

Introduction to Algorithms Analysis

Data Structures and Algorithms (094224)

Tutorial 1

Winter 2022/23

צוות הקורס

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- Tutorials do not replace lectures. It is highly recommended to attend both
- Tutorials are based on lectures. It is highly recommended to go over last lecture before tutorial
- All tutorial slides are mandatory material, even if not learned in class
- Homework submissions must be uploaded to Moodle. Submission will remain open for an extra 48 hours after official deadline. **Any submission that will not be uploaded to Moodle will be graded 0** (exceptions are only according to Technion regulations)
- Email guidelines (email subject must contain the keyword **DS_Algs**)

1 Asymptotic notation

2 Running time function

3 Insertion sort

Asymptotic Notation

The functions we consider:

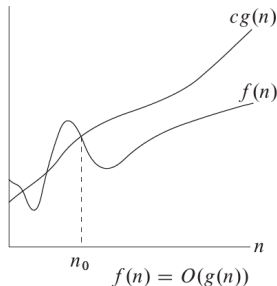
- Domain = $\mathbb{Z}_{\geq 0}$
 - Sometimes: $\mathbb{Z}_{>0}$, $\mathbb{R}_{\geq 0}$, $\mathbb{R}_{>0}$
- Range = \mathbb{R}
- **Asymptotically positive:**
there exists $n_0 > 0$ such that $f(n) > 0$ for all $n \geq n_0$

Big O notation

Definition (Big O)

Function $f(n)$ belongs to the class $O(g(n))$ if there exist positive constants c and n_0 such that $0 \leq f(n) \leq cg(n)$ for all $n \geq n_0$.

- Interpreted as $f \leq g$
- Often write $f(n) = O(g(n))$ rather than $f(n) \in O(g(n))$

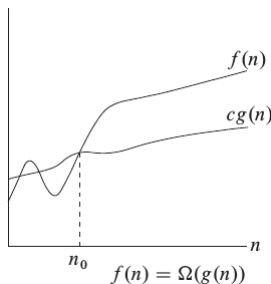


Big Ω notation

Definition (Big Ω)

Function $f(n)$ belongs to the class $\Omega(g(n))$ if there exist positive constants c and n_0 such that $0 \leq cg(n) \leq f(n)$ for all $n \geq n_0$.

- Interpreted as $f \geq g$
- Often write $f(n) = \Omega(g(n))$ rather than $f(n) \in \Omega(g(n))$

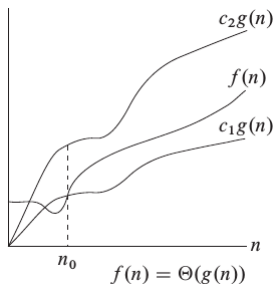


Θ notation

Definition (Θ)

Function $f(n)$ belongs to the class $\Theta(g(n))$ if there exist positive constants c_1 , c_2 , and n_0 such that $0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$ for all $n \geq n_0$.

- Interpreted as $f = g$
- Often write $f(n) = \Theta(g(n))$ rather than $f(n) \in \Theta(g(n))$



Little o notation

Definition (Little o)

Function $f(n)$ belongs to the class $o(g(n))$ if for any constant $c > 0$, there exists a constant $n_0 > 0$ such that $0 \leq f(n) < cg(n)$ for all $n \geq n_0$.

- Interpreted as $f \prec g$ (strict inequality)
- Often write $f(n) = o(g(n))$ rather than $f(n) \in o(g(n))$

Definition (Little ω)

Function $f(n)$ belongs to the class $\omega(g(n))$ if for any constant $c > 0$, there exists a constant $n_0 > 0$ such that $0 \leq cg(n) < f(n)$ for all $n \geq n_0$.

- Interpreted as $f \not\sim g$ (strict inequality)
- Often write $f(n) = \omega(g(n))$ rather than $f(n) \in \omega(g(n))$

Asymptotic notation definition using limits

- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \iff f(n) \in o(g(n))$
- $\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0 \iff f(n) \in \omega(g(n))$
- $\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = c \implies f(n) \in \Theta(g(n))$

Question 1

Prove:

$$f(n) = \Theta(g(n)) \iff f(n) = O(g(n)) \wedge f(n) = \Omega(g(n))$$

Solution:

- Direction \implies
- There exist constants $c_1, c_2, n_0 > 0$ such that $0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$ for all $n \geq n_0$
- We will prove there exist constants $c_3, c_4, n_3, n_4 > 0$ such that $0 \leq c_3 g(n) \leq f(n)$ for all $n \geq n_3 \implies f(n) = \Omega(g(n))$ and $0 \leq f(n) \leq c_4 g(n)$ for all $n \geq n_4 \implies f(n) = O(g(n))$
- Set $c_3 = c_1, c_4 = c_2$ and $n_3 = n_4 = n_0$ to complete the proof

- Direction \Leftarrow
- There exist constants $c_1, c_2, n_1, n_2 > 0$ such that
 $0 \leq c_1 g(n) \leq f(n)$ for all $n \geq n_1$ and
 $0 \leq f(n) \leq c_2 g(n)$ for all $n \geq n_2$
- We will prove there exist constants $c_3, c_4, n_3 > 0$ such that
 $0 \leq c_3 g(n) \leq f(n) \leq c_4 g(n)$ for all $n \geq n_3$
- Set $c_3 = c_1$, $c_4 = c_2$ and $n_3 = \max\{n_1, n_2\}$ to complete the proof

Question 2

Prove/Disprove:

Given that for every $n \geq 2^{100}$ it holds $0 \leq f(n) \leq g(n) \leq h(n)$ and $f(n) = \Omega(h(n))$ then $f(n) = \Omega(g(n))$

Solution (the claim is true):

- $f(n) = \Omega(h(n)) \implies$ there exist constants $c, n_0 > 0$ such that $0 \leq ch(n) \leq f(n)$ for every $n \geq n_0$
- For every $n \geq 2^{100}$ it holds $0 \leq g(n) \leq h(n)$ thus $0 \leq cg(n) \leq ch(n)$ for every $n \geq 2^{100}$
- $0 \leq cg(n) \leq ch(n) \leq f(n)$ for every $n \geq \max\{2^{100}, n_0\}$
 $\implies f(n) = \Omega(g(n))$

Question 3

Prove/Disprove:

Given that $f(n) = \log_a(n)$ for some parameter $a > 1$ and $g(n) = \lg(n)$ then $f(n) = \Theta(g(n))$

Solution (the claim is true):

- $f(n) = \log_a(n) = \frac{\lg(n)}{\lg(a)}$
- The parameter a is a constant
- For $c_1 = c_2 = \frac{1}{\lg(a)}$ and $n_0 = 1$ it holds that

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n \geq n_0 \implies f(n) = \Theta(g(n))$$

Question 4

Let $p(n)$ be a degree d asymptotically positive polynomial i.e.
 $p(n) = \sum_{i=0}^d a_i n^i$, $a_d > 0$, $d \geq 0$. Prove $p(n) = \Theta(n^d)$.

Solution:

- According to question 1 it suffices to prove
 - $p(n) = O(n^d)$ and,
 - $p(n) = \Omega(n^d)$
- $p(n) = O(n^d)$:
- Denote by n_p the constant for which $p(n) > 0$ for all $n \geq n_p$
- Let $a_{\max} = \max\{a_0, \dots, a_d\}$. $a_d > 0$ thus $a_{\max} > 0$
- $p(n) = \sum_{i=0}^d a_i n^i \leq \sum_{i=0}^d a_{\max} n^i \leq a_{\max} \sum_{i=0}^d n^i \leq a_{\max}(d+1)n^d$
- For $c = a_{\max}(d+1)$ and for all $n \geq 1$, $p(n) \leq cn^d$
- For all $n \geq n_0 = \max\{1, n_p\}$ it holds that $0 \leq p(n) \leq cn^d$
 $\implies p(n) = O(n^d)$

Solution — cont.

- $p(n) = \Omega(n^d)$:
- If for all $0 \leq i \leq d$, $a_i \geq 0$ then, choose some c such that $0 < c \leq a_d$.
For every $n \geq 1$ it holds $0 \leq cn^d \leq p(n) \implies p(n) = \Omega(n^d)$
- Otherwise, let $a_{\min} = \min\{a_0, \dots, a_d\}$, $a_{\min} < 0$
- $p(n) = \sum_{i=0}^d a_i n^i \geq a_d n^d - \sum_{i=0}^{d-1} |a_{\min}| n^i \geq a_d n^d - |a_{\min}| d n^{d-1}$
- It is sufficient to find constants $c, n_0 > 0$ such that $0 \leq cn^d \leq a_d n^d - |a_{\min}| d n^{d-1}$ for every $n \geq n_0$
- Clearly, $c < a_d$ is a necessary condition
- Set $c = \frac{a_d}{2}$ and we are left to set n_0
- After setting c we get: $0 \leq \frac{a_d}{2} n^d \leq a_d n^d - |a_{\min}| d n^{d-1}$.
For $n \geq 1$, $\frac{a_d n^d}{2} > 0$ thus we can divide by $\frac{a_d n^d}{2}$
- $0 \leq 1 \leq 2 - \frac{2|a_{\min}|d}{a_d n}$. Set $n_0 = \left\lceil \frac{2|a_{\min}|d}{a_d} \right\rceil$ to complete the proof

- Proof using limit

- $\lim_{n \rightarrow \infty} \frac{p(n)}{n^d} = \lim_{n \rightarrow \infty} \frac{\sum_{i=0}^d a_i n^i}{n^d} = \lim_{n \rightarrow \infty} \left(a_d + \sum_{i=0}^{d-1} \frac{a_i}{n^{d-i}} \right) = a_d$

- Since $a_d > 0$ is a constant $\implies p(n) = \Theta(n^d)$

1 Asymptotic notation

2 Running time function

3 Insertion sort

Running time function

- Let I be an instance of size n ($|I| = n$), e.g. for sorting problem an instance is an array of size n with input in each cell
- Let $T_{\mathcal{A}}(I)$ be the running time of algorithm \mathcal{A} on input instance I
- The running time function of algorithm \mathcal{A} is

$$T_{\mathcal{A}}(n) = \max_{I: |I|=n} T_{\mathcal{A}}(I)$$

- Notice, the definition of $T_{\mathcal{A}}(n)$ corresponds to worst case analysis

Question 5

Prove/Disprove:

We are given an algorithm \mathcal{A} . If there exists an infinite series of instances $\{I_n\}$, $n \in \mathbb{Z}_{>0}$, $|I_n| = n$ such that the run time of algorithm \mathcal{A} on every instance I of size n in $\{I_n\}$ is $T_{\mathcal{A}}(I) = 20n^2 - 5n + 3$, then $T_{\mathcal{A}}(n) = \Omega(n^2)$.

Solution (the claim is true):

- Recall $T_{\mathcal{A}}(n) = \max_{I: |I|=n} T_{\mathcal{A}}(I)$
- For every instance I , $|I| = n$ in $\{I_n\}$ it holds $20n^2 - 5n + 3 = T_{\mathcal{A}}(I) \leq T_{\mathcal{A}}(n)$
- Thus, for every $n \in \mathbb{Z}_{>0}$, $20n^2 - 5n + 3 \leq T_{\mathcal{A}}(n)$
- There exist constants $c, n_0 > 0$ such that $0 \leq cn^2 \leq 20n^2 - 5n + 3$ for every $n \geq n_0$ (proved in question 4)
- Thus $0 \leq cn^2 \leq T_{\mathcal{A}}(n)$ for every $n \geq n_0 \implies T_{\mathcal{A}}(n) = \Omega(n^2)$

Question 6

Prove/Disprove:

We are given an algorithm \mathcal{A} . If there exists a constant $n_0 > 0$ and an infinite series of instances $\{I_n\}_{n \geq n_0}$, $|I_n| = n$, such that the run time of algorithm \mathcal{A} on every instance I of size n in $\{I_n\}$ satisfy $T_{\mathcal{A}}(I) \geq 20n^2 - 5n + 3$, then $T_{\mathcal{A}}(n) = \Omega(n^2)$.

Solution (the claim is true):

- For every instance I , $|I| = n \geq n_0$ in $\{I_n\}$ it holds $20n^2 - 5n + 3 \leq T_{\mathcal{A}}(I) \leq T_{\mathcal{A}}(n)$
- Thus, for every $n \geq n_0$, $20n^2 - 5n + 3 \leq T_{\mathcal{A}}(n)$
- There exists constants $c, n_1 > 0$ such that $0 \leq cn^2 \leq 20n^2 - 5n + 3$ for every $n \geq n_1$ (proved in question 4)
- Thus $0 \leq cn^2 \leq T_{\mathcal{A}}(n)$ for every $n \geq \max\{n_0, n_1\} \implies T_{\mathcal{A}}(n) = \Omega(n^2)$

Question 7

Prove/Disprove:

We are given an algorithm \mathcal{A} . If there exist constants $c, n_0 > 0$ such that for every $n \geq n_0$ there exists an instance I , $|I| = n$, such that $T_{\mathcal{A}}(I) \leq cn^2$, then $T_{\mathcal{A}}(n) = O(n^2)$.

Solution (the claim is false)

- The run time $T_{\mathcal{A}}(n)$ of algorithm \mathcal{A} is determined by the **worst case** instance of size n .
- The fact that on some instances the algorithm runs fast, does not rule out the existence of instances with greater run time

Question 8

Prove/Disprove:

We are given an algorithm \mathcal{A} . If $T_{\mathcal{A}}(n) = O(\lg(n))$, then there exist constants $c, n_0 > 0$ such that for every instance I , $|I| = n \geq n_0$ it holds that $T_{\mathcal{A}}(I) \leq c \lg(n)$.

Solution (the claim is true)

- $T_{\mathcal{A}}(n) = O(\lg(n)) \implies$ there exist constants $c, n_0 > 0$ such that $0 \leq T_{\mathcal{A}}(n) \leq c \lg(n)$ for every $n \geq n_0$
- For every I , $|I| = n$ it holds $T_{\mathcal{A}}(I) \leq T_{\mathcal{A}}(n)$
- Thus $T_{\mathcal{A}}(I) \leq c \lg(n)$ for every instance I such that $|I| = n \geq n_0$

Question 9

Analyze the run time of the following algorithm:

Q9(n)

```
1: for  $i = 0$  to  $n$  do  
2:   print  $i^2$ 
```

Solution:

- Each line by itself takes $\Theta(1)$ time
- Each iteration of the for loop requires $\Theta(1)$ time
- $\implies T_{Q9}(n) = (n + 1)\Theta(1) = \Theta(n + 1)\Theta(1) = \Theta(n)$

Question 10

Analyze the run time of the following algorithm:

Q10(n)

```
1: count = 0
2: for  $i = 0$  to  $n$  do
3:   for  $j = 0$  to  $n$  do
4:     print  $i + j$ 
5:     count + = 1
```

Solution:

- Line 1 requires $\Theta(1)$ time
- Each iteration of the for loop in lines 2-5 takes $\Theta(n)$ time
- $\implies T_{Q10}(n) = \Theta(1) + (n + 1)\Theta(n) = \Theta(n^2)$

Question 11

Analyze the run time of the following algorithm:

Q11(n)

```
1: for  $i = 0$  to  $n$  do  
2:   for  $j = 0$  to  $i$  do  
3:     print  $i + j$ 
```

Solution:

- Each iteration of the for loop in lines 1-3 takes at most $O(n)$ time
- $\implies T_{Q11}(n) \leq (n+1)O(n) = O(n^2)$
- For $\frac{n}{2} \leq i \leq n$ each iteration of the for loop in lines 1-3 takes at least $\Omega(\frac{n}{2}) = \Omega(n)$ time
- $\implies T_{Q11}(n) \geq \frac{n}{2}\Omega(n) = \Omega(n^2)$
- $\implies T_{Q11}(n) = \Theta(n^2)$

- 1 Asymptotic notation
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- 3 Insertion sort

Sorting Problem

The *sorting* (מייון) problem:

- **Input:** an array A of n numbers
- **Output:** reordering A so that $A[1] \leq A[2] \leq \dots \leq A[n]$

Insertion_Sort(A)

- 1: **for** $j = 2$ to $A.length$ **do**
- 2: $key = A[j]$
- 3: $i = j - 1$ ▷ insert key into the sorted sequence $A[1 \dots j - 1]$
- 4: **while** $i > 0$ and $A[i] > key$ **do**
- 5: $A[i + 1] = A[i]$
- 6: $i = i - 1$
- 7: $A[i + 1] = key$

Question 12

Show $T_{\text{Insertion_Sort}}(n) = \Omega(n^2)$.

Solution:

- Consider an array A of size n sorted in decreasing order
- In iteration j of the for loop the while loop makes at least $(j - 1)$ iterations
- Iteration j of the for loop takes $\geq \Omega(1) + (j - 1)\Omega(1)$ time
- $$T_{\text{Insertion_Sort}}(n) \geq T_{\text{Insertion_Sort}}(A) \geq \sum_{j=2}^n \Omega(1) + (j - 1)\Omega(1) \geq \Omega(1) \sum_{j=2}^n 1 + (j - 1) = \Omega(1) \sum_{j=2}^n j = \Omega(1) \frac{(n - 1)(2 + n)}{2} = \Omega(1) \cdot \Omega(n^2) = \Omega(n^2)$$
- From lecture: $T_{\text{Insertion_Sort}}(n) = O(n^2)$ thus $T_{\text{Insertion_Sort}}(n) = \Theta(n^2)$
- What is the running time of a sorted array A of size n ?
 - $T_{\text{Insertion_Sort}}(A) = \Theta(n)$ since each iteration j of the for loop takes only $\Theta(1)$ time