# LEARNING PITCH INVARIANTS FOR INSTRUMENT RECOGNITION

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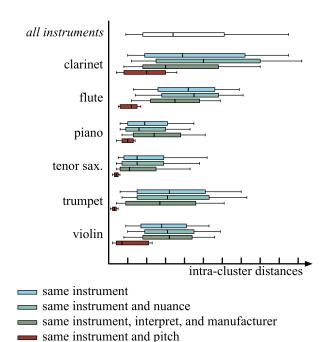
#### **ABSTRACT**

Musical performance combines a wide range of pitches, nuances, and expressive techniques. Audio-based classification of musical instruments thus requires to build signal representations that are invariant to such transformations. Focusing on pitch invariance, this article investigates the construction of multi-stage architectures for instrument recognition. We show that Mel-frequency cepstral coefficients (MFCC) lack invariance with respect to realistic pitch shifts. In turn, a convolutional neural network (ConvNet) in the time-frequency domain is able to disentangle pitch variability from timbral information in a subtler way. We further improve the ConvNet architecture by limiting weight sharing to octave-wide frequency bands at the first layer, while allowing full weight sharing at deeper layers. We extend our method to the recognition of multiple instruments playing simultaneously.

#### 1. INTRODUCTION

Among the cognitive attributes of musical tones, pitch is distinguished by a combination of three properties. First, it is relative: ordering pitches from low to high gives rise to intervals and melodic patterns. Secondly, it is intensive: multiple pitches heard simultaneously produce a chord, not a single unified tone - contrary to loudness, which adds up with the number of sources. Thirdly, it is invariant to instrumentation: this makes possible the transcription of polyphonic music under a single symbolic system. Section 2 demonstrates that pitch is the major factor of variability among musical notes of a given instrument, if described by their Mel-frequency cepstra. Section 3 describes a typical deep learning architecture for spectrogram-based classification, consisting of two convolutional layers and one densely connected layer. Section 4 improves the aforementioned architecture by splitting spectrograms into octavewide frequency bands, training specific convolutional layers over each band in parallel, and gathering feature maps at a later stage. Section 5 discusses the effectiveness of the presented systems on a challenging dataset for music instrument recognition.

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**Figure 1**: Distributions of squared Euclidean distances among various clusters in the RWC dataset. Whisker ends denote lower and upper deciles. See text for details.

# 2. HOW INVARIANT IS THE MEL-FREQUENCY CEPSTRUM?

The mel scale is a quasi-logarithmic function of acoustic frequency designed such that perceptually similar pitch intervals appear equal in width over the full hearing range. Tuning band-pass filters to the mel scale

The MFCCs were extracted from a filterbank of 40 Melfrequency bands and 13 discrete cosine transform coefficients.

## 3. DEEP CONVOLUTIONAL NETWORKS

A deep learning system for classification is built by stacking multiple layers of weakly nonlinear transformations, whose parameters are jointly optimized such that the top-level layer fits a training set of labeled examples. This section introduces a typical deep learning architecture for audio classification and describes the functioning of each layer.

The input of our system is a constant-Q wavelet scalogram, which is very comparable to a mel-frequency spectrogram. We used the implementation from the librosa

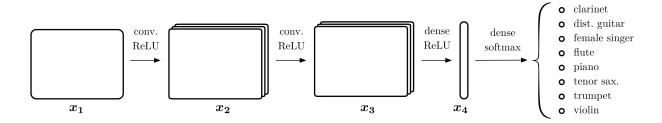


Figure 2: Architecture of a convolutional network with full weight sharing. See text for details.

package [5] with Q=12 filters per octave, center frequencies ranging from 55 Hz to 14 kHz (8 octaves from A1 to A9), and a hop size of 23 ms. Furthermore, we applied nonlinear perceptual weighting of loudness in order to reduce the dynamic range between the fundamental partial and its upper harmonics. A 3-second sound excerpt  $\boldsymbol{x}[t]$  is represented by a time-frequency matrix  $\boldsymbol{x}_1[t,k_1]$  of width T=128 samples and height  $K_1=96$  frequency bands.

Each layer in a convolutional network typically consists in the composition of three operations: two-dimensional convolutions, application of a pointwise nonlinearity, and local pooling. A convolutional operator is defined as a family  $\mathbf{W_2}[\tau, \kappa_1, k_2]$  of  $K_2 = 32$  two-dimensional filters, whose impulse repsonses are all constrained to have width  $\Delta t$  and height  $\Delta k_1$ . Element-wise biases  $\mathbf{b_2}[k_2]$  are added to the convolutions, resulting in the three-way tensor

$$y_{2}[t, k_{1}, k_{2}]$$

$$= b_{2}[k_{2}] + W_{2}[t, k_{1}, k_{2}] ** x_{1}[t, k_{1}]$$

$$= b_{2}[k_{2}] + \sum_{\substack{0 \leq \tau < \Delta t \\ 0 \leq \kappa_{1} < \Delta k_{1}}} W_{2}[\tau, \kappa_{1}, k_{2}] x_{1}[t - \tau, k_{1} - \kappa_{1}]. (1)$$

The pointwise nonlinearity we have chosen the *rectified linear unit* (ReLU) because of its popularity in computer vision and its computational efficiency.

$$\mathbf{y_2^+}[t, k_1, k_2] = \max(\mathbf{y_2}[t, k_1, k_2], 0)$$
 (2)

The pooling step consists in retaining the maximal activation among neighboring units in the time-frequency domain  $(t, k_1)$  over non-overlapping rectangles of width  $\Delta t$  and height  $\Delta k_1$ .

$$\boldsymbol{x_2}[t, k_1, k_2] = \max_{\substack{0 \le \tau < \Delta t \\ 0 \le \kappa_1 < \Delta k_1}} \left\{ \boldsymbol{y_2^+}[t - \tau, k_1 - \kappa_1, k_2] \right\} \quad (3)$$

The hidden units in  $x_2$  are in turn fed to a second layer of convolutions, ReLU, and pooling. Observe that the convolutional operator  $W_3[\tau, \kappa_1, k_2, k_3]$  performs a linear combination of time-frequency feature maps in  $x_2$  along the channel variable  $k_2$ .

$$y_{3}[t, k_{1}, k_{3}] = \sum_{k_{2}} b_{3}[k_{2}, k_{3}] + W_{3}[t, k_{1}, k_{2}, k_{3}] * x_{2}[t, k_{1}, k_{2}]. (4)$$

$$y_4[k_4] = \sum_{t,k_1,k_3} W_4[t,k_1,k_3,k_4] x_3[t,k_1,k_3]$$
 (5)

We apply a ReLU to  $y_4$ , yielding  $x_4[k_4] = y_4^+[k_4]$ .  $y_5[k_5] = \sum_{k_4} W_5[k_4, k_5] x_4[k_4]$ .

$$\boldsymbol{x_5}[k_5] = \frac{\exp \boldsymbol{y_5}[k_5]}{\sum_{\kappa_5} \exp \boldsymbol{y_5}[\kappa_5]}$$
(6)

The above ensures that the coefficients of  $x_5$  are non-negative and sum to one, hence can be fit to a probability distribution.

$$\mathscr{L}(\boldsymbol{x_5}, \mathcal{I}) = -\sum_{k_5 \in \mathcal{I}} \log \boldsymbol{x_5}[k_5] + \sum_{m=1}^{4} \lambda_m \|\boldsymbol{W_m}\|_2. \quad (7)$$

The goal is to minimize the average loss  $\mathcal{L}(x_5, \mathcal{I})$  for across all pairs  $(x, \mathcal{I})$  in the training set.

The network is trained on categorical cross-entropy over shuffled mini-batches of size 512 with uniform class distribution. Each training example is a 3-second spectrogram whose boundaries are taken at random within the training set. The learning rate policy for each scalar weight in the network is *Adam* [4], a state-of-the-art online optimizer for gradient-based learning. The architecture was built using the Keras library, and trained on a Titan X graphics processing unit within a few minutes.

### 4. LIMITED WEIGHT SHARING

An Euclidean division of  $k_1$  by Q yields  $k_1 = j_1 \times Q + \chi_1$ .

$$y_{2}[t, k_{1}, k_{2}] = b_{2}[j_{1}, k_{2}] + W_{2}[t, \chi_{1}, j_{1}, k_{2}] * x_{1}[t, \chi_{1}, j_{1}].$$
 (8)

Limited weight sharing has been introduced by Abdel-Hamid et al. [1].

#### 5. SINGLE-INSTRUMENT CLASSIFICATION

#### 5.1 Experimental design

In order to train the proposed algorithms, we used MedleyDB v1.1. [2], a dataset of 122 multitracks annotated

|               | minutes | tracks | minutes | tracks |
|---------------|---------|--------|---------|--------|
| clarinet      | 10      | 7      | 13      | 18     |
| dist. guitar  | 15      | 14     | 17      | 11     |
| female singer | 10      | 11     | 19      | 12     |
| flute         | 7       | 5      | 53      | 29     |
| piano         | 58      | 28     | 44      | 15     |
| tenor sax.    | 3       | 3      | 6       | 5      |
| trumpet       | 4       | 6      | 7       | 27     |
| violin        | 51      | 14     | 49      | 22     |
| total         | 158     | 88     | 208     | 139    |

Table 1

| Representation                  | Error rate (%) |
|---------------------------------|----------------|
| MFCC & random forest            | _              |
| ConvNet, full weight sharing    | _              |
| ConvNet, limited weight sharing | _              |

Table 2

with instrument activations as well as melodic  $f_0$  curves when present. We extracted the monophonic stems corresponding to a selection of eight pitched instruments [see Figure 2]. Stems with leaking instruments in the background were discarded. The evaluation set consists of 120 recordings of solo music collected by Joder et al. [3]. We discarded recordings with extended instrumental techniques, since they are under-represented in MedleyDB.

#### 5.2 Results

Results are charted in Table 2.

#### 6. POLYPHONIC CLASSIFICATION

## 6.1 Experimental design

## 6.2 Results

Results are charted in Table 3.

### 7. CONCLUSIONS

Understanding the influence of pitch in audio streams is paramount to the design of an efficient system for automated classification, tagging, and similarity retrieval in music.

| Representation                  | Error rate (%) |
|---------------------------------|----------------|
| MFCC & random forest            | _              |
| ConvNet, full weight sharing    | _              |
| ConvNet, limited weight sharing | _              |

Table 3

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