

2019



Data Science and AI

Module 2 Part 1:

SQL and Databases



Agenda: Module 2 Part 1

- Introduction to Databases
- The relational database paradigm
- Basic SQL
- RDBMS
- Advanced SQL
- SQL in Python
- NoSQL Databases



Introduction to Databases

- Databases: definition, usage, features, applications
- Database Elements
- Database Principles
- The relational database paradigm
- SQL
- RDBMS



Introduction to Databases

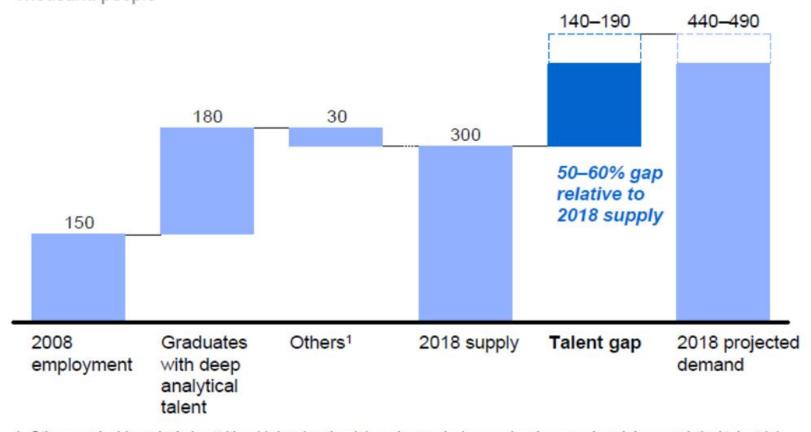
- What is a database?
 - a computer system that manages storage and querying of data
- How is a database used?
 - data insertion & retrieval are (typically) performed using a query language
 - a compact programming syntax
 - basic operators for data transformation
- What are the essential features of a database?
 - practical design for organising data
 - efficient methods to retrieve specific information
 - indexing, performance optimisation
 - reliability, security, backup & replication



Good News: Demand for Data Science!

Demand for deep analytical talent in the United States could be 50 to 60 percent greater than its projected supply by 2018

Supply and demand of deep analytical talent by 2018 Thousand people



¹ Other supply drivers include attrition (-), immigration (+), and reemploying previously unemployed deep analytical talent (+). SOURCE: US Bureau of Labor Statistics; US Census; Dun & Bradstreet; company interviews; McKinsey Global Institute analysis



Why Use a Database?

- The **standard** solution for data storage
- Much more robust than text, CSV or JSON files
- Most analyses involve pulling data to and from a resource; in most settings, this means using a database
- Many types and variants to serve different use cases
- Rules on structure make writing and retrieving data more reliable and efficient
- Provide a central source of "truth"



Database Application Areas - Examples

Operations

- transaction systems
- data capture
- inventory management

Data Warehouse

- Reporting
- Analytics
- Data Science

Master Data

- products
- customers
- suppliers



Database Elements

- tables
 - data storage by columns (attributes) and rows (records)
- keys
 - for matching indexed attributes across tables
- queries, views
 - for retrieving, subsetting, aggregating, joining data
- functions, procedures
 - reusable code units

- types
 - reusable data structures
- triggers
 - procedures that run automatically when a specific event occurs
- jobs
 - batches of procedures that run on a schedule



Database Principles: Transactional Integrity

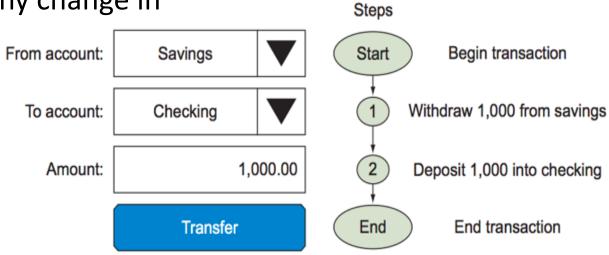
def: Transaction

a unit of work performed against a database

this term generally represents any change in

database

• involves multiple steps





Database Principles: ACID

def: ACID is a set of properties that guarantee that database transactions are processed reliably

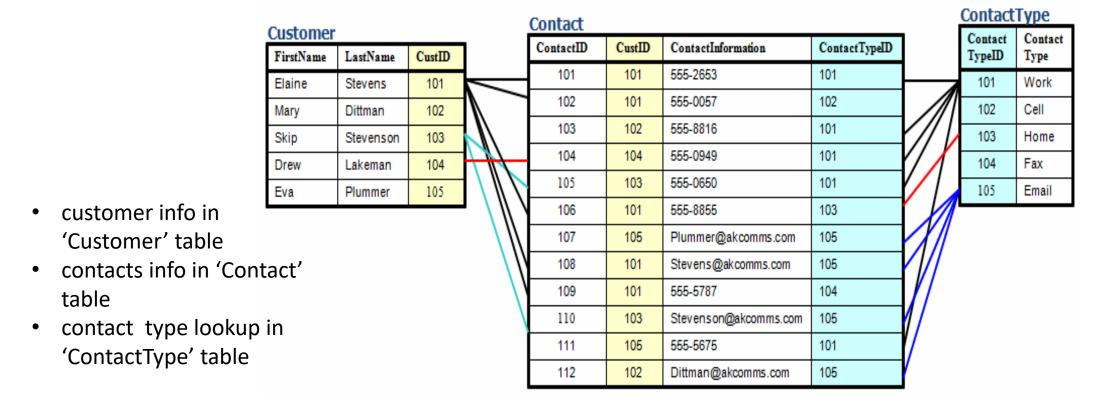


- Atomicity: if one part of the transaction fails, the entire transaction fails;
 the database state is left unchanged ('all or nothing')
- Consistency: ensures that any transaction will bring the database from one valid state to another
- Isolation: ensures that the concurrent execution of transactions results in a system state that would be obtained if transactions were executed serially (one after the other)
- Durability: ensures that once a transaction has been committed it will be unaffected by power loss, system crashes, or errors



The relational Database Paradigm

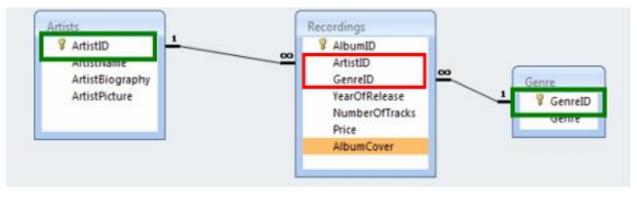
- Each table in a database is devoted to one domain
- Keys are used to connect tables in a logical manner

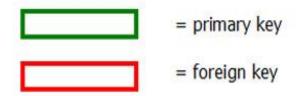




Relational Databases

 Queries can pull together information from multiple tables by matching foreign keys to primary keys





- 'ArtistID' is:
 - a foreign key in the 'Recordings' table
 - the primary key in the 'Artists' table
- 'GenrelD' is:
 - a foreign key in the 'Recordings' table
 - the primary key in the 'Genre' table



RDBMS

- RDB = ?
 - relational database
- RDBMS = ?
 - relational database management system





RDBMS

The RDBMS is the system, and SQL is the language used to interact with the system.

In principle you could have an RDBMS that uses some other language for access, and in principle you could use SQL to interact with some other kind of database system, though in practice the two are closely coupled.

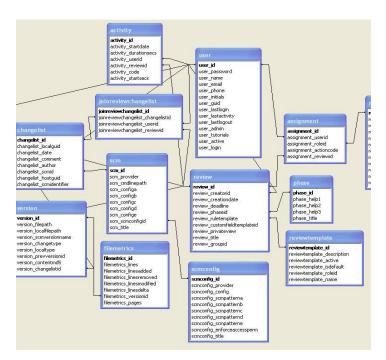
SQLite, MySQL, MariaDB, Postgres, et al are all RDBMSes, and the language you'll use to interact with all of them is SQL.



Database Schema

- table-level
 - columns and primary key
- database-level
 - overall design
 - data model (tables)
 - keys (PK, FK)
 - integrity constraints

- rationale
 - removes tight coupling of database objects and owners
 - improves security administration of database objects





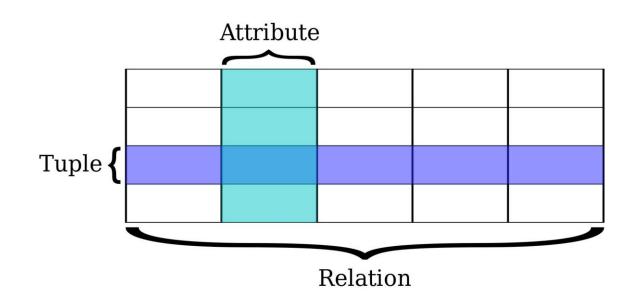
Relation Variables

By using a Data Definition Language (DDL), it is able to define base relation variables. In SQL, CREATE TABLE syntax is used to define base tables. The following is an example.

```
CREATE TABLE List_of_people (
ID INTEGER,
Name CHAR(40),
Address CHAR(200),
PRIMARY KEY (ID)
)
```

The Data Definition Language (DDL) is also used to define derived relation variables. In SQL, CREATE VIEW syntax is used to define a derived relation variable. The following is an example.

```
CREATE VIEW List_of_Okinawa_people AS (
SELECT ID, Name, Address
FROM List_of_people
WHERE Address LIKE '%, Okinawa'
)
```





SQL (Structured Query Language)

- essentially a declarative language
 - data are typically retrieved as subsets of the rows and columns in one or more tables: 'rowsets'
 - the user encodes the kind of rowset to be returned
 - the database engine produces a plan for how to execute it
 - optimised if possible
 - may accept hints from user (e.g. indexes to use)
- 3 functional divisions:
 - data definition language (DDL)
 - schema creation and modification
 - data manipulation language (DML)
 - insert, update, delete
 - data control language (DCL)
 - access, security



SQL - cont'd

simple rowset retrieval:

SELECT columns **FROM** table

conditional rowset retrieval:

SELECT columns FROM table WHERE condition

example (conditional rowset with ordering):

SELECT TOP 10 amount FROM sales WHERE amount > 99.99 **ORDER** BY amount



SQL – cont'd

Syntax

- identifiers with embedded spaces or punctuation require implementation-specific delimiters
 - e.g. SQL Server allows "my table" or [my table]

(delimiters are optional, otherwise)

- namespace hierarchies are usually delimited with periods (full stops)
 - e.g. (SQL Server): myschema.mytable.mycolumn



SQL - cont'd

Syntax

- SQL is case-insensitive
 - best to adopt a style, for readability
 (e.g. uppercase for SQL keywords, lowercase for identifiers)
- Microsoft and others extend the ANSI standard
 - extensions add power and convenience to programming
 - many extensions do not readily port between versions of SQL



Indices (aka 'Indexes')

- An index is a column of unique numbers (one per row) used to speed up query performance
 - reduces number of database data pages that have to be scanned
 - can have > 1 index per table
 - usually based on single columns or tuples of columns
- a clustered index (e.g. SQL Server) determines physical order of data in a table
 - can only have 1 clustered index per table
- Building / rebuilding an index is an expensive operation
 - for inserting a large number of rows, it is usually best to drop the index, do the insert, then rebuild the index



Primary and Foreign Keys

- primary key uniquely refers to each row in a table
 - can have only one per table
 - usually an integer
- *foreign key* refers to a row in a different table
 - is a primary key in the other table
 - can have any number per table
- Nb. the use of foreign keys reduces storage and makes database maintenance much easier



Some samples

w3schools:

https://www.w3schools.com/sql/



Some of The Most Important SQL Commands

SELECT - extracts data from a database

UPDATE - updates data in a database

DELETE - deletes data from a database

INSERT INTO - inserts new data into a database

CREATE DATABASE - creates a new database

ALTER DATABASE - modifies a database

CREATE TABLE - creates a new table

ALTER TABLE - modifies a table

DROP TABLE - deletes a table

CREATE INDEX - creates an index (search key)

DROP INDEX - deletes an index



SELECT & SELECT DISTINCT

The SELECT statement is used to select data from Inside a table, a column often contains many a database. The data returned is stored in a result duplicate values; and sometimes you only want table, called the result-set.

to list the different (distinct) values.

SELECT Syntax **SELECT** column1, column2, ... **FROM** *table_name*;

SELECT DISTINCT Syntax SELECT DISTINCT column1, column2, ... **FROM** *table_name*;

SELECT CustomerName, City FROM Customers;

SELECT DISTINCT Country FROM Customers; **SELECT COUNT(DISTINCT Country) FROM** Customers;

The following SQL statement lists the number of different (distinct) customer countries:

SELECT Count(*) AS DistinctCountries FROM (SELECT DISTINCT Country FROM Customers);

The **SELECT DISTINCT** statement is used to return only distinct (different) values.



WHERE

The SQL WHERE clause is used to filter records.
The WHERE clause is used to extract only those records that fulfill a specified condition.
WHERE Syntax
SELECT column1, column2, ...
FROM table_name
WHERE condition;

Text Fields vs. Numeric Fields

SELECT * FROM Customers WHERE Country='Mexico';

SELECT * FROM Customers WHERE CustomerID=1;

Operators in The WHERE Clause The following operators can be used in the WHERE clause: **Operator Description** Example Equal Greater than Less than Greater than or equal >= Less than or equal Not equal. Note: In some versions of SQL <> this operator may be written as != **BETWEEN** Between a certain range LIKE Search for a pattern To specify multiple possible values for a IN

column



INSERT

The INSERT INTO statement is used to insert new records in a table.

INSERT INTO Syntax

It is possible to write the INSERT INTO statement in two ways.

The first way specifies both the column names and the values to be inserted:

INSERT INTO table_name (column1, column2,
column3, ...)
VALUES (value1, value2, value3, ...);

INSERT INTO table_name
VALUES (value1, value2, value3, ...);

INSERT INTO Example
The following SQL statement inserts a new record
in the "Customers" table:

Example

INSERT INTO Customers (CustomerName, ContactName, Address, City, PostalCode, Country) VALUES ('Cardinal', 'Tom B. Erichsen', 'Skagen 21', 'Stavanger', '4006', 'Norway');

Insert Data Only in Specified Columns

INSERT INTO Customers (CustomerName, City, Country)
VALUES ('Cardinal', 'Stavanger', 'Norway');



UPDATE

The UPDATE statement is used to modify the existing records in a table.

UPDATE Syntax
UPDATE table_name
SET column1 = value1, column2 = value2, ...
WHERE condition;

UPDATE Customers
SET ContactName = 'Alfred Schmidt', City=
'Frankfurt'
WHERE CustomerID = 1;

UPDATE Multiple Records
It is the WHERE clause that determines how many records that will be updated.

UPDATE Customers
SET ContactName='Juan'
WHERE Country='Mexico';

Update Warning!
Be careful when updating records. If you omit the WHERE clause, ALL records will be updated!
Example

UPDATE Customers
SET ContactName='Juan';



DELETE

The DELETE statement is used to delete existing records in a table.

DELETE Syntax

DELETE FROM table_name WHERE condition;

Example

DELETE FROM Customers WHERE CustomerName='Alfreds Futterkiste';

The following SQL statement deletes all rows in the "Customers" table, without deleting the table: Example

DELETE FROM Customers;



SELECT TOP

The SQL SELECT TOP clause is used to specify the number of records to return.

The SELECT TOP clause is useful on large tables with thousands of records. Returning a large number of records can impact on performance.

Note: Not all database systems support the SELECT TOP clause. MySQL supports the LIMIT clause to select a limited number of records, while Oracle uses ROWNUM.

SQL Server / MS Access Syntax:
SELECT TOP number | percent column_name(s)
FROM table_name
WHERE condition;

MySQL Syntax:
SELECT column_name(s)
FROM table_name
WHERE condition
LIMIT number;

Oracle Syntax:

SELECT column_name(s)

FROM table_name

WHERE ROWNUM <= number;

SQL TOP, LIMIT and ROWNUM Examples
SELECT * FROM Customers
LIMIT 3;
SELECT * FROM Customers
WHERE ROWNUM <= 3;
SELECT TOP 50 PERCENT * FROM Customers:



CREATE DATABASE TABLE

```
The CREATE DATABASE statement is used to
create a new SQL database.
Syntax
CREATE DATABASE databasename;
CREATE DATABASE testDB;
The CREATE TABLE statement is used to create a
new table in a database.
Syntax
CREATE TABLE table_name (
  column1 datatype,
  column2 datatype,
  column3 datatype,
```

```
CREATE TABLE Persons (
 PersonID int,
 LastName varchar(255),
  FirstName varchar(255),
 Address varchar(255),
 City varchar(255)
The following SQL creates a new table called
"TestTables" (which is a copy of the
"Customers" table):
Example
CREATE TABLE TestTable AS
SELECT customername, contactname
FROM customers;
```



ALTER TABLE

The ALTER TABLE statement is used to add, delete, or modify columns in an existing table. The ALTER TABLE statement is also used to add and drop various constraints on an existing table. ALTER TABLE - ADD Column To add a column in a table, use the following syntax:

ALTER TABLE table_name
ADD column_name datatype;

ALTER TABLE Customers
ADD Email varchar(255);

ALTER TABLE table_name
DROP COLUMN column_name;

ALTER TABLE Customers DROP COLUMN Email;

To change the data type of a column in a table, use the following syntax:

SQL Server / MS Access:
ALTER TABLE table_name
ALTER COLUMN column_name datatype;

My SQL / Oracle (prior version 10G):
ALTER TABLE table_name
MODIFY COLUMN column_name datatype;

Oracle 10G and later:
ALTER TABLE table_name
MODIFY column_name datatype;

ALTER TABLE Persons
ADD DateOfBirth date;



PRIMARY KEY

```
The PRIMARY KEY constraint uniquely identifies
                                                  SQL Server / Oracle / MS Access:
                                                  CREATE TABLE Persons (
each record in a table.
Primary keys must contain UNIQUE values, and
                                                    ID int NOT NULL PRIMARY KEY,
cannot contain NULL values.
                                                    LastName varchar(255) NOT NULL,
                                                    FirstName varchar(255),
A table can have only ONE primary key; and in
the table, this primary key can consist of single or
                                                    Age int
multiple columns (fields).
SQL PRIMARY KEY on CREATE TABLE
                                                  To allow naming of a PRIMARY KEY constraint,
The following SQL creates a PRIMARY KEY on the
                                                  and for defining a PRIMARY KEY constraint on
"ID" column when the "Persons" table is created:
                                                  multiple columns, use the following SQL syntax:
                                                  MySQL / SQL Server / Oracle / MS Access:
MySQL:
                                                  CREATE TABLE Persons (
CREATE TABLE Persons (
  ID int NOT NULL,
                                                    ID int NOT NULL,
                                                    LastName varchar(255) NOT NULL,
  LastName varchar(255) NOT NULL,
  FirstName varchar(255),
                                                    FirstName varchar(255),
 Age int,
                                                    Age int,
  PRIMARY KEY (ID)
                                                    CONSTRAINT PK_Person PRIMARY KEY
                              (ID,LastName)
© 2019 Data Science Institute of Australia
```



CREATE INDEX

The CREATE INDEX statement is used to create indexes in tables.

Indexes are used to retrieve data from the database very fast. The users cannot see the indexes, they are just used to speed up searches/queries.

Note: Updating a table with indexes takes more time than updating a table without (because the indexes also need an update). So, only create indexes on columns that will be frequently searched against.

CREATE INDEX Syntax

Creates an index on a table. Duplicate values are allowed:

CREATE INDEX index_name

ON table_name (column1, column2, ...);

CREATE UNIQUE INDEX Syntax

Creates a unique index on a table. Duplicate values are not allowed:

CREATE UNIQUE INDEX index_name

ON table_name (column1, column2, ...);



CREATE INDEX - cont'd

```
CREATE INDEX idx_lastname
ON Persons (LastName);
If you want to create an index on a combination of columns, you can list the column names within
the parentheses, separated by commas:
CREATE INDEX idx_pname
ON Persons (LastName, FirstName);
DROP INDEX Statement
The DROP INDEX statement is used to delete an index in a table.
MS Access:
DROP INDEX index_name ON table_name;
SQL Server:
DROP INDEX table_name.index_name;
DB2/Oracle:
DROP INDEX index_name;
MySQL:
ALTER TABLE table_name
DROP INDEX index_name;
```



DROP DATABASE TABLE

The DROP DATABASE statement is used to drop an The DROP TABLE statement is used to drop an existing SQL database.

existing table in a database.

DROP DATABASE Example

The following SQL statement drops the existing database "testDB":

Example

DROP DATABASE testDB;

SQL DROP TABLE Example

The following SQL statement drops the existing table "Shippers":

Example

DROP TABLE Shippers;

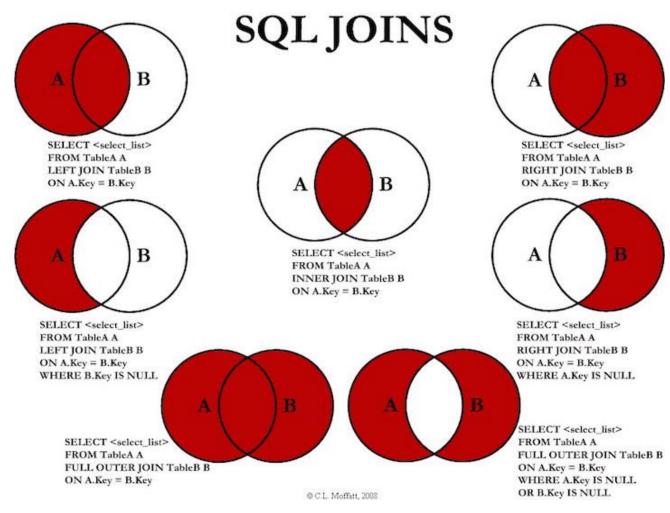


Joins

- used (in queries) for
 - combining columns from different tables
 - filtering columns from one table using criteria in a different table
- joins match a foreign key in one table to a primary key in another table
- Nb. simple joins are generally quite fast, but compound joins (involving many tables) can be very slow
- a database join is analogous to a set operation in mathematics



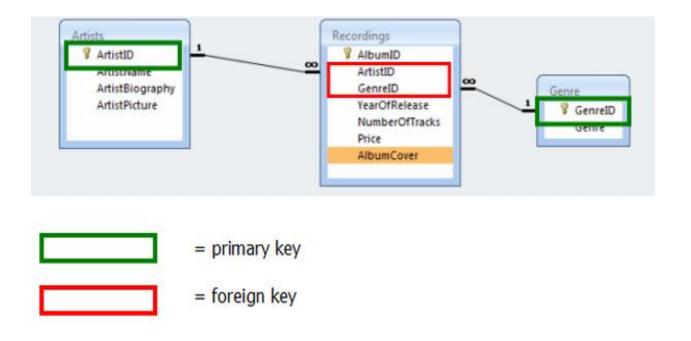
Joins





Joins – cont'd

Compound inner join example



Return artist name and album cover for every album of the 'rock' genre:

SELECT Artists.ArtistName,
Recordings.AlbumCover
FROM Artists
INNER JOIN Recordings
ON Artists.ArtistID = Recordings.ArtistID
INNER JOIN Genre
ON Recordings.GenreID = Genre.GenreID
WHERE Genre.Genre = 'rock'



Joins - cont'd

Left Join

• all rows from 1st table, plus matching rows from 2nd table:

SELECT cars.car, trucks.truck FROM cars
LEFT JOIN trucks ON cars.maker = trucks.maker

unmatched rows will have NULL in place of truck

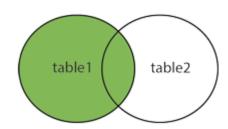
Right Join

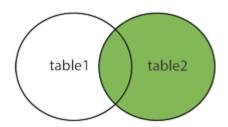
• all rows from 2nd table, plus matching rows from 1st table:

SELECT cars.car, trucks.truck FROM cars
RIGHT JOIN trucks ON cars.maker = trucks.maker

unmatched rows will have NULL in place of car

| Table 1 | Table 2 |
|---------|---------|
| aaa | aaa |
| bbb | XXX |
| CCC | CCC |
| ddd | ууу |
| eee | eee |
| fff | fff |







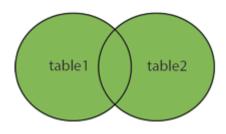
Joins – cont'd

Outer Join

• all rows from 1st table, matched with all rows from 2nd table:

SELECT cars.car, trucks.truck FROM cars
OUTER JOIN trucks ON cars.maker = trucks.maker

returns every possible pairing of car and truck



uses:

- creating contingency tables
- creating dummy data for testing
- other?



How to access databases using Python

```
#!/usr/bin/python
import MySQLdb
# Open database connection
db = MySQLdb.connect("localhost","testuser","test123","TESTDB")
# prepare a cursor object using cursor() method
cursor = db.cursor()
# execute SQL query using execute() method.
cursor.execute("SELECT VERSION()")
# Fetch a single row using fetchone() method.
data = cursor.fetchone()
print "Database version: %s " % data
# disconnect from server
db.close()
```



Writing code using DB-API - Update Operation

```
import MySQLdb
# Open database connection
db = MySQLdb.connect("localhost","testuser","test123","TESTDB")
# prepare a cursor object using cursor() method
cursor = db.cursor()
# Drop table if it already exist using execute() method.
cursor.execute("DROP TABLE IF EXISTS EMPLOYEE")
# Create table as per requirement
sql = """CREATE TABLE EMPLOYEE (
    FIRST NAME CHAR(20) NOT NULL,
    LAST NAME CHAR(20),
    AGE INT,
    SEX CHAR(1),
    INCOME FLOAT )"""
cursor.execute(sql)
# disconnect from server
db.close()
```



Reading and Modifying the table

- INSERT Operation
- READ Operation
- Update Operation
- DELETE Operation

https://www.tutorialspoint.com/python/python_database_access.htm



Connecting to a database using ibm_db API

The ibm_db API provides a variety of useful Python functions for accessing and manipulating data in an IBM data server database, including functions for connecting to a database, repairing and issuing SQL statements, fetching rows from result sets, calling stored procedures, committing and rolling back transactions, handling errors and retrieving metadata.

The ibm_db API uses the IBM Data Server Driver for ODBC, and CLI APIs to connect to IBM, DB2 and Informix.

http://cican17.com/accessing-database-using-python/



Lab 2.1.1: SQL

- Purpose:
 - Create account in Mode Analytics
 - To discover the basic features of SQL
- Tools and Resources:
 - Mode Analytics (account required)



- Materials:
 - Mode Analytics interactive SQL tutorial
 https://community.modeanalytics.com/sql/tutorial/introduction-to-sql/



Database Scripting

- shell scripts / commands
 - for quick / convenient execution of routine tasks
 - populating / updating a database from a file
 - backing up or restoring a database
 - dumping a database object to a file
 - executing queries to deliver rowsets for subsequent analysis
 - moving data between databases, data lakes, etc.
- examples

```
psql -U postgres -d database_name -c "SELECT c_defaults FROM user_info WHERE c uid = 'testuser'"
```

mysql -h "server-name" -u "root" "-pXXXXXXXX" "database-name" < "filename.sql"



Database Administration

- granting permissions
 - users, roles
 - tables, views
- performing backups & recoveries
- creating & scheduling jobs
- Nb. It is not uncommon for a query to join tables from different databases
 - in SQL Server, the query must be created by the *single* owner of *both* databases
 - a database owner should be a virtual user -- not a particular person's login



Discussion

• Why is the RDBMS still in use?

• QUESTIONS?



Advanced SQL

- Aggregation functions
- Grouping
- Window functions



SQL Aggregation functions

- aggregate many rows into a single resultant row
- common aggregation functions:

```
COUNT counts all rows meeting criterion
```

SUM sums selected field(s) in all rows meeting criterion

AVG averages ...

MIN, MAX computes minimum/maximum of ...

- SQL engine may support user-defined aggregation functions
- example:

```
SELECT MIN(sale_date) AS firstdt, MAX(sale_date) AS lastdt, SUM(sales) AS netsales FROM sales
```



Aggregating by Groups in SQL

- grouping allows aggregation functions to be applied to subsets based on row-level criteria
- adds GROUP BY clause to select statement
- example:

SELECT agent_name, SUM(sales) AS netsales FROM sales GROUP BY agent_name



SQL Window Functions

- operates on a set of rows and return a value for each row
 - like aggregation, but performed in relation to current row
- example: running total

SELECT duration, SUM(duration)

OVER (ORDER BY start_time) AS running_total

FROM bikeshare

- OVER clause designates window
- ORDER BY sets sequence of rowset (oldest to newest values of start_time)
- each row shows current duration and sum of all previous values of duration



Lab 2.1.2: Advanced SQL

- Purpose:
 - To discover the more powerful features of SQL
- Tools and Resources:
 - Mode Analytics (account required)
 - Mode Analytics interactive SQL tutorial

https://community.modeanalytics.com/sql/tut
orial/introduction-to-sql/





SQL in Python

- Python/SQL integration paradigms
- Python with embedded SQL db (SQLite)
- Python with external SQL db (pyodbc)



Python / SQL Integration Paradigms

Embedded

- an SQL RDBMS is emulated by a library designed to work with Python
- databases are not normally accessible outside of Python
- ideal for a self-contained Python app with its own db

External

- a stand-alone SQL RDBMS resides on a database server that other applications can connect to
- the db is made accessible to Python via an ODBC driver specific to the RDBMS in use
 - SQL Server, MySQL, DB2, etc.
- used when the Python app is just a client of a more general-purpose db



Python with Embedded SQL Database

Example: SQLite

```
import sqlite3
connection = sqlite3.connect("company.db")

sql_command = """
CREATE TABLE employee (
staff_number INTEGER PRIMARY KEY,
fname VARCHAR(20),
lname VARCHAR(30),
date_joined DATE);"""
```



Python with External SQL Database

Example: pyodbc

```
import pyodbc
cnxn = pyodbc.connect("DSN=MSSQL-PYTHON")
cursor = cnxn.cursor()
cursor.tables()
rows = cursor.fetchall()
for row in rows:
    print row.table_name
```



Python with pyodbc

Python uses a cursor to iterate through a returned rowset

```
import pyodbc
import pandas.io.sql as psql
import pandas as pd
cxnstr = "Server=myServerAddress;Database=myDB;User Id=myUsername;Password=myPass;"
cxn = pyodbc.connect(cxnstr)
cursor = cnxn.cursor()
cursor.execute("""SELECT ID, FirstName, LastName FROM mytable""")
rows = cursor.fetchone()
objects list = []
  for row in rows:
    d = collections.OrderedDict()
    d['UserID']= row.ID
    d['FirstName']= row.FirstName
    d['LastName']= row.LastName
cxn.close()
```



HOMEWORK

1. Install

MongoDB Community Server

https://www.mongodb.com/download-center#community

Neo4j Community Server

https://neo4j.com/download-center/#releases

2. Install Python packages:

- pymongo (conda)
- neo4j-driver (pip)



Lab 2.1.3: SQL in Python

- Purpose:
 - To investigate SQL implementation in Python
- Tools and Resources:
 - Sqlit: Install on your labtop https://www.sqlite.org/download.html
 - Jupyter Notebooks
 - Python package sqlite3
- Materials:
 - 'Lab 2.1.3 Databases.ipynb'
- Data:
 - 'housing-data.csv'





Scalable SQL

- massively parallel processing relational database systems (MPP RDBMS)
 - (expensive) architecture supports scalability and high performance









NoSQL databases with SQL



NoSQL Databases

- What (and why) are NoSQL databases
- Characteristics of NoSQL databases
- NoSQL database types



What and Why are NoSQL Databases

What

- non-tabular
- non-relational

Why

- scalable
- flexible
- simple

- storage and retrieval of data that is modelled by means other than tabular relations
- not a new idea (1960+)
- supports distributed storage and processing
- term 'NoSQL' popularised by Facebook, Amazon, Google, etc.



Characteristics of NoSQL Databases

Advantages

- avoid administration & expense of RDBMS
- small footprint
- easy to modify schemas







Use Cases

- simple data requirements
- application-specific data
- start-ups









Characteristics of NoSQL Databases – cont'd

Disadvantages

- many don't support true ACID transactions
 - application code is obliged to try to manage concurrency issues
- easy to modify schemas
 - application developers need to collaborate closely to ensure schema development is under control
- much slower than RDBMS
- lack powerful management & development features of RDBMS



NoSQL Databases Types

- wide column store
 - > Hadoop / HBase, MapR, BigTable, Hortonworks, Cloudera, Cassandra, Informix
- document store
 - > MongoDB, CouchDB, Azure DocumentDB
- key value / tuple store
 - > DynamoDB, Azure Table Storage, Oracle NoSQL
- graph databases
 - > Neo4j
- multi-model databases
 - > ArangoDB, OrientDB
- object databases
 - > Versant, Objectivity VelocityDB



NoSQL Databases - cont'd

- Document databases
 - MongoDB



Document Databases

- semi-structured data
- records do not all need to have the same fields

XML:

a record is a block of XML tags and values

```
<contact>
<firstname>Bob</firstname>
<address>5 Oak St.</lastname>
<hobby>saling</hobby>
</contact>
```

JSON:

a record is a list of key:value pairs

```
{
    "FirstName": "Bob",
    "Address": "5 Oak St.",
    "Hobby": "sailing"
}
```



MongoDB

- an open-source document database
 - no charge for *Community Server* version
- high performance
 - embedded data models reduce I/O activity
 - indexes
 - can include keys from embedded documents, arrays
- high availability
 - replication facility
 - automatic fail-over
 - data redundancy
- automatic scalability (horizontal)





MongoDB

- CRUD **V**
 - create, read, update, delete
- ACID ?
 - traditionally:
 - document databases are only ACID-compliant only at document level
 - no transactions for containing multiple I/O operations
 - application code is obliged to emulate transactions, if required
 - latency results in an eventual consistency model
 - MongoDB 4.0
 - introduced transactions



MongoDB: High-Level Objects

Document:

- a set of field:value pairs
 - values can be hierarchical
- analogous to RDB row

examples:

```
{ name: "sue", age: 26, status: "A", groups: [ "news", "sports" ] }
{ name: "fred", status: "A", groups: [ "sports", "hobbies", "cars" ] }
{ name: { first: "fred", last: "bloggs" }, status: "A", groups: [ "sports", "hobbies", "cars" ] }
```

Collection:

- a logical group of documents
- analogous to RDB table



MongoDB with Python

example:

```
import pymongo
connection =
pymongo.MongoClient("mongodb://localhost")
db = connection.school
students = db.students
cursor = students.find()
    # find minimum homework score...
for doc in cursor:
  scores = doc["scores"]
  minhs = 101
  for entry in scores:
    if entry["type"] == "homework":
      if entry["score"] < minhs:</pre>
         minhs = entry["score"]
```

- create mongod client
- connect to database 'school'
- create alias for table 'students'
- fetch all rows into cursor
- loop through docs in cursor
- get value (doc) associated with key 'scores'
- initialise min to impossibly large value (> 100%)
- loop through 'scores' docs, looking for 'homework' keys
- test each corresponding score to find new minimum



Lab 2.1.4: Python with MongoDB (Optional homework)

- Purpose:
 - To develop skills in NoSQL database programming with MongoDB
- Materials:
 - 'Lab 2.1.4.ipynb'





NoSQL Databases - cont'd

- Graph Databases
 - Neo4j



Graph Databases

- model members and relationships as a network
- high-level objects:
 - nodes
 - entities (e.g. people, accounts, organisations)
 - edges
 - connections between nodes
 - properties
 - node: differentiates types of nodes
 - roles, classifications, etc.
 - edge: describes the relationship
 - 2-way, 1-way, directionless
 - friend, follower, commenter, etc.



Neo4j

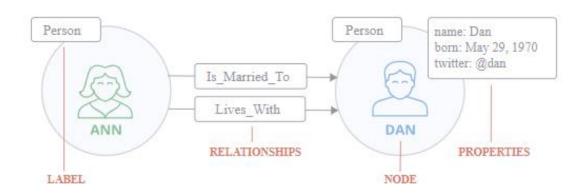
- open source
 - no charge for *Community Server* edition
- ACID-compliant, transactional database with native graph storage & processing
- online backup
- high availability
- most popular graph database





Neo4j: Basics

The Labeled Property Graph Model



Nodes

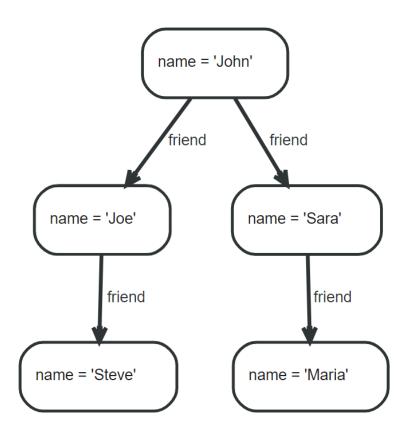
- Nodes are the main data elements
- Nodes are connected to other nodes via relationships
- Nodes can have one or more properties (i.e., attributes stored as key/value pairs)
- Nodes have one or more labels that describes its role in the graph

Relationships

- Relationships connect two nodes
- O Relationships are directional
- Nodes can have multiple, even recursive relationships
- Relationships can have one or more properties (i.e., attributes stored as key/value pairs)



Cypher Query Language



example: find friends of friends of John

```
MATCH (john {name: 'John'})-[:friend]->
()-[:friend]->(fof)
    RETURN john.name, fof.name
```

output:

```
+----+
| john.name | fof.name |
+-----+
| "John" | "Maria" |
| "John" | "Steve" |
```



Lab 2.1.5: Neo4j and Python (Optional homework)

• Purpose:

- To develop familiarity with graph database programming (Neo4j) using:
 - the Neo4j GUI
 - a Python library for Neo4j

• Resources:

- Neo4j built-in tutorials
- Cypher cheatsheet
 - https://neo4j.com/docs/cypher-refcard/3.2/

Materials:

'Lab 2.1.5.ipynb'





Discussion: SQL vs NoSQL

| SQL | NoSQL | | |
|---|---|--|--|
| Traditional rows and columns governed data model | No predefined data structure database at mercy of developers | | |
| Strict structure (incl. primary keys) schema changes difficult, risky | Ideal for unstructured data schema can change with application requirements | | |
| Entire column for each feature | Cheaper hardware | | |
| Industry standard | Supports design flexibility & growth popular among startups | | |
| ACID | Application code must manage transactions | | |



Discussion: NoSQL with SQL?!

Why has SQL infiltrated the NoSQL paradigm?



Questions?



Appendices



Relational Databases – Normalisation

Codd's 1st-normal form

- the domain of each attribute contains only atomic (indivisible) values
- the value of each attribute contains only a single value from that domain

Customer

| Customer ID | First Name | Surname | Telephone Number |
|-------------|------------|---------|--------------------------------------|
| 123 | Pooja | Singh | 555-861-2025, 192-122-1111 |
| 456 | San | Zhang | (555) 403-1659 Ext. 53; 182-929-2929 |
| 789 | John | Doe | 555-808-9633 |



Customer

| | Customer ID | First Name | Surname | Telephone Number | |
|---|-------------|------------|---------|------------------------|--|
| | 123 | Pooja | Singh | 555-861-2025 | |
| • | 123 | Pooja | Singh | 192-122-1111 | |
| | 456 | San | Zhang | 182-929-2929 | |
| | 456 | San | Zhang | (555) 403-1659 Ext. 53 | |
| | 789 | John | Doe | 555-808-9633 | |





Relational Databases — – Normalisation - cont'd

Codd's 2nd-normal form

- in 1st-normal form
- no non-prime attribute is dependent on any proper subset of any candidate key of the relation

(an attribute that is not a part of any candidate key of the relation)

Electric Toothbrush Models

| Manufacturer | <u>Model</u> | Model Full Name | Manufacturer Country |
|--------------|--------------|-----------------------------|----------------------|
| Forte | X-Prime | Forte X-Prime | Italy |
| Forte | Ultraclean | Forte Ultraclean | Italy |
| Dent-o-Fresh | EZbrush | Dent-o-Fresh EZbrush | USA |
| Kobayashi | ST-60 | Kobayashi ST-60 | Japan |
| Hoch | Toothmaster | er Hoch Toothmaster Germany | |
| Hoch | X-Prime | Hoch X-Prime | Germany |



Electric Toothbrush Manufacturers

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|--------------|----------------------|--|
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Electric Toothbrush Models

| Manufacturer | Model | Model Full Name | |
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| Forte | X-Prime | Forte X-Prime | |
| Forte | orte Ultraclean Forte Ultraclean | | |
| Dent-o-Fresh | EZbrush | Dent-o-Fresh EZbrush Kobayashi ST-60 | |
| Kobayashi | ST-60 | | |
| Hoch | Toothmaster | Hoch Toothmaster | |
| Hoch | X-Prime | Hoch X-Prime | |





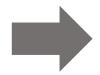
Relational Databases — – Normalisation - cont'd

Codd's 3rd-normal form

- in 2nd-normal form
- every non-prime attribute (of a table) is non-transitively dependent on every key (of a table)

Tournament Winners

| <u>Tournament</u> | <u>Year</u> | Winner | Winner Date of Birth |
|----------------------|-------------|----------------|----------------------|
| Indiana Invitational | 1998 | Al Fredrickson | 21 July 1975 |
| Cleveland Open | 1999 | Bob Albertson | 28 September 1968 |
| Des Moines Masters | 1999 | Al Fredrickson | 21 July 1975 |
| Indiana Invitational | 1999 | Chip Masterson | 14 March 1977 |



Tournament Winners

Winner Dates of Birth

| <u>Tournament</u> | <u>Year</u> | Winner | <u>Winner</u> | Date of Birth |
|----------------------|-------------|----------------|----------------|-------------------|
| Indiana Invitational | 1998 | Al Fredrickson | Chip Masterson | 14 March 1977 |
| Cleveland Open | 1999 | Bob Albertson | Al Fredrickson | 21 July 1975 |
| Des Moines Masters | 1999 | Al Fredrickson | Bob Albertson | 28 September 1968 |
| Indiana Invitational | 1999 | Chip Masterson | | |





Which RDBMS Object to Use When

queries

- ad hoc queries
- stored or generated in application code

views

- stored (reusable) queries
- can incorporate joins with other views
- preferable to queries in application code

stored procedures

- more powerful than views (can query and/or modify data)
- preferred for delivering data to applications (security, control, maintainability)

reports

- formatted output containers based on tables, views
- text & graphics
- usually provided via a separate application (designed for but existing outside the RDBMS)
- may have built-in subscription service



End of presentation