



# MAT3004 Abstract Algebra I

**Date:** June 15, 2024

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## Chapter preliminary notes

### Theorem 1.1 (Euclidean algorithm)

*we can use Euclidean algorithm to find the greatest common divisor of 2 or more than 2 integers.*



### Theorem 1.2 (bezout's theorem)

*if  $\gcd(\alpha, \beta) = m$ , then there are integers  $p, q$  s.t.  $p\alpha + q\beta = m$*



### Definition 1.1 (equivalence relation $\alpha \sim \beta$ )

*an equivalence relation on a set  $S$  is a set  $R$  of ordered pairs of elements of  $S$  s.t.*

- (a).  $(a, a) \in R$  for all  $a \in S$  (reflexive property)*
- (b).  $(a, b) \in R$  implies  $(b, a) \in R$  (symmetric property)*
- (c).  $(a, b) \in R$  and  $(b, c) \in R$  imply  $(a, c) \in R$  (transitive property)*



## Chapter Introduction

### Definition 2.1

The systems  $\mathbb{Z}, \mathbb{C}, \mathbb{R}, \mathbb{Q}$  are equipped with  $(+)$  and  $(\times)$ : all elements in the system satisfy the following operations:

1.  $(a + b) + c = a + (b + c)$
2.  $(ab)c = a(bc)$
3.  $a + b = b + a$
4.  $ab = ba$
5.  $a(b + c) = ab + bc$



### Definition 2.2 ( $\mathbb{Z}_n$ )

$\mathbb{Z}_n := \{0(\text{mod } n), 1(\text{mod } n), \dots, (n-1)(\text{mod } n)\}$ , which is the collection of remainders of integers upon division by  $n$ .



**Remark**  $\mathbb{Z}_n$  is different from  $\mathbb{Z}_n^*$ !!!  $\mathbb{Z}_n^* := \{[a] | 0 < a < n, \gcd(a, n) = 1\}$ . recall  $[a] := a(\text{mod } n)$

**Remark**  $\mathbb{Z}_n$  is equipped with  $+$  and  $\times$ .

 **Exercise 2.1** show that  $\mathbb{Z}_n$  is equipped with  $+$  and  $\times$ .

# Chapter Def of Group

## Definition 3.1 (binary operation)

let  $S$  be a set. a binary operation  $*$  on  $S$  is a function  $*$  :  $S * S \rightarrow S$



## Definition 3.2 (closeness)

let  $(S, *)$  be a binary operation. for any subset  $T \subset S$ , we say  $T$  is closed under  $*$  if  $\forall t_1, t_2 \in T$  we have  $t_1 * t_2 \in T$  and thus  $(T, *)$  is also a binary operation.



**Example 3.1** any vector subspace  $W \subset \mathbb{R}^2$  is closed under  $+$ , and the set  $\{(x, y) : xy \geq 0, x, y \in \mathbb{R}\}$  is not closed under  $+$ .

## Definition 3.3 (group)

a group  $(G, *)$  is a set with a binary operation  $*$  satisfying

1. (associativity):  $(a * b) * c = a * (b * c)$
2. (identity): there is an element  $e \in G$  s.t.  $e * a = a * e = a$  for all  $a \in G$
3. (inverse): for all  $b \in G$ , there exists  $b^{-1} \in G$  s.t.  $b^{-1} * b = b * b^{-1} = e$



**Example 3.2**  $(\mathbb{Z}, +)$  is a group: check

1.  $(a + b) + c = a + (b + c)$
2. take  $e = 0$ , we have  $0 + a = a + 0 = a$
3. for any  $b \in \mathbb{Z}$ , take  $b^{-1} = -b$ : we have  $(-b) + b = b + (-b) = 0$

**Example 3.3**

1.  $(\mathbb{Z}, \times)$  is not a group since we cannot find the inverse for element other than 1 and  $-1$
2.  $(\mathbb{Q}, \times)$  is not a group since 0 has no inverse in  $\mathbb{Q}$
3.  $(\mathbb{Q}^*, \times)$  is a group, where  $\mathbb{Q}^* = \mathbb{Q} \setminus \{0\}$

**Example 3.4**  $(S, \circ)$  is a group, where  $S = \{f : T \rightarrow T \text{ is a bijection}\}$  and  $\circ$  is the operation of composition of maps

**Example 3.5**

1.  $(M_{2 \times 2}(\mathbb{R}), +)$  is a group,  $e = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$
2.  $(M_{2 \times 2}(\mathbb{R}), \times)$  is not a group, we can show that elements in  $M_{2 \times 2}$  are associative and the identity is  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  but we notice that  $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  has no inverse.
3.  $GL(2, \mathbb{R}) := \{A \in M_{2 \times 2}(\mathbb{R}) | \det(A) \neq 0\} = \{\text{all invertible matrices}\}$  then  $(GL(2, \mathbb{R}), \times)$  is a group.
4.  $(\mathbb{Z}_n, +)$  is a group:  $e = [0]_n$ ,  $[a]_n^{-1} = [-a]_n = [n - a]_n = [2n - a]_n = \dots$
5.  $(\mathbb{Z}_n, \times)$  is not a group: inverse of  $0 \in \mathbb{Z}_n$  may not exist.

**Remark** we wonder given  $n$ , which  $[a]_n$  has an inverse? the answer is that

$$[a]_n^{-1} \text{ exists} \Leftrightarrow \gcd(a, n) = 1$$

**Proof** ( $\Leftarrow$ ): by bezout's theorem,  $\gcd(a, n) = 1 \rightarrow \exists p, q$  s.t.  $ap + bq = 1$  so we have  $[ap + bq]_n = [1]_n = e$  we have  $[p]_n[a]_n + [q]_n[n]_n = e \rightarrow [p]_n[a]_n = e \rightarrow [a]_n^{-1} = [p]_n$

**Example 3.6** let  $\mathbb{Z}_n^* = \{[a]_n | \gcd(a, n) = 1\}$ , then  $(\mathbb{Z}_n^*, *)$  is a group. e.g.  $\mathbb{Z}_8 = \{[1]_8, [3]_8, [5]_8, [7]_8\}$

**Remark**

- 
1. we write  $ab$  or  $a \cdot b$  instead of  $a * b$  for short
  2. we say the operation  $*$  is abelian or commutative if  $a * b = b * a$  for all  $a, b \in G$ . e.g.  $(GL(2, \mathbb{R}), \times)$  is not abelian and  $(\mathbb{Z}^*, *)$  is abelian.

# Chapter Properties of Group

let  $(G, *)$  be a group,

1. the identity element  $e \in G$  is unique. i.e., if  $e, e' \in G$  satisfy  $ae = ea = a$  and  $ae' = e'a = a$  for all  $a \in G$ , we have  $e = e'$

**Proof** put  $a = e'$  first, we have  $e' \cdot e = e \cdot e' = e'$  and put  $a = e$  in the second equation, we have  $ee' = e'e = e$  so we have  $e' = ee' = e$

2. for each  $g \in G$   $g^{-1} \in G$  is unique

**Proof** if  $gh = hg = e$  and  $gh' = h'g = e$ , we prove that  $h' = h$ : we have

$$h = he = h(gh') = (hg)h' = eh' = h'$$

we are done

3. for each  $a \in G$ ,  $\{ag | g \in G\}$  are distinct: i.e., if  $g \neq h$ , we have  $ag \neq ah$

**Proof** we use contrapositive statement: if  $ag = ah$ , we prove that  $g = h$ :

$$ag = ah \rightarrow a^{-1}ag = a^{-1}ah \rightarrow (a^{-1}a)g = (a^{-1}a)h \rightarrow eg = eh \rightarrow g = h$$

**Remark**  $\{ga | g \in G\}$  is also distinct: if  $g \neq h$ , then  $ga \neq ha$

## Definition 4.1 (cancellation)

for a group  $(G, *)$ , we say the operation  $*$  is of cancellation if we have

$$c * a = c * b \rightarrow a = b \text{ for } \forall a, b, c \in G$$

there is a proposition for finite group:

## Proposition 4.1

for a finite set  $G$ , if we can define the operation  $*$  on the set  $G$ , and the operation  $*$  is of associativity, then we have

$$* \text{ has cancellation} \Leftrightarrow (G, *) \text{ is a group}$$

**Proof**  $(\rightarrow)$ : we need to show  $(G, *)$  has identity and inverse for all element in  $G$ .

1. (identity): note  $G$  is finite, consider  $\forall a \in G$ ,  $\langle a \rangle \subset G$ , we have

$$a^i = a^j \text{ for some } i > j$$

and

$$a^f := a^{i-j} \rightarrow \forall b \in G, a^f b = a^{i-j} b \rightarrow a^j a^f b = a^j a^{i-j} b = a^i b$$

with cancellation, we have

$$a^f b = b \rightarrow a^f \text{ is a left identity}$$

similarly we can prove  $a^f$  is a right identity. we are done.

2. (inverse):  $\forall a \in G$ ,  $\langle a \rangle \subset G$ , we have  $a^f = e \rightarrow a^{f-1} a = a \cdot a^{f-1} = e$ , we are done.

$(\leftarrow)$ : for a group  $(G, *)$ , we want to prove  $*$  is of cancellation. if  $ac = bc$ , consider the inverse  $c^{-1}$ , we have  $acc^{-1} = bcc^{-1} \rightarrow ae = be \rightarrow a = b$  and  $ca = cb$  is similar. we are done.



## Chapter Cayley table

### Definition 5.1 (order of group)

let  $(G, *)$  be a group. the order of  $G$ ,  $ord(G)$  or  $|G|$  is defined to be the number of elements in  $G$



### Definition 5.2 (cayley table)

let  $G$  be a finite group, i.e.  $|G| < \infty$ , then the cayley table of  $G$  is a table with rows and columns labelled by elements of  $G$ , for  $a, b \in G$ , the  $(a, b)$  entry of the table is equal to  $a * b$



**Example 5.1** let the group be  $\mathbb{Z}_3, +$ , the cayley table is

	$[0]_3$	$[1]_3$	$[2]_3$
$[0]_3$	$[0]_3$	$[1]_3$	$[2]_3$
$[1]_3$	$[1]_3$	$[2]_3$	$[0]_3$
$[2]_3$	$[2]_3$	$[0]_3$	$[1]_3$

**Example 5.2** let the group be  $\mathbb{Z}_8^*, \times$ , the cayley table is

	$[1]_8$	$[3]_8$	$[5]_8$	$[7]_8$
$[1]_8$	$[1]_8$	$[3]_8$	$[5]_8$	$[7]_8$
$[3]_8$	$[3]_8$	$[1]_8$	$[7]_8$	$[5]_8$
$[5]_8$	$[5]_8$	$[7]_8$	$[1]_8$	$[3]_8$
$[7]_8$	$[7]_8$	$[5]_8$	$[3]_8$	$[1]_8$

### Proposition 5.1

the rows and columns of any cayley table have distinct entries, so it contains all elements of  $G$



**Proof** check the property 3. of a group and the remark

**Example 5.3**

# Chapter Subgroup

## Definition 6.1 (subgroup)

let  $(G, \circ)$  be a group. a subset  $H \subseteq G$  is a subgroup of  $G$  if  $(H, \circ|_{H \times H})$  forms a group.

## Proposition 6.1

a subset  $H \subseteq G$  is a subgroup  $\Leftrightarrow$  the following holds:

1.  $\forall h_1, h_2 \in H, h_1 \circ h_2 \in H$
2.  $\forall a \in H, a^{-1} \in H$ .

**Proof** we need to prove that  $H$  is a group:

1. we show that  $*|_{H \times H} : H \times H \rightarrow H$  is a binary operation ( $*$ ) is closed in  $H$
2. (associativity):  $(a * b) * c = a * (b * c)$  holds for  $\forall a, b, c \in H \subset G$
3. (identity):  $e \in G$  is indeed an element in  $H$
4. (inverse): by the condition, we know the inverse must exist.

## Proposition 6.2

$G$  is a group. a nonempty subset  $H$  of  $G$  is a subgroup if the following holds:

$$a, b \in H \Rightarrow ab^{-1} \in H$$

**Proof**

## Example 6.1

1.  $G = (\mathbb{Z}, +)$ ,  $H = 2 * x | x \in \mathbb{Z}$ , then  $H \leq G$
2.  $H = k\mathbb{Z}$ ,  $k$  is any positive integer, then  $H \leq G$
3.  $H =$  all odd integer, then  $H \not\leq G$
4.  $G = (GL(n, \mathbb{R}), \times)$ ,  $H = SL(n, \mathbb{R}) := \{A \in GL(n, \mathbb{R}) | \det(A) = 1\}$ , then  $H \leq G$
5.  $(\mathbb{Z}, +) \leq (\mathbb{Q}, +) \leq (\mathbb{R}, +) \leq (\mathbb{C}, +)$
6.  $G = (\mathbb{Z}_8, +)$ ,  $H = \{[0], [3]\}$ , then  $H \not\leq G$ ,  $H' = \{[0], [4]\}$ ,  $H \leq G$

## Definition 6.2 (proper subgroup)

let  $(G, *)$  be a group, a proper subgroup of  $G$  is  $H \leq G$  with  $H \neq G$

## Definition 6.3 (trivial subgroup)

let  $(G, \circ)$  be a group, a proper subgroup of  $G$  is  $H = \{e\} \leq G$ .

## Definition 6.4 (characteristic)

$\mathcal{F}$  is a field, then the characteristic of  $\mathcal{F}$  is the smallest positive integer  $m$  s.t.  $1_{\mathcal{F}} + \dots + 1_{\mathcal{F}} = 0_{\mathcal{F}}$  and  $\text{char}(\mathcal{F}) := m$ ; if no such  $m$  exists, we let  $\text{char}(\mathcal{F}) = \infty$

## Proposition 6.3

in a group  $G$ , let the order of the element  $a$  be  $n$ , we have:

1.  $a^m = e \Leftrightarrow n | m$
2.  $\forall k \in \mathbb{N}$ , the order  $|a^k| = \frac{n}{\gcd(n, k)}$

## Chapter Cyclic Group

### Definition 7.1 (cyclic subgroup)

let  $(G, \circ)$  be a group, the cyclic subgroup generated by  $g \in G$  is the subgroup  $\langle g \rangle := \{g^m | m \in \mathbb{Z}\}$



### Definition 7.2 (cyclic group; generator)

let  $G$  be a group, we say  $G$  is a cyclic group if  $\exists g \in G$  s.t.  $G = \langle g \rangle$ . we say that  $g$  is a generator of  $G$ .



**Remark**  $G$  is called to be generated by a set  $X$  if  $G$  is the smallest group that contains all elements in  $X := \{g^m | m \in \mathbb{Z}\}$ , which means that every element of  $G$  can be obtained by multiplication or inverse operations on the set  $X := \{g^m | m \in \mathbb{Z}\}$

**Example 7.1**  $(\mathbb{Z}_8, +)$  is cyclic

**Proof** because  $\mathbb{Z}_8 = \langle [1] \rangle$

**Example 7.2**  $(GL(n, \mathbb{R}), \times)$  is not cyclic.

**Proof** there are uncountably many elements in the set  $GL(2, \mathbb{R})$ , but clearly  $\langle g \rangle$  is countable.

**Example 7.3**  $(\mathbb{Z}_8^*, \times)$  is not cyclic.

**Proof** just check every element in  $\mathbb{Z}_8^*$  is not a generator of  $\mathbb{Z}_8^*$ .

(find out what is  $\mathbb{Z}_8^*$  first!!!) —  $\mathbb{Z}_8^* = \{[1], [3], [5], [7]\}$

1.  $\langle [1] \rangle = \{e\}$

2.  $\langle [3] \rangle = \{[3], [1]\}$

3.  $\langle [5] \rangle = \{[5], [1]\}$

4.  $\langle [7] \rangle = \{[7], [1]\}$

 **Exercise 7.1 from HW2** show that  $(\mathbb{Z}_5^*, \times)$  is cyclic.

**Proof** we know that  $\mathbb{Z}_5^* = \{[1], [2], [3], [4]\}$ .

$\langle [1] \rangle = \{e\}$

$\langle [2] \rangle = \{[2], [4], [3], [1]\}$ . so we find a generator.

## Chapter Order

### Definition 8.1 (order)

let  $G$  be a group. the order of an element  $g \in G$  is equal to the order of the cyclic subgroup  $\langle g \rangle$ . in other words, the order of  $g$  is the smallest positive integer  $m$  s.t.  $g^m = e$ .



**Remark** recall that the order of a group  $G$  is the size of the set  $G$ .

### Example 8.1

1. in  $(\mathbb{Z}_8, +)$ ,  $\text{ord}([4]) = 2$ ,  $\text{ord}([6]) = 4$ ,  $\text{ord}([1]) = 8$ . recall that  $\mathbb{Z}_n := \{0(\text{mod } n), 1(\text{mod } n), \dots, (n-1)(\text{mod } n)\}$
2.  $(GL(2, \mathbb{R}), \times) : \langle \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \rangle$ ,  $\langle \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \rangle$  as  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}^{-1} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  so the order is 2.
3.  $\{ \langle \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \rangle = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}^m | m \in \mathbb{Z} \} = \{ \begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix} | m \in \mathbb{Z} \}$ , the order is  $\infty$

# Chapter Permutation Group

## Definition 9.1 (permutation group $S_n$ )

A permutation of  $X_n$  is a bijective map:  $\sigma : X_n \rightarrow X_n$ . The permutation group is a collection of all permutation of  $X_n$ .



**Remark** note that  $X_n$  is a set!

## Proposition 9.1

$(S_n, \circ)$  is a group.



**Proof** (closeness):  $\circ : S_n \times S_n \rightarrow S_n$  is closed since composition of 2 bijective maps is still bijective.

(associativity):  $(\sigma_1 \circ \sigma_2) \circ \sigma_3 = \sigma_1 \circ (\sigma_2 \circ \sigma_3)$  holds since composition of maps is associative.

(identity):  $e : 1 \rightarrow 1, 2 \rightarrow 2, \dots, n \rightarrow n : X_n \rightarrow X_n$  satisfies:  $\sigma \circ e = e \circ \sigma = \sigma, \forall \sigma \in S_n$ .

(inverse): since  $\sigma \in S_n$  is bijective, it must have  $\sigma^{-1} \in S_n$  s.t.  $\sigma^{-1} \cdot \sigma = \sigma \cdot \sigma^{-1} = e$

**Remark** Groups are used to study "symmetry".  $S_n$  is used to study the symmetry of  $n$  identical objects.

we want to study the calculation on  $S_n$ .

## Definition 9.2 (cycle notation)

let  $1 \leq i_1, i_2, \dots \leq n$ . a **k-cycle**:  $(i_1, i_2, \dots, i_k)$  is an element  $\sigma$  in  $S_n$  satisfying:  $\sigma(i_{k-1}) = i_k$  and for  $\forall j \notin i_1, i_2, \dots, i_k, \sigma(j) = j$ .



**Example 9.1**  $\tau := (1, 3, 2)$  in  $S_5$

we have  $\tau(1) = 3, \tau(3) = 2, \tau(2) = 1, \tau(4) = 4, \tau(5) = 5$ .

**Remark** we can multiply (k-cycle) and (l-cycle) by composition of function.

## Proposition 9.2

$(i_1, i_2, \dots, i_k) = (i_2, i_3, \dots, i_k, i_1) = \dots$



## Proposition 9.3

all  $\sigma \in S_n$  can be written as product of disjoint cycles:  $\sigma = \gamma_1 \gamma_2 \dots \gamma_n$ ,  $\gamma_i$  are cycles with no repeated entries among them.



**Example 9.2**  $(1)(2, 3)(4)$  are disjoint cycles.

## Proposition 9.4

if  $\gamma_1$  and  $\gamma_2$  are two disjoint cycles, then  $\gamma_1 \gamma_2 = \gamma_2 \gamma_1$



## Proposition 9.5

let  $\gamma$  be a k-cycle in  $S_n$ , then  $\text{ord}(\gamma) = k$ . e.g. let  $\gamma = (1342)$ , we check  $\gamma^2 = (14)(23)$ ,  $\gamma^3 = (1243)$ ,  $\gamma^4 = (1)(3)(4)(2) = e$ ,  $\text{ord}(\gamma) = 4$



**Proof**

**Example 9.3**  $\gamma = (1, 3, 4, 2)$ . then  $\gamma^2 = (1, 3, 4, 2) \cdot (1, 3, 4, 2) = (1, 4)(3, 2)$ ,  $\gamma^3 = (1, 4)(3, 2)(1, 3, 4, 2) = (1, 2, 4, 3) \neq e$ ,  $\gamma^4 = (1, 3, 4, 2)(1, 2, 4, 3) = (1)(2)(3)(4) = e$ . so we conclude that  $\text{ord}(\gamma) = 4$ .

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**Proposition 9.6**

*the inverse of a  $k$ -cycle  $(i_1, i_2, \dots, i_k)^{-1} = (i_1, i_k, i_{k-1}, \dots, i_3, i_2)$*



**Proof** ?need to check by oneself.

## Chapter transposition

### Definition 10.1 (transposition)

a 2-cycle  $(i, j) \in S_n$  is called a transposition.

### Proposition 10.1

every  $\sigma \in S_n$  can be written as a product of transpositions. (not necessary to be distinct)

**Proof** if  $\sigma = (a_1 a_2 \dots a_k)$  is a  $k$ -cycle, we note that  $\sigma = (a_1 a_k) \dots (a_1 a_3)(a_1 a_2)$  and in general all  $\sigma \in S_n$  can be written as a product of disjoint cycles:  $\sigma = (i_1 \dots i_k)(j_1 \dots j_l) = (i_1 i_k)(i_1 i_{k-1}) \dots (i_1 i_2)(j_1 j_l) \dots (j_1 j_2)$

**Remark** the expression of  $\sigma \in S_n$  into product of transposition is not unique. however, the number of transpositions in each expression must be odd or even.

### Theorem 10.1

let  $\sigma = \tau_1 \tau_2 \dots \tau_k = \tau'_1 \tau'_2 \dots \tau'_l$ , where  $\tau_i$  and  $\tau'_i$  are transpositions, then  $k \equiv l \pmod{2}$ .

to prove the theorem, we need the following lemma:

### Lemma 10.1

$e = \tau_1 \tau_2 \dots \tau_k$ , then  $k$  is even. (\*)

**Proof** we do induction on  $k$ :

1. if  $k = 0$ :  $\sigma = e =$  product of 0 transposition
2. if  $k = 1$ :  $\sigma = e \neq \tau_1$  for any transposition  $\tau_1$
3. by induction, suppose (\*) holds for  $k \leq m \in \mathbb{Z}$ . if  $k = m + 1$ , suppose  $e = \tau_1 \dots \tau_{m+1}$ ,  $\tau_{m+1} = (a, b)$ 
  - (a). if  $\tau_m = (a, b)$ , then  $\tau_m \tau_{m+1} = e$

then we are ready to prove the theorem

**Proof**

## Chapter Alternating Group

### Definition 11.1 (even(odd))

*an element  $\sigma \in S_n$  is called even (or odd) if  $\sigma$  can be expressed into an even (or odd) number of transpositions.*



**Remark** any transposition is odd

a permutation  $\sigma = \tau_1 \tau_2 \dots \tau_k$ , where  $\tau_i$  are transposition, then  $k$  and  $\sigma$  have the consistent parity.

### Definition 11.2 (alternating group)

*the alternating group  $A_n$  is a subgroup of  $S_n$  consisting of all even permutations  $\sigma$ .*



### Proposition 11.1

$$|A_n| = \frac{|S_n|}{2} = \frac{n!}{2} \text{ for } n \geq 1.$$



**Proof**

**Example 11.1**  $A_4$  is the "rotation" symmetries of a tetrahedron. (it does not include reflection symmetries.)



# Chapter Dihedral Group

## Definition 12.1

before giving the complete definition, we say that dihedral group describes the symmetries of a regular  $n$ -(poly)gons:  $n$  rotations and  $n$  reflections.

## Proposition 12.1


$$|D_n| = 2n$$

## Definition 12.2 (principal $s$ and principal $r$ )

the principal reflection  $s$  of an  $n$ -gon is the reflection among the axis passing through "vertex 1"  
the principal rotation  $r$  is the rotation by  $\frac{2\pi}{n}$  radians.

**Claim 12.0.1.**  $r^l \cdot s$  is a reflection along the axis by rotating the principal axis of reflection by  $l \cdot \frac{\pi}{n}$

**Proof**

 **Exercise 12.1** show that in  $D_n$ ,  $r^l s = sr^{n-l}$ .

## Definition 12.3 (Dihedral group)

the dihedral group  $D_n$  is  $\{e, r, r^2, \dots, r^{n-1}, s, rs, r^2s, \dots, r^{n-1}s\}$ . the multiplication of  $D_n$  satisfies:  $D_n\{rs|r^n = e, s^2 = e, r^l s = sr^{n-l}\}$

## Proposition 12.2

every element in  $D_n$  has order 2.

## Proposition 12.3

we can reduce all elements of the form:  $r^a s^b r^c s^d r^e s^f \dots \in D_n$  into one of the  $2n$  elements in  $D_n$

**Example 12.1** in  $D_5$ , we can show that  $r^6 s^{-5} r^3 s^4 = sr^2$

## Definition 12.4 (product of groups)

let  $G_1, \dots, G_k$  be groups, we define the (exterior) product of  $G_1, \dots, G_k$  as  $G_1 \times G_2 \times \dots \times G_k := (g_1, \dots, g_k) | g_1 \in G_1, \dots, g_k \in G_k$

**Remark**[multiplication of elements]  $(g_1, \dots, g_k)(h_1, \dots, h_k) = (g_1 h_1, g_2 h_2, \dots, g_k h_k)$

# Chapter Homomorphisms and isomorphisms

first give one example as motivation:

**Example 13.1** consider two groups:  $(\mathbb{Z}_2 \times \mathbb{Z}_2, *) \leftrightarrow (\mathbb{Z}_8^*, \star)$ :

$$(0, 0) \leftrightarrow 1$$

$$(1, 0) \leftrightarrow 3$$

$$(0, 1) \leftrightarrow 5$$

$$(1, 1) \leftrightarrow 7$$

we find that  $(1, 0) * (1, 1) = (2, 1) = (0, 1)$  and  $3 \star 7 = 5 \pmod{8}$ : we find this "identification between two groups respects multiplication"

## Definition 13.1 (homomorphism and isomorphism)

let  $(G, *)$  and  $(H, \star)$  be groups, a homomorphism  $\phi : G \rightarrow H$  is a map that "respects" multiplications, i.e.  $\phi(g_1 * g_2) = \phi(g_1) \star \phi(g_2)$ , if  $\phi$  is a bijective homomorphism,  $\phi$  is called an isomorphism ( $\cong$ )



**Example 13.2** examine that  $\phi : D_4 \rightarrow S_4$  is a homomorphism

**Example 13.3** show that  $\phi : D_n \rightarrow S_n$  is an injective homomorphism. e.g.  $\phi(r) = (12...n)$  and  $\phi(s) = (2n)(3, n-1)$ ,  $s$  is the reflection with the axis passing vertex 1.

**Remark** we say a map is injective, then the map is of course not surjective.

**Example 13.4**  $\phi : A_3 \rightarrow \mathbb{Z}_3$

**Example 13.5** any linear transformation:  $T : (\mathbb{R}^n, +) \rightarrow (\mathbb{R}^m, +)$  is a homomorphism

**Example 13.6**  $(\mathbb{Z}, +) \rightarrow (\mathbb{Z}_n, '+')$ : define the map:  $\pi(a) = [a]_n$ . check that  $\pi(a + b) = \pi(a) + \pi(b)$

**Remark** the addition defined on  $\mathbb{Z}_n$  is different from the addition defined on  $\mathbb{Z}$ .

## Proposition 13.1 (composition)

1.  $\phi : G \rightarrow H$  and  $\psi : H \rightarrow K$  are homomorphism, then  $\psi \circ \phi$  is also a homomorphism.
2. if  $\phi : G \rightarrow H$  is a homomorphism, then  $\phi(e_G) = e_H$ .
3. if  $\phi : G \rightarrow H$  is an isomorphism, then its inverse  $\phi^{-1} : H \rightarrow G$  is a homomorphism



**Proof**

## Definition 13.2 (kernel and image)

let  $\phi : G \rightarrow H$  be a homomorphism, kernel  $\ker(\phi) := \{g \in G : \phi(g) = e_H\}$   
image  $\text{im}(\phi) := \{\phi(g) : g \in G\}$



**Remark**  $\ker(\phi) \leq G$ ,  $\text{im}(\phi) \leq H$

**Example 13.7**  $\phi : S_n \rightarrow (\pm 1, \times)$ ,  $\ker(\phi) = \sigma \in S_n : \phi(\sigma) = 1$

**Example 13.8** the determinant:  $(GL(n, \mathbb{R}), \times) \rightarrow (\mathbb{R}^*, \times)$  is a homomorphism: recall that  $\det(AB) = \det(A)\det(B)$   
the kernel  $\ker(\det) = A \in GL(n, \mathbb{R}) : \det(A) = 1 := SL(n, \mathbb{R})$   
 $\text{im}(\det) = \mathbb{R}^*$  (recall the definition of  $GL(n, \mathbb{R})$ )

**Exercise 13.1**  $\psi : S_n \rightarrow GL(n, \mathbb{R})$  is a homomorphism if

$$\sigma \rightarrow A_\sigma := \begin{cases} 1, & \text{on the } (\sigma(i), i) \text{ entries} \\ 0, & \text{elsewhere} \end{cases}$$

**Example 13.9**  $n = 3$ , we have  $(132) \rightarrow A_{(132)} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$

**Proposition 13.2**

$\phi : G \rightarrow H$  is a homomorphism, then we have:

1.  $\phi$  is an injective iff  $\ker(\phi) = \{e_G\}$
2.  $\phi$  is surjective iff  $\text{im}(\phi) = H$
3. if  $G$  is cyclic/abelian, then  $\phi(G)$  is also cyclic/abelian.



**Proof** 1.  $(\rightarrow)$  we prove that  $\ker(\phi) = e_G$  using the definition of injective and the property of homomorphism that  $\phi(e_G) = e_H$

$(\leftarrow)$  we prove that  $\phi$  is an injective using a property of homomorphism:  $\phi(x^{-1}) = \phi^{-1}(x)$  (proof:  $\phi(x^{-1}) \times \phi(x) = \phi(x^{-1} \times x) = \phi(e_G) = e_H \rightarrow \phi(x^{-1}) = \phi^{-1}(x)$ )

**Proof** 2.  $(\rightarrow)$  we prove that  $\text{im}(\phi) = H$ , the definition of surjective map says that  $\forall h \in H, \exists g \in G \text{ s.t. } \phi(g) = h$  i.e.  $\text{im}(\phi) \supseteq H$ , recall that  $\text{im}(\phi) \leq H \rightarrow \text{im}(\phi) = H$

(leftarrow) I think this is proved by the definition of surjective map.

**Remark** if  $\phi : G \rightarrow H$  is isomorphism, then "many" properties of  $G$  remains true for  $H$ , such as  $G$  is cyclic/abelian  $\Leftrightarrow H$  is also cyclic/abelian.

- Exercise 13.2** a. do we have  $\phi : (\mathbb{Z}_6, +) \rightarrow S_3$  is an isomorphism?  
b. do we have  $\phi : \mathbb{Z}_4 \rightarrow \mathbb{Z}_2 \times \mathbb{Z}_2$  is an isomorphism?

**Theorem 13.1**

let  $G = \langle g \rangle$  be a cyclic group, then if  $|G| = \infty$ , then  $G \cong (\mathbb{Z}, +)$ ; if  $|G| = n$ , then  $G \cong (\mathbb{Z}_n, +)$



**Proof**

## Chapter lagrange's theorem

### Definition 14.1 (equivalence relation)

$S$  is a set, an equivalence relation  $\sim$  on  $S$  satisfies for all  $a, b, c \in S$ , 1.  $a \sim a$ ;

2.  $a \sim b, b \sim c \rightarrow a \sim c$ ;

3.  $a \sim b \leftrightarrow b \sim a$



### Definition 14.2 (equivalence class)

an equivalence class of  $S$  with representative  $a \in S$  is the set  $C_a := \{b \in S : b \sim a\}$



**Remark** if  $a \sim c$ , then  $C_a = C_c$

**Example 14.1** in  $GL(n, \mathbb{R})$ ,  $C \begin{pmatrix} y & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix} = \{B | \det(B) = \det \begin{pmatrix} y & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix}\} = \{B | \det(B) = y\}$

**Remark**  $M$  is a matrix  $C_M := C \begin{pmatrix} \det(M) & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix}$ , if  $\det(M) \neq \det(M') \rightarrow C_M \cap C_{M'} = \emptyset$

for a set  $S$ , we can partition  $S$  into disjoint union of equivalence class  $S = C_\alpha \sqcup C_\beta \sqcup \dots$ , where  $\sqcup$  means disjoint union.

for the above example, we have  $(GL(n, \mathbb{R}), \sim) = \bigsqcup_{y \in \mathbb{R}^*} C \begin{pmatrix} y & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix}$

### Definition 14.3 (left coset)

let  $H \leq G$ , the left coset of  $H$  with representative  $a \in G$  is the equivalence class:  $aH = C_a = \{b \in G | a \sim b\} = \{b \in G | a^{-1}b \in H\} = \{b \in G | a^{-1}b = h, h \in H\} = \{ah | h \in H\}$



**Remark** relation between left coset and equivalent class: we can write  $G = \bigsqcup_{\alpha \in A} \alpha H$  for some indexed set  $A$

### Definition 14.4

let  $H \leq G$ , the index  $[G : H]$  is equal to the number of the left coset in  $G = \bigsqcup_{\alpha \in A} \alpha H$  for some indexed set  $A$



**Example 14.2** a.  $3\mathbb{Z} \leq \mathbb{Z}$ ,  $\mathbb{Z} = (0 + \mathbb{Z}) \sqcup (1 + \mathbb{Z}) \sqcup (2 + \mathbb{Z})$ ,  $[\mathbb{Z} : 3\mathbb{Z}] = 3$

b.  $[GL(n, \mathbb{R}) : SL(n, \mathbb{R})] = \infty$

c.  $G = S_3, |S_3| = 6, H = \{e, (12)\}$ ,  $eH = \{ee, e(12)\}$ ,  $(23)H = \{(23), (132)\}$ ,  $(13)H = \{(13), (123)\}$ ,  $[S_3 : H] = 3$

### Theorem 14.1 (lagrange)

let  $|G| < \infty$  and  $H \leq G$ , then  $[G : H] \cdot [H] = [G]$



**Proof**

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**Corollary 14.1**

let  $|G| < \infty$ , and  $g \in G$ , then  $\text{ord}(g) \mid |G|$

**Corollary 14.2 (Fermat's little theorem)**

let  $a \in \mathbb{Z}$ , and  $a$  is not a multiple of  $p$ , then  $p \mid (a^{p-1} - 1)$ , i.e.  $a^{p-1} \equiv 1 \pmod{p}$



## Chapter normal subgroup

### Definition 15.1 (right coset)

define  $a \sim_R b \Leftrightarrow ab^{-1} \in H$ . we can check that this is also an equivalence relation with equivalence class:  
 $R_a := \{b | b \sim_R a\} = Ha = \{ha | h \in H\}$

it is easy to notice that not all groups have subset  $H$  s.t. left coset equals to right coset.

**Example 15.1** a.  $G = S_3, H = A_3$ , check that  $gA_3 = A_3g, \forall g \in G$

b.  $G = D_n, H = \langle s \rangle = \{e, s\}, rH = \{r, rs\}, Hr = \{r, sr\}$ , recall that  $rs = sr^{n-1}$ , for  $n > 2$ , we have  $rs \neq sr, \rightarrow rH \neq Hr$ .

### Definition 15.2 (normal group)

let  $H \leq G$ , we say  $H$  is a normal subgroup of  $G$ , ( $H \triangleleft G$ ) if  $gH = Hg, \forall g \in G$

**Example 15.2** a.  $A_n \triangleleft S_n$

b.  $SL(n, \mathbb{R}) \triangleleft GL(n, \mathbb{R})$  c.  $\langle s \rangle \not\triangleleft D_n$  for  $n \geq 2$

### Theorem 15.1

let  $H \leq G$ , the following are equivalent:

- a.  $H \triangleleft G: gH = Hg, \forall g \in G$
- b.  $\forall h \in H, g \in G, ghg^{-1} \in H$
- c.  $gHg^{-1} = H, \forall g \in G$

### Proof

#### Corollary 15.1

let  $\phi: G \rightarrow H$  be a homomorphism of groups, then  $\ker(\phi) \triangleleft G$

**Proof** using the equivalent definition of normal group in the above theorem b.

take any  $k \in \ker(\phi)$ , i.e.  $\phi(k) = e_H$ , we want to prove that  $gkg^{-1} \in \ker(\phi)$ . consider  $\phi(gkg^{-1}) = \phi(g)\phi(k)\phi(g^{-1}) = \phi(g)e_H\phi(g^{-1}) = \phi(g)\phi(g^{-1}) = \phi(g)\phi(g)^{-1} = e_H$ , so we have  $gkg^{-1} \in \ker(\phi)$ , which proves that  $\ker(\phi) \triangleleft G$ , we are done.

note  $\phi(g)\phi(g^{-1}) = \phi(gg^{-1}) = \phi(e_G) = e_H$  and thus  $\phi(g^{-1}) = \phi(g)^{-1}$

**Example 15.3**  $SL(n, \mathbb{R}) \triangleleft GL(n, \mathbb{R})$

## Chapter quotient group

### Definition 16.1 (quotient group)

let  $H \triangleleft G$ , the quotient group is defined as

$$G/H := \{\text{all } H\text{-left coset of } G = \{aH | a \in G\}\}$$

the multiplication rule is defined to be

$$(aH) * (bH) := (abH)$$



**Remark a.**  $|G/H|$  = the number of  $H$ -left coset of  $G = [G : H]$ , if  $|G| < \infty$ , then by lagrange theorem, we have  $[G : H] = |G|/|H|$

b. the multiplication rule of  $(G/H, *)$  gives a group:  $[(aH) * (bH)] * (cH) = (aH) * [(bH) * (cH)] = (abc)H$  and the identity of the group is  $e_{G/H} = eH$ , and the inverse of the group:  $(bH)^{-1} = b^{-1}H$  since  $b^{-1}H * bH = (b^{-1}b)H = eH$

**Remark** given  $a_1 \neq a_2$  and  $b_1 \neq b_2$  we can still have  $a_1H = a_2H$  and  $b_1H = b_2H$ . it is also true that:  $(a_1H)(b_1H) = (a_2H)(b_2H)$ , i.e.  $(a_1b_1)H = (a_2b_2)H$

**Example 16.1** we can show that  $\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n$

### Theorem 16.1 (first isomorphism theorem)

let  $\phi : G \rightarrow H$  be a homomorphism, then  $\ker(\phi) \triangleleft G$ , and  $G/\ker(\phi) \cong \text{im}(\phi)$



**Proof**

### Definition 16.2 (simple group)

we say a group  $G$  is simple if there is no proper, nontrivial, normal subgroups of  $G$ , i.e.  $k \triangleleft G \Leftrightarrow k = \{e\}$  or  $G$



**Example 16.2** 1.  $GL(n, \mathbb{R})$  is not a simple since  $SL(n, \mathbb{R}) \triangleleft GL(n, \mathbb{R})$

2.  $A_n \triangleleft S_n$

3.  $A_n$  is simple for  $n \geq 5$ ,  $k = \{e, (13)(24), (12)(34), (14)(23)\} \triangleleft A_4$

**Remark** for  $|G| < \infty$ , we have  $|G| = |G/k| \times |k|$ , but it does not implies that  $G \cong G/k \times k$ . for example:  $G = S_3, H = A_3$ ,  $G/H = \mathbb{Z}_2$ , but  $S_3 \not\cong A_3 \times \mathbb{Z}_2$ , notice that  $S_3$  is not abelian but  $A_3, \mathbb{Z}_2$  are abelian and thus  $A_3 \times \mathbb{Z}_2$  is abelian.

# Chapter classification of all finite abelian subgroup

what we are going to do is try to classify all finite abelian subgroup. we first recall examples of finite abelian group:  $(\mathbb{Z}_n, +)$ ,  $(\mathbb{Z}_n^*, \times)$ ,  $(\mathbb{Z}_m \times \mathbb{Z}_n, +)$

🚩 **Exercise 17.1** whether do we have:  $\mathbb{Z}_{mn} \cong \mathbb{Z}_m \times \mathbb{Z}_n$ ? the exercise is natural since both two groups are abelian and have order  $mn$ .

the answer is true for the case that  $\gcd(m, n) = 1$ : there is a counterexample:  $\mathbb{Z}_4 \not\cong \mathbb{Z}_2 \times \mathbb{Z}_2$  since  $\mathbb{Z}_4$  is cyclic and  $\mathbb{Z}_2 \times \mathbb{Z}_2$  is not cyclic.

**Proof** we want to show that there is an isomorphism  $\phi : \mathbb{Z}_{mn} \rightarrow \mathbb{Z}_m \times \mathbb{Z}_n$  with  $\gcd(m, n) = 1$ :

1. show that  $\phi$  is a homomorphism
2. show that  $\phi$  is surjective and injective

## Theorem 17.1

all finite abelian group  $G$  are isomorphic to a product of cyclic groups:  $G \cong \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2} \times \dots \times \mathbb{Z}_{n_k}$  and the expression may not be unique. (what is the requirement for  $n_i$ ?)

**Proof** 1. we first show that if  $|G| = p_1^{a_1} p_2^{a_2} \dots p_r^{a_r}$ , then  $G \cong H_{p^{a_1}} \times H_{p^{a_2}} \times \dots \times H_{p^{a_r}}$

2. we show that all abelian groups  $H_{p^a}$  of order  $p^a$  is isomorphic to  $\mathbb{Z}_{p^{k_1}} \times \mathbb{Z}_{p^{k_2}} \times \dots \times \mathbb{Z}_{p^{k_r}}$  with  $a = k_1 + k_2 + \dots + k_r$  and  $k_1 \leq k_2 \leq \dots \leq k_r$

(this does not mean that  $\mathbb{Z}_8 \cong \mathbb{Z}_4 \times \mathbb{Z}_2 \cong \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ )

1. + 2.  $\rightarrow G \cong (\mathbb{Z}_{p_1^{k_1}} \times \mathbb{Z}_{p_1^{k_2}} \times \dots \times \mathbb{Z}_{p_1^{k_x}}) \times (\mathbb{Z}_{p_2^{k_1}} \times \mathbb{Z}_{p_2^{k_2}} \times \dots \times \mathbb{Z}_{p_2^{k_y}}) \times \dots \times (\mathbb{Z}_{p_r^{k_1}} \times \mathbb{Z}_{p_r^{k_2}} \times \dots \times \mathbb{Z}_{p_r^{k_z}})$

🚩 **Exercise 17.2** show that  $\mathbb{Z}_8 \not\cong \mathbb{Z}_4 \times \mathbb{Z}_2 \not\cong \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$

## Corollary 17.1

if  $\phi : G \rightarrow H$  is an isomorphism and  $\text{ord}(g) = l$  for  $g \in G$ , then  $\text{ord}(\phi(g)) = l$

**Proof**

**Example 17.1** we wonder the abelian group  $G$  of order 360 may be isomorphic to ...?

**Proof** note that  $360 = 2^3 3^2 5^1$ . we claim that there are 6 choices of abelian groups for group  $G$  of order 360 to be isomorphic to. these 6 groups are not isomorphic

this result gives a full description (of the structure) of abelian groups of order 360: we can check:  $\mathbb{Z}_{360} \cong \mathbb{Z}_8 \times \mathbb{Z}_9 \times \mathbb{Z}_5$  why? and  $\mathbb{Z}_{180} \times \mathbb{Z}_2 \cong$

## Theorem 17.2

if  $G$  is abelian and  $|G| = mn$  with  $\gcd(m, n) = 1$ , then  $G \cong H_m \times H_n$  where  $H_m, H_n$  are abelian groups of order  $m, n$  respectively.

## Corollary 17.2

by the above theorem, we have: every abelian group  $G$  with  $|G| = p_1^{a_1} p_2^{a_2} \dots p_r^{a_r}$  is isomorphic to  $H_1 \times H_2 \times \dots \times H_r$  where  $|H_1| = p_1^{a_1}, \dots, |H_r| = p_r^{a_r}$

## Theorem 17.3

every abelian group of order  $p^a$  is isomorphic to  $\mathbb{Z}_{p^{k_1}} \times \mathbb{Z}_{p^{k_2}} \times \dots \times \mathbb{Z}_{p^{k_r}}$  with  $k_1 \leq k_2 \leq \dots \leq k_r$  and  $a = k_1 + k_2 + \dots + k_r$

**Proof** (guideline)



# Chapter Rings: basic knowledge

## Introduction

- ☐ definition of ring
- ☐ how to check a subring
- ☐ subring
- ☐ product ring
- ☐ commutative, unital
- ☐ field

### Definition 18.1 (ring)

a ring is a set equipped with two binary operations:  $+: R \times R \rightarrow R$  and  $\cdot: R \times R \rightarrow R$  s.t.

- a.  $(R, +)$  is an abelian group with additive identity  $0_R$ , and  $(R, \times)$  is not necessary to be a group.
- b.  $(R, \cdot)$  is associative
- c.  $(R, +, \cdot)$  is distributive:  $a \cdot (b + c) = a \cdot b + a \cdot c$  and  $(b + c) \cdot a = b \cdot a + c \cdot a$



**Example 18.1** a.  $\mathbb{Z}[i] := \{a + bi | a, b \in \mathbb{R}\}$  is a ring

b.  $n\mathbb{Z} = \{nk | k \in \mathbb{Z}\}$  is a ring

c.  $M_{n \times n}(\mathbb{R})$  forms a ring

### Definition 18.2 (unital)

let  $(R, +, \cdot)$  be a ring, we say  $R$  is unital if there is a multiplicative identity  $1_R$  i.e.  $r \cdot 1_R = 1_R \cdot r = r$  for all  $r \in R$ .



in  $M_{n \times n}(R)$ ,  $1_R = I_{n \times n}$ ; in  $\mathbb{Z}_n$ ,  $1_R = [1]$ ; but  $n\mathbb{Z}$  has no  $1_R$  for  $n > 1$ , so  $n\mathbb{Z}$  is not a unital ring.

### Definition 18.3 (commutative)

$(R, +, \cdot)$  is a ring, we say  $(R, +, \cdot)$  is commutative if  $ab = ba, \forall a, b \in R$ .

notice  $M_{n \times n}(R)$  is not commutative.



### Definition 18.4 (unit)

if  $R$  is unital, the unit of  $R$  is defined as  $U(R) := \{r \in R | \exists r^{-1} \in R \text{ s.t. } rr^{-1} = r^{-1}r = 1_R\}$

e.g.  $U(\mathbb{Z}) = \{1, -1\}$ ,  $U(\mathbb{Q}) = \mathbb{Q}^* = \mathbb{Q} \setminus \{0\}$  since  $(\frac{a}{b})^{-1} = (\frac{b}{a})$ ,  $U(\mathbb{Z}[i]) = \{1, -1, i, -i\}$ ,  $U(M_{n \times n}(R)) = GL(n, \mathbb{R})$



## Remark

1. the additive identity  $0_R$  is unique in  $R$ . if  $R$  is unital, then the multiplicative unit  $1_R$  is also unique.
2. write  $-r \in R$  as the additive inverse of  $r \in R$ ,  $r + (-r) = 0_R$
3. similarly for  $s \in U(R)$ , we write  $s^{-1}$  as the multiplicative inverse of  $s$ , i.e.  $s \cdot s^{-1} = s^{-1}s = 1_R$
4. for  $n \in \mathbb{N}$ , write  $n \cdot r := \overbrace{r + r + \dots + r}^{n \text{ copies}}$
5. if  $R$  is commutative, then for  $a, b \in R$ , we write  $a|b$  as  $a$  divides  $b$ , and  $a$  is a factor of  $b$  if  $\exists c \in R$  s.t.  $b = ac$

### Proposition 18.1

- a.  $0_R \cdot r = r \cdot 0_R, \forall r \in R$
- b.  $(-1_R) \cdot r = -r = r(-1_R)$
- c.  $(-1_R) \cdot r = r = (-r)(-1_R)$



## Proof

**Remark** if  $m \in \mathbb{Z}$ , and  $m < 0$ ,  $m \cdot r := (-r) + (-r) + \dots + (-r) = ((-1_R) + (-1_R) + \dots + (-1_R)) \cdot r$

**Definition 18.5 (product ring)**

$(R, +, \cdot)$  and  $(S, +, \cdot)$  are rings, the product ring  $(R \times S, +, \cdot)$  is defined by a.  $(r, s) + (r', s') := (r + r', s + s')$  and b.  $(r, s) \cdot (r', s') = (r \cdot_R r', s \cdot_S s')$  where  $\cdot_R$  is the multiplication on  $R$  and  $\cdot_S$  is the multiplication on  $S$ .

**Definition 18.6 (subring)**

let  $R' \subset R$  be a subset, then  $R'$  is a subring of  $R$  if  $+_{R'} : R' \times R' \rightarrow R'$ ;  $\cdot_{R'} : R' \times R' \rightarrow R'$  gives a ring structure of  $R'$ .

**Theorem 18.1 (equivalent definition of subring)**

let  $(R, +, \cdot)$  be a ring, a subset  $R' \subset R$  is a subring iff  $\forall a, b \in R'$ , we have:

- a.  $a + b \in R'$
- b.  $-a \in R'$
- c.  $a \cdot b \in R'$

**Definition 18.7 (field)**

a field  $\mathcal{F}$  is a unital, commutative ring s.t.  $U(\mathcal{F}) = \mathcal{F} \setminus \{0_{\mathcal{F}}\}$



**Example 18.2**  $\mathbb{Z}$  is not a field since  $U(\mathbb{Z}) = \{1, -1\}$ .

$\mathbb{Q}, \mathbb{R}, \mathbb{C}$  are fields.

**Remark**  $R[X] := \{a_n x^n + \dots + a_0 \mid a_i \in R\}$  is a ring if  $R$  is a ring. if  $R$  is commutative/unital, then  $R[x]$  is also commutative/unital.

# Chapter ring homomorphism

## Introduction

- ring homomorphism
- unital homomorphism

- properties of ring homomorphism
- kernel and image

### Definition 19.1 (ring homomorphism)

let  $R, S$  be rings, then a map  $\phi : R \rightarrow S$  is a ring homomorphism if  $\phi$  satisfies:

- $\phi(r + r') = \phi(r) + \phi(r')$
- $\phi(r \cdot r') = \phi(r)\phi(r')$



### Definition 19.2 (unital)

if  $R, S$  are unital, a homomorphism  $\phi$  is called unital if  $\phi(1_R) = 1_S$



**Remark** a. if  $\phi : R \rightarrow S$  is bijective, then we say  $R \rightarrow S$  is an isomorphism  
b. for groups,  $(G, \cdot)$  and  $(H, \cdot)$ : any homomorphism  $\phi : G \rightarrow H$  i.e.  $\phi(g_1 \cdot g_2) = \phi(g_1)\phi(g_2)$  satisfies  $\phi(e_G) = e_H$ . however, for ring homomorphism since  $G, H$  are not groups, so we have  $\phi(e_G) = e_H$  automatically.

**Example 19.1** a.  $\phi : \mathbb{Z} \rightarrow \mathbb{Z} : \phi(n) = 2n$  is a group homomorphism but  $\phi$  is not a ring homomorphism:  $\phi(a \cdot b) = 2(ab) \neq \phi(a)\phi(b) = 4(ab)$

b.  $\phi : \mathbb{Z}_{10} \rightarrow \mathbb{Z}_{10} : \phi([a]_{10}) = [2a]_{10}$  is not a ring homomorphism but  $\psi : \mathbb{Z}_{10} \rightarrow \mathbb{Z}_{10} : \psi([a]_{10}) = [5a]_{10}$  is a ring homomorphism and  $\psi$  is not unital since  $\psi([1]_{10}) = [5 \cdot 1]_{10} \neq [1]_{10}$

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**Remark**  $\phi : \mathbb{Z}_8 \rightarrow \mathbb{Z}_4 \times \mathbb{Z}_2$  is not isomorphic since  $\phi([4]) = ([0], [0])$ , so  $\ker(\phi) \neq \{0\}$ . however, if  $\gcd(m, n) = 1$ , then  $\phi : \mathbb{Z}_{mn} \rightarrow \mathbb{Z}_m \times \mathbb{Z}_n$  is an isomorphism.

### Proposition 19.1

let  $\phi : R \rightarrow S$  be any ring homomorphism, we have

- $\phi(0_R) = 0_S$
- $\phi(-a) = -\phi(a)$
- if  $R, S$  are unital and  $\phi$  is unital homomorphism, then  $\phi(a^{-1}) = (\phi(a))^{-1}$
- if  $\phi$  is an isomorphism, then  $\phi^{-1} : S \rightarrow R$  is also a ring homomorphism



### Proof

### Proposition 19.2

let  $\phi : R \rightarrow S$  be a ring homomorphism, then we have:

- $\ker(\phi) = \{r \in R : \phi(r) = 0_S\} \leq R$
- $\text{im}(\phi) = \{\phi(r) : r \in R\} \leq S$
- $\phi$  is an isomorphism  $\Leftrightarrow \ker(\phi) = \{0_R\}$  and  $\text{im}(\phi) = S$



### Proof

# Chapter integral domain

## Introduction

□ zerodivisor, integral domain

□ field  $\subset$  ID

□ cancellation property

recall in groups we have  $\ker(\phi) \triangleleft G$  is a normal group, the analog for rings is called ideals.

### Definition 20.1 (zerodivisor; integral domain)

let  $R$  be a ring. an element  $a \in R \setminus \{0\}$  is a zerodivisor if  $\exists r \in R \setminus \{0\}$  s.t.  $a \cdot r = 0_R$  or  $r \cdot a = 0_R$ . recall if  $a = 0_R$ ,  $a \cdot r = r \cdot a = 0_R$ . a ring  $R$  is called an integral domain (ID) if  $R$  is commutative and  $R$  has no zerodivisor.



**Example 20.1**  $\mathbb{Z}_6$  is not an integral domain since  $[2][3] = [6] = [0] \Rightarrow 2$  and  $3$  are zerodivisor of  $\mathbb{Z}_6$

### Proposition 20.1 (cancellation property)

let  $R$  be a commutative ring. then  $R$  is ID iff whenever  $c \neq 0$ ,  $c \cdot a = c \cdot b$  in  $R$ , we have  $a = b$ .



**Proof** ( $\Rightarrow$ ): given  $ca = cb$ , we have  $ca + (-(cb)) = 0_R$ ,  $ca + c(-b) = c(a + (-b)) = 0$ , therefore if  $R$  is an ID, we have:  $c$  and  $(a + (-b))$  nonzero otherwise we have zerodivisors, and we have already know that  $c \neq 0$ , we have  $a + (-b) = 0 \Rightarrow a = b$  ( $\Leftarrow$ ): suppose  $R$  is not an ID, given  $c \neq 0$ , if we have  $ca = cb \Rightarrow c(a - b) = 0$ , which does not imply that  $a = b$  and it contradicts with the assumption that  $a = b$ , so  $R$  is an ID.

### Proposition 20.2

a field is always an ID



**Proof** field  $F$  is commutative so we use the last proposition to prove the  $F$  is an ID: suppose  $ca = cb$ ,  $c \neq 0$ ,  $c(a - b) = 0$ . since  $F$  is a field,  $c \neq 0 \Rightarrow c^{-1}$  exists  $\Rightarrow c^{-1}c(a - b) = c^{-1} \cdot 0 = 0 \Rightarrow 1 \cdot (a - b) = 0 \Rightarrow a = b$ , we are done.

# Chapter ideals

## Introduction

- ☐ ideal
- ☐ ideal of intersection and addition
- ☐ ideals generated by  $\Gamma$
- ☐ kernel and ideal
- ☐ principal ideal

### Definition 21.1 (ideal)

let  $R$  be a ring, a subset  $I \subset R$  is an ideal  $I \triangleleft R$  if:

- a.  $(I, +)$  forms a subgroup of  $(R, +)$
- b.  $\forall i \in I, x \in R$ , we have  $ix \in I$  and  $xi \in I$ .



**Remark** if  $I \triangleleft R$ , then  $I \leq R$  since b. in the definition implies that  $i \cdot i' \in I$  and  $i' \cdot i \in I$ .

### Example 21.1

1.  $\forall a, b \in \mathbb{Z}$ , we have  $a \cdot b \in \mathbb{Z}$ . we have  $\mathbb{Z} \leq \mathbb{Q}$ , but  $\mathbb{Z} \not\triangleleft \mathbb{Q}$ : we take  $2 \in \mathbb{Z}$ ,  $\frac{1}{3} \in \mathbb{Q}$ , but  $2 \cdot \frac{1}{3} = \frac{2}{3} \notin \mathbb{Z}$ , so  $\mathbb{Z}$  is not an ideal of  $\mathbb{Q}$ .
2.  $n\mathbb{Z} \triangleleft \mathbb{Z}$
3.  $R = \mathbb{Z}[x]$ ,  $I = \{p(x) \in R | p(0) = 0\}$ : polynomials with zero coefficient at constant term. then  $I \triangleleft R$ : we check:
  - (a).  $p(x) = a_1x + \dots + a_nx^n$ ,  $q(x) = b_1x + \dots + b_mx^m \in I$ , we have  $p + q \in I$ ,  $-q \in I$
  - (b). for any  $r(x) = c_0 + c_1x + \dots + c_lx^l$ ,  $r(x) \cdot p(x) = p(x) \cdot r(x) = (c_0 \cdot a_1)x + (c_1 \cdot a_1 + c_0 \cdot a_2)x^2 + \dots \in I$
4.  $R = \mathbb{Z}[x]$ ,  $I = \{\text{polynomials with even constant term}\}$ , then  $I \triangleleft R$  **why?**

we want to know how to construct ideals?

### Definition 21.2 (ideals generated by $\Gamma$ )

let  $R$  be a ring,  $\Gamma \subset R$  is a subset, then the ideals generated by  $\Gamma$  is  $\langle \Gamma \rangle :=$  the smallest ideal containing all  $r \in \Gamma$ .



**Remark** if  $R$  is unital and commutative, and  $\Gamma = \{\gamma_1, \gamma_2, \dots, \gamma_k\}$  is a finite set, then  $\langle \Gamma \rangle = \langle \gamma_1, \gamma_2, \dots, \gamma_k \rangle = \{a_1\gamma_1 + a_2\gamma_2 + \dots + a_k\gamma_k | a_i \in R\}$

### Example 21.2

1. recall we have  $n\mathbb{Z} \triangleleft \mathbb{Z}$ , let  $\Gamma = \{n\}$ ,  $\langle n \rangle = \{an | a \in \mathbb{Z}\} = n\mathbb{Z}$
2.  $R = \mathbb{Z}[x]$ ,  $\Gamma = \{x\}$ , then  $\langle x \rangle = \{xp(x) | p(x) \in R\} = \{p(x) \in R | p(0) = 0\}$
3.  $R = \mathbb{Z}[x]$ ,  $\Gamma = \{2, x\}$ ,  $\langle 2, x \rangle := \{2p(x) + xq(x) | p(x), q(x) \in R\} = \{\text{all polynomials with even constant coefficients}\}$

### Proposition 21.1

let  $R$  be unital commutative ring, then  $\langle \gamma_1, \gamma_2, \dots, \gamma_k \rangle = \{a_1\gamma_1 + \dots + a_k\gamma_k\}$  is an ideal of  $R$ .



**Proof** we need to show 3 things:

1. show that  $\{a_1\gamma_1 + \dots + a_k\gamma_k | a_i \in R\} \triangleleft R$
2. show that  $\gamma_1, \dots, \gamma_k \in \{a_1\gamma_1 + \dots + a_k\gamma_k\}$
3. show that if any  $I \triangleleft R$  s.t.  $\gamma_1, \dots, \gamma_k \in I$ , then  $\{a_1\gamma_1 + \dots + a_k\gamma_k\} \subset I$ , which means that  $\{a_1\gamma_1 + \dots + a_k\gamma_k\}$  is the smallest ideal containing  $\gamma_1, \dots, \gamma_k$ , by the definition of ideal, we are done.

### Definition 21.3 (principal ideal)

let  $R$  be unital commutative,  $I \triangleleft R$  is a principal ideal if  $I = \langle r \rangle$  for some  $r \in R$ , e.g.  $\{0\} = \langle 0 \rangle$ , and  $R = \langle 1 \rangle$



**Definition 21.4 (ideal of intersection and addition)**

let  $I_1, I_2, \dots, I_k \triangleleft R$ , we define  $I_1 + \dots + I_k = \{i_1 + \dots + i_k \mid i_j \in I_j\}$ , and define  $\bigcap_{l=1}^k I_l = \{i \in R \mid i \in I_l, \forall l = 1, 2, \dots, k\}$ , then they are also ideals in  $R$ .



**Example 21.3** let  $R = \mathbb{Z}$ ,  $I_l = \langle m_l \rangle = m_l \mathbb{Z} \rightarrow I_1 + \dots + I_k = \langle \gcd(m_1, \dots, m_k) \rangle$  e.g.  $\langle 2 \rangle + \langle 3 \rangle = \langle 1 \rangle$ ,  $I_1 \cap I_2 \cap \dots \cap I_k = \langle \text{lcd}(m_1, \dots, m_k) \rangle$  e.g.  $\langle 2 \rangle \cap \langle 3 \rangle = \langle 6 \rangle$

**Proposition 21.2**

let  $\phi : R \rightarrow S$  be a ring homomorphism, then  $\ker(\phi) \triangleleft R$



**Proof** we have proved that  $\ker(\phi) \leq R$  (check the chapter: ring homomorphism), which guarantees that  $\ker(\phi)$  is a additive subgroup of  $R$ . we only need to prove that  $\forall i \in \ker(\phi), x \in R$ , we have  $ix \in \ker(\phi)$  and  $xi \in \ker(\phi)$ : since  $\phi(ix) = \phi(i)\phi(x) = 0 \cdot \phi(x) = 0 \in S$  and  $\phi(xi) = \phi(x)\phi(i) = \phi(x) \cdot 0 = 0 \in S$ , we conclude that  $xi$  and  $ix \in \ker(\phi)$ , we are done.

# Chapter quotient ring

## Introduction

□ quotient ring

□ first isomorphism theorem for rings

### Definition 22.1 (quotient ring)

let  $R$  be a ring,  $I \triangleleft R$  is an ideal, then the quotient ring  $(R/I, +, \cdot)$  is defined by  $R/I := \{r+I \mid r \in R\}$ , and the addition in  $(r+I)$  is defined by  $(r+I) + (r'+I) := (r+r') + I$ . the multiplication is defined by:  $(r+I) \cdot (r'+I) := r \cdot r' + I$  ♣

**Remark** we need to check the operations are well-defined. for example, suppose  $(r+I) = (s+I)$  and  $(r'+I) = (s'+I)$ , we must check that  $rr' + I = ss' + I$ , **how?**

**Example 22.1** let  $R = \mathbb{R}[x]$ ,  $I = \langle x^2 + 1 \rangle$ , the elements of  $R/I$  are of the form  $\{p(x) + I \mid p(x) \in R\}$ , i.e.  $x^2 + \langle x^2 + 1 \rangle = (-1 + (x^2 + 1)) + \langle x^2 + 1 \rangle = -1 + \langle x^2 + 1 \rangle$ . more generally, by division algorithm,  $p(x) = q(x)(x^2 + 1) + r_0 + r_1x$ , we have  $p(x) + I = (r_0 + r_1x) + I$ ,  $R/I := \{(a_0 + a_1x) + I \mid a_0, a_1 \in \mathbb{R}\}$

**Example 22.2** some arithmetic in  $R/I$ ,  $I = \langle x^2 + 1 \rangle$ :

a.  $(2+I)((x+3)+I) = (2x+6)+I$

b.  $0_{R/I} = 0 + I$

c.  $1_{R/I} = 1 + I$

d.  $(x+I)(x+I) = x^2 + I = -1 + I = -1_{R/I}$

e.  $R = \mathbb{Z}[x]$ ,  $I_3 = \langle 2, x \rangle$ ,  $R/I_3 = \{(a_0 + a_1x + \dots + a_nx^n) + \langle 2, x \rangle\}$ , we have  $R/I_3 = \{a_0 + (a_1 + \dots + a_nx^n - 1)x + \langle 2, x \rangle\} = \{a_0 + \langle 2, x \rangle\} = \{0 + \langle 2, x \rangle, 1 + \langle 2, x \rangle\}$ , so we have  $R/I_3 \cong \mathbb{Z}_2$

### Theorem 22.1 (first isomorphism theorem for rings)

let  $\Phi : R \rightarrow S$  be ring homomorphism, then we have an isomorphism of rings  $\phi : R/\ker(\Phi) \cong \text{im}(\Phi)$ . (so  $\phi$  is a bijection) ♡

**Proof**

**Example 22.3**

**Example 22.4**

# Chapter Chinese remainder theorem

## Introduction

□ rings' coprime

□ CRT

### Definition 23.1 (coprime)

let  $R$  be commutative ring. two ideals  $I_1, I_2 \triangleleft R$  are coprime if  $I_1 + I_2 = R$



in number theory, we have the CRT:

### Theorem 23.1 (Chinese remainder theorem)

let  $m_1, m_2, \dots, m_r$  be pairwise coprime positive integers, and let  $a_1, a_2, \dots, a_r$  be integers. then the system:

$$\begin{cases} x \equiv a_1 \pmod{m_1} \\ x \equiv a_2 \pmod{m_2} \\ \dots \\ x \equiv a_r \pmod{m_r} \end{cases}$$

has a solution. moreover, if  $x_0$  is a solution, then the complete solution of the system is given by  $x = x_0 + km$ , where  $k$  is any integer and  $m = m_1 m_2 \dots m_r$



in abstract algebra, the CRT is:

### Theorem 23.2 (Chinese remainder theorem)

let  $R$  be commutative. unital rings  $I_1, I_2, \dots, I_k \triangleleft R$  and  $\forall I_i$  and  $I_j$  are coprime, then we have

$$R/(I_1 \cap I_2 \cap \dots \cap I_k) \cong R/I_1 \times R/I_2 \times \dots \times R/I_k$$



we want to explain the relation between two versions:

let  $m_1, m_2, \dots, m_r$  be pairwise coprime integers, we have

$$m_1 \mathbb{Z} \cap m_2 \mathbb{Z} \cap \dots \cap m_r \mathbb{Z} = \text{lcd}(m_1, m_2, \dots, m_r) \mathbb{Z} = m_1 m_2 \dots m_r \mathbb{Z}$$

the first equality is due to the definition of intersection of ideals (check the chapter [ideals](#)), and the second equality is due to the condition that  $m_1, m_2, \dots, m_r$  are coprime. so we apply the CRT version 2 and get

$$\mathbb{Z}/(m_1 m_2 \dots m_r \mathbb{Z}) \cong (\mathbb{Z}/m_1 \mathbb{Z}) \times (\mathbb{Z}/m_2 \mathbb{Z}) \times \dots \times (\mathbb{Z}/m_r \mathbb{Z})$$

for  $x \in \mathbb{Z}/(m_1 m_2 \dots m_r \mathbb{Z})$ , we have  $(a_1, a_2, \dots, a_r) \in (\mathbb{Z}/m_1 \mathbb{Z}) \times (\mathbb{Z}/m_2 \mathbb{Z}) \times \dots \times (\mathbb{Z}/m_r \mathbb{Z})$

**Example 23.1**  $R = \mathbb{Z}$ ,  $I_1 = \langle m \rangle$ ,  $I_2 = \langle n \rangle$ ,  $I_1 + I_2 = \langle \text{gcd}(m, n) \rangle$  (why?), so we have  $I_1, I_2$  are coprime  $\Leftrightarrow I_1 + I_2 = \langle 1 \rangle = \mathbb{Z} \Leftrightarrow \text{gcd}(m, n) = 1$ , i.e.  $m, n$  are coprime.

**Example 23.2**  $m, n$  are coprime,  $R = \mathbb{Z}$ ,  $I_1 = \langle m \rangle$ ,  $I_2 = \langle n \rangle$ ,  $\text{gcd}(m, n) = 1$ , then by CRT we have:  $\mathbb{Z} \setminus \langle m \rangle \cap \langle n \rangle \cong \mathbb{Z} \setminus I_1 \times \mathbb{Z} \setminus I_2$ .  $\mathbb{Z} \setminus \langle \text{lcm}(m, n) \rangle = \mathbb{Z} \setminus mn\mathbb{Z} \cong \mathbb{Z} \setminus n\mathbb{Z} \times \mathbb{Z} \setminus m\mathbb{Z} \Rightarrow \mathbb{Z}_{mn} \cong \mathbb{Z}_m \times \mathbb{Z}_n$

### Corollary 23.1

$$\mathbb{Z} \setminus p_1^{\alpha_1} p_2^{\alpha_2} \dots p_k^{\alpha_k} \mathbb{Z} \cong \mathbb{Z} \setminus p_1^{\alpha_1} \mathbb{Z} \times \mathbb{Z} \setminus p_2^{\alpha_2} \mathbb{Z} \times \dots \times \mathbb{Z} \setminus p_k^{\alpha_k} \mathbb{Z}$$



**Proof** consider  $\Phi : R \rightarrow R \setminus I_1 \times R \setminus I_2 \times \dots \times R \setminus I_k$ ,  $\Phi(r) := (r + I_1, r + I_2, \dots, r + I_k)$ . we can prove that  $\Phi$  is a unital ring homomorphism. then we use the first homomorphism theorem to prove it. we check 2 things: (a)  $\text{im}(\Phi) = R \setminus I_1 \times \dots \times R \setminus I_k$  and (b)  $\text{ker}(\Phi) = I_1 \cap I_2 \cap \dots \cap I_k$



# Chapter prime and maximal ideal

## Introduction

- prime ideal and maximal ideal
- prime ideal and prime number
- prime ideal and ID
- maximal ideal and field
- maximal ideal  $\subset$  prime ideal if  $R$  is unital and commutative
- $r, s$  associates  $\Leftrightarrow \langle r \rangle = \langle s \rangle \Leftrightarrow r \sim s$

### Definition 24.1 (prime ideal and maximal ideal)

- a.  $I$  is a prime ideal if  $\forall a, b \in R$  satisfying  $ab \in I$  then either  $a \in I$  or  $b \in I$
- b.  $I$  is a maximal ideal if for any  $J \triangleleft R$  s.t.  $I \subset J \subset R$ , then  $J = I$  or  $J = R$ .

**Remark** all ideals  $I \triangleleft \mathbb{Z}$  are of the form  $I = \langle n \rangle, n \in \mathbb{N}$ , namely  $I \triangleleft \mathbb{Z} \rightarrow (I, +) \leq (\mathbb{Z}, +)$  as subgroups  $\rightarrow I = n\mathbb{Z}$  for some  $n$ . (check the definition of  $\langle \Gamma \rangle$ )

consider prime ideal in  $R = \mathbb{Z}$ , we have the following claim:

$I = \langle n \rangle \triangleleft \mathbb{Z}$  is prime  $\Leftrightarrow n$  is prime number or 0.

**Proof** [proof of the claim] ( $\rightarrow$ ): suppose  $n = xy$  is not a prime number,  $x, y \in \mathbb{N}$  not equal 1, then we want to show:  $\langle n \rangle$  is not a prime ideal:  $\forall x, y \in \mathbb{Z}, xy = n \in \langle n \rangle$  but  $x, y \notin \langle n \rangle$ , so  $\langle n \rangle$  is not a prime ideal.

( $\leftarrow$ ): suppose  $n = p$  is a prime number, we want to show that  $\langle n \rangle$  is a prime ideal:

since for all  $a, b \in \mathbb{Z}$  s.t.  $ab \in \langle p \rangle \rightarrow ab = kp$  for  $k \in \mathbb{Z}$  which is equivalent to  $p|ab$  and  $\Leftrightarrow p|a$  or  $p|b \Leftrightarrow a = mp$  or  $b = m'p \Leftrightarrow a \in \langle p \rangle$  or  $b \in \langle p \rangle$ . check the definition of prime ideal, we conclude that  $\langle n \rangle$  is a prime ideal.

the remark says that there is a 1-1 map between prime numbers in  $\mathbb{Z}$  and prime ideals. in general  $R$  we do not have prime numbers but we still have prime ideals in  $R$ . then these prime ideals in  $R$  plays the same roles as prime integers in  $\mathbb{Z}$ . and the philosophy is to rather study elements  $r \in R$ , we study ideals  $\langle r \rangle \in R$ .

**Example 24.1** for  $R = \mathbb{Z}$ ,  $\langle n \rangle \triangleleft \mathbb{Z}$  is maximal ideal  $\Leftrightarrow n$  is prime  $\Leftrightarrow \langle n \rangle$  is prime ideal

**Example 24.2** a.  $R = \mathbb{Z}_{12} \cong \mathbb{Z}/12\mathbb{Z}$  we have  $I \triangleleft R = \langle 0 \rangle$  or  $\langle 1 \rangle$  or  $\langle 2 \rangle$  or  $\langle 3 \rangle$  or  $\langle 4 \rangle$  or  $\langle 6 \rangle$ :  $\langle 1 \rangle$  is not prime nor maximal;  $\langle 2 \rangle, \langle 3 \rangle$  are prime ideals (also maximal ideals),  $\langle 0 \rangle, \langle 4 \rangle, \langle 6 \rangle$  are not prime ideals since we have  $[3][4] \in \langle 0 \rangle$  but  $[3] \notin \langle 0 \rangle$  nor  $[4] \notin \langle 0 \rangle$ . and  $\langle 4 \rangle$  is not maximal since  $\langle 4 \rangle \subset \langle 2 \rangle \subset R$

b.  $R = \mathbb{Z}[x], I = \langle x \rangle$ , then  $I \triangleleft R$  is prime but  $I$  is not maximal ideal (why???)

### Proposition 24.1

let  $R$  be unital commutative and  $I \triangleleft R$ , then we have:

- a.  $I$  is prime  $\Leftrightarrow R/I$  is ID
- b.  $I$  is maximal  $\Leftrightarrow R/I$  is a field.

**Proof** we first prove a:

let  $(a + I), (b + I) \in R/I$ , then  $(a + I) \cdot (b + I) = 0_{R/I} \Leftrightarrow ab + I = 0 + I \Leftrightarrow ab \in I$ . therefore if  $I$  is a prime ideal, then  $a \in I$  or  $b \in I \Leftrightarrow a + I = 0 + I$  or  $b + I = 0 + I \Leftrightarrow a + I$  or  $b + I = 0_{R/I} \Leftrightarrow R/I$  is an ID.

we prove b:

we have two asides in the proof.

aside1: let  $F$  be a field, then all ideals  $I \triangleleft F$  must be  $\{0\}$  or  $F$  and thus we have  $\forall$  ideal  $J/I \triangleleft R/I$  we have:  $J/I = \{0_{R/I}\}$  or  $J/I = R/I \Leftrightarrow J = I$  or  $J = R$  here for the last equivalence we use the correspondence theorem (see HW9)

aside2: let  $R$  be unital commutative, then  $R$  is a field  $\Leftrightarrow$  the ideal of  $R$  are  $\{0\}$  or  $R$ .

with aside2, we only need to prove that: the ideal of  $R/I$  are  $\{0\}$  or  $R/I \Leftrightarrow I$  is a maximal ideal, and we again use correspondence theorem.(?)

the proof of aside1 is in HW8

**Proof** [proof for aside2] ( $\Rightarrow$ ): is proved by aside1

( $\Leftarrow$ ): suppose we have  $I$  s.t.  $\{0\} \subsetneq I \subsetneq R$ , then  $1 \notin I$ , otherwise  $r \cdot 1 = r \in I, \forall r \in R$  and thus  $I = R$ . take any nonzero  $x \in I$ . then  $\forall r \in R, x \cdot r \in I$  by the definition of  $I \triangleleft R$  and thus  $x \cdot r \neq 1, \forall r \in R$  since  $1 \notin I$ , so  $x$  has no multiplicative inverse  $x^{-1} \in R$  and thus  $R$  is a field.

**Example 24.3**  $R = \mathbb{Z}[x], I = \langle x \rangle$ , then  $R/I = \{a(x) + \langle x \rangle\} \cong \{a_0 + \langle x \rangle | a_0 \in \mathbb{Z}\} \cong \mathbb{Z}$ , so we have  $\mathbb{Z}$  is ID  $\rightarrow R/I$  is prime and  $\mathbb{Z}$  is not a field  $\rightarrow I$  is not a maximal ideal.

#### Corollary 24.1

let  $R$  be unital and commutative, then all maximal ideals  $I \triangleleft R$  are prime.

**Proof** using the last proposition, we know that  $I$  is a maximal ideal implies that  $R/I$  is a field. recall we know that field must be ID, and we use the proposition again to conclude that  $I$  is a prime ideal.

#### Definition 24.2 (ideal interpretation)

let  $R$  be commutative and unital.

- we say  $r|s$  ( $r$  divides  $s$ ) if  $\langle s \rangle \subset \langle r \rangle$  as ideals
- an element  $r \in R$  is called prime if  $\langle r \rangle \triangleleft R$  is a prime ideal. ( $r$  is called prime if  $r|xy \rightarrow r|x$  or  $r|y$ )
- we say  $r, s$  are associates if  $\langle r \rangle = \langle s \rangle$  as ideals. ( $r, s$  are called to be associates if  $r|s$  and  $s|r$ )
- we say  $a$  is irreducible if  $\langle a \rangle \subset \langle b \rangle \subset R$ , then we have  $\langle b \rangle = \langle a \rangle$  or  $\langle b \rangle = R$ . ( $a$  is called to be irreducible if  $a = xy \rightarrow$  either  $x$  is a unit or  $y$  is a unit.)

# Chapter principal ideal domains (PID)

## Introduction

- PID
- $\mathbb{Z}$  is a PID but  $\mathbb{Z}[x]$  is not a PID.
- prime ideals  $\Leftrightarrow$  maximal ideals if  $R$  is a PID
- fields  $\subset$  PID

### Definition 25.1 (principal ideal domain)

let  $R$  be an integral domain, we say  $R$  is PID if all ideals  $I \triangleleft R$  are **principal**, i.e.  $I = \langle a \rangle$  for some  $a \in R$



### Example 25.1

1. all fields  $F$  are PIDs, since the only ideal  $I \triangleleft F$  are  $I = \langle 0 \rangle$  or  $I = F = \langle e \rangle$
2.  $\mathbb{Z}$  is a PID since all  $(I, +) \triangleleft (\mathbb{Z}, +)$  must be the form  $I = \langle a \rangle = a\mathbb{Z}$  for some  $a \in \mathbb{Z}$  (prove it)
3. (refer to HW9Q5)  $\mathbb{Z}[x]$  is not a PID:

**Proof** we note that  $I = \langle 2, x \rangle$  is not principal: suppose on contrary,  $I = \langle 2, x \rangle = \langle p(x) \rangle$  for some  $p(x) \in \mathbb{Z}[x]$ . we first show that  $1 \notin \langle 2, x \rangle = \{2a(x) + b(x)x \mid a(x), b(x) \in \mathbb{Z}[x]\}$ ,  $\deg(1) = 0$  and  $\deg(2a(x) + b(x)x) \geq \deg(b(x)x) \geq 1$ , so we have  $1 \notin \langle 2, x \rangle$ .

by our assumption, we have  $\langle 2, x \rangle = \langle p(x) \rangle$ , since  $2 \in \langle p(x) \rangle$ ,  $\exists q(x) \in \mathbb{Z}[x]$  s.t.  $2 = p(x)q(x)$ ,  $\deg(2) = \deg(p(x)q(x)) \Rightarrow 0 = \deg(p(x)q(x)) = \deg(p(x)) + \deg(q(x)) \geq 0 \Rightarrow \deg(p(x)) = \deg(q(x)) = 0$ , we have:

$$\begin{cases} p(x) = \pm 2 \\ q(x) = \pm 1 \end{cases} \quad \text{or} \quad \begin{cases} p(x) = \pm 1 \\ q(x) = \pm 2 \end{cases}$$

if  $p(x) = \pm 1$ ,  $1 = p(x)^2 \in \langle p(x) \rangle$ , which violates the fact we have proved that  $1 \notin \langle 2, x \rangle$ .

if  $p(x) = \pm 2$ ,  $x \in \langle p(x) \rangle = \pm 2 \cdot p(x)$ ,  $p(x) \in \mathbb{Z}[x]$ , which is impossible since the coefficient of  $x$  in  $\pm 2p(x)$  must be even and the coefficient of  $x$  is 1, we conclude that  $\langle 2, x \rangle$  is not a principal ideal and thus  $\mathbb{Z}[x]$  is not a PID.

4. if  $F$  is a field ( $\mathbb{Q}, \mathbb{R}, \mathbb{C}$ ), then  $F[x]$  is a PID. (prove it)

### Proposition 25.1

let  $R$  be PID, then all prime ideals are maximal ideals. therefore  $I$  is prime ideal  $\Leftrightarrow I$  is maximal ideal for PIDs.



**Proof** let  $I \triangleleft R$  be prime ideal, since  $R$  is a PID, we know that  $I = \langle a \rangle$  for some  $a \in R$ , suppose  $I \subset J \subset R$ , we want to prove that  $J = I$  or  $J = R$ : since  $R$  is a PID,  $J = \langle b \rangle$  for some  $b \in R$ , we have  $\langle a \rangle \subset \langle b \rangle \subset R$ , which implies that  $b \mid a$ , since  $I$  is a prime ideal, we know that  $a$  is a prime,  $b \mid a \Rightarrow b = 1$  or  $a \Rightarrow J = \langle b \rangle = \langle a \rangle = I$  or  $J = \langle 1 \rangle = R$ , we are done.

# Chapter irreducible elements

## Introduction

□ irreducible element

□  $a \sim b \Leftrightarrow a = ub$ ,  $R$  is an ID and  $u \in U(R)$

□  $R$  is ID, prime element  $r$  is irreducible

□  $R$  is PID, prime element  $\Leftrightarrow$  irreducible element

### Definition 26.1 (irreducible element)

let  $R$  be commutative and unital, an element  $a \in R$  is called irreducible if for all principal ideals  $\langle b \rangle$  satisfying  $\langle a \rangle \subset \langle b \rangle \subset R$ , then  $\langle b \rangle = \langle a \rangle$  or  $\langle b \rangle = R$ . in other words,  $\langle a \rangle$  is maximal among all principal ideals.



**Remark** it is important to compare  $\langle a \rangle$  being a maximal ideal and being an irreducible ideal.

### Lemma 26.1

let  $R$  be a ID, then  $a \in R$  is irreducible  $\Leftrightarrow$  whatever  $a = xy$ ,  $\forall x, y \in R$ , we have  $a \sim x$  or  $a \sim y$  *review the definition of  $a \sim b$  (a and b are associates:  $\langle a \rangle = \langle b \rangle$ )*



**Proof** ( $\Rightarrow$ ) : suppose  $a = xy$ , we have  $x|a$  or  $y|a \Rightarrow \langle a \rangle \subset \langle x \rangle$  or  $\langle a \rangle \subset \langle y \rangle$ , since we assume that  $a$  is irreducible,  $\langle a \rangle \subset \langle x \rangle$  implies that  $\langle a \rangle = \langle x \rangle$  or  $\langle x \rangle = R$ , which means that  $a \sim x$  or  $x \sim 1$ . notice that  $x \sim 1 \Leftrightarrow x \cdot x' = 1$  for  $\forall x' \in R$ , which implies that  $x$  is a unit of  $R$ . since  $a = xy$ , we have  $a \sim y$

( $\Leftarrow$ ) : suppose RHS holds, we want to show that if  $\langle a \rangle \subset \langle x \rangle \subset R$  for some  $x \in R$ , then  $\langle x \rangle = \langle a \rangle$  or  $\langle x \rangle = R$ , by definition we know that  $a$  is irreducible. since  $\langle a \rangle \subset \langle x \rangle$ , we have  $x|a$ . RHS  $\Rightarrow a \sim x$  or  $a \sim y$ , if  $a \sim x$ , by the definition of  $\sim$ , we know that  $\langle a \rangle = \langle x \rangle$ , else if  $a \sim y$ , which is equivalent as  $a = uy$  for some  $u \in U(R) \Rightarrow a = uy = xy \Rightarrow x = u \in U(R)$ , so we have  $x$  is a unit of  $R$ . we conclude that  $\langle x \rangle = \langle a \rangle$  or  $\langle x \rangle = R$ . we are done.

**Remark** by the above lemma, we have:  $a$  is irreducible indicates that if  $a = xy$ , then either  $x$  is a unit or  $y$  is a unit.

the whole point is that irreducibility is essential in factorization of elements in  $R$ : take any nonzero  $r \in R$ , if  $r$  is not irreducible then by the lemma, there are  $b$  and  $c$  non-units s.t.  $r = bc$ . we continue to check if  $b$  and  $c$  are irreducible. suppose  $b$  is not irreducible, then  $b = b_1 b_2$  are not units.  $r = bc = b_1 b_2 c = \dots$  we can factorize  $r$  into a product of irreducibles and a (product of) units

**Exercise 26.1** why we study irreducible or prime ID?

the answer is we want to factorize elements in  $R$  into a product of irreducibles or primes, just like what we do in  $\mathbb{Z}$  or  $\mathbb{R}[x]$

### Lemma 26.2

if  $R$  is ID,  $a \sim b \Leftrightarrow a = ub$  for some unit  $u \in U(R)$



### Proof

in general we want to study factorization of any  $r \in R$  into  $r = x_1 x_2 \dots x_k$  for  $x_1, x_2, \dots, x_k$  prime or irreducible.

**Exercise 26.2** if there is such factorization, is it unique?

the exercise is not true in general: let  $R = \mathbb{Z}[\sqrt{-5}]$ , we have 2 factorizations of 6 into irreducibles:

$$6 = (1 + \sqrt{-5})(1 - \sqrt{-5}) = (2 + 0 \cdot \sqrt{-5})(3 + 0 \cdot \sqrt{-5})$$

but in PID, we find the factorization is unique.

### Proposition 26.1

let  $R$  be ID, if  $0 \neq r, r \in R$  is a prime element (*check definition 24.2*), then  $r$  is irreducible



**Proof** if  $r = xy$  then we have  $1 \cdot r = xy$ ,  $r|xy \Rightarrow r|x$  or  $r|y \Rightarrow \langle x \rangle \subset \langle r \rangle$  or  $\langle y \rangle \subset \langle r \rangle$ . WLOG we assume  $\langle x \rangle \subset \langle r \rangle$ ,

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since  $r = xy$ , we have  $x|r \Rightarrow \langle r \rangle \subset \langle x \rangle$  and similarly we have  $\langle r \rangle \subset \langle y \rangle$ . combine the two inequalities we have  $\langle r \rangle = \langle x \rangle$  or  $\langle r \rangle = \langle y \rangle$ , by the definition of irreducible elements, we know that  $r$  is irreducible.

**Proposition 26.2**

*let  $R$  be a PID and  $0 \neq r \in R$ , then  $r$  is irreducible  $\Leftrightarrow r$  is prime.*

**Proof** ( $\Rightarrow$ ): suppose  $r$  is irreducible, we prove that  $\langle r \rangle$  is maximal ideal, and then by a corollary in the chapter prime and maximal ideal we know that  $\langle r \rangle$  is a prime ideal and thus  $r$  is a prime. suppose  $\langle r \rangle \subset I \subset R$ , then since  $R$  is PID,  $I = \langle b \rangle$  for some  $b \in R$ , then by the definition of  $r \in R$  to be irreducible, we have  $\langle b \rangle = \langle r \rangle$  or  $\langle b \rangle = R$ . by the definition of maximal ideal, we are done. ( $\Leftarrow$ ): we know that PID must be an ID, and by the last proposition we are done.

# Chapter factorization domains

## Introduction

- factorization domain
- ACCP
- PID satisfies ACCP
- satisfying ACCP  $\Rightarrow$  being factorization domain
- unique factorization into nonunit irreducibles in ID
- generalized fundamental theorem of arithmetic
- UFD, PID  $\subset$  UFD

### Definition 27.1 (factorization domain)

let  $R$  be ID, we say  $R$  is a factorization domain if for all  $r \neq 0 \in R$ ,  $r$  can be factorized into a finite number of irreducibles up to units, i.e.  $r = x_1 \dots x_k$ ,  $x_i$  are irreducible.

**Example 27.1**  $R = \mathbb{Z}$  is a factorization domain since by fundamental theorem of algebra, we know that every element in  $R$  can be factorized into a product of prime numbers up to units 1 or  $-1$ .

**Remark** by convention,  $1 \in R$  can be factorized into a product of zero irreducible elements (1 is treated as not irreducible)

### Definition 27.2 (ascending chain condition of principal ideal (ACCP))

let  $R$  be ID, we say  $R$  has the ascending chain condition of principal ideal if for all  $I_1, I_2, \dots$  principal ideals s.t.  $I_1 \subset I_2 \subset \dots$  then there must be a place s.t.  $I_n = I_{n+1}$

### Lemma 27.1

if  $R$  is PID, then  $R$  satisfies ACCP

**Proof** suppose we have a sequence of principal ideals,  $I_1 = \langle a_1 \rangle \subset I_2 = \langle a_2 \rangle \subset I_3 \subset \dots$  then we claim that  $\bigcup_{i=1}^{\infty} I_i = \langle r \rangle$  is an ideal (proof in HW10). then  $r \in \bigcup_{i=1}^{\infty} I_i \Rightarrow r \in I_m$  for some  $m$  and thus  $r \in I_{m+1}, I_{m+2}, \dots \Rightarrow \bigcup_{i=1}^{\infty} I_i = \langle r \rangle \subset I_{m+1}, I_{m+1}, \dots \Rightarrow \langle r \rangle = \bigcup_{i=1}^{\infty} I_i = I_{m+1} = I_{m+2} = \dots$

### Lemma 27.2

if  $R$  satisfies ACCP, then  $R$  is a factorization domain.

**Proof**

**Remark** if  $R$  is PID, then we can factorize any  $r \neq 0$  into a finite product of irreducibles/primes  $r = x_1 x_2 \dots x_k$

**Exercise 27.1** is this factorization unique? i.e. suppose  $x_1 x_2 \dots x_k = y_1 y_2 \dots y_l$  be two factorizations of irreducibles/primes, is it true that  $k = l$  and there is a permutation  $\sigma \in S$  s.t. ???

### Theorem 27.1

if  $R$  is PID, then  $R$  is a factorization domain.

**Proof** check the above two lemmas, we have:  $R$  is PID  $\Rightarrow R$  satisfies ACCP  $\Rightarrow R$  is a factorization domain.

### Example 27.2

**Remark**

- in factorization of  $r \in R$ , we only use nonunit irreducible element
- ordering of those nonunit irreducible factors do not matter
- the nonunit irreducible factors of  $r \in R$  can differ by 1 or  $-1$  or generally they can differ by a unit.

**Theorem 27.2**

let  $R$  be an ID, suppose we have two factorizations of  $0 \neq r \in R$ :  $r \sim x_1 x_2 \dots x_k \sim y_1 y_2 \dots y_l$  where  $x_i, y_j$  are **nonunit primes**, then we must have:  $k = l$  and there exists a permutation  $\sigma \in S_k$  s.t.  $x_i \sim y_{\sigma(i)}$  for  $i = 1, 2, \dots, k$ . in other words, the factorization of any  $r \in R$  is unique.



**Proof** we will prove a stronger version:  $x_1 x_2 \dots x_k \sim y_1 y_2 \dots y_l$  and  $x_i, y_j$  are nonunit irreducibles.

**Example 27.3** let  $R = \mathbb{Z}$ , we factorize  $r = 60$  into nonunit irreducibles:  $60 \sim 2 \cdot 2 \cdot 3 \cdot 5 \sim (-3)(-2)5 \cdot 2$ , then we can check the theorem:  $k = l = 4$  and we define a permutation such that  $x_1 = 2 \sim y_1 = -2$ ,  $x_2 = 2 \sim y_4 = 2$ ,  $x_3 = 3 \sim y_3 = (-3)$ ,  $x_4 = 5 \sim y_2 = 5$

**Corollary 27.1**

let  $R$  be a PID, then every  $0 \neq r \in R$  can be uniquely factorized into a product of finite number of primes.



**Proof** by the first remark of this chapter, we have a factorization of  $r$  into irreducibles, by the fact that  $r \in R$  ( $R$  is PID) is irreducible is equivalent as  $r$  is a prime, and by the last theorem we know that: for PID, the factorization of primes is unique.

**Remark** this corollary generalizes the fundamental theorem of arithmetic from  $R = \mathbb{Z}$  to PID.

**Definition 27.3 (unique factorization domain (UFD))**

let  $R$  be ID, then  $R$  is called unique factorization domain if every  $0 \neq r \in R$  can be factorized uniquely into a finite number of irreducibles.



**Example 27.4** all PIDs are UFDs;  $\mathbb{Z}[x]$  is a UFD (proved later) but not a PID. so we have

$$PID \subset UFD$$

# Chapter Euclidean domain

## Introduction

- ☐ Euclidean domain
- ☐  $ED \subset PID$
- ☐ dedekind-harsse function
- ☐ for  $R$  being an ID, having dedekind-harsse function  $\Leftrightarrow PID$
- ☐ field  $\subset ED$

### Definition 28.1 (Euclidean domain)

let  $R$  be an integral domain, then we say  $R$  is an Euclidean domain if there is a **norm function**  $N : R \setminus \{0\} \rightarrow \mathbb{N} \setminus \{0\}$  satisfying that:  $\forall a, b \neq 0 \in R$ , we either have  $b|a$  or  $\exists q, r \neq 0 \in R$  s.t.  $a = qb + r$ , with  $N(r) < N(b)$ . we say  $N$  is **multiplicative** if it satisfies  $N(rs) = N(r)N(s), \forall r, s \in R \setminus \{0\}$



### Example 28.1

1.  $R = \mathbb{Z}$  is an ED:  $N(a) := |a|$
2.  $R = \mathcal{F}[x]$  is an ED,  $\mathcal{F}$  is a field:  $N(p(x)) := \deg(p(x)) =$  the leading power of  $p(x)$
3.  $R = \mathbb{Z}[i]$  is an ED:  $N(a + bi) := a^2 + b^2$

### Theorem 28.1

if  $R$  is a field, then  $R$  is an ED



**Proof** let  $N : R \setminus \{0\} \rightarrow \mathbb{N} \setminus \{0\}$  be defined as  $N(x) = 1, \forall x$ , then  $\forall a \in R$  and  $b \neq 0 \in R$ , we prove that either  $b|a$  or  $\exists q, r \in R$  s.t.  $a = bq + r$  with  $N(r) < N(b)$ : in a field, we can always find  $b^{-1}a$  in the field s.t.  $b(b^{-1}a) = a$  and thus  $b|a$ . we are done.

### Theorem 28.2

if  $R$  is ED, then  $R$  is also PID.



**Proof** using the proposition below.

### Definition 28.2 (dedekind-harsse function)

let  $R$  be an ID, a dedekind-harsse function in  $R$  is a map  $N : R \setminus \{0\} \rightarrow \mathbb{N} \setminus \{0\}$  s.t.  $\forall a, b \in R \setminus \{0\}$ , either: we have  $b|a$  or  $\exists p, q \in R, r \in R \setminus \{0\}$  s.t.  $pa = qb + r$  with  $N(r) < N(b)$



**Example 28.2** if  $R$  is ED with  $N : R \setminus \{0\} \rightarrow \mathbb{N} \setminus \{0\}$ , then  $N$  is automatically a dedekind-harsse function (let  $p = 1$ )

### Proposition 28.1

let  $R$  be ID, then  $R$  is PID  $\Leftrightarrow R$  has dedekind-harsse function (and consequently all EDs are PIDs).



**Proof** ( $\Rightarrow$ ): if  $R$  is PID, we know that  $R$  is UFD. for all  $x \neq 0 \in R$ , we can define  $N : R \setminus \{0\} \rightarrow \mathbb{N} \setminus \{0\}$  to be  $2^{\#}$ ,  $\#$  is the number of irreducible nonunit number in the factorization of  $x$ , e.g.  $N(81) = 2^4$  since  $81 = 3 \cdot 3 \cdot 3 \cdot 3$  and  $N(15) = 2^2$  since  $15 = 3 \cdot 5$ . we claim that  $N$  is a dedekind-harsse function.

suppose  $a, b \in R \setminus \{0\}$  with  $b \nmid a$ , we prove that there exists  $p, q \in R$  and  $r \in R \setminus \{0\}$  s.t.  $pa = qb + r$  with  $N(r) < N(b)$ . we have  $\langle r \rangle = \langle a, b \rangle \supsetneq \langle b \rangle$ , since  $R$  is PID,  $\langle a, b \rangle = \langle r \rangle$  for some  $r$ , then  $r$  satisfies  $r \in \langle r \rangle = \langle a, b \rangle \rightarrow r = pa - qb$  for some  $p, q$ , so we have  $\langle r \rangle \supsetneq \langle b \rangle \Rightarrow r|b$ , we have:  $r$  is strictly smaller than  $b \Rightarrow r \cdot z = b$ ,  $z$  is a nonunit. let  $x_1 x_2 \dots x_m$  be the irreducible nonunit factorization of  $r$  and  $y_1 y_2 \dots y_n$  be the irreducible nonunit factorization of  $z$ , then  $N(r) = 2^m < 2^{m+n} = N(b)$ ,  $N$  is a dedekind-harsse function.

( $\Leftarrow$ ) suppose  $R$  has a dedekind-harsse function  $N : R \setminus \{0\} \rightarrow \mathbb{N} \setminus \{0\}$ , let  $I \triangleleft R$  be any ideal. pick  $b \in I \setminus \{0\}$  be s.t.  $N(b)$  is minimal among all elements in  $I$ . we claim that  $I = \langle b \rangle$ : obviously  $\langle b \rangle \subset I$ , for all  $a \in I \setminus \{0\}$ , we have  $a \in \langle b \rangle$




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or  $a \in \langle b \rangle \Leftrightarrow r = pa - qb$ . since  $b \in I, a \in I, r = pa - qb \in I$  and  $N(r) < N(b)$ , which violates with  $N(b)$  is minimal among all elements in  $I$ , so only the possibility  $a \in \langle b \rangle$  holds, so we have  $\forall a \in I \setminus \{0\}, a \in \langle b \rangle$ , i.e.  $I = \langle b \rangle$ ,  $R$  is a PID by definition.

# Chapter gaussian integers

## Definition 29.1 (integer prime; gaussian prime)


we call  $p \in \mathbb{Z}$  is an integer prime if it is prime in  $\mathbb{Z}$ ; we call  $p + 0i \in \mathbb{Z}[i]$  is a gaussian prime if it is **prime in  $\mathbb{Z}[i]$**  

**Remark** observe that:

- $n = ab \in \mathbb{Z}$  is not an integer prime, then  $n + 0i \in \mathbb{Z}[i]$  is not a gaussian prime.
- $U(\mathbb{Z}[i]) = \{\pm 1, \pm i\}$ , therefore  $z \in U(\mathbb{Z}[i]) \leftrightarrow N(z) = 1$
- if  $N(z) = p$ ,  $p$  is an integer prime, e.g.  $N(2 + i) = 5$  then  $z = 2 + i$  is a gaussian prime.

**Proof** suppose contrary  $z$  is not a gaussian prime, then  $z$  is not gaussian irreducible,  $z = xy$  and  $x, y$  are nonunits  $\rightarrow N(z) = N(xy) = N(x)N(y)$  ( $x, y$  are nonunits  $\rightarrow N(x) \neq 1$  and  $N(y) \neq 1$ ) so  $p$  is not a integer prime. we reach a contradiction.

## Lemma 29.1 (classification of gaussian prime of form $a + bi, a, b \neq 0$ )


let  $a + bi \in \mathbb{Z}[i]$  with  $b \neq 0$ . then  $(a + bi)$  is gaussian prime  $\iff N(a + bi) = a^2 + b^2 = p$  is integer prime 

**Proof** ( $\leftarrow$ ): proved above

( $\rightarrow$ ): suppose  $(a + bi)$  is a gaussian prime, then  $\overline{a + bi} = a - bi$  is also a gaussian prime. consider  $(a + bi)(a - bi) = a^2 + b^2 = p_1 p_2 \dots p_r$ ,  $p_i$  are prime integers in  $\mathbb{Z}$ . then we have  $r \leq 2$ , otherwise suppose  $(a + bi)(a - bi) = p_1 p_2 p_3$ , there are 2 gaussian prime factors on LHS while there are (more than) 3 gaussian prime factors on RHS. this contradicts the fact that the  $\mathbb{Z}[i]$  is  $ED \rightarrow PID \rightarrow UFD$ . so we have  $r \leq 2$ , suppose  $r = 2$ :  $(a + bi)(a - bi) = pq$ ,  $p, q$  are integer primes. by  $\mathbb{Z}[i]$  being UFD, this implies that  $p, q \in \mathbb{Z}[i]$  are also gaussian primes. otherwise we will have more than 2 factors on RHS again. by unique factorization, we have  $\begin{cases} p \sim a + bi \\ q \sim a - bi \end{cases}$  or  $\begin{cases} p \sim a - bi \\ q \sim a + bi \end{cases}$  and they are both impossible. suppose  $p \sim a + bi$ , we have  $p = u(a + bi)$ ,  $u \in U(\mathbb{Z}[i]) = \{\pm 1, \pm i\} \rightarrow p = a + bi$  or  $-a - bi$  or  $ai - b$  or  $-ai + b$ , which is impossible by the definition of integer prime that  $p \in \mathbb{Z}$ .

**Remark** we wonder when is  $a, ai \in \mathbb{Z}[i]$  a gaussian integer? (we only need to study  $a + 0i \in \mathbb{Z}[i]$  since  $a \sim ai$ )


## Theorem 29.1

all gaussian primes of the form  $a + 0i$  is a gaussian prime, then  $a = p$  must be an integer prime. 

**Proof** by definition, we know that if  $a + 0i$  is a gaussian prime, then  $a = p$  must be an integer prime.

- $p = 2$ : 2 is not a gaussian prime since  $2 = (1 + i)(1 - i)$  can be factorized into 2 nonunits.
- $p \equiv 3(mod 4)$ : we claim that  $p + 0i$  is always a gaussian prime, then suppose contrary  $p = (x + yi)(x - yi)$  for some nonunits  $x + \pm yi \in \mathbb{Z}[i]$ , then  $p = x^2 + y^2$ ,  $x, y \in \mathbb{Z}$ . but note that  $x^2 \equiv 0, 1(mod 4)$ ,  $y^2 \equiv 0, 1(mod 4)$ , we have  $x^2 + y^2 \equiv 0, 1, 2(mod 4)$ . this contradicts  $p \equiv 3(mod 4)$ , so we proved that  $p + 0i$  is a gaussian prime.
- $p \equiv 1(mod 4)$ : we claim that  $p$  is not a gaussian prime: suppose on contrary  $p$  is a gaussian prime, then **we can prove that  $p \mid m^2 + 1$  for some  $m \in \mathbb{Z}$  (HW10)**. we have  $p \mid (m + i)(m - i) \rightarrow p \mid (m + i)$  or  $p \mid (m - i)$ , suppose  $p \mid (m + i)$ , then  $\exists z \in \mathbb{Z}[i]$  s.t.  $p \cdot z = m + i \in \mathbb{Z}[i]$ , we have  $p \cdot z = m + i \in \mathbb{C} \rightarrow z = \frac{m}{p} + \frac{1}{p}i \in \mathbb{C}$  which means  $z \in \mathbb{Z}[i]$  since  $\frac{1}{p} \notin \mathbb{Z}[i]$ , so we reach a contradiction since we assume  $z \in \mathbb{Z}[i]$ .

## Corollary 29.1 (fermat)

let  $p \in \mathbb{Z}$  be integer prime satisfying  $p \equiv 1(mod 4)$ , then  $\exists x, y \in \mathbb{Z}$  s.t.  $p = x^2 + y^2$  

**Proof** by the above theorem, we know that  $p$  is not a gaussian prime, we have  $\exists x + yi \in \mathbb{Z}[i]$  s.t.  $(x + yi) \mid p \rightarrow (x - yi) \mid p$ . suppose  $(x + yi)z = p$ ,  $x + yi$  and  $z$  are nonunit. we have  $N(x + yi)N(z) = N(p) = p^2$  and  $N(x + yi) \neq 1, p^2$ , so we have

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$$N(x + iy) = N(z) = p \rightarrow p = (x + iy)(x - iy) \rightarrow p = x^2 + y^2$$

the answer to the above exercise that when  $a \in \mathbb{Z}$  is a gaussian prime is: all gaussian primes are of the form:

1.  $a + bi$  ( $a^2 + b^2 = p$ ,  $p$  is a prime)
2.  $q$ ,  $q \equiv 3 \pmod{4}$  is prime

**Example 29.1**

**Example 29.2**

**Remark** similarly by studying ED in  $\mathbb{Z}[\sqrt{-2}]$ , we can solve the diophantine equation  $x^2 + y^2 = z^2$  for integers  $x, y, z \in \mathbb{Z}$

## Chapter polynomial rings

let  $R$  be an ID, our goal is to understand when  $p(x) \in R[x]$  is irreducible in  $R[x]$ . **Remark** a. if  $R$  is ID, then  $R[x]$  is ID  
b. by (a), we have:  $p(x) \in R[x]$  is **irreducible**  $\Leftrightarrow$  whatever  $p(x) = q(x)h(x)$  is factorized into 2 polynomials,  $h(x)$  or  $q(x)$  is a unit in  $R[x]$ .  
c. the units in  $R[x]$  are of the form  $r + 0x + 0x^2 + \dots \in R[x]$  where  $r \in R$  is a unit in  $R$ . e.g.  $u(x) = 1, -1$  in  $\mathbb{Z}[x]$  and in  $\mathbb{Q}[x]$ ,  $u(x) = a \neq 0$ ,  $a$  is a constant polynomial.

**Example 30.1** a. all units in  $R = \mathbb{C}[x]$  are of the form  $u(x) = (x - \alpha)$ ,  $\alpha \in \mathbb{C}$   
b. let  $R = \mathbb{R}$ , all irreducible polynomials in  $\mathbb{R}[x]$  are of the form of a unit multiple of:  $(x - \beta)$  for  $\beta \in \mathbb{R}$  or  $(x^2 + \gamma x + \delta)$  for  $\gamma, \delta \in \mathbb{R}$  with  $\gamma^2 - 4\delta < 0$  so there is no real roots.  
c. what about  $\mathbb{Z}[x]$  and  $\mathbb{Q}[x]$ ? we will give some sufficient conditions on when  $p(x) \in \mathbb{Z}[x]$  or  $\mathbb{Q}[x]$  to be irreducible below:

**Remark why do we study irreducible polynomials?** let  $\mathcal{F}$  be a field (like  $\mathbb{Q}$ ), recall  $\mathcal{F}[x]$  is a ED with norm function  $N(p(x)) = \deg(p(x))$ . so we have  $\mathcal{F}[x]$  is a PID and hence  $p(x)$  is irreducible iff  $p(x)$  is prime  $\Leftrightarrow \langle p(x) \rangle \triangleleft \mathcal{F}[x]$  is prime  $\Leftrightarrow \langle p(x) \rangle \triangleleft \mathcal{F}[x]$  is maximal  $\Leftrightarrow \mathcal{F}[x]/\langle p(x) \rangle$  is a field. and then we construct a new field from an old field  $\mathcal{F}$ .

**Example 30.2** let  $\mathcal{F} = \mathbb{Q}$ ,  $p(x) = x^4 + x^3 + x^2 + x + 1 \in \mathbb{Q}[x]$  is irreducible.  
consider  $\mathbb{S} := \mathbb{Q}[x]/\langle p(x) \rangle = \{f(x) + \langle p(x) \rangle : f(x) \in \mathbb{Q}[x]\} = \{a_4x^4 + a_3x^3 + a_2x^2 + a_1x + a_0 + \langle p(x) \rangle : a_0, a_1, a_2, a_3, a_4 \in \mathbb{Q}\}$ . our observations are:

a. we have an injective ring homomorphism  $i : \mathbb{Q} \rightarrow \mathbb{S} : \alpha \rightarrow \alpha + \langle p(x) \rangle : \bar{\alpha}$   
b. we can see  $p(x) = 1x^4 + 1x^3 + 1x^2 + 1x + 1$  as a polynomial  $p(x) = \bar{1}x^4 + \bar{1}x^3 + \bar{1}x^2 + \bar{1}x + \bar{1} \in \mathbb{S}[x]$ . take  $\Theta := x + \langle p(x) \rangle \in \mathbb{S}$  then substitute  $\Theta \in \mathbb{S}$ ,  $p(x) \in \mathbb{S}[x] : p(\Theta) = (1x^4 + 1x^3 + 1x^2 + 1x + 1) + \langle p(x) \rangle \in \mathbb{S}$ . so we have  $p(\Theta) = 0 \in \mathbb{S}$  i.e.  $\Theta$  is a root of  $p(x) \in \mathbb{S}[x]$

**Example 30.3**  $f(x) = x^2 + 1 \in \mathbb{R}[x]$  is irreducible, then  $\mathbb{S} := \mathbb{R}[x] \setminus \langle x^2 + 1 \rangle$  and we have  $\mathbb{R} \hookrightarrow \mathbb{S}$ . all elements in  $\mathbb{S}$  are of the form  $(ax + b) + \langle x^2 + 1 \rangle$ ,  $a, b \in \mathbb{R}$ . in particular, let  $\alpha = x + \langle x^2 + 1 \rangle$ , then  $f(\alpha) = \alpha^2 + 1_{\mathbb{S}} = (x + \langle x^2 + 1 \rangle)^2 + (1 + \langle x^2 + 1 \rangle) = x^2 + \langle x^2 + 1 \rangle + 1 + \langle x^2 + 1 \rangle = 0_{\mathbb{S}}$ . we have: all elements in  $\mathbb{S}$  are of the form  $a\alpha + b$  with  $\alpha$  satisfying  $\alpha^2 + 1 = 0$ . we have  $\mathbb{S} \cong \mathbb{C}$  by  $a\alpha + b \rightarrow ai + b$

### Definition 30.1 ( $\tilde{\phi}$ )

let  $R, S$  be integral domains and  $\phi : R \rightarrow S$  be a unital ring homomorphism, (e.g.  $\phi : \mathbb{Z} \rightarrow \mathbb{Q}$ ;  $\phi : \mathbb{Z} \rightarrow \mathbb{Z}_p$ :  $a \rightarrow [a]_p$ ), then we define  $\tilde{\phi} : R[x] \rightarrow S[x]$  by  $\tilde{\phi}(a_nx^n + \dots + a_1x + a_0) := \phi(a_n)x^n + \phi(a_{n-1})x^{n-1} + \dots + \phi(a_0) \in S[x]$



### Example 30.4

#### Theorem 30.1 (reduction test)

let  $f(x) \in \mathbb{Z}[x]$  be a monic polynomial. suppose  $\exists$  a prime number  $p$  s.t. under  $\tilde{\phi} : \mathbb{Z}[x] \rightarrow \mathbb{Z}_p[x]$ ,  $\tilde{\phi}(f(x)) \in \mathbb{Z}_p[x]$  is irreducible in  $\mathbb{Z}_p[x]$ , then  $f(x) \in \mathbb{Z}[x]$  is irreducible.



**Proof** suppose  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 = g(x) \cdot h(x) \in \mathbb{Z}[x]$ . we prove that  $g(x)$  or  $h(x)$  is a unit in  $\mathbb{Z}[x]$ , i.e.  $g(x) = \pm 1$  or  $h(x) = \pm 1$ , then  $\tilde{\phi}(f) = \tilde{\phi}(g) \cdot \tilde{\phi}(h)$ , we have

$$\deg(\tilde{\phi}(g)) + \deg(\tilde{\phi}(h)) = \deg(\tilde{\phi}(f)) = \deg(f) = \deg(g) + \deg(h)$$

note that  $\deg(\tilde{\phi}(g)) \leq \deg(g)$  and  $\deg(\tilde{\phi}(h)) \leq \deg(h)$ , we have  $\deg(\tilde{\phi}(g)) = \deg(g)$  and  $\deg(\tilde{\phi}(h)) = \deg(h)$ , recall that we have the condition that  $\tilde{\phi}(f(x))$  is irreducible in  $\mathbb{Z}_p[x] \Rightarrow \deg(\tilde{\phi}(g)) = 0$  or  $\deg(\tilde{\phi}(h)) = 0 \Rightarrow \deg(g) = 0$  or  $\deg(h) = 0$

### Example 30.5

**Theorem 30.2 (eisenstein's theorem)**

let  $f(x) = a_n x^n + \dots + a_1 x + a_0 \in \mathbb{Z}[x]$  be a *primitive polynomial*, i.e.  $\gcd(a_n, a_{n-1}, \dots, a_0) = 1$ , suppose  $\exists$  prime number  $p$  s.t.

- a.  $p | a_i, \forall 0 \leq i < n$
- b.  $p \nmid a_n$
- c.  $p^2 \nmid a_0$ , then  $f(x) \in \mathbb{Z}[x]$  is irreducible.



**Proof** let  $f(x) = g(x) \cdot h(x) \in \mathbb{Z}[x]$ . let  $\tilde{\phi} : \mathbb{Z}[x] \Rightarrow \mathbb{Z}_p[x]$ , by a., b.,  $\tilde{\phi}(f) = \tilde{\phi}(g) \cdot \tilde{\phi}(h) = [a_n]_p x^n + 0 + \dots + 0 \Rightarrow \tilde{\phi}(g) \cdot \tilde{\phi}(h) \sim x^n \Rightarrow$ , so we have  $\tilde{\phi}(g) \sim x^i, \tilde{\phi}(h) \sim x^{n-i}$  for some  $0 \leq i \leq n$ . suppose  $0 < i < n$ , then  $\tilde{\phi}(g) \sim x^i, \tilde{\phi}(h) \sim x^{n-i}$  in  $\mathbb{Z}_p[x] \Rightarrow g(x) = c_i x^i + \dots + c_0, h(x) = d_{n-i} x^{n-i} + \dots + d_0$  where  $c_0$  and  $d_0$  are multiples of  $p \Rightarrow f(x) = g(x)h(x) = \dots + c_0 d_0$ , note  $c_0 d_0$  is a multiple of  $p^2$ , which violate c. so we have  $\tilde{\phi}(g) \sim x^i, \tilde{\phi}(h) \sim x^{n-i}$  for  $i = 0$  or  $n \Rightarrow \deg(g) = 0$  or  $\deg(h) = 0$ , since  $f = gh$  is primitive, we have  $g = \pm 1$  or  $h = \pm 1$ . we are done.

**Example 30.6** a.  $x^3 + 2x + 6$  is irreducible in  $\mathbb{Z}[x]$ : let  $p = 2$  and we can use the last theorem. however we cannot use the reduction test: let  $p = 3, \tilde{\phi}(x^3 + 2x + 6) = x^3 + 2x$  is reducible in  $\mathbb{Z}_3[x]$

**Theorem 30.3 (gauss' lemma)**

let  $f(x) \in \mathbb{Z}[x]$  be a nonconstant polynomial, thus  $f(x) \in \mathbb{Z}[x]$  is irreducible  $\Leftrightarrow f(x) \in \mathbb{Q}[x]$  is irreducible and  $f(x)$  is primitive.

**Corollary 30.1**

$\mathbb{Z}[x]$  is a UFD.



## Chapter field

one reason to study fields is to study roots of polynomials  $p(x) \in \mathcal{F}[x]$  where  $\mathcal{F}$  is a field. to do so, one has to extend our base field  $\mathcal{F}$  to a larger field  $K$ . e.g.  $x^2 + 1 \in \mathbb{R}[x]$  has roots in  $K = \mathbb{C}$ .

there are two fields we are interested in:

1. number field: a subfield of  $\mathbb{C}$  like  $\mathbb{R}, \mathbb{Q}, \mathbb{Q}[i] = \{a + bi | a, b \in \mathbb{Q}\}, \mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} | a, b \in \mathbb{Q}\}$
2. finite field:  $|\mathcal{F}| < \infty$ , e.g.  $\mathbb{Z}_2, \mathbb{Z}_3, \dots$

### Definition 31.1 (characteristic)

$\mathcal{F}$  is a field, then the characteristic of  $\mathcal{F}$  is the smallest positive integer  $m$  s.t.  $1_{\mathcal{F}} + \dots + 1_{\mathcal{F}} = 0_{\mathcal{F}}$  and  $\text{char}(\mathcal{F}) := m$ ; if no such  $m$  exists, we let  $\text{char}(\mathcal{F}) = \infty$



### Theorem 31.1

all fields with  $|\mathcal{F}| < \infty$  must have  $|\mathcal{F}| = p^m$  for some  $p$  prime and  $m$  positive integer. moreover if  $|\mathcal{F}_1| = |\mathcal{F}_2| = p^m$ , then  $\mathcal{F}_1 \cong \mathcal{F}_2$  as rings.

