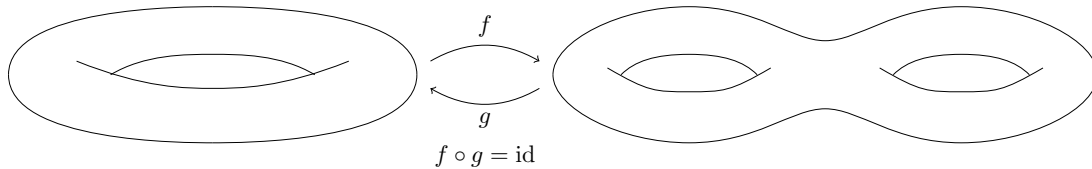


# Algebraic Topology

October 23, 2019

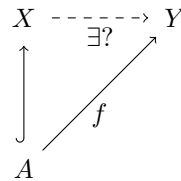
## 1 Introduction

The fundamental problem of topology is to establish whether or not there exist continuous functions  $f, g$  going from a topological space  $X$  to another space  $Y$  and back again. For example, in the case of this torus and double-torus, we know from Geometry that such functions cannot exist by considering the Euler characteristic, but in general this is a hard problem.



If such  $f, g$  continuous functions exist, then we say the two spaces are homeomorphic. Basic idea of algebraic topology is that we want to associate to any topological space  $X$  a group  $G(X)$ , and for every continuous function  $f : X \rightarrow Y$  a group homomorphism  $G(f) : G(X) \rightarrow G(Y)$  with  $G(\text{id}) = \text{id}$  and  $G(f \circ g) = G(f) \circ G(g)$ . Thus if  $f : X \rightarrow Y$  is a homeomorphism with inverse  $g : Y \rightarrow X$ , then  $G(g) \circ G(f) = \text{id}, G(f) \circ G(g) = \text{id}$ , so  $G(f)$  is an isomorphism.

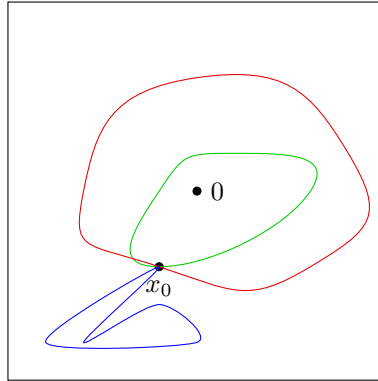
Extension problem: Let  $X$  be a topological space,  $A \subseteq X$  a subspace, and  $f : A \rightarrow Y$  a continuous function. Does there exist a continuous function  $F : X \rightarrow Y$  with  $F|_A = f$



**Theorem 1.1.** *There is no continuous function*

$$f : D^n \rightarrow S^{n-1} \text{ with } f|_{S^{n-1}} = \text{id}$$

By hand, we can see why this fails for e.g.  $n = 1, 2$ , but it gets hard to generalise. Eventually, we will construct  $G$  with  $G(D^n) = 0, G(S^{n-1}) = \mathbb{Z}$ . Then, if we have  $S^{n-1} \rightarrow D^n \rightarrow S^{n-1}$  with composition being the identity, then we have maps  $\mathbb{Z} \rightarrow 0 \rightarrow \mathbb{Z}$  being the identity.



Here, the green and red loops are the “same” loop, whilst the blue one is distinct

## Conventions

A topological space will be referred to as a *space*

A continuous function  $f : X \rightarrow Y$  will be called a *map*

## 2 The Fundamental Group

The idea here is that, if  $X$  is a space,  $x_0 \in X$  a fixed point, called the *basepoint*, we consider loops based at  $x_0$ , i.e. maps  $\gamma : [0, 1] \rightarrow X$  with  $\gamma(0) = \gamma(1) = x_0$ .

For example, if we let our space  $X = \mathbb{R}^2 \setminus \{0\}$

Then the *fundamental group*  $\pi_1(X) = \pi_1(X, x_0)$  is defined to be the set of loops based at  $x_0$  modulo “deforming loops”. Multiplication in this group  $\gamma_1 \cdot \gamma_2$  is given by first traversing  $\gamma_1$  and then  $\gamma_2$ . But what do we mean by “deforming” a loop?

Let  $f_0, f_1 : X \rightarrow Y$  be maps. A *homotopy* between  $f_0$  and  $f_1$  is a map

$$F : X \times I \rightarrow Y \text{ where } I = [0, 1] \text{ and}$$

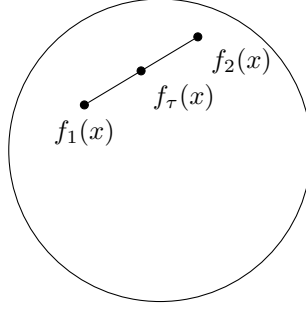
$$F(x, 0) = f_0(x) \text{ and}$$

$$F(x, 1) = f_1(x)$$

We often write  $f_\tau(x) = F(x, \tau)$ ,  $f_\tau : X \rightarrow Y$ .

If such  $F$  exists, we say  $f_0$  and  $f_1$  are *homotopic*.

Example: Let  $Y \subseteq \mathbb{R}^2$  be a convex set. Then any  $f_0, f_1 : X \rightarrow Y$  are homotopic, via  $F(x, t) = tf_1(x) + (1 - t)f_0(x) \in Y$  by convexity.



If  $f_0$  is homotopic to  $f_1$ , we write  $f_0 \simeq f_1$ , or  $f_0 \simeq_F f_1$  if we want to be explicit about the homotopy we are using.

Suppose  $f_0 \simeq_F f_1$ , both functions  $X \rightarrow Y$ . If  $Z \subseteq X$  and  $f_0(z) = F(z, t) = f_1(z) \forall z \in Z, t \in I$ , then we say  $f_0$  is homotopic to  $f_1$  **relative to**  $Z$ .

**Lemma 2.1.** *Let  $Z \subseteq X, Y$  be spaces. Then  $\simeq$  relative to  $Z$  is an equivalence relation on the set of maps  $X \rightarrow Y$ .*

*Proof.*

- Reflexive:  $f_0 \simeq f_0$  via  $F(x, t) = f_0(x) \forall x, t$
- Symmetric: Given  $f_0 \simeq_F f_1$ , then  $f_1 \simeq f_0$  via  $F'(x, t) = f(x, 1 - t)$
- Transitive: If  $f_0 \simeq_{F_0} f_1, f_1 \simeq_{F_1} f_2$ , then  $f_0 \simeq_F f_2$  with:

$$F(x, t) = \begin{cases} F_0(x, 2t) & t \leq 1/2 \\ F_1(x, 2t - 1) & t \geq 1/2 \end{cases}$$

All homotopies are relative to  $Z$ .

□

A **homotopy equivalence**  $f : X \rightarrow Y$  is a map with a **homotopy inverse**  $g : Y \rightarrow X$  such that  $f \circ g = \text{id}_Y, g \circ f = \text{id}_X$ . We then write  $X \simeq Y$ .

Remark: Most (all?) invariants in the course are **homotopy invariants**

Examples:

1. Let  $*$  be the one point space,  $f : \mathbb{R}^n \rightarrow *$  be the constant map, and let  $g : * \rightarrow \mathbb{R}^n; x \mapsto \mathbf{0}$ . Then  $f \circ g = \text{id}_*$ , and  $g \circ f(x) = 0 \forall x \in \mathbb{R}^n$ . Now  $g \circ f \simeq \text{id}_{\mathbb{R}^n}$  via  $F(x, t) = tx$ .
2. Let  $f : S^{n-1} \hookrightarrow \mathbb{R}^n \setminus \{0\}$  be the inclusion map, and  $g : \mathbb{R}^n \setminus \{0\} \rightarrow S^{n-1}; x \mapsto \frac{x}{|x|}$  (i.e. map  $x$  to the intersection of  $\overrightarrow{\mathbf{0}x}$  with  $S^{n-1}$ ). Then  $g \circ f = \text{id}_{S^{n-1}}$  and  $f \circ g \simeq \text{id}_{\mathbb{R}^n \setminus \{0\}}$  via  $F(x, t) = (1 - t)x + t \cdot \frac{x}{|x|}$

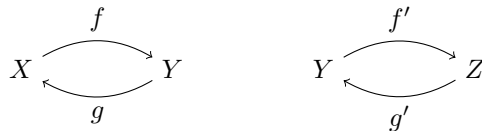
If  $X \simeq *$ , then we say  $X$  is **contractible**.

Let  $f : X \rightarrow Y, g : Y \rightarrow X$  be maps. If  $g \circ f = \text{id}_X$ , then we say  $X$  is a **retract** of  $Y$ , and  $g$  is a **retraction**. If in addition  $f \circ g \simeq \text{id}_Y$  relative to  $f(X)$ , then we say  $X$  is a **deformation retract** of  $Y$ . Hence, in example 2, we see that  $S^{n-1}$  is a deformation retract of  $\mathbb{R}^n$ .

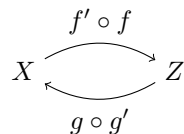
**Lemma 2.2.** *Homotopy equivalence of spaces is an equivalence relation.*

*Proof.* Reflexivity and symmetry are trivial from the definition.

Suppose  $X \simeq Y, Y \simeq Z$  via:



We want to show  $f' \circ f, g \circ g'$  induces a homotopy equivalence



Now  $(g \circ g') \circ (f' \circ f) = g \circ (g' \circ f') \circ f$ . We know already that  $g' \circ f' \simeq_{F'} \text{id}_Y$ , and so:

$$(x, t) \mapsto g(F'(f(x), t)) = \begin{cases} g(g'(f'(f(x)))) & t = 0 \\ g(f(x)) & t = 1 \end{cases}$$

is a homotopy, as  $g \circ (g' \circ f') \circ f \simeq g \circ f$ , and since  $X \simeq Y, g \circ f \simeq \text{id}_X$ . Hence  $(g \circ g') \circ (f' \circ f) \simeq \text{id}_X$  via transitivity of homotopy equivalence for maps. Similarly  $(f' \circ f) \circ (g \circ g') \simeq \text{id}_Z$   $\square$

## Loops and $\pi_1$

If  $X$  is a space, a **path** in  $X$  is a map  $\gamma : I \rightarrow X$ , where  $I = [0, 1] \subseteq \mathbb{R}$ . If  $\gamma(0) = x_0, \gamma(1) = x_1$  then we say  $\gamma$  is a path **from**  $x_0$  **to**  $x_1$ .

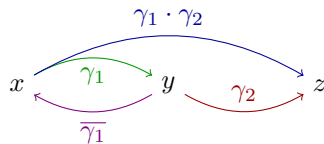
We say  $\gamma_1$  and  $\gamma_2$  are **homotopic** if  $\gamma_1 \simeq \gamma_2$  relative to  $\{0, 1\}$ , and we write  $[\gamma]$  for the homotopy equivalence class of  $\gamma$ .

If  $X$  is a space with points  $x, y, z \in X$ , and  $\gamma_1$  is a path from  $x$  to  $y$ ,  $\gamma_2$  is a path from  $y$  to  $z$ , then:

- The **concatenation** of  $\gamma_1$  and  $\gamma_2$  is the path from  $x$  to  $z$  given by

$$(\gamma_1 \cdot \gamma_2)(s) = \begin{cases} \gamma_1(2s) & 0 \leq s \leq 1/2 \\ \gamma_2(2s - 1) & 1/2 \leq s \leq 1 \end{cases}$$

- The **constant path** at  $x$  is the path  $c_x(s) = x \forall s \in I$
- The **inverse of**  $\gamma_1$  is  $\overline{\gamma_1}(s) = \gamma_1(1 - s)$ , a path from  $y$  to  $x$ .

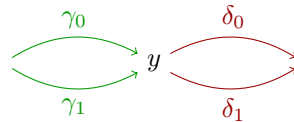


**Theorem 2.3.** Let  $X$  be space, and  $x_0 \in X$ . Let  $\pi_1(X, x_0)$  be the set of homotopy classes of loops in  $X$  with endpoint  $x_0$  (we say they are **based** at  $x_0$ ). Then  $\pi_1(X, x_0)$  forms a group under the product  $[\gamma_1][\gamma_2] = [\gamma_1 \cdot \gamma_2]$ , with identity  $c_{x_0}$  and inverses  $[\gamma_1]^{-1} = [\overline{\gamma_1}]$ .

This group is called the **fundamental group** of  $X$  (based at  $x_0$ ).

To prove this, we will need the following lemmas:

**Lemma 2.4.** If  $\gamma_0 \simeq \gamma_1$  to  $y$  and  $\delta_0 \simeq \delta_1$  from  $y$ , then  $\gamma_0 \cdot \delta_0 \simeq \gamma_1 \cdot \delta_1$  and  $\overline{\gamma_0} \simeq \overline{\gamma_1}$



*Proof.* Suppose  $\gamma_0 \simeq_F \gamma_1$ , and  $\delta_0 \simeq_G \delta_1$ . Set:

$$H(s, t) = \begin{cases} F(2s, t) & 0 \leq s \leq 1/2 \\ G(2s - 1, t) & 1/2 \leq s \leq 1 \end{cases}$$

Then  $\gamma_0 \cdot \delta_0 \simeq_H \gamma_1 \cdot \delta_1$

Let  $F'(s, t) = F(1 - s, t)$ . Then  $\overline{\gamma_0} \simeq_{F'} \overline{\gamma_1}$ . □

**Lemma 2.5.** Let  $\alpha, \beta, \gamma$  be paths from  $w$  to  $x$  to  $y$  to  $z$  in  $X$ .

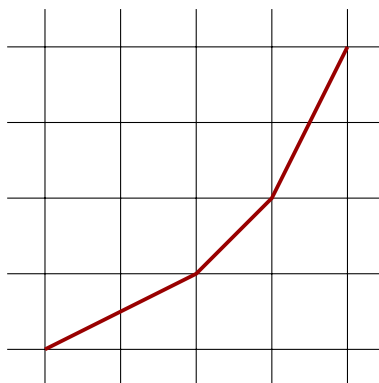


Then:

1.  $(\alpha \cdot \beta) \cdot \gamma \simeq \alpha \beta \cdot \gamma$
2.  $\alpha \cdot c_x \simeq \alpha \simeq c_w \cdot \alpha$
3.  $\alpha \cdot \overline{\alpha} \simeq c_w$

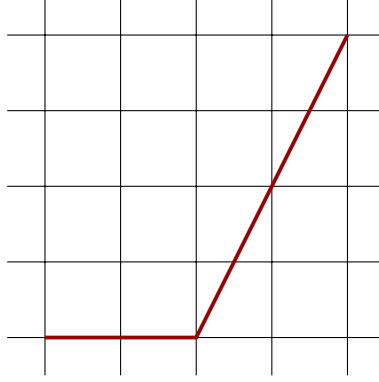
*Proof.* First, given a path  $\delta : I \rightarrow X$ , a **reparametrization** of  $\delta$  is a path  $\delta \circ \phi$  where  $\phi : I \rightarrow I$  is a map with  $\phi(0) = 0, \phi(1) = 1$ . Note that  $\phi$  needn't be monotonic, and that  $\delta \simeq \delta \circ \phi$  via  $F(s, t) = \delta(t\phi(s) + (1 - t)s)$ , and this homotopy is relative to  $\{0, 1\}$ .

1. Now we reparametrize  $(\alpha \cdot \beta) \cdot \gamma$  via the function  $\phi$  whose plot is:

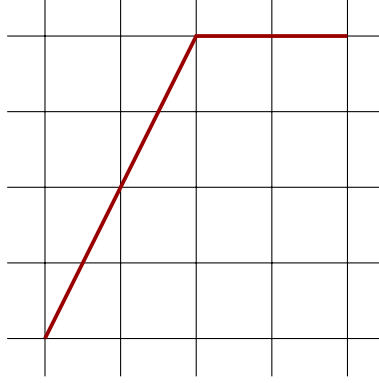


Note that  $((\alpha \cdot \beta) \cdot \gamma) \circ \phi = \alpha \cdot (\beta \cdot \gamma)$ , so  $(\alpha \cdot \beta) \cdot \gamma \simeq \alpha \cdot (\beta \cdot \gamma)$ .

2. Reparametrize  $\alpha$  via:



i.e. do  $c_w$  for the first half of the time, then do  $\alpha$ , so  $\alpha \simeq c_w \cdot \alpha$ . Likewise, we can get  $\alpha \simeq \alpha \cdot c_x$  using the reparametrization



3. use the homotopy:

$$F(s, t) = \begin{cases} \alpha(2s) & 0 \leq s \leq t/2 \\ \alpha(t) & t/2 \leq s \leq 1 - t/2 \\ \alpha(2 - 2s) & 1 - t/2 \leq s \leq 1 \end{cases}$$

So  $c_w \simeq \alpha \cdot \bar{\alpha}$ , as we have  $c_w$  at  $t = 0$  and  $\alpha \cdot \bar{\alpha}$  at  $t = 1$ .

□

Then theorem 1.3 giving the existence of  $\pi_1(X, x_0)$  follows from the previous two lemmas.

Example:  $X = \mathbb{R}^n, x_0 = 0$ . If  $\gamma$  is a loop based at 0, then  $\gamma \simeq c_0$  via the straight line homotopy, and so  $\pi_1(\mathbb{R}^n, 0) = 0$ .

### Formal Properties of $\pi_1$

**Lemma 2.6.** *Let  $f : X \rightarrow Y$  be a map with  $f(x_0) = y_0$ . Then there is a homomorphism  $f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$  given by  $f_*([\gamma]) = [f \circ \gamma]$ .*

Furthermore:

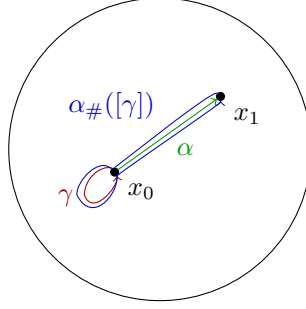
1. If  $f \simeq f'$  relative to  $x_0$ , then  $f'_* = f_*$ .
2. If  $g : Y \rightarrow Z$  with  $g(y_0) = z_0$ , then  $g_* \circ f_* = (g \circ f)_*$
3.  $(\text{id}_X)_* = \text{id}_{\pi_1(X, x_0)}$

*Proof.*  $f_*$  is well-defined: if  $\gamma_1 \simeq_F \gamma_2$ , then  $f \circ \gamma_1 \simeq_{f \circ F} f \circ \gamma_2$ . Then  $f \circ (\gamma_1 \cdot \gamma_2) = (f \circ \gamma_1) \cdot (f \circ \gamma_2)$  by definition, and so we have a group homomorphism.

1. If  $f \simeq_F f'$  relative to  $x_0$ , then for  $\gamma$  a loop based at  $x_0$ ,  $(s, t) \mapsto F(\gamma(s), t)$  is a homotopy between  $f \circ \gamma$  and  $f' \circ \gamma$ .
2. and 3. are immediate by definition.

□

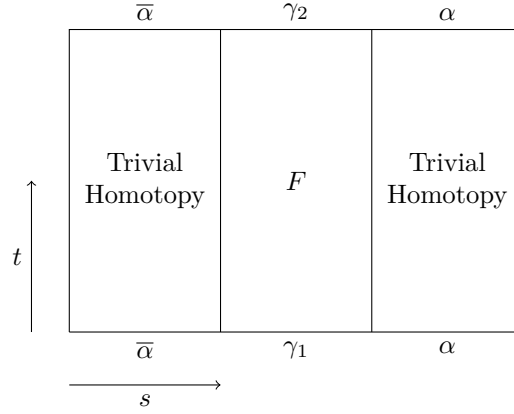
**Lemma 2.7.** let  $X$  be a space,  $x_0, x_1 \in X$  and  $\alpha$  a path from  $x_0$  to  $x_1$ . Then there is a group isomorphism  $\alpha_\# : \pi_1(X, x_0) \rightarrow \pi_1(X, x_1)$  via  $\alpha_\#([\gamma]) = [\bar{\alpha} \cdot \gamma \cdot \alpha]$ .



Furthermore,

1. If  $\alpha \simeq \alpha'$  relative to  $\{0, 1\}$ , then  $\alpha_\# = \alpha'_\#$ .
2.  $(c_{x_0})_\# = \text{id}_{\pi_1(X, x_0)}$
3. If  $\beta$  is a path from  $x_2$  to  $x_1$ , then  $(\alpha \cdot \beta)_\# = \beta_\# \circ \alpha_\#$
4. If  $f : X \rightarrow Y$  and  $y_1 = f(x_1)$ , then  $(f \circ \alpha)_\# \circ f_* = f_* \circ \alpha_\#$ .

*Proof.* Well-defined: If  $\gamma_1 \simeq_F \gamma_2$  then  $\bar{\alpha} \cdot \gamma_1 \cdot \alpha \simeq \bar{\alpha} \cdot \gamma_2 \cdot \alpha$  via:

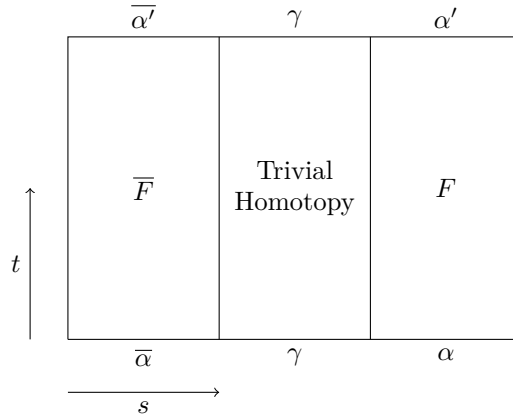


This is indeed a group homomorphism: for loops  $\gamma, \delta$  based at  $x_0$ ,

$$\begin{aligned}
 \bar{\alpha} \cdot \gamma \cdot \alpha \cdot (\bar{\alpha} \cdot \delta \cdot \alpha) &\simeq (\bar{\alpha} \cdot \gamma) \cdot (\alpha \cdot \bar{\alpha}) \cdot (\delta \cdot \alpha) \\
 &\simeq (\bar{\alpha} \cdot \gamma)(c_{x_0})(\delta \cdot \alpha) \\
 &\simeq (\bar{\alpha} \cdot \gamma) \cdot (\delta \cdot \alpha) \\
 &\simeq \bar{\alpha} \cdot (\gamma \cdot \delta) \cdot \alpha
 \end{aligned}$$

Thus  $\alpha_{\#}(\gamma \cdot \delta) = \alpha_{\#}(\gamma) \cdot \alpha_{\#}(\delta)$ . Also  $\alpha_{\#}^{-1} = (\alpha_{\#})^{-1}$  - this is easy to check. Thus  $\alpha_{\#}$  is a group isomorphism.

1. If  $\alpha \simeq_F \alpha'$



gives  $\alpha_{\#}(\gamma) \simeq \alpha'_{\#}(\gamma)$

2. Immediate since  $c_{x_0}$  is the identity in  $\pi_1(X, x_0)$ .
- 3.

$$\begin{aligned}
 (\alpha \cdot \beta)_{\#}(\gamma) &= \alpha \cdot \bar{\beta} \cdot \gamma \cdot \alpha \cdot \beta \\
 &= \bar{\beta} \cdot (\bar{\alpha} \cdot \gamma \cdot \alpha \cdot \beta) \\
 &= \bar{\beta} \cdot \alpha_{\#}(\gamma) \cdot \beta \\
 &= \beta_{\#}(\alpha_{\#}(\gamma))
 \end{aligned}$$



4.

$$\begin{aligned}
((f \circ \alpha)_\# \cdot f_*)(\gamma) &= (f \circ \alpha)_\#(f \cdot \gamma) \\
&= (f \circ \alpha)_\#(f \cdot \gamma) \\
&= \overline{f \cdot \alpha} \cdot (f \circ \gamma) \cdot (f \circ \alpha) \\
&= f \circ (\bar{\alpha} \cdot \gamma \cdot \alpha) \\
&= f_*(\alpha_\#(\gamma))
\end{aligned}$$

□

A path connected space  $X$  is **simply connected** if  $\pi_1(x, x_0) = 0$  for any, and hence all,  $x_0 \in X$ .

Our aim here is to prove that  $\pi_1$  is a **homotopy invariant**, i.e. that homotopy equivalent spaces have the same fundamental group. We will start with the following lemma:

**Lemma 2.8.** *Let  $x_0 \in X$  and  $f, g : X \rightarrow Y$  with  $f \simeq_F g$ . Set  $x(t) = F(x_0, t)$  so that  $\alpha(0) = f(x_0)$  and  $\alpha(1) = g(x_0)$ . Then the diagram:*

$$\begin{array}{ccc}
& & \pi_1(Y, f(x_0)) \\
& \nearrow f_* & \downarrow \alpha_\# \\
\pi_1(x, x_0) & & \\
& \searrow g_* & \downarrow \\
& & \pi_1(Y, g(x_0))
\end{array}$$

commutes, i.e. we have  $\alpha_\# \circ f_* = g_*$ .

*Proof.* We need to check that, for a loop  $\gamma$  based at  $x_0$ ,  $\bar{\alpha} \cdot (f \circ \gamma) \cdot \alpha \simeq g \circ \gamma$ .

Let  $G : I \times I \rightarrow Y$  defined by  $G(s, t) = F(\gamma(s), t)$ . For  $t = 0$ , this is  $f \circ \gamma$ , and for  $t = 1$ , this is  $g \circ \gamma$ . Now consider two paths in  $I \times I$ :

$$\begin{aligned}
a(t) &= (t, 1); b = b_1 \cdot b_2 \cdot b_3 \text{ where:} \\
b_1(t) &= (0, 1 - t), b_2(t) = (t, 0), b_3(t) = (1, t)
\end{aligned}$$

Then  $(G \circ a)(s) = G(s, 1) = g \circ \gamma(s)$ , whilst  $G \circ b = \bar{\alpha} \cdot (f \circ \gamma) \cdot \alpha$ .

Now, since  $I \times I$  is convex, we have that  $a \simeq_H b$ , and so  $G \circ H$  is the desired homotopy between  $g \circ \gamma$  and  $\bar{\alpha} \cdot (f \circ \gamma) \cdot \alpha$ . □

**Theorem 2.9.** *If  $f : X \rightarrow Y$  is a homotopy equivalence, then  $f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, f(x_0))$  is a homomorphism for any  $x_0 \in X$ .*

*Proof.* We'll show that  $f_*$  is a bijection:

Let  $g : Y \rightarrow X$  be a homotopic inverse to  $f$ , with  $\text{id}_X \simeq_F g \circ f$ . Let  $\alpha : I \rightarrow X$  given by  $\alpha(t) = F(x_0, t)$ .

Note that  $f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, f(x_0)); g : \pi_1(Y, f(x_0)) \rightarrow \pi_1(X, g(f(x_0)))$

Then  $g_* \circ f_* = (g \circ f)_* = \alpha_\# \circ (\text{id}_X)_* = \alpha_\#$ .  $\alpha_\#$  is an isomorphism, and so  $f_*$  is injective.

If  $\text{id}_Y \simeq_G f \circ g$  let  $\beta(t) = G(f(x_0), t)$ . Then  $f_* \circ g_* = (g \circ f)_* = \beta_{\#} \circ (\text{id}_Y)_* = \beta_{\#}$ , an isomorphism, and hence  $f_*$  is surjective.  $\square$

**Corollary 2.10.** *Contractible spaces are simply connected.*

*Proof.* If  $X$  is contractible, there exists some  $x_0 \in X$  and a homotopy  $F$  between  $\text{id}_X$  and  $X \rightarrow \{x_0\}$ . So  $F(x, \cdot)$  is a path from any  $x \in X$  to  $x_0$ , so  $X$  is path connected. Since  $X$  is homotopic to  $\{x_0\}$ ,  $\pi_1(X, x_0) \cong \pi_1(\{x_0\}, x_0) = 0$ .  $\square$

## Covering Spaces

Let  $p : \hat{X} \rightarrow X$  be a map. An open set  $U \subseteq X$  is **evenly covered** if there exists a set  $\Delta_U$  with the discrete topology and there is a homeomorphism:

$$p^{-1}(U) \xrightarrow{\cong} U \times \Delta_U$$

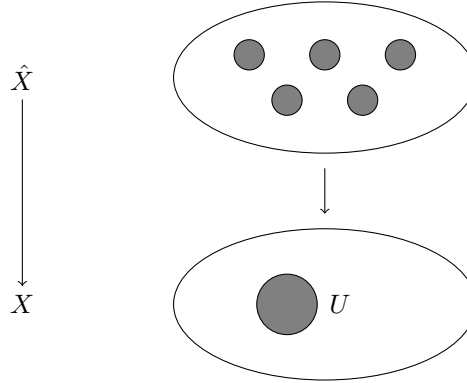
such that the following diagram commutes:

$$\begin{array}{ccc} p^{-1}(U) & \xrightarrow{\cong} & U \times \Delta_U \\ & \searrow p & \swarrow (x, \delta) \mapsto x \\ & U & \end{array}$$

We write, for  $\delta \in \Delta_U$ ,  $U_{\delta} = U \times \{\delta\}$  and  $p_{\delta} = p|_{U_{\delta}}$ . So  $p_{\delta} : U_{\delta} \rightarrow U$  is a homeomorphism.

Note that we can canonically identify  $\Delta_U$  with  $p^{-1}(x)$  for any  $x \in U$ . Note also that  $p^{-1}(U) \cong \coprod_{\delta \in \Delta_U} U_{\delta}$ , where  $\coprod$  denotes disjoint union.

If every point of  $X$  has an open neighbourhood which is evenly covered, then we say that  $p$  is a **covering map** and  $\hat{X}$  is a **covering space** of  $X$ .



Examples:

1.  $\hat{X} = X \times \Delta$  for  $\Delta$  a set with the discrete topology, e.g.  $\hat{I} = I \times \{1, 2, 3\}$ . Then  $\hat{X}$  is a covering space of  $X$ , the identity map on the first element is a covering map.
2.  $\hat{X} = \mathbb{R}$ ,  $X = S^1 \subseteq \mathbb{C}$ , the unit circle, with  $p : \mathbb{R} \rightarrow S^1$  and  $p(t) = \exp(2\pi i \cdot t)$ . Then  $p$  is a covering map:

let  $U = S \setminus \{p\}$ . We can define a branch of the logarithm  $\log : \mathbb{C} \setminus \{rp : r \geq 0\} \rightarrow \mathbb{C}$ . Then every point  $\hat{z} \in p^{-1}(U)$  can be written uniquely as  $\hat{z} = k + \frac{\log z}{2\pi i}$  for some  $k \in \mathbb{Z}$ .

Thus  $p^{-1}(U) \cong U \times \mathbb{Z}$ , via  $\hat{z} \mapsto \left(\frac{\log z}{2\pi i}, k\right)$ , and so each proper subset of  $S^1$  is evenly covered, however  $S^1$  as a whole is not evenly covered, since  $p^{-1}(S^1)$  is not a union of copies of  $S^1$ .

3.  $\hat{X} = X = S^1 \subseteq \mathbb{C}$ , the unit circle, with  $p(z) = z^n$ .

$p$  is a covering map by choosing a branch of the  $n$ th root on proper open subsets of  $S^1$

4. Let  $\hat{X} = S^2$ , and let  $G = \mathbb{Z}/2\mathbb{Z}$  act on  $S^2$  by the antipodal map  $z \mapsto -z$ . Then let  $X = \hat{X}/G = \hat{X}/\sim$ , where  $x \sim y \iff x = \pm y$ .

Then  $X$  is  $\mathbb{RP}^2$ , the real projective plane. If  $x \in X$ , let  $U$  be an open neighbourhood of  $x$  disjoint from its negation. Then the image of  $U$  in  $X$  is evenly covered.

We say a covering map  $p : \hat{X} \rightarrow X$  is  **$n$ -sheeted** if  $\#p^{-1}(x) = n$  for all  $x \in X$ , and call  $n$  the **degree** of  $p$ .

## Lifting Properties

Let  $p : \hat{X} \rightarrow X$  be a covering map, and  $f : Y \rightarrow X$  be a map. A **lift** of  $f$  to  $\hat{X}$  is a map  $\hat{f} : Y \rightarrow \hat{X}$  such that the following diagram commutes:

$$\begin{array}{ccc} & & \hat{X} \\ & \nearrow \hat{f} & \downarrow p \\ Y & & X \\ & \searrow f & \end{array}$$

A space  $X$  is **locally path connected** if for every  $x \in X$  and  $U \subseteq X$  open neighbourhood of  $x$ , there exists a neighbourhood  $V \subseteq U$  of  $x$  which is path connected.

**Lemma 2.11** (Uniqueness of Lifting). *Let  $p : \hat{X} \rightarrow X$  be a covering map and  $\hat{f}_1, \hat{f}_2 : Y \rightarrow \hat{X}$  be two lifts of  $f : Y \rightarrow X$  with  $Y$  connected and locally path connected.*

*If there exists some  $x_0 \in Y$  with  $\hat{f}_1(x_0) = \hat{f}_2(x_0)$ , then  $\hat{f}_1 = \hat{f}_2$ .*

*Proof.* We will show that the set  $S := \{y \in Y : \hat{f}_1(y) = \hat{f}_2(y)\}$  is both open and closed. By assumption we have  $x_0 \in S$ , so  $S \neq \emptyset$ . Since  $Y$  is connected, we must have then that  $S = Y$  as otherwise  $S$  and  $Y \setminus S$  would disconnect  $Y$ .

Let  $y_1 \in Y$  be an arbitrary point, and let  $U \subseteq X$  be an open neighbourhood of  $f(y_1)$  which is evenly covered by  $p$ . Let  $V \subseteq f^{-1}(U)$  be an open neighbourhood of  $y_1$  which is path connected. We then want to show that, if  $y_1 \in S$  then all of  $V \subseteq S$ , and otherwise  $V \subseteq Y \setminus S$ .

Let  $y \in V$  be arbitrary and let  $\alpha$  be a path from  $y_1 \rightarrow y$ . Then  $\hat{f}_i \circ \alpha$  is a path from  $\hat{f}_i(y_1) \rightarrow \hat{f}_i(y)$  for  $i = 1, 2$ .

Note that  $p \circ \hat{f}_1 \circ \alpha(t) = f(\alpha(t)) \in U$ , and so  $\hat{f}_1(y)$  and  $\hat{f}_1(y_1)$  lie in the same component of  $p^{-1}(U)$ , say  $U_{\delta_1}$

If  $y_1 \in S$ , then  $\hat{f}_1(y_1) = \hat{f}_2(y_1)$ , so  $\delta_1 = \delta_2$ , and so  $\hat{f}_1(y) = p_{\delta_1}^{-1}(f(y)) = p_{\delta_2}^{-1}(f(y)) = \hat{f}_2(y)$ , so  $y \in S$ , and hence all of  $V \subseteq S$ .

Otherwise  $y_1 \notin S$ , then  $\hat{f}_1(y_1) \neq \hat{f}_2(y_1)$ . Each  $U_{\delta_i}$  contains a unique point of  $p^{-1}(\{f(y_1)\})$ , and we must have  $\delta_1 \neq \delta_2$ .

So  $\hat{f}_1(y) \neq \hat{f}_2(y)$ , so  $y \notin S$ , and in general  $V \subseteq Y \setminus S$ .

Hence  $S$  is open,  $Y \setminus S$  is open, and we are done  $\square$

Let  $\gamma : I \rightarrow X$  be a path from  $x_0 \in X$  and  $p : \hat{X} \rightarrow X$  be a covering map. A lift of  $\gamma$  at (or from)  $\hat{x}_0$  is a lift  $\hat{\gamma}$  of  $\gamma$  with  $\hat{x}_0 = \hat{\gamma}(0)$ . In particular,  $p(\hat{x}_0) = p(\hat{\gamma}(0)) = \gamma(0) = x_0$ .

**Lemma 2.12** (Path Lifting Lemma). *Let  $p : \hat{X} \rightarrow X$  be a covering map, and let  $\gamma : I \rightarrow X$  be a path from  $x_0$ . Then for any choice of  $\hat{x}_0 \in p^{-1}(x_0)$ , there exists a unique lift  $\hat{\gamma}$  of  $\gamma$  from  $\hat{x}_0$ .*

*Proof.* Uniqueness follows from the previous lemma showing uniqueness of lifts. For existence, let  $S = \{t \in I \mid \gamma|_{[0,t]} \text{ lifts to path from } \hat{x}_0 \text{ in } \hat{X}\}$ . Note  $0 \in S$ . If we show that  $S$  is open and closed, then since  $I$  is connected,  $S = I$ . Note that if  $t \in S$ , then  $[0, t] \subseteq S$ .

Let  $t_0 \in I$ , and let  $U$  be an evenly covered neighbourhood of  $\gamma(t_0)$ . Let  $V \subseteq \gamma^{-1}(U)$  be an open interval containing  $t_0$ . Let  $t \in V$  and suppose first that  $t_0 \in S$ . If  $t \leq t_0$ , then  $t \in S$ , so instead assume that  $t > t_0$ . Since  $\gamma|_{[0,t_0]}$  has a lift  $\hat{\gamma} : [0, t_0] \rightarrow \hat{X}$ , and we have  $\hat{\gamma}(t_0) \in U_\delta$  for some  $\delta \in \Delta_U$ .

Recall that we have a homeomorphism  $p_\delta : U_\delta \rightarrow U$  where  $p_\delta = p|_{U_\delta}$ . Hence the path:

$$s \mapsto \begin{cases} \hat{\gamma}(s) & 0 \leq s \leq t_0 \\ p_\delta^{-1} \circ \gamma & t_0 \leq s \leq t \end{cases}$$

is a lift of  $\gamma|_{[0,t]}$ . Hence  $t \in S$ , and so  $V \subseteq S$ , and so  $S$  is open.

If  $t_0 \notin S$ ,  $t \in V$ ,  $t \geq t_0$  and  $t \in S$ , contradicting  $t_0 \notin S$ . If  $t < t_0$  by the previous argument above we have a contradiction as then  $t_0 \in S$ . So  $V \subseteq I \setminus S$ , and hence  $S$  must also be closed.  $\square$

**Corollary 2.13.** *Let  $p : \hat{X} \rightarrow X$  be a covering map with  $X$  path connected. Then  $p$  is  $n$ -sheeted for some  $n \in \mathbb{N} \cup \{\infty\}$ . In fact,  $p^{-1}(x)$  and  $p^{-1}(y)$  have the same cardinality for all pairs  $x, y \in X$ .*

*Proof.* Let  $\gamma$  be a path from  $x$  to  $y$  in  $X$ . If  $\hat{x} \in p^{-1}(x)$ , let  $\hat{\gamma}_{\hat{x}}$  be the lift of  $\gamma$  from  $\hat{x}$ . Then map  $\hat{x}$  to  $\hat{\gamma}_{\hat{x}}(1)$ . The path  $\bar{\gamma}$  similarly gives a map  $p^{-1}(y) \rightarrow p^{-1}(x)$ , inverse to the first map.

For example to show that the composition  $p^{-1}(x) \rightarrow p^{-1}(y) \rightarrow p^{-1}(x)$  is the identity, we need to show that, for  $\hat{x} \in p^{-1}(x)$ ,  $(\hat{\gamma})_{\hat{\gamma}_{\hat{x}}(1)}(1) = \hat{x}$ . But  $\hat{\gamma}_{\hat{x}} \cdot (\hat{\gamma})_{\bar{\gamma}_{\hat{x}}(1)}$  is a lift of  $\gamma \cdot \bar{\gamma}$ , and  $\hat{\gamma}_{\hat{x}} \cdot (\hat{\gamma}_{\hat{x}})$  is also a lift of  $\gamma \cdot \bar{\gamma}$ , and so by uniqueness,  $(\hat{\gamma})_{\bar{\gamma}_{\hat{x}}(1)} = \hat{\gamma}_{\hat{x}}$ . Hence  $(\hat{\gamma})_{\hat{\gamma}_{\hat{x}}(1)}(1) = \hat{\gamma}_{\hat{x}}(1) = \hat{\gamma}_{\hat{x}}(0) = \hat{x}$ .  $\square$

**Lemma 2.14** (Homotopy Lifting Lemma). *Let  $p : \hat{X} \rightarrow X$  be a covering map and  $g_0 : Y \rightarrow X$  a map with  $Y$  locally path connected. Let  $F : Y \times I \rightarrow X$  be a homotopy with  $F(y, 0) = g_0(y)$  for all  $y \in Y$ . Let  $\hat{f}_0 : Y \rightarrow \hat{X}$  be a lift of  $g_0$ . Then there is a unique lift  $\hat{F}$  of  $F$  to  $\hat{X}$  so that  $\hat{F}(y, 0) = \hat{f}_0(y)$ .*

*Proof.* For each  $y \in Y$ , we obtain a path  $\gamma_y$  given by  $\gamma_y(t) = F(y, t)$  from  $g_0(y)$ . By the path lifting lemma, each  $\gamma_y$  lifts uniquely to a path  $\hat{\gamma}_y$  from  $\hat{f}_0(y)$ . Now define:

$$\hat{F}(y, t) = \hat{\gamma}_y(t)$$

This clearly is a lift of  $F$  in the sense that

$$(p \circ \hat{F})(y, t) = p(\hat{\gamma}_y(t)) = \gamma_y(t) = F(y, t)$$

but is  $\hat{F}$  continuous.

We will construct a different map  $\tilde{F} : Y \times I \rightarrow \hat{X}$  which is continuous by construction, and then we will show that  $\hat{F} = \tilde{F}$ .

Fix  $y_0 \in Y$ . Then for each  $t \in I$  we have an evenly covered neighbourhood  $U_t$  of  $F(y_0, t) \in X$ . Then  $F^{-1}(U_t) \subseteq Y \times I$  is an open neighbourhood of  $(y_0, t)$ . We can find an open neighbourhood of  $(y_0, t)$  in  $F^{-1}(U_t)$  of the form  $V_t \times (t - \epsilon_t, t + \epsilon_t)$  with  $V_t$  path connected.

Note that these neighbourhoods cover  $Y \times I$ , and as  $\{y_0\} \times I$  is compact, there is a finite subcover  $\{J_i\}$  of  $\{(t - \epsilon_t, t + \epsilon_t) | t \in I\}$ . Then, if  $J_i = (t_i - \epsilon_{t_i}, t_i + \epsilon_{t_i})$ , we can find a path connected subset  $V \subseteq \cap_i V_{t_i}$  containing  $y_0$ . Hence we may assume there is a path-connected neighbourhood  $V$  of  $y_0$  in  $Y$ , and a finite number of intervals  $J_i$  covering  $I$  such that  $F(V \times J_i)$  is contained in an evenly covered neighbourhood  $U_i$  of  $X$ .  $\square$