Q}(\alpha)$  to be the smallest subfield of  $F$  containing  $\alpha$ . Explicitly, it can be shown that  $\mathbb{Q}(\alpha) = \left\{ \frac{f(\alpha)}{g(\alpha)} : f, g \in \mathbb{Q}[x], g(\alpha) \neq 0 \right\}$ .

**Proposition 1.2.** *If  $\alpha \in F$  is algebraic of degree  $n$ , then  $1, \alpha, \dots, \alpha^{n-1}$  is a  $\mathbb{Q}$ -basis for  $\mathbb{Q}(\alpha)$ . Conversely, if  $[\mathbb{Q}(\alpha) : \mathbb{Q}] := \dim_{\mathbb{Q}} \mathbb{Q}(\alpha)$  is finite, say  $n$ , then  $\alpha$  is algebraic of degree  $n$ .*

*Proof.* Consider the homomorphism  $\phi : \mathbb{Q}[x] \rightarrow F; f \mapsto f(\alpha)$ . Then  $\ker(\phi) = (m_\alpha)$  which is maximal, so  $\text{im } \phi$  is a field, and hence equal to  $\mathbb{Q}(\alpha)$ . As  $\deg m_\alpha = n$ , a basis for  $\mathbb{Q}[x]/(m_\alpha)$  is  $1, x, \dots, x^{n-1}$ , and hence  $1, \alpha, \dots, \alpha^{n-1}$  is a basis for  $\mathbb{Q}(\alpha)$ .

For the converse part, if  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = n < \infty$ , then  $1, \alpha, \dots, \alpha^n$  are linearly dependent and so  $\alpha$  is algebraic of some degree. By the first part, this degree is  $n$ .  $\square$

**Proposition 1.3.**  *$\{\alpha \in F : \alpha \text{ algebraic}\}$  is a subfield of  $F$ .*

*Galois theory.* It is enough to prove that it is closed under  $+$ ,  $\times$  and inverse. For  $+$  and  $\times$  see **1.6** below for a stronger statement. If  $0 \neq \alpha$  is algebraic, then  $\sum^n b_j \alpha^j = 0 \implies \sum^n b_{n-j} (\alpha^{-1})^j = 0$ , and so  $\alpha^{-1}$  is algebraic.  $\square$

$\alpha \in F$  is an **algebraic integer** if there is a monic polynomial  $f \in \mathbb{Z}[x]$  with  $f(\alpha) = 0$ .

**Lemma 1.5.**

1. Let  $\alpha \in F$ . Then the following are equivalent:

- (a)  $\alpha$  is an algebraic integer
- (b)  $\alpha$  is algebraic and  $m_\alpha \in \mathbb{Z}[x]$
- (c)  $\mathbb{Z}[\alpha]$  is a finitely generated  $\mathbb{Z}$ -module

If these hold, then  $1, \alpha, \dots, \alpha^{d-1}$  is a  $\mathbb{Z}$ -bases for  $\mathbb{Z}[\alpha]$ , with  $d = \deg \alpha$ .

2.  $\alpha \in \mathbb{Q}$  is an algebraic integer  $\iff \alpha \in \mathbb{Z}$

Recall the notation that, if  $\alpha_1, \dots, \alpha_n \in F$ , then  $\mathbb{Z}[\alpha_1, \dots, \alpha_n]$  is the smallest subring of  $F$  containing  $\{\alpha_i : i \in [n]\}$ , i.e. the set of all finite sums of terms of the form  $A\alpha_1^{i_1} \dots \alpha_n^{i_n}$  for  $A, i_1, \dots, i_n \in \mathbb{Z}$ .

*Proof.*

1. a.  $\implies$  b. Suppose  $f(\alpha) = 0, f \in \mathbb{Z}[x]$ ,  $f$  monic. Then **1.1** gives that  $f = gm_\alpha$  for some  $g \in \mathbb{Q}[x]$  necessarily monic. Gauss's lemma from GRM gives us that  $m_\alpha, g$  are in  $\mathbb{Z}[x]$ .

b.  $\implies$  c. Write  $m_\alpha = x^d + \sum_{j=1}^{d-1} b_j x^j$ , for  $b_j \in \mathbb{Z}$ . Then  $\alpha^d = -\sum_{j=1}^{d-1} b_j \alpha^j$ , from which we say that every  $\alpha^n$  is a  $\mathbb{Z}$ -linear combination of  $1, \alpha, \dots, \alpha^{d-1}$ . So  $\mathbb{Z}[\alpha]$  is generated by  $1, \alpha, \dots, \alpha^{d-1}$  as a  $\mathbb{Z}$ -module. There is no linear relation between  $1, \alpha, \dots, \alpha^{d-1}$ , as  $d = \deg \alpha$ . So  $\mathbb{Z}[\alpha]$  is finitely generated and  $1, \alpha, \dots, \alpha^{d-1}$  is a  $\mathbb{Z}$ -basis.

c.  $\implies$  a. Assume  $\mathbb{Z}[\alpha]$  is finitely generated by  $g_1(\alpha), \dots, g_r(\alpha)$ . For some  $g_i \in \mathbb{Z}[x]$ . Let  $k = \max\{\deg g_i\}$ . Then  $\mathbb{Z}[\alpha]$  is certainly generated by  $1, \alpha, \dots, \alpha^k$  as a  $\mathbb{Z}$ -module. So  $\alpha^{k+1} = \sum_{j=0}^k b_j \alpha^j$  for  $b_j \in \mathbb{Z}$ , and so  $\alpha$  is an algebraic integer.

2.  $\alpha \in \mathbb{Q} \implies m_\alpha = x - \alpha$ , and so  $\alpha$  is an algebraic integer  $\iff \alpha \in \mathbb{Z}$  using (a)  $\iff$  (b). □

**Theorem 1.6.** If  $\alpha, \beta \in F$  are algebraic integers, then so are  $\alpha\beta, \alpha \pm \beta$ .

*Proof.* The  $\mathbb{Z}$ -module  $\mathbb{Z}[\alpha, \beta]$  is generated by  $\{\alpha^i \beta^j : 0 \leq i < \deg \alpha; 0 \leq j < \deg \beta\}$ , and so is finitely generated. Hence so is the submodule  $\mathbb{Z}[\alpha\beta] \subseteq \mathbb{Z}[\alpha, \beta]$ . So  $\alpha\beta$  is an algebraic integer by **1.4**. The same applies for  $\alpha + \beta, \alpha - \beta$ . □

Now to introduce the main characters of this course:

An **algebraic number field** (or just **number field**) is a field  $K \supset \mathbb{Q}$  which is a finite extension, i.e.  $[K : \mathbb{Q}] < \infty$ . The **ring of integers of  $K$** , written  $\mathfrak{o}_K$ , is the set of algebraic integers in  $K$ . By **1.6** it is a ring. It is useful to have the converse:

**Proposition 1.7.** Let  $\alpha \in F$  be algebraic. Then for some  $0 \neq b \in \mathbb{Z}$ ,  $b\alpha$  is an algebraic integer.

*Proof.* Exercise. □

**Theorem 1.8** (Primitive Element). If  $K$  is a number field, then  $K = \mathbb{Q}(\alpha)$  for some  $\alpha \in K$ .

*Proof.* Done in Galois theory. □

## 2 Quadratic Fields

$K$  is a **quadratic field** if  $[K : \mathbb{Q}] = 2$ . In this case, let  $\alpha \in K \setminus \mathbb{Q}$ . The minimal polynomial  $m_\alpha$  is a quadratic, and so solving we get  $\alpha = x + \sqrt{y}^1$  for  $x, y \in \mathbb{Q}, y \neq 0$ . Since  $y$  is not a rational square, we can write  $y$  uniquely as  $z^2d$  for  $z \in \mathbb{Q} \setminus \{0\}, d \neq 0, 1$  a square-free integer. So  $K = \mathbb{Q}(\sqrt{d}) = \mathbb{Q}[x]/(x^2 - d)$ . If  $d' \neq d$  also square-free, then  $\mathbb{Q}(\sqrt{d}) \not\cong \mathbb{Q}(\sqrt{d'})$ .

Now we want to compute  $\mathfrak{o}_K$ . Let  $\alpha = u + v\sqrt{d} \in K$  for  $u, v \in \mathbb{Q}$ . If  $v = 0, \alpha \in \mathfrak{o}_K \iff \alpha \in \mathbb{Z}$ . Otherwise,  $\alpha \notin \mathbb{Q}$ , and  $m_\alpha = x^2 - 2ux + (u^2 - dv^2)$ . So  $\alpha \in \mathfrak{o}_K \iff 2u \in \mathbb{Z}$  and  $u^2 - dv^2 \in \mathbb{Z}$ .

If  $u \in \mathbb{Z}$ , then  $dv^2 \in \mathbb{Z}$ , and since  $d$  is square-free, we must have  $v \in \mathbb{Z}$ . Otherwise,  $u = \frac{2a+1}{2}, a \in \mathbb{Z}$ , and we must have  $4dv^2 - (2a+1)^2 \in 4\mathbb{Z}$ , which holds if and only if  $v = \frac{k}{2}, k \in \mathbb{Z}$  and  $dk^2 \equiv 1 \pmod{4}$ . If  $d \equiv 1 \pmod{4}$ , this holds if and only if  $k$  is odd, and if  $d$  is not  $1 \pmod{4}$ , then this congruence cannot hold.

In conclusion,

**Theorem 2.1.** *If  $d \in \mathbb{Z} \setminus \{0, 1\}$  is square-free, and  $K = \mathbb{Q}(\sqrt{d})$ , then:*

1. *If  $d \not\equiv 1 \pmod{4}$ , then  $\mathfrak{o}_K = \{u + v\sqrt{d} : u, v \in \mathbb{Z}\} = \mathbb{Z}[\sqrt{d}]$ .*
2. *If  $d \equiv 1 \pmod{4}$ , then  $\mathfrak{o}_K = \{u + v\sqrt{d} : u, v \in \frac{1}{2}\mathbb{Z}, u - v \in \mathbb{Z}\} = \mathbb{Z}[\frac{1+\sqrt{d}}{2}]$*

Examples: If  $d = -3$ , then  $\mathfrak{o}_{\mathbb{Q}(\sqrt{-3})} = \mathbb{Z}[\frac{1+\sqrt{-3}}{2}] = \mathbb{Z}[\xi_3]$ .

Note that, for a general number field  $K$ , we needn't have  $\mathfrak{o}_K = \mathbb{Z}[\alpha]$  for  $\alpha \in K$ , and in fact for  $\deg K > 2$  this method is unlikely to be practical for computing  $\mathfrak{o}_K$ .

## 3 Embeddings

Let  $K$  be a number field with  $[K : \mathbb{Q}] = n$ .

**Theorem 3.1.** *There are precisely  $n$  homomorphisms  $\sigma_i : K \hookrightarrow \mathbb{C}$ . These are called the **complex embeddings** of  $K$ . More generally, if  $\mathbb{Q} \subset F \subset K$  are number fields, then each of the  $[F : \mathbb{Q}]$  complex embeddings of  $F$  extend to exactly  $[K : F]$  complex embeddings of  $K$ .*

*Proof. (Galois Theory).* Assume  $K = \mathbb{Q}(\theta) = \mathbb{Q}[x]/(m_\theta)$  by the theorem of the primitive element. Then to give  $\sigma : K \hookrightarrow \mathbb{C}$  is the same as to give  $\phi : \mathbb{Q}[x] \rightarrow \mathbb{C}$  with  $\phi(m_\theta) = 0$ . If  $z = \phi(x)$ , then  $\phi(m_\theta) = m_\theta(z)$ , giving a bijection  $\{\sigma : K \hookrightarrow \mathbb{C}\} \leftrightarrow \{\text{roots of } m_\theta \in \mathbb{C}\}$ , coming from  $\sigma \mapsto \sigma(\theta)$ . The second part is the same as the first, but replacing  $\mathbb{Q}$  by  $F$  since  $\theta$  has degree  $[K : F]$  over  $F$ .  $\square$

Remarks:

1. If  $K \subset \mathbb{C}$  we can choose  $\sigma$  to be the inclusion.
2. For some  $r \in \{0, \dots, n\}$ , exactly  $r$  of the  $\sigma_i$  will be **real**, i.e.  $\sigma_i(K) \subseteq \mathbb{R}$ . The remaining embeddings will then come in complex conjugate pairs  $\sigma_i, \overline{\sigma_i}$ . So  $n = r + 2s$ , where  $r$  is the number of real embeddings, and  $s$  is the number of complex conjugate pairs of embeddings.

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<sup>1</sup>By  $\sqrt{y}$  we just mean some  $\beta \in K$  with  $\beta^2 = y$

Examples:

$\mathbb{Q}(\sqrt{d})$ . We have two cases:

$d > 0$ . There are 2 real embeddings:  $\sigma_1 : \sqrt{d} \mapsto +\sqrt{d} \in \mathbb{R}$ , and  $\sigma_2 : \sqrt{d} \mapsto -\sqrt{d} \in \mathbb{R}$ . So  $(r, s) = (2, 0)$ .

$d < 0$ . There is now one pair of complex embeddings, given by  $\sigma_1 : \sqrt{d} \mapsto i\sqrt{|d|}$ ;  $\sigma_2 : \sqrt{d} \mapsto -i\sqrt{|d|}$ . So  $(r, s) = (0, 1)$ .

$\mathbb{Q}(\sqrt[3]{2})$ . We have 1 real embedding  $\sqrt[3]{2} \mapsto \sqrt[3]{2} \in \mathbb{R}$ , and the two complex embeddings  $\sqrt[3]{2} \mapsto \omega^{\pm 1} \sqrt[3]{2} \in \mathbb{C}$ , so  $(r, s) = (1, 1)$ .

**Proposition 3.2.** *If  $\alpha \in K$ , then the complex numbers  $\sigma_i(\alpha)$  are the complex roots of  $m_\alpha$ , each taken  $n/\deg(\alpha)$  times.*

*Proof.* Apply the 2<sup>nd</sup> part of 3.1 with  $F = \mathbb{Q}(\alpha)$ . □

## 4 Norm and Trace

Given  $K$  a number field,  $\alpha \in K$ , define a map  $u_\alpha : K \rightarrow K$  by  $u_\alpha(x) = \alpha x$ .  $K$  is a  $\mathbb{Q}$ -vector space, and  $u_\alpha$  is a  $\mathbb{Q}$ -linear map. Define:

- $f_\alpha$  to be the **characteristic polynomial** of  $u_\alpha$ , so  $f_\alpha = \det(x - u_\alpha) \in \mathbb{Q}[x]$ , monic
- $N_{K/\mathbb{Q}}(\alpha) = \det(u_\alpha) \in \mathbb{Q}$ , the **norm** of  $\alpha$
- $\text{Tr}_{K/\mathbb{Q}}(\alpha) = \text{tr}(u_\alpha) \in \mathbb{Q}$ , the **trace** of  $\alpha$

More explicitly, let  $\beta_1, \dots, \beta_n$  be a  $\mathbb{Q}$ -basis for  $K$ . Then  $\alpha\beta_i = \sum_{j=1}^n A_{ji}\beta_j$  for some  $A \in M_{n,n}(\mathbb{Q})$ . Then  $f_\alpha = \det(x \cdot I_n - A)$ ,  $N_{K/\mathbb{Q}}(\alpha) = \det(A)$ ,  $\text{Tr}_{K/\mathbb{Q}} = \text{tr}(A)$ . As an exercise, work these out for  $\mathbb{Q}(\sqrt{d})$ .

**Proposition 4.1.**

$$\begin{aligned} N_{K/\mathbb{Q}}(\alpha\beta) &= N_{K/\mathbb{Q}}(\alpha) N_{K/\mathbb{Q}}(\beta) \\ \text{Tr}_{K/\mathbb{Q}}(\alpha + \beta) &= \text{Tr}_{K/\mathbb{Q}}(\alpha) + \text{Tr}_{K/\mathbb{Q}}(\beta) \end{aligned}$$

*Proof.* From the definition,  $u_{\alpha\beta} = u_\alpha u_\beta$ , and  $u_{\alpha+\beta} = u_\alpha + u_\beta$ , so the result follows from linear algebra. □

**Theorem 4.2.**

1. The minimal polynomial of  $u_\alpha$  is  $m_\alpha$ , and  $f_\alpha \prod_{i=1}^n (x - \sigma_i(\alpha)) = m_\alpha^{n/d}$ , where  $\deg(\alpha) = d$ .
2.  $N_{K/\mathbb{Q}}(\alpha) = \prod_{i=1}^n \sigma_i(\alpha)$ ,  $\text{Tr}_{K/\mathbb{Q}}(\alpha) = \sum_{i=1}^n \sigma_i(\alpha)$ .

We call the  $\sigma_i(\alpha)$  the **conjugates** of  $\alpha$ .

*Proof.* Note that 1.  $\implies$  2., because  $\det u_\alpha = (-1)^n f_\alpha(0)$ , the product of the eigenvalues, and  $\text{tr } u_\alpha = -(\text{coeff. of } x^{n-1} \text{ in } f_\alpha)$ .

For 1., we first do the case  $\deg \alpha = n$ , i.e.  $K = \mathbb{Q}(\alpha)$ . Then  $f_\alpha, m_\alpha \in \mathbb{Q}[x]$  are monic of degree  $n$ , and if  $\beta \in K$  then  $f_\alpha(\alpha)\beta = f_\alpha(u_\alpha)\beta = 0$  by Cayley-Hamilton. So  $f_\alpha(\alpha) = 0 \implies m_\alpha = f_\alpha$ .

In general, if  $[K : \mathbb{Q}(\alpha)] = \frac{n}{d}$ , then  $K \cong \mathbb{Q}(\alpha)^{\oplus(n/d)}$ , and then  $f_\alpha = (\text{char. poly. of } u_\alpha \text{ on } \mathbb{Q}(\alpha)^{n/d} = m_\alpha^{n/d} = \prod_{i=1}^n (x - \sigma_i(\alpha)))$ .  $\square$

**Corollary 4.3.**

1. Let  $\alpha \in K$ . Then  $\alpha = 0 \iff N_{K/\mathbb{Q}}(\alpha) = 0$ .
2. Let  $\alpha \in \mathfrak{o}_K$ . Then  $f_\alpha \in \mathbb{Z}[x]$ , and  $N_{K/\mathbb{Q}}(\alpha), \text{Tr}_{K/\mathbb{Q}}(\alpha) \in \mathbb{Z}$ . Moreover,  $N_{K/\mathbb{Q}}(\alpha) \in \{\pm 1\}$  if and only if  $\alpha \in \mathfrak{o}_K^*$  is a **unit**, i.e.  $\alpha^{-1} \in \mathfrak{o}_K$ .

*Proof.*

1.  $\alpha = 0 \iff \sigma_i(\alpha) = 0$  for all  $i$ .
2.  $m_\alpha \in \mathbb{Z}[x]$ , so  $f_\alpha \in \mathbb{Z}[x]$ , and hence  $N_{K/\mathbb{Q}}(\alpha), \text{Tr}_{K/\mathbb{Q}}(\alpha) \in \mathbb{Z}$ , since they are coefficients of  $f_\alpha$  up to a choice of sign.

If  $\alpha$  is a unit, then  $N_{K/\mathbb{Q}}(\alpha) N_{K/\mathbb{Q}}(\alpha^{-1}) = N_{K/\mathbb{Q}}(\alpha \alpha^{-1}) = N_{K/\mathbb{Q}}(1) = 1$ , and so  $N_{K/\mathbb{Q}}(\alpha)$  is a unit and an integer, so in  $\{\pm 1\}$ .

If  $N_{K/\mathbb{Q}}(\alpha) \in \{\pm 1\}$ ,  $f_\alpha = x^n + \sum_{i=1}^{n-1} b_i x^i \pm 1$ , so  $f_\alpha(\alpha) = 0 \implies \alpha \cdot (\alpha^{n-1} + \sum_{i=1}^{n-1} b_i \alpha^{i-1}) = \mp 1$ , so  $\alpha^{-1} \in \mathfrak{o}_K$  and we have an explicit representation of  $\alpha^{-1}$ .  $\square$

Note that we can also define, if  $\mathbb{Q} \subset F \subset K$  the relative trace  $\text{Tr}_{K/F}(\alpha), N_{K/F}(\alpha)$  as the trace/determinant of  $u_\alpha$  viewed as an  $F$ -linear map from  $K \simeq F^{[K:F]}$  to itself, and we have that:

$$\text{Tr}_{K/\mathbb{Q}} = \text{Tr}_{F/\mathbb{Q}} \cdot \text{Tr}_{K/F} \quad N_{K/\mathbb{Q}} = N_{F/\mathbb{Q}} \cdot N_{K/F}$$

## 5 Some Modules from GRM

**Proposition 5.1.**  *$G$  is a finitely generated abelian group written additively with no torsion, i.e. no elements of finite order, and a finite set of generators  $x_1, \dots, x_n$ . Let  $H \subset G$  be the subgroup generated by  $y_1, \dots, y_n \in G$ , where  $y_i = \sum_{j=1}^n A_{ji} x_j$  for some  $A \in \text{Mat}_{n,n}(\mathbb{Z})$ . Then if  $\det(A) \neq 0$ ,  $H$  has finite index in  $G$ , with  $(G : H) = |\det A|$ .*

*Proof.* Using Smith normal form,  $A = PDQ$  for  $P, Q, D$  integer  $n \times n$  matrices where  $\det P, \det Q \in \{\pm 1\}$  and  $D = \text{diag}(d_1, \dots, d_n)$  for  $d_i \geq 0, d_i | d_{i+1}$ . Then  $G/H \cong \mathbb{Z}/d_1\mathbb{Z} \times \dots \times \mathbb{Z}/d_n\mathbb{Z}$ , where  $\mathbb{Z}/0\mathbb{Z} = \mathbb{Z}$ .

Hence if  $|\det A| = \prod_i d_i \neq 0$ , then  $G/H$  contains no  $\mathbb{Z}$  terms and has dimension  $\prod_i d_i = |\det A|$ .  $\square$

Let  $V$  be a  $\mathbb{Q}$ -vector space, and  $\dim(V) = n < \infty$ . Let  $H \subset V$  be a subgroup, viewed as a sub- $\mathbb{Z}$ -module. Then define:

$$\text{rank}(H) = \dim(\text{span}(H)) \in \{0, 1, \dots, n\}$$

**Proposition 5.2.** *If  $H$  is finitely generated as an abelian group then  $H = \bigoplus_{i=1}^r \mathbb{Z}v_i$  where  $r = \text{rank}(H)$  and  $x_1, \dots, x_r \in V$  are linearly independent.*

*Proof.*  $H$  has no torsion as  $V$  is a  $\mathbb{Q}$ -vector space, so by classification  $H$  is an abelian group freely generated by some  $x_1, \dots, x_r$ . If  $a_i \in \mathbb{Q}$  and  $\sum a_i x_i = 0$  in  $V$ , then clearing denominators we have  $\sum b_i x_i = 0$  with  $b_i \in \mathbb{Z}$ . So we must have  $b_i = 0$  for all  $i$ , so  $a_i = 0$  and the  $x_i$  are linearly independent, and  $r = \text{rank}(H)$  by the definition of rank.  $\square$

## 6 Discriminants and Integral Bases

Let  $\alpha_1, \dots, \alpha_n \in K$ . Define the *discriminant*

$$\text{Disc}(\alpha_1) = \text{Disc}(\alpha_1, \dots, \alpha_n) = \det(\text{Tr}_{K/\mathbb{Q}}(\alpha_i \alpha_j))_{i,j} \in \mathbb{Q}$$

**Theorem 6.1.**

1.  $\text{Disc}(\alpha_1, \dots, \alpha_n) = \det(\sigma_i(\alpha_j))^2$ .
2.  $\text{Disc}(\alpha_i) \neq 0 \iff \alpha_1, \dots, \alpha_n$  is a  $\mathbb{Q}$ -basis for  $K$ .
3. If  $\beta_i = \sum_{j=1}^n A_{ji} \alpha_j$  for  $A \in \text{Mat}_{n,n}(\mathbb{Q})$ , then  $\text{Disc}(\beta_i) = (\det A)^2 \text{Disc}(\alpha_i)$
4. Suppose  $(\alpha_i)$  is a  $\mathbb{Q}$ -basis. Then  $\text{Disc}(\alpha_i)$  depends only on the subgroup  $\mathbb{Z}\alpha_1 + \dots + \mathbb{Z}\alpha_n \in K$ .

*Proof.*

1. Let  $\Delta = (\sigma_i(\alpha_j))_{ij} \in \text{Mat}_{n,n}(\mathbb{C})$ . Then  $(\Delta^t \Delta)_{ij} = \sum_{k=1}^n \sigma_k(\alpha_i) \sigma_k(\alpha_j) = \sum_{k=1}^n \sigma_k(\alpha_i \alpha_j) = \text{Tr}_{K/\mathbb{Q}}(\alpha_i \alpha_j)$   
So  $(\det \Delta)^2 = \det(\Delta^t \Delta) = \det \text{Tr}_{K/\mathbb{Q}}(\alpha_i \alpha_j)$ .
2. If  $\alpha_1, \dots, \alpha_n$  is not a  $\mathbb{Q}$ -bases, then there are some  $b_1, \dots, b_n \in \mathbb{Q}$ , not all 0, with  $\sum b_j \alpha_j = 0$ . Then for all  $i$ ,  $0 = \sigma_i \left( \sum_{j=1}^n b_j \alpha_j \right) = \sum_{j=1}^n b_j \sigma_i(\alpha_j)$ , and so  $\det \Delta = 0$ , hence  $\text{disc}(\alpha_i) = 0$ .

$\square$