# Project Proposal

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March 14, 2022

#### 1 Introduction

Nowadays, machine learning has proven to be successful in data-intensive applications. However, when data is scarce, the performance of ML models is hampered. As a result, Few-Shot Learning (FSL) is proposed to tackle such a problem.

To introduce FSL, first recall the definition of machine learning: A computer program is said to learn from experience E with respect to some classes of task T and performance measure P if its performance can improve with E on T measured by P [3,7].

FSL <sup>1</sup> is a type of machine learning problems, where E contains a limited number of examples with supervised information of the target  $\mathbf{T}$  [5,7]. Specifically, given a learning task T, FSL deals with a data set  $D = \{D_{train}, D_{test}\}$  consisting of a training set  $D_{train} = \{(x_i, y_i)\}_{i=1}^{I}$ , where I is small, and a testing set  $D_{test} = \{x^{test}\}$  [7]. A FSL algorithm searches a hypothesis space  $\mathcal{H}$  to find the  $\theta$  that parameterizes the best  $h^* \in \mathcal{H}$  —its performance is measured by a loss function  $L(\hat{y}, y)$ , where  $\hat{y} = h(x; \theta)$ .

Fundamentally, in the supervised setting, given a hypothesis h the goal is to minimize its expected risk R which is the loss measured with respect to p(x,y), the ground-truth joint probability distribution of input x and output y. The empirical risk of the training set  $D_{train}$  of I samples is then:

$$R_I(h) = \frac{1}{I} \sum_{i=1}^{I} l(h(x_i), y_i)$$

<sup>&</sup>lt;sup>1</sup>Remark: When there is only one example with supervised information in E, FSL becomes one-shot learning. Additionally, if E has no examples for the target  $\mathbf{T}$ , FSL is then becomes a zero-shot learning problem [7].

However, due to I being small, empirical risk minimization is no longer reliable in FSL  $-R_I(h)$  may be far from being a good approximation of the expected risk R(h), and the resultant minimizer  $h_I$  overfits [1, 5-7].

To alleviate the problem of having an unreliable empirical risk minimizer in FSL supervised setting, prior knowledge is used. Specifically, according to [7], a FSL method use prior knowledge to either:

- (a). Augment  $D_{train}$  and increase I to  $\tilde{I}$ , where  $\tilde{I} \gg I$ . Other machine learning models and algorithms can then be used on the augmented data to produce a more accurate empirical risk minimizer  $h_I$ .
- (b). Constraint the complexity of  $\mathcal{H}$  to produce a smaller hypothesis space  $\tilde{\mathcal{H}}$  allowing  $D_{train}$  to be sufficient to learn a reliable  $h_I$ .
- (c). Search for the  $\theta$  which parameterizes the best hypothesis  $h^*$  in  $\mathcal{H}$ .

Altogether, this proposal aims to improve the robustness of an approach of the second FSL method type called prototypical network.

### 2 Prototypical Network

Prototypical network (ProtoNet) is an approach inspired from matching network proposed by Vinyals et al. [6]. It is worth noting both models utilize sampled mini-batches called episodes during training, where each episode is designed to mimic the few-shot task by sub-sampling classes and data points [5] —this use of episodic training (or meta-learning) enables models to be more robust.

Meanwhile, matching network meta-learns different embedding functions (f and g) for the training sample (support set)  $x_i$  and test sample (query set)  $x_{test}$ , and uses an attention mechanism to predict the classes for  $x_{test}$ . This approach can be thought as a weighted nearest-neighbor classifier applied within an embedding space [5–7]. In contrast, instead of comparing  $f(x_{test})$  with each  $g(x_i)$ , ProtoNet compares  $f(x_{test})$  with the class prototypes in  $D_{train}$  [5]. For class k, its prototype is computed as:

$$c_k = \frac{1}{N_k} \sum_{i=1}^{N_k} g_{\phi}(x_i)$$

where  $N_k$   $x_i$ 's are from class k and  $g_{\phi}(\cdot)$  is an embedding function with learnable parameters  $\phi$ .

Given a distance function  $d: \mathbb{R}^M \times \mathbb{R}^M \to [0, +\infty)$ , ProtoNet produces a distribution over classes for a query point  $x_q$  based on a softmax over distances to the prototypes in the embedding space [5]:

$$p_{\phi}(y = k | x_q, S) = \frac{\exp(-d(g_{\phi}(x_q), c_k))}{\sum_{k'} \exp(-d(g_{\phi}(x_q), c_{k'}))}$$

The model is then learned through minimizing the negative log-probability  $J(\phi) = -\log(p_{\phi}(y=k|x))$  of the true class k via stochastic gradient descent (SGD) [5]. Hence, the process of ProtoNet empirically leads to more stable results and reduces the computation cost in contrast to matching network [7].

## 3 Proposal

Learning in the presence of outliers is a challenge in machine learning. Since  $D_{train}$  is limited in the FSL setting, outliers in a small support set of N labeled examples  $S = \{(x_1, y_1), ..., (x_N, y_N)\}$ , where each  $x_i \in \mathbb{R}^D$  is a D-dimensional feature vector of an example and  $y_i \in \{1, ..., K\}$  is the corresponding label, can degrade prediction of the prototype  $c_k$  corresponding to  $S_k$  or the set of examples labeled with class k—the prototype representation of a certain class will be seriously drifted [9].

To alleviate such a problem, the prototype function  $c_k$  is proposed to be changed into a weighted average function <sup>2</sup>:

$$c_k = \frac{\sum_{i=1}^{N_k} g_{\phi}(x_i) \,\omega}{\sum_{m,n} \omega_{mn}}$$

where  $\omega \in \mathbb{R}^{M \times M}$  and  $g_{\phi}(x_i) \in \mathbb{R}^M$ .

Additionally, given the loss function  $J(\phi)$ , it is possible to apply trimmed regularization as a way to handle outliers [8]. In other words, outliers are handled by trimming observations (support samples in this case) with large residuals in terms of J: given a collection of n samples,  $D = \{Z_1, ..., Z_n\}$ , the problem is:

$$\underset{\phi \in \Omega, \, \omega \in \{0,1\}^n}{\text{minimize}} \sum_{i=1}^n \omega_i J(\phi, Z_i) \quad \text{s.t.} \sum_{i=1}^n \omega_i = n - h$$

 $<sup>^2</sup>$ I think  $c_k$  can be furthered improve in a different way —I am still thinking about it although.

where  $\Omega$  denotes the parameter space and this problem amounts to trimming h outliers as  $\phi$  is learned [8].

To compare results, experimentation is proposed to be carried out as delineated in [2]. In this work, Mazumder et al. [2] proposes an inference method RNNP that uses a nearest neighbor prototype-based based evaluation procedure to improve robustness. Since the computation of prototypes unknowingly utilizes corrupted support examples, RNNP first generate  $N_u$  number of unlabeled hybrid features via combining features of support images using a proportion hyperparameter  $\alpha$ :

$$x_u = \alpha \times x_i^{(k)} + (1 - \alpha) \times x_j^{(k)}$$

where  $x_u$  is the generated unlabeled hybrid feature,  $x_i^{(k)}$  and  $x_j^{(k)}$  are support samples of class k, where  $i \neq j$  and  $\alpha \in (0,1)$  [2].

Using the class prototypes  $c_k$  as the initial centroids, soft k-means clustering is performed on a combined set of support image features, unlabeled hybrid features, and a corresponding query image feature [2]. Soft labels are assigned to the support and hybrid features, and a single query feature. The centroids are then updated using these soft labels for three iterations to obtain the refined class prototypes  $c_k^*$ . Since the corrupted support features are close to the non-corrupted ones, this process aims to reduce the influence of the corrupted labels on the class prototypes. Finally,  $p_{\phi}(y = k, |x_q)$  is calculated.

$$p_{\phi}(y=k, |x_q) = \frac{\exp(-d(g_{\phi}(x_q), c_k^*))}{\sum_{k'} \exp(-d(g_{\phi}(x_q), c_{k'}^*))}$$

Altogether, the proposal aims to train a ProtoNet on the mini-ImageNet [6] and tiered-ImageNet [4] data sets with the proposed modifications: change  $c_k$  calculation and convert  $J(\phi)$  to a trimmed loss problem. Then, apply RNNP [2] for the evaluation phase and compare the results.

#### References

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