# OptiSVR Spectral Refractive Index Prediction System

A spectrometer refractive index prediction system based on artificial intelligence algorithm.

# **0. Update Instructions**

- The graphical framework has been upgraded to the PyQT5 architecture, better suited for large-scale project requirements.
- Opening web page files no longer relies on the Edge browser; instead, the system default browser is used.
- Added a page refresh function.
- Further beautified the graphical interface for a more modern look.

#### 1. Software Overview

This software is an Al-based spectrometer refractive index prediction system. It adopts a transformer architecture and uses a Support Vector Regression (SVR) algorithm and a clustering regression model. Through multiple training sessions and hyperparameter adjustments, the best weights are obtained, and the optimal pre-trained model is saved to achieve the goal of predicting the spectrometer's refractive index.

The prediction approach involves interpolating the measured data to convert it into an incident angle-deviation angle image, and then predicting the image curve. This method can accurately predict the refractive index characteristics of the prism.

# 2. System Requirements

- Operating System: Windows 10/11
- Recommended Python Version: 3.9.6
- Installation of necessary Python libraries (see Installation Guide)
- Recommended Hardware Configuration: 16-core CPU, 16GB RAM

#### 3. Installation Guide

- 1. Install Python 3.9.6 (download from Python official website)
- 2. Install dependency libraries: Run the requirements.bat file. The requirements.txt file contains a detailed list of dependency libraries.

# 4. Software Startup

Run the main program file [Spectral\_Refractive\_Index\_Prediction\_System.py]. The system will display a welcome screen and enter the main interface after approximately 5-10 seconds.

#### 5. Main Functions

#### 5.1 Generate Theoretical Data

- **Function**: Generates theoretical refractive index images based on the physical characteristics of the prism.
- Operation:
  - 1. Click the "Generate Theoretical Data" button.
  - 2. The system will generate theoretical images in the ./template directory.
  - 3. Experimental data images will be generated in the ./actual\_data directory.

#### 5.2 Train Model

- Function: Uses theoretical data to train the prediction model.
- Operation:
  - 1. Ensure theoretical data has been generated (images exist in the ./template directory).
  - 2. Click the "Train Model" button.
  - 3. The training process will run in the background (progress can be viewed in the output window).
  - 4. After training is completed, the model will be saved in the saved\_models directory.

#### 5.3 Load Model

- **Function**: Loads a pre-trained model.
- Operation:
  - 1. Click the "Load Model" button.
  - 2. Select the directory of the previously trained model.
  - 3. Upon successful loading, the status bar will display "Loaded".

## 5.4 Import Experimental Data

The system provides two import methods:

#### 5.4.1 Import Raw Data

- Function: Imports raw data from experimental measurements and generates images.
- Operation:
  - 1. Click the "Import Data 1 (Raw Data)" button.
  - 2. Select a text file (.txt format) containing incident angles and deviation angles.
  - 3. The system will generate an interpolated curve and display it in the result area.

#### 5.4.2 Import Data and Predict up to 80 Degrees

- Function: Imports data and predicts up to an incident angle of 80 degrees.
- Operation:
  - 1. Click the "Import Data 2 (Plot up to 80 Degrees)" button.
  - 2. Select a text file containing incident angles and deviation angles.
  - 3. The system will generate a curve extended to 80 degrees and display it.

#### 5.5 Predict Refractive Index

- **Function**: Uses the loaded model to predict the prism's refractive index.
- Operation:
  - 1. Ensure the model is loaded and experimental data is imported.
  - 2. Click the "Predict Refractive Index" button.
  - 3. The system will display the prediction result (refractive index value and confidence level).

#### **5.6 View Optimization History**

- Function: Views the hyperparameter optimization process during model training.
- Operation:
  - 1. Ensure a model is loaded.
  - 2. Click the "View Optimization History" button.
  - 3. The system will display the optimization history chart in the default browser.

#### 5.7 View Visualization Results

- Function: Views visualization charts generated during the training process.
- Operation:
  - 1. Ensure a model is loaded.
  - 2. Click the "View Visualization Results" button.
  - 3. The system will display charts such as feature visualizations and cluster distributions in the result area.

# 6. File Structure Description

```
    ├─ logs/ # System logs
    ├─ img/ # System icons and welcome image
    ├─ Spectral_Refractive_Index_Prediction_System.pyw/ # Main program
    ├─ requirements.bat/ # Environment setup script
    └─ requirements.txt/ # Environment list
    ├─ Incident_Angle_and_Deviation_Angle_Data.txt/ # Example data
    └─ readme.pdf/ # User manual file
```

#### 7. Notes

- 1. Theoretical data must be generated before training the model.
- 2. A model must be loaded and experimental data imported before prediction.
- 3. The system records detailed logs in the logs/ directory.
- 4. The training process may take a relatively long time (2-5 minutes); please wait patiently.

# 8. Common Issue Handling

### Issue 1: "Insufficient valid samples" prompt during training

- Cause: Not enough images in the ./template directory.
- Solution:
  - 1. Click the "Generate Theoretical Data" button.
  - 2. Ensure there are enough images in the ./template directory (at least 10).

## **Issue 2: Inaccurate prediction results**

- Cause: Insufficient training data for the model.
- Solution:
  - 1. Generate more theoretical data (modify the refractive index range in the code).
  - 2. Increase the number of training samples.
  - 3. Retrain the model.

# 9. Technical Support

- Development Team: Wu Xun, Xu Yitian
- Development Team Affiliation: Zhejiang University of Technology
- Version: 1.2 (2025)

# 10. Exiting the System

Click "File"  $\rightarrow$  "Exit" in the menu bar or simply close the window to exit the system.

Note: This system uses advanced artificial intelligence technology. Prediction results are for reference only. Please combine with physical experiments for verification in practical applications.

The folder already contains some pre-existing training weights.

# 中文简介

# OptiSVR分光计折射率预测系统

## 0. 更新须知

- 图形化框架升级为 PyQT5 架构, 更匹配大型项目需求
- 打开网页文件不再依赖Edge浏览器,而是使用系统默认浏览器
- 新增刷新页面功能
- 进一步美化图形界面,更加现代化

## 1. 软件概述

本软件是一个基于人工智能的分光计折射率预测系统,采用transformer架构,使用支持向量回归(SVR)算法和分簇回归模型,通过多次训练调整超参数得到最佳权重保存最佳预训练模型,以达到预测分光计折射率的目的。

预测思路是将测定的数据插值后转为入射角-偏向角图像,对图片曲线进行预测,能够准确预测棱镜的折射率特性。

## 2. 系统要求

- 操作系统: Windows 10/11
- 推荐使用 Python 3.9.6
- 安装必要的Python库 (见安装指南)
- 推荐硬件配置: 16核CPU, 16GB内存

# 3. 安装指南

- 1. 安装Python 3.9.6 (从Python官网下载)
- 2. 安装依赖库: 运行 requirements. bat 文件, requirements. txt 文件里有详细的依赖库列表

#### 4. 软件启动

运行主程序文件Spectral\_Refractive\_Index\_Prediction\_System.py ,系统将显示欢迎界面,预计5-10秒后进入主界面。

# 5. 主要功能

## 5.1 生成理论数据

- 功能:根据棱镜物理特性生成理论折射率图像
- 操作:
  - 1. 点击"生成理论数据"按钮
  - 2. 系统将在 ./template 目录下生成理论图像
  - 3. 在 ./actual\_data 目录下生成实验数据图像

#### 5.2 训练模型

- 功能: 使用理论数据训练预测模型
- 操作:
  - 1. 确保已生成理论数据 (./template 目录中有图像)
  - 2. 点击"训练模型"按钮
  - 3. 训练过程将在后台进行(可在输出窗口查看进度)
  - 4. 训练完成后,模型将保存在 saved\_mode1s 目录下

#### 5.3 加载模型

- 功能: 加载预训练的模型
- 操作:
  - 1. 点击"加载模型"按钮
  - 2. 选择之前训练好的模型目录
  - 3. 加载成功后, 状态栏将显示"已加载"

#### 5.4 导入实验数据

系统提供两种导入方式:

#### 5.4.1 导入原始数据

- 功能:导入实验测量的原始数据并生成图像
- 操作:
  - 1. 点击"导入数据1(原始数据)"按钮
  - 2. 选择包含入射角和偏向角的文本文件(.txt格式)
  - 3. 系统将生成插值曲线并显示在结果区域

#### 5.4.2 导入数据并预测至80度

- 功能: 导入数据并预测至入射角80度
- 操作:
  - 1. 点击"导入数据2(绘图到80度)"按钮
  - 2. 选择包含入射角和偏向角的文本文件
  - 3. 系统将生成扩展至80度的曲线并显示

### 5.5 预测折射率

- 功能: 使用加载的模型预测棱镜折射率
- 操作:
  - 1. 确保已加载模型并导入实验数据
  - 2. 点击"预测折射率"按钮
  - 3. 系统将显示预测结果 (折射率值和置信度)

#### 5.6 查看优化历史

- 功能: 查看模型训练时的超参数优化过程
- 操作:
  - 1. 确保已加载模型
  - 2. 点击"查看优化历史"按钮
  - 3. 系统将在默认浏览器中显示优化历史图表

#### 5.7 查看可视化结果

- 功能: 查看训练过程中的可视化图表
- 操作:
  - 1. 确保已加载模型
  - 2. 点击"查看可视化结果"按钮
  - 3. 系统将在结果区域显示特征可视化、聚类分布等图表

## 6. 文件结构说明

```
项目目录
├─ template/
              # 理论数据图像
├─ actual_data/
               # 实验数据图像
├── saved_models/ # 保存的训练模型
  └─ run_20250101_123456/ # 按时间戳命名的模型目录
     ├── models/
                     # 模型文件
     └─ results/
                  # 训练结果图表
├─ resnet50/ # 预训练模型
 └─ resnet50_weights_tf_dim_ordering_tf_kernels_notop.h5/ # resnet50模型文件
├─ tables/
           # 实验数据表(CSV格式)
├─ logs/
                # 系统日志
                 # 系统图标和欢迎图片
— img/
├─ Spectral_Refractive_Index_Prediction_System.pyw/ # 主程序
├─ requirements.bat/ # 环境安装程序
└─ requirements.txt/ # 环境列表
├─ 入射角和偏向角数据.txt/# 示例数据
             # 使用说明文件
└─ readme.pdf/
```

## 7. 注意事项

- 1. 训练模型前需先生成理论数据
- 2. 预测前需先加载模型并导入实验数据
- 3. 系统会记录详细日志在 logs/目录下
- 4. 训练过程可能较长时间(2-5分钟), 请耐心等待

# 8. 常见问题处理

## 问题1: 训练时提示"有效样本不足"

• 原因: ./template 目录中没有足够图像

• 解决:

1. 点击"生成理论数据"按钮

2. 确保 ./template 目录中有足够图像 (至少10张)

#### 问题2: 预测结果不准确

• 原因:模型训练数据不足

• 解决:

- 1. 生成更多理论数据 (修改代码中的折射率范围)
- 2. 增加训练样本数量
- 3. 重新训练模型

# 9. 技术支持

• 开发团队名单: 吴迅 徐一田

• 开发团队单位: 浙江工业大学

• 版本: 1.2 (2025)

# 10. 退出系统

点击菜单栏"文件"→"退出"或直接关闭窗口即可退出系统。

提示:本系统使用先进的人工智能技术,预测结果仅供参考,实际应用时请结合物理实验验证。

文件夹中已经包含了一部分原有的训练权重。