

# Elliptic Curves

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# 1 Fermat's Method of Infinite Descent

Suppose we have a right-angled triangle  $\Delta$  with side lengths  $a, b, c$ , so that by Pythagoras we have  $a^2 + b^2 = c^2$ , and  $\text{area}(\Delta) = \frac{1}{2}ab$ .

**Definition 1.1.**  $\Delta$  is **rational** if  $a, b, c \in \mathbb{Q}$ , and **primitive** if  $a, b, c \in \mathbb{Z}$  coprime.

**Lemma 1.2.** Every primitive triangle is of the form  $a = u^2 - v^2, b = 2uv, c = u^2 + v^2$  for coprime integers  $u > v > 0$ .

*Proof.* If  $a, b$  were both odd, then  $a^2 + b^2 \equiv 2 \pmod{4}$ , and we have no solutions for  $c$ . If  $a, b$  both even, then they are not coprime. So we may assume  $a$  is odd,  $b$  is even,  $c$  is odd.

Then  $(\frac{b}{2})^2 = \frac{c+a}{2} \cdot \frac{c-a}{2}$ , and the right hand side is a product of coprime positive integers. So by unique prime factorisation in the integers,  $\frac{c+a}{2} = u^2, \frac{c-a}{2} = v^2$  for some coprime integers  $u, v$ . Rearranging, we have the lemma.  $\square$

**Definition 1.3.**  $D \in \mathbb{Q}_{>0}$  is a **congruent number** if it is the area of a rational triangle.

Note that, by scaling the triangle, it suffices to consider  $D \in \mathbb{Z}_{>0}$  squarefree.

For example,  $D = 5, 6$  are congruent numbers.  $6 = \frac{1}{2} \cdot 3 \cdot 4$ , and  $3^2 + 4^2 = 5^2$ , and 5 is left as an exercise.

**Lemma 1.4.**  $D \in \mathbb{Q}_{>0}$  is congruent if and only if  $Dy^2 = x^3 - x$  for some  $x, y \in \mathbb{Q}, y \neq 0$ .

*Proof.* Lemma 1.2 shows that  $D$  is congruent if and only if  $Dw^2 = uv(u^2 - v^2)$  for some  $u, v, w \in \mathbb{Q}, w \neq 0$ .

Setting  $x = \frac{u}{v}, y = \frac{w}{v^2}$  finishes the proof.  $\square$

Fermat showed that 1 is not a congruent number.

**Theorem 1.5.** There is no solution to

$$w^2 = uv(u+v)(u-v) \quad (*)$$

in integers  $u, v, w$  with  $w \neq 0$ .

*Proof.* Without loss of generality,  $u, v$  are coprime with  $u > 0, w > 0$ . If  $v < 0$  then replace  $(u, v, w)$  by  $(-v, u, w)$ . If  $u, v$  are both odd, then replace  $(u, v, w)$  by  $(\frac{u+v}{2}, \frac{u-v}{2}, \frac{w}{2})$ . So we may assume that all of  $u, v, u+v, u-v$  are coprime positive integers whose product is a square, and hence are all squares, say  $a^2, b^2, c^2, d^2$  respectively, where  $a, b, c, d \in \mathbb{Z}_{>0}$ .

Since  $u \not\equiv v \pmod{2}$ , both  $c, d$  are odd. Consider the right angled triangle with side lengths,  $\frac{c+d}{2}, \frac{c-d}{2}, a$ . This is a primitive triangle, and it has area  $\frac{c^2-d^2}{8} = \frac{v}{4} = (\frac{b}{2})^2$ .

Let  $w_1 = \frac{b}{2}$ . Then lemma 1.2 gives  $w_1^2 = u_1v_1(u_1^2 - v_1^2)$  for some  $u_1, v_1 \in \mathbb{Z}$ , giving a new solution to (\*). But  $4w_1^2 = b^2 = v|w^2$ , and so  $w_1 \leq \frac{1}{2}w$ .

So by Fermat's method of infinite descent, if there were a solution we would have a strictly decreasing infinite sequence of positive integers  $\nexists$ . Hence there is no solution to (\*).  $\square$

## 1.1 A Variant for Polynomials

Here,  $K$  is a field with  $\text{char } K \neq 2$ . The algebraic closure of  $K$  will be  $\overline{K}$ .

**Lemma 1.6.** *Let  $u, v \in K[t]$  be coprime. If  $\alpha u + \beta v$  is a square for four distinct  $(\alpha : \beta) \in \mathbb{P}^1$ , then  $u, v \in K$ .*

*Proof.* Without loss of generality we may assume  $K = \overline{K}$ , as that doesn't change the degree of polynomials, and every square is still a square.

Changing coordinates on  $\mathbb{P}^1$ , we may assume the ratios  $\alpha : \beta$  are  $(1 : 0), (0 : 1), (1 : -1), (1 : -\lambda)$  for some  $\lambda \in K \setminus \{0, 1\}$ , with  $\mu = \sqrt{\lambda}$ .

Then  $u = a^2, v = b^2, u - v = (a + b)(a - b), u - \lambda v = (a + \mu b)(a - \mu b)$  are all squares. They are also coprime, and so by unique factorisation in  $K[t]$ ,  $(a + b), (a - b), (a + \mu b), (a - \mu b)$  are all squares.

But  $\max\{\deg a, \deg b\} \leq \frac{1}{2} \max\{\deg u, \deg v\}$ . So by Fermat's method of infinite descent, we get that the original  $u, v \in K$ .  $\square$

Now we have some important definitions:

**Definition 1.7.**

1. An **elliptic curve**  $E$  over a field  $K$  is the projective closure of the affine curve  $y^2 = f(x)$  where  $f \in K[x]$  is a monic cubic polynomial with distinct roots.
2. For  $L/K$  any field extension,  $E(L) = \{(x, y) \in L^2 : y^2 = f(x)\} \cup \{0\}$ .  $0$  is called the **point at infinity**.

We call the point at infinity  $0$  because we will see that  $E(L)$  is naturally an abelian group under an operation we will denote by  $+$ , and  $0$  will be the identity for that group. In this course we will study  $E(L)$  for  $L$  a finite field, a local field, and a number field.

Lemma 1.4 and theorem 1.5 together imply that, if  $E$  is given by  $y^2 = x^3 - x$ , then  $E(\mathbb{Q}) = \{0, (0, 0), (\pm 1, 0)\}$ , which we will see is the group  $C_2 \times C_2$ .

**Corollary 1.8.** *Let  $E/K$  be an elliptic curve. Then  $E(K(t)) = E(K)$ .*

*Proof.* Without loss of generality,  $K = \overline{K}$ . By a change of coordinates we may assume  $E : y^2 = x(x - 1)(x - \lambda)$  for some  $\lambda \in K \setminus \{0, 1\}$ . Suppose  $(x, y) \in E(K(t))$ . Write  $x = \frac{u}{v}$  with  $u, v \in K[t]$  coprime. Then  $w^2 = uv(u - v)(u - \lambda v)$  for some  $w \in K[t]$ .

Unique factorisation in  $K[t]$  gives  $u, v, u - v, u - \lambda v$  are all squares, and so by lemma 1.6,  $u, v \in K$ , and so  $x, y \in K$ .  $\square$

## 2 Some Remarks on Algebraic Curves

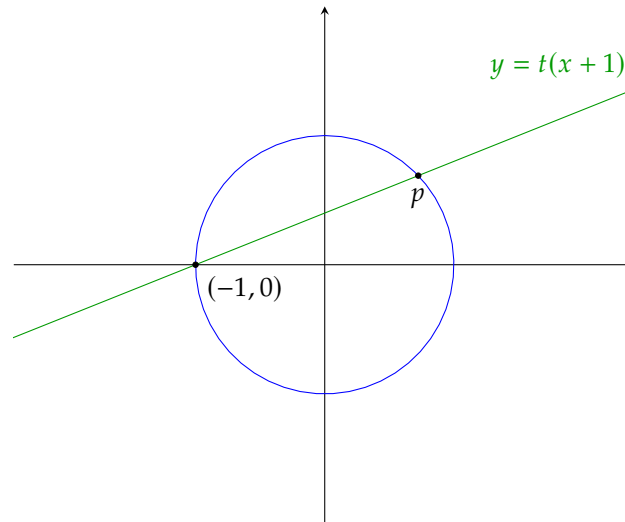
We will be working over an algebraically closed field  $K$ .

**Definition 2.1.** *An (irreducible) plane algebraic curve  $C = \{f(x, y) = 0\} \subset \mathbb{A}^2$  is **rational** if it has a rational parametrization, i.e. there are  $\phi, \psi \in K(t)$  such that:*

1.  $\mathbb{A}^1 \rightarrow \mathbb{A}^2; t \mapsto (\phi(t), \psi(t))$  is injective on  $\mathbb{A}^1 \setminus \{\text{finite set}\}$ .
2.  $f(\phi(t), \psi(t)) = 0$ .

### Examples 2.2.

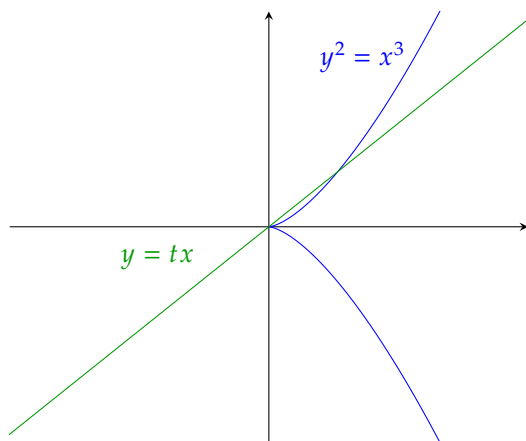
- Any nonsingular plane conic is rational. For example, take a circle  $x^2 + y^2 = 1$ . Pick a point on it,  $(-1, 0)$ . Now draw a line through it with slope  $t$ , and solve for the points of intersection between the curve and the line.



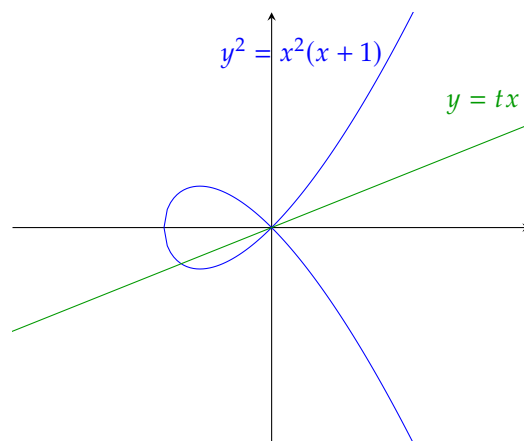
Solving for the coordinates of  $p$ , we get the quadratic  $x^2 + t^2(x + 1)^2 = 1$ , i.e.  $x = -1$  or  $\frac{1-t^2}{1+t^2}$ .

So we have the rational parametrization  $(x, y) = \left(\frac{1-t^2}{1+t^2}, \frac{2t}{1+t^2}\right)$

- Any singular plane cubic is rational.



(a) Rational Parametrization  $(x, y) = (t^2, t^3)$



(b) Left as an example on the first sheet

- Corollary 1.8 shows that elliptic curves are *not* rational.

**Definition 2.3.** The **genus**  $g(C) \in \mathbb{Z}_{\geq 0}$  is an invariant of a smooth projective curve.

- If  $K = \mathbb{C}$ , then  $g(C) = \text{genus of the Riemann surface } C$ .

- A smooth plane curve  $C \subset \mathbb{P}^2$  of degree  $d$  has genus  $g(C) = \frac{(d-1)(d-2)}{2}$ .

**Proposition 2.4.** Let  $C$  be a smooth projective curve over  $K$ , an algebraically closed field. Then:

1.  $C$  is rational  $\iff g(C) = 0$ .
2.  $C$  is an elliptic curve  $\iff g(C) = 1$ .

*Proof.* A proof of 1 is omitted from this course. For 2, we check (on the first example sheet) that elliptic curves are smooth plane curves. Then they have degree 3, so genus  $\frac{2 \cdot 1}{2} = 1$ . For the other direction, see later on in the course.  $\square$

## 2.1 Order of Vanishing

$C$  will be an algebraic curve, and  $K(C)$  its function field, with  $P \in C$  a smooth point. Write  $\text{ord}_P(f)$  to mean the order of vanishing of  $f \in K(C)$  at  $P$  (negative if  $f$  has a pole).

Fact:  $\text{ord}_P : K(C)^\times \rightarrow \mathbb{Z}$  is a discrete valuation, i.e.  $\text{ord}_P(f_1 f_2) = \text{ord}_P(f_1) + \text{ord}_P(f_2)$  and  $\text{ord}_P(f_1 + f_2) \geq \min\{\text{ord}_P(f_1), \text{ord}_P(f_2)\}$ .

We say  $t \in K(C)^\times$  is a **uniformizer** at the point  $P$  if  $\text{ord}_P(t) = 1$ .

**Example 2.5.** Let  $C = \{g(x, y) = 0\} \subseteq \mathbb{A}^2$ , where  $g \in K[x, y]$  is irreducible. Then  $K(C) = \text{Frac} \frac{K[x, y]}{(g)}$ , with  $g = g_0 + g_1(x, y) + g_2(x, y) + \dots$ ,  $g_i$  homogeneous of degree  $i$ .

Suppose  $P = (0, 0) \in C$  is a smooth point, i.e.  $g_0 = 0, g_1(x, y) = \alpha x + \beta y$  with  $\alpha, \beta$  not both zero.

Let  $\gamma, \delta \in K$ . It is a fact that  $\gamma x + \delta y \in K(C)$  is a uniformizer at  $P$  if and only if  $\frac{\gamma}{\delta} \neq \frac{\alpha}{\beta}$ , i.e.  $\alpha\delta - \beta\gamma \neq 0$ .

**Example 2.6.**  $\{y^2 = x(x-1)(x-\lambda)\} \subset \mathbb{A}^2, \lambda \neq 0, 1$ . We take the projective closure, i.e. homogenize the equation as  $\{Y^2 Z = X(X-Z)(X-\lambda Z)\} \subset \mathbb{P}^2$  by setting  $x = X/Z, y = Y/Z$ .

Have we got new points by taking projective closure? We only get these when  $Z = 0$ , i.e.  $0 = X^3 \implies X = 0, Y \neq 0$ . Since we're in projective space, this is just one point:  $P = (0 : 1 : 0)$ . We compute  $\text{ord}_P(x)$  and  $\text{ord}_P(y)$ . Put  $t = X/Y, w = Z/Y$  (since we can't return to the original affine piece, as it doesn't contain  $Z = 0$ ). Then we get  $w = t(t-w)(t-\lambda w)$ . Now  $P$  is the point  $(t, w) = (0, 0)$ . This is a smooth point, as there are linear terms at that point (namely  $w$ ). So  $\text{ord}_P(t) = \text{ord}_P(t-2) = \text{ord}_P(t-\lambda w) = 1$ , and  $\text{ord}_P(w) = 1 + 1 + 1 = 3$ .

Then:

$$\begin{aligned}\text{ord}_P(x) &= \text{ord}_P(X/Z) = \text{ord}_P(t/w) = 1 - 3 = -2 \\ \text{ord}_P(y) &= \text{ord}_P(Y/Z) = \text{ord}_P(1/w) = -3\end{aligned}$$

## 2.2 Riemann Roch Spaces

Let  $C$  be a smooth projective curve. Then a **divisor** is a formal sum of points on  $C$ , say  $D = \sum_{P \in C} n_P P$  where  $n_P \in \mathbb{Z}$ , and only finitely many  $n_P$  are nonzero, and let  $\deg D = \sum_{P \in C} n_P$ . These divisors form a group under addition, denoted  $\text{Div}(C)$ .

$D$  is said to be **effective**, written  $D \geq 0$  if  $n_P \geq 0$  for all  $P \in C$ .

If  $f \in K(C)^\times$ , we write  $\text{div}(f) = \sum_{P \in C} \text{ord}_P(f) P$ .

The Riemann Roch space of  $D \in \text{Div}(C)$  is:

$$\mathcal{L}(D) = \{f \in K(C) : \text{div}(f) + D \geq 0\} \cup \{0\}$$

i.e. the  $K$ -vector space of rational functions on  $C$  with “poles no worse than specified by  $D$ .”

**Theorem 2.7** (Riemann Roch for genus 1).

$$\dim \mathcal{L}(D) = \begin{cases} 0 & \deg D < 0 \\ 0 \text{ or } 1 & \deg D = 0 \\ \deg D & \deg D > 0 \end{cases}$$

**Example 2.6 (revisited).** Our curve is  $\{y^2 = x(x-1)(x-\lambda)\} \subset \mathbb{A}^2$ , together with  $P = (0 : 1 : 0)$ , the point at infinity. Recall  $\text{ord}_P(x) = -2, \text{ord}_P(y) = -3$ .

We thus deduce that  $\mathcal{L}(2P) = \langle 1, x \rangle, \mathcal{L}(3P) = \langle 1, x, y \rangle$ .

**Proposition 2.8.** Let  $K$  be an algebraically closed field not of characteristic 2. Let  $C \subset \mathbb{P}^2$  be a smooth plane cubic, and that  $P \in C$  is a point of inflection. Then we may change coordinates such that:

$$C : Y^2Z = X(X-Z)(X-\lambda Z), \quad \lambda \neq 0, 1 \\ P = (0 : 1 : 0)$$

*Proof.* We make a change of coordinates such that  $P = (0 : 1 : 0)$  and the tangent line to  $C$  at  $P$ ,  $T_P(C) = \{Z = 0\}$ . Now let  $C = \{F(X, Y, Z) = 0\}$ .

Since  $P \in C$  is a point of inflection,  $F(t, 1, 0)$  has a triple root at  $t = 0$ . But  $F$  is degree 3, so we have  $F(t, 1, 0) = kt^3$  for  $k$  some constant. I.e., there are no terms in  $F$  of the form  $X^2Y, XY^2, Y^3$ .

So  $F \in \langle Y^2Z, XYZ, YZ^2, X^3, X^2Z, XZ^2, Z^3 \rangle$ . The coefficient of  $Y^2Z$  is nonzero, as otherwise  $P$  would be singular. The coefficient of  $X^3$  is also nonzero, as  $C$  is irreducible and otherwise  $\{Z = 0\} \subset C$ .

We are free to rescale  $X, Y, Z, F$ , and so wlog  $C$  is defined by

$$Y^2Z + a_1XYZ + a_3YZ^2 = X^3 + a_2X^2Z + a_4XZ^2 + a_6Z^3$$

We call this Weierstrass form.

Since our field doesn't have characteristic 2, we may complete the square by substituting  $Y = Y - \frac{1}{2}a_1X - \frac{1}{2}a_3Z$ , we may assume  $a_1 = a_3 = 0$ .

Now  $C : Y^2Z = Z^3f(X/Z)$ , where  $f$  is a monic cubic polynomial. Since  $C$  is smooth,  $f$  has distinct roots, which are wlog  $0, 1, \lambda$ . So

$$C : Y^2Z = X(X-Z)(X-\lambda Z)$$

which we call the Legendre form. □

It may be shown that the points of inflection on  $C = \{F = 0\} \subset \mathbb{P}^2$  are given by  $F = \det \left( \frac{\partial^2 f}{\partial X_i \partial X_j} \right) = 0$

### 2.3 The Degree of a Morphism

Let  $\phi : C_1 \rightarrow C_2$  be a nonconstant morphism of smooth projective curves. Let  $\phi^* : K(C_2) \rightarrow K(C_1), f \mapsto f \circ \phi$ .

**Definition.**

1.  $\deg \phi = [K(C_1) : \phi^*K(C_2)]$
2.  $\phi$  is separable if  $K(C_1)/\phi^*K(C_2)$  is a separable field extension (which by Galois theory is automatic if  $\text{char } K = 0$ )

Suppose  $P \in C_1, Q \in C_2, \phi : P \rightarrow Q$ . Let  $t \in K(C_2)$  be a uniformizer at  $Q$ . We then define  $e_\phi(P) = \text{ord}_P(\phi^*t)$ , which is always  $\geq 1$ , and independent of  $t$ .  $e_\phi(P)$  is called the **ramification index** of  $\phi$  at  $P$ .

**Theorem 2.9.** Let  $\phi : C_1 \rightarrow C_2$  be a nonconstant morphism of smooth projective curves. Then

$$\sum_{P \in \phi^{-1}(Q)} e_\phi(P) = \deg \phi$$

for any point  $Q \in C_2$ . Moreover, if  $\phi$  is separable then  $e_\phi(P) = 1$  with at most finitely many exceptions.

In particular:

1.  $\phi$  is surjective
2. If  $\phi$  is separable,  $\#\phi^{-1}(Q) \leq \deg \phi$ , with equality for all but finitely many choices of  $Q$ .

**Remark 2.10.** Let  $C$  be an algebraic curve. A rational map is given by  $\phi : C \dashrightarrow \mathbb{P}^n, P \mapsto (f_0(P) : \dots : f_n(P))$ , where  $f_0, \dots, f_n \in K(C)$  are not all zero. If  $C$  is smooth then  $\phi$  is a morphism.

## 3 Weierstrass Equations

In this section,  $K$  is a perfect field (so that all finite extensions of  $K$  are separable), with algebraic closure  $\bar{K}$ .

**Definition.** An elliptic curve  $E$  over  $K$  is a smooth projective curve of genus 1 defined over  $K$  with a specified  $K$ -rational point  $O_E$ .

Example: Take  $\{X^3 + pY^3 + p^2Z^3 = 0\} \subset \mathbb{P}^2$  for  $p$  prime. This is not an elliptic curve over  $\mathbb{Q}$  since there is no  $\mathbb{Q}$ -points.

**Theorem 3.1.** Every elliptic curve  $E$  is isomorphic over  $K$  to a curve in Weierstrass form via an isomorphism taking  $O_E$  to  $(0 : 1 : 0)$ .

Proposition 2.8 treated the special case where  $E$  is a smooth plane cubic and  $O_E$  is a point of inflection.

If  $D \in \text{Div}(E)$  is defined over  $K$  (i.e. fixed by the natural action of  $\text{Gal}(\bar{K}/K)$ ), then  $\mathcal{L}(D)$  has a basis in  $K(E)$ , not just in  $\bar{K}(E)$ .

*Proof.* Note that

$$\mathcal{L}(2O_E) \subset \mathcal{L}(3O_E)$$

Pick bases of these spaces, say  $\{1, x\}$  and  $\{1, x, y\}$ .



Note that  $\text{ord}_{O_E}(x) = -2, \text{ord}_{O_E}(y) = -3$ . The 7 elements  $\{1, x, y, x^2, xy, x^3, y^2\}$  are rational functions with no pole except at  $O_E$ , where they have poles of degree at most 6, so they all lie in  $\mathcal{L}(6O_E)$ . Riemann-Roch tells us this space has dimension 6, so there is a dependence relation between these elements.

Leaving out  $x^3$  or  $y^2$  gives a basis for  $\mathcal{L}(6O_E)$  since each term has a different order pole at  $O_E$ , so they are independent.

Therefore this dependence relation *must* involve both  $x^3$  and  $y^2$ . Rescaling  $x, y$  we get

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

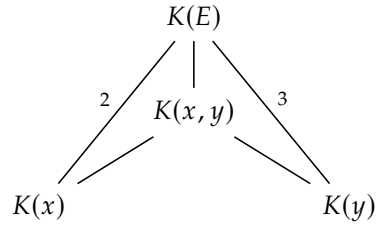
Let  $E'$  be the curve defined by this equation (or rather its projective closure).

There is a morphism

$$\begin{aligned}\phi : E &\rightarrow E' \\ P &\mapsto (x(P) : y(P) : 1) = \left( \frac{x}{y}(P) : 1 : \frac{1}{y}(P) \right) \\ O_E &\mapsto (0 : 1 : 0)\end{aligned}$$

$$\begin{aligned}[K(E) : K(x)] &= \deg(E \xrightarrow{x} \mathbb{P}^1) = \text{ord}_{O_E}\left(\frac{1}{x}\right) = 2 \\ [K(E) : K(y)] &= \deg(E \xrightarrow{y} \mathbb{P}^1) = \text{ord}_{O_E}\left(\frac{1}{y}\right) = 3\end{aligned}$$

This gives us a diagram of field extensions



So  $[K(E) : K(x, y)]$  divides both 2 and 3 by the tower law, and hence  $K(E) = K(x, y)$ , and hence  $\deg(E \xrightarrow{\phi} E') = 1$ , and  $\phi$  is birational. If  $E'$  is singular, then it is rational, and so  $E$  is also rational  $\frac{1}{2}$ . So  $E'$  is not singular and hence smooth, and we may use remark 2.10 to  $\phi^{-1}$  to see that  $\phi^{-1}$  is a morphism, and hence  $\phi$  is an isomorphism.  $\square$

**Proposition 3.2.** *Let  $E, E'$  be elliptic curves over  $K$  in Weierstrass form. Then  $E \cong E'$  over  $K$  if and only if the Weierstrass equations are related by a change of variables of the form*

$$\begin{aligned}x &= u^2x' + r \\ y &= u^3y' + u^2sx' + t\end{aligned}$$

for  $u, r, s, t \in K, u \neq 0$ .

*Proof.* Using the notation of the previous proof,

$$\begin{aligned}\langle 1, x \rangle &= \mathcal{L}(2O_E) = \langle 1, x' \rangle \\ \langle 1, x, y \rangle &= \mathcal{L}(3O_E) = \langle 1, x', y' \rangle \\ \implies \begin{cases} x = \lambda x' + r & \lambda_1 r \in K, \lambda \neq 0 \\ y = \mu y' + \sigma x' + t & \mu, \sigma, t \in K, \mu \neq 0 \end{cases}\end{aligned}$$

Looking at the coefficients of  $x^3$  and  $y^2$ ,  $\lambda^3 = \mu^2 \implies (\lambda, \mu) = (u^2, u^3)$  for  $u \in K^\times$ .

Put  $s = \sigma/u^2$  □

The effect of this transformation on the coefficients  $a_i$  is on the formula sheet for this course. A Weierstrass equation defines an elliptic curve if and only if it defines a smooth curve, if and only if  $\Delta(a_1, \dots, a_6) \neq 0$  where  $\Delta$  is as follows:

$$\begin{aligned}b_2 &:= a_1^2 + 4a_2 \\ b_4 &:= 2a_4 + a_1a_3 \\ b_6 &:= a_3^2 + 4a_6 \\ b_8 &:= a_1^2a_6 + 4a_2a_6 - a_1a_3a_4 + a_2a_3^2 - a_4^2 \\ \Delta &:= -b_2^2b_8 - 8b_4^3 - 27b_6^2 + 9b_2b_4b_6\end{aligned}$$

If  $\text{char } K \neq 2, 3$ , then we can reduce to the case

$$\begin{aligned}E : y^2 &= x^3 + ax + b \\ \Delta &= -16(4a^3 + 27b^2)\end{aligned}$$

**Corollary 3.3.** Assume  $\text{char } K \neq 2, 3$ . If we have two elliptic curves

$$\begin{aligned}E : y^2 &= x^3 + ax + b \\ E' : y^2 &= x^3 + a'x + b'\end{aligned}$$

then they are isomorphic over  $K$  if and only if

$$\begin{aligned}a' &= u^4a \\ b' &= u^6b\end{aligned}$$

for some  $u \in K^\times$ .

*Proof.*  $E$  and  $E'$  are related as in 3.2 with  $r = s = t = 0$ . □

**Definition.** The  *$j$ -invariant* is  $j(E) = \frac{1728(4a^3)}{4a^3 + 27b^2}$ . Note that the denominator is nonzero since the discriminant is nonzero.

**Corollary 3.4.**  $E \cong E' \implies j(E) = j(E')$ , and the converse holds if  $K = \bar{K}$ .

*Proof.*

$$\begin{aligned}
E \cong E' &\iff a' = u^4 a; b' = u^6 b \text{ for some } u \in K^\times \\
&\implies (a^3 : b^2) = ((a')^3 : (b')^2) \\
&\iff j(E) = j(E')
\end{aligned}$$

and the reverse implication holds in the second line if  $K = \bar{K}$ . □

## 4 Group Law

Let  $E \subset \mathbb{P}^2$  be a smooth plane cubic, and  $O_E \in E(K)$ . Since  $E$  is of degree 3, it meets each line in 3 points counted with multiplicity. Hence, given two points  $P, Q$  on  $E$ , the line  $\overline{PQ}$  meets  $E$  at a third point  $S$ . Then the line  $\overline{O_E S}$  meets  $E$  at a third point  $R$ . We then define  $P \oplus Q = R$ .

If  $P = Q$ , then we take the tangent line at  $P$ , likewise if  $S = O_E$ . We can view this diagrammatically as follows:

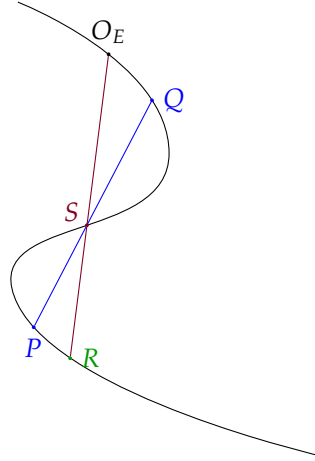


Figure 2: Illustration of the group operation on an elliptic curve

We call this the “chord and tangent process”.

**Theorem 4.1.**  $(E, \oplus)$  is an abelian group.

*Proof.*

- (i)  $P \oplus Q = Q \oplus P$  by construction.
- (ii)  $O_E$  is the identity.
- (iii) For inverses, let  $S$  be the third point of intersection of  $T_{O_E}$  and  $E$ , and  $Q$  be the third point of intersection of  $\overline{PS}$  and  $E$ . Then  $P \oplus Q = O_E$ .
- (iv) Associativity is much harder.

□

**Definition.**  $D_1, D_2 \in \text{Div}(E)$  are **linearly equivalent** (written  $D_1 \sim D_2$ ) if there is  $f \in \tilde{K}(E)^\times$  such that  $\text{div}(f) = D_1 - D_2$ . Then we will let  $[D] = \{D' : D' \sim D\}$ .

**Definition.** The **Picard group of  $E$** ,  $\text{Pic}(E) = \text{Div}(E)/\sim$ . We write  $\text{Div}^0(E) := \ker \left( \text{Div}(E) \xrightarrow{\deg} \mathbb{Z} \right)$  for the group of degree 0 divisors on  $E$ , and then  $\text{Pic}^0(E) = \text{Div}^0(E)/\sim$ . Sometimes  $\text{Pic}^0$  is called the Jacobian.

**Proposition 4.2.** Let  $\psi : E \rightarrow \text{Pic}^0(E); P \mapsto [(P) - (O_E)]$ . Then:

1.  $\psi(P \oplus Q) = \psi(P) + \psi(Q)$
2.  $\psi$  is a bijection

*Proof.*

1. Referring back to Fig. 2, let  $\{\ell = 0\}$  be the line  $\overline{PQ}$ , and  $\{m = 0\}$  be the line  $\overline{O_ER}$ . Then:

$$\begin{aligned} \text{div}(\ell/m) &= (P) + (S) + (Q) - (R) - (S) - (O_E) \\ &= (P) + (Q) - (O_E) - (P \oplus Q) \\ \implies (P \oplus Q) + (O_E) &\sim (P) + (Q) \\ \implies (P \oplus Q) - (O_E) &\sim (P) - (O_E) + (Q) - (O_E) \\ \implies \psi(P \oplus Q) &= \psi(P) + \psi(Q) \end{aligned}$$

2. For injectivity, suppose  $\psi(P) = \psi(Q)$ . Then there is  $f \in \tilde{K}(E)^\times$  such that  $\text{div}(f) = P - Q$ . Then  $\deg \left( E \xrightarrow{f} \mathbb{P}^1 \right) = \text{ord}_P(f) = 1$ . But then  $f$  is a birational morphism, so an isomorphism, and  $E \cong \mathbb{P}^1$ .

For surjectivity, let  $[D] \in \text{Pic}^0(E)$ . Then  $D + (O_E)$  has degree 1 (as  $D$  had degree 0). Then Riemann-Roch tells us  $\dim \mathcal{L}(D + (O_E)) = 1$ , and so there exists some  $f \in \tilde{K}(E)^\times$  such that  $\text{div}(f) + D + (O_E) \geq 0$ . Since  $f$  is rational,  $\deg \text{div}(f) = 0$ , and  $\deg D = 0$ . So the coefficients of  $\text{div}(f) + D + (O_E)$  are non-negative and sum to 1, hence one of them is 1 and the rest are 0. So  $\text{div}(f) + D + (O_E) = (P)$  for some  $P \in E$ . But then  $(P) - (O_E) \sim D$ , i.e.  $\psi(P) = [D]$ .

□

So  $\psi$  is a bijection respecting the group law, and so we deduce that  $\oplus$  is associative, and then  $(E, \oplus) \cong (\text{Pic}^0 E, +)$ .

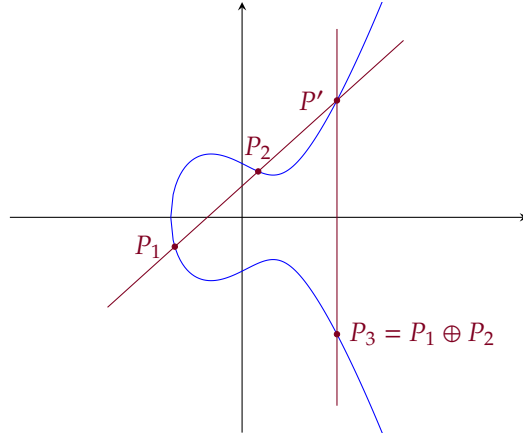
## 4.1 Explicit Formulae for the Group Law

We consider  $E$  in Weierstrass form, with  $O_E$  the point at infinity:

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6 \quad (*)$$

Note that  $O_E$  is a point of inflection. Now  $P_1 \oplus P_2 \oplus P_3 = O_E \iff P_1, P_2, P_3$  are collinear.

We will use the following notation:



and put  $P_i = (x_i, y_i)$ ,  $P' = (x', y')$ .

Now  $\ominus P_1 = (x_1, -(a_1x_1 + a_3) - y_1)$ , just by setting  $y = -y_1$  in (\*).

The line through  $P_1, P_2$  has equation say  $y = \lambda x + \nu$ . Substituting into (\*) and looking at the coefficient of  $x^2$ , we get:

$$\lambda^2 + a_1\lambda - a_2 = x_1 + x_2 + x'$$

Since  $x_3 = x'$ , we have:

$$\begin{aligned} x_3 &= \lambda^2 + a_1\lambda - a_2 - x_1 - x_2 \\ y_3 &= -(a_1x' + a_3) - y' \\ &= -(\lambda + a_1)x_3 - \nu - a_3 \end{aligned}$$

It remains to find  $\lambda$  and  $\nu$ . There are 3 cases:

1.  $x_1 = x_2, P_1 \neq P_2$ .

Then  $P_1 \oplus P_2 = O_E$ .

2.  $x_1 \neq x_2$ .

$$\lambda = \frac{y_2 - y_1}{x_2 - x_1}, \quad \nu = y_1 - \lambda x_1 = \frac{y_1x_2 - y_2x_1}{x_2 - x_1}$$

3.  $P_1 = P_2$ .

Here we have to compute the equation of the tangent line etc. The solutions are:

$$\lambda = \frac{3x_1^2 + 2a_2x_1 + a_4 - a_1y_1}{2y_1 + a_1x_1 + a_3}, \quad \nu = \frac{-x_1^3 + a_4x_1 + 2a_6 - a_3y_1}{2y_1 + a_1x_1 + a_3}$$

**Corollary 4.3.**  $E(K)$  is an abelian group.

*Proof.* It is a subgroup of  $E (= E(\bar{K}))$ .

Identity:  $O_E \in E(K)$  by definition.

Closure: See formulae above.

Inverses: See formulae above.

Associativity: Inherited from  $E(\bar{K})$ .

Commutativity: Inherited from  $E(\bar{K})$ .

□

If there is no ambiguity (i.e. we are not also adding numbers at the same time), the circles will be dropped from the group operation.

**Theorem 4.4.** *Elliptic curves are group varieties.*

i.e.,  $[-1] : E \rightarrow E; P \mapsto -P$  and  $+: E \times E \rightarrow E; (P, Q) \mapsto P + Q$  are morphisms of algebraic varieties.

*Proof.* The above formulae show that  $[-1]$  and  $+$  are rational maps. We know immediately that  $[-1]$  is a morphism, as it is a rational map from a smooth curve to a projective variety.

The formulae also show that  $+$  is regular on the set

$$U = \{(P, Q) \in E \times E \mid P, Q, P + Q, P - Q \neq O_E\}$$

For  $P \in E$ , let  $\tau_P : E \rightarrow E; X \mapsto P + X$  be the “translation by  $P$ ” map.

Then  $\tau_P$  is a rational map from a smooth curve to a projective variety, so is a morphism.

We factor  $+$  as:

$$E \times E \xrightarrow{\tau_{-A} \times \tau_{-B}} E \times E \xrightarrow{\tau_{A+B}} E \xrightarrow{\tau_{A+B}} E$$

Now  $+$  is regular on  $(\tau_A \times \tau_B)(U)$  for all  $A, B \in E$ , and so  $+$  is regular on  $E \times E$ .

□

**Definition.** For any  $n \in \mathbb{Z}_{>0}$ , let  $[n] : E \rightarrow E; P \mapsto P + \dots + P$ ,  $n$  times, and  $[-n] = [-1] \circ [n]$ ,  $[0] : P \mapsto O_E$  (i.e., the standard way of turning an abelian group into  $\mathbb{Z}$  module).

**Definition.** The  $n$ -torsion subgroup of  $E$  is  $E[n] = \ker([n] : E \rightarrow E)$ .

**Lemma 4.5.** *If  $\text{char}(K) \neq 2$ , and  $E : y^2 = (x - e_1)(x - e_2)(x - e_3)$ .*

*Then  $E[2] = (0, (e_1, 0), (e_2, 0), (e_3, 0)) \cong (\mathbb{Z}/2\mathbb{Z})^2$ .*

*Proof.* Let  $P = (x, y) \in E$ . Then  $[2]P = 0 \iff P = -P \iff (x, y) = (x, -y) \iff y = 0$ . □

## 4.2 Elliptic Curves over $\mathbb{C}$

Let  $\Lambda = \{a\omega_1 + b\omega_2 : a, b \in \mathbb{Z}\}$ , where  $\omega_1, \omega_2$  form a basis for  $\mathbb{C}$  over  $\mathbb{R}$ .

Then the meromorphic functions on the Riemann surface (or lattice)  $\mathbb{C}/\Lambda$  are the same as the  $\Lambda$ -invariant meromorphic functions on  $\mathbb{C}$  (i.e.  $f(z) = f(z + \lambda)$  for  $\lambda \in \Lambda$ ).

This set of functions is a field, and is generated by  $\wp(z)$  and  $\wp'(z)$ , where:

$$\wp(z) = \frac{1}{z^2} + \sum_{0 \neq \lambda \in \Lambda} \left( \frac{1}{(z - \lambda)^2} - \frac{1}{\lambda^2} \right)$$

They satisfy  $\wp'(z)^2 = 4\wp(z)^3 - g_2\wp(z) - g_3$ , for some  $g_1, g_3 \in \mathbb{C}$  depending on  $\lambda$ . We call  $\wp$  the *Weierstrass  $p$ -function*.

One can show that  $\mathbb{C}/\Lambda \cong E(\mathbb{C})$ , where  $E$  is the elliptic curve  $y^2 = 4x^3 - g_2x - g_3$ . This is an isomorphism, not only of Riemann surfaces, but moreover of groups

**Theorem 4.6** (Uniformisation Theorem). *Every elliptic curve over  $\mathbb{C}$  arises in this way.*

Thus, for elliptic curves  $E/\mathbb{C}$ , we have:

$$\textcircled{1} \quad E[n] \cong (\mathbb{Z}/n\mathbb{Z})^2$$

$$\textcircled{2} \quad \deg[n] = n^2$$

We will show that  $\textcircled{2}$  holds over any field  $K$ , and  $\textcircled{1}$  holds if  $\text{char } K \nmid n$ .

Summary of Results (N.B. the isomorphisms in 1, 2, 4 respect the relevant topologies)

- |                                  |  |
|----------------------------------|--|
| 1. $K = \mathbb{C}$              | $E(\mathbb{C}) \cong \mathbb{C}/\Lambda \cong \mathbb{R}/\mathbb{Z} \times \mathbb{R}/\mathbb{Z}$  |
| 2. $K = \mathbb{R}$              | $E(\mathbb{R}) \cong \begin{cases} \mathbb{Z}/2\mathbb{Z} \times \mathbb{R}/\mathbb{Z} & \Delta > 0 \\ \mathbb{R}/\mathbb{Z} & \Delta < 0 \end{cases}$ |
| 3. $K = \mathbb{F}_q$            | $ \#E(\mathbb{F}_q) - (q + 1)  \leq 2\sqrt{q}$   |
| 4. $[K : \mathbb{Q}_p] < \infty$ | $E(K)$ has a subgroup of finite index isomorphic to $(\mathcal{O}_K, +)$   |
| 5. $[K : \mathbb{Q}] < \infty$   | $E(K)$ is a finitely generated abelian group.  |

## 5 Isogenies

Let  $E_1, E_2$  be elliptic curves.

**Definition.** An *isogeny*  $\phi : E_1 \rightarrow E_2$  is a non-constant morphism taking  $O_{E_1}$  to  $O_{E_2}$ , and we say  $E_1$  and  $E_2$  are *isogenous* if there is an isogeny  $E_1 \rightarrow E_2$ .

**Definition.**  $\text{Hom}(E_1, E_2) = \{\text{isogenies } E_1 \rightarrow E_2\} \cup \{0\}$ . This is a group under  $(\phi + \psi)(P) = \phi(P) + \psi(P)$ .

If  $E_1 \xrightarrow{\phi} E_2 \xrightarrow{\psi} E_3$  are isogenies, then  $\psi\phi$  is an isogeny. The tower law tells us that  $\deg(\psi\phi) = \deg(\phi)\deg(\psi)$ .

**Lemma 5.1.** *If  $0 \neq n \in \mathbb{Z}$ , then  $[n] : E \rightarrow E$  is an isogeny.*

*Proof.* Theorem 4.4 tells us that  $[n]$  is a morphism. We must show that  $[n] \neq 0$ .

Assume  $\text{char } K \neq 2$ , then we can use Lemma 4.5. If  $n = 2$ , then  $\#E[2] = 4$ , and so  $[2] \neq 0$ .

If  $n$  is odd, then there is  $0 \neq T \in E[2]$ . Then  $nT = T \neq 0$ , so  $[n]$  is not the zero map.

Now  $[m][n] = [m] \circ [n]$ , and any  $n = 2^k m$  for  $m$  odd, so  $[n]$  is not the zero map for any  $n \neq 0$ .

If  $\text{char } K = 2$ , then replace 4.5 with a lemma computing  $E[3]$ . □

**Corollary.**  $\text{Hom}(E_1, E_2)$  is torsion-free as a  $\mathbb{Z}$ -module.

**Lemma 5.2.** *Let  $\phi : E_1 \rightarrow E_2$  be an isogeny. Then  $\phi(P + Q) = \phi(P) + \phi(Q)$  for all  $P, Q \in E_1$ .*

*Sketch proof.*  $\phi$  induces a map  $\phi_* : \text{Div}^0(E_1) \rightarrow \text{Div}^0(E_2)$  given by  $\sum_{P \in E_1} n_P P \mapsto \sum_{P \in E_2} n_P \phi(P)$ .

Recall that, via a pullback,  $\phi^* : K(E_2) \hookrightarrow K(E_1)$ .

If  $f \in K(E_1)^*$ , then  $\phi_*(\text{div } f) = \text{div}(N_{K(E_1)/K(E_2)} f)$  - this is a fact that we'll take for granted.

So  $\phi_*$  takes principal divisors to principal divisors. Since  $\phi(O_{E_1}) = O_{E_2}$ , the following diagram

$$\begin{array}{ccc} E_1 & \xrightarrow{\phi} & E_2 \\ \downarrow \psi_1 & & \downarrow \psi_2 \\ \text{Pic}^0(E_1) & \xrightarrow{\phi_*} & \text{Pic}^0(E_2) \end{array} \quad \text{where } \psi_1 : P \mapsto [(P) - (O_{E_1})], \psi_2 : Q \mapsto [(Q) - (O_{E_2})].$$

Since  $\phi_*$  is a group homomorphism,  $\phi$  is also a group homomorphism.  $\square$

**Lemma 5.3.** *Let  $\phi : E_1 \rightarrow E_2$  be an isogeny. Then there is a morphism  $\xi$  making the following diagram commute:*

$$\begin{array}{ccc} E_1 & \xrightarrow{\phi} & E_2 \\ \downarrow x_1 & & \downarrow x_2 \\ \mathbb{P}^1 & \xrightarrow{\xi} & \mathbb{P}^1 \end{array}$$

where  $x_i$  is the  $x$ -coordinate in a Weierstrass equation for  $E_i$ .

Moreover, if  $\xi(t) = \frac{r(t)}{s(t)}$  for  $r, s \in K[t]$  coprime, then  $\deg \phi = \deg \xi = \max(\deg r, \deg s)$ .

*Proof.* For  $i = 1, 2$ ,  $K(E_i)/K(x_i)$  is a degree 2 extension, since the extension is given by adjoining  $y_i$ , which satisfies a quadratic (see the Weierstrass equation). Moreover, it is Galois, as  $[-1]^*$  is a non-trivial automorphism of  $K(E_i)$  fixing  $K(x_i)$ .

Since  $\phi$  is a group homomorphism, we have that  $\phi(-P) = -\phi(P)$ , i.e.  $\phi \circ [-1] = [-1] \circ \phi$ .

If  $f \in K(x_2)$ , then  $[-1]^* f = f$ , and  $[-1]^*(\phi^* f) = \phi^*([-1]^* f) = \phi^* f$ . Hence  $\phi^* f$  is fixed by  $[-1]$ , so is in  $K(x_1)$ , and  $K(x_2) \leq K(x_1)$ .

Taking  $f = x_2$ , then  $\phi^* x_2 \in K(x_1)$ , say  $\xi(x_1)$  for some rational function  $\xi$ . Then  $\xi$  is as required.

Since  $[K(E_1) : K(x_1)] = [K(E_2) : K(x_2)] = 2$ , we have the following diagram of field extensions:

$$\begin{array}{ccccc} & & K(E_1) & & \\ & \swarrow 2 & & \searrow \deg \phi & \\ K(x_1) & & & & K(x_2) \\ & \searrow \deg \xi & & \swarrow 2 & \\ & & K(x_2) & & \end{array}$$

Using the tower law,  $\deg \phi = \deg \xi$ . Now,  $K(x_2) \hookrightarrow K(x_1)$  via  $x_2 \mapsto \xi(x_1) = \frac{r(x_1)}{s(x_1)}$  for  $r, s \in K[t]$  coprime.

The minimal polynomial of  $x_1$  over  $K(x_2)$  is  $f(t) = r(t) - s(t)x_2 \in K(x_2)[t]$  - this is clearly a polynomial for  $x_1$ , but we need to check it's irreducible.



$f$  is irreducible in  $K[t][x_2] = K[x_2][t]$  as it is of degree 1 in  $x_2$ , so one of the factors must be constant in  $x_2$ , so divide both  $r$  and  $s$  which are coprime. Then we can use Gauss's lemma, and it is irreducible in  $K(x_2)[t]$ .

Hence  $\deg \phi = \deg \xi = [K(x_1) : K(x_2)] = \deg(r(t) - s(t)x_2) = \max(\deg r, \deg s)$ .  $\square$

**Lemma 5.4.**  $\deg[2] = 4$

*Proof.* Assume  $\text{char } K \neq 2, 3$ . Then  $E : y^2 = x^3 + ax + b = f(x)$ .

If  $P = (x, y)$ , then  $x(2P) = \left(\frac{3x^2+a}{2y}\right)^2 - 2x = \frac{(3x^2+a)^2 - 8xf(x)}{4f(x)} = \frac{x^4 + \dots}{4f(x)}$ .

The numerator and denominator are coprime - suppose there was a common factor. Then  $\exists \theta \in \bar{K}$  with  $f(\theta) = (3\theta^2 + a)^2 = f'(\theta) = 0$ , and so  $f$  has a multiple root. But  $E$  is an elliptic curve so  $f$  doesn't have multiple roots.

Hence  $\deg[2] = \max(\deg x^4 + \dots, \deg 4f(x)) = \max(4, 3) = 4$ .  $\square$

**Definition.** Let  $A$  be an abelian group. We say that  $q : A \rightarrow \mathbb{Z}$  is a *quadratic form* if it satisfies

1.  $q(nx) = n^2 q(x) \forall n \in \mathbb{Z}, x \in A$ .
2.  $(x, y) \rightarrow q(x + y) - q(x) - q(y)$  is  $\mathbb{Z}$ -bilinear.

**Lemma 5.5.**  $q : A \rightarrow \mathbb{Z}$  is a quadratic form if and only if it satisfies the parallelogram law:

$$q(x + y) + q(x - y) = 2q(x) + 2q(y) \forall x, y \in A$$

*Proof.* For the forwards direction, let  $\langle x, y \rangle = q(x + y) - q(x) - q(y)$ .

Then  $\langle x, x \rangle = q(2x) - 2q(x) = 2q(x)$ .

Then  $\frac{1}{2}\langle x + y, x + y \rangle + \frac{1}{2}\langle x - y, x - y \rangle = \langle x, x \rangle + \langle y, y \rangle$  by bilinearity, and hence  $q(x + y) + q(x - y) = 2q(x) + 2q(y)$ .

The reverse direction is left as an exercise on example sheet 2.  $\square$

**Theorem 5.6.**

$$\deg : \text{Hom}(E_1, E_2) \rightarrow \mathbb{Z}$$

is a quadratic form.

*Proof.* For the proof, we will assume  $\text{char } K \neq 2, 3$  for simplicity - the result still holds in those characteristics.

We write  $E_2 : y^2 = x^3 + ax + b$ .

Let  $P, Q \in E_2$  with  $P, Q, P + Q, P - Q \neq 0$ , and let  $x_1, \dots, x_4$  be the  $x$ -coordinates of these 4 points. Then we have:

**Lemma 5.7.** There exists  $w_0, w_1, w_2 \in \mathbb{Z}[a, b][x_1, x_2]$  of degree  $\leq 2$  in  $x_1$  and in  $x_2$  such that  $(1 : x_3 + x_4 : x_3 x_4) = (w_0 : w_1 : w_2)$ .

*Proof.* We could prove this by direct calculation, leading to the formulae:

$$\begin{aligned} w_0 &= (x_1 - x_2)^2 \\ w_1 &= 2(x_1x_2 + a)(x_1 + x_2) + 4b \\ w_2 &= x_1^2x_2^2 - 2ax_1x_2 - 4b(x_1 + x_2) + a^2 \end{aligned}$$

As an alternative proof, let  $y = \lambda x + \nu$  be the line through  $P$  and  $Q$ . Then

$$x^3 + ax + b - (\lambda x + \nu)^2 = (x - x_1)(x - x_2)(x - x_3) = x^3 - s_1x^2 + s_2x - s_3$$

where  $s_i$  is the  $i^{\text{th}}$  symmetric polynomial in  $(x_1, x_2, x_3)$ .

Comparing coefficients:

$$\begin{aligned} \lambda^2 &= s_1 \\ -2\lambda\nu + a &= s_2 \\ \nu^2 - b &= s_3 \end{aligned}$$

Eliminating  $\lambda, \nu$ , we have  $F(x_1, x_2, x_3) := (s_2 - a)^2 - 4s_1(s_3 + b) = 0$ . Then  $F$  has degree at most 2 in each  $x_i$ .

$x_3$  is a root of the quadratic polynomial  $W(t) = F(x_1, x_2, t)$ , and repeating this for the line through  $P$  and  $-Q$  shows that  $x_4$  is the other root. Hence

$$w_0(t - x_3)(t - x_4) = W(t) = w_0t^2 - w_1t + w_2$$

And so  $(1 : x_3 + x_4 : x_3x_4) = (w_0 : w_1 : w_2)$ . □

We then show that, if  $\phi, \psi \in \text{Hom}(E_1, E_2)$ , then

$$\deg(\phi + \psi) + \deg(\phi - \psi) \leq 2\deg(\phi) + 2\deg(\psi)$$

We may assume  $\phi, \psi, \phi + \psi, \phi - \psi \neq 0$ , as otherwise the result is trivial.

$$\begin{aligned} \phi &: (x, y) \mapsto (\xi_1(x), \dots) \\ \psi &: (x, y) \mapsto (\xi_2(x), \dots) \\ \phi + \psi &: (x, y) \mapsto (\xi_3(x), \dots) \\ \phi - \psi &: (x, y) \mapsto (\xi_4(x), \dots) \end{aligned}$$

Then 5.7 gives  $(1 : \xi_3 + \xi_4 : \xi_3\xi_4) = ((\xi_1 - \xi_2)^2 : \dots : \dots)$ .

Put  $\xi_i = \frac{r_i}{s_i}$  where  $r_i, s_i \in K[x]$  are coprime:

$$(s_3s_4 : r_3s_4 + r_4s_3 : r_3r_4) = ((r_1s_2 - r_2s_2)^2 : \dots : \dots) \quad (*)$$

So we have:

$$\begin{aligned} \deg(\phi + \psi) + \deg(\phi - \psi) &= \max(\deg r_3, \deg s_3) + \max(\deg r_4, \deg s_4) \\ &= \max(\deg(s_3s_4), \deg(r_3s_4 + r_4s_3), \deg(r_3r_4)) \end{aligned}$$

Suppose  $(s_3s_4, r_3s_4 + r_4s_3, r_3r_4)$  are not coprime, so that  $p$  irreducible divides all 3. Then  $p$  divides one of  $r_3, r_4$ , and one of  $s_3, s_4$ .  $p$  can't divide both  $s_i$  and  $r_i$  as they are coprime, so wlog  $p$  divides  $r_3$  and  $s_4$  and not  $r_4$  nor  $s_3$ . Then  $p$  doesn't divide  $r_3s_4 + r_4s_3$ . Hence these polynomials are coprime.

Hence the polynomials on RHS of (\*) must be multiples of polynomials on the LHS by some irreducible polynomial, and hence each have degree  $\geq$  their corresponding polynomial on LHS, and thus, as  $w_i$  are of degree  $\leq 2$  in  $r_1, s_1, r_2, s_2$ ,

$$\begin{aligned} \deg(\phi + \psi) + \deg(\phi - \psi) &\leq \max(\deg(w_0), \deg(w_1), \deg(w_2)) \\ &\leq 2 \max(\deg r_1, \deg s_1) + 2 \max(\deg r_2, \deg s_2) \\ &= 2 \deg \phi + 2 \deg \psi \end{aligned} \tag{1}$$

Now replace  $\phi$  and  $\psi$  by  $\phi + \psi$  and  $\phi - \psi$  to get

$$\deg(2\phi) + \deg(2\psi) \leq 2 \deg(\phi + \psi) + 2 \deg(\phi - \psi)$$

Since  $\deg[2] = 4$ ,

$$2 \deg(\phi) + 2 \deg(\psi) \leq \deg(\phi + \psi) + \deg(\phi - \psi) \tag{2}$$

(1) and (2) together give

$$2 \deg(\phi) + 2 \deg(\psi) = \deg(\phi + \psi) + \deg(\phi - \psi)$$

so  $\deg$  satisfies the parallelogram law, and hence is a quadratic form.  $\square$

**Corollary 5.8.**

$$\deg(n\phi) = n^2 \deg(\phi) \quad \forall n \in \mathbb{Z}, \phi \in \text{Hom}(E_1, E_2)$$

In particular,  $\deg[n] = n^2$ .

**Example 5.9.** Let  $E/K$  be an elliptic curve, suppose  $\text{char } K \neq 2$ , and let  $O_E \neq T \in E(K)[2]$ .

Then we may take  $E : y^2 = x(x^2 + ax + b)$ ,  $a, b \in K, b(a^2 - 4b) \neq 0, T = (0, 0)$

Then if  $P = (x, y)$  and  $P' = P + T = (x', y')$ , then:

$$\begin{aligned} x' &= (y/x)^2 - a - x = \frac{x^2 + ax + b}{x} - x - a = \frac{b}{x} \\ y' &= -(y/x)x' = \frac{-by}{x^2} \end{aligned}$$

Then let  $\xi = x + x' + a = \frac{x^2 + ax + b}{x} = \left(\frac{y}{x}\right)^2$ , and  $\eta = y + y' = \frac{y}{x}\left(x - \frac{b}{x}\right)$

$$\text{Then } \eta^2 = \left(\frac{y}{x}\right)^2 \left[\left(x + \frac{b}{x}\right)^2 - 4b\right] = \xi((\xi - a)^2 - 4b) = \xi(\xi^2 - 2a\xi + a^2 - 4b)$$

Let  $E' : y^2 = x(x^2 + a'x + b')$  where  $a' = -2a, b' = a^2 - 4b$ . Then there is an isogeny  $\phi : E \rightarrow E'$  given by  $(x, y) \mapsto \left(\left(\frac{y}{x}\right)^2 : \frac{y(x^2 - b)}{x^2} : 1\right); O_E \mapsto (0 : 1 : 0)$

5.3 tells us, as  $x' = \left(\frac{y}{x}\right)^2 = \frac{x^2 + ax + b}{x}$ , that  $\deg(\phi) = \max(2, 1) = 2$ , and we say  $\phi$  is a 2-isogeny.

## 6 The Invariant Differential

Let  $C$  be an algebraic curve over an algebraically closed field. Then the *space of differentials*  $\Omega_C$  is a vector space over the function field of the curve  $K(C)$ , generated by  $df$  for  $f \in K(C)$  subject to the relations

1.  $d(f + g) = df + dg$
2.  $d(fg) = f dg + g df$
3.  $da = 0$  for  $a \in K$

It turns out that  $\dim \Omega_C = \dim C$ , and since  $C$  is a curve,  $\Omega_C$  is a 1-dimensional  $K(C)$ -vector space.

Let  $0 \neq \omega \in \Omega_C$ , and let  $P \in C$  be a smooth point, with  $t \in K(C)$  a uniformizer at  $P$  (has order of vanishing 1 at  $P$ ). Then  $\omega = f dt$  for some  $f \in K(C)$ .

We define  $\text{ord}_P(\omega) = \text{ord}_P(f)$ . This does not depend on the choice of uniformizer.

Suppose we have  $f \in K(C)^*$ , and  $\text{ord}_P(f) = n \neq 0$ . Then, if  $\text{char } K \nmid n$ ,  $\text{ord}_P(df) = n - 1$ .

If  $C$  is now a smooth projective curve, we define the divisor of  $\omega \in \Omega_C$  to be

$$\text{div}(\omega) = \sum_{P \in C} \text{ord}_P(\omega) P \in \text{Div}(C)$$

using the fact that  $\text{ord}_P(\omega)$  is zero at all but finitely many points  $P \in C$ .

The *space of regular differentials* is the finite dimensional vector space over  $K$  of all  $\omega \in \Omega_C$  for which  $\text{div}(\omega)$  is effective, i.e. there are no poles. The dimension of this space is called the *genus* of  $C$ ,  $g(C)$ .

As a consequence of Riemann-Roch, we have, for  $0 \neq \omega \in \Omega_C$ ,  $\deg(\text{div}(\omega)) = 2g(C) - 2$ .

**Lemma 6.1.** Assume  $\text{char } K \neq 2$ . Take an elliptic curve  $E : y^2 = (x - e_1)(x - e_2)(x - e_3)$ , where  $e_1, e_2, e_3$  distinct.

Then  $\omega = \frac{dx}{y}$  is a differential on  $E$ , and has no zeros and no poles, and so  $g(E) = 1$ .

Moreover, the space of regular differentials is just  $\langle \omega \rangle$ .

*Proof.* Let  $T_i = (e_i, 0)$ , so that  $E[2] = \{O, T_1, T_2, T_3\}$ .

Then  $\text{div}(y) = (T_1) + (T_2) + (T_3) - 3(O)$  - we know the zeros at  $T_i$  are simple as  $y$  is rational, so  $\deg \text{div}(y) = 0$ .

Then for  $P \in E$ ,  $\text{div}(x - x_P) = (P) + (-P) - 2(O)$ , in the same way as above.

If  $P \in E \setminus E[2]$ , then  $\text{ord}_P(x - x_P) = 1$ , so  $\text{ord}_P(d(x - x_P)) = \text{ord}_P(dx) = 1 - 1 = 0$ .

If  $P = T_i$ , then  $P = -P$ , and  $\text{ord}_P(x - x_P) = 2$ , so  $\text{ord}_P(dx) = 2 - 1 = 1$

If  $P = O$ , then  $\text{ord}_P(x) = -2$ , so  $\text{ord}_P(dx) = -3$ .

Hence  $\text{div}(dx) = (T_1) + (T_2) + (T_3) - 3(O) = \text{div}(y)$ .

So  $\text{div}(dx/y) = \text{div}(dx) - \text{div}(y) = 0$ . Then Riemann-Roch gives  $g(E) = 1$ , and so the space of regular differentials is 1-dimensional, so generated by  $\omega$ .  $\square$

**Definition.** If  $\phi : C_1 \rightarrow C_2$  is a non-constant morphism, then we can pull back to

$$\phi^* : \Omega_{C_1} \rightarrow \Omega_{C_2}; f dg \mapsto \phi^* f d(\phi^* g)$$

**Lemma 6.2.** Let  $P \in E$ ,  $\tau_P : E \rightarrow E; X \mapsto P + X$ , and  $\omega = dx/y$  be as above.

Then  $\tau_P^* \omega = \omega$ , and so  $\omega$  is called the **invariant differential**.

*Proof.* Since  $\omega$  had no poles,  $\tau_P^* \omega$  is again a regular differential, and hence equal to  $\lambda_P \omega$  for some  $\lambda_P \in K$ , as the regular differentials are a 1-dimensional vector space over  $K$ .

The map  $E \rightarrow \mathbb{P}^1; P \mapsto \lambda_P$  is a morphism of smooth projective curves, but is not surjective as it misses 0 and  $\infty$ , and so this morphism is constant, by 2.8.

So  $\lambda$  is independent of  $P$ . Take  $P = O_E$ , then  $\tau_P$  is the identity map, and so  $\lambda$  is 1.  $\square$

If  $K = \mathbb{C}$ , then  $\mathbb{C}/\Lambda \cong E(\mathbb{C})$ , via  $z \mapsto (\wp(z), \wp'(z))$ . Then  $\frac{dx}{y} = \frac{\wp'(z)dz}{\wp'(z)} = dz$ , which is invariant under  $z \mapsto z + \text{const.}$

**Lemma 6.3.** Let  $\phi, \psi \in \text{Hom}(E_1, E_2)$ ,  $\omega$  the invariant differential on  $E_2$ . Then

$$(\phi + \psi)^*(\omega) = \phi^* \omega + \psi^* \omega$$

*Proof.* Write  $E = E_2$ , and consider the maps:

$$\begin{aligned} E \times E &\rightarrow E \\ \mu : (P, Q) &\mapsto P + Q \\ \text{pr}_1 : (P, Q) &\mapsto P \\ \text{pr}_2 : (P, Q) &\mapsto Q \end{aligned}$$

$\Omega_{E \times E}$  is a 2-dimensional  $K(E \times E)$  vector space with basis  $\text{pr}_1^* \omega$  and  $\text{pr}_2^* \omega$ .

Then  $\mu^* \omega = f \text{pr}_1^* \omega + g \text{pr}_2^* \omega$  for some  $f, g \in K(E \times E)$ .

For  $Q \in E$ , let  $\iota_Q : E \rightarrow E \times E; P \mapsto (P, Q)$ . Then

$$\begin{aligned} \iota_Q^*(\mu^* \omega) &= (\mu \circ \iota_Q)^* \omega = \iota_Q^* f (\text{pr}_1 \circ \iota_Q)^* \omega + \iota_Q^* g (\text{pr}_2 \circ \iota_Q)^* \omega \\ \tau_Q^* \omega &= \iota_Q^* f \omega + 0 \\ \omega &= \iota_Q^* f \omega \end{aligned}$$

So  $\iota_Q^* f = 1$  for all  $Q \in E$ , so  $f(P, Q) = 1$  for all  $P, Q \in E$ .

Similarly,  $g(P, Q) = 1$ .

So  $\mu^* \omega = \text{pr}_1^* \omega + \text{pr}_2^* \omega$ . Now pull back by  $E \rightarrow E \times E; P \mapsto (\phi(P), \psi(P))$  to get  $(\phi + \psi)^* \omega = \phi^* \omega + \psi^* \omega$ .  $\square$

**Lemma 6.4.** If  $\phi : C_1 \rightarrow C_2$  is a non-constant morphism, then  $\phi$  is separable if and only if  $\phi^* : \Omega_{C_2} \rightarrow \Omega_{C_1}$  is nonzero

*Proof.* Omitted.  $\square$

Example: Let  $\mathbb{G}_m = \mathbb{A}^1 \setminus \{0\} = \mathbb{P}^1 \setminus \{0, \infty\}$ , with group law  $\mathbb{G}_m \times \mathbb{G}_m \rightarrow \mathbb{G}_m; (x, y) \mapsto xy$ .

Let  $n \geq 2$  be an integer,  $\alpha : \mathbb{G}_m \rightarrow \mathbb{G}_m; x \mapsto x^n$ .

Then  $\alpha^*(dx) = d(\alpha x) = d(x^n) = nx^{n-1}dx$ . So if  $\text{char } K \nmid n$ , then  $\alpha$  is separable. So  $\#\alpha^{-1}(Q) = \deg \alpha$  for all but finitely many  $Q \in \mathbb{G}_m$ .

But  $\alpha$  is group homomorphism, so all fibres have the same size, and  $\#\alpha^{-1}(Q) = \#\ker \alpha$ , hence  $\#\ker \alpha = \deg \alpha = n$ . So  $K(= \bar{K})$  contains exactly  $n$   $n^{\text{th}}$  roots of unity.

**Theorem 6.5.** *If  $\text{char } K \nmid n$ , then  $E[n] \cong (\mathbb{Z}/n\mathbb{Z})^2$ .*

*Proof.* By 6.3 and induction,  $[n]^*\omega = n\omega$ . So if  $\text{char } K \nmid n$ ,  $[n]$  is separable. So all but finitely many fibres of  $[n]$  have size  $\deg[n]$ , and since  $[n]$  is a group homomorphism, all fibres have the same size, and hence  $\#[n]^{-1}(O_E) = \#E[n] = \deg[n] = n^2$ .

By the structure theorem for finite abelian groups,  $E[n] \cong \mathbb{Z}/d_1\mathbb{Z} \times \dots \times \mathbb{Z}/d_t\mathbb{Z}$  with  $d_i | d_{i+1}$ . Since this group is killed by multiplication by  $n$ , all  $d_i | n$  as well, and  $\prod_{i=1}^t d_i = n^2$  by the previous paragraph.

If  $p$  is a prime with  $p | d_1$ , then  $E[p] \cong (\mathbb{Z}/p\mathbb{Z})^t$ , and by the first paragraph,  $t = 2$ . Then  $d_1 | d_2 | n$ , and  $d_1 d_2 = n^2$ , hence  $d_1 = d_2 = n$ .  $\square$

Remark (not to be used on example sheet 2). If  $\text{char } K = p$ , then  $[p]$  is not separable. It can be shown that  $E[p^r] \cong \mathbb{Z}/p^r\mathbb{Z}$  for all  $r \geq 1$  or  $E[p] = 0$ . The first case is described as “ordinary”, and the second case is “supersingular”.

## 7 Elliptic Curves over Finite Fields

**Lemma 7.1.** *Let  $A$  be an abelian group and  $q : A \rightarrow \mathbb{Z}$  a positive definite quadratic form. If  $x, y \in A$  then  $\langle x, y \rangle := |q(x+y) - q(x) - q(y)| \leq 2\sqrt{q(x)q(y)}$ .*

*Proof.* We may assume  $x \neq 0$  otherwise the result is clear. Let  $m, n \in \mathbb{Z}$ .

$$\begin{aligned} 0 &\leq q(mx + ny) \\ &= \frac{1}{2} \langle mx + ny, mx + ny \rangle \\ &= m^2 q(x) + mn \langle x, y \rangle + n^2 q(y) \\ &= q(x) \left( m + \frac{\langle x, y \rangle}{2q(x)} n \right)^2 + n^2 \left( q(y) - \frac{\langle x, y \rangle^2}{4q(x)} \right) \end{aligned}$$

Take  $m = \langle x, y \rangle$ ,  $n = -2q(x)$ , we deduce  $\langle x, y \rangle^2 \leq 4q(x)q(y)$ , so  $|\text{angle } x, y| \leq 2\sqrt{q(x)q(y)}$ .  $\square$

Recall that  $\text{Gal}(\mathbb{F}_{q^r}/\mathbb{F}_q)$  is cyclic of order  $r$  generated by the Frobenius map  $x \mapsto x^q$ .

**Theorem 7.2 (Hasse).** *Let  $E/\mathbb{F}_q$  be an elliptic curve. Then  $|\#E(\mathbb{F}_q) - (q+1)| \leq 2\sqrt{q}$*

*Proof.* Let  $E$  have Weierstrass equation with coefficients  $a_1, \dots, a_6 \in \mathbb{F}_q$ . Define the Frobenius endomorphism  $\phi : E \rightarrow E; (x, y) \mapsto (x^q, y^q)$ , which is an isogeny of degree  $q$ .

Then  $E(\mathbb{F}_q) = \{P \in E : \phi(P) = P\} = \ker(1 - \phi)$ .

$$\phi^* \omega = \phi^* \left( \frac{dx}{y} \right) = \frac{dx^q}{y^q} = \frac{qx^{q-1}dx}{y^q} = 0, \text{ since } q \equiv 0 \pmod{p}.$$

So  $(1 - \phi)^* \omega = 1^* \omega - \phi^* \omega = \omega - 0 = \omega \neq 0$ , so  $1 - \phi$  is separable.

Hence the size of all but finitely many fibres is  $\deg 1 - \phi$ , and  $1 - \phi$  is a group homomorphism, so  $\#E[\mathbb{F}_q] = \# \ker(1 - \phi) = \deg(1 - \phi)$ .

By 5.6,  $\deg : \text{End}(E) := \text{Hom}(E, E) \rightarrow \mathbb{Z}$  is a positive definite quadratic form.

By 7.1,  $|\deg(1 - \phi) - 1 - \deg \phi| \leq 2\sqrt{\deg \phi}$ , and hence  $|\#E(\mathbb{F}_q) - (q + 1)| \leq 2\sqrt{q}$ .  $\square$

## 7.1 Zeta Functions

For  $K$  a number field:

$$\zeta_K(s) = \sum_{\mathfrak{a} \subset \mathcal{O}_K} \frac{1}{(N_{\mathfrak{a}})^s} = \prod_{\mathfrak{p} \subset \mathcal{O}_K \text{ prime}} \left( 1 - \frac{1}{(N_{\mathfrak{p}})^s} \right)^{-1}$$

For  $K$  a function field, e.g.  $K = \mathbb{F}_q(C)$  for  $C/\mathbb{F}_q$  a smooth projective curve:

$$\zeta_K(s) = \prod_{x \in |C|} \left( 1 - \frac{1}{(Nx)^s} \right)^{-1}$$

where  $|C|$  is the set of closed points (i.e. orbit of action of  $\text{Gal}(\bar{\mathbb{F}}_q/\mathbb{F}_q)$ ) on  $C(\bar{\mathbb{F}}_q)$ , and  $Nx = q^{\deg x}$ , where  $\deg x$  is the size of the orbit.

We have that  $\zeta_K(s) = F(q^{-s})$  for  $F \in \mathbb{Q}[[T]]$ , where

$$\begin{aligned} F(T) &= \prod_{x \in |C|} (1 - T^{\deg x})^{-1} \\ \log F(T) &= \sum_{x \in |C|} \sum_{m=1}^{\infty} \frac{1}{m} T^{m \deg x} \\ \frac{d}{dT} \log F(T) &= \sum_{x \in |C|} \sum_{m=1}^{\infty} \deg x T^{m \deg x} \\ &= \sum_{n=1}^{\infty} \left( \sum_{\substack{x \in |C| \\ \deg x | n}} \deg x \right) T^n \\ &= \sum_{n=1}^{\infty} \#C(\mathbb{F}_{q^n}) T^n \\ \implies F(T) &= \exp \left( \sum_{n=1}^{\infty} \frac{\#C(\mathbb{F}_{q^n})}{n} T^n \right) =: Z_C(T) \end{aligned}$$

For  $\phi, \psi \in \text{Hom}(E_1, E_2)$ , we put:

$$\langle \phi, \psi \rangle = \deg(\phi + \psi) - \deg(\phi) - \deg(\psi)$$

We define the *trace map*  $\text{tr} : \text{End}(E) \rightarrow \mathbb{Z}; \psi \mapsto \langle \psi, 1 \rangle$ .

**Lemma 7.3.** If  $\psi \in \text{End}(E)$  then  $\psi^2 - [\text{tr } \psi]\psi + [\deg \psi] = 0$ , where  $[n]$  means the multiplication by  $n$  endomorphism.

*Proof.* Example sheet 2. □

**Definition.** The *zeta function of a variety*  $V/\mathbb{F}_q$  is

$$Z_v(T) = \exp \left( \sum_{n=1}^{\infty} \frac{\#V(\mathbb{F}_{q^n})}{n} T^n \right)$$

**Lemma 7.4.** Let  $E/\mathbb{F}_q$  be an elliptic curve, with  $\#E(\mathbb{F}_q) = q + 1 - a$ . Then

$$Z_E(T) = \frac{1 + aT + qT^2}{(1 - T)(1 - qT)}$$

*Proof.* Let  $\phi : E \rightarrow E$  be the  $q$ -power Frobenius map. By the proof of Hasse's theorem,

$$\#E(\mathbb{F}_q) = \deg(1 - \phi) = q + 1 - \text{tr}(\phi)$$

Then  $\text{tr}(\phi) = a$ ,  $\deg(\phi) = q$ .

Then lemma 7.3 gives  $\phi^2 - a\phi + q = 0$ . Composing with  $\phi^n$  for  $n \geq 0$  gives

$$\begin{aligned} \phi^{n+2} - a\phi^{n+1} + q\phi^n &= 0 \\ \text{tr}(\phi^{n+2}) - a \text{tr}(\phi^{n+1}) + q \text{tr}(\phi^n) &= 0 \end{aligned}$$

This second-order difference equation with initial conditions  $\text{tr}(\phi^0) = \text{tr}(1) = 2$ ,  $\text{tr}(\phi^1) = a$  has solutions

$$\text{tr}(\phi^n) = \alpha^n + \beta^n$$

where  $\alpha, \beta$  are the roots of  $x^2 - ax + q = 0$ .

Hence  $\#E(\mathbb{F}_{q^n}) = \deg(1 - \phi^n) = 1 + \deg(\phi^n) - \text{tr}(\phi^n) = 1 + q^n - \alpha^n - \beta^n$ .

Substituting, we have:

$$Z_E(T) = \exp \left( \sum_{n=1}^{\infty} \frac{T^n}{n} + \frac{(qT)^n}{n} - \frac{(\alpha T)^n}{n} - \frac{(\beta T)^n}{n} \right)$$

Since  $-\log(1 - x) = \sum_{n=1}^{\infty} \frac{x^n}{n}$ , this can be simplified to:

$$\begin{aligned} Z_E(T) &= \frac{(1 - \alpha T)(1 - \beta T)}{(1 - T)(1 - qT)} \\ &= \frac{1 - aT + qT^2}{(1 - T)(1 - qT)} \end{aligned}$$

□

Note that Hasse's theorem gives us  $|a| \leq 2\sqrt{q}$ , and so the discriminant of  $x^2 - aT + q$  is negative, and so  $\alpha = \bar{\beta}$ ,  $|\alpha| = |\beta| = \sqrt{q}$ .

Let  $K = \mathbb{F}_q(E)$ . Then  $\zeta_K(s) = 0 \implies Z_E(q^{-s}) = 0 \implies q^2 = \alpha$  or  $\beta$ , and hence  $\Re(s) = \frac{1}{2}$ .



## 8 Formal Groups

Here,  $R$  will be a ring with  $I \subset R$  an ideal. The  *$I$ -adic topology* on  $R$  is the topology with basis  $\{r + I^n : r \in R, n \geq 1\}$ .

A sequence  $(x_n)$  in  $R$  is *Cauchy* if, for all  $k$  there is some  $N$  with  $x_m - x_n \in I^k$  for all  $m, n \geq k$ .

$R$  is *complete* if

1.  $\bigcap_{n \geq 0} I^n = \{0\}$  and
2. every Cauchy sequence converges.

Note that, if  $x \in I$  then  $\frac{1}{1-x} = 1 + x + x^2 + \dots$ , and the sequence of partial sums is Cauchy, and hence converges. So  $1 - x \in R^\times$ .

For example, we could have:

- $R = \mathbb{Z}_p, I = p\mathbb{Z}_p$
- $R = \mathbb{Z}[[t]], I = (t)$ .

**Lemma 8.1** (Hensel's Lemma). *Let  $R$  be an integral domain, complete with respect to  $I$ . Let  $F \in R[x], s \geq 1$ . Suppose  $a \in R$  satisfies  $F(a) \equiv 0 \pmod{I^s}$ , and  $F'(a) \in R^\times$ .*

*Then there is a unique  $b \in R$  with  $F(b) = 0$  and  $b \equiv a \pmod{I^s}$ .*

*Proof.* Let  $u \in R^\times$  with  $F'(a) \equiv u \pmod{I}$ , e.g.  $u = f'(a)$ .

Replacing  $F(x)$  by  $\frac{F(x+a)}{u}$ , we may assume  $a = 0$  and  $F'(0) \equiv 1 \pmod{I}$ .

We put  $x_0 = 0, x_{n+1} = x_n - F(x_n)$ .

By induction,  $x_n \in I_s$  for all  $n$ .

$F(x) - F(y) = (x - y)(F'(0) + xG(x, y) + yH(x, y))$  for some polynomials  $G, H \in R[x, y]$ .

Now we claim  $x_{n+1} \equiv x_n \pmod{I^{n+s}}$  for all  $n \geq 0$ .

This can be proven by induction on  $n$ : in the case where  $n = 0$ , and  $x_1 \in I^s$ .

Suppose  $x_n \equiv x_{n-1} \pmod{I^{n+s-1}}$ . Then

$$F(x_n) - F(x_{n-1}) = (x_n - x_{n-1})(1 + c)$$

for some  $c \in I$ , and hence

$$F(x_n) - F(x_{n-1}) \equiv x_n - x_{n-1} \pmod{I^{n+s}}$$

Rearranging, we have  $x_{n+1} \equiv x_n \pmod{I^{n+s}}$ , which proves the claim.

Hence  $(x_n)$  is Cauchy, and by completeness converges to some  $b \in R$ . Taking the limit as  $n \rightarrow \infty$ , we have  $b = b - F(b)$ , and so  $F(b) = 0$ , with  $b \in I^s$ .

For uniqueness, we can use the expression for  $F(x) - F(y)$  and the assumption that  $R$  is an integral domain.  $\square$

For example, take  $E : Y^2Z + a_1XYZ + a_3YZ^2 = X^3 + a_2X^2Z + a_4XZ^2 + a_6Z^3$

We pass to the affine piece  $Y \neq 0, t = X/Y, w = -Z/Y$ : Then

$$E : w = t^3 + a_1tw + a_2t^2w + a_3w^2 + a_4tw^2 + a_6w^3 = f(t, w)$$

We can apply Hensel's lemma with  $R = \mathbb{Z}[a_1, \dots, a_6][[t]]$ ,  $I = (t)$ , and  $F(x) = x - f(t, x) \in R[x]$ . Taking  $s = 3, a = 0$ , we have:

$$F(0) = -f(t, 0) = -t^3 \equiv 0 \pmod{I^3} \quad F'(0) = 1 - a_t - a_2t^2 \in R^\times$$

So there is a unique root of  $F$ ,  $w(t) \in \mathbb{Z}[a_1, \dots, a_6][[t]]$  such that  $w(t) = f(t, w(t))$  and  $w(t) \equiv 0 \pmod{t^3}$ .

Following the proof of Hensel's lemma with  $u = 1$  gives  $w(t) = \lim_{n \rightarrow \infty} w_n(t)$  where  $w_0(t) = 0, w_{n+1}(t) = f(t, w_n(t))$ .

In fact, we may write  $w(t) = \sum_{n=2}^{\infty} A_{n-2}t^{n-1}$  with  $A_1 = a_1, A_2 = a_1^2 + a_2, A_3 = a_1^3 + 2a_1a_2 + a_3, \dots$

**Lemma 8.2.** *Let  $R$  be an integral domain, complete with respect to  $I \trianglelefteq R$ , and let  $a_1, \dots, a_6 \in R, K = \text{Frac}(R)$ .*

*Then  $\widehat{E}(I) = \{(t, w) \in E(K) : t, w \in I\} = \{(t, w(t)) \in E(K) : t \in I\}$  is a subgroup of  $E(K)$ .*

*Proof.* The two descriptions of  $\widehat{E}(I)$  agree, since given  $t \in I$  we can solve for a unique  $w \in I$  such that the pair  $(t, w) \in E(K)$ .

Taking  $(t, w) = (0, 0)$  shows that  $O_E \in \widehat{E}(I)$ . So it suffices to show that, if  $P_1, P_2 \in \widehat{E}(I)$ , then  $-P_1 - P_2 \in \widehat{E}(I)$ .

If  $P_1 = (t_1, w_1), P_2 = (t_2, w_2)$  lie on the straight line  $\lambda t + \nu$ , then  $-P_1 - P_2$  is the third point of intersection of this line with  $E$ .

Then  $\lambda = \frac{w(t_2) - w(t_1)}{t_2 - t_1}$  if  $t_1 \neq t_2$ , and  $w'(t_1)$  if  $t_1 = t_2$ .

$P_1, P_2 \in \widehat{E}(I) \implies t_1, t_2 \in I$ .

Thus  $\lambda = \sum_{n=2}^{\infty} A_{n-2}(t_1^n + t_1^{n-1}t_2 + \dots + t_2^n) \in I$ , and  $\nu = w_1 - \lambda t_1 \in I$ .

Substituting  $w = \lambda t + \nu$  into  $w = f(t, w)$  gives  $\lambda t + \nu = t^3 + a_1t(\lambda t + \nu) + a_2t^2(\lambda t + \nu) + a_3(\lambda t + \nu)^2 + a_4t(\lambda t + \nu)^3 + a_6(\lambda t + \nu)^3$ .

Let  $A$  be the coefficient of  $t^3$ , so  $A = 1 + a_2\lambda + a_4\lambda^2 + a_6\lambda^3$ .

Let  $B$  be the coefficient of  $t^2$ , so  $B = a_1\lambda + a_2\nu + a_3\lambda^2 + 2a_4\lambda\nu + 3a_6\lambda^2\nu$ .

Then  $A \in R^\times, B \in I$ , and  $t_3 = -B/A - t_2 - t_2 \in I$ , and  $w_3 = \lambda t_3 + \nu \in I$ .

Hence  $-P_1 - P_2 \in \widehat{E}(I)$ , and so  $\widehat{E}(I)$  is a subgroup. □

Taking  $R = \mathbb{Z}[a_1, \dots, a_6][[t]]$ , and  $I = (t)$ , then the previous lemma tells us there is some power series  $\iota \in \mathbb{Z}[a_1, \dots, a_6][[t]]$  with  $\iota(0) = 0$  such that  $[-1](t, w(t)) = (\iota(t), w(\iota(t)))$

Taking  $R = \mathbb{Z}[a_1, \dots, a_6][[t]]$ , and  $I = (t_1, t_2)$ , then we get that there is some power series  $F \in I$  such that  $(t_1, w(t_1)) + (t_2, w(t_2)) = (F(t_1, t_2), w(F(t_1, t_2)))$ .

In fact, we can compute

$$\begin{aligned}\iota(x) &= -x - a_1x^2 - a_2x^3 - (a_1^3 + a_3)x^4 + \dots \\ F(x, y) &= x + y - a_1xy - a_2(x^2y + xy^2) + \dots\end{aligned}$$

By properties of the group law, we can deduce:

1.  $F(x, y) = F(y, x)$
2.  $F(x, 0) = x, F(0, y) = y$
3.  $F(x, F(y, z)) = F(F(x, y), z)$
4.  $F(x, \iota(x)) = 0$

This then motivates the following definition:

**Definition.** Let  $R$  be a ring. A **formal group** over  $R$  is a power series  $F(x, y) \in R[[x, y]]$  satisfying the properties 1, 2, and 3 above.

**Exercise.** Show that, for any formal group, there is a unique  $\iota(x) \in R[[x]]$  such that  $F(x, \iota(x)) = 0$ .

Examples:

1.  $F(x, y) = x + y$
2.  $F(x, y) = x + y + xy = (1 + x)(1 + y) - 1$
3.  $F$  as above.

We label these formal groups by  $\widehat{\mathbb{G}}_a$ ,  $\widehat{\mathbb{G}}_m$ , and  $\widehat{E}$  respectively.

**Definition.** Let  $\mathcal{F}, \mathcal{G}$  be formal groups over  $R$  given by power series  $F, G$  respectively. Then:

1. A **morphism**  $f : \mathcal{F} \rightarrow \mathcal{G}$  is a power series  $f \in R[[t]]$  such that  $f(0) = 0$  satisfying  $f(F(x, y)) = G(f(x), f(y))$ .
2.  $\mathcal{F} \cong \mathcal{G}$  if there is some morphism  $f : \mathcal{F} \rightarrow \mathcal{G}$ , and  $g : \mathcal{G} \rightarrow \mathcal{F}$  with  $f(g(x)) = g(f(x)) = x$ .

**Theorem 8.3.** If  $\text{char}(R) = 0$ , then any formal group  $\mathcal{F}$  over  $R$  is isomorphic to  $\widehat{\mathbb{G}}_a$  over  $R \otimes \mathbb{Q}$ .

More precisely:

1. There is a unique power series  $\log : T \mapsto T + \frac{a_2}{2}T^2 + \frac{a_3}{3}T^3 + \dots$  with  $a_i \in R$ , such that

$$\log(F(x, y)) = \log(x) + \log(y) \quad (*)$$

2. There is a unique power series  $\exp : T \mapsto T + \frac{b_2}{2!}T^2 + \frac{b_3}{3!}T^3 + \dots$  with  $b_i \in R$  such that

$$\exp(\log(T)) = \log(\exp(T)) = T$$

*Proof.*

1. Notation:  $F_1(x, y) = \frac{\partial F}{\partial x}(x, y)$  (via formal differentiation).

For uniqueness, let  $p(T) = \frac{d}{dT} \log(T) = 1 + a_2T + a_3T^2 + \dots$

Differentiating (\*) with respect to  $x$ , we get:  $p(F(x, y))F_1(x, y) = p(x) + 0$ . Setting  $x = 0$ , we get  $p(y)F_1(0, y) = 1$ , and hence  $p(y) = F_1(0, y)^{-1}$ , and hence  $p$  is uniquely determined, so  $a_2, a_3, \dots$  are uniquely determined. But then  $\log$  is uniquely determined.

For existence, let  $p(T) = F_1(0, T)^{-1} = 1 + a_2T + a_3T^2 + \dots$ , where  $a_i \in R$ .

Integrating up, we let  $\log(T) = T + \frac{a_2}{2}T^2 + \frac{a_3}{3}T^3 + \dots$ . We now check it satisfied (\*).

$$\begin{aligned} F(F(x, y), z) &= F(x, F(y, z)) \\ \frac{\partial}{\partial x} F(F(x, y), z) &= \frac{\partial}{\partial x} F(x, F(y, z)) \\ F_1(F(x, y), z)F_1(x, y) &= F_1(x, F(y, z)) \\ F_1(F(0, y), z)F_1(0, y) &= F_1(0, F(y, z)) \\ F_1(y, z)F_1(0, y) &= F_1(0, F(y, z)) \\ F_1(y, z)p(y)^{-1} &= p(F(y, z))^{-1} \\ F_1(y, z)p(F(y, z)) &= p(y) \\ \log(F(y, z)) &= \log(y) + h(z) \end{aligned}$$

By symmetry between  $y, z$  we see that the constant of integration  $h(z)$  must be  $\log(z)$ .

For the second part, we will need the following lemma, which is a generalisation of the statement:

**Lemma 8.4.** *Let  $f(T) = aT + \dots \in R[[T]]$  with  $a \in R^\times$ . Then there is a unique  $g(T) = a^{-1}T + \dots \in R[[T]]$  such that  $f(g(T)) = g(f(T)) = T$ .*

*Proof.* We construct polynomials  $g_n(T) \in R[T]$  such that  $f(g_n(T)) \equiv T \pmod{T^{n+1}}$  and  $g_{n+1}(T) \equiv g_n(T) \pmod{T^{n+1}}$ . Then we will set  $g(T) = \lim_{n \rightarrow \infty} g_n(T)$ , satisfying  $f(g(T)) = T$ .

This is done inductively. To start with,  $g_1(T) = a^{-1}T$ . Then  $f(g_1(T)) = T + T^2(\dots) \equiv T \pmod{T^2}$ .

Now suppose  $n \geq 1$  and  $g_{n-1}(T)$  exists.

Then  $f(g_{n-1}(T)) \equiv T + bT^n \pmod{T^{n+1}}$ . Let  $g_n(T) = g_{n-1}(T) + \lambda T^n$ , where  $\lambda \in R$  to be chosen later.

Then  $f(g_n(T)) = f(g_{n-1}(T) + \lambda T^n) \equiv f(g_{n-1}(T)) + \lambda aT^n \pmod{T^{n+1}} \equiv T + (b + \lambda a)T^n \pmod{T^{n+1}}$ .

So pick  $\lambda = -ba^{-1}$ .

This gives  $g(T)$  with  $f(g(T)) = T$ .

Applying the same argument, we get  $h(T)$  such that  $g(h(T)) = T$ .

Then  $f(T) = f(g(h(T))) = h(T)$ , and so  $g$  is as required.  $\square$

2. We now only have to show that the  $b_n \in R$  (not just in  $R \otimes \mathbb{Q}$ ). See example sheet 2 for this.  $\square$

Let  $\mathcal{F}$  be a formal group (e.g.  $\widehat{\mathbb{G}}_a, \widehat{\mathbb{G}}_m, \widehat{E}$ ), given by a power series  $F \in R[x, y]$ , and suppose that  $R$  is  $I$ -adically complete. Then for  $x, y \in I$ , put  $x \oplus_{\mathcal{F}} y = F(x, y) \in I$ . Then  $\mathcal{F} = (I, \oplus_{\mathcal{F}})$  is an abelian group.

For example,  $\widehat{\mathbb{G}}_a(I) = (I, +)$ ,  $\widehat{\mathbb{G}}_m(I) = (1 + I, \times)$ , and in 8.2, we saw  $\widehat{E}(I) \leq E(K)$ .

**Corollary 8.5.** Let  $\mathcal{F}$  be a formal group over  $R$ , and  $n \in \mathbb{Z}$ . Suppose  $n \in R^\times$ . Then:

1.  $[n] : \mathcal{F} \rightarrow \mathcal{F}$  is an isomorphism.
2. If  $R$  is complete with respect to  $I$ , then  $\mathcal{F}(I) \xrightarrow{\times n} \mathcal{F}(I)$  is an isomorphism.

In particular,  $\mathcal{F}(I)$  has no  $n$ -torsion.

*Proof.* We have  $[1](T) = T$ ,  $[n](T) = F([n-1]T, T)$  for  $n \geq 2$ . For  $n < 0$ , use  $[-1](T) = \iota(T)$ .

Induction gives us  $[n](T) = nT + \dots$ , and so by 8.4,  $[n]$  is an isomorphism.  $\square$

## 9 Elliptic Curves over Local Fields

Let  $K$  be a field, complete with respect to the discrete valuation  $v : K^\times \rightarrow \mathbb{Z}$ . Then we define the valuation ring, or ring of integers, the set:

$$\mathcal{O}_K = \{x \in K^\times : v(x) \geq 0\} \cup \{0\}$$

Then  $\mathcal{O}_K^\times = \{x \in K^\times : v(x) = 0\}$ . There is a unique maximal ideal  $\pi\mathcal{O}_K$ , where  $v(\pi) = 1$ , and we define the residue field to be  $k = \mathcal{O}_K/\pi\mathcal{O}_K$ .

We assume  $\text{char } K = 0$ ,  $\text{char } k = p$ .

For example, if  $K = \mathbb{Q}_p$ ,  $\mathcal{O}_K = \mathbb{Z}_p$ ,  $\pi = p$ ,  $k = \mathbb{F}_p$ .

Let  $E/K$  be an elliptic curve. Then a Weierstrass equation for  $E$  with coefficients  $a_1, \dots, a_6 \in K$  is *integral* if  $a_i \in \mathcal{O}_K$ , and minimal if  $v(\Delta)$  is minimal among all integral Weierstrass equations for  $E$ .

Putting  $x = u^2x'$ ,  $y = u^3y'$  give  $a_i = u^i a'_i$ . So we can clear denominators, and hence every elliptic curve has an integral Weierstrass equation. Moreover, since  $a_i \in \mathcal{O}_K$ ,  $\Delta \in \mathcal{O}_K$ , and so  $v(\Delta) \geq 0$ , and hence we can pick a minimal Weierstrass equation.

If  $\text{char } k \neq 2, 3$  then there is a minimal Weierstrass equation of the form  $y^2 = x^3 + ax + b$ .

**Lemma 9.1.** Let  $E/K$  have integral Weierstrass equation

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

Let  $0 \neq P = (x, y) \in E(K)$ . Then either  $x, y \in \mathcal{O}_K$  or  $v(x) = -2s$ ,  $v(y) = -3s$  for some  $s \geq 1$ .

Compare this to example sheet 1, question 5.

*Proof.* If  $v(x) \geq 0$ , then consider  $y$ .

If  $v(y) < 0$ , then  $v(\text{LHS}) < 0$ , but  $v(\text{RHS}) \geq 0$ , and hence  $x, y \in \mathcal{O}_K$ .

Now if  $v(x) < 0$ , then  $v(\text{LHS}) \geq \min(2v(y), v(x) + v(y), v(y))$   
 $v(\text{RHS}) = v(x^3) = 3v(x)$ .

Hence  $v(y) < v(x)$ . But then  $v(\text{LHS}) = 2v(y)$ , and hence  $3v(x) = 2v(y)$ .  $\square$

If  $K$  is complete, then  $\mathcal{O}_K$  is complete with respect to the ideal  $\pi^r\mathcal{O}_K$  for any  $r \geq 1$ .

Fix a minimal Weierstrass equation for  $E/K$ , and hence a formal group  $\widehat{E}$  over  $\mathcal{O}_K$ .

Take  $I = \pi^r O_K$  in 8.2, we have

$$\begin{aligned}\widehat{E}(\pi^r O_K) &= \left\{ (x, y) \in E(K) : -\frac{x}{y}, -\frac{1}{y} \in \pi^r O_K \right\} \cup \{0\} \\ &= \left\{ (x, y) \in E(K) : v\left(\frac{x}{y}\right) \geq r \text{ \& } v\left(\frac{1}{y}\right) \geq r \right\} \cup \{0\} \\ &= \{(x, y) \in E(K) : v(x) = -2s, v(y) = -3s, s \geq r\} \cup \{0\} \\ &= \{(x, y) \in E(K) : v(x) \leq -2r, v(y) \leq -3r\} \cup \{0\}\end{aligned}$$

By 8.2, this is a subgroup of  $E(K)$ , say  $E_r(K)$ . We have a chain

$$\dots \subset E_3(K) \subset E_2(K) \subset E_1(K)$$

More generally, for  $\mathcal{F}$  a formal group over  $O_K$ , we get

$$\dots \subset \mathcal{F}(\pi^3 O_K) \subset \mathcal{F}(\pi^2 O_K) \subset \mathcal{F}(\pi O_K)$$

We will show that  $\mathcal{F}(\pi^r O_K) \cong (O_K, +)$  for  $r$  sufficiently large, and  $\mathcal{F}(\pi^r O_K)/\mathcal{F}(\pi^{r+1} O_K) \cong (k, +)$ .

**Theorem 9.2.** *Let  $\mathcal{F}$  be a formal group over  $O_K$ , and let  $e = v(p)$ . If  $r > \frac{e}{p-1}$ , then:*

$$\mathcal{F}(\pi^r O_K) \cong \widehat{\mathbb{G}}_a(\pi^r O_K)$$

via the log map, with inverse given by exp.

Note that  $\widehat{\mathbb{G}}_a(\pi^r O_K) = (\pi^r O_K, +) \cong (O_K, +)$ .

*Proof.* For  $x \in \pi^r O_K$ , we must check that the power series exp, log converge.

Recall  $\exp(T) = T + \frac{b_2}{2!}T^2 + \frac{b_3}{3!}T^3 + \dots$ , where  $b_i \in O_K$ .

Claim:  $v_p(n!) \leq \frac{n-1}{p-1}$ .

To see this:  $v_p(n!) = \sum_{r=1}^{\infty} \lfloor \frac{n}{p^r} \rfloor < \sum_{r=1}^{\infty} \frac{n}{p^r} = \frac{n}{p-1}$ .

So  $(p-1)v_p(n!) < n$ , and as both are integers,  $(p-1)v_p(n!) \leq n-1$ .

Now  $v\left(\frac{b_n x^n}{n!}\right) \geq nr - e \frac{n-1}{p-1} = (n-1)\left(r - \frac{e}{p-1}\right) + r$

This is always  $\geq r$  as  $r > \frac{e}{p-1}$ , and goes to infinity as  $n \rightarrow \infty$ .

Hence  $\exp(x)$  converges, and belongs to  $\pi^r O_K$ . A similar argument applies for log.  $\square$

**Lemma 9.3.** *We have  $\frac{\mathcal{F}(\pi^r O_K)}{\mathcal{F}(\pi^{r+1} O_K)} \cong (k, +)$  for all  $r \geq 1$ .*

*Proof.* By definition of a formal group,  $F(x, y) = x + y + xy(\dots)$ . So if  $x, y \in O_K$ , then:

$$F(\pi^r x, \pi^r y) = \pi^r(x + y) + \pi^{2r}(xy)(\dots) \equiv \pi^r(x + y) \pmod{\pi^{r+1}}$$

So  $\mathcal{F}(\pi^r O_K) \rightarrow (k, +); (\pi^r x) \mapsto (x \pmod{\pi})$  is a surjective group homomorphism, with kernel  $\mathcal{F}(\pi^{r+1} O_K)$ , and so apply the first isomorphism theorem.  $\square$

So we have a filtration:

$$(O_K, +) \cong \mathcal{F}(\pi^r O_K) \supseteq \dots \supseteq \mathcal{F}(\pi^2 O_K) \supseteq \mathcal{F}(\pi O_K)$$

where we have equality on the left is  $r > \frac{e}{p-1}$ , and each quotient is  $(k, +)$ .

**Corollary.** If  $|k| < \infty$ , then  $\mathcal{F}(\pi O_K)$  has a subgroup of finite index isomorphic to  $O_K$  under addition.

As a point of notation, when we have the map  $O_K \rightarrow O_K/\pi O_K$ , we write  $x \mapsto \tilde{x}$ , and call this reduction mod  $\pi$ .

**Proposition 9.4.** Let  $E/K$  be an elliptic curve. The reduction mod  $\pi$  of any two minimal Weierstrass equations for  $E$  define isomorphic curves over  $k$ .

*Proof.* Say the Weierstrass equations are related by  $[u; r, s, t]; u \in K^\times; r, s, t \in K$ .

Then  $\Delta_1 = u^{12} \Delta_2$ . Both equations are minimal, so  $v(\Delta_1) = v(\Delta_2)$ , and hence  $v(u) = 0, u \in O_K^\times$ .

Transformation formulae for  $a_i$  and  $b_i$ , together with the fact that the valuation ring is integrally closed, give that  $r, s, t \in O_K$ . The Weierstrass equations for the reduction mod  $\pi$  are related by  $[\tilde{u}; \tilde{r}, \tilde{s}, \tilde{t}]$ .  $\square$

**Definition.** The reduction  $\tilde{E}/k$  of  $E/K$  is defined by the reduction of a minimal Weierstrass equation, and hence is well-defined up to isomorphism by the previous proposition.

We say  $E$  has *good reduction* if  $\tilde{E}$  is non-singular, i.e. is an elliptic curve. Otherwise, it is *bad*.

For an integral Weierstrass equation,  $v(\Delta) = 0 \implies$  good reduction.

If  $0 < v(\Delta) < 12$ , then we must have a minimal Weierstrass equation, and we get bad reduction.

If  $v(\Delta) \geq 12$ , beware that the equation might not be minimal.

There is a well defined map from  $\mathbb{P}^2(K) \rightarrow \mathbb{P}^2(k); (x : y : z) \mapsto (\tilde{x} : \tilde{y} : \tilde{z})$ , when we choose representatives of  $(x : y : z)$  with  $\min(v(x), v(y), v(z)) = 0$ .

We restrict this map to give a map  $E(K) \rightarrow \tilde{E}(k); P \rightarrow \tilde{P}$ . If  $P = (x, y) \in E(K)$ , then by 9.1, either  $x, y \in O_K$  or  $v(x) = -2s, v(y) = -3s$ . In the first case  $\tilde{P} = (\tilde{x}, \tilde{y})$ . In the second, we write  $P = (\pi^{3s}x : \pi^{3s}y : \pi^{3s})$ , so  $\tilde{P} = (0 : 1 : 0)$ .

Therefore  $E_1(K) = \hat{E}(\pi O_K) = \{P \in E(K) : \tilde{P} = 0\}$ , and we call it the *kernel of reduction*.

$$\text{Let } \tilde{E}_{ns} = \begin{cases} \tilde{E} & \text{if } E \text{ has good reduction} \\ \tilde{E} \setminus \{p\} & \text{if } \tilde{E} \text{ has a singular point } p \end{cases}$$

The chord and tangent process still defines a group law on  $\tilde{E}_{ns}$ . In cases of bad reduction, we get  $\tilde{E}_{ns} \cong \mathbb{G}_a$  or  $\mathbb{G}_m$  over  $k$ , or possibly only over a quadratic extension of  $k$ . We call these cases additive and multiplicative reduction.

For simplicity, suppose  $\text{char}(k) \neq 2$ . Then  $\tilde{E} : y^2 = f(x)$  for  $f$  monic cubic. Then  $\tilde{E}$  singular  $\iff f$  has a repeated root. The cases of double root, triple root correspond to multiplicative, additive reduction respectively.

For multiplicative case, see example sheet 3. Here, we'll illustrate the additive case. We have a triple root, so take  $y^2 = x^3$ . Then we have an isomorphism

$$\begin{aligned}\widetilde{E}_{ns} &\rightarrow \mathbb{G}_a \\ (x, y) &\mapsto \frac{x}{y} \\ (t^{-2}, t^{-3}) &\mapsto t \\ \infty &\mapsto 0\end{aligned}$$

Let  $P_1, P_2, P_3$  lie on the line  $ax + by = 1$ . Write  $P_i = (x_i, y_i)$ ,  $t_i = \frac{x_i}{y_i}$ . Then  $x_i^3 = y_i^2 = y_i^2(ax_i + by_i)$ , and so  $t_1, t_2, t_3$  are the roots of  $X^3 - aX - b = 0$ . Looking at the coefficient of  $X^2$ , we have  $t_1 + t_2 + t_3 = 0$ .

**Definition.**  $E_0(K) := \{P \in E(K) : \widetilde{P} \in \widetilde{E}_{ns}(k)\}$ .

**Proposition 9.5.**  $E_0(K)$  is a subgroup of  $E(K)$ , and reduction mod  $\pi$  is a surjective group homomorphism from  $E_0(K) \rightarrow \widetilde{E}_{ns}(k)$ .

*Proof.* For the group homomorphism part, a line  $\ell$  in  $\mathbb{P}^2$  defined over  $K$  has equation

$$\ell : aX + bY + cZ = 0 \quad a, b, c \in K$$

We may assume  $\min(v(a), v(b), v(c)) = 0$ . Reduction mod  $\pi$  gives the line  $\widetilde{\ell}$  with equation

$$\widetilde{\ell} : \widetilde{a}X + \widetilde{b}Y + \widetilde{c}Z = 0$$

If  $P_1, P_2, P_3 \in E(K)$  with  $P_1 + P_2 + P_3 = 0$ , then these points lie on a line  $\ell$ , and then  $\widetilde{P}_1, \widetilde{P}_2, \widetilde{P}_3 \in \widetilde{E}(k)$  lie on the line  $\widetilde{\ell}$ .

If  $\widetilde{P}_1, \widetilde{P}_2 \in \widetilde{E}_{ns}(k)$ , then  $\widetilde{P}_3 \in \widetilde{E}_{ns}(k)$ , and if  $P_1, P_2 \in E_0(K)$ , then  $P_3 \in E_0(K)$ , and  $\widetilde{P}_1 + \widetilde{P}_2 + \widetilde{P}_3 = 0$ .

As an exercise, check this still works if the points are not all distinct.

For surjectivity, let  $f(x, y) = y^2 + a_1xy + a_3y - (x^3 + \dots)$ . Let  $\widetilde{P} \in \widetilde{E}_{ns}(k) \setminus \{0\}$ , say  $(\widetilde{x}_0, \widetilde{y}_0)$  for some  $x_0, y_0$  in  $\mathcal{O}_K$ .

Since  $\widetilde{P}$  is non-singular, either

$$\begin{aligned}\text{(i)} \quad & \frac{\partial f}{\partial x}(x_0, y_0) \not\equiv 0 \pmod{\pi} \\ \text{(ii)} \quad & \frac{\partial f}{\partial y}(x_0, y_0) \not\equiv 0 \pmod{\pi}\end{aligned}$$

If (i), we put  $g(t) = f(t, y_0) \in \mathcal{O}_K[t]$ . Then  $g(x_0) \equiv 0 \pmod{\pi}$ ,  $g'(x_0) \in \mathcal{O}_K^\times$ . Then Hensel's lemma tells us there is some  $b \in \mathcal{O}_K$  with  $g(b) = 0, b \equiv x_0 \pmod{\pi}$ .

Then  $P = (b, y_0) \in E(K)$  has reduction  $\widetilde{P}$ .

Case (ii) is similar. □

Recall for  $r \geq 1$ , we have  $E_r(K) = \{(x, y) \in E(K) : v(x) \leq -2r, v(y) \leq -3r\} \cup \{0\}$ . Then:

$$\mathcal{O}_K \cong E_{\lceil e/(p-1) \rceil}(K) \supset \dots \supset E_2(K) \supset E_1(K) \cong \widehat{E}(\pi\mathcal{O}_K) \subset E_0(K) \subset E(K)$$



We know the quotients  $E_i(K)/E_{i+1}(K) \cong (k, +)$  for  $i \geq 1$ . The above gives  $E_0(K)/E_1(K) \cong \widetilde{E}_{ns}(k)$ . The only quotient left to understand is  $E(K)/E_0(K)$ .

**Lemma 9.6.** *If  $|k| < \infty$ , then  $E_0(K) \subset E(K)$  has finite index.*

*Proof.* A compactness argument - see below.  $\square$

**Theorem 9.7.** *If  $[K : \mathbb{Q}_p] < \infty$ , then  $E(K)$  contains a subgroup of finite index, isomorphic as a group to  $(\mathcal{O}_K, +)$ .*

*Proof.*  $|k| < \infty$ , so this follows from the above.  $\square$

**Lemma 9.8.** *If  $|k| < \infty$ , then  $\mathbb{P}^n(K)$  is compact with respect to the  $\pi$ -adic topology.*

*Proof.*  $|k| < \infty$ , so  $\mathcal{O}_K/\pi^r \mathcal{O}_K$  is also finite for  $r \geq 1$ . Hence

$$\mathcal{O}_K \cong \varprojlim_r \mathcal{O}_K/\pi^r \mathcal{O}_K$$

is compact.

$\mathbb{P}^n(K)$  is the union of compact sets of the form

$$\{(a_0 : a_1 : \dots : a_{i-1} : 1 : a_{i+1} : \dots : a_n) : a_j \in \mathcal{O}_K\}$$

and hence is compact.  $\square$

*Proof of 9.6.*  $E(K) \subset \mathbb{P}^2(K)$  is a closed subset, so  $(E(K), +)$  is a compact topological group.

If  $\widetilde{E}$  has a singular point  $(\widetilde{x}_0, \widetilde{y}_0)$  then  $E(K) \setminus E_0(K) = \{(x, y) \in E(K) : v(x - x_0) \geq 1, v(y - y_0) \geq 1\}$ , is a closed subset of  $E(K)$ , and so  $E_0(K)$  is an open subgroup of  $E(K)$ , so any coset is also open.

The cosets of  $E_0(K)$  form an open cover of  $E(K)$ , hence have a finite subcover, and so there are only finitely many cosets.

Hence  $[E(K) : E_0(K)] < \infty$ .  $\square$

We call this index  $c_K(E)$ , the *Tamagawa number*.

**Remarks.**

1. Good reduction  $\implies c_K(E) = 1$ , but the converse is false.
2. It can be shown that either  $c_K(E) = v(\Delta)$  or  $c_K(E) \leq 4$ , as long as we work with a minimal Weierstrass equation.

Let  $[K : \mathbb{Q}_p]$  be finite, and  $L/K$  finite, with residue fields  $k', k$  (corresponding to  $L, K$  respectively), with  $f = [k' : k]$  and ramification index  $e$ . From local fields, we know  $[L : K] = ef$ .

If  $L/K$  is Galois then there is a natural group homomorphism  $\text{Gal}(L/K) \rightarrow \text{Gal}(k'/k)$ , and this map is surjective, with kernel of order  $e$ . We say the extension is *unramified* if  $e = 1$ , so if these Galois groups are isomorphic.

For each  $m \geq 1$ ,  $k$  has a unique extension of degree  $m$ , called  $k_m$  (not standard notation).  $K$  has a unique unramified extension of degree  $m$ , called  $K_m$ . Note that then the residue field of  $K_m$  is  $k_m$ . These extensions are Galois with cyclic Galois group.

We then define  $K^{nr} = \bigcup_{m \geq 1} K_m$  inside  $\bar{K}$ , the maximal unramified extension.

**Theorem 9.9.** Suppose  $[K : \mathbb{Q}_p] < \infty$ , and  $E/K$  has good reduction and  $p \nmid n$ . If  $P \in E(K)$  then  $K([n]^{-1}P)/K$  is unramified.

Notation:  $[n]^{-1}P = \{Q \in E(\bar{K}) : nQ = P\}$ , and  $K(P_1, \dots, P_r) = K(x_1, \dots, x_r, y_1, \dots, y_r)$ ,  $P_i = (x_i, y_i)$ .

*Proof.* For each  $m \geq 1$ , there is a short exact sequence  $0 \rightarrow E_1(K_m) \rightarrow E(K_m) \rightarrow \tilde{E}(k_m) \rightarrow 0$ .

Taking union over all  $m$  gives a commutative diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & E_1(K^{nr}) & \longrightarrow & E(K^{nr}) & \longrightarrow & \tilde{E}(\bar{k}) \longrightarrow 0 \\ & & \downarrow \times n & & \downarrow \times n & & \downarrow \times n \\ 0 & \longrightarrow & E_1(K^{nr}) & \longrightarrow & E(K^{nr}) & \longrightarrow & \tilde{E}(\bar{k}) \longrightarrow 0 \end{array}$$

The first vertical arrow is an isomorphism by 8.5, as  $n \in \mathcal{O}_K^\times$ .

The last vertical arrow is surjective by 2.8, with kernel  $(\mathbb{Z}/n\mathbb{Z})^2$  by 6.5, as  $p \nmid n$ .

The snake lemma tells us  $E(K^{nr})[n] \cong (\mathbb{Z}/n\mathbb{Z})^2$ ,  $E(K^{nr})/nE(K^{nr}) = 0$ .

So if  $P \in E(K)$ , then multiplication by  $n$  is surjective, and there is  $Q$  in  $E(K^{nr})$  with  $nQ = P$ , and  $[n]^{-1}P = \{Q + T : T \in E[n]\} \subset E(K^{nr})$ .

So  $K([n]^{-1}P) \subset K^{nr}$ , and  $K([n]^{-1}P)/K$  is unramified.  $\square$

**Corollary 9.10.** Let  $E/K$  be an elliptic curve with  $[K : \mathbb{Q}_p] < \infty$ . Then  $E(K)_{\text{tors}}$  is finite.

*Proof.* In 9.7 we saw that  $E(K)$  has a subgroup  $E_r(K)$  of finite index isomorphic to  $(\mathcal{O}_K, +)$ . Since  $E_r(K)$  is torsion free,  $E(K)_{\text{tors}} \hookrightarrow E(K)/E_r(K)$ , an injection into a finite group.  $\square$

## 10 Elliptic Curves over Number Fields

### 10.1 The Torsion Subgroup

Let  $[K : \mathbb{Q}] < \infty$  and  $E/K$  an elliptic curve.

Let  $\mathfrak{p}$  be a prime of  $K$  (i.e. a prime ideal in  $\mathcal{O}_K$ ). We write  $K_{\mathfrak{p}}$  for the  $\mathfrak{p}$ -adic completion of  $K$ , and  $k_{\mathfrak{p}}$  for  $\mathcal{O}_K/\mathfrak{p}$ . Note that, upon taking completions, the residue field doesn't change.

**Definition.**  $\mathfrak{p}$  is a prime of good reduction for  $E/K$  if  $E/K_{\mathfrak{p}}$  has good reduction.

**Lemma 10.1.**  $E/K$  has only finitely many primes of bad reduction.

*Proof.* Take any Weierstrass equation for  $E$ , with coefficients in  $\mathcal{O}_K$ .  $E$  is non-singular, so  $0 \neq \Delta \in \mathcal{O}_K$ . We can thus write  $\Delta = \mathfrak{p}_1^{\alpha_1} \dots \mathfrak{p}_r^{\alpha_r}$  as a unique factorisation into prime ideals, and let  $S = \{\mathfrak{p}_i\}$  in this factorisation.

If  $\mathfrak{p} \notin S$ , then  $v_{\mathfrak{p}}(\Delta) = 0$ , so  $E/K_{\mathfrak{p}}$  has good reduction.

Hence the set of bad primes for  $E$  is a subset of  $S$ , which is finite.  $\square$

Note that we'd like to say that  $S$  is the set of bad primes. If  $K$  has class number 1, e.g.  $K = \mathbb{Q}$ , then we can always find Weierstrass equation for  $E$  with the coefficients in  $\mathcal{O}_K$  minimal at all primes  $p$ , and then  $S$  will be all the bad primes.

**Lemma 10.2.**  $E(K)_{\text{tors}}$  is finite.

*Proof.* Take any prime  $p$  and complete at  $p$ . Then  $K \subseteq K_p$ , so  $E(K)_{\text{tors}} \subseteq E(K_p)_{\text{tors}}$  is finite by 9.10.  $\square$

**Lemma 10.3.** Let  $p$  be a prime of good reduction, with  $p \nmid n$ . Then reduction mod  $p$  gives an injective group homomorphism

$$E(K)[n] \hookrightarrow \tilde{E}(k_p)[n]$$

*Proof.* 9.5 tells us that  $E(K_p) \rightarrow \tilde{E}(k_p)$  is a group homomorphism. Hence it takes  $n$ -torsion points to  $n$ -torsion points, as needed. It has kernel  $E_1(K_p)$ . Since  $p \nmid n$ , 8.5 tells us  $E_1(K_p)$  has no  $n$ -torsion, and so the map is injective.  $\square$

**Examples.**

1.  $E/\mathbb{Q} : y^2 + y = x^3 - x^2, \Delta = -11$ .  $E$  has good reduction at all primes  $p \neq 11$ .

$p$	2	3	5	7	11	13
$\# \tilde{E}(\mathbb{F}_p)$	5	5	5	10	-	10

By 10.3 looking at  $p = 2$ ,  $\#E(\mathbb{Q})_{\text{tors}} | 5 \cdot 2^a$  for some  $a \geq 0$ .

Looking at  $p = 3$ ,  $\#E(\mathbb{Q})_{\text{tors}} | 5 \cdot 3^b$  for some  $b \geq 0$ .

Hence  $\#E(\mathbb{Q})_{\text{tors}} | 5$ , so is 1 or 5.

Let  $T = (0, 0) \in E(\mathbb{Q})$ . Calculation gives that  $5T = O_E$ , and so  $E(\mathbb{Q})_{\text{tors}} \cong \mathbb{Z}/5\mathbb{Z}$ .

2.  $E/\mathbb{Q} : y^2 + y = x^3 + x^2, \Delta = -43$ .  $E$  has good reduction at all primes  $p \neq 43$ .

$p$	2	3	5	7	11	13
$\# \tilde{E}(\mathbb{F}_p)$	5	6	10	8	9	19

So  $\#E(\mathbb{Q})_{\text{tors}} | 5 \cdot 2^a$ , some  $a \geq 0$ , and  $\#E(\mathbb{Q})_{\text{tors}} | 9 \cdot 11^b$ , some  $b \geq 0$ .

So  $\#E(\mathbb{Q})_{\text{tors}} = 1$ , and  $E(\mathbb{Q})_{\text{tors}} = \{O_E\}$ .

Now, since  $P = (0, 0) \in E(\mathbb{Q})$ , it has infinite order, and hence infinitely many rational points on  $E(\mathbb{Q})$ . This is an example where  $\text{rank } E(\mathbb{Q}) \geq 1$ .

3.  $E_D : y^2 = x^3 - D^2x$  for  $D \in \mathbb{Z}$  a squarefree integer. Then  $\Delta = 2^6 D^6$ .

$$E_D(\mathbb{Q})_{\text{tors}} \supset \{0, (0, 0), (\pm D, 0)\} \cong (\mathbb{Z}/2\mathbb{Z})^2.$$

Let  $f(x) = x^3 - D^2x$ . Then if  $p$  is prime not dividing  $2D$ , then it is a prime of good reduction.

$$\# \tilde{E}(\mathbb{F}_p) = 1 + \sum_{x \in \mathbb{F}_p} \left( \left( \frac{f(x)}{p} \right) + 1 \right), \text{ where } \left( \frac{f(x)}{p} \right) \text{ is the Legendre symbol.}$$

If  $p \equiv 3 \pmod{4}$ , then since  $f(x)$  is an odd function:

$$\left( \frac{f(-x)}{p} \right) = \left( \frac{-f(x)}{p} \right) = \left( \frac{-1}{p} \right) \left( \frac{f(x)}{p} \right) = - \left( \frac{f(x)}{p} \right)$$

and so  $\#E_D(\mathbb{F}_p) = p + 1$ .

Let  $m = \#E(\mathbb{Q})_{\text{tors}}$ . We have  $4|m|p + 1$  for all sufficiently large primes  $p$  congruent to 3 mod 4, and hence  $m = 4$ , since otherwise this contradicts Dirichlet's theorem on primes in arithmetic progression.

Hence  $E_D(\mathbb{Q})_{\text{tors}} \cong (\mathbb{Z}/2\mathbb{Z})^2$ . So  $\text{rank } E_D(\mathbb{Q}) \geq 1$  if and only if there are  $x, y \in \mathbb{Q}$  with  $y \neq 0$  such that  $y^2 = x^3 - Dx$ , which by the first lecture is equivalent to  $D$  being a congruent number.

**Lemma 10.4.** *Let  $E/\mathbb{Q}$  be given by a Weierstrass equation with coefficients in  $\mathbb{Z}$ . Let  $0 \neq T \in E(\mathbb{Q})_{\text{tors}}$ . Then:*

1.  $4x, 8y \in \mathbb{Z}$ .
2. If  $2|a_1$  or  $2T \neq O_E$ , then  $x, y \in \mathbb{Z}$ .

*Proof.* The Weierstrass equation defines a formal group  $\widehat{E}$  over  $\mathbb{Z}$ . For  $r \geq 1$ , we have  $\widehat{E}(p^r\mathbb{Z}_p) = \{(x, y) \in E(\mathbb{Q}_p) : v_p(x) \leq -2r, v_p(y) \leq -3r\} \cup \{0\}$ .

9.2 gives  $\widehat{E}(p^r\mathbb{Z}_p) \cong (\mathbb{Z}_p, +)$  if  $r > \frac{1}{p-1}$ , and hence  $\widehat{E}(4\mathbb{Z}_2)$  and  $\widehat{E}(p\mathbb{Z}_p)$  are torsion free.

Since  $T$  is a nonzero torsion point, it follows that  $v_p(x), v_p(y) \geq 0$  for all odd primes  $p$ , and  $v_2(x) \geq -2, v_2(y) \geq -3$ . This proves part 1.

For the second part, suppose that  $T \in \widehat{E}(2\mathbb{Z}_2)$ , i.e.  $v_2(x) = -2, v_2(y) = -3$ .

Since  $\frac{\widehat{E}(2\mathbb{Z}_2)}{\widehat{E}(4\mathbb{Z}_2)} \cong (\mathbb{F}_2, +)$  and  $\widehat{E}(4\mathbb{Z}_2)$  is torsion free, we get  $2T = 0$ . Also,  $(x, y) = T = -T = (x, -y - a_1x - a_3)$ , and hence  $2y + a_1x + a_3 = 0, 8y + 4xa_1 + 4a_3 = 0$ .

$8y$  is odd,  $4x$  is odd,  $4a_3$  is even, and hence  $a_1$  is odd.

So if  $2T \neq 0$  or  $a_1$  is even, then  $T \notin \widehat{E}(2\mathbb{Z}_2)$ , so  $x, y \in \mathbb{Z}$ . □

For example, if  $y^2 + xy = x^3 + 4x + 1$ , then  $(-\frac{1}{4}, \frac{1}{8}) \in E(\mathbb{Q})[2]$ .

**Theorem 10.5** (Lutz-Nagell). *Let  $E/\mathbb{Q}$  be given by  $y^2 = x^3 + ax + b$ , for  $a, b \in \mathbb{Z}$ .*

*Suppose  $0 \neq T = (x, y) \in E(\mathbb{Q})_{\text{tors}}$ . Then  $x, y \in \mathbb{Z}$ , and either  $y = 0$  or  $y^2 | 4a^3 + 27b^2$ .*

Note that this is not an if and only if - we still have to check the answers we get.

*Proof.* 10.4 gave us  $x, y \in \mathbb{Z}$ . If  $2T = 0$ , then  $y = 0$ .

Otherwise,  $0 \neq 2T = (x_2, y_2) \in E(\mathbb{Q})_{\text{tors}}$ , and so 10.4 gives  $x_2, y_2 \in \mathbb{Z}$ .

But  $x_2 = \left(\frac{f'(x)}{2y}\right)^2 - 2x$ , and so  $y | f'(x)$ .

$E$  non-singular, so  $f(x)$  and  $f'(x)$  are coprime, and so  $f(x)$  and  $(f'(x))^2$  are coprime, hence  $1 = g(x)f(x) + h(x)(f'(x))^2$  for some  $g, h \in \mathbb{Q}[x]$ .

Doing this calculation and clearing denominators, we get

$$(3x^2 + 4a)f'(x)^2 - 27(x^3 + ax - b)f(x) = 4a^3 + 27b^2$$

Since  $y | f'(x)$ ,  $y^2 = f(x)$ , so  $y^2$  divides LHS, hence  $y^2 | 4a^3 + 27b^2$ . □

Mazur showed that, if  $E/\mathbb{Q}$  is an elliptic curve, then  $E(\mathbb{Q})_{\text{tors}} \cong \begin{cases} \mathbb{Z}/n\mathbb{Z} & 1 \leq n \leq 12, n \neq 11 \\ \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2n\mathbb{Z} & 1 \leq n \leq 4 \end{cases}$ .

Moreover, all 15 possibilities occur.

## 11 Kummer Theory

$K$  is a field,  $\text{char } K \nmid n$ , and  $\mu_n \subset K$ , where  $\mu_n$  is the set of  $n^{\text{th}}$  roots of unity.

**Lemma 11.1.** *Let  $\Delta \subset K^\times/(K^\times)^n$  be a finite subgroup, and let  $L = K(\sqrt[n]{\Delta})$ . Then  $L/K$  is Galois, and  $\text{Gal}(L/K) \cong \text{Hom}(\Delta, \mu_n)$ .*

*Proof.*  $L/K$  is Galois since  $\mu_n \subset K$ , and  $\text{char } K \nmid n$ .

Define the Kummer pairing

$$\begin{aligned} \langle \cdot, \cdot \rangle : \text{Gal}(L/K) \times \Delta &\rightarrow \mu_n \\ (\sigma, x) &\mapsto \frac{\sigma(\sqrt[n]{x})}{\sqrt[n]{x}} \end{aligned}$$

It is well defined: suppose  $\alpha, \beta \in L$  are two different choices of  $\sqrt[n]{x}$ . Then  $(\alpha/\beta)^n = 1$ , so  $\alpha/\beta \in \mu_n \subset K$ , so  $\sigma(\alpha/\beta) = \alpha/\beta$ . Hence  $\sigma(\alpha)/\alpha = \sigma(\beta)/\beta$ .

It is bilinear:  $\langle \sigma\tau, x \rangle = \frac{\sigma\tau(\sqrt[n]{x})}{\tau(\sqrt[n]{x})} = \langle \sigma, x \rangle \langle \tau, x \rangle$ , as  $\tau(\sqrt[n]{x})$  is another choice of  $\sqrt[n]{x}$ , and

$$\langle \sigma, xy \rangle = \frac{\sigma(\sqrt[n]{xy})}{\sqrt[n]{xy}} = \frac{\sigma(\sqrt[n]{x})}{\sqrt[n]{x}} \frac{\sigma(\sqrt[n]{y})}{\sqrt[n]{y}} = \langle \sigma, x \rangle \langle \sigma, y \rangle.$$

It is non-degenerate: Let  $\sigma \in \text{Gal}(L/K)$ . If  $\langle \sigma, x \rangle = 1$  for all  $x \in \Delta$ , then  $\sigma(\sqrt[n]{x}) = \sqrt[n]{x}$  for all  $x \in \Delta$ , and so  $\sigma$  fixes  $L$  pointwise. Hence  $\sigma = \text{id}$ . Now fix  $x \in \Delta$ , and suppose  $\langle \sigma, x \rangle = 1$  for all  $\sigma \in \text{Gal}(L/K)$ . Then  $\sigma(\sqrt[n]{x}) = \sqrt[n]{x}$  for all  $\sigma \in \text{Gal}(L/K)$ , and hence  $\sqrt[n]{x} \in K$ , and so  $x \in (K^\times)^n$ , i.e.  $x(K^\times)^n$  is trivial in  $\Delta$ .

We thus get injective group homomorphisms  $\text{Gal}(L/K) \hookrightarrow \text{Hom}(\Delta, \mu_n)$ ,  $\Delta \hookrightarrow \text{Hom}(\text{Gal}(L/K), \mu_n)$ .

Hence  $\text{Gal}(L/K)$  is abelian of exponent dividing  $n$ .

If  $G$  is a finite abelian group of exponent dividing  $n$ , then  $\text{Hom}(G, \mu_n) = G$  (non-canonically).

So  $|\text{Gal}(L/K)| \leq |\Delta| \leq |\text{Gal}(L/K)|$ , and so  $|\Delta| = |\text{Gal}(L/K)|$ , and hence the injective homomorphisms are surjective as well, so isomorphisms.  $\square$

For example  $\text{Gal}(\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5})/\mathbb{Q}) \cong (\mathbb{Z}/2\mathbb{Z})^3$ .

**Theorem 11.2.** *There is a bijection*

$$\begin{aligned} \{\text{finite subgroups } \Delta \subseteq K^\times/(K^\times)^n\} &\leftrightarrow \{\text{finite abelian extensions } L/K \text{ of exponent dividing } n\} \\ \Delta &\mapsto K(\sqrt[n]{\Delta}) \\ \frac{(L^\times)^n \cap K^\times}{(K^\times)^n} &\hookleftarrow L \end{aligned}$$

*Proof.* Let  $L/K$  be a finite abelian extension of exponent dividing  $n$ . Let  $\Delta = \frac{(L^\times)^n \cap K^\times}{(K^\times)^n}$ . Then  $K(\sqrt[n]{\Delta}) \subset L$  and we aim to show equality.

Let  $G = \text{Gal}(L/K)$ .

The Kummer pairing gives an injection  $\Delta \hookrightarrow \text{Hom}(G, \mu_n)$ . We claim this is a surjection.

Given the claim, we will then have  $\Delta \cong \text{Hom}(G, \mu_n)$ , so  $[K(\sqrt[n]{\Delta}) : K] = |\Delta|$  by **11.1**  $= |G| = [L : K]$ , and hence we have the equality.

To prove the claim, let  $\chi : G \rightarrow \mu_n$  be a member of  $\text{Hom}(G, \mu_n)$ . Distinct automorphisms are linearly independent. Then

$$\exists a \in L \text{ s.t. } \underbrace{\sum_{\tau \in G} \chi(\tau)^{-1} \tau(a)}_y \neq 0$$

Let  $\sigma \in G$ . Then

$$\begin{aligned} \sigma(y) &= \sum_{\tau \in G} \chi(\tau)^{-1} \sigma \tau(a) \\ &= \sum_{\tau \in G} \chi(\sigma^{-1} \tau)^{-1} \tau(a) \\ &= \chi(\sigma) y \end{aligned}$$

So  $\sigma(y^n) = y^n$  for all  $\sigma \in G$ . Then if  $x := y^n$ , we have  $x \in K^\times$ , and  $x \in (L^\times)^n$ .

So  $x \in \Delta$ , and  $\chi(\sigma) = \frac{\sigma(\sqrt[n]{x})}{\sqrt[n]{x}}$ , and so  $\chi$  is the image of  $x$  under the injection, and hence it is a surjection.

For the other direction, we start with  $\Delta \subset K^\times / (K^\times)^n$  a finite subgroup. Let  $L = K(\sqrt[n]{\Delta})$ , and  $\Delta' = \frac{(L^\times)^n \cap K^\times}{(K^\times)^n}$ , and we must show that  $\Delta' = \Delta$ .

Clearly  $\Delta \subseteq \Delta'$ . We then compute sizes.

$L = K(\sqrt[n]{\Delta}) \subset K(\sqrt[n]{\Delta'}) \subset L$ , and we have equality throughout. So  $K(\sqrt[n]{\Delta}) = K(\sqrt[n]{\Delta'})$ .

**11.1** gives  $|\Delta| = |\Delta'|$ , and so  $\Delta = \Delta'$ . □

**Proposition 11.3.** *Let  $K$  be a number field containing  $\mu_n$ . Let  $S$  be a finite set of primes of  $K$ . Then there are only finitely many extensions  $L/K$  such that:*

1.  $L/K$  is abelian of exponent dividing  $n$ .
2.  $L/K$  is unramified at all primes outside  $S$ .

*Proof.* **11.2** gives us  $L = K(\sqrt[n]{\Delta})$  for some  $\Delta \in K^\times / (K^\times)^n$  a finite subgroup. Let  $\mathfrak{p}$  be a prime of  $K$ . Then  $\mathfrak{p}\mathcal{O}_L = \mathcal{P}_1^{e_1} \dots \mathcal{P}_r^{e_r}$ , where  $\mathcal{P}_i$  are primes in  $\mathcal{O}_L$ .

If  $x \in K^\times$  represents an element of  $\Delta$ . If  $x \in K^\times$  represents an element of  $\Delta$ , then

$$nv_{\mathcal{P}_i}(\sqrt[n]{x}) = v_{\mathcal{P}_i}(x) = e_i v_{\mathfrak{p}}(x)$$

If  $\mathfrak{p} \notin S$ , then all  $e_i = 1$ , so  $v_{\mathfrak{p}}(x) \equiv 0 \pmod n$ , and so  $\Delta \subset K(S, n)$ , where

$$K(S, n) := \{x \in K^\times / (K^\times)^n : v_{\mathfrak{p}}(x) \equiv 0 \pmod n \ \forall \mathfrak{p} \in S\}$$

The proof is completed by the following lemma. □

**Lemma 11.4.**  $K(S, n)$  is finite.

*Proof.* The map  $K(S, n) \rightarrow (\mathbb{Z}/n\mathbb{Z})^{|S|}$ ,  $x \mapsto (v_p(x) \bmod n)$  for  $p \in S$  is group homomorphism with kernel  $K(\emptyset, n)$ .

Since  $|S| < \infty$ , it suffices to prove the lemma with  $S = \emptyset$ .

If  $x \in K^\times$  represents an element of  $K(\emptyset, n)$ , then  $(x) = \mathfrak{a}^n$  for some ideal  $\mathfrak{a}$ .

There is then an exact sequence:

$$0 \longrightarrow \frac{\mathcal{O}_K^\times}{(\mathcal{O}_K^\times)^n} \longrightarrow K(\emptyset, n) \longrightarrow Cl_K[n] \longrightarrow 0$$

$$x(K^\times)^n \longmapsto [\mathfrak{a}]$$

Now  $|Cl_K| < \infty$  and  $\mathcal{O}_K^\times$  is finitely generated by Dirichlet's unit theorem, so  $K(\emptyset, n)$  is finite.  $\square$

## 12 Elliptic Curves over Number Fields II

### 12.1 The Mordell-Weil Theorem

**Lemma 12.1.** Let  $E/K$  be an elliptic curve. Let  $L/K$  be a finite Galois extension. Then the map

$$E(K)/nE(K) \rightarrow E(L)/nE(L)$$

has finite kernel.

*Proof.* For each element in the kernel, we pick a coset representative  $P \in E(K)$ , and then  $Q \in E(L)$  with  $nQ = P$ .

Note that, for any  $\sigma \in \text{Gal}(L/K)$ ,  $n(\sigma(Q) - Q) = \sigma(P) - P = 0$ .

$\text{Gal}(L/K)$  is finite and  $E[n]$  is finite, so there are only finitely many possibilities for the map  $\text{Gal}(L/K) \rightarrow E[n]$ ,  $\sigma \mapsto \sigma Q - Q$ .

But if  $P_1, P_2 \in E(K)$  then  $P_i = nQ_i$  for  $Q_1, Q_2 \in E(L)$ , and  $\sigma Q_1 - Q_2 = \sigma Q_2 - Q_2$ , and so  $\sigma(Q_1 - Q_2) = Q_1 - Q_2$  for all  $\sigma \in \text{Gal}(L/K)$ .

But then  $Q_1 - Q_2 \in E(K)$ , and so  $P_1 - P_2 \in nE(K)$ .  $\square$

**Theorem 12.2** (Weak Mordell-Weil). If  $K$  is a number field and  $E/K$  is an elliptic curve, with  $n \geq 2$  an integer, then  $E(K)/nE(K)$  is finite.

*Proof.* 12.1 tells us we may replace  $K$  by a finite Galois extension. So without loss of generality,  $\mu_n \subset K$  and  $E[n] \subset E(K)$ .

Let  $S = \{p|n\} \cup \{\text{primes of bad reduction for } E/K\}$ .

For each  $P \in E(K)$ , the extension  $K([n]^{-1}P)/K$  is unramified outside  $S$ , by 9.9.

Let  $Q \in [n]^{-1}P$ . Since  $E[n] \subseteq E(K)$ ,  $K(Q) = K([n]^{-1}P)$ , and this is a Galois extension of  $K$ .

Then we claim that we have an injective group homomorphism:

$$\begin{aligned} \text{Gal}(K(Q)/K) &\hookrightarrow E[n] \\ \sigma &\mapsto \sigma Q - Q \end{aligned}$$

This is a group homomorphism as  $\sigma\tau Q - Q = \sigma(\tau Q - Q) + (\sigma Q - Q)$ . But  $\tau Q - Q$  is an  $n$ -torsion point, so lies in  $K$ , so this is  $\tau Q - Q + \sigma Q - Q$ .

It is injective, as if  $\sigma Q = Q$  then  $\sigma$  fixes  $K(Q)$ , and so  $\sigma$  is the identity.

So  $K(Q)/K$  is an abelian extension of exponent dividing  $n$ , unramified outside of  $S$ , so 11.3 tells us there are only finitely many possibilities for  $K(Q)/K$  as we vary  $P$ .

Let  $L$  be the composite of all such extensions of  $K$ . Then  $L/K$  is a finite Galois extension, and

$$E(K)/nE(K) \rightarrow E(L)/nE(L)$$

is the zero map.

12.1 implies that this has finite kernel, and so  $|E(K)/nE(K)| < \infty$ .  $\square$

If  $K = \mathbb{R}$  or  $\mathbb{C}$  or  $[K : \mathbb{Q}_p] < \infty$ , then  $|E(K)/nE(K)| < \infty$ , yet  $E(K)$  is uncountable. Hence  $E(K)$  is not finitely generated.

It is a fact that there is a quadratic form called the *canonical height*  $\widehat{h} : E(K) \rightarrow \mathbb{R}_{\geq 0}$  with the property that, for any  $B \geq 0$ , the set  $\{P \in E(K) : \widehat{h}(P) \leq B\}$  is finite.

**Theorem 12.3** (Mordell-Weil). *Let  $K$  be a number field with  $E/K$  an elliptic curve. Then  $E(K)$  is a finitely generated abelian group.*

*Proof.* Fix any integer  $n \geq 2$ . Weak Mordell-Weil gives  $|E(K)/nE(K)| < \infty$ . Pick coset representatives for  $E(K)/nE(K)$ , say  $P_1, \dots, P_m$ .

Then let  $\Sigma = \{P \in E(K) : \widehat{h}(P) \leq \max_{1 \leq i \leq m} \widehat{h}(P_i)\}$ .

We claim  $\Sigma$  generates  $E(K)$ .

If not, then there is  $P \in E(K) \setminus \langle \Sigma \rangle$  of minimal point (this exists because there are only finitely many “small points that are too big”).

Then  $P = P_i + nQ$  for some  $1 \leq i \leq m$ , and  $Q \in E(K)$ .

Note that  $Q \in E(K) \setminus \langle \Sigma \rangle$ . The minimal choice of  $P$  tells us that  $4\widehat{h}(P) \leq 4\widehat{h}(Q) \leq n^2\widehat{h}(Q) = \widehat{h}(nQ) = \widehat{h}(P - P_i) \leq \widehat{h}(P - P_i) + \widehat{h}(P + P_i) = 2\widehat{h}(P) + 2\widehat{h}(P_i)$ . Hence  $\widehat{h}(P) \leq \widehat{h}(P_i)$ , and so  $P \in \Sigma'_i$ .

Hence  $\Sigma$  generates  $E(K)$ , and is finite, so  $E(K)$  is finitely generated.  $\square$

Note that the structure theorem for finitely generated abelian groups shows that  $E(K)$  is of the form

$$E(K) \cong E(K)_{\text{tors}} \times \mathbb{Z}^r$$

for some non-negative integer  $r$ , called the *rank*. There is no known algorithm for computing the rank of  $E(K)$  in all cases.



## 13 Heights

For simplicity, we will take  $K = \mathbb{Q}$ . Write  $P \in \mathbb{P}^n(\mathbb{Q})$  as  $P = (a_0 : \dots : a_n)$  are (not necessarily pairwise) coprime integers.

We define the *height* of  $P$ ,  $H(P) = \max_{0 \leq i \leq n} |a_i|$ .

**Lemma 13.1.** *Let  $f_1, f_2 \in \mathbb{Q}[x_1, x_2]$  be coprime homogeneous polynomials of the same degree  $d$ . Let  $F : \mathbb{P}^1 \rightarrow \mathbb{P}^1; (x_1 : x_2) \mapsto (f_1(x_1, x_2) : f_2(x_1, x_2))$ .*

*Then there are constants  $c_1, c_2 > 0$  such that*

$$c_1 H(P)^d \leq H(F(P)) \leq c_2 H(P)^d$$

*for all points  $P \in \mathbb{P}^1(\mathbb{Q})$ .*

*Proof.* Without loss of generality,  $f_1, f_2 \in \mathbb{Z}[x_1, x_2]$ . For the upper bound, write  $P = (a : b)$ , coprime. Then

$$\begin{aligned} H(F(P)) &\leq \max(|f_1(a, b)|, |f_2(a, b)|) \\ &\leq c_2 \max(|a|^d, |b|^d) \end{aligned}$$

where  $c_2 = \max(\text{sum of abs. values of coeffs of } f_i)$ . So  $H(F(P)) \leq c_2 H(P)^d$ .

For lower bound, we claim there are  $g_{ij} \in \mathbb{Z}[x_1, x_2]$  homogeneous polynomials of degree  $d-1$  and  $\kappa \in \mathbb{Z}_{>0}$  such that

$$\sum_{j=1}^2 g_{ij} f_j = \kappa x_i^{2d-1} \quad i = 1, 2 \quad (*)$$

Indeed, running Euclid's algorithm on  $f_1(x, 1), f_2(x, 1)$  give  $r, s \in \mathbb{Q}[x]$  of degree  $< d$  such that

$$r(x)f_1(x, 1) + s(x)f_2(x, 1) = 1$$

Homogenising and clearing denominators gives  $(*)$  for  $i = 2$ , and likewise for  $i = 1$ .

Write  $P = (a_1 : a_2)$  for integers  $a_1, a_2$  coprime. Then  $(*)$  gives that

$$\sum_{j=1}^2 g_{ij}(a_1, a_2) f_j(a_1, a_2) = \kappa a_i^{2d-1}$$

and so  $\gcd(f_1(a_1, a_2), f_2(a_1, a_2))$  divides  $\gcd(\kappa a_1^{2d-1}, \kappa a_2^{2d-1}) = \kappa$ .

But also  $|\kappa a_i^{2d-1}| \leq \underbrace{\max_{j=1,2} |f_j(a_1, a_2)|}_{\leq \kappa H(F(P))} \underbrace{\sum_{j=1}^2 |g_{ij}(a_1, a_2)|}_{\leq \gamma_i H(P)^{d-1}}$  where  $\gamma_i = \sum_{j=1}^2$  (sum of absolute values of coefficients of  $g_{ij}$ ). Hence we have

$$\kappa |a_i|^{2d-1} \leq \gamma_i H(F(P)) H(P)^{d-1}$$

and so

$$\frac{1}{\max(\gamma_1, \gamma_2)} H(P)^d \leq H(F(P))$$

□

Notation: for  $x \in \mathbb{Q}$ , we write  $H(x) = H((x : 1)) = \max(|u|, |v|)$  where  $x = \frac{u}{v}$ ,  $u, v$  coprime.

Let  $E/\mathbb{Q}$  be an elliptic curve, given by  $y^2 = x^3 + ax + b$ .

Then we define the height function:

$$H : E(\mathbb{Q}) \rightarrow \mathbb{R}_{\geq 1}$$

$$P \mapsto \begin{cases} H(x) & P = (x, y) \\ 1 & P = Q \end{cases}$$

and the *logarithmic height*

$$h : E(\mathbb{Q}) \rightarrow \mathbb{R}_{\geq 0}$$

$$P \mapsto \log(H(P))$$

**Lemma 13.2.** *Let  $E, E'$  be elliptic curves over  $\mathbb{Q}$ , and  $\phi : E \rightarrow E'$  an isogeny defined over  $\mathbb{Q}$ .*

*Then there is  $c > 0$  such that*

$$|h(\phi(P)) - \deg(\phi)h(P)| \leq c \quad \forall P \in E(\mathbb{Q})$$

*Note that  $c$  depends on  $E, E', \phi$  but not on  $P$ .*

*Proof.* Recall 5.3 that the  $x$ -coordinate of  $\phi(P)$  only depends on the  $x$ -coordinate of  $P$ , say  $x(\phi(P)) = \xi(x(P))$ . Then 13.1 gives  $c_1, c_2 > 0$  such that

$$c_1 H(P)^d \leq H(\phi(P)) \leq c_2 H(P)^d$$

Taking logs gives the result. □

For example, if  $\phi = [2] : E \rightarrow E$ . Then there is  $c > 0$  such that

$$|h(2P) - 4h(P)| \leq c \quad \forall P \in E(\mathbb{Q})$$

**definition** The *canonical height* is defined as follows:

$$\widehat{h}(P) = \lim_{n \rightarrow \infty} \frac{1}{4^n} h(2^n P)$$

We check convergence: let  $m \geq n$ . Then

$$\left| \frac{1}{4^m} h(2^m P) - \frac{1}{4^n} h(2^n P) \right| \leq \sum_{r=n}^{m-1} \left| \frac{1}{4^{r+1}} h(2^{r+1} P) - \frac{1}{4^r} h(2^r P) \right| \leq \sum_{r=n}^{\infty} \frac{c}{4^{r+1}} = \frac{c}{3 \cdot 4^n} \rightarrow 0$$

So the sequence is Cauchy, and  $\widehat{h}(P)$  exists.

**Lemma 13.3.**  $|h(P) - \widehat{h}(P)|$  is bounded.

*Proof.* Put  $n = 0$  in the above calculation. Then  $|\widehat{h}(P) - h(P)| \leq \frac{c}{3}$ . □

**Corollary 13.4.** *The set  $\{P \in E(\mathbb{Q}) : \widehat{h}(P) \leq B\}$  is finite.*

*Proof.*  $\widehat{h}(P)$  bounded implies that  $h(P)$  is bounded.

But then there are only finitely many possibilities for  $x$ , and so finitely many possibilities for  $P$ .  $\square$

**Lemma 13.5.** *Let  $\phi : E \rightarrow E'$  be an isogeny. Then  $\widehat{h}(\phi P) = (\deg \phi) \widehat{h}(P)$ .*

*Proof.* 13.2 gives  $c > 0$  such that

$$|h(\phi P) - (\deg \phi)h(P)| \leq c$$

Replace  $P$  by  $2^n P$ , divide by  $4^n$ , and take the limit as  $n \rightarrow \infty$ .  $\square$

**Remarks.**

1.  $H$  and  $h$  depend on a choice of Weierstrass equation. 13.5 applied in the case where  $\phi$  is an isomorphism (so  $\deg \phi = 1$ ) shows that  $\widehat{h}$  does not.
2. Taking  $\phi = [n] : E \rightarrow E$  shows  $\widehat{h}(nP) = n^2 \widehat{h}(P)$ .

**Lemma 13.6.** *Let  $E/\mathbb{Q}$  be an elliptic curve, with Weierstrass equation  $y^2 = x^3 + ax + b$ . Then there is some  $c > 0$  such that*

$$H(P + Q)H(P - Q) \leq cH(P)^2H(Q)^2 \quad \forall P, Q \in E(\mathbb{Q}) \text{ with } P, Q, P \pm Q \neq O_E$$

*Proof.* Let  $P, Q, P + Q, P - Q$  have  $x$  coordinates  $x_1, \dots, x_4$  respectively. By 5.7 there exist polynomials  $W_0, W_1, W_2 \in \mathbb{Z}[x_1, x_2]$  of degree  $\leq 2$  in  $x_1$  and of degree  $\leq 2$  in  $x_2$  such that  $(1 : x_3 + x_4 : x_3x_4) = (W_0 : W_1 : W_2)$ .

Write  $x_i = r_i/s_i$  for  $r_i, s_i \in \mathbb{Z}$  coprime. Then we get

$$(s_3s_4 : r_3s_4 + r_4s_3 : r_3r_4) = ((r_1s_2 - r_2s_1)^2 : \dots : \dots)$$

Note that the three integers on the left are coprime.

Then  $H(P + Q)H(P - Q) = \max(|r_3|, |r_4|) \max(|r_4|, |s_4|) \leq 2 \max(|s_3s_4|, |r_3s_4 + r_4s_3|, |r_3r_4|)$ , and, since the three terms on the right are integers, so must be  $\geq$  the corresponding coprime terms on the left, we have:

$$\begin{aligned} H(P + Q)H(P - Q) &\leq 2 \max(|r_1s_2 - r_2s_1|^2, \dots) \\ &\leq cH(P)^2H(Q)^2 \end{aligned}$$

where  $c$  depends on  $E$  but not on  $P, Q$ .  $\square$

**Theorem 13.7.**  $\widehat{h} : E(\mathbb{Q}) \rightarrow \mathbb{R}_{\geq 0}$  is a quadratic form.

*Proof.* Take logs in the last lemma gives

$$h(P + Q) + h(P - Q) \leq 2h(P) + 2h(Q) + c$$

for all  $P, Q$  with  $P, Q, P + Q, P - Q \neq O_E$ . We can remove this last restriction by using the fact that  $|h(2P) - 4h(P)|$  is bounded.

Replacing  $P, Q$  by  $2^n P, 2^n Q$ , dividing by  $4^n$ , and taking the limit as  $n \rightarrow \infty$ , we lose the constant, and so

$$\widehat{h}(P + Q) + \widehat{h}(P - Q) \leq 2\widehat{h}(P) + 2\widehat{h}(Q)$$

Replacing  $P, Q$  by  $P + Q, P - Q$  and using  $\widehat{h}(2P) = 4\widehat{h}(P)$ , we get the inequality the other way round, and so we have equality, so  $\widehat{h}$  is a quadratic form.  $\square$

The places of a number field  $K$  are the finite places (=primes), so that  $|x|_p = c^{v_p(x)}$  for some fixed constant  $c > 1$ , and the infinite places (=real & complex embeddings), so that  $|x|_\sigma = |\sigma(x)|^d$  for some fixed  $d > 0$ .

For each place  $v$  we may choose a normalisation  $|\cdot|_v$  (i.e. make a choice of  $c$  and  $d$ ) so that

$$\prod_v |\lambda|_v = 1 \quad \forall \lambda \in K^\times$$

For  $K$  a number field, consider  $P = (a_0 : a_1 : \dots : a_n) \in \mathbb{P}^n(K)$ . We define  $H(P) = \prod_v \max_{0 \leq i \leq n} |a_i|_v$ , which is well defined because of the product formula.

Let  $\pi_i : E \times E \times E \rightarrow E$  be the projection maps onto the  $i^{\text{th}}$  factor for  $i = 1, 2, 3$ . Let  $\pi_{ij} = \pi_i + \pi_j$ , and  $\pi_{123} = \pi_1 + \pi_2 + \pi_3$ . There is a result called the theorem of the cube which says that, if  $D \in \text{Div}(E)$ , then

$$\pi_{123}^* D + \pi_1^* D + \pi_2^* D \sim \pi_{12}^* D + \pi_{13}^* D + \pi_{23}^* D$$

This can be used to give alternative proofs of 5.6 and 13.7.

## 14 Dual Isogenies & The Weil Pairing

Let  $K$  be a perfect field and  $E/K$  an elliptic curve.

**Proposition 14.1.** *Let  $\Phi \subseteq E(\bar{K})$  be a finite  $\text{Gal}(\bar{K}/K)$ -stable subgroup. Then there is an elliptic curve  $E'/K$  and a separable isogeny  $\phi : E \rightarrow E'$  defined over  $K$  with kernel  $\Phi$  such that every isogeny  $\psi : E \rightarrow E''$  with  $\Phi \subseteq \ker \psi$  factors uniquely via  $\phi$ :*

$$\begin{array}{ccc} E & \xrightarrow{\psi} & E'' \\ \phi \downarrow & \nearrow \exists! & \\ E' & & \end{array}$$

*Proof.* Omitted, see Silvermann III.4.12.  $\square$

**Proposition 14.2.** *Let  $\phi : E \rightarrow E'$  be an isogeny of degree  $n$ . Then there is a unique isogeny  $\widehat{\phi} : E' \rightarrow E$  such that  $\widehat{\phi}\phi = [n]$ .*

*Proof.* In the case that  $\phi$  is separable,  $|\ker \phi| = n \implies \ker \phi \subseteq E[n]$ . Then apply 14.1 with  $\psi = [n]$ .

For the case where  $\phi$  is inseparable, see Silvermann III.6.1.

For uniqueness, suppose  $\psi_1 \phi = \psi_2 \phi = [n]$ . Then rearrange to get  $(\psi_1 - \psi_2)\phi = 0$ , and so  $\psi_1 = \psi_2$  as  $\phi$  is nonconstant.  $\square$

**Remarks.**

1.  $E_1 \sim E_2 \iff E_1, E_2$  isogenous. Then  $\sim$  is an equivalence relation.
2.  $\deg[n] = n^2 \implies \deg \widehat{\phi} = \deg \phi = n$ , and  $\widehat{[n]} = [n]$ .
3.  $\phi \widehat{\phi} \phi = \phi[n]_E = [n]_{E'} \phi \implies \phi \widehat{\phi} = [n]_{E'}$ . In particular,  $\widehat{\widehat{\phi}} = \phi$ .
4.  $\widehat{\phi \psi} = \widehat{\psi} \widehat{\phi}$ .
5. If  $\phi \in \text{End}(E)$ , then  $\phi^2 - \text{tr}[\phi] + [\deg \phi] = 0$ . Rearranging, we see  $\widehat{\phi} = [\text{tr} \phi] - \phi$ , and so  $\text{tr} \phi = \phi + \widehat{\phi}$ .

**Lemma 14.3.** *If  $\phi, \psi \in \text{Hom}(E, E')$ , then  $\widehat{\phi + \psi} = \widehat{\phi} + \widehat{\psi}$ .*

*Proof.* If  $E = E'$ , then this follows from  $\text{tr}(\phi + \psi) = \text{tr} \phi + \text{tr} \psi$ .

In general, let  $\alpha : E' \rightarrow E$  be any isogeny (e.g.  $\widehat{\phi}$ ). Then the first part tells us  $\widehat{\alpha \phi + \alpha \psi} = \widehat{\alpha \phi} + \widehat{\alpha \psi}$ , so  $\widehat{\alpha(\phi + \psi)} = \widehat{\alpha}(\widehat{\phi} + \widehat{\psi})$ , and then cancel  $\widehat{\alpha}$ .  $\square$

In Silvermann's book, he proves this lemma before knowing that the degree map is a quadratic form, and uses it to show that  $\deg$  is a quadratic form.

## 14.1 The Weil Pairing

**Definition.**  $\text{sum} : \text{Div}(E) \rightarrow E; \sum n_P(P) \mapsto \sum n_P P$  is the map taking a formal sum of points to the actual summation of the points using the group law on  $E$ .

Recall that  $E \cong \text{Pic}^0(E)$ , given by  $P \mapsto [(P) - (O_E)]$ . Then  $\sum n_P P \mapsto [\sum n_P(P) - \sum n_P(O_E)]$ , and so  $\text{sum} D \mapsto [D]$  for all  $D \in \text{Div}^0(E)$ .

**Lemma 14.4.** *Let  $D \in \text{Div}(E)$ . Then  $D \sim 0$  if and only if  $\deg D = 0$  and  $\text{sum} D = O_E$ .*

Let  $\phi : E \rightarrow E'$  be an isogeny of degree  $n$ , with dual isogeny  $\widehat{\phi} : E' \rightarrow E$ . Assume that  $\text{char } K \nmid n$ , so that  $\phi, \widehat{\phi}$  are separable.

We define the Weil pairing  $e_P : E[\phi] \times E'[\widehat{\phi}] \rightarrow \mu_n$ :

Let  $T \in E'[\widehat{\phi}]$ . Then  $nT = 0$ , and so there exists  $f \in \bar{K}(E')^*$  such that  $\text{div } f = n(T) - n(0)$ .

Pick  $T_0 \in E(\bar{K})$  with  $\phi(T_0) = T$ . Then  $\phi^*(T) - \phi^*(0) = \sum_{P \in E[\phi]} (P + T_0) - \sum_{P \in E[\phi]} (P)$  has sum  $nT_0 = \widehat{\phi} \phi T_0 = \widehat{\phi} T = 0$ .

So there is some  $g \in \bar{K}(E)^*$  such that  $\text{div}(g) = \phi^*(T) - \phi^*(0)$ .

Now  $\text{div}(\phi^* f) = \phi^* \text{div } f = n(\phi^*(T) - \phi^*(0)) = n \text{div } g = \text{div}(g^n)$ , so  $\phi^* f = g^n$  up to multiplication by a constant  $c \in \bar{K}^*$ . Rescaling  $f$ , we can ignore this constant.

If  $S \in E[\phi]$ , then  $\phi \tau_S = \phi$ , i.e.  $\tau_S^* \phi^* = \phi^*$ , so  $\tau_S^*(\text{div } g) = \text{div } g$ , and so  $\tau_S^* g = \zeta g$  for some constant  $\zeta$ .

$\zeta = g(X + S)/g(X)$  for all points  $X$  by definition for all  $X \in E(\bar{K}) \setminus \{\text{zeros/poles of } g\}$ . Then  $\zeta^n = g(X + S)^n/g(X)^n = f(\phi(X + S))/f(\phi(X)) = 1$ , since  $\phi(S) = 0$  and  $\phi$  is an isogeny.

Then we define the Weil pairing  $e_\phi(S, T) = \zeta = g(X + S)/g(X)$  for any  $X$  not a zero or pole of  $g$ .

**Proposition 14.5.**  $e_\phi$  is bilinear and non-degenerate.

*Proof.* For linearity in the first argument, we have

$$e_\phi(S_1 + S_2, T) = \frac{g(X + S_1 + S_2)}{g(X + S_2)} \frac{g(X + S_2)}{g(X)} = e_\phi(S_1, T) e_\phi(S_2, T)$$

For linearity in the second argument, let  $T_1, T_2 \in E'[\widehat{\phi}]$ . Then

$$\text{div}(f_1) = n(T_1) - n(O); \text{div}(f_2) = n(T_2) - n(O)$$

and then

$$\phi^* f_1 = g_1^n; \phi^* f_2 = g_2^n$$

Then there is  $h \in \bar{K}(E')^*$  such that  $\text{div}(h) = (T_1) + (T_2) - (T_1 + T_2) - (O)$ .

Then put  $f = \frac{f_1 f_2}{h^n}$  and  $g = \frac{g_1 g_2}{\phi^* h}$ . We then check:  $\text{div}(f) = n(T_1 + T_2) - n(O)$ , and  $\phi^* = \frac{\phi^* f_1 \phi^* f_2}{(\phi^* h)^n} = \left( \frac{g_1 g_2}{\phi^* h} \right)^n = g^n$ .

So  $e_\phi(S, T_1 + T_2) = \frac{g(X+S)}{g(X)} = \frac{g_1(X+S)}{g_1(X)} \frac{g_2(X+S)}{g_2(X)} \frac{h(\phi(X))}{h(\phi(X+S))} = e_\phi(S, T_1) e_\phi(S, T_2)$  as  $S \in \ker \phi$ .

Then to see that  $e_\phi$  is nondegenerate, fix  $T \in E'[\widehat{\phi}]$  and suppose that  $e_\phi(S, T) = 1$  for all  $S \in E[\phi]$ . By definition of the Weil pairing,  $\tau_S^* g = g$  for all  $S \in E[\phi]$ .

Then  $\bar{K}(E)$  is a Galois field extension of  $\phi^* \bar{K}(E')$  with Galois group  $E[\phi]$  acting as  $\tau_S^*$ . So  $g = \phi^* h$  for some  $h \in \bar{K}(E')$ , and hence  $\phi^* f = g^n = \phi^*(h^n)$ , and so  $f = h^n$ . Hence  $\text{div}(H) = (T) - (O)$ , and so  $T = O$ .

We've shown that the map  $E'[\widehat{\phi}] \hookrightarrow \text{Hom}(E[\phi], \mu_n); T \mapsto (S \mapsto e_\phi(S, T))$ . This map is thus an isomorphism by counting.  $\square$

**Remarks.**

1. If  $E, E', \phi$  are defined over  $K$ , then  $e_\phi$  is Galois equivariant, i.e.  $e_\phi(\sigma S, \sigma T) = \sigma(e_\phi(S, T))$ .
2. Taking  $\phi = [n] : E \rightarrow E$ , so that  $\widehat{\phi} = [n]$ , gives  $e_n : E[n] \times E[n] \rightarrow \mu_{n^2}$ . It can be shown, since  $e_n$  is bilinear, that we only actually have image in  $\mu_n$ .

**Corollary 14.6.** If  $E[n] \subseteq E(K)$ , then  $\mu_n \subseteq K$ .

*Proof.*  $e_n$  non-degenerate implies that there are  $S, T \in E[n]$  such that  $e_n(S, T)$  is a primitive  $n^{\text{th}}$  root of unity, say  $\zeta_n$ . To see this, pick  $T \in E[n]$  of order  $n$ . Then the group homomorphism  $E[n] \rightarrow \mu_n; S \mapsto e_n(S, T)$  has image  $\mu_d$  for some  $d|n$ . Then  $e_n(S, dT) = 1$  for all  $S \in E[n]$ , and so by nondegeneracy,  $dT = 0$ , and so  $d = n$ .

Then  $\sigma(\zeta_n) = e_n(\sigma S, \sigma T) = e_n(S, T)$ , and so  $\zeta_n \in K$ .  $\square$

For example, there is no  $E/\mathbb{Q}$  with  $E(\mathbb{Q})_{\text{tors}} = (\mathbb{Z}/3\mathbb{Z})^2$ , since not all of the cube roots of unity are defined over  $\mathbb{Q}$ .

**Remark.** In fact, the Weil pairing  $e_n$  is alternating, so that  $e_n(T, T) = 1$  for all  $T$ .

In particular,  $e_n(S + T, S + T)$ , we have  $e_n(S, T) = e_n(T, S)^{-1}$ .

## 15 Galois Cohomology

Here,  $G$  is a group and  $A$  is a  $G$ -module (an abelian group with action of  $G$  via group homomorphisms), i.e. a module over  $\mathbb{Z}[G]$ .

**Definition 15.1.**  $H^0(G, A) = A^G$ , the subgroup of  $A$  fixed by all of  $G$ .

$C^1(G, A) = \{\text{maps } G \rightarrow A\}$ , not necessarily group homomorphisms. We call these maps “cochains”.

$Z^1(G, A) = \{(a_\sigma)_{\sigma \in G} : a_{\sigma\tau} = \sigma(a_\tau) + a_\sigma\}$ , the set of “cocycles”.

$B^1(G, A) = \{(\sigma b - b)_{\sigma \in G} : b \in A\}$ , the set of “coboundaries”.

We have  $C^1(G, A) \supset Z^1(G, A) \supset B^1(G, A)$ . These are all groups under the pointwise operations. We then define  $H^1(G, A) = \frac{Z^1(G, A)}{B^1(G, A)}$ .

**Remark.** If  $G$  acts trivially on  $A$ , then  $H^1(G, A) = Z^1(G, A) = \text{Hom}(G, A)$ . We can then go on to define  $H^2, H^3, \dots$ , but we won’t use these here.

**Theorem 15.2.** A short exact sequence of  $G$  modules

$$0 \rightarrow A \xrightarrow{\phi} B \xrightarrow{\psi} C \rightarrow 0$$

gives rise to a long exact sequence of abelian groups:

$$0 \rightarrow A^G \xrightarrow{\phi} B^G \xrightarrow{\psi} C^G \xrightarrow{\delta} H^1(G, A) \xrightarrow{\phi_*} H^1(G, B) \xrightarrow{\psi_*} H^1(G, C) \rightarrow \dots$$

*Proof.* Omitted. □

**Definition of  $\delta$ .** Let  $c \in C^G$ . Then there exists  $b \in B$  such that  $\psi(b) = c$ . Then  $\psi(\sigma b - b) = \sigma c - c = 0$  for all  $\sigma \in G$ . Hence  $\sigma b - b = \psi(a_\sigma)$  for some  $a_\sigma \in A$ .

Then  $\phi(a_{\sigma\tau} - \sigma(a_\tau) - a_\sigma) = \sigma\tau b - b - \sigma(\tau b - b) - (\sigma b - b) = 0$ .  $\phi$  is injective, so  $a_{\sigma\tau} = \sigma(a_\tau) + a_\sigma$ , and hence  $(a_\sigma)_{\sigma \in G} \in Z^1(G, A)$ .

We define  $\delta(c) = \text{class of } (a_\sigma)_{\sigma \in G} \text{ in } H^1(G, A)$ .

**Theorem 15.3.** Let  $A$  be a  $G$ -module, and  $H \triangleleft G$  a normal subgroup. Then there is an **inflation-restriction** exact sequence:

$$0 \rightarrow H^1(G/H, A^H) \xrightarrow{\text{inf}} H^1(G, A) \xrightarrow{\text{res}} H^1(H, A)$$

*Proof.* Omitted. □

Let  $K$  be a perfect field. Then  $\text{Gal}(\bar{K}/K)$  is a topological group, with basis of open subgroups the  $\text{Gal}(\bar{K}/L)$  for  $L$  some finite extension of  $K$ .

If  $G = \text{Gal}(\bar{K}/K)$ , we modify the definition of  $H^1(G, A)$  by insisting on:

1. The stabiliser of each  $a \in A$  is an open subgroup of  $G$ .
2. All cochains  $G \rightarrow A$  are continuous, where  $A$  is given the discrete topology.

Then  $H^1(\text{Gal}(\bar{K}/K), A) = \varinjlim_{L/K \text{ finite Galois}} H^1(\text{Gal}(L/K), A^{\text{Gal}(\bar{K}/L)})$ . The maps used for this direct limit are the inflation maps.

**Theorem 15.4** (Hilbert's Theorem 90.). *Let  $L/K$  be finite Galois. Then  $H^1(\text{Gal}(L/K), L^*) = 0$ .*

*Proof.* Let  $G = \text{Gal}(L/K)$ . Let  $(a_\sigma)_{\sigma \in G} \in Z^1(G, L^*)$ . Then distinct automorphisms are linearly independent. Then:

$$\exists y \in L \text{ s.t. } \underbrace{\sum_{\tau \in G} a_\tau^{-1} \tau(y)}_x \neq 0$$

Then for  $\sigma \in G$ ,  $\sigma(x) = \sum_{\tau \in G} \sigma(a_\tau)^{-1} \sigma \tau(y) = a_\sigma \sum_{\tau \in G} a_{\sigma\tau}^{-1} \sigma \tau(y) = a_\sigma x$ , using the cocycle identity, which after rearranging gives  $\sigma(a_\tau)^{-1} = a_\sigma a_{\sigma\tau}^{-1}$ .

So  $\sigma(x) = a_\sigma x$ , and hence  $a_\sigma = \sigma(x)/x$ . So  $(a_\sigma)_{\sigma \in G} \in B^1(G, L^*)$ , and so  $H^1(G, L^*) = 0$ .  $\square$

**Corollary 15.5.**  $H^1(\text{Gal}(\bar{K}/K), \bar{K}^*) = 0$

**Application.** Assume  $\text{char } K \nmid n$ . Then there is an exact sequence of  $\text{Gal}(\bar{K}/K)$ -modules  $0 \rightarrow \mu_n \rightarrow \bar{K}^* \xrightarrow{\wedge_n} \bar{K}^* \rightarrow 0$ .

Then when we take the long exact sequence, we get:

$$K^* \xrightarrow{\wedge_n} K^* \rightarrow H^1(\text{Gal}(\bar{K}/K), \mu_n) \rightarrow H^1(\text{Gal}(\bar{K}/K), \bar{K}^*)$$

but this last term is 0 by Hilbert 90. So:

$$H^1(\text{Gal}(\bar{K}/K), \mu_n) \cong K^*/(K^*)^n$$

If  $\mu_n \subset K$ , then

$$H^1(\text{Gal}(\bar{K}/K), \mu_n) = \text{Hom}_{\text{cts}}(\text{Gal}(\bar{K}/K), \mu_n) \cong K^*/(K^*)^n \quad (\dagger)$$

if  $L/K$  is a finite Galois extension, then we have a projection map  $\pi : \text{Gal}(\bar{K}/K) \twoheadrightarrow \text{Gal}(L/K)$ , and so

$$\text{Hom}(\text{Gal}(L/K), \mu_n) \hookrightarrow \text{Hom}_{\text{cts}}(\text{Gal}(\bar{K}/K), \mu_n)$$

via  $\chi \mapsto \chi \circ \pi$ .

We then claim that every finite subgroup  $\Xi$  of  $\text{Hom}_{\text{cts}}(\text{Gal}(\bar{K}/K), \mu_n)$  arises uniquely in this way for  $L/K$  a finite abelian extension of exponent dividing  $n$ .

So, from  $\dagger$ , we recover **11.2**.

To prove the claim, consider the pairing  $\text{Gal}(\bar{K}/K) \times \Xi \rightarrow \mu_n$  given by  $(\sigma, \chi) \mapsto \chi(\sigma)$ . This is bilinear, and has trivial right kernel, and the left kernel is

$$\bigcap_{\chi \in \Xi} \ker(\chi) \subset \text{Gal}(\bar{K}/K) \text{ an open normal subgroup}$$



and hence  $\text{Gal}(\bar{K}/L)$  for some  $L/K$  finite Galois.

We then get a nondegenerate pairing  $\text{Gal}(L/K) \times \Xi \rightarrow \mu_n$ . In particular,

$$\begin{aligned}\text{Gal}(L/K) &\hookrightarrow \text{Hom}(\Xi, \mu_n) \\ \Xi &\hookrightarrow \text{Hom}(\text{Gal}(L/K), \mu_n)\end{aligned}$$

The first tells us  $L/K$  is abelian of exponent dividing  $n$  and that the second is in fact surjective, so  $\Xi = \text{Hom}(\text{Gal}(L/K), \mu_n)$ , so we have the claim.

As a point of notation, we will write  $H^1(K, \cdot)$  as shorthand for  $H^1(\text{Gal}(\bar{K}/K), \cdot)$ .

**Lemma 15.6.** *Let  $[K : \mathbb{Q}_p] < \infty$  with  $p \nmid n$ . Then:*

$$\ker(H^1(K, \mu_n)) \rightarrow H^1(K^{nr}, \mu_n) \cong \mathcal{O}_K^*/(\mathcal{O}_K^*)^n$$

*Proof.* By Hilbert 90, it suffices to show that the sequence

$$\mathcal{O}_K^*/(\mathcal{O}_K^*)^n \xrightarrow{\alpha} K^*/(K^*)^n \xrightarrow{\beta} (K^{nr})^*/(K^{nr})^{*n}$$

is exact.

For  $\text{im}(\alpha) \subset \ker(\beta)$ , let  $a \in \mathcal{O}_K^*$ . If  $f(x) = x^n - a \in \mathcal{O}_K[x]$ , then  $\tilde{f}(x) = x^n - \tilde{a} \in k[x]$  has distinct roots in  $\bar{k}$ , as  $p \nmid n$ .

Then  $K(\sqrt[n]{a})/K$  is unramified, and so  $a \in (K^{nr})^{*n}$ .

For  $\ker(\beta) \subseteq \text{im}(\alpha)$ , let  $x(K^*)^n \in \ker \beta$ . Write  $x = u\pi^r$  with  $u \in \mathcal{O}_K^*$ ,  $r \in \mathbb{Z}$ .

Since the discrete valuation on  $K$  extends to a discrete valuation on  $K^{nr}$ , we have  $r \equiv 0 \pmod n$ , and so  $x(K^*)^n = u(K^*)^n$ .  $\square$

Let  $\phi : E \rightarrow E'$  be an isogeny of elliptic curves over  $K$ . Then there is a short exact sequence of  $\text{Gal}(\bar{K}/K)$ -modules

$$0 \rightarrow E[\phi] \rightarrow E \xrightarrow{\phi} E' \rightarrow 0$$

This gives a long exact sequence

$$E(K) \xrightarrow{\phi} E'(K) \xrightarrow{\delta} H^1(K, E[\phi]) \rightarrow H^1(K, E) \xrightarrow{\phi_*} H^1(K, E')$$

We get a short exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & E'(K)/\phi(E(K)) & \longrightarrow & H^1(K, E[\phi]) & \longrightarrow & H^1(K, E)[\phi_*] \longrightarrow 0 \\ & & \downarrow & & \downarrow \text{res}_v & \searrow & \downarrow \text{res}_v \\ 0 & \longrightarrow & \prod_v E'(K_v)/\phi(E(K_v)) & \longrightarrow & \prod_v H^1(K_v, E[\phi]) & \longrightarrow & \prod_v H^1(K_v, E)[\phi_*] \longrightarrow 0 \end{array}$$

Now take  $K$  to be a number field.

For each place  $v$ , fix an embedding  $\bar{K} \subset \bar{K}_v$ . Then  $\text{Gal}(\bar{K}_v/K_v) \subset \text{Gal}(\bar{K}/K)$ .

**Definition.** The  $\phi$ -Selmer group is  $S^{(\phi)}(E/K) = \ker \searrow = \ker(H^1(K, E[\phi]) \rightarrow \prod_v H^1(K_v, E))$ . Another way to think about it is as the elements  $\alpha$  in  $H^1(K, E[\phi])$  whose restriction  $\text{res}_v(\alpha) \in \text{im}(\delta_v)$ .

The **Tate-Shafarevich group** is  $\text{III}(E/K) = \ker(H^1(K, E) \rightarrow \prod_v H^1(K_v, E))$ .

We get a short exact sequence

$$0 \rightarrow E'(K)/\phi(E/K) \rightarrow S^{(\phi)}(E/K) \rightarrow \text{III}(E/K)[\phi_*] \rightarrow 0$$

Taking  $\phi = [n]$  gives

$$0 \rightarrow E(K)/nE(K) \rightarrow S^{(n)}(E/K) \rightarrow \text{III}(E/K)[n] \rightarrow 0$$

We can then reorganise the proof of weak Mordell-Weil to get

**Theorem 15.7.**  $S^{(n)}(E/K)$  is finite.

*Proof.* For  $L/K$  a finite Galois extension there is an exact sequence

$$0 \rightarrow H^1(\text{Gal}(L/K), E(L)[n]) \xrightarrow{\text{inf}} H^1(K, E[n]) \xrightarrow{\text{res}} H^1(L, E[n])$$

We have  $H^1(\text{Gal}(L/K), E(L)[n])$  is finite.

By extending our field we may assume that  $E[n] \subset E(K)$ , and hence  $\mu_n \subset K$ .

So  $E[n] \cong \mu_n \times \mu_n$  as Galois modules. Hence  $H^1(K, E[n]) \cong H^1(K, \mu_n) \times H^1(K, \mu_n) \cong K^*/(K^*)^n \times K^*/(K^*)^n$ , by Hilbert 90.

Let  $S = \{\text{primes of bad reduction for } E/K\} \cup \{v|n\} \cup \{v \text{ infinite}\}$ . Then  $S$  is finite.

The subgroup of  $H^1(K, A)$  unramified outside  $S$  is  $H^1(K, A; S) := \ker(H^1(K, A) \rightarrow \prod_{v \notin S} H^1(K_v^{nr}, A))$ .

There is a commutative diagram with exact rows

$$\begin{array}{ccccc} E(K_v) & \xrightarrow{\times n} & E(K_v) & \xrightarrow{\delta_v} & H^1(K_v, E[n]) \\ \downarrow & & \downarrow & & \downarrow \text{res} \\ E(K_v^{nr}) & \xrightarrow{\times n} & E(K_v^{nr}) & \longrightarrow & H^1(K_v^{nr}, E[n]) \end{array}$$

The bottom left  $\times n$  map is surjective for all  $v \notin S$  - see the proof of 9.9. Hence  $\text{im}(\delta_v) \subset \ker(\text{res})$ .

Then  $S^{(n)}(E/K) = \{\alpha \in H^1(K, E[n]) : \text{res}_v(\alpha) \in \text{im}(\delta_v) \forall v\} \subset H^1(K, E[n]; S) \cong H^1(K, \mu_n; S) \times H^1(K, \mu_n; S) \cong K(S, n) \times K(S, n)$  by 15.3.

But  $K(S, n)$  is finite by 11.4, and so  $S^{(n)}(E/K)$  is finite.  $\square$

**Remark.**  $S^{(n)}(E/K)$  is finite and effectively computable. It is conjectured that  $\text{III}(E/K)$  is finite. This would imply that the rank is effectively computable.

## 16 Descent by cyclic isogeny

$E, E'$  are elliptic curves over  $K$  a number field. We have  $\phi : E \rightarrow E'$  an isogeny of degree  $n$ . Suppose that  $E'[\widehat{\phi}] \cong \mathbb{Z}/n\mathbb{Z}$  generated by  $T \in E'(K)$ . Then  $E[\phi] \cong \mu_n$  as Galois modules, via  $S \mapsto e_\phi(S, T)$ . We then get a short exact sequence of  $\text{Gal}(\bar{K}/K)$ -modules

$$0 \rightarrow \mu_n \rightarrow E \xrightarrow{\phi} E' \rightarrow 0$$

We then get a long exact sequence

$$E(K) \rightarrow E'(K) \xrightarrow{\delta} H^1(K, \mu_n) \rightarrow H^1(K, E)$$

By Hilbert 90,  $H^1(K, \mu_n) \cong K^*/(K^*)^n$ .

**Theorem 16.1.** Let  $f \in K(E')$  and  $g \in K(E)$  with  $\text{div}(f) = n(T) - n(0)$  and  $\phi^* f = g^n$ .

Then  $\alpha(P) = f(P) \pmod{(K^*)^n}$  for all  $P \in E'(K) \setminus \{0, T\}$ .

*Proof.* Let  $Q \in \phi^{-1}(P)$ . Then  $\delta(P)$  is represented by the cocycle  $\sigma \mapsto \sigma(Q) - Q \in E[\phi] \cong \mu_n$ .

Then  $e_\phi(\sigma Q - Q, T) = \frac{g(\sigma Q - Q + X)}{g(X)}$  for any  $X \in E$  not a zero or pole of  $g$ . Taking  $X = Q$ , we have  $\frac{g(\sigma Q)}{g(Q)} = \frac{\sigma(g(Q))}{g(Q)} = \frac{\sigma \sqrt[n]{f(P)}}{\sqrt[n]{f(P)}}$ . Hence  $\delta(P)$  is represented by the cocycle  $\sigma \mapsto \frac{\sigma \sqrt[n]{f(P)}}{\sqrt[n]{f(P)}}$ .

But  $H^1(K, \mu_n) \cong K^*/(K^*)^n$  via  $(\sigma \mapsto \frac{\sigma \sqrt[n]{x}}{\sqrt[n]{x}}) \leftrightarrow x$ .

Hence  $\alpha(P) = f(P) \pmod{(K^*)^n}$ . □

## 16.1 Descent by 2-isogeny

Given  $E : y^2 = x(x^2 + ax + b); E' : y^2 = x(x^2 + a'x + b')$ , where  $a' = -2a, b' = a^2 - 4b$ . Then we have  $\phi : E \rightarrow E'; (x, y) \mapsto \left(\left(\frac{y}{x}\right)^2, \frac{y(x^2 - b)}{x^2}\right)$ . We can think of  $E'$  as being the quotient of  $E$  by its 2-torsion point  $(0, 0)$ .

If we repeat this procedure, we get  $\widehat{\phi} : E' \rightarrow E; (x, y) \mapsto \left(\frac{1}{4}\left(\frac{y}{x}\right)^2, \frac{y(x^2 - b')}{8x^2}\right)$ .

It can be checked (see example sheet 4) that  $\phi$  and  $\widehat{\phi}$  are dual.

Then  $E[\phi] = \{0, T\}; E'[\widehat{\phi}] = \{0, T'\}$  where  $T, T'$  are  $(0, 0) \in E, E'$  respectively.

**Proposition 16.2.** There is a group homomorphism  $E'(K) \rightarrow K^*/(K^*)^2$  given by

$$(x, y) \mapsto \begin{cases} x \pmod{(K^*)^2} & x \neq 0 \\ b' \pmod{(K^*)^2} & x = 0 \end{cases}$$

with kernel  $\phi(E(K))$ .

*Proof.* Either: apply 16.1 with  $f = x \in K(E'), g = y/x \in K(E)$ .

Or: direct calculation - see example sheet 4. □

We now have an injective group homomorphism  $\alpha_{E'} : \frac{E'(K)}{\phi(E(K))} \hookrightarrow K^*/(K^*)^2$ . By swapping  $E, E'$ , we also get  $\alpha_E : \frac{E(K)}{\widehat{\phi}(E'(K))} \hookrightarrow K^*/(K^*)^2$ .

**Lemma 16.3.**  $2^{\text{rank}(E(K))} = \frac{|\text{im } \alpha_E| |\text{im } \alpha_{E'}|}{4}$

*Proof.* If  $A \xrightarrow{f} B \xrightarrow{g} C$  is a homomorphism of abelian groups then there is an exact sequence

$$0 \rightarrow \ker f \rightarrow \ker(gf) \xrightarrow{f} \ker g \rightarrow \operatorname{coker} f \xrightarrow{g} \operatorname{coker}(gf) \xrightarrow{\operatorname{coker}} g \rightarrow 0$$

Since  $\widehat{\phi}\phi = [2]_E$ , we get an exact sequence

$$0 \rightarrow \underbrace{E(K)[\phi]}_{\mathbb{Z}/2\mathbb{Z}} \rightarrow E(K)[2] \xrightarrow{\phi} \underbrace{E'(K)[\widehat{\phi}]}_{\mathbb{Z}/2\mathbb{Z}} \rightarrow \underbrace{E'(K)/\phi E(K)}_{\operatorname{im} \alpha_{E'}} \xrightarrow{\widehat{\phi}} E(K)/2E(K) \rightarrow \underbrace{E(K)/\widehat{\phi}E'(K)}_{\operatorname{im} \alpha_E} \rightarrow 0$$

Since the alternating product of the orders of the groups along this sequence is 1, we get:

$$\frac{|E(K)/2E(K)|}{|E(K)[2]|} = \frac{|\operatorname{im} \alpha_E| |\operatorname{im} \alpha_{E'}|}{4}$$

The Mordell-Weil theorem says that  $E(K) \cong \Delta \times \mathbb{Z}^r$  where  $\Delta$  is a finite group and  $r$  is the rank of  $E(K)$ .

Then  $E(K)/2E(K) \cong \Delta/2\Delta \times (\mathbb{Z}/2\mathbb{Z})^r$ , and  $E(K)[2] \cong \Delta[2]$ . Now  $|\Delta/2\Delta| = |\Delta[2]|$  by finiteness, and so the result follows.  $\square$

**Lemma 16.4.** *If  $K$  is a number field and  $a, b \in \mathcal{O}_K$  then  $\operatorname{im} \alpha_E \subseteq K(S, 2)$  where  $S = \{\text{primes dividing } b\}$ .*

*Proof.* We must show that, if  $x, y \in K$  are coordinates of a point on  $E$ , so  $y^2 = x(x^2 + ax + b)$  and  $\mathfrak{p}$  is a prime outside  $S$ , so  $v_{\mathfrak{p}}(b) = 0$ , then  $v_{\mathfrak{p}}(x)$  is even.

Suppose first that  $v_{\mathfrak{p}}(x) < 0$ . Then 9.1 tells us  $v_{\mathfrak{p}}(x) = -2r$ ,  $v_{\mathfrak{p}}(y) = -3r$  for some  $r \geq 1$ , and so  $v_{\mathfrak{p}}(x)$  is even.

If instead  $v_{\mathfrak{p}}(x) > 0$ , then  $x^2 + ax + b$  is an algebraic integer and not divisible by  $\mathfrak{p}$ . Hence  $v_{\mathfrak{p}}(x^2 + ax + b) = 0$ , and so  $v_{\mathfrak{p}}(x) = v_{\mathfrak{p}}(y^2) = 2v_{\mathfrak{p}}(y)$  is even.  $\square$

**Lemma 16.5.** *If  $b_1 b_2 = b$  then  $b_1(K^*)^2 \in \operatorname{im}(\alpha_E)$  if and only if*

$$w^2 = b_1 u^4 + a u^2 v^2 + b_2 v^4 \quad (*)$$

*is soluble for  $u, v, w \in K$  not all zero.*

*Proof.* If  $b_1 \in (K^*)^2$  or  $b_2 \in (K^*)^2$ , then both conditions are satisfied. So we may assume  $b_1, b_2 \notin (K^*)^2$ .

Then  $b_1(K^*)^2 \in \operatorname{im}(\alpha_E)$  if and only if there are  $(x, y) \in E(K)$  with  $x = b_1 t^2$  for some  $t \in K^*$ .

Substituting into the Weierstrass equation, we get  $y^2 = b_1 t^2((b_1 t^2)^2 + a b_1 t^2 + b)$ . This can be rearranged to get

$$\left( \frac{y}{b_1 t} \right)^2 = b_1 t^4 + a t^2 + b_2$$

and so  $(*)$  has a solution. The converse follows just by reversing the steps.  $\square$

Now take  $K = \mathbb{Q}$ .

**Example 1.**  $E : y^2 = x^3 - x$ . Here  $a = 0, b = -1$ . Then  $S = \emptyset$ , and so  $\text{im}(\alpha_E) \subseteq \mathbb{Q}(S, 2) = \langle -1 \rangle \subset \mathbb{Q}^*/(\mathbb{Q}^*)^2$ . Checking the above condition for  $-1$  gives  $-1 \in \text{im}(\alpha_E)$ , and so we have equality.

Then  $E' : y^2 = x^3 + 4x$ , and  $\text{im}(\alpha_{E'}) \subseteq \langle -1, 2 \rangle$ . We then check  $b_1 = -1, 2, -2$  in turn using  $(*)$  in the previous lemma.

E.g. for  $b_1 = -1$ , we have  $w^2 = -u^4 - 4v^4$ , which has no solutions in  $\mathbb{Q}$ . Checking these gives only  $b_1 = 2 \in \text{im}(\alpha_{E'})$ , and so  $\text{im}(\alpha_{E'}) = \langle 2 \rangle \subseteq \mathbb{Q}^*/(\mathbb{Q}^*)^2$ .

We can then use 16.3 to see that  $2^r = \frac{2 \cdot 2}{4} = 1$ , and so the rank of this curve  $E(\mathbb{Q})$  is zero, and hence 1 is not a congruent number.

**Example 2.**  $E : y^2 = x^3 + px$  where  $p$  is prime  $\equiv 5 \pmod{8}$ . Then  $b_1 = -1$  gives  $w^2 = -u^4 - pv^4$  insoluble over  $\mathbb{R}$ .  $b_1 = p$  gives a soluble equation and so  $\text{im}(\alpha_E) = \langle p \rangle \subseteq \mathbb{Q}^*/(\mathbb{Q}^*)^2$ .

$E' : y^2 = x^3 - 4px$ .  $\text{im}(\alpha_{E'}) \subseteq \langle -1, 2, p \rangle \subseteq \mathbb{Q}^*/(\mathbb{Q}^*)^2$ .

Note that  $\alpha_{E'}(T') = (-4p)(\mathbb{Q}^*)^2 = (-p)(\mathbb{Q}^*)^2$ , and so  $-p \in \text{im}(\alpha_{E'})$ .

We then check  $b_1 = 2, -2, p$ . Using  $\left(\frac{2}{p}\right) = -1$ , we get that the equations for  $b_1 = 2, -2$  have no solutions.

Hence  $\text{im}(\alpha_{E'}) \subseteq \langle -1, p \rangle \subseteq \mathbb{Q}^*/(\mathbb{Q}^*)^2 = -1$ , including  $p$  if and only if  $w^2 = pu^4 - 4v^4$  has solutions in  $\mathbb{Q}$ .

Then  $\text{rank } E(\mathbb{Q}) = \begin{cases} 0 & \text{equation has solution} \\ 1 & \text{equation has no solutions} \end{cases}$ .

It turns out that these computations can be rephrased in terms of computing some Selmer groups: we have a short exact sequence

$$0 \rightarrow \frac{E'(\mathbb{Q})}{\phi E(\mathbb{Q})} \xrightarrow{\delta} S^{(\phi)}(E/\mathbb{Q}) \rightarrow \text{III}(E/\mathbb{Q})[\phi_*] \rightarrow 0$$

By Hilbert 90,  $S^{(\phi)}(E/\mathbb{Q}) \subset \mathbb{Q}^*/(\mathbb{Q}^*)^2$ , and  $\alpha_{E'}$  is  $\delta$  composed with this inclusion.

Then  $\text{im}(\alpha_{E'}) = \{b_1(\mathbb{Q}^*)^2 : (*) \text{ is soluble over } \mathbb{Q}\} \subset S^{(\phi)}(E/\mathbb{Q}) = \{b_1(\mathbb{Q}^*)^2 : (*) \text{ is soluble over } \mathbb{R} \text{ and over } \mathbb{Q}_p \forall p\}$ .

Fact (uses example sheet 3, q9 + Hensel's Lemma): If  $a, b_1, b_2 \in \mathbb{Z}$  and  $p \nmid 2b(a^2 - 4b)$ , then  $(*)$  is soluble over the  $p$ -adics.

**Example 2 (contd.)**  $E : y^2 = x^3 + px$  where  $p$  is prime  $\equiv 5 \pmod{8}$ . We want to determine if the equation

$$w^2 = pu^4 - 4v^4$$

is soluble.

It is soluble over  $\mathbb{Q}_p$ , since  $-1$  is a square in  $\mathbb{Q}_p$ . It is also soluble over  $\mathbb{Q}_2$  as  $p - 4 \equiv 1 \pmod{8}$ , and so  $p - 4 \in (\mathbb{Z}_2^*)^2$ . It is clearly soluble over  $\mathbb{R}$ . Hence  $b_1$  is a member of  $S^{(\phi)}(E/\mathbb{Q})$ , but we don't know if it's in  $\text{im}(\alpha_{E'})$ . We can look for some solutions:

p	u	v	w
5	1	1	1
13	1	1	3
29	1	1	5
37	5	3	151
53	1	1	7

It is conjectured that this has a solution for all such  $p$ , but this is not actually known.

**Example 3 (Lind):**  $E : y^2 = x^3 + 17x$

Then  $\text{im}(\alpha_E) = \langle 17 \rangle \subset \mathbb{Q}^*/(\mathbb{Q}^*)^2$ .

$E' : y^2 = x^3 - 68x$ , and we get

$$b_1 = 2 \quad w^2 = 2u^4 - 34v^4$$

Replacing  $w$  by  $2w$  and dividing by 2, we get

$$C : 2w^2 = u^4 - 17u^4$$

We then write  $C(K) = \{(u, v, w) \in K^3 \setminus \{0\} : \text{they satisfy the eqn}\} / \sim$ , where  $(u, v, w) \sim (\lambda u, \lambda v, \lambda^2 w)$ .

$C(\mathbb{Q}_2) \neq \emptyset$  since  $17 \in (\mathbb{Z}_2^*)^4$ .

$C(\mathbb{Q}_{17}) \neq \emptyset$  since  $2 \in (\mathbb{Z}_{17}^\times)^2$ .

$C(\mathbb{R}) \neq \emptyset$  since  $\sqrt{2} \in \mathbb{R}$ .

Hence  $C(\mathbb{Q}_v) \neq \emptyset$  for all places  $v$  of  $\mathbb{Q}$ .

However, suppose  $(u, v, w) \in C(\mathbb{Q})$ , wlog  $u, v, w$  integers with  $(u, v) = 1, w > 0$ .

If  $17|w$ , then  $17|u$  and so  $17|v \nmid$ .

So if  $p$  is an odd prime dividing  $w$ , then  $p \neq 17$ , and, mod  $p$ , we get  $\left(\frac{17}{p}\right) = +1$ , and so  $\left(\frac{17}{p}\right) = +1$  by quadratic reciprocity. Note that  $\left(\frac{2}{17}\right) = 1$ , and so this actually holds for all  $p|w$ .

Hence  $\left(\frac{w}{17}\right) = +1$ . But  $2w^2 \equiv u^4 \pmod{17}$ , and so 2 is a fourth power mod 17, i.e.  $2 \in \{\pm 1, \pm 4\} \pmod{17}$ , and so we have a contradiction. Hence there are no  $\mathbb{Q}$ -points on this curve.

I.e.,  $C$  is a counterexample to the Hasse principle, and represents a nontrivial element of  $\text{III}(E/\mathbb{Q})$ .

## 16.2 Birch Swinnerton-Dyer Conjecture

Let  $E/\mathbb{Q}$  be an elliptic curve.

**Definition 16.6.**  $L(E, s) = \prod_p L_p(E, s)$  where  $L_p(E, s) = \begin{cases} (1 - a_p p^{-s} + p^{1-2s})^{-1} & \text{good reduction} \\ (1 - p^{-s})^{-1} & \text{split mult. reduction} \\ (1 + p^{-s})^{-1} & \text{non-split mult. reduction} \\ 1 & \text{additive reduction} \end{cases}$

and  $\# \widetilde{E}(\mathbb{F}_p) = p + 1 - a_p$ .

Hasse's theorem tells us  $|a_p| \leq 2\sqrt{p}$ , and so this product converges for all  $\Re(s) > 3/2$ .

**Theorem 16.7** (Wiles, Brueil, Conrad, Diamond, Taylor).  $L(E, s)$  is the  $L$ -function of a weight 2 modular form, and so has an analytic continuation to all of  $\mathbb{C}$ , and we get a functional equation relating  $L(E, s)$  to  $L(E, 2 - s)$ .

**Conjecture 16.8** (Weak BSD).  $\text{ord}_{s=1} L(E, s) = \text{rank } E(\mathbb{Q})$ .

**Conjecture 16.9** (Strong BSD).  $\lim_{s \rightarrow 1} \frac{1}{(s-1)^r} L(E, s) = \frac{\Omega_E \text{Reg} E(\mathbb{Q}) |\text{III}(E/\mathbb{Q})| \prod_p c_p}{|E(\mathbb{Q})_{\text{tors}}|^2}$  where:

- $c_p$  is the Tamagawa number of  $E(\mathbb{Q}_p)$ , i.e.  $[E(\mathbb{Q}_p) : E_0(\mathbb{Q}_p)]$ .
- $E(\mathbb{Q})/E(\mathbb{Q})_{\text{tors}} \cong \langle P_1, \dots, P_r \rangle$ .
- $\text{Reg} E(\mathbb{Q}) = \det([P_i, P_j])_{i,j=1, \dots, r}$  where  $[P, Q] = \widehat{h}(P + Q) - \widehat{h}(P) - \widehat{h}(Q)$ .
- $\Omega_E = \int_{E(\mathbb{R})} \frac{dx}{|2y + a_1x + a_3|}$ , where  $a_i$  are the coefficients of a globally minimal Weierstrass equation.

**Theorem 16.10** (Kolyvagin). If  $\text{ord}_{s=1} L(E, s) = 0$  or 1, then weak BSD holds and  $|\text{III}(E/\mathbb{Q})| < \infty$ .