

# David Xiaoyu Xu

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## Employment

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### **Southern Methodist University, Edwin L. Cox School of Business**

Assistant Professor of Finance

2022–Present

## Education

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### **University of Texas at Austin, McCombs School of Business**

M.S., Ph.D. in Finance

2016–2022

### **Renmin University of China, Hanqing Institute**

M.Phil. in Finance

2016

### **Shanghai University of Finance and Economics**

B.A. in Economics

2013

## Academic Interests

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Financial Intermediation, Asset Management, Financial Regulation, Corporate Finance

## Research Papers

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Portfolio Dynamics and the Supply of Safe Securities

Liquidity Transformation and Information Production: An Analysis of Mutual Funds' Frozen Holdings (with Clemens Sialm)

What Do Lead Banks Learn from Leveraged Loan Investors? (with Max Bruche and Ralf Meisenzahl)

Fund Flows in the Shadow of Stock Trading Regulation (with Xiang Kang)

Why Do We Have So Many Funds? The Organizational Structure of Mutual Fund Families (with Jennifer Huang, Zhigang Qiu, and Yuehua Tang)

The Geography of Information Acquisition (with Honghui Chen, Yuanyu Qu, Tao Shen, and Qinghai Wang), *Journal of Financial and Quantitative Analysis*, 2022

## Honors and Awards

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QCGBF Young Economist Prize, Finalist, 2022

FMCG Best Paper Award in Banking/Financial Institution, 2022

Best Paper Award, Conference on Asia-Pacific Financial Markets, 2021

SYLFF Fellowship for International Business Research, 2021–2022

William W. and Ruth F. Cooper Endowed Presidential Fellowship in Business, 2019–2021

AFA Travel Grant, 2020

Dr. James C. Dolley Endowed Presidential Scholarship, 2018

Jastrow Fellowship Award, University of Texas at Austin, 2016

Guanghua Scholarship, Renmin University of China, 2015  
 Mark J. Bertus Best Paper Prize (runner-up), JURF, 2012  
 China National Scholarship, Ministry of Education of China, 2011

## Teaching

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As Instructor:

- Derivatives (Undergrad) 2023
- Investment Management (Undergrad) 2019
  - nominated for McCombs teaching excellence award

As Teaching Assistant:

- Financial Modelling & Testing (MSBA) 2020
- Investment Theory & Practice (MSBA) 2019, 2020
- Advanced Corporate Finance (MSBA) 2020
- Corporate Finance Theory (PhD) 2019, 2021
- Investment Management (Undergrad) 2017–2019
- Money and Capital Markets (Undergrad) 2016

## Seminar and Conference Presentations

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2023: UT Austin, USC Macro-Banking Group, Federal Reserve Board, and City University of Hong Kong, OFR Rising Scholars Conference, OCC Emerging Risk in Banking Symposium, Econometric Society North American Meetings

2022: NFA, CUHK, University of Washington, SAIF, HKUST, LSE, Rochester, SMU, BI Norwegian, Baruch College, FMCG, EFA, EEA-ESEM

2021: CKGSB, CUHK-Shenzhen, SUST, UT Austin, Princeton Young Economist Symposium, PhD Symposium on Financial Market Research & Policy Developments, FMA Doctoral Consortium, AEA Poster Session, CICF, CAFM

2020: MFA, AFA Poster Session, AFBC PhD Forum

2019 and earlier years: Professional Asset Management Conference, CICF, Energy and Commodity Finance Conference, FMA

## Referee Services

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Review of Financial Studies, American Economic Journal: Economic Policy, Journal of Financial and Quantitative Analysis, Journal of Financial Markets, Review of Finance, International Review of Finance, European Financial Management, Journal of Commodity Markets, Economics Letters

## Professional Activities

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Finance Theory Group Summer School, MIT Capital Markets Research Workshop, Mitsui Center Summer School on Structural Estimation in Corporate Finance

## Additional Information

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Programming: SAS, Python, Mathematica, Matlab, Stata, ArcGIS, L<sup>A</sup>T<sub>E</sub>X

Language: English (fluent), Chinese (native)

Passed level II of the CFA Program, 2014

Last update: January, 2024