Local Fields

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1 Basic Theory

Suppose we have a diophantine polynomial $f(x_1, \ldots, x_r) \in \mathbb{Z}[x_1, \ldots, x_r]$. Then we might want to find integer solutions to the equation $f(x_1, \ldots, x_r) = 0$. However, it turns out this can be very difficult to do, for instance showing $x^n + y^n - z^n = 0$ has no solutions for $x, y, z \in \mathbb{Z}$ took hundreds of years and a lot of advanced mathematics.

Instead, we study congruences of the form $f(x_1, \ldots, x_r) \equiv 0 \mod p^n$, for prime p and integer n. This then becomes a finite computation, and hence a much easier problem. Local fields will give us a way to package all this information together.

1.1 Absolute Values

Definition 1.1. Let K be a field. An absolute value on K is a function $|\cdot|: K \to \mathbb{R}_{\geq 0}$ such that:

- 1. $|x| = 0 \iff x = 0$
- 2. $|xy| = |x||y| \ \forall x, y \in K$
- 3. $|x + y| \le |x| + |y| \ \forall x, y \in K$

We say that $(K, |\cdot|)$ is a valued field.

Examples:

- 1. $K = \mathbb{R}$ or \mathbb{C} with $|\cdot|$ the usual absolute value. We write $|\cdot|_{\infty}$ for this absolute value.
- 2. K is any field. The *trivial absolute value* on K is defined by:

$$|x| = \begin{cases} 0 & x = 0\\ 1 & x \neq 0 \end{cases} \tag{1}$$

We will ignore this absolute value in this course.

3. $K = \mathbb{Q}$, p a prime. For $0 \neq x \in \mathbb{Q}$, we can write $x = p^n \frac{a}{b}$, where $a, b \in \mathbb{Z}$, (a, p) = 1, and (b, p) = 1. The **p-adic absolute value** is defined to be:

$$|x|_p = \begin{cases} 0 & x = 0\\ p^{-n} & x = p^n \frac{a}{b} \end{cases}$$

We check the axioms.

- 1. Clear from the definition.
- 2. $|xy|_p = |p^{m+n} \frac{ac}{bd}|_p = p^{-m-n} = |x|_p |y|_p$

3. Wlog,
$$m \ge n$$
. $|x + y|_p = \left| p^n \left(\frac{ad + p^{m-n}bc}{bd} \right) \right|_p \le p^{-n} = \max(|x|_p, |y|_p)$

An absolute value on K induces a metric d(x,y) = |x-y| on K, and hence induces a topology on K. As an exercise, check that $+, \cdot$ are continuous.

Definition 1.2. Let $|\cdot|, |\cdot|'$ be absolute values on a field K. We say that $|\cdot|, |\cdot|'$ are equivalent if they induce the same topology on K. An equivalence class of absolute values is called a place.

Proposition 1.3. Let $|\cdot|, |\cdot|'$ be non-trivial absolute values on K. The following are equivalent:

- 1. $|\cdot|, |\cdot|'$ are equivalent.
- $2. |x| < 1 \iff |x|' < 1 \ \forall x \in K.$
- 3. $\exists c \in \mathbb{R}_{>0}$ s.t. $|x|^c = |x|' \ \forall x \in K$

Proof.

 $1. \Longrightarrow 2.$

$$|x| < 1 \iff x^n \to 0 \text{ w.r.t. } |\cdot|$$
 (2)

$$\iff x^n \to 0 \text{ w.r.t. } |\cdot|'$$
 (3)

$$\iff |x|' < 1$$
 (4)

 $\underline{2. \Longrightarrow 3.}$ Let $a \in K^{\times}$ s.t. |a| < 1, which exists since $|\cdot|$ is non-trivial. We need to show that, for all $x \in K^{\times}$, we have:

$$\frac{\log|x|}{\log|a|} = \frac{\log|x|'}{\log|a|'}$$

Assume $\frac{\log|x|}{\log|a|} < \frac{\log|x|'}{\log|a|'}$. Then choose $m, n \in \mathbb{Z}$ so that $\frac{\log|x|}{\log|a|} < \frac{m}{n} < \frac{\log|x|'}{\log|a|'}$. Then we have:

$$n \log |x| < m \log |a|$$

 $n \log |x|' > m \log |a|'$

and hence $|\frac{x^n}{a^m}|<1, |\frac{x^n}{a^m}|'>1, \frac{t}{2}$.

 $3. \Longrightarrow 1$. This is clear, as open balls in one topology will also be open balls in the other, hence the topologies will be the same.

In this course, we will be mainly interested in the following types of absolute values:

Definition 1.4. An absolute value $|\cdot|$ on K is said to be **non-archimedean** if it satisfies the ultrametric inequality $|x+y| \leq \max(|x|,|y|)$

If $|\cdot|$ is not non-archimedean, then it is archimedean. Examples:

- 1. $|\cdot|_{\infty}$ on \mathbb{R} is archimedean.
- 2. $|\cdot|_p$ is a non-archimedean absolute value on \mathbb{Q} .

Lemma 1.5 (All triangles are isosceles). Let $(K, |\cdot|)$ be a non-archimedean valued field, and $x, y \in K$. If |x| < |y|, then |x - y| = |y|.

Proof. Observe that $|1| = |1 \cdot 1| = |1| \cdot |1|$, and so |1| = 1 or 0. But $1 \neq 0$, so |1| = 1. Similarly, |-1| = 1, and so |-y| = |y| for all $y \in K$.

Then if |x| < |y|, $|x - y| \le \max(|x|, |y|) = |y|$.

At the same time $|y| \le \max(|x|, |x - y|) \implies |y| \le |x - y|$.

Hence
$$|y| = |x - y|$$
.

Proposition 1.6. Let $(K, |\cdot|)$ be non-archimedean, and $(x_n)_{n=1}^{\infty}$ be a sequence in K.

If $|x_n - x_{n+1}| \to 0$, then $(x_n)_{n=1}^{\infty}$ is Cauchy.

In particular, if K is in addition complete, then $(x_n)_{n=1}^{\infty}$ converges.

Proof. For $\epsilon > 0$, choose N such that $|x_n - x_{n+1}| < \epsilon \, \forall n > N$.

Then for N < n < m, we have:

$$|x_n - x_m| = |(x_n - x_{n+1}) + (x_{n+1} - x_{n+1}) + \dots + (x_{m-1} - x_m)| < \epsilon$$

And so the sequence is Cauchy.

For example, if p = 5, construct the sequence $(x_n)_{n=1}^{\infty}$ such that:

- $1. \ x_n^2 + 1 \equiv 0 \mod 5^n$
- $2. \ x_n \equiv x_{n+1} \mod 5^n$

as follows:

Take $x_1 = 2$. Suppose we have constructed x_n . Let $x_n^2 + 1 = a5^n$, and set $x_{n+1} = x_n + b5^n$. Then $x_{n+1}^2 + 1 = x_n^2 + 2b5^n x_n + b^2 5^{2n} + 1 = a5^n + 2b5^n x_n + b^2 5^{2n}$.

We choose b such that $a+2bx_n\equiv 0 \mod 5$, i.e. $b\equiv -\frac{a}{2x_n}\mod 5$, and then we have $x_{n+1}^2+1\equiv 0 \mod 5^{n+1}$ as desired.

The second property implies that $|x_{n+1}-x_n|_5 < 5^{-n} \to 0$, and so the sequence is Cauchy. Now suppose that $x_n \to L \in \mathbb{Q}$. Then $x_n^2 \to L^2$. But the first property then gives us that $x_n^2 \to -1 \implies L^2 = -1 \mbox{$\rlap/$$}$. So $(\mathbb{Q}, |\cdot|_5)$ is not complete.

Definition 1.7. The p-adic numbers \mathbb{Q}_p is the completion of \mathbb{Q} with respect to $|\cdot|_p$.

We have an analogy with \mathbb{R} , in that \mathbb{R} is the completion of \mathbb{Q} with respect to $|\cdot|_{\infty}$.