

Apache Lucene 分析



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Lucene



开发者	Apache Software Foundation
稳定版本	5.3.0 (2015年8月24日, 4个月前)
开发状态	活跃
编程语言	Java
操作系统	Cross-platform
类型	搜索及全文检索
许可协议	Apache许可证 2.0
网站	lucene.apache.org ↗

Part 1 Apache Lucene



Apache Lucene



- ❖ Lucene 是一套用于全文检索的开源程序库，由 Apache 软件基金会支持和提供。
- ❖ 功能：全文索引和检索
- ❖ 编写语言：Java
- ❖ 衍生项目：Mahout, Solr



使用Lucene检索



药生产废水的处理方法	C02F9/08(2006.01)I	C02F9/08(2006.01)I;C02F103/36(2006.01)N	珠海保税区丽珠合成制药
测的胶体金共价标记方法	G01N33/532(2006.01)I	G01N33/532(2006.01)I	珠海丽珠试剂股份有限公司 曾敏霞;李重
端电气装配接地装置	H02B1/16(2006.01)I	H02B1/16(2006.01)I	珠海许继电气有限公司 汤定阳;魏浩铭;胡兵;羊
传动结构	H01H33/666(2006.01)I	H01H33/666(2006.01)I	珠海许继电气有限公司 周斌;黄楷涛;黄冬喜 2015.05.20
型控制小室	H02B1/052(2006.01)I	H02B1/052(2006.01)I	珠海许继电气有限公司 周斌;黄楷涛;刘明清 2015.05.20
GRPS模块结构	H04W88/02(2009.01)I	H04W88/02(2009.01)I	珠海许继电气有限公司 张维;孙玮 2015.05.20 CI
端电气装配接地装置	H02B1/16(2006.01)I	H02B1/16(2006.01)I	珠海许继电气有限公司 汤定阳;魏浩铭;胡兵;羊
无线公网通信系统的状态监测方法	H02J13/00(2006.01)I	H02J13/00(2006.01)I	珠海许继电气有限公司 潘希;田巍巍;
构的储液器	F25B43/00(2006.01)I	F25B43/00(2006.01)I	珠海华宇金属有限公司 刘富强;廖鹏飞;柳林林 20
	B01D50/00(2006.01)I	B01D50/00(2006.01)I	珠海金鸡化工有限公司 蔡杨勇;冯明东;周建新;余军生;黄松贵 20
面碗纸及其生产工艺	D21H11/10(2006.01)I	D21H11/10(2006.01)I;D21H11/04(2006.01)I	珠海华丰纸业有限公司 季
饲料及其应用	A23K1/18(2006.01)I	A23K1/18(2006.01)I;A23K1/10(2006.01)I;A23K1/14(2006.01)I;A23K1/16	
南美白对虾配合饲料	A23K1/18(2006.01)I	A23K1/18(2006.01)I;A23K1/10(2006.01)I;A23K1/14(2006.01)I;	
纯化的方法	C07K14/59(2006.01)I	C07K14/59(2006.01)I;C07K1/34(2006.01)I	上海丽珠制药有限公司 万龙岩;陈岚;
锡导烟罩结构	B08B15/04(2006.01)I	B08B15/04(2006.01)I;C23C2/00(2006.01)I	珠海中精机械有限公司 周兴和 20
的锡叉压线结构	B25B11/00(2006.01)I	B25B11/00(2006.01)I	珠海中精机械有限公司 周兴和 2013.09.11 CI
锡导烟罩结构	C23C2/08(2006.01)I	C23C2/08(2006.01)I;C23C2/38(2006.01)I	珠海中精机械有限公司 周兴和 20
保健配合饲料	A23K1/18(2006.01)I	A23K1/18(2006.01)I;A23K1/10(2006.01)I;A23K1/14(2006.01)I;A23K1/16	
饲料及其应用	A23K1/18(2006.01)I	A23K1/18(2006.01)I;A23K1/16(2006.01)I	珠海海龙生物科技有限公司 负
用配合饲料及其制备方法	A23K1/04(2006.01)I	A23K1/04(2006.01)I;A23K1/10(2006.01)I;A23K1/16(2006.01)I;	
其他应用	A23K1/18(2006.01)I	A23K1/18(2006.01)I;A23K1/16(2006.01)I	

使用Lucene



```
public void testLuceneSearch(){
    Properties prop = new Properties();

    String[] areas = AreasInfo.getAreasArray();

    List<String> areaslist = Arrays.asList(areas);

    prop.put("--indexpath", "index/testIndex");
    prop.put("--threshold", 0.8);
    prop.put("--tops", 80000);
    prop.put("--target", "apply_man");
    prop.put("--docs", "true");
    prop.put("--areas", areaslist);
    prop.put("--key", "珠海");
    try {
        Map<String, Object> map = lucene.doSearch(prop);
        List<List> list = (List<List>) map.get("result");
        int count = 0;
        for(List<String> l : list){
            System.out.print(prop.get("total")+"\t"+count++ + "\t");
            for(String s : l ){
                System.out.print(s+"\t");
            }
            System.out.println();
        }
    } catch (Exception e) {
        // TODO Auto-generated catch block
        e.printStackTrace();
    }
}
```

使用Lucene（续）



```
public Map<String, Object> doSearch(Properties prop) {  
    Map<String, Object> result = null;  
    try {  
        Analyzer analyzer = new StandardAnalyzer(Version.LUCENE_47);  
        QueryParser parser = new QueryParser(Version.LUCENE_47, (String) prop.get("--target"), analyzer);  
        Query query = parser.parse((String) prop.get("--key"));  
        // 检索  
        result = search(query, prop);  
  
    } catch (Exception e) {  
        e.printStackTrace();  
    }  
    return result;  
}
```

使用Lucene（续）

```
private Map<String, Object> search(Query query, Properties prop) throws Exception {
    Directory dire = FSDirectory.open(new File((String) prop.get("--indexpath")));
    IndexReader ir = DirectoryReader.open(dire);
    IndexSearcher is = new IndexSearcher(ir);
    Map<String, Object> result = new HashMap<String, Object>();

    double threshold = (double) prop.get("--threshold");
    int topNums = (int) prop.get("--tops");
    List<String> areas = (List<String>) prop.get("--areas");
    TopDocs td = is.search(query, null, topNums);
    // 将总文档数目返回
    result.put("total", td.totalHits);

    ScoreDoc[] sds = td.scoreDocs;
    Document d;
    @SuppressWarnings("rawtypes")
    List<List> docList = new LinkedList<List>();

    for (ScoreDoc sd : sds) {
        d = is.doc(sd.doc);
        if (sd.score > threshold) {
            List<String> infos = new LinkedList<String>();
            for (String area : areas) {
                infos.add(d.get(area));
            }
            docList.add(infos);
        }
    }
    ir.close();
    dire.close();

    result.put("result", docList);
    return result;
}
```

Part 2 Lucene Index过程



概述

IndexWriter的构建

添加文档到索引

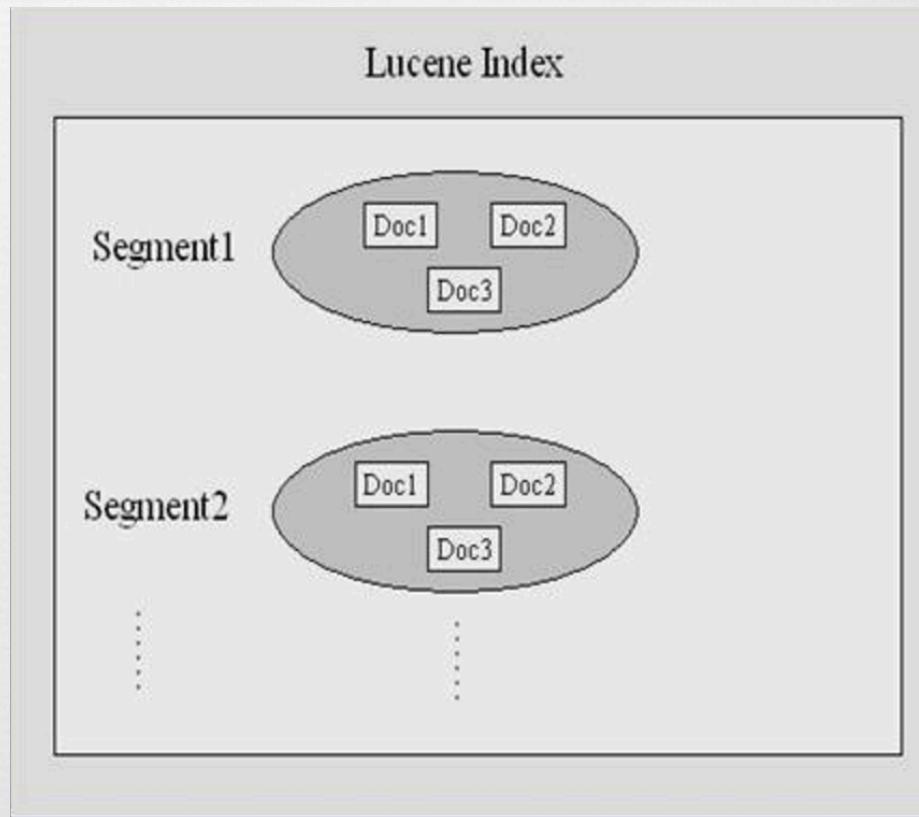
缓存控制机制

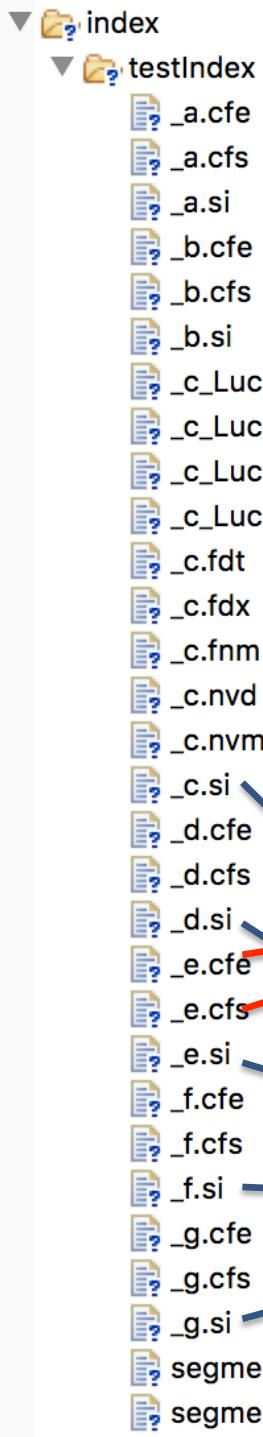
关闭IndexWriter

Lucene索引层次



- ❖ 索引：对文档集合建立的倒排索引，由段组成；
- ❖ 段：组成索引的单元，由文档组成，段与段相互独立，可以合并；
- ❖ 文档：索引的基本单位，新添加的文档单独保存在一个段中，随着段的合并，不同的文档会合并到一个段中。
- ❖ 域：文档的组成部分，如标题、作者、时间、正文等





Lucene的索引

域信息:

fdt: 域中存储的数据;

fdx: 域指针文件;

fnm: 域信息文件。

doc: 词频信息
pos: 位置信息
tip: 词项索引
tim: 词项词典

Index模块的任务



- ❖ 在磁盘上创建索引文件
- ❖ 将文档内容添加到索引中去
- ❖ 将可以合并的段进行合并
- ❖ 保证线程安全
- ❖ 流程：将文档映射成**Document**对象，添加到内存缓冲区的索引结构中，如果缓冲区满，就将缓冲区的索引写入磁盘；所有的文档添加完后，将缓冲区中剩余的部分写入磁盘。

使用Lucene建立索引



```
/*
 * @param prop
 *      --indexpath index的存储路径;
 *      --filelist 需要建立索引的文档路径列表;
 *      --areas 信息域集合;
 *      --ex 分割域之间的分隔符;
 */
public void createIndex(Properties prop) throws Exception {
    Analyzer analyzer = new StandardAnalyzer(Version.LUCENE_47);
    Directory dire = FSDirectory.open(new File((String) prop.get("--indexpath")));
    IndexWriterConfig iwc = new IndexWriterConfig(Version.LUCENE_47, analyzer);
    IndexWriter iw = new IndexWriter(dire, iwc);
    System.out.println(">>>create index start");
    addFiles(iw, prop);

    iw.close();
}
```

使用Lucene建立索引（续）



```
private void addFile(IndexWriter iw, File file, Properties prop) throws Exception {  
  
    FileInputStream fis = new FileInputStream(file);  
    InputStreamReader isr = new InputStreamReader(fis);  
    BufferedReader br = new BufferedReader(isr);  
  
    String line = null;  
    List<String> areas = (List<String>)prop.get("--areas");  
    String ex = (String)prop.get("--ex");  
    int index = 0;  
    while ((line = br.readLine()) != null) {  
  
        Document doc = new Document();  
        String str[] = line.split(ex);  
        if(areas.size()!= str.length) continue;  
        System.out.println(index+"\t"+str[2]);  
        for(int i=0;i<areas.size();i++){  
            doc.add(new Field(areas.get(i), str[i], TextField.TYPE_STORED));  
        }  
  
        index++;  
        iw.addDocument(doc);  
    }  
    br.close();  
    isr.close();  
    fis.close();  
    iw.commit();  
}
```

构建Index Writer



Index步骤



- ≈ 构建解析器
- ≈ 设置索引路径
- ≈ 构建IndexWriter
- ≈ 构建文档Document并添加到IndexWriter
- ≈ 提交IndexWriter
- ≈ 关闭IndexWriter

IndexWriter



- ❖ 任务：将Document写入到索引文件中
- ❖ 安全性：保证线程安全
- ❖ 接受参数：分析器Analyser，索引路径Directory
- ❖ 构造过程：
 - ❖ 解析参数
 - ❖ 申请锁
 - ❖ 读取或创建索引
 - ❖ 创建段和索引提交器IndexCommit
 - ❖ 创建DocumentsWriter、IndexFileDeleter
 - ❖ 释放锁

构造IndexWriter: 解析参数

```
public IndexWriter(Directory d, IndexWriterConfig conf) throws IOException {
    conf.setIndexWriter(this); // prevent reuse by other instances
    config = new LiveIndexWriterConfig(conf);
    directory = d;
    analyzer = config.getAnalyzer();
    infoStream = config.getInfoStream();
    mergePolicy = config.getMergePolicy();
    mergePolicy.setIndexWriter(this);
    mergeScheduler = config.getMergeScheduler();
    codec = config.getCodec();

    bufferedUpdatesStream = new BufferedUpdatesStream(infoStream);
    poolReaders = config.getReaderPooling();
```

analyzer: 解析器，用于文本的处理，如分词

directory: 索引路径

mergePolicy: 用于执行原始段合并操作（指定要合并的段，以及如何合并）

mergeScheduler: 段合并调度器，将mergePolicy指定的段进行合并

codec: 用于索引文件的编码和解码

IndexWriter构造： 申请锁、 创建或打开索引

```
writeLock = directory.makeLock(WRITE_LOCK_NAME);

if (!writeLock.obtain(config.getWriteLockTimeout()) // obtain write lock
    throw new LockObtainFailedException("Index locked for write: " + writeLock);

boolean success = false;
try {
    OpenMode mode = config.getOpenMode();
    boolean create;
    if (mode == OpenMode.CREATE) {
        create = true;
    } else if (mode == OpenMode.APPEND) {
        create = false;
    } else {
        // CREATE_OR_APPEND - create only if an index does not exist
        create = !DirectoryReader.indexExists(directory);
    }
}
```

- ❖ 申请写锁来防止多线程脏写
- ❖ 如果索引存在就打开索引文件，不存在则创建索引

IndexWriter构造： 创建段、索引提交器

```
// If index is too old, reading the segments will throw
// IndexFormatTooOldException.
segmentInfos = new SegmentInfos();

boolean initialIndexExists = true;

if (create) {
    // Try to read first. This is to allow create
    // against an index that's currently open for
    // searching. In this case we write the next
    // segments_N file with no segments:
    try {
        segmentInfos.read(directory);
        segmentInfos.clear();
    } catch (IOException e) {
        // Likely this means it's a fresh directory
        initialIndexExists = false;
    }

    // Record that we have a change (zero out all
    // segments) pending:
    changed();
} else {
    segmentInfos.read(directory);
}
```

创建段，段是索引的基本组成单元，将索引写入磁盘是通过将一个个段写入磁盘来完成的

```
IndexCommit commit = config.getIndexCommit();
if (commit != null) {
    // Swap out all segments, but, keep metadata in
    // SegmentInfos, like version & generation, to
    // preserve write-once. This is important if
    // readers are open against the future commit
    // points.
    if (commit.getDirectory() != directory)
        throw new IllegalArgumentException("IndexCommit's direc
SegmentInfos oldInfos = new SegmentInfos();
oldInfos.read(directory, commit.getSegmentsFileName());
segmentInfos.replace(oldInfos);
changed();
if (infoStream.isEnabled("IW")) {
    infoStream.message("IW", "init: loaded commit \\" + com
}
}

rollbackSegments = segmentInfos.createBackupSegmentInfos();
```

创建索引提交器和回滚用的备份段

IndexWriter构造： 创建DocumentWriter

```
// start with previous field numbers, but new FieldInfos  
globalFieldNumberMap = getFieldNumberMap();  
config.getFlushPolicy().init(config);  
docWriter = new DocumentsWriter(this, config, directory);  
eventQueue = docWriter.eventQueue();
```

- ❖ IndexWriter添加文档后依靠DocumentWriter的方法将文档添加到索引中。

IndexWriter构造： 创建索引删除器

```
// Default deleter (for backwards compatibility) is
// KeepOnlyLastCommitDeleter:
synchronized(this) {
    deleter = new IndexFileDeleter(directory,
                                    config.getIndexDeletionPolicy(),
                                    segmentInfos, infoStream, this,
                                    initialIndexExists);
}

if (deleter.startingCommitDeleted) {
    // Deletion policy deleted the "head" commit point.
    // We have to mark ourself as changed so that if we
    // are closed w/o any further changes we write a new
    // segments_N file.
    changed();
}

if (infoStream.isEnabled("IW")) {
    infoStream.message("IW", "init: create=" + create);
    messageState();
}
```

- ❖ 告诉IndexFileDeleter索引路径、段信息等信息
- ❖ 启动deleter

IndexWriter构造： 完成构造， 释放锁

```
success = true;

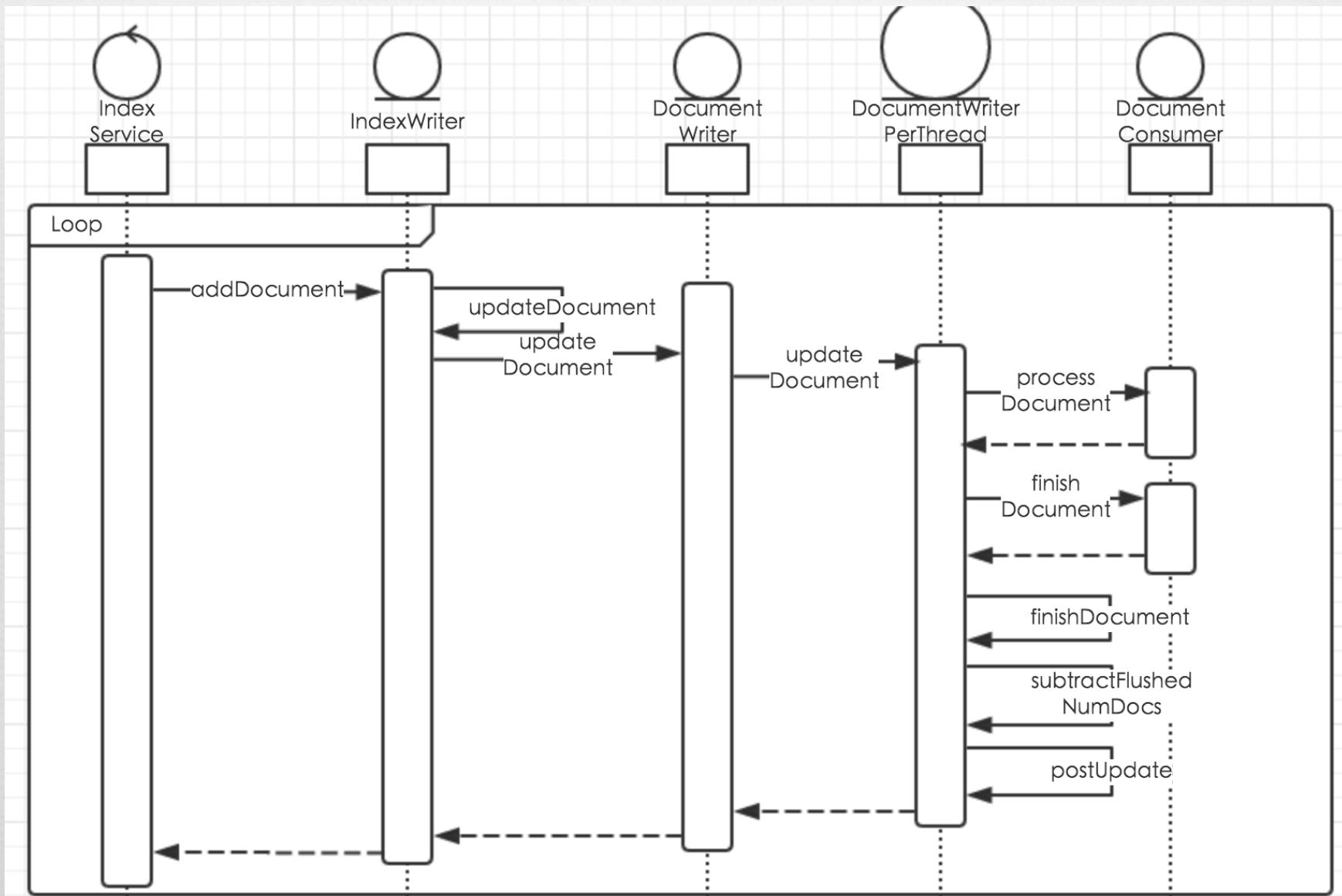
} finally {
    if (!success) {
        if (infoStream.isEnabled("IW")) {
            infoStream.message("IW", "init: hit exception on init; releasing write lock");
        }
        IOUtils.closeWhileHandlingException(writeLock);
        writeLock = null;
    }
}
```

Lucene Index

添加文档到索引



IndexWriter添加文档过程



Document类

- 一篇文档对应一个 Document，结构化的文本数据也可以是一个结构体对应一个 Document。
- fields： 文档的域集合，通过操作这个 List 来增加、删除、获取一个个域

▼ C Document

- F fields : List<IndexableField>
- C Document()
- △ iterator() : Iterator<IndexableField>
- F add(IndexableField) : void
- F removeField(String) : void
- F removeFields(String) : void
- F getBinaryValues(String) : BytesRef[]
- F getBinaryValue(String) : BytesRef
- F getField(String) : IndexableField
- F getFields(String) : IndexableField[]
- F getFields() : List<IndexableField>
- SF NO_STRINGS : String[]
- F getValues(String) : String[]
- F get(String) : String
- △ toString() : String

```
while ((line = br.readLine()) != null) {  
  
    Document doc = new Document();  
    String str[] = line.split(ex);  
    if(areas.size()!= str.length) continue;  
    System.out.println(index+"\t"+str[2]);  
    for(int i=0;i<areas.size():i++){  
        doc.add(new Field(areas.get(i), str[i], TextF  
    }  
  
    index++;  
    iw.addDocument(doc);  
}
```

Field类



实现接口：IndexableField，组成 Document类。

主要成员变量：

- type: 域类型（Store或者Index）
- name: 域名称（标题、作者、时间、正文、结束语）
- fieldsData: 域值，一般为String
- tokenStream: 可选，是通过 analyzer分词后得到的单词流。

- type : FieldType
- name : String
- fieldsData : Object
- tokenStream : TokenStream

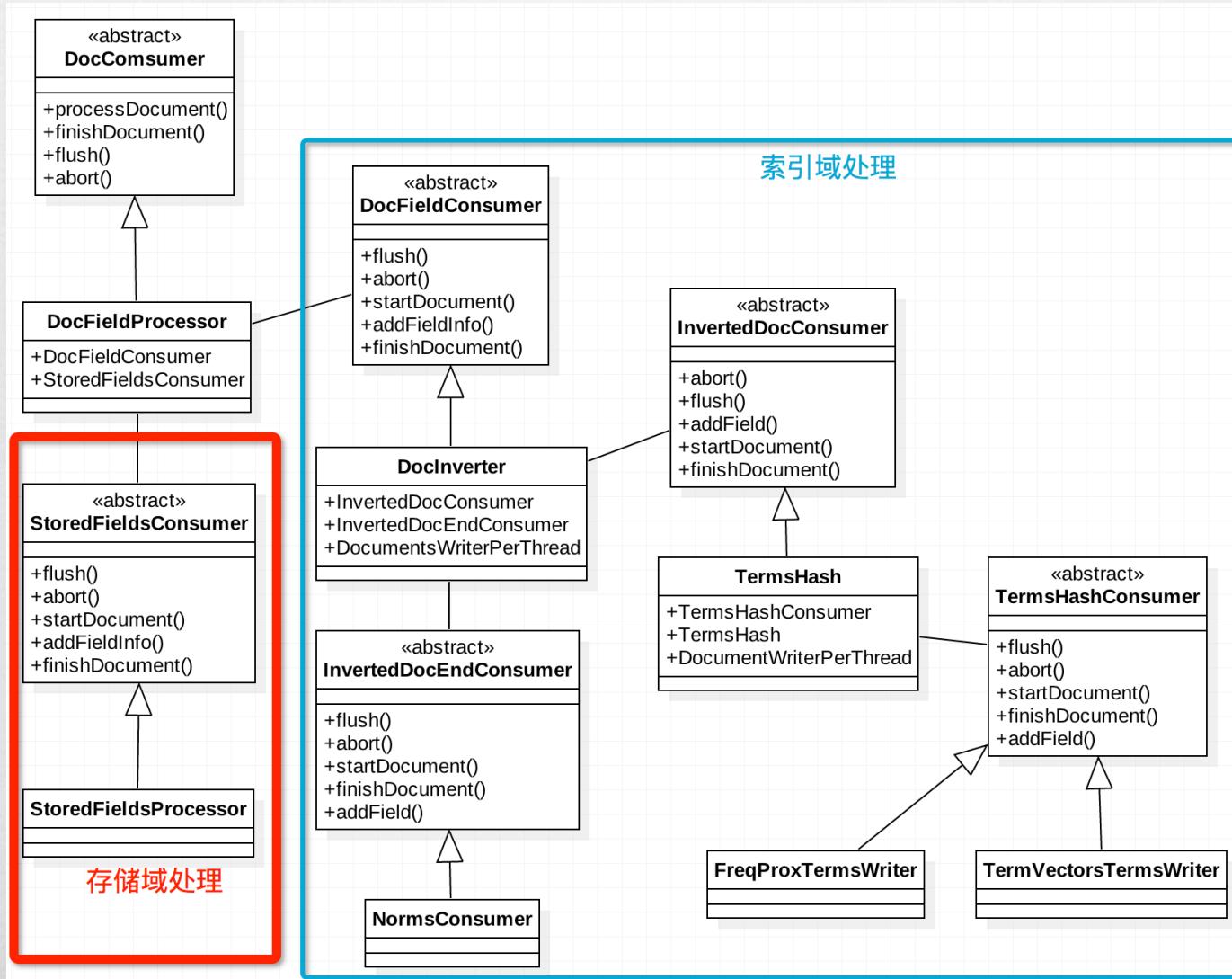
DocConsumer



```
abstract class DocConsumer {  
    abstract void processDocument(FieldInfos.Builder fieldInfos) throws IOException;  
    abstract void finishDocument() throws IOException;  
    abstract void flush(final SegmentWriteState state) throws IOException;  
    abstract void abort();  
}
```

- ❖ DocConsumer是将文档最终写入到缓存中的实体，其实现类是DocFieldProcesser
- ❖ 将文档写入索引并不是由DocConsumer单独完成的，而是一系列链式的对象共同承担

Consumer链式对象



各个Consumer的作用



- ❖ DocConsumer <= DocFieldProcessor
- ❖ DocFieldConsumer <= DocInverter
 - ❖ InvertedDocConsumer <= TermsHash
 - ❖ TermsHashConsumer <= FreqProxTermsWriter
负责写freq、 prox 信息
 - ❖ TermsHashConsumer <= TermVectorsTermsWriter
负责写tvx、 tvd、 tvf信息
 - ❖ InvertedDocEndConsumer <= NormsWriter
负责写nrm信息
- ❖ StoredFieldsConsumer <= StoredFieldsProcessor
负责写段信息si

缓存控制



什么时候将 缓存索引写入磁盘？

- 为了提高索引的速度,Lucene 对很多的数据进行了缓存,使一起写入磁盘（解决高速设备与低速设备不匹配问题）。
- 每次向缓冲区索引添加一个Document对象时，都会检查缓冲区大小，如果触发阈值，就会将缓冲区内容写入磁盘。

什么时候将缓存索引写入磁盘？（续）



❖ DocumentWriter.updateDocuments()

```
boolean updateDocuments(final Iterable<? extends Iterable<? extends IndexableField>> docs, final An  
final Term delTerm) throws IOException {  
    boolean hasEvents = preUpdate();  
  
    final ThreadState perThread = flushControl.obtainAndLock();  
    final DocumentsWriterPerThread flushingDWPT;  
  
    try {  
        if (!perThread.isActive()) {  
            ensureOpen();  
            assert false: "perThread is not active but we are still open";  
        }  
        ensureInitialized(perThread);  
        assert perThread.isInitialized();  
        final DocumentsWriterPerThread dwpt = perThread.dwpt;  
        final int dwptNumDocs = dwpt.getNumDocsInRAM();  
        try {  
            final int docCount = dwpt.updateDocuments(docs, analyzer, delTerm);  
            numDocsInRAM.addAndGet(docCount);  
        } finally {  
            if (dwpt.checkAndResetHasAborted()) {  
                if (!dwpt.pendingFilesToDelete().isEmpty()) {  
                    putEvent(new DeleteNewFilesEvent(dwpt.pendingFilesToDelete()));  
                }  
                subtractFlushedNumDocs(dwptNumDocs);  
                flushControl.doOnAbort(perThread);  
            }  
            final boolean isUpdate = delTerm != null;  
            flushingDWPT = flushControl.doAfterDocument(perThread, isUpdate);  
        } finally {  
            perThread.unlock();  
        }  
        return postUpdate(flushingDWPT, hasEvents);  
    }
```

这两个函数都会检查缓冲区文件大小是否达到阈值

什么时候将缓存索引写入磁盘？（续）



❖ DocumentWriter.preUpdate()

```
private boolean preUpdate() throws IOException {
    ensureOpen();
    boolean hasEvents = false;
    if (flushControl.anyStalledThreads() || flushControl.numQueuedFlushes() > 0) {
        // Help out flushing any queued DWPTs so we can un-stall:
        if (infoStream.isEnabled("DW")) {
            infoStream.message("DW", "DocumentsWriter has queued dwpt; will hijack this thread to flu
        }
        do {
            // Try pick up pending threads here if possible
            DocumentsWriterPerThread flushingDWPT;
            while ((flushingDWPT = flushControl.nextPendingFlush()) != null) {
                // Don't push the delete here since the update could fail!
                hasEvents |= doFlush(flushingDWPT);
            }
            if (infoStream.isEnabled("DW")) {
                if (flushControl.anyStalledThreads()) {
                    infoStream.message("DW", "WARNING DocumentsWriter has stalled threads; waiting");
                }
            }
            flushControl.waitIfStalled(); // block if stalled
        } while (flushControl.numQueuedFlushes() != 0); // still queued DWPTs try help flushing
        if (infoStream.isEnabled("DW")) {
            infoStream.message("DW", "continue indexing after helping out flushing DocumentsWriter is
        }
    }
    return hasEvents;
}
```

什么时候将缓存索引写入磁盘？（续）

- ❖ DocumentWriter.postUpdate()
- ❖ 可以看到，preUpdate和postUpdate都会通过调用doFlush方法获取响应事件hasEvents

```
private boolean postUpdate(DocumentsWriterPerThread flushingDWPT, boolean hasEvents) throws IOException {
    hasEvents |= applyAllDeletes(deleteQueue);
    if (flushingDWPT != null) {
        hasEvents |= doFlush(flushingDWPT);
    } else {
        final DocumentsWriterPerThread nextPendingFlush = flushControl.nextPendingFlush();
        if (nextPendingFlush != null) {
            hasEvents |= doFlush(nextPendingFlush);
        }
    }
    return hasEvents;
}
```

DocumentWriter.doFlush()



- doFlush方法将DocumentWriterPerThread对象传给DocumentsWriterFlushControl， flushControl会将该线程写入的缓存信息进行累加。

```
        putEvent(ForcedPurgeEvent.INSTANCE);
        break;
    }
} finally {
    flushControl.doAfterFlush(flushingDWPT);
    flushingDWPT.checkAndResetHasAborted();
}

flushingDWPT = flushControl.nextPendingFlush();
}
if (hasEvents) {
    putEvent(MergePendingEvent.INSTANCE);
}
// If deletes alone are consuming > 1/2 our RAM
// buffer, force them all to apply now. This is to
// prevent too-frequent flushing of a long tail of
// tiny segments:
final double ramBufferSizeMB = config.getRAMBufferSizeMB();
if (ramBufferSizeMB != IndexWriterConfig.DISABLE_AUTO_FLUSH &&
    flushControl.getDeleteBytesUsed() > (1024*1024*ramBufferSizeMB/2)) {
    if (infoStream.isEnabled("DW")) {
        infoStream.message("DW", "force apply deletes bytesUsed=" + flushControl.getDeleteBytesUsed());
    }
hasEvents = true;
```

DocumentsWriterFlushControl



❖ DocumentsWriterFlushControl用于控制缓存的刷新。

```
synchronized DocumentsWriterPerThread doAfterDocument(ThreadState perThread,
    boolean isUpdate) {
    try {
        commitPerThreadBytes(perThread);
        if (!perThread.flushPending) {
            if (isUpdate) {
                flushPolicy.onUpdate(this, perThread);
            } else {
                flushPolicy.onInsert(this, perThread);
            }
            if (!perThread.flushPending && perThread.bytesUsed > hardMaxBytesPerDWPT) {
                // Safety check to prevent a single DWPT exceeding its RAM limit. This
                // is super important since we can not address more than 2048 MB per DWPT
                setFlushPending(perThread);
            }
        }
    }
}
```

```
synchronized void doOnDelete() {
    // pass null this is a global delete no update
    flushPolicyonDelete(this, null);
}
```

什么时候将缓存索引写入磁盘？（续）

- FlushByRamOrCountsPolicy是Lucene的缓存刷新策略类，里面定义了文档添加时的刷新策略(onInsert) 和文档删除时的刷新策略(onDelete)

```
@Override  
public void onInsert(DocumentsWriterFlushControl control, ThreadState state) {  
    if (flushOnDocCount()  
        && state.awpt.getNumDocsInRAM() >= indexWriterConfig  
            .getMaxBufferedDocs()) {  
        // Flush this state by num docs  
        control.setFlushPending(state);  
    } else if (flushOnRAM()) { // flush by RAM  
        final long limit = (long) (indexWriterConfig.getRAMBufferSizeMB() * 1024.d * 1024.d);  
        final long totalRam = control.activeBytes() + control.getDeleteBytesUsed();  
        if (totalRam >= limit) {  
            if (infoStream.isEnabled("FP")) {  
                infoStream.message("FP", "flush: activeBytes=" + control.activeBytes() + " deleteB  
            }  
            markLargestWriterPending(control, state, totalRam);  
        }  
    }  
}
```

什么时候将 缓存索引写入磁盘？（续）

- ❖ FlushByRamOrCountsPolicy共有三种刷新机制：
 - ❖ flushOnDocCount: 根据添加的文档数刷新
 - ❖ flushonDeleteTerms: 根据删除的文档数刷新
 - ❖ flushOnRAM: 根据内存用量刷新

```
final long limit = (long) (indexWriterConfig.getRAMBufferSizeMB() * 1024.d * 1024.d);
final long totalRam = control.activeBytes() + control.getDeleteBytesUsed();
if (totalRam >= limit) {
    if (infoStream.isEnabled("FP")) {
        infoStream.message("FP", "flush: activeBytes=" + control.activeBytes() + " deleteBy");
    }
    markLargestWriterPending(control, state, totalRam);
}
```

LucenWriter的关闭过程



关闭IndexWriter

- ❖ IndexWriter.close() =>
- ❖ IndexWriter.close(true) =>
- ❖ IndexWriter.closeInternal(boolean) =>
 - ❖ //将缓存中剩余的索引信息写入磁盘
 - ❖ flush(waitForMerges, true)
 - ❖ //进行段合并
 - ❖ mergeScheduler.merge(this)

```
public void createIndex(Proc  
Analyzer analyzer = new  
Directory dire = FSDire  
IndexWriterConfig iwc =  
IndexWriter iw = new In  
System.out.println(">>>  
addFiles(iw, prop);  
  
} iw.close();
```

IndexWriter.close()



- ❖ 目标：保证线程安全，将缓存中的索引信息写入磁盘
- ❖ 在这个过程中，如果内存溢出标志 hitOOM 为真，那么就需要终止关闭过程，进入异常处理函数 rollbackInternal()

```
public void close(boolean waitForMerges) throws IOException {  
  
    // Ensure that only one thread actually gets to do the  
    // closing, and make sure no commit is also in progress:  
    synchronized(commitLock) {  
        if (shouldClose()) {  
            // If any methods have hit OutOfMemoryError, then abort  
            // on close, in case the internal state of IndexWriter  
            // or DocumentsWriter is corrupt  
            if (hitOOM) {  
                rollbackInternal();  
            } else {  
                closeInternal(waitForMerges, true);  
            }  
        }  
        assert assertEventQueueAfterClose();  
    }  
}
```

谢谢！

