

# Knowledge Aware Dialogue Generation

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### Outline



- Introduction
- Commonsense Knowledge Aware Conversation Generation with Graph Attention
- Emotional Chatting Machine: Emotional Conversation
   Generation with Internal and External Memory
- Summary

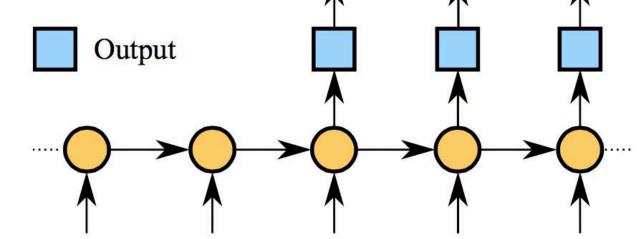


# Seq2Seq



#### **RNN Unit:**

$$h_t = \operatorname{sigm} \left( W^{\operatorname{hx}} x_t + W^{\operatorname{hh}} h_{t-1} \right)$$
 $y_t = W^{\operatorname{yh}} h_t$ 



#### Goal:

$$p(y_1,\ldots,y_{T'}|x_1,\ldots,x_T) = \prod_{t=1}^{T'} p(y_t|v,y_1,\ldots,y_{t-1})$$



# Seq2Seq



- Machine Translation
  - Source language sequence to target language sequence
  - Attention strengthen the key information because the corresponding words and phrase between two languages
  - Statistical translated sentence
- Dialogue System
  - Post sequence to response sequence in the same language
  - Attention strengthen the context information for generating response
  - Relevant and grammatical sentence



### Difference



- Machine Translation
  - Certainty with the same meaning
  - Context-free
  - Statistical without understanding
  - No knowledge
  - Easy to evaluate

- Dialogue System
  - Uncertainty with diverse meanings
  - Context-dependent
  - Understanding is critical in some cases
  - Need knowledge
  - Hard to evaluate





# Commonsense Knowledge Aware Conversation Generation with Graph Attention

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<sup>1</sup>Tsinghua University, Beijing, China

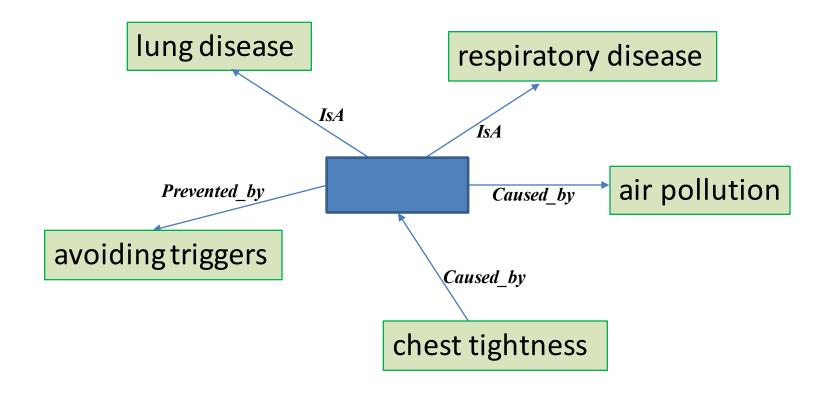
<sup>2</sup>Beijing Institute of Technology, China

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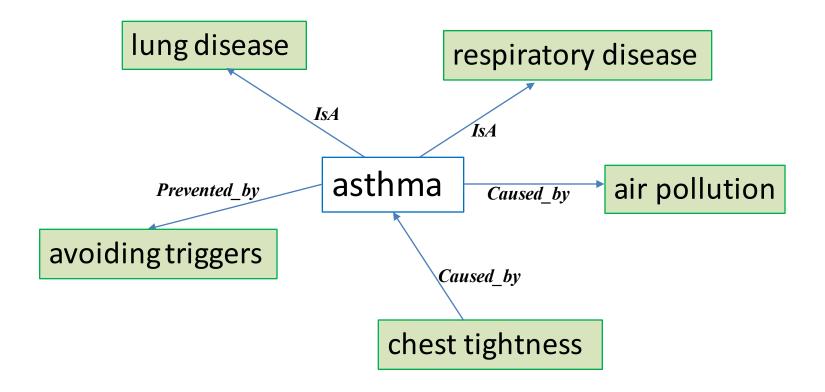
- Commonsense knowledge consists of facts about the everyday world, that all humans are expected to know.
  - Lemons are sour
  - Trees have leaves
  - Dogs have four legs
- Commonsense Reasoning ~ Winograd Schema Challenge:
  - The trophy would not fit in the brown suitcase because it was too big. What was too big?
  - The trophy would not fit in the brown suitcase because it was too small.
    What was too small?









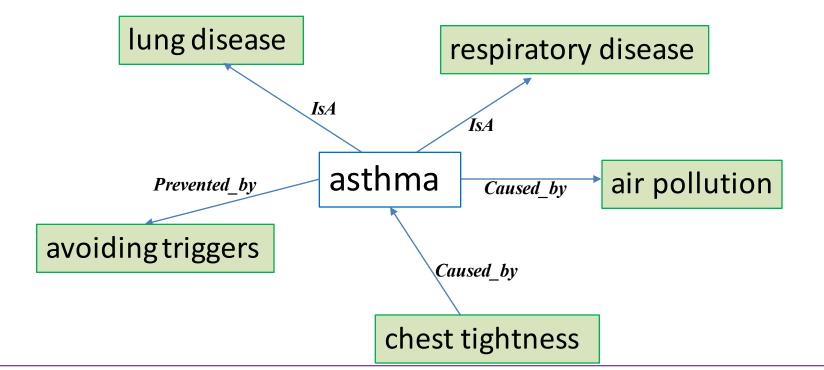






#### I have an asthma since three years old.

Triples in knowledge graph:
(chest tightness, Caused\_by, asthma)
(asthma, Prevented\_by, avoiding triggers)



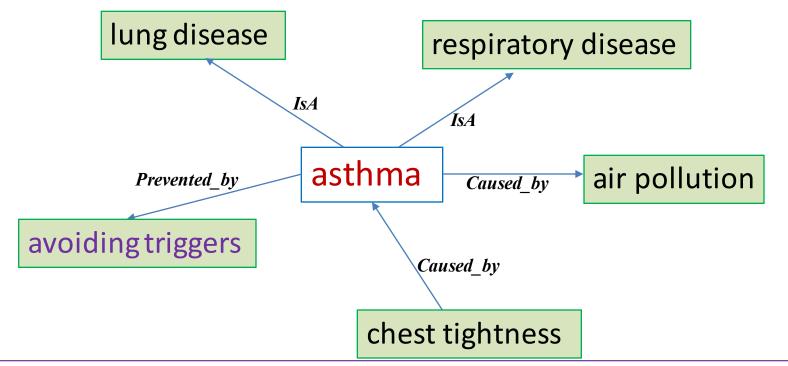




#### I have an asthma since three years old.

Triples in knowledge graph:
(chest tightness, Caused\_by, asthma)
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I am sorry to hear that. Maybe avoiding triggers can prevent asthma attacks.

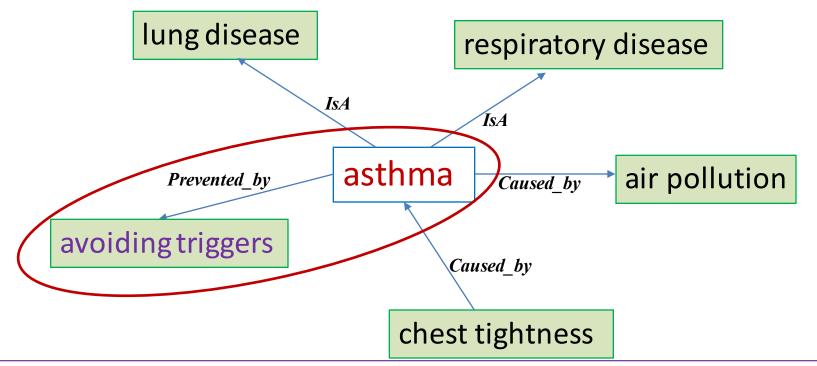




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- Conversation is all about semantic understanding
- Commonsense knowledge is important for language understanding and generation

Post	Why are you so <b>breakable</b> ?
	(glass, RelatedTo, breakable),
Knowledge	(brittle, RelatedTo, breakable),
	(rule, RelatedTo, breakable)
Seq2Seq	I'm not a OOV, i 'm just a OOV.
MemNet	I'm not <b>OOV</b> . I'm just a really nice person.
CopyNet	I'm not. I'm just a lurker.
CCM	Because I'm a brittle man.



### Related Work



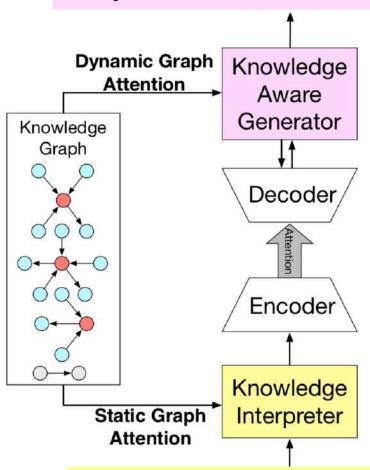
- A knowledge-grounded neural conversation model [Ghazvininejad et al., 2017].
- Flexible end-to-end dialogue system for knowledge grounded conversation [Zhu et al., 2017].
- Seq2Seq, Memory Network, Copy Network ...



#### Overview



**Output: Because I'm a brittle man.** 



Decoding words by attending to knowledge graphs and then to triples

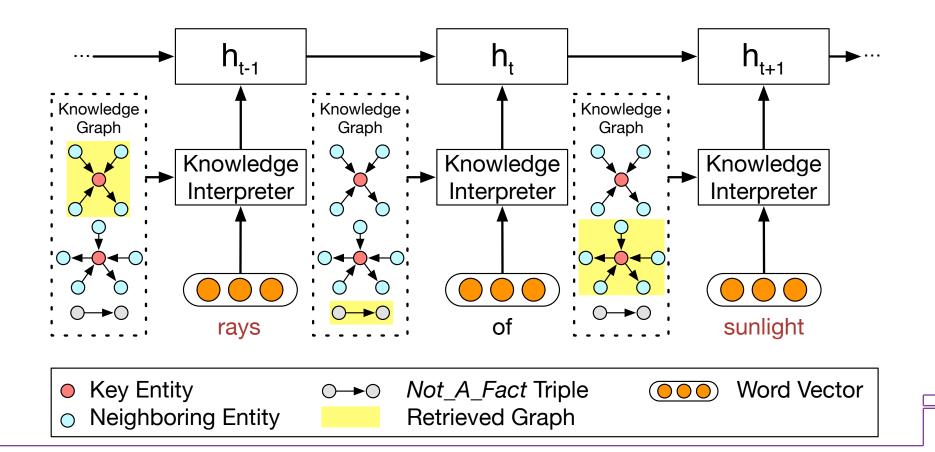
Encoding the retrieved knowledge graphs for each word

Input: why are you so breakable?

### Model



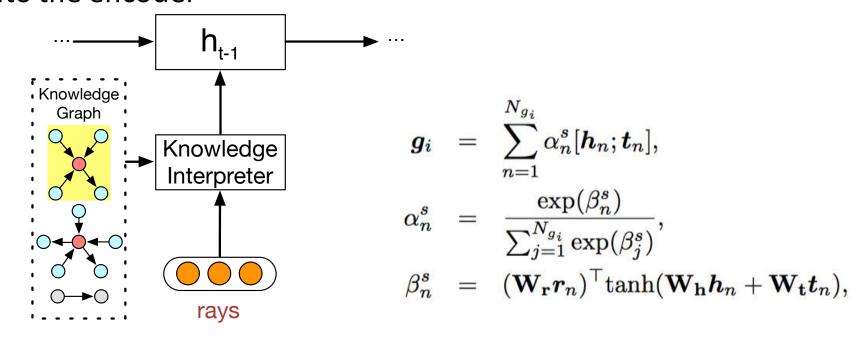
#### • Knowledge Interpreter



# Knowledge Interpreter

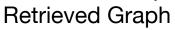


 Static graph attention: encoding semantics in graph, feeding knowledge-enhanced info. into the encoder



- Key Entity
- Neighboring Entity
- O**→**O ∧

Not\_A\_Fact Triple





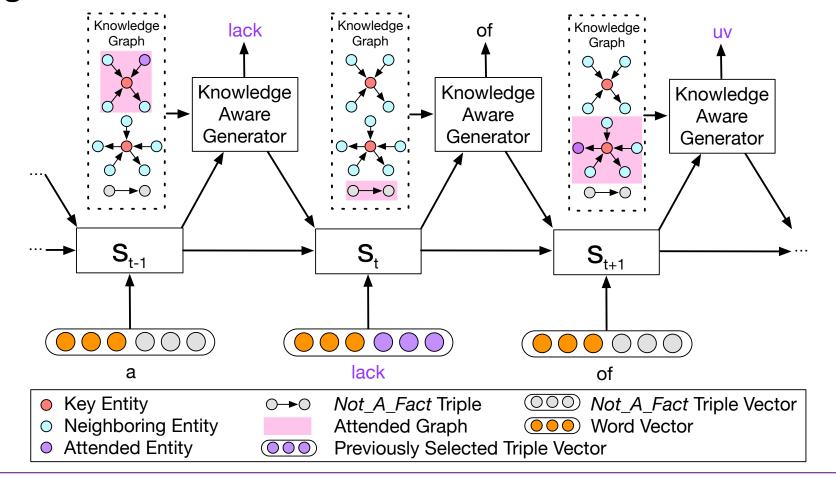
**Word Vector** 



### Model

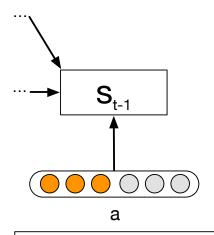


Knowledge Aware Generator





**Dynamic graph attention**: first attend a graph, then to a triple within that graph



$$egin{array}{lll} oldsymbol{s}_{t+1} &=& \mathbf{GRU}(oldsymbol{s}_t, [oldsymbol{c}_t; oldsymbol{c}_t^g; oldsymbol{c}_t^k; oldsymbol{e}(y_t)]), \ oldsymbol{e}(y_t) &=& [oldsymbol{w}(y_t); oldsymbol{k}_j], \end{array}$$

- Key Entity
- **Neighboring Entity**
- Attended Entity

 $\bigcirc$ 

- Not A Fact Triple Attended Graph

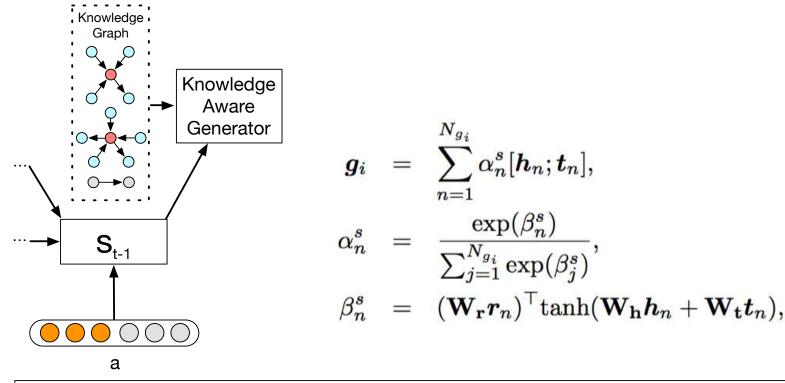
OOO Not A Fact Triple Vector

**Word Vector** 

**Previously Selected Triple Vector** 







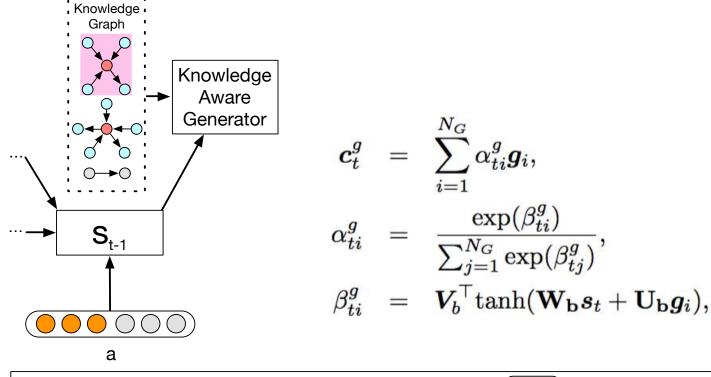
- Key Entity
- Neighboring Entity
- Attended Entity
- Not A Fact Triple
- Not\_A\_Fact Triple Vector
- Attended Graph
- **Word Vector**

- (000)
- Previously Selected Triple Vector





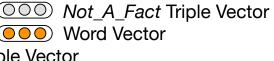
• Dynamic graph attention: first attend a graph, then to a triple within that graph



- Key Entity
- Neighboring Entity
- Attended Entity
- O→O No

(000)

- Not\_A\_Fact Triple
  - Attended Graph
  - Previously Selected Triple Vector



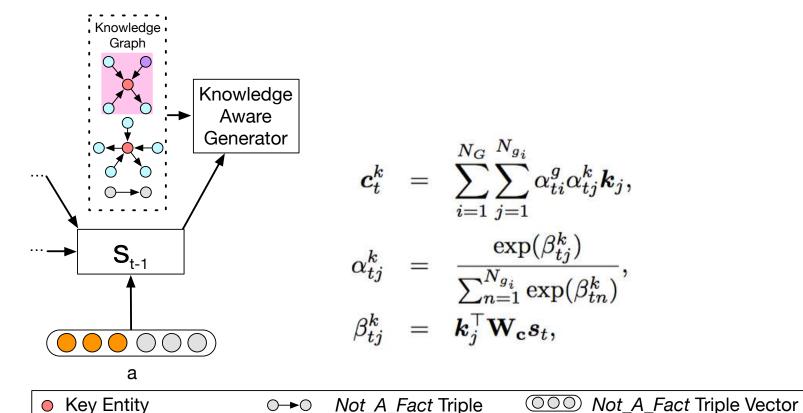


**Neighboring Entity** 

Attended Entity



• Dynamic graph attention: first attend a graph, then to a triple within that graph



(000)

Attended Graph

**Previously Selected Triple Vector** 

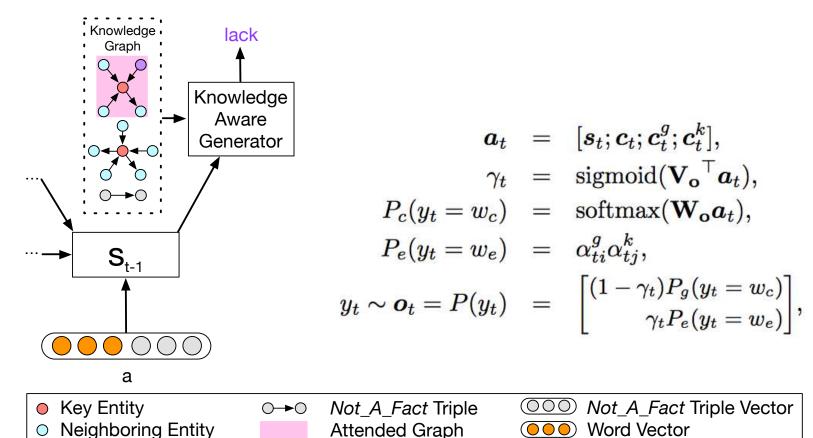
**Word Vector** 

Attended Entity

(000)

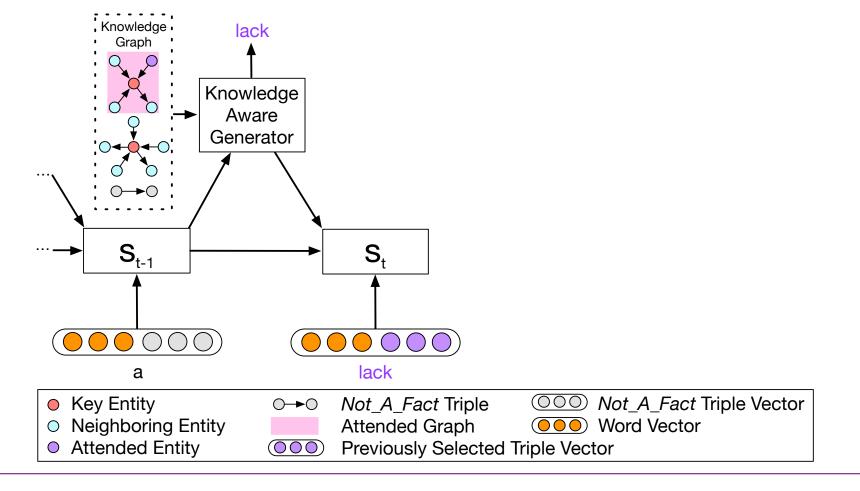


Dynamic graph attention: first attend a graph, then to a triple within that graph



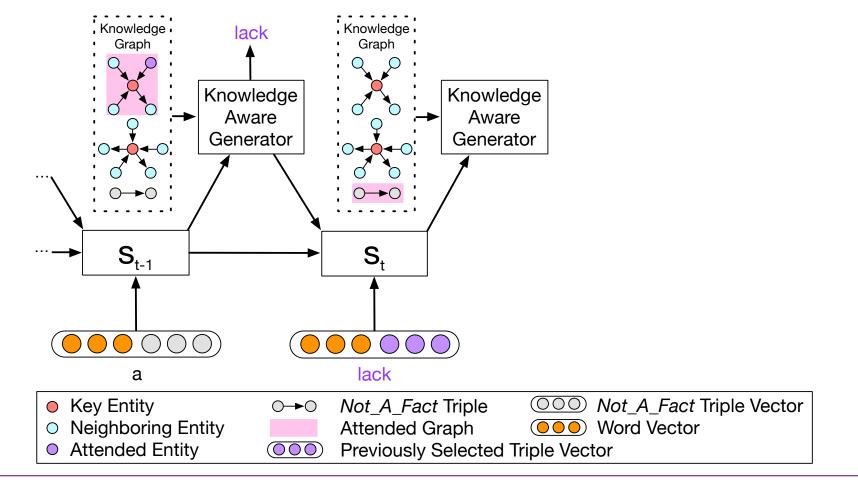
Previously Selected Triple Vector





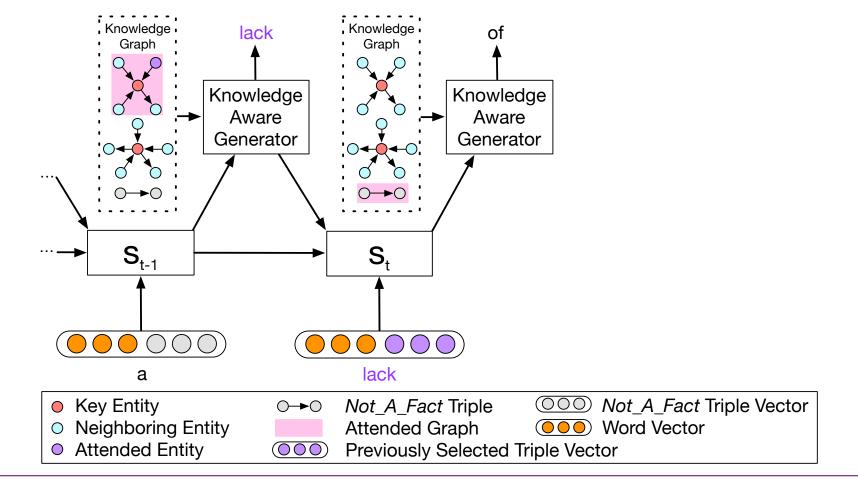




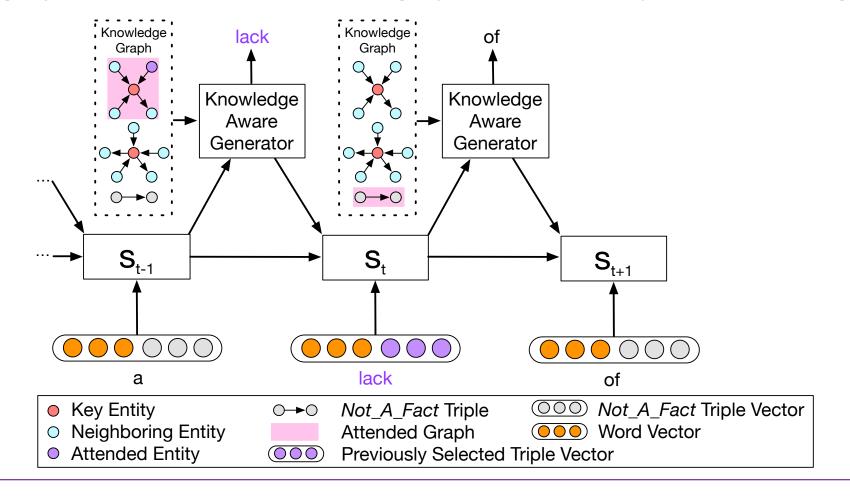






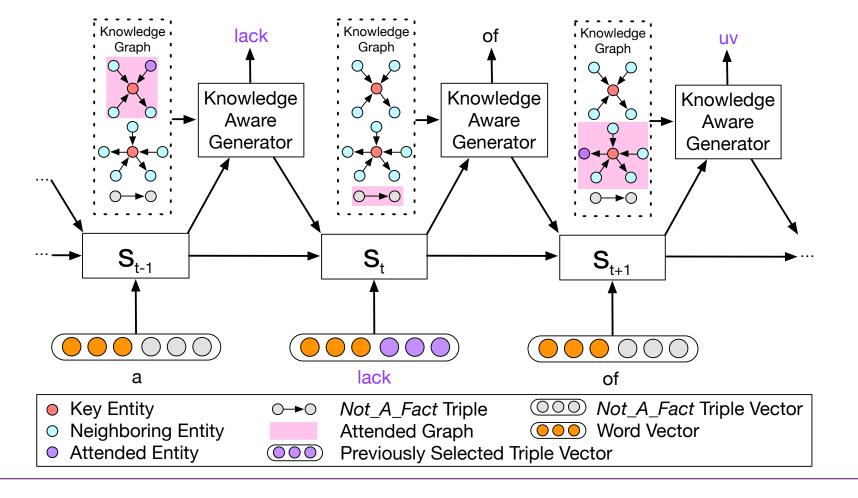
















#### Commonsense Conversation Dataset

- We used the ConceptNet as our commonsense knowledge base which was released by MIT.
- We adopted 10M reddit single-round dialogs from Internet.
- ◆ To test how commonsense knowledge can help understand common or rare concepts in a post, we constructed four test sets from high-frequency to OOV. Each test set has 5,000 pairs randomly sampled from the dataset.

Conversati	ional Pairs	Commonsense KB			
Training	3,384,185	Entity	21,471		
Validation	10,000	Relation	44		
Test	20,000	Triple	120,850		

Table 1: Statistics of the dataset and the knowledge base.





#### Automatic Evaluation

Model	Overall		High Freq.		Medium Freq.		Low Freq.		OOV	
	ppx.	ent.	ppx.	ent.	ppx.	ent.	ppx.	ent.	ppx.	ent.
Seq2Seq	47.02	0.717	42.41	0.713	47.25	0.740	48.61	0.721	49.96	0.669
MemNet	46.85	0.761	41.93	0.764	47.32	0.788	48.86	0.760	49.52	0.706
CopyNet	40.27	0.96	36.26	0.91	40.99	0.97	42.09	0.96	42.24	0.96
CCM	39.18	1.180	35.36	1.156	39.64	1.191	40.67	1.196	40.87	1.162

#### Manual evaluation (Sign-test, p-value<0.005)</p>

Model	Overall		High Freq.		Medium Freq.		Low Freq.		OOV	
	app.	inf.	app.	inf.	app.	inf.	app.	inf.	app.	inf.
CCM vs. Seq2Seq	0.616	0.662	0.605	0.656	0.549	0.624	0.636	0.650	0.673	0.716
CCM vs. MemNet	0.602	0.647	0.593	0.656	0.566	0.640	0.622	0.635	0.626	0.657
CCM vs. CopyNet	0.600	0.640	0.606	0.669	0.586	0.619	0.610	0.633	0.596	0.640





**Post**: He proposed March 5th. We will be married October 10th. So 7 months

**Knowledge**: (engaged, RelatedTo, married), (wedding, RelatedTo, married), (miss, DistinctFrom, married), (March, RelatedTo, months)

- Seq2Seq: I'm not sure how to answer that. I'm not sure how to make a
  date.
- MemNet: I'm assuming he's married to a gay marriage?
- CopyNet: I'm sorry, I'm not sure what you mean by that.
- **CCM**: I'm pretty sure he's going to be **engaged** in the next 6 **months**.





Post: Totally thought it was going to be doug's grave.

Knowledge: (thought, RelatedTo, thinking), (doug, HasProperty,

dead), (dead, RelatedTo, grave), (grave, RelatedTo, death)

- Seq2Seq: I was thinking the same thing.
- MemNet: I thought it was a dead end.
- CopyNet: I think it's a bit of a stretch.
- CCM: I thought it was doug's death. I was thinking the same thing.



#### Conclusion



 This work is the first attempt that uses large-scale commonsense knowledge in neural conversation generation.

• Instead of treating knowledge triples (or entities) separately and independently, we devise static and dynamic graph attention mechanisms to treat the knowledge triples as a graph, from which we can better interpret the semantics of an entity from its neighboring entities and relations.





# Emotional Chatting Machine: Emotional Conversation Generation with Internal and External Memory

Hao Zhou†, Minlie Huang†\*, Tianyang Zhang†, Xiaoyan Zhu†, Bing Liu‡

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‡University of Illinois at Chicago, Illinois, USA



- Emotion intelligence is a key human behavior for intelligence (Salovey and Mayer, 1990; Picard and Picard, 1997)
- Understanding emotion and affect is important for dialogue and conversation
  - Enhance user performance
  - Improve user satisfaction
  - Fewer breakdowns
- Rule-based emotion adaptation
  - Seen in early dialogue systems





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#### conversation

- Enhance user performance
- Improve user satisfaction
- Fewer breakdowns
- Rule-based emotion adaptation
  - Seen in early dialogue systems

User: Worst day ever. I arrived late because of the traffic.

Chatbot (Basic Seq2seq): You were late.

ECM (Like): I am always here to support you.

ECM (Happiness): Keep smiling! Things will

get better.

ECM (Sadness): It's depressing.

ECM (Disgust): Sometimes life just sucks.

ECM (Anger): The traffic is too bad!

#### Overview



# Perceiving and Expressing emotion by machine Closer to human-level intelligence

Post Response

Response

Emotion Tagged data

Classifier

Post Response

Response

Happy Angry Sad ....

User: Worst day ever. I arrived late because of the traffic.

Chatbot (Basic Seq2seq): You were late. ECM (*Like*): I am always here to support you. ECM (*Happiness*): Keep smiling! Things will get better.

ECM (Sadness): It's depressing.

ECM (*Disgust*): Sometimes life just sucks. ECM (*Anger*): The traffic is too bad!



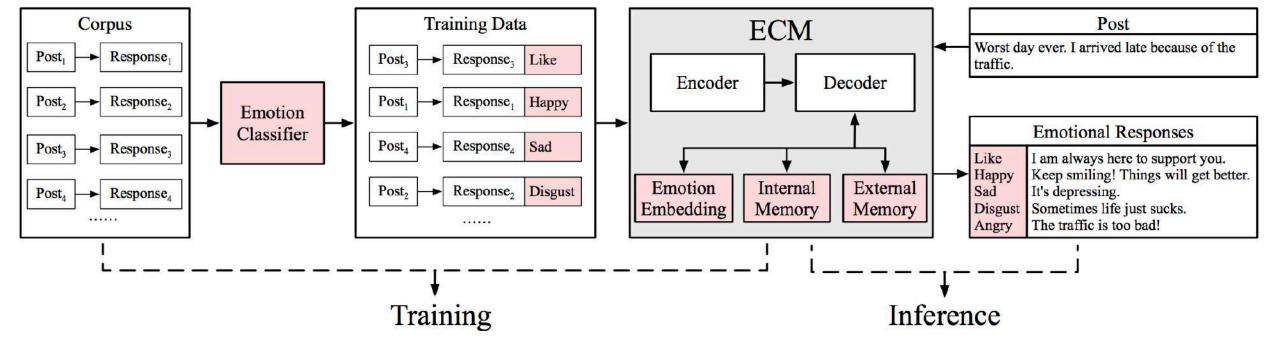
**Emotional Chatting Machine** 



#### Overview

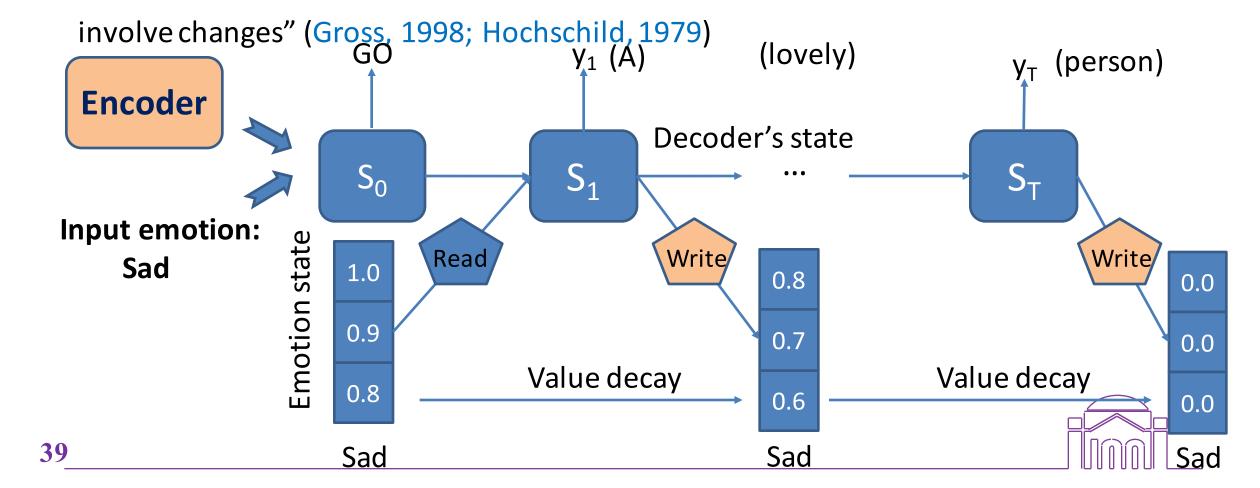


- Emotion category embedding: High level abstraction of emotions
- Emotion internal memory: Capturing the change of emotion state during decoding
- Emotion external memory: Treating emotion/generic words differentially





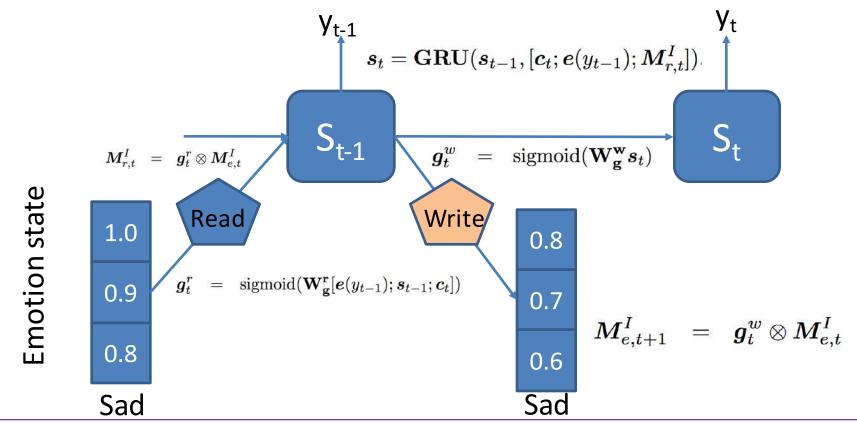
Emotion internal memory: "emotional responses are relatively short lived and





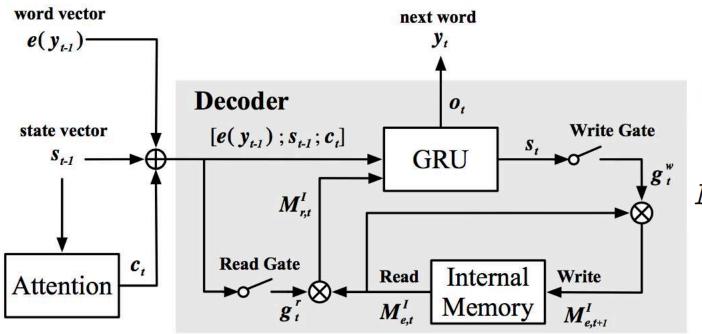
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involve changes" (Gross, 1998; Hochschild, 1979)





 Emotion internal memory: "emotional responses are relatively short lived and involve changes" (Gross, 1998; Hochschild, 1979)



$$\mathbf{g}_t^r = \operatorname{sigmoid}(\mathbf{W}_{\mathbf{g}}^{\mathbf{r}}[\mathbf{e}(y_{t-1}); \mathbf{s}_{t-1}; \mathbf{c}_t]), 
\mathbf{g}_t^w = \operatorname{sigmoid}(\mathbf{W}_{\mathbf{g}}^{\mathbf{w}} \mathbf{s}_t).$$

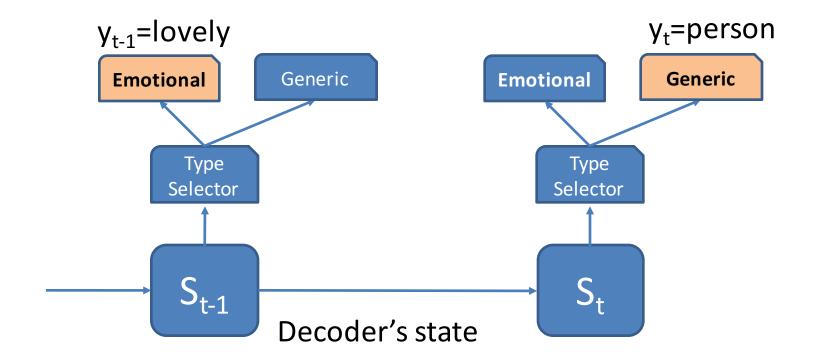
$$egin{array}{lcl} oldsymbol{M}_{r,t}^I &=& oldsymbol{g}_t^r \otimes oldsymbol{M}_{e,t}^I, \ oldsymbol{M}_{e,t+1}^I &=& oldsymbol{g}_t^w \otimes oldsymbol{M}_{e,t}^I, \end{array}$$

$$s_t = \mathbf{GRU}(s_{t-1}, [c_t; e(y_{t-1}); M_{r,t}^I]).$$





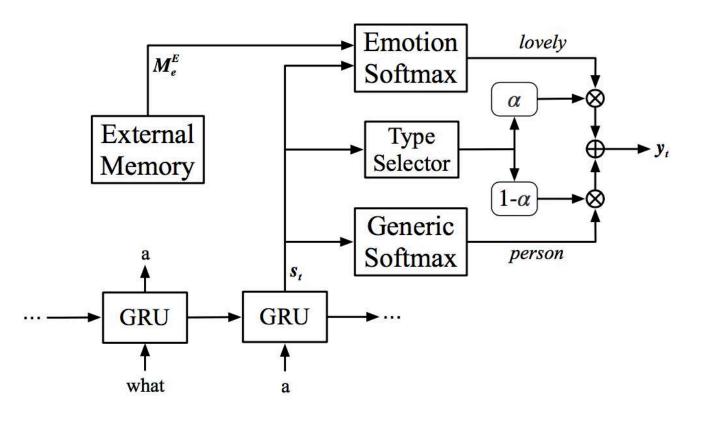
Emotion external memory: generic words (person) and emotion words (lovely)







Emotion external memory: generic words (person) and emotion words (lovely)



$$egin{array}{lll} egin{array}{lll} P_g(y_t = w_g) &=& \operatorname{softmax}(\mathbf{W_e^o} s_t), \\ egin{array}{lll} P_e(y_t = w_e) &=& & & & & & & & \\ & & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ &$$





Emotion Classification Dataset: the Emotion Classification Dataset of

NLPCC 2013&2014

- ◆ 23,105 sentences collected from Weibo
- STC dataset: a conversation dataset from (Shang et al., 2015)
  - 219,905 posts and 4,308,211 responses
  - Each post has about 20 responses





Method	Perplexity	Accuracy		
Seq2Seq	68.0	0.179		
Emb	62.5	0.724		
ECM	65.9	0.773		
w/o Emb	66.1	0.753		
w/o IMem	66.7	0.749		
w/o EMem	61.8	0.731		

Pref. (%)	Seq2Seq	Emb	<b>ECM</b>
Seq2Seq	-	38.8	38.6
Emb	60.2	4/30	43.1
<b>ECM</b>	61.4	56.9	-

Table 3. Preference Test

Table 1. Automatic Evaluation

Method	Overall		Like		Sad		Disgust		Angry		Нарру	
	Cont.	Emot.	Cont.	Emot.	Cont.	Emot.	Cont.	Emot.	Cont.	Emot.	Cont.	Emot.
Seq2Seq	1.255	0.152	1.308	0.337	1.270	0.077	1.285	0.038	1.223	0.052	1.223	0.257
Emb	1.256	0.363	1.348	0.663	1.337	0.228	1.272	0.157	1.035	0.162	1.418	0.607
<b>ECM</b>	1.299	0.424	1.460	0.697	1.352	0.313	1.233	0.193	0.98	0.217	1.428	0.700

Table 2. Manual Evaluation



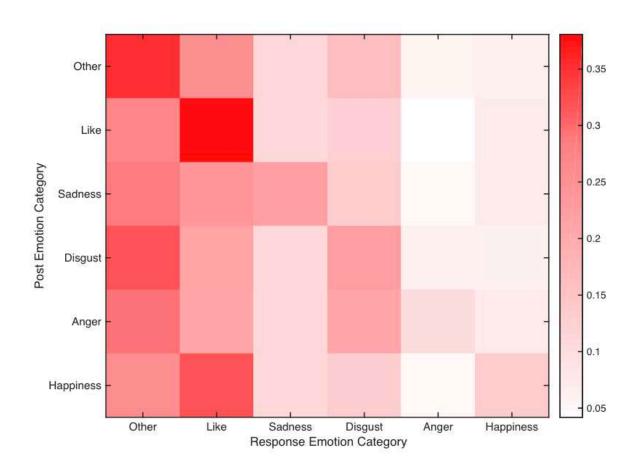


Post	Model		Response(Original)	Response(Translated)		
承诺,就是一个骗子说给一个傻子听的。 A promise is what a cheater says to a fool.	Seq2Seq		承诺,就是一个骗子说给一个傻子听的。	A promise is what a cheater says to a fool.		
	ECM	Like	承诺是一个专一的傻子	Promise is a single-minded fool		
		Happy	我就是一个开心的傻子	I'm just a happy fool		
		Sad	我就是一个 <mark>悲伤</mark> 的傻子	I am a sad fool		
		Disgust	一个骗子说给一个傻子听	A cheater says to a fool		
		Angry	不管你信不信,反正我信了!	Whatever you believe or not, I trust in it!		
知道真相的我眼泪笑下来 Knowing the truth, I laughed myself to tears	Seq2Seq		知道真相的我眼泪掉下来。	After knowing the truth, I burst into tears.		
	ECM	Like	太可爱了,太可爱了!	Too lovely, it's too lovely.		
		Нарру	笑得我眼泪都出来了。	I can't help laugh myself to tears.		
		Sad	知道真相的我 <mark>眼泪</mark> 掉下来。	After knowing the truth, I burst into tears.		
		Disgust	这是真的还是假的?	Is this true?		
		Angry	什么意思?没看懂。	What do you mean? I don't understand.		





#### Emotion Interaction Patterns (EIPs)



Like → Like (empathy)

Sadness → Sadness (empathy)

Sadness → Like (comfort)

Disgust → Disgust (empathy)

Disgust → Like (comfort)

Anger → Disgust

Happiness → Like



#### Conclusion



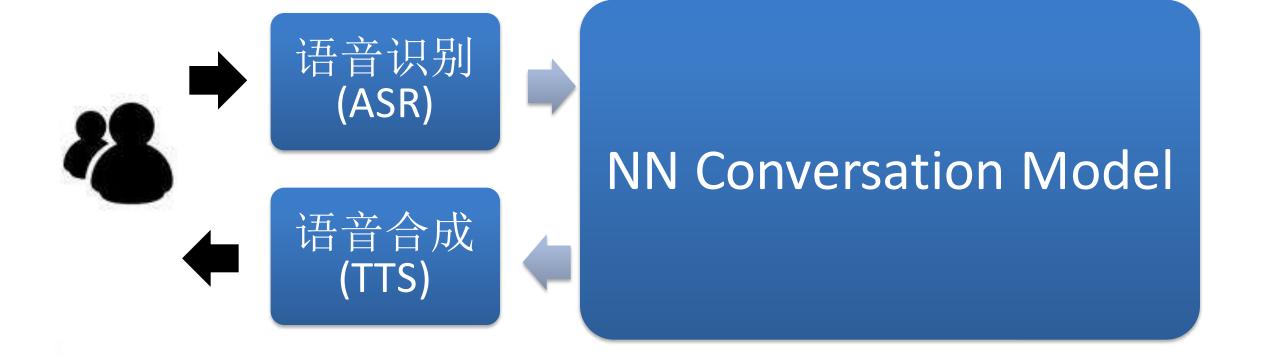
- It proposes an end-to-end framework (called ECM) to incorporate the emotion influence in large-scale conversation generation. It has three novel mechanisms: emotion category embedding, an internal emotion memory, and an external memory.
- It shows that ECM can generate responses with higher content and emotion scores than the traditional Seq2Seq model.

 We believe that future work such as the empathetic computer agent and the emotion interaction model can be carried out based on ECM.



### Summary







## Thanks for your attention!

Q&A