

# REMARKS ON GW/PT UNDER DEL PEZZO TRANSITIONS

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ABSTRACT. A projective threefold transition  $Y \xrightarrow{\phi} \bar{Y} \rightsquigarrow X$  is del Pezzo if  $\phi$  contracts a smooth del Pezzo surface to a point. We show that the GW/PT correspondence holds on  $Y$  implies that it holds on  $X$ . In particular, a hypersurface of degree 6 in  $\mathbb{P}(3, 2, 1, 1, 1)$  gives a new example to the correspondence. The main tools are (i) the explicit semistable reduction as a double point degeneration and (ii) deformations of del Pezzo surfaces into toric surfaces (Proposition 3.12). Careful and repeated applications of the degeneration formulas in GW and PT theories then reduce the problem to known cases.

## 1. INTRODUCTION

Two smooth projective threefolds  $X$  and  $Y$  are related by a *geometric transition* if there exists a crepant contraction  $\phi: Y \rightarrow \bar{Y}$  followed by a smoothing  $\mathfrak{X} \rightarrow \Delta$  of  $\bar{Y} = \mathfrak{X}_0$  with the fiber  $X = \mathfrak{X}_t$  for some  $t \neq 0$ . We write  $Y \searrow X$  or  $Y \xrightarrow{\phi} \bar{Y} \rightsquigarrow X$  for this process.

When the singular variety  $\bar{Y}$  has only ordinary double points, this is known as a conifold transition. Comparison of geometric invariants under conifold transitions has been intensively studied in the literature. For example, the Gromov–Witten/Pandharipande–Thomas (GW/PT) correspondence (Conjecture 3.7) holds on  $Y$  implies that it holds on  $X$  [11].

Here we are interested in more general transitions. A transition  $Y \xrightarrow{\phi} \bar{Y} \rightsquigarrow X$  is a *del Pezzo transition of degree  $d$*  if the  $\phi$  exceptional set  $E$  is a smooth divisor with  $E^3 = d$  and  $\phi(E)$  is a point. In particular  $E \cong S_d$  is a smooth del Pezzo surface of degree  $d$ . The purpose of this note is to compare certain geometric invariants under del Pezzo transitions.

The main tool used is the double point degenerations we have found in [5, §4] (see (2.3) and (2.6)). In simple terms, to conclude that the semistable model of the smoothing  $\mathfrak{X} \rightarrow \Delta$  is of double point type, we control the base change degree for  $\mathfrak{X} \rightarrow \Delta$  so that we may first perform the base change and then perform only one weighted blow-up to achieve the semistable model (see (2.4)). The double point degenerations then allow us to employ various existing degeneration formulas to study the comparison by reducing the problem to its local models  $Y_d \searrow X_d$  for  $d \in \{1, 2, 3, 4, 5, 6\text{I}, 6\text{II}, 7, 8\}$ . Here  $X_d$  (resp.  $S_d$ ) is a smooth del Pezzo threefold (resp. surface) of degree  $d$ ,  $Y_d = \mathbb{P}_{S_d}(K_{S_d} \oplus \mathcal{O})$ . Note that for  $d = 6$  there are indeed two possible smoothings (see Example 2.1).

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As a first application, we prove in Theorem 2.2 that if  $\varphi$  is a group homomorphism from the complex cobordism group to a group, then

$$\varphi(Y) - \varphi(X) = \varphi(Y_d) - \varphi(X_d).$$

In particular, up to complex cobordism, a del Pezzo transition is equivalent to its local model.

Secondly, we study the descendent GW/PT correspondence (Conjecture 3.7) under del Pezzo transitions. We show in Theorem 3.19 that if  $Y$  satisfies Conjecture 3.7, then so does  $X$  for descendent insertions (3.7). To apply degeneration formulas for GW and PT-invariants we need to prove that the relative descendent GW/PT correspondence (Conjecture 3.11) holds for the pairs  $(Y_d, E)$  and  $(Y_d, H)$ , where  $E$  (resp.  $H$ ) is the zero (resp. infinity) section of  $Y_d$  (see Theorem 3.16). The trick is to show that every del Pezzo surface is deformation equivalent to a toric surface (Proposition 3.12) and therefore  $Y_d$  is deformation equivalent to a toric threefold whose GW/PT correspondence is known by [16].

As a byproduct, we also prove Conjecture 3.7 for certain (weak) Fano threefolds (Theorem 3.14). In particular we obtain new cases which are not contained in [11, 16, 17], e.g. the del Pezzo threefold of degree one  $X_1$ , which is a hypersurface of degree 6 in  $\mathbb{P}(3, 2, 1, 1, 1)$  (see Remarks 3.15 and 3.22).

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## 2. DOUBLE POINT DEGENERATIONS

**2.1. Del Pezzo Transitions.** We briefly review the basics of del Pezzo transitions (see [5, §1 & §4] and references therein for more details) and set notations for the rest of this paper.

Given a del Pezzo transition  $Y \xrightarrow{\phi} \bar{Y} \rightsquigarrow X$  of degree  $d$ , we know that the exceptional divisor  $E$  is a smooth del Pezzo surface of degree  $d$  (cf. [19, Proposition 2.13]). Moreover,  $E$  is not isomorphic to  $\mathbb{P}^2$  or the Hirzebruch surface  $\mathbb{F}_1$  because the deformation space  $\text{Def}(\bar{Y}, p)$  must contain a smoothing component (cf. [5, Remark 1.8]), where  $\phi(E) = \{p\}$ . In particular we have  $1 \leq d \leq 8$ .

We shall recall the construction of a (standard) local model  $Y_d \setminus X_d$  of del Pezzo transitions degree of  $d$  in the following example.

**Example 2.1.** For  $1 \leq d \leq 8$ , let  $S_d$  denote a smooth del Pezzo surface of degree  $d$  which is isomorphic to the blow-up of  $\mathbb{P}^1 \times \mathbb{P}^1$  at  $8 - d$  points.

Let us denote by  $\alpha$  the weight

$$\alpha = \begin{cases} (3, 2, 1, 1) & \text{if } d = 1, \\ (2, 1, 1, 1) & \text{if } d = 2, \\ (1, \dots, 1) & \text{if } 3 \leq d \leq 8, \end{cases} \quad (2.1)$$

where the last sequence of 1 is repeated  $d + 1$  times. Then we have the anti-canonical embedding  $S_d \hookrightarrow \mathbb{P}(\alpha)$ . Let  $\bar{Y}_d$  be the projective cone over  $S_d$  with vertex  $p$  in the weighted projective space  $\mathbb{P}(\alpha, 1)$  and

$$Y_d = \mathbb{P}_{S_d}(K_{S_d} \oplus \mathcal{O}). \quad (2.2)$$

It is immediate that  $Y_d$  is the weighted blow-up of  $\bar{Y}_d$  at the point  $p = [0 : \cdots : 0 : 1] \in \mathbb{P}(\alpha, 1)$  with the weight  $\alpha$ . Therefore  $S_d$  is the exceptional divisor of  $Y_d \rightarrow \bar{Y}_d$ . Note that  $Y_d \rightarrow \bar{Y}_d$  is the restriction of the weighted blow-up  $\mathbb{P}_{\mathbb{P}(\alpha)}(\mathcal{O}(-1) \oplus \mathcal{O}) \rightarrow \mathbb{P}(\alpha, 1)$  at  $p$  with the weight  $(\alpha, 1)$ . To summarize, we have

$$\begin{array}{ccc} Y_d & \hookrightarrow & \mathbb{P}_{\mathbb{P}(\alpha)}(\mathcal{O}(-1) \oplus \mathcal{O}) \\ \downarrow & & \downarrow \\ \bar{Y}_d & \hookrightarrow & \mathbb{P}(\alpha, 1). \end{array}$$

We denote by  $(X_d, \mathcal{O}_{X_d}(1))$  a smooth del Pezzo threefold of degree  $d$ . By the classification of Fujita and Iskovskikh (see [2], [5, Appendix A] and the references therein), we have the anti-canonical embedding  $X_d \hookrightarrow \mathbb{P}(\alpha, 1)$ . Moreover,  $X_d$  is one of the following:

- (1)  $d = 1$  and  $X_1$  is a hypersurface of degree 6 in  $\mathbb{P}(3, 2, 1, 1, 1)$ .
- (2)  $d = 2$  and  $X_2$  is a double cover  $X \rightarrow \mathbb{P}^3$  ramified along a surface in  $\mathbb{P}^3$  of degree 4.
- (3)  $d = 3$  and  $X_3 \hookrightarrow \mathbb{P}^4$  is a hypersurface of degree 3.
- (4)  $d = 4$  and  $X_4 \hookrightarrow \mathbb{P}^5$  is a complete intersection of two quadrics.
- (5)  $d = 5$  and  $X_5 \hookrightarrow \mathbb{P}^6$  is a linear section of Plücker embedding of  $\mathrm{Gr}(2, 5) \subseteq \mathbb{P}^9$  by a codimension 3 subspace.
- (6I)  $d = 6$  and  $X_{6I} \hookrightarrow \mathbb{P}^7$  is a hypersurface of bidegree  $(1, 1)$  in  $\mathbb{P}^2 \times \mathbb{P}^2$ , and  $\mathbb{P}^2 \times \mathbb{P}^2 \hookrightarrow \mathbb{P}^8$  by Segre embedding.
- (6II)  $d = 6$  and  $X_{6II} = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \hookrightarrow \mathbb{P}^7$  by Segre embedding.
- (7)  $d = 7$  and  $X_7 \hookrightarrow \mathbb{P}^8$  is a blow-up of  $\mathbb{P}^3$  at a point.
- (8)  $d = 8$  and  $X_8 = \mathbb{P}^3 \hookrightarrow \mathbb{P}^9$  with  $\mathcal{O}_X(1) = \mathcal{O}_{\mathbb{P}^3}(2)$ .

Then  $X_d$  is a smoothing of the singular del Pezzo threefold  $\bar{Y}_d$  and therefore we get the desired del Pezzo transition  $Y_d \searrow X_d$  for  $d \in \{1, 2, 3, 4, 5, 6I, 6II, 7, 8\}$ . Here we adopt the convention that  $Y_{6I} = Y_{6II} := Y_6$ .

Let  $\mathfrak{X} \rightarrow \Delta$  be the corresponding smoothing of the del Pezzro transition  $Y \xrightarrow{\phi} \bar{Y} \rightsquigarrow X$ . Note that  $\phi$  is the weighted blow-up at the unique singularity  $p$  of  $\bar{Y}$  with weight  $\alpha$  (2.1) (cf. [19, Theorem 2.11]).

To use the local model  $Y_d \searrow X_d$  to study  $Y \searrow X$ , we need two double point degenerations. Let us review the construction of such degenerations in [5, §4.1].

The *Kähler degeneration*  $\mathcal{Y} := \mathrm{Bl}_{E \times \{0\}}(Y \times \Delta) \rightarrow \Delta$  is the deformation to the normal cone. Since  $E$  has codimension one in  $Y$  and  $E|_E = K_E$ , the special fiber  $\mathcal{Y}_0 = Y \cup Y_d$  is a simple normal crossing divisor with  $Y_d = \mathbb{P}_E(K_E \oplus \mathcal{O})$ . The intersection  $E = Y \cap Y_d$  is

understood as the infinity divisor (or relative hyperplane section) of  $Y_d \rightarrow E$ . We also denote this degeneration by

$$Y \rightsquigarrow Y \cup_E Y_d. \quad (2.3)$$

The *complex degeneration* is  $\mathcal{X} \rightarrow \Delta$  is the semistable reduction of the smoothing  $\mathfrak{X} \rightarrow \Delta$ . Set  $n_d = 6, 4, 3$  for  $d = 1, 2, 3$  respectively, and  $n_d = 2$  for  $d \geq 4$ . It is obtained by a degree  $n_d$  base change  $\mathcal{X}' \rightarrow \Delta$  allowed by the weighted blow-up at  $p \in \mathcal{X}'$  with weight  $(\alpha, 1)$ :

$$\begin{array}{ccccccc} \mathcal{X} & \longrightarrow & \mathfrak{X}' & \longrightarrow & \mathfrak{X} \\ \downarrow & & \downarrow & & \square & & \downarrow \\ \Delta & \equiv & \Delta & \longrightarrow & \Delta & & \end{array} \quad (2.4)$$

For the control of the base change degree  $n_d$ , see the proof of Proposition 1.10 in [5]. The special fiber  $\mathcal{X}_0 = Y \cup X_d$  is a simple normal crossing divisor with  $X_d$  being a smooth del Pezzo threefold of degree  $d$ . The intersection  $E = Y \cap X_d$  in  $X_d$  is now understood as a general member of the linear system  $| -K_{X_d}|$ , and the normal bundle of the intersection  $E$  in  $Y$  is  $K_E$ . In particular, we have

$$N_{E/Y} \otimes N_{E/X} \cong \mathcal{O}(K_E) \otimes \mathcal{O}(-K_E) \cong \mathcal{O}_E. \quad (2.5)$$

We also denote this degeneration by

$$X \rightsquigarrow Y \cup_E X_d. \quad (2.6)$$

Notice that the local model  $Y_d \setminus X_d$  appears in the special fibers  $\mathcal{X}_0$  and  $\mathcal{Y}_0$ . For  $d = 6$ ,  $X_{6I}$  and  $X_{6II}$  are distinguished by the irreducible component of  $\text{Def}(\bar{Y}, p)$  that contains the image of the holomorphic map  $\Delta \rightarrow \text{Def}(\bar{Y}, p)$  induced by the smoothing  $\mathfrak{X} \rightarrow \Delta$ .

**2.2. Topology: Chern Numbers.** Applying the double point degeneration (2.6) to del Pezzo transitions of degree  $d$ , we can identify them with the local model  $Y_d \setminus X_d$  in the complex cobordism ring  $\Omega_*^U$ . Recall that  $\Omega_*^U$  is generated by all stable almost complex manifolds, and it is a polynomial ring over  $\mathbb{Z}$ . Two manifolds determine the same element in  $\Omega_*^U$  if and only if their Chern numbers coincide.

**Theorem 2.2.** *Given a del Pezzo extremal transition  $Y \xrightarrow{\phi} \bar{Y} \rightsquigarrow X$  of degree  $d$ , we let  $Y_d$  and  $X_d$  be as in Example 2.1. If  $\varphi: \Omega_6^U \rightarrow \Lambda$  is a group homomorphism, then*

$$\varphi(Y) - \varphi(X) = \varphi(Y_d) - \varphi(X_d)$$

for  $d \in \{1, 2, 3, 4, 5, 6I, 6II, 7, 8\}$ .

*Proof.* We will apply algebraic cobordism theory to prove this theorem, see [6, 7] for further details and references. Indeed, we use the double point cobordism ring  $\omega_*(\mathbb{C})$  of Levine-Pandharipande [7, Theorem 1] to give a simple description of the difference  $[X] - [Y]$ .

By (2.5), (2.6) and double point relations [7, Definition 0.1], we get

$$[X] - [Y] - [X_d] + [\mathbb{P}(N_{E/Y} \oplus \mathcal{O})] = 0 \quad (2.7)$$

in  $\omega_3(\mathbb{C})$ . According to (2.2) and that  $\phi$  is crepant, it follows that  $\mathbb{P}(N_{E/Y} \oplus \mathcal{O}) = Y_d$  by the adjunction formula. Then the theorem follows from (2.7), the isomorphism

$$\omega_*(\mathbb{C}) \cong \Omega_{2*}^U$$

(see [6, Lemma 4.3.1, Theorem 4.3.7]) and that  $\varphi$  is a group homomorphism.  $\square$

We give some examples of group homomorphisms  $\varphi$ .

**Example 2.3.** If the group  $\Lambda$  is  $\Omega_6^U$ , then the identity map  $\varphi = \text{id}$  is a trivial example, and we get (2.7).

Let  $Z(M, q)$  be the partition function for degree 0 Donaldson–Thomas invariants on a smooth projective threefold  $M$ . It gives another example of group homomorphisms. In fact, by the degeneration formula in Donaldson–Thomas theory, a group homomorphism (see [7, §13])

$$\varphi: \Omega_6^U \cong \omega_3(\mathbb{C}) \rightarrow \mathbb{Q}[[q]]^*$$

is defined by  $\varphi(M) := Z(M, q)$ , where  $\mathbb{Q}[[q]]^* \subseteq \mathbb{Q}[[q]]$  is the multiplicative group of power series with constant term 1.

**Example 2.4.** Let  $R$  be a commutative ring containing  $\mathbb{Q}$ . We recall the Hirzebruch  $R$ -genus  $\varphi$  (see [1, §1]). By definition, it is a ring homomorphism  $\varphi: \Omega_*^U \otimes \mathbb{Q} \rightarrow R$ , which depends only on Chern numbers. To each series of the form  $Q(x) = 1 + a_1x + a_2x^2 + a_3x^3 + \dots \in R[[x]]$  there corresponds the Hirzebruch  $R$ -genus  $\varphi_Q(M) := \int_M \prod_i Q(x_i)$ , where  $x_i$ 's are the Chern roots of a stable almost complex manifold  $M$ . If  $M$  has complex dimension three, then

$$\varphi_Q(M) = (a_1^3 - a_1a_2 + a_3)c_3 + (a_1a_2 - 3a_3)c_1c_2 + a_3c_1^3, \quad (2.8)$$

where  $c_i = c_i(M)$  is the Chern number.

Since  $\varphi_Q$  is also a group homomorphism, we can apply Theorem 2.2 to compute the difference of the  $R$ -genus of a del Pezzo transition  $Y \searrow X$  of degree  $d$ . It suffices to compute the Chern numbers of the local model  $Y_d \searrow X_d$ . By (2.2) and Euler sequence for the projective bundle  $\pi: Y_d \rightarrow S_d$ ,

$$0 \rightarrow \mathcal{O}_{Y_d} \rightarrow \pi^*(K_{S_d} \oplus \mathcal{O}) \otimes \mathcal{O}_{Y_d}(1) \rightarrow T_{Y_d} \rightarrow \pi^*T_{S_d} \rightarrow 0,$$

it is easily seen that  $c_1(Y_d)^3 = 8d$ ,  $c_1(Y_d)c_2(Y_d) = 24$  and

$$c_3(Y_d) = 2(12 - d) = 2c_2(S_d),$$

where  $S_d$  is a smooth del Pezzo surface of degree  $d$ . On the other hand, since  $X_d$  is a del Pezzo threefold of degree  $d$ , we have that  $c_1(X_d)^3 = 8d$ . From standard arguments using the Riemann–Roch, Serre duality, and Kodaira vanishing [2, Corollary 2.1.14], we find that

$$d + 2 = \chi(\mathcal{O}(1)) = d + \frac{1}{12}c_1(X_d)c_2(X_d),$$

i.e.,  $c_1(X_d)c_2(X_d) = 24$ . The top Chern number of  $X_d$  is given in Table 1 by the classification of del Pezzo threefolds, see for example [5, Appendix A] and references therein. Set  $\Delta\chi_{\text{top}} :=$

$\chi_{\text{top}}(Y_d) - \chi_{\text{top}}(X_d)$ , where  $\chi_{\text{top}}(-)$  is the topological Euler number. We also list the topological difference  $\Delta\chi_{\text{top}}$  of  $Y \setminus X$  in Table 1 (cf. [5, Remark 1.12]). Therefore

$$\begin{aligned}\varphi_Q(Y) - \varphi_Q(X) &= (a_1^3 - a_1 a_2 + a_3)(2\chi_{\text{top}}(S_d) - \chi_{\text{top}}(X_d)) \\ &= (a_1^3 - a_1 a_2 + a_3)\Delta\chi_{\text{top}}\end{aligned}$$

by (2.8) and  $\chi_{\text{top}}(Y_d) = \chi_{\text{top}}(\mathbb{P}^1)\chi_{\text{top}}(S_d)$ . As a byproduct of 2.7 and that  $X_d$  and  $Y_d$  have same  $c_1 c_2 = 24$ , we also obtain that  $c_1(Y)c_2(Y) = c_1(X)c_2(X)$ .

$d$	1	2	3	4	5	6I	6II	7	8
$c_3(X_d)$	-38	-16	-6	0	4	6	8	6	4
$\Delta\chi_{\text{top}}$	60	36	24	16	10	6	4	4	4

TABLE 1. Topological numbers of  $Y_d \setminus X_d$ .

### 3. QUANTUM: GW/PT CORRESPONDENCE

In this section, we will use the double point degenerations (2.3) and (2.6) to relate descendent GW/PT correspondence under del Pezzo transitions (Theorem 3.19). We also prove correspondences for certain (weak) Fano threefolds (Theorems 3.14 and 3.16). As §3.1 and 3.2 are primarily to review the basics of GW and PT theories and to set notations, the exposition is condensed. See [16, 17, 11] and references therein for more information.

**3.1. Absolute Theories.** Let  $M$  be a smooth projective threefold. Fix a curve class  $0 \neq \beta \in \text{NE}(M)$ , integers  $r \in \mathbb{Z}_{\geq 0}$  and  $g \in \mathbb{Z}$ . Set  $\mathbf{c}_\beta := (c_1(T_V), \beta)$ .

Let  $\overline{\mathcal{M}}'_{g,r}(M, \beta)$  denote be the moduli space of  $r$ -marked genus  $g$  degree  $\beta$  stable maps  $C \rightarrow M$ , where the stable map is required to have positive degree on each connected component of the (possibly disconnected) domain  $C$ . The moduli space  $\overline{\mathcal{M}}'_{g,r}(M, \beta)$  is equipped with a virtual fundamental class and its virtual dimension is  $\mathbf{c}_\beta + r$ .

**Definition 3.1.** Let  $\psi_j$  be the first Chern class of cotangent line bundle associated to the  $j$ -th marked point for  $j = 1, \dots, r$ . Then the disconnected descendent GW-invariant is defined as

$$\langle \tau_{\alpha_1-1}(\gamma_1) \cdots \tau_{\alpha_r-1}(\gamma_r) \rangle'_{g,\beta} = \int_{[\overline{\mathcal{M}}'_{g,r}(M, \beta)]^{\text{vir}}} \prod_{j=1}^r \psi_j^{\alpha_j-1} \cup \text{ev}_j^*(\gamma_j)$$

where  $\text{ev}_j$  is the evaluation map given by the  $j$ -th marked point and  $\gamma_j \in H^*(M, \mathbb{Q})$ . We define the following associated partition function

$$\text{Z}'_{\text{GW}} \left( M; u \left| \prod_{j=1}^r \tau_{\alpha_j-1}(\gamma_j) \right. \right)_\beta = \sum_{g \in \mathbb{Z}} \left\langle \prod_{j=1}^r \tau_{\alpha_j-1}(\gamma_j) \right\rangle'_{g,\beta} u^{2g-2}. \quad (3.1)$$

Note that  $\overline{\mathcal{M}}'_{g,r}(M, \beta)$  is empty for  $g$  sufficiently negative. Therefore (3.1) is a Laurent series in  $\mathbb{Q}(\!(u)\!)$ .

To define PT-invariants, we consider the moduli space of stable pairs. A *stable pair*

$$(F, s: \mathcal{O}_M \rightarrow F)$$

on  $M$  consists of a pure one-dimensional sheaf  $F$  on  $M$  and a section  $s$  with zero-dimensional cokernel. Given  $n \in \mathbb{Z}$ , let  $P_n(M, \beta)$  be the moduli space of stable pairs with  $\text{ch}_2(F) = \beta$  and  $\chi(F) = n$ . Then  $P_n(M, \beta)$  is fine and projective, and it admits a virtual fundamental class of virtual dimension  $c_\beta$ . Let  $\mathbb{F}$  be the universal sheaf of  $P_n(M, \beta)$ . Consider the  $k$ -th descendent insertion

$$\tau_k(\gamma) := \pi_{P*}(\pi_M^*(\gamma) \cdot \text{ch}_{2+k}(\mathbb{F})) \in H^*(P_n(M, \beta), \mathbb{Q})$$

of a class  $\gamma \in H^p(M, \mathbb{Q})$  where  $\pi_P$  and  $\pi_M$  are projections on  $P_n(M, \beta) \times M$ .

**Definition 3.2.** Given  $\alpha_j \in \mathbb{N}$  and  $\gamma_j \in H^*(M, \mathbb{Q})$  for  $1 \leq j \leq r$ , the corresponding descendent PT-invariant is

$$\langle \tau_{\alpha_1-1}(\gamma_1) \cdots \tau_{\alpha_r-1}(\gamma_r) \rangle_{n, \beta} = \int_{[P_n(M, \beta)]^\text{vir}} \prod_{j=1}^r \tau_{\alpha_j-1}(\gamma_j).$$

We define the following associated partition function

$$Z_{\text{PT}} \left( M; q \left| \prod_{j=1}^r \tau_{\alpha_j-1}(\gamma_j) \right. \right)_\beta = \sum_{n \in \mathbb{Z}} \left\langle \prod_{j=1}^r \tau_{\alpha_j-1}(\gamma_j) \right\rangle_{n, \beta} q^n. \quad (3.2)$$

Note that the moduli space  $P_n(M, \beta)$  is empty for  $n$  sufficiently negative. Therefore (3.2) is a Laurent series  $\mathbb{Q}(\!(q)\!)$  as well.

For the case of primary insertions, Pardon [18] proved the conjecture of Maulik–Nekrasov–Okounkov–Pandharipande [12, 13] for all complex threefolds with nef anti-canonical bundle.

**Theorem 3.3** ([18, Theorem 1.6]). *Let  $M$  be a smooth projective threefold with nef anti-canonical bundle. Then, for classes  $(\gamma_1, \dots, \gamma_r) \in H^*(M, \mathbb{Q})^{\oplus r}$ , the partition function  $Z_{\text{PT}}(M; q | \gamma_1 \dots \gamma_r)_\beta \in \mathbb{Q}(q)$  and*

$$(-q)^{-c_\beta/2} Z_{\text{PT}}(M; q | \gamma_1 \dots \gamma_r)_\beta = (-iu)^{c_\beta} Z'_{\text{GW}}(M; u | \gamma_1 \dots \gamma_r)_\beta$$

under the variable change  $-q = e^{iu}$ .

To relate descendent GW and PT-invariants, we need the correspondence matrices found by Pandharipande and Pixton [16, 17]. The matrices relating them were predicted in [13, Conjecture 4].

Let  $\widehat{\alpha} = (\widehat{\alpha}_1, \dots, \widehat{\alpha}_{\widehat{\ell}})$ , with  $\widehat{\alpha}_1 \geq \dots \geq \widehat{\alpha}_{\widehat{\ell}} \geq 1$ , be a partition of length  $\ell(\widehat{\alpha}) := \widehat{\ell}$  and size  $|\widehat{\alpha}| := \sum \widehat{\alpha}_j$ . Let  $\iota_\Delta: \Delta \rightarrow M^{\widehat{\ell}}$  be the inclusion of the small diagonal in the product  $M^{\widehat{\ell}}$ . For  $\gamma \in H^*(M, \mathbb{Q})$ , we write

$$\gamma \cdot \Delta := \iota_{\Delta*}(\gamma) \in H^*(M^{\widehat{\ell}}, \mathbb{Q}).$$

Let  $\{\theta_j\}$  be a basis of  $H^*(M, \mathbb{Q})$ . By Künneth formula, we have

$$\gamma \cdot \Delta = \sum_{j_1, \dots, j_{\hat{\ell}}} c_{j_1, \dots, j_{\hat{\ell}}}^{\gamma} \theta_{j_1} \otimes \cdots \otimes \theta_{j_{\hat{\ell}}}.$$

The descendent insertion  $\tau_{[\hat{\alpha}]}(\gamma)$  is defined by [17, (3)]

$$\tau_{[\hat{\alpha}]}(\gamma) = \sum_{j_1, \dots, j_{\hat{\ell}}} c_{j_1, \dots, j_{\hat{\ell}}}^{\gamma} \tau_{\hat{\alpha}_1-1}(\theta_{j_1}) \cdots \tau_{\hat{\alpha}_{\hat{\ell}}-1}(\theta_{j_{\hat{\ell}}}).$$

The key construction in [16, §0.5] is a universal correspondence matrix  $\tilde{K}$  indexed by partitions  $\alpha$  and  $\hat{\alpha}$  of positive size with

$$\tilde{K}_{\alpha, \hat{\alpha}} \in \mathbb{Q}[\sqrt{-1}, c_1, c_2, c_3](u)$$

and  $\tilde{K}_{\alpha, \hat{\alpha}} = 0$  if  $|\alpha| < |\hat{\alpha}|$ . By specializing the formal variables  $c_i$  to  $c_i(T_M)$ , the elements of  $\tilde{K}$  act by cup product on  $H^*(M, \mathbb{Q})$  with  $\mathbb{Q}[i](u)$ -coefficients.

Let  $\alpha = (\alpha_1, \dots, \alpha_{\ell})$  be a partition and  $P$  a partition of  $\{1, \dots, \ell\}$ . For each  $S \in P$ , a subset of  $\{1, \dots, \ell\}$ , let  $\alpha_S$  be the subpartition consisting of the parts  $\alpha_j$  for  $j \in S$  and

$$\gamma_S = \prod_{j \in S} \gamma_j.$$

**Definition 3.4** ([16]). For even cohomology classes  $\gamma_j \in H^{2*}(M, \mathbb{Q})$ , let

$$\overline{\tau_{\alpha_1-1}(\gamma_1) \cdots \tau_{\alpha_{\ell}-1}(\gamma_{\ell})} = \sum_{\substack{P \text{ set partitions} \\ \text{of } \{1, \dots, \ell\}}} \prod_{S \in P} \sum_{0 < |\hat{\alpha}| \leq |\alpha_S|} \tau_{[\hat{\alpha}]}(\tilde{K}_{\alpha_S, \hat{\alpha}} \cdot \gamma_S).$$

**Example 3.5.** If  $\alpha = (1, \dots, 1)$  then  $\overline{\tau_0(\gamma_1) \cdots \tau_0(\gamma_{\ell})} = \tau_0(\gamma_1) \cdots \tau_0(\gamma_{\ell})$ . If  $\gamma = \text{pt}$ , the class of a point, then

$$\tau_{[\alpha]}(\text{pt}) = \tau_{\alpha_1-1}(\text{pt}) \cdots \tau_{\alpha_{\ell}-1}(\text{pt}).$$

If  $\alpha = (\alpha_1)$ , then  $\tau_{[\alpha]}(\gamma) = \tau_{\alpha_1-1}(\gamma)$ .

**Notation 3.6.** Given even cohomology classes  $\gamma_1, \dots, \gamma_r \in H^*(M, \mathbb{Q})$ , integers  $\alpha_i \in \mathbb{N}$  for  $1 \leq j \leq r$ , we set  $A = \prod_{i=1}^r \tau_{\alpha_i-1}(\gamma_i)$ .

We are now in a position to state the conjectural GW/PT correspondence [16].

**Conjecture 3.7.** Let  $A$  be as in Notation 3.6. Then:

- (1) The  $Z_{\text{PT}}(M; q | A)_{\beta}$  is the Laurent expansion of a rational function in  $q$ .
- (2) We have

$$(-q)^{-c_{\beta}/2} Z_{\text{PT}}(M; q | A)_{\beta} = (-iu)^{c_{\beta}} Z'_{\text{GW}}(M; u | \bar{A})_{\beta}$$

under the variable change  $-q = e^{iu}$ .

Note that the variable change in (2) is well-defined assuming (1), and (1) is called the *rationality conjecture*.

### 3.2. Relative Theories.

**Definition 3.8.** Let  $D$  be a smooth (connected) divisor on  $M$ , and let  $\mathcal{B}$  be a basis of  $H^*(D, \mathbb{Q})$ . A *cohomology weighted partition*  $\eta$  with respect to  $\mathcal{B}$  is a set of pairs

$$\{(a_1, \delta_1), \dots, (a_r, \delta_r)\}, \quad \text{where } \delta_j \in \mathcal{B} \text{ and } a_1 \geq \dots \geq a_r \geq 1,$$

such that  $\vec{\eta} := (a_j) \in \mathbb{N}^r$  is a partition of size  $|\eta| = \sum a_j$  and length  $\ell(\eta) = r$ . Its *dual partition*  $\eta^\vee$  is the cohomology weighted partition  $\{(a_j, \delta_j^\vee)\}_j$  (with respect to the dual basis  $\mathcal{B}^\vee$  of  $\mathcal{B}$ ). We write  $\eta = (1, \delta)^r$  when  $a_j = 1$  and  $\delta_j = \delta$  for all  $j$ .

The automorphism group  $\text{Aut}(\eta)$  consists of  $\sigma \in \mathfrak{S}_{\ell(\eta)}$  such that  $\eta^\sigma = \eta$ . We set

$$\mathfrak{z}(\eta) = |\text{Aut}(\eta)| \cdot \prod_{j=1}^{\ell(\eta)} a_j.$$

**Definition 3.9.** Let  $A$  be as in Notation 3.6 and  $\eta$  a cohomology weighted partition. The relative descendent GW-invariant [8, p.240] associated to  $A$  and  $\eta$  is defined as

$$\langle A \mid \eta \rangle'_{g,\beta} = \frac{1}{|\text{Aut}(\eta)|} \int_{[\overline{\mathcal{M}}'_{g,r}(M/D, \beta, \eta)]^\text{vir}} \prod_{j=1}^r (\psi_j^{\alpha_j-1} \cup \text{ev}_j^*(\gamma_j)) \cup \text{ev}_D^*(\delta_j).$$

The associated partition function is the Laurent series

$$Z'_{\text{GW}}(M/D; u \mid A \mid \eta)_\beta = \sum_{g \in \mathbb{Z}} \langle A \mid \eta \rangle'_{g,\beta} u^{2g-2} \quad (3.3)$$

In relative PT-theory, we consider the moduli space  $P_n(M/D, \beta)$  introduced by Li-Wu [9] (cf. [13, §3.2]) which parametrizes stable pairs  $(F, s)$  relative to  $D$ , such that  $\chi(F) = n \in \mathbb{Z}$  and  $\text{ch}_2(F) = \beta$ . We have the intersection map

$$\epsilon: P_n(M/D, \beta) \rightarrow \text{Hilb}(D, |\eta|) \quad (3.4)$$

to the Hilbert scheme of  $|\eta| = (D, \beta)$  points of the connected divisor  $D$ . Fix  $d \in \mathbb{N}$  and let  $\eta = \{(a_j, \delta_j)\}_j$  be a cohomology weighted partition of size  $d$  with respect to  $\mathcal{B}$ . Let

$$C_\eta = \frac{1}{\mathfrak{z}(\eta)} P_{\delta_1}[a_1] \dots P_{\delta_{\ell(\eta)}}[a_{\ell(\eta)}] \cdot \mathbf{1} \in H^*(\text{Hilb}(D, d), \mathbb{Q}),$$

see [13, §3.2.2]. Here  $\mathbf{1}$  is the vacuum vector  $|0\rangle = 1 \in H^0(\text{Hilb}(D, 0), \mathbb{Q})$ . Then  $\{C_\eta\}_{|\eta|=d}$  is the Nakajima basis of  $H^*(\text{Hilb}(D, d), \mathbb{Q})$  with Poincaré pairing

$$\int_{\text{Hilb}(D, d)} C_\eta \cup C_\nu = \begin{cases} \frac{(-1)^{d-\ell(\eta)}}{\mathfrak{z}(\eta)} & \text{if } \nu = \eta^\vee, \\ 0 & \text{otherwise.} \end{cases}$$

**Definition 3.10.** With notation as in Definition 3.9, the relative descendent PT-invariant associated to  $A$  and  $\eta$  is

$$\langle A \mid \eta \rangle_{n,\beta} = \int_{[P_n(M/D, \beta)]^\text{vir}} \left( \prod_{i=1}^r \tau_{\alpha_i-1}(\gamma_i) \right) \cup \epsilon^*(C_\eta).$$

The associated partition function is the Laurent series

$$Z_{\text{PT}}(M/D; q | A | \eta)_\beta = \sum_{n \in \mathbb{Z}} \langle A | \eta \rangle_{n,\beta} q^n. \quad (3.5)$$

Now, we can state the conjectural relative descendent GW/PT correspondence [13, 16, 17].

**Conjecture 3.11.** *With notation as in Definition 3.9, we have:*

- (1) *The  $Z_{\text{PT}}(M/D; q | A | \eta)_\beta$  is the Laurent expansion of a rational function in  $q$ .*
- (2) *Under the variable change  $e^{iu} = -q$ ,*

$$(-q)^{-c_\beta^M/2} Z_{\text{PT}}(M/D; q | A | \eta)_\beta = (-iu)^{c_\beta^M + \ell(\eta) - |\eta|} Z'_{\text{GW}}(M/D; u | \bar{A} | \eta)_\beta.$$

To study Conjectures 3.7 and 3.11 for  $Y_d = \mathbb{P}_{S_d}(K_{S_d} \oplus \mathcal{O})$  over a nontoric surface  $S_d$ , we need the following proposition.

**Proposition 3.12.** *For each  $1 \leq d \leq 8$ , there exists a toric surface  $S_d^0$  such that it is deformation equivalent to  $S_d$ .*

*Proof.* For  $d \in \{6, 7, 8\}$ ,  $S_d$  is already a toric surface. Hence, we assume that  $d \leq 5$ . Consider the points

$$\begin{aligned} \sigma_1(t) &= [1 : t^5 : 0], & \sigma_2(t) &= [t^5 : 1 : 0], & \sigma_3(t) &= [0 : 0 : 1] \\ \sigma_4(t) &= [1 : t^2 : t], & \sigma_5(t) &= [t^2 : 1 : t], & \sigma_6(t) &= [t : t^2 : 1] \\ \sigma_7(t) &= [1 : t^3 : 2t], & \sigma_8(t) &= [t^4 : 1 : 2t]. \end{aligned}$$

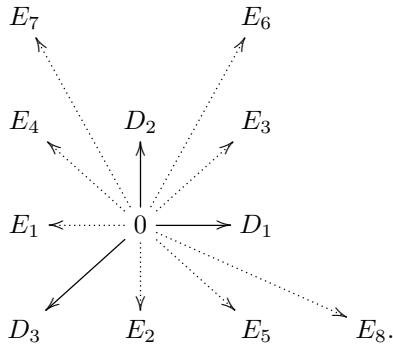
It is checked that for generic  $t \neq 0$ , the points  $\{\sigma_i(t)\}_{1 \leq i \leq 8} \subseteq \mathbb{P}^2$  lie in a general position, i.e., (i) no three are collinear; (ii) no six on the same conic; (iii) no eight on a cubic with a double point at one of them. Consider the deformation family defined by a sequence of blow-ups

$$\mathcal{S} = \mathcal{S}''' \xrightarrow{\pi''} \mathcal{S}'' \xrightarrow{\pi'} \mathcal{S}' \xrightarrow{\pi} \mathbb{P}^2 \times \mathbb{C} \xrightarrow{t} \mathbb{C},$$

where

- $\pi$  is the blow-up along the graphs of  $\sigma_1, \sigma_2, \sigma_3$ ;
- $\pi'$  is the blow-up along the strict transform of the graphs of  $\sigma_4, \sigma_5, \sigma_6$ ;
- $\pi''$  is the blow-up along the strict transform of the graphs of  $\sigma_7, \sigma_8$ .

It follows that the central fiber of  $\mathcal{S}$  is the toric surface defined by the rays



Also, if we only blow-up the strict transforms of the graphs  $\sigma_1, \dots, \sigma_k$ , then the general fiber is a del Pezzo surface of degree  $d = 9 - k$  and the central fiber is the toric surface  $S_{9-k}^0$  defined by the rays  $D_1, D_2, D_3, E_1, \dots, E_k$ .  $\square$

*Remark 3.13.* For  $d \geq 3$ , one can compare Proposition 3.12 with [4, Proposition 4.1].

**3.3. Correspondence.** First, we apply Proposition 3.12 to prove the descendent GW/PT correspondence for certain threefolds.

**Theorem 3.14.** *Conjecture 3.7 holds for  $M$  being one the following threefolds:*

- (1) *the projective bundle  $Y_d = \mathbb{P}_{S_d}(K_{S_d} \oplus \mathcal{O})$ ;*
- (2) *a smooth Fano threefolds with Picard number  $\rho \geq 6$ .*

*Proof.* By Proposition 3.12, we can deform  $S_d$  to a toric surface  $S_d^0$ . Thus  $Y_d = \mathbb{P}_{S_d}(K_{S_d} \oplus \mathcal{O})$  and  $Y_d^0 = \mathbb{P}_{S_d^0}(K_{S_d^0} \oplus \mathcal{O})$  are also deformation equivalent. On the other hand, if  $M$  satisfies (2), then  $M = \mathbb{P}^1 \times S_{\rho-2}$  by the Mori–Mukai classification [14]. Then the theorem follows from [16, Theorem 7] and the deformation invariance of GW and PT-invariants.  $\square$

*Remark 3.15.* The Fano threefolds  $\mathbb{P}^1 \times S_1$  and  $\mathbb{P}^1 \times S_5$  are not complete intersections in product of projective spaces, and thus they are not treated in [17].

We also establish the relative descendent GW/PT correspondence for  $Y_d$ , which will be used in Theorem 3.19.

**Theorem 3.16.** *For  $1 \leq d \leq 8$ , let  $E$  and  $H$  be the zero section  $\mathbb{P}_{S_d}(\mathcal{O})$  and the infinity section  $\mathbb{P}_{S_d}(K_{S_d})$  of  $Y_d$  respectively. Then Conjecture 3.11 holds for  $Y_d/E$  and  $Y_d/H$ .*

*Proof.* As before,  $S_d$  and  $S_d^0$  are deformation equivalent by Proposition 3.12. Let  $E^0$  (resp.  $H^0$ ) be the zero section  $\mathbb{P}_{S_d^0}(\mathcal{O})$  (resp. infinity section  $\mathbb{P}_{S_d^0}(K_{S_d^0})$ ) of  $Y_d^0 = \mathbb{P}_{S_d^0}(K_{S_d^0} \oplus \mathcal{O})$ . It follows from [17, Theorem 2] that Conjecture 3.11 holds for the pairs  $Y_d^0/E^0$  and  $Y_d^0/H^0$ . Since both relative GW-invariants and relative PT-invariants are invariant under deformations of pairs, Conjecture 3.11 also holds for  $Y_d/E$  and  $Y_d/H$ .  $\square$

To use the double point degenerations (2.3) and (2.6), we need the following degeneration formulas for GW and PT-invariants. To save notations, we denote these two degenerations by  $\mathcal{W} \rightarrow \Delta$ . It has a smooth fiber  $M := \mathcal{W}_t$  ( $t \neq 0$ ), a special fiber  $\mathcal{W}_0 = M_0 \cup_D M_\infty$  and  $D = M_0 \cap M_\infty$  a smooth divisor. Let  $\iota: M \hookrightarrow \mathcal{W}$ ,  $\iota_0: M_0 \hookrightarrow \mathcal{W}$  and  $\iota_\infty: M_\infty \hookrightarrow \mathcal{W}$  be the inclusion maps.

**Theorem 3.17.** *Suppose that  $\gamma_1, \dots, \gamma_r$  are even cohomology classes on the total space  $\mathcal{W}$ , and let  $A = \tau_{\alpha_1-1}(\gamma_1) \dots \tau_{\alpha_r-1}(\gamma_r)$ . For a nonzero class  $\beta' \in \text{NE}(\mathcal{W})$ , we have*

$$\begin{aligned} & \sum_{\substack{\beta \in \text{NE}(M) \\ \iota_* \beta = \beta'}} Z'_{\text{GW}}(M; u \mid \overline{A})_\beta \\ &= \sum \mathfrak{z}(\eta) u^{2\ell(\eta)} Z'_{\text{GW}}(M_0/D; u \mid \overline{A_{I_0}} \mid \eta)_{\beta_0} Z'_{\text{GW}}(M_\infty/D; u \mid \overline{A_{I_\infty}} \mid \eta^\vee)_{\beta_\infty}, \end{aligned}$$

where the summation on the second line runs over

(a) splittings

$$\iota_{0*}\beta_0 + \iota_{\infty*}\beta_\infty = \beta' = \iota_*\beta \quad (3.6)$$

such that  $(D, \beta_0) = (D, \beta_\infty)$ ,

(b) partitions  $I_0 \sqcup I_\infty = \{1, 2, \dots, r\}$ , and

(c) cohomology weighted partition  $\eta$  such that  $|\eta| = (D, \beta_0)$  with respect to a fixed basis of  $H(D, \mathbb{Q})$ .

See for example [8] and [17, p.403]. Similarly, the formula without bars, namely without applying the universal transformation to descendent insertions, also holds.

**Theorem 3.18.** *With notation as in Theorem 3.17, we have*

$$\begin{aligned} & \sum_{\substack{\beta \in \text{NE}(M) \\ \iota_*\beta = \beta'}} Z_{\text{PT}}(M; q | A)_\beta \\ &= \sum (-1)^{\ell(\eta)} \mathfrak{z}(\eta) (-q)^{-|\eta|} Z_{\text{PT}}(M_0/D; q | A_{I_0} | \eta)_{\beta_0} Z_{\text{PT}}(M_\infty/D; q | A_{I_\infty} | \eta^\vee)_{\beta_\infty}, \end{aligned}$$

where the summation on the second line runs over the same index set in Theorem 3.17.

See for example [9, 13], [16, p.2761] and [10, Theorem 6.12].

Now, we are ready to prove the descendent GW/PT correspondence for del Pezzo transitions.

**Theorem 3.19.** *Let  $Y \xrightarrow{\phi} \bar{Y} \rightsquigarrow X$  be a del Pezzo transition with the smoothing  $\mathfrak{X} \rightarrow \Delta$ . Suppose that  $\beta \in \text{NE}(X)$  is a nonzero class and  $\alpha = (\alpha_1, \dots, \alpha_r)$  a fixed partition. Assume  $\gamma_i \in H^*(\mathfrak{X}, \mathbb{Q})$ ,  $i = 1, \dots, r$ , are fixed even cohomology classes and if  $\gamma_i \in H^0(\mathfrak{X}, \mathbb{Q})$ , then  $\alpha_i = 1$ .*

(a) If Conjecture 3.7 (1) holds for  $Y$ , then it holds for  $X$  and descendent insertions

$$\gamma_{i|X}, \quad i = 1, \dots, r. \quad (3.7)$$

(b) Set  $A = \prod_{i=1}^r \tau_{\alpha_i-1}(\gamma_i|_X)$ . If furthermore Conjecture 3.7 (2) holds for  $Y$ , then it holds for  $X$  with descendent insertions (3.7), i.e.,

$$(-q)^{-\mathbf{c}_\beta/2} Z_{\text{PT}}(X; q | A)_\beta = (-iu)^{\mathbf{c}_\beta} Z'_{\text{GW}}(X; u | \bar{A})_\beta.$$

*Proof.* By the string equation, we may assume that  $\gamma_i \in H^{>0}(\mathfrak{X}, \mathbb{Q})$  for all  $i$ . Let  $d = E^3$  be the degree of the transition  $Y \searrow X$ . Set  $S_{\text{loc}} = S_d$ ,  $X_{\text{loc}} = X_d$ , and  $Y_{\text{loc}} = Y_d$ . We denote by  $h = c_1(\mathcal{O}_{X_{\text{loc}}}(1))$ , and by  $E$  (resp.  $H$ ) the zero section  $\mathbb{P}_{S_{\text{loc}}}(\mathcal{O})$  (resp. the infinity section  $\mathbb{P}_{S_{\text{loc}}}(K_{S_{\text{loc}}})$ ) of  $\pi: Y_{\text{loc}} \rightarrow S_{\text{loc}}$ .

Applying the degeneration formulas, Theorems 3.17 and 3.18, to the double point degeneration (2.6), and a virtual dimension counting, we get

$$Z'_{\text{GW}}(X; u | \bar{A})_\beta = \sum_\rho \rho! u^{2\rho} Z'_{\text{GW}}(Y/E; u | \bar{\phi^*A} | (1, 1)^\rho)_{\beta_0} Z'_{\text{GW}}(X_{\text{loc}}/h; u | \emptyset | (1, \text{pt})^\rho)_{\beta_\infty},$$

$$Z_{\text{PT}}(X; q | A)_\beta = \sum_\rho \rho! q^{-\rho} Z_{\text{PT}}(Y/E; u | \phi^*A | (1, 1)^\rho)_{\beta_0} Z_{\text{PT}}(X_{\text{loc}}/h; q | \emptyset | (1, \text{pt})^\rho)_{\beta_\infty},$$

where  $(E, \beta_0) = (h, \beta_\infty) = \rho$  and  $\phi_*\beta_0 = \beta$ .

For  $r \geq 0$  and a cohomology weighted partition  $\eta$ , we define

$$\begin{aligned} Z'_{\text{GW}}(X_{\text{loc}}/h; u \mid A \mid \eta)_r &:= \sum_{(h, \beta_{\text{loc}})=r} Z'_{\text{GW}}(X_{\text{loc}}/h; u \mid A \mid \eta)_{\beta_{\text{loc}}}, \\ Z_{\text{PT}}(X_{\text{loc}}/h; q \mid A \mid \eta)_r &:= \sum_{(h, \beta_{\text{loc}})=r} Z_{\text{PT}}(X_{\text{loc}}/h; q \mid A \mid \eta)_{\beta_{\text{loc}}}. \end{aligned}$$

Then it suffices to show that the conjecture holds for  $Y/E$  with any insertion and for  $X_{\text{loc}}/h$  with trivial insertion, i.e., for  $(E, \tilde{\beta}) = r$ ,

$$(-iu)^{c_\beta} Z'_{\text{GW}}(Y/E; u \mid \overline{\phi^*A} \mid (1, 1)^r)_{\tilde{\beta}} = (-q)^{c_\beta/2} Z_{\text{PT}}(Y/E; q \mid \phi^*A \mid (1, 1)^r)_{\tilde{\beta}}, \quad (3.8)$$

$$(-iu)^{2r} Z'_{\text{GW}}(X_{\text{loc}}/h; u \mid \emptyset \mid (1, \text{pt})^r)_r = (-q)^r Z_{\text{PT}}(X_{\text{loc}}/h; q \mid \emptyset \mid (1, \text{pt})^r)_r, \quad (3.9)$$

and are rational in  $q$ .

For (3.8), we apply the degeneration formulas to the double point degeneration (2.3):

$$\begin{aligned} Z'_{\text{GW}}(Y; u \mid \overline{\phi^*A})_{\tilde{\beta}} &= \sum_{\rho} \rho! u^{2\rho} Z'_{\text{GW}}(Y/E; u \mid \overline{\phi^*A} \mid (1, 1)^\rho)_{\tilde{\beta}_0} Z'_{\text{GW}}(Y_{\text{loc}}/H; u \mid \emptyset \mid (1, \text{pt})^\rho)_{\tilde{\beta}_\infty}, \\ Z_{\text{PT}}(Y; q \mid \phi^*A)_{\tilde{\beta}} &= \sum_{\rho} \rho! q^{-\rho} Z_{\text{PT}}(Y/E; q \mid \phi^*A \mid (1, 1)^\rho)_{\tilde{\beta}_0} Z_{\text{PT}}(Y_{\text{loc}}/H; q \mid \emptyset \mid (1, \text{pt})^\rho)_{\tilde{\beta}_\infty}, \end{aligned}$$

where  $(E, \tilde{\beta}_0) = (H, \tilde{\beta}_\infty) = \rho$  and  $\tilde{\beta}_0 + \pi_*\tilde{\beta}_\infty = \tilde{\beta}$ . Let  $\mathfrak{f}$  be the fiber class of  $\pi: Y_{\text{loc}} \rightarrow S_{\text{loc}}$ . Note that when  $\tilde{\beta}_0 = \tilde{\beta}$ , we must have  $\rho = r$  and  $\beta_\infty = r\mathfrak{f}$ .

By induction on the order of  $\tilde{\beta}_0$ , we only need to show that

- (i) the conjecture holds for  $Y_{\text{loc}}/H$ ;
- (ii) the terms  $Z'_{\text{GW}}(Y_{\text{loc}}/H; u \mid \emptyset \mid (1, \text{pt})^r)_{r\mathfrak{f}}$ ,  $Z_{\text{PT}}(Y_{\text{loc}}/H; q \mid \emptyset \mid (1, \text{pt})^r)_{r\mathfrak{f}}$  are not zero.

The (i) follows from Theorem 3.16. For (ii), we apply [15, Proposition 6], which gives

$$Z_{\text{PT}}(Y_{\text{loc}}/H; q \mid \emptyset \mid (1, \text{pt})^r)_{r\mathfrak{f}} = \int_{\text{Hilb}(S, r)} C_{(1, \text{pt})^r} \neq 0,$$

and, by (i),

$$(-iu)^{2r} Z'_{\text{GW}}(Y_{\text{loc}}/H; u \mid \emptyset \mid (1, \text{pt})^r)_{r\mathfrak{f}} = (-q)^r Z_{\text{PT}}(Y_{\text{loc}}/H; q \mid \emptyset \mid (1, \text{pt})^r)_{r\mathfrak{f}} \neq 0.$$

For (3.9), we apply the degeneration formula to  $X_{\text{loc}} \rightsquigarrow Y_{\text{loc}} \cup_E X_{\text{loc}}$ : if we let

$$\begin{aligned} Z'_{\text{GW}}(X_{\text{loc}}; u \mid A)_r &:= \sum_{(h, \beta_{\text{loc}})=r} Z'_{\text{GW}}(X_{\text{loc}}; u \mid A)_{\beta_{\text{loc}}}, \\ Z_{\text{PT}}(X_{\text{loc}}; q \mid A)_r &:= \sum_{(h, \beta_{\text{loc}})=r} Z_{\text{PT}}(X_{\text{loc}}; q \mid A)_{\beta_{\text{loc}}}, \end{aligned}$$

then

$$Z'_{\text{GW}}(X_{\text{loc}}; u \mid \text{pt}^r)_r = \sum_{\rho} \rho! u^{2\rho} Z'_{\text{GW}}(Y_{\text{loc}}/E; u \mid \text{pt}^r \mid (1, 1)^\rho)_{\beta_0} Z'_{\text{GW}}(X_{\text{loc}}/h; u \mid \emptyset \mid (1, \text{pt})^\rho)_\rho,$$

$$Z_{\text{PT}}(X_{\text{loc}}; q \mid \text{pt}^r)_r = \sum_{\rho} \rho! q^{-\rho} Z_{\text{PT}}(Y_{\text{loc}}/E; q \mid \text{pt}^r \mid (1, 1)^\rho)_{\beta_0} Z_{\text{PT}}(X_{\text{loc}}/h; q \mid \emptyset \mid (1, \text{pt})^\rho)_\rho,$$

where  $(E, \beta_0) = \rho$  and  $(h, \phi_* \beta_0) = r$ . Since  $H - E = \pi^* c_1(\mathcal{O}_{S_d}(1))$  is nef on  $Y_{\text{loc}}$ , the condition implies that

$$0 \leq (H - E, \beta_0) = (h, \phi_* \beta_0) - (E, \beta_0) = r - \rho.$$

By induction on  $r$ , it suffices to prove

- (1) the conjecture holds for  $X_{\text{loc}}$  with no  $\psi$ -classes;
- (2) the conjecture holds for  $Y_{\text{loc}}/E$ ;
- (3) the terms  $Z'_{\text{GW}}(Y_{\text{loc}}/E; u \mid \text{pt}^r \mid (1, 1)^r)_{rf}$ ,  $Z_{\text{PT}}(Y_{\text{loc}}/E; q \mid \text{pt}^r \mid (1, 1)^r)_{rf}$  are not zero.

The (1) and (2) follow from Theorems 3.3 and 3.16 respectively. For (3), we apply [15, Proposition 6] again, which gives

$$Z_{\text{PT}}(Y_{\text{loc}}/E; q \mid \text{pt}^r \mid (1, 1)^r)_{rf} = \int_{\text{Hilb}(S, r)} (\tau_0(\text{pt}))^r \cdot C_{(1, 1)^r} \neq 0,$$

and, by (2),

$$(-iu)^{2r} Z'_{\text{GW}}(Y_{\text{loc}}/E; u \mid \text{pt}^r \mid (1, 1)^r)_{rf} = (-q)^r Z_{\text{PT}}(Y_{\text{loc}}/E; q \mid \text{pt}^r \mid (1, 1)^r)_{rf} \neq 0.$$

This completes the proof.  $\square$

*Remark 3.20.* Given a del Pezzo transition  $Y \rightarrow \bar{Y} \rightsquigarrow X$ , we assume that  $h^i(\mathcal{O}_X) = h^i(\mathcal{O}_Y) = 0$  for  $i = 1, 2$ . Then  $\rho(\bar{Y}) = \rho(X)$  (cf. [3, Proposition 3.1]) and thus one may infer that the restriction map  $H^{\text{ev}}(\mathfrak{X}, \mathbb{Q}) \cong H^{\text{ev}}(\bar{Y}, \mathbb{Q}) \rightarrow H^{\text{ev}}(X, \mathbb{Q})$  is surjective (cf. [5, Proposition 1.13]).

In the proof of Theorem 3.19, we have used Pardon's result (Theorem 3.3) on  $X_d$  for primary insertions. Applying Theorem 3.19, we can further prove that Conjecture 3.7 holds for  $X_d$  with stationary descendent insertions.

**Corollary 3.21.** *Every smooth del Pezzo threefold  $X_d$  satisfies the descendent GW/PT correspondence with stationary descendent insertions, i.e., all descendent insertions are even classes of positive degree.*

*Proof.* For each  $1 \leq d \leq 8$ , consider the del Pezzo transition  $Y_d \searrow X_d$  constructed in Example 2.1. By Theorem 3.14,  $Y_d$  satisfies Conjecture 3.7. Since  $h^i(\mathcal{O}_{X_d}) = h^i(\mathcal{O}_{Y_d}) = 0$  for  $i = 1, 2$ , every even cohomology class of  $X$  has a lifting in  $H^{\text{ev}}(\bar{Y}, \mathbb{Q}) \cong H^{\text{ev}}(\mathfrak{X}, \mathbb{Q})$ , see Remark 3.20. Therefore  $X_d$  satisfies the descendent GW/PT correspondence with stationary descendent insertions by Theorem 3.19.  $\square$

*Remark 3.22.* The  $X_1$  is a new case which is not contained in [11, 17]. For  $d \in \{2, 5\}$ ,  $X_d$  is treated in [11, Corollaries 4.2 & 4.5] and other cases are contained in [16, 17].

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