

Design and Analysis of Algorithms

LECTURE 5

Divide and Conquer

- Merge & Quick Sort
- Binary search
- Powering a number
- Matrix Multiplication(Strassen's Algorithm)

Decrease and Conquer

- Insertion Sort
- DFS, BFS
- Topological Sort

The divide-and-conquer design paradigm

1. ***Divide*** the problem (instance) into subproblems.
2. ***Conquer*** the subproblems by solving them recursively.
3. ***Combine*** subproblem solutions.

Merge sort

- 1. *Divide*:** Trivial.
- 2. *Conquer*:** Recursively sort 2 subarrays.
- 3. *Combine*:** Linear-time merge.

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$$T(n) = 2T(n/2) + \Theta(n)$$

subproblems

subproblem size

work dividing
and combining

Master theorem (reprise)

$$T(n) = a T(n/b) + f(n)$$

CASE 1: $f(n) = O(n^{\log_b a - \varepsilon})$, constant $\varepsilon > 0$

$$\Rightarrow T(n) = \Theta(n^{\log_b a}) .$$

CASE 2: $f(n) = \Theta(n^{\log_b a} \lg^k n)$, constant $k \geq 0$

$$\Rightarrow T(n) = \Theta(n^{\log_b a} \lg^{k+1} n) .$$

CASE 3: $f(n) = \Omega(n^{\log_b a + \varepsilon})$, constant $\varepsilon > 0$,

and regularity condition

$$\Rightarrow T(n) = \Theta(f(n)) .$$

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CASE 3: $f(n) = \Omega(n^{\log_b a + \varepsilon})$, constant $\varepsilon > 0$,
and regularity condition
 $\Rightarrow T(n) = \Theta(f(n))$.

Merge sort: $a = 2, b = 2 \Rightarrow n^{\log_b a} = n^{\log_2 2} = n$
 \Rightarrow **CASE 2** ($k = 0$) $\Rightarrow T(n) = \Theta(n \lg n)$.

Quick sort

- 1. *Divide:*** Select a pivot and Divide the array into sub-arrays.
- 2. *Conquer:*** Recursively sort **the** subarrays.
- 3. *Combine:*** Linear-time combine.

Quicksort

- Proposed by C.A.R. Hoare in 1962.
- Divide-and-conquer algorithm.
- Sorts “in place” (like insertion sort, but not like merge sort).
- Very practical (with tuning).

Divide and conquer

Quicksort an n -element array:

- 1. Divide:** Partition the array into two subarrays around a **pivot** x such that elements in lower subarray $\leq x \leq$ elements in upper subarray.



- 2. Conquer:** Recursively sort the two subarrays.
- 3. Combine:** Trivial.

Key: *Linear-time partitioning subroutine.*

Partitioning subroutine

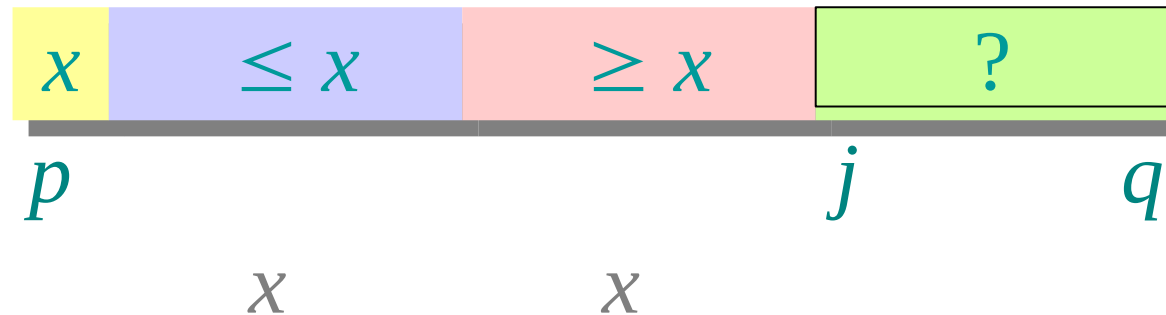
```

PARTITION( $A, p, q$ )  $\triangleright A[p \dots q]$ 
   $x \leftarrow A[p]$   $\triangleright \text{pivot} = A[p]$ 
   $i \leftarrow p$ 
  for  $j \leftarrow p + 1$  to  $q$ 
    do if  $A[j] \leq x$ 
      then  $i \leftarrow i + 1$ 
           exchange  $A[i] \leftrightarrow A[j]$ 
  exchange  $A[p] \leftrightarrow A[i]$ 
  return  $i$ 

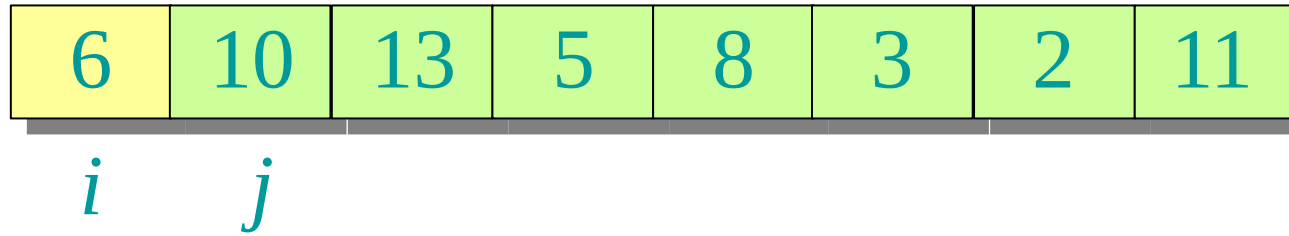
```

Running time
= $O(n)$ for n
elements.

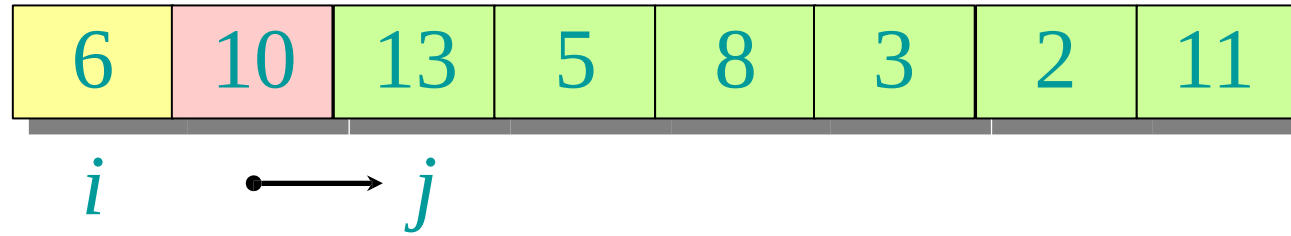
Invariant:



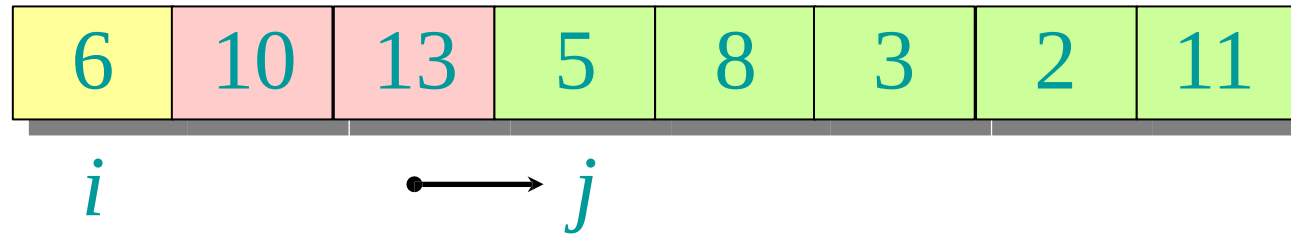
Example of partitioning



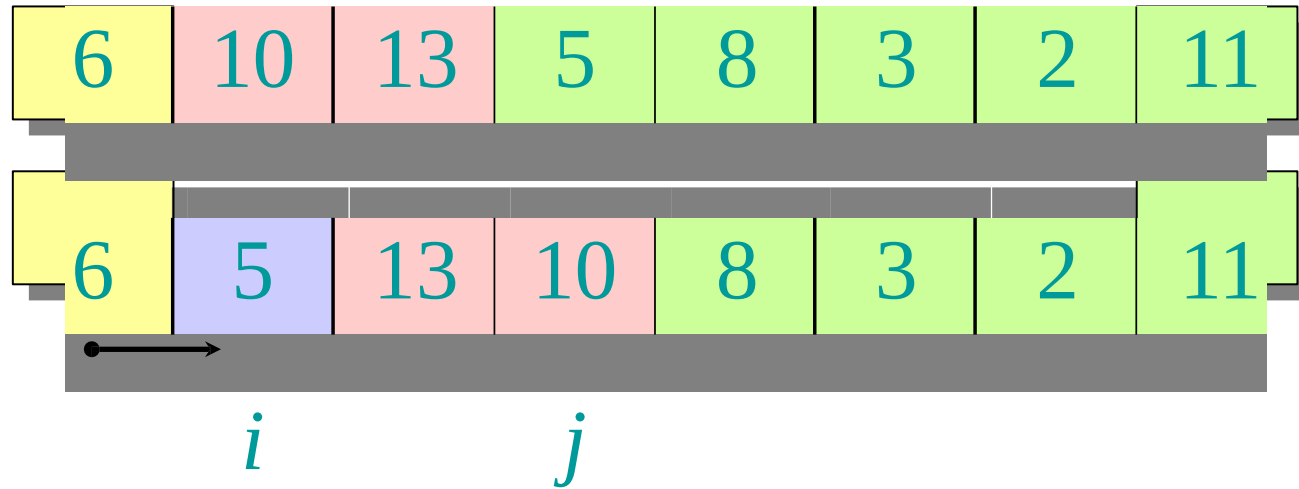
Example of partitioning



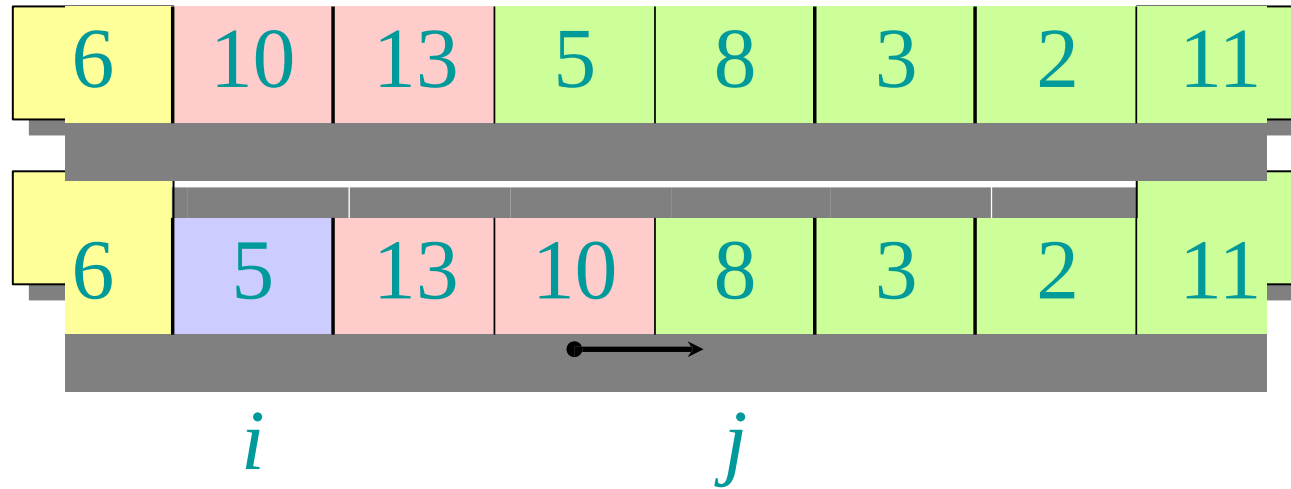
Example of partitioning



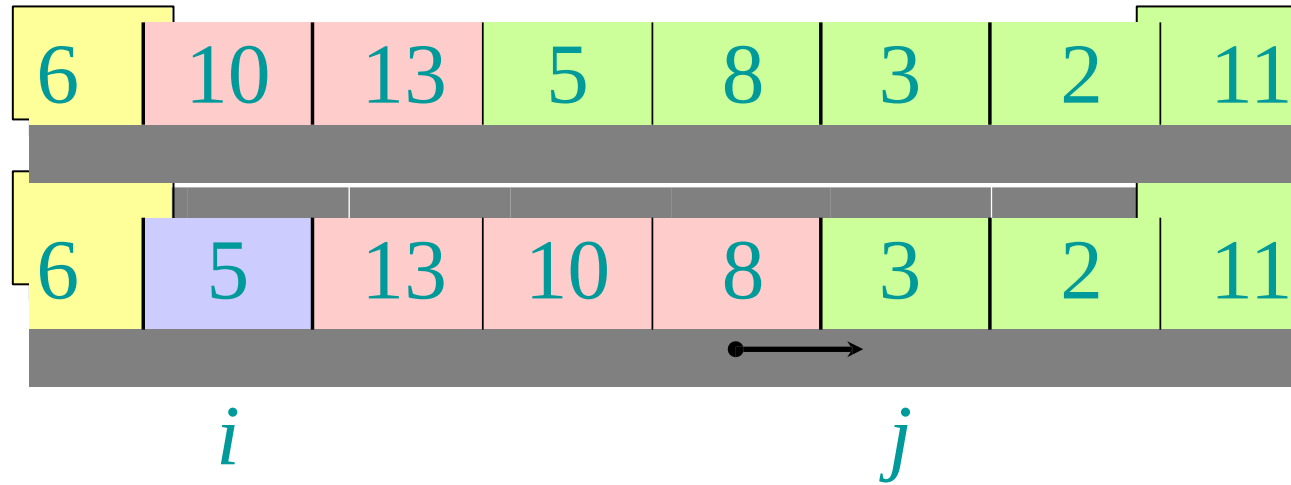
Example of partitioning



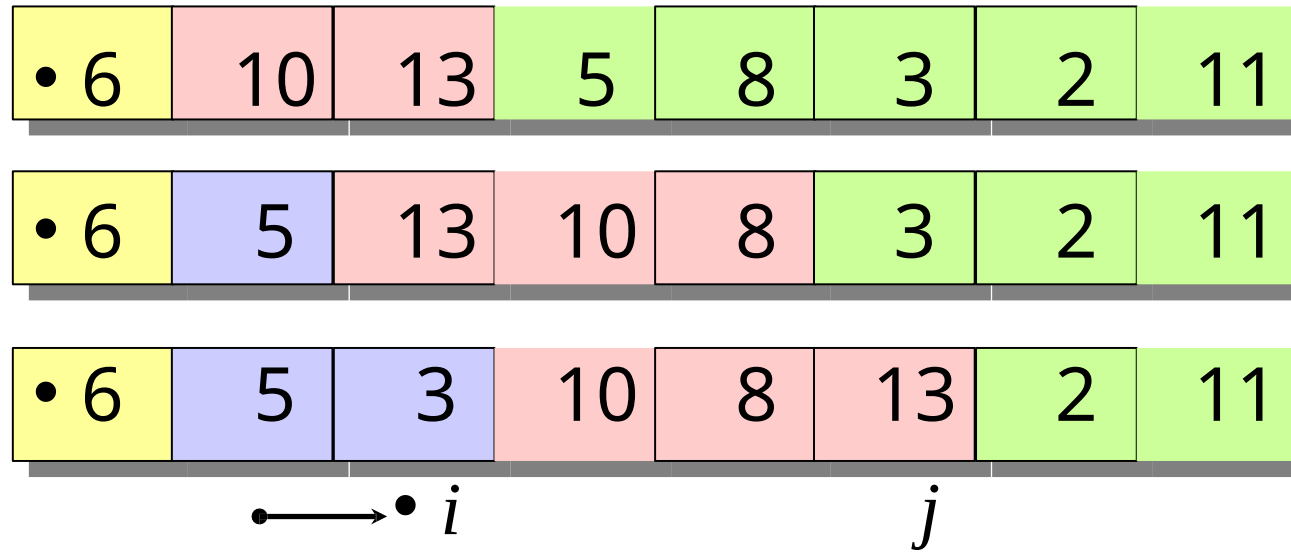
Example of partitioning



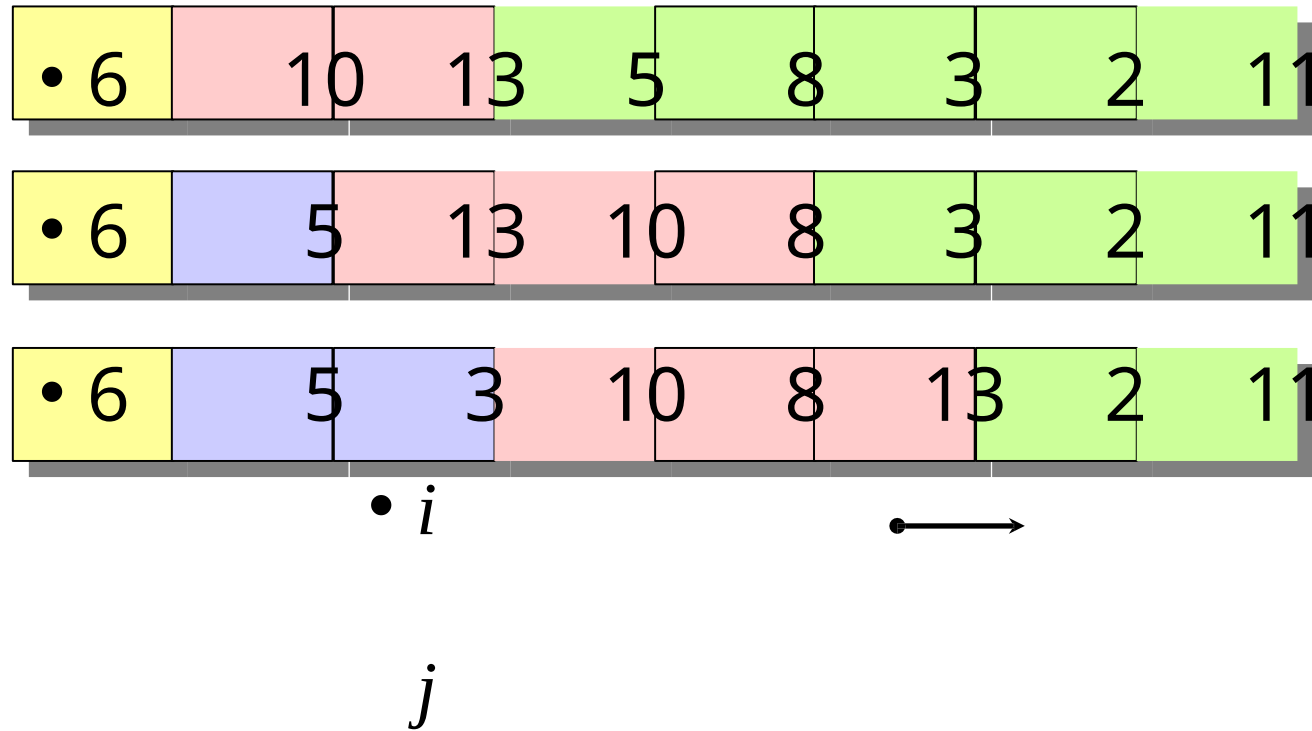
Example of partitioning



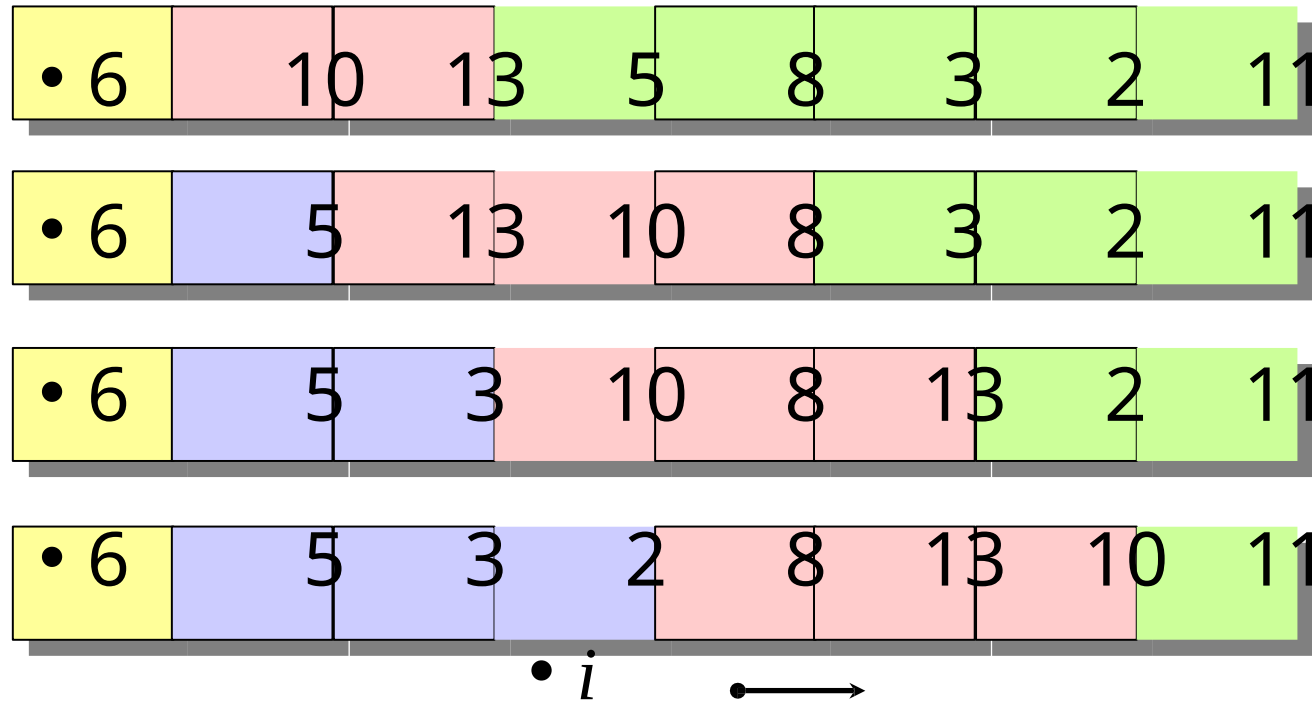
Example of partitioning



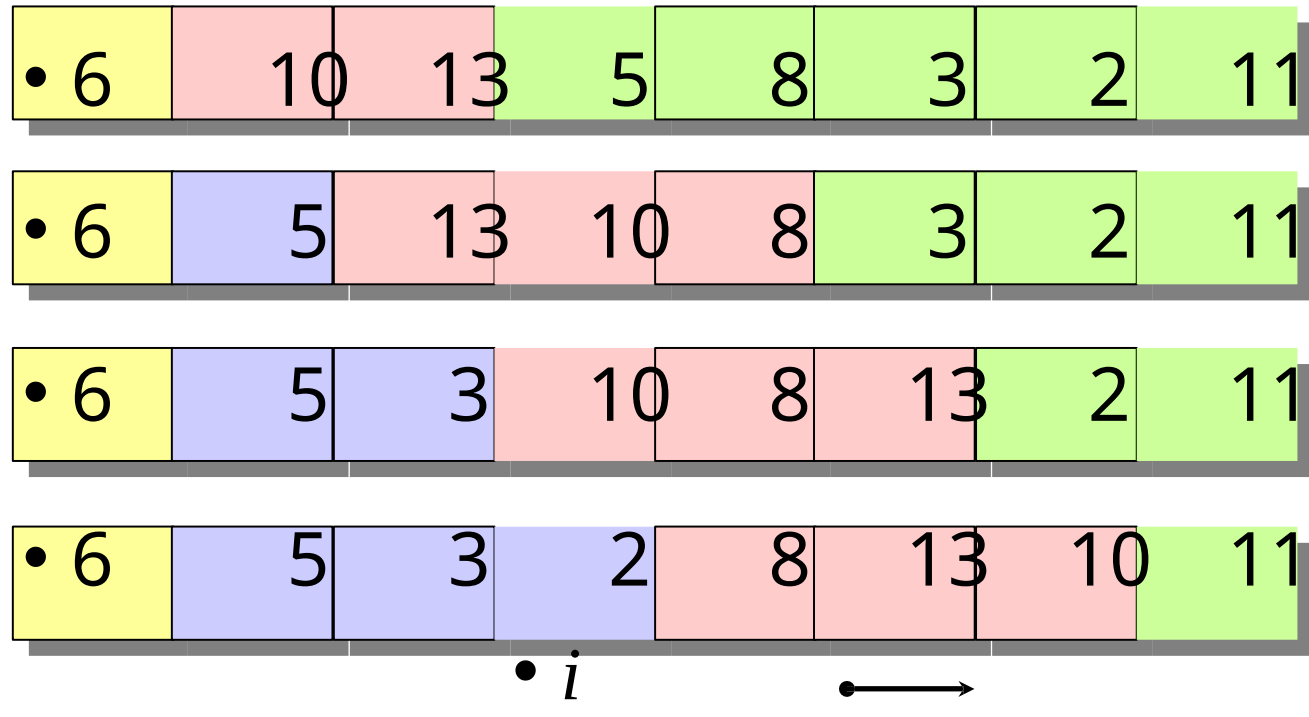
Example of partitioning



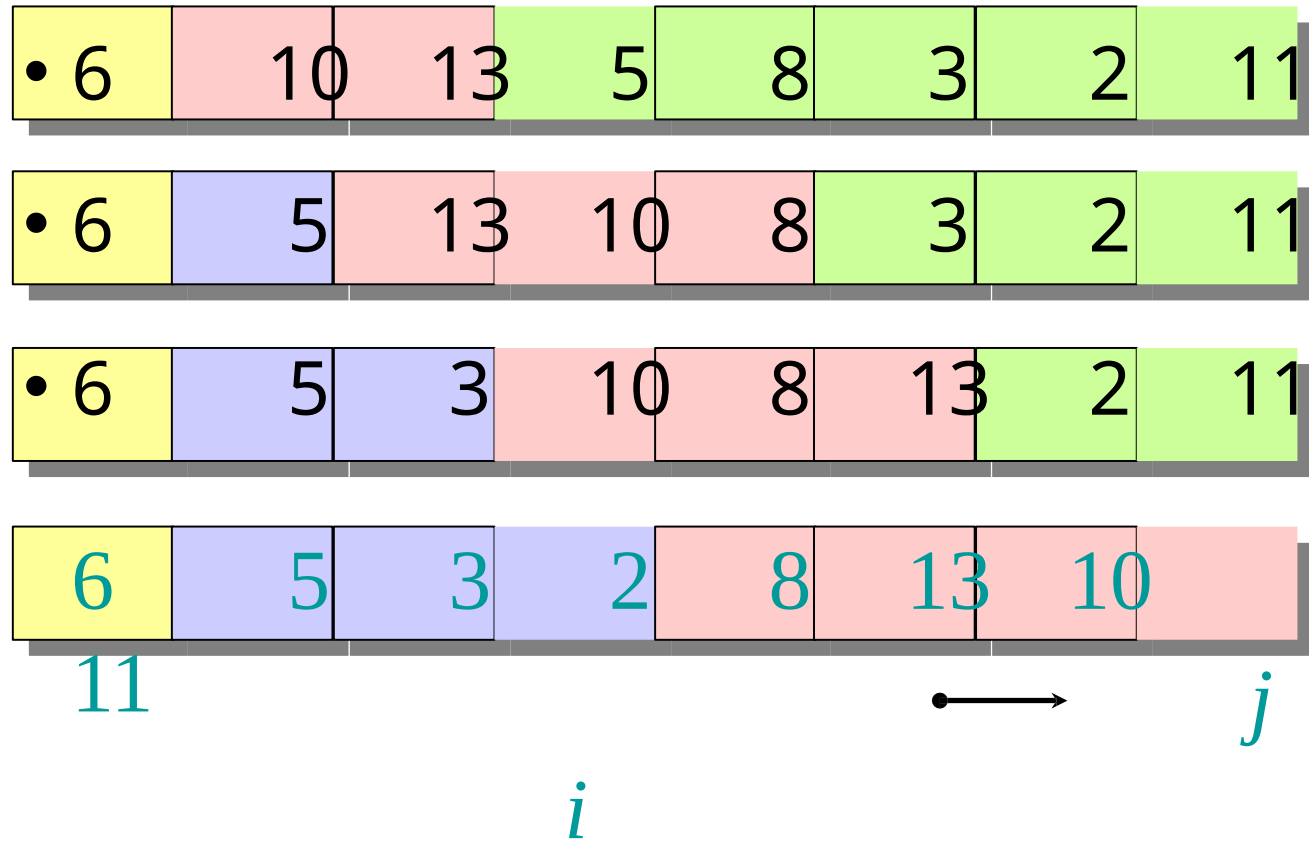
Example of partitioning



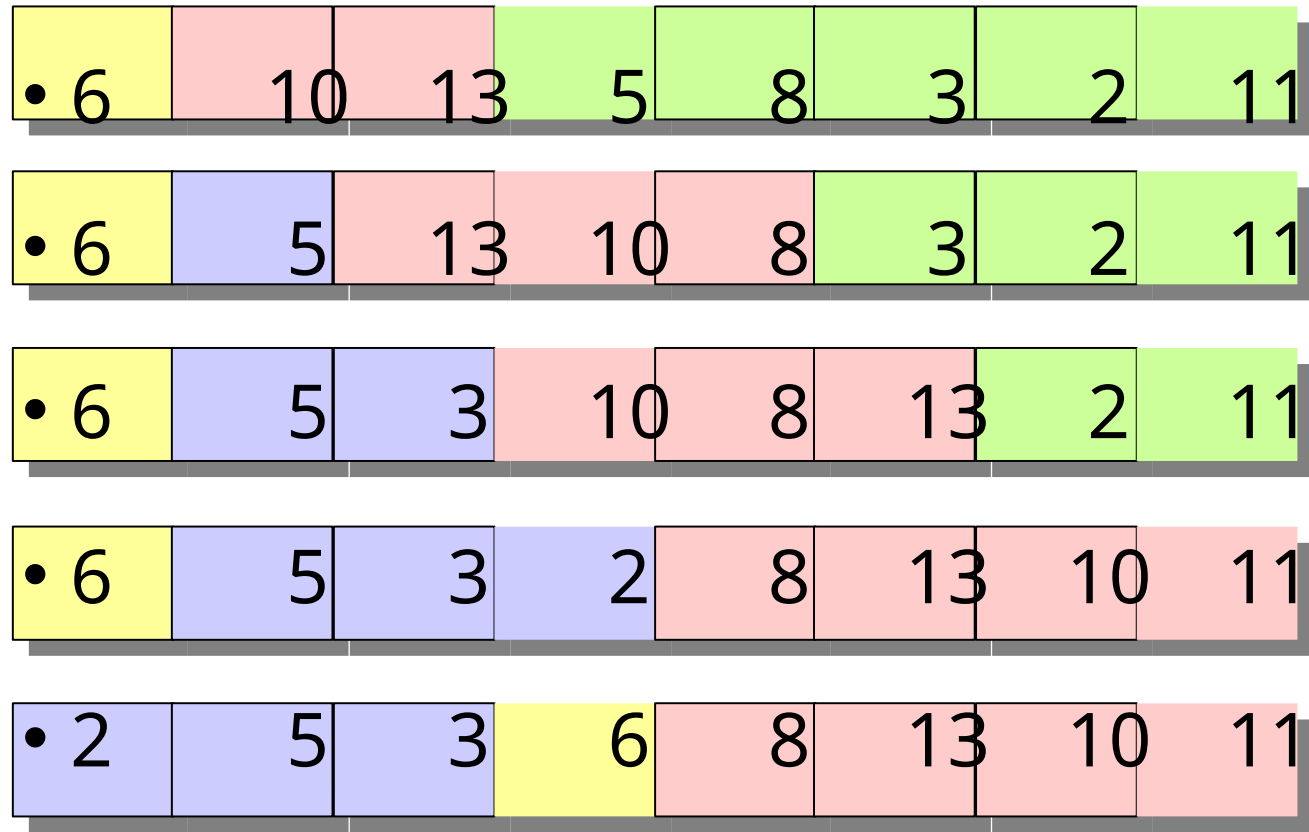
Example of partitioning



Example of partitioning



Example of partitioning



Pseudocode for quicksort

```
QUICKSORT( $A, p, r$ )  
  if  $p < r$   
    then  $q \leftarrow \text{PARTITION}(A, p, r)$   
        QUICKSORT( $A, p, q-1$ )  
        QUICKSORT( $A, q+1, r$ )
```

Initial call: QUICKSORT($A, 1, n$)

Analysis of quicksort

- Assume all input elements are distinct.
- In practice, there are better partitioning algorithms for when duplicate input elements may exist.
- Let $T(n)$ = worst-case running time on an array of n elements.

Worst-case of quicksort

- Input sorted or reverse sorted.
- Partition around min or max element.
- One side of partition always has no elements.

$$T(n) = T(0) + T(n-1) + \Theta(n)$$

$$= \Theta(1) + T(n-1) + \Theta(n)$$

$$= T(n-1) + \Theta(n)$$

$$= \text{ (arithmetic series) }$$

$$\Theta(n^2)$$

Binary search

- Find an element in a sorted array:
 - 1. *Divide:*** Check middle element.
 - 2. *Conquer:*** Recursively search 1 subarray.
 - 3. *Combine:*** Trivial.

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A horizontal array of numbers: 3, 5, 7, 8, 9, 12, 15. The numbers 8, 9, and 12 are enclosed in a light pink rounded rectangular box. The number 9 is the target value being searched for.

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Recurrence for binary search

$$T(n) = \underbrace{1}_{\substack{\text{\# subproblems} \\ \Theta(1)}} \underbrace{T(n/2)}_{\text{subproblem size}} + \underbrace{}_{\text{work dividing and combining}}$$

The diagram illustrates the recurrence relation for binary search, $T(n) = 1 \cdot T(n/2) + \text{[]}$. The components are annotated as follows:

- 1**: $\Theta(1)$ (constant time), representing the **# subproblems**.
- $T(n/2)$** : **subproblem size**.
- []**: **work dividing and combining**.

Recurrence for binary search

$$T(n) = \underbrace{1}_{\substack{\text{\# subproblems} \\ \Theta(1)}} \underbrace{T(n/2)}_{\substack{\text{subproblem size}}} + \underbrace{\hspace{1cm}}_{\substack{\text{work dividing} \\ \text{and combining}}}$$

$$\begin{aligned} n^{\log_b a} &= n^{\log_2 1} = n^0 = 1 \Rightarrow \text{CASE 2 } (k = 0) \\ \Rightarrow T(n) &= \Theta(\lg n) . \end{aligned}$$

Powering a number

Problem: Compute a^n , where $n \in \mathbb{N}$.

Naive algorithm: $\Theta(n)$.

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Divide-and-conquer algorithm:

$$a^n = \begin{cases} a^{n/2} \cdot a^{n/2} & \text{if } n \text{ is even;} \\ a^{(n-1)/2} \cdot a^{(n-1)/2} \cdot a & \text{if } n \text{ is odd.} \end{cases}$$

Powering a number

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$$T(n) = T(n/2) + \Theta(1) \Rightarrow T(n) = \Theta(\lg n) .$$

Matrix multiplication

Input: $A = [a_{ij}], B = [b_{ij}].$
Output: $C = [c_{ij}] = A \cdot B.$ } $i, j = 1, 2, \dots, n.$

$$\begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{bmatrix}$$

$$c_{ij} = \sum_{k=1}^n a_{ik} \cdot b_{kj}$$

Standard algorithm

```
for  $i \leftarrow 1$  to  $n$   
  do for  $j \leftarrow 1$  to  $n$   
    do  $c_{ij} \leftarrow 0$   
      for  $k \leftarrow 1$  to  $n$   
        do  $c_{ij} \leftarrow c_{ij} + a_{ik} \cdot b_{kj}$ 
```

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for  $i \leftarrow 1$  to  $n$   
  do for  $j \leftarrow 1$  to  $n$   
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```

Running time = $\Theta(n^3)$

Divide-and-conquer algorithm

IDEA:

$n \times n$ matrix = 2×2 matrix of $(n/2) \times (n/2)$

submatrices:
$$\begin{bmatrix} r & s \\ t & u \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$

$$\begin{array}{l} r = ae + bg \\ s = af + bh \\ t = ce + dg \\ u = cf + dh \end{array} \left\{ \begin{array}{l} C = A \cdot B \\ 8 \text{ mults of } (n/2) \times (n/2) \\ \text{submatrices} \quad 4 \text{ adds of } (n/2) \times (n/2) \text{ submatrices} \end{array} \right.$$

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$$C = A \cdot B$$

$$\left. \begin{array}{l} r = ae + bg \\ s = af + bh \\ t = ce + dg \\ u = cf + dg \end{array} \right\} \begin{array}{l} \text{recursive} \\ 8 \text{ mults of } (n/2) \times (n/2) \\ 4 \text{ additions of } (n/2) \times (n/2) \\ \text{submatrices} \end{array}$$

Analysis of D&C algorithm

$$T(n) = 8 T(n/2) + \Theta(n^2)$$

submatrices

submatrix size

*work adding
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$$T(n) = 8 T(n/2) + \Theta(n^2)$$

submatrices *submatrix size* *work adding submatrices*

$n^{\log_b a} = n^{\log_2 8} = n^3 \Rightarrow \text{CASE 1} \Rightarrow T(n) = \Theta(n^3).$

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submatrices *submatrix size* *work adding submatrices*

$$n^{\log_b a} = n^{\log_2 8} = n^3 \quad \Rightarrow \quad \text{CASE 1} \quad \Rightarrow \quad T(n) = \Theta(n^3).$$

No better than the ordinary algorithm.

Strassen's idea

- Multiply 2×2 matrices with only 7 recursive mults.

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$$\begin{aligned} P_1 &= a \cdot (f - h) \\ P_2 &= (a + b) \cdot h \\ P_3 &= (c + d) \cdot e \\ P_4 &= d \cdot (g - e) \\ P_5 &= (a + d) \cdot (e + h) \\ P_6 &= (b - d) \cdot (g + h) \end{aligned}$$

Strassen's idea

- Multiply 2×2 matrices with only 7 recursive mults.

$$P_1 = a \cdot (f -$$

$$h) \quad P_2 = (a + b)$$

$$\cdot h \quad P_3 = (c +$$

$$d) \cdot e \quad P_4 = d$$

$$\cdot (g - e)$$

$$P_5 = (a + d) \cdot (e +$$

$$h) \quad P_6 = (b - d) \cdot (g$$

$$+ h)$$

$$P_7 = (a - c) \cdot (e +$$

$$r = P_5 + P_4 - P_2 + P_6$$

$$s = P_1 + P_2$$

$$t = P_3 + P_4$$

$$u = P_5 + P_1 - P_3 - P_7$$

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$$s = P_1 + P_2$$

$$t = P_3 + P_4$$

$$u = P_5 + P_1 - P_3 - P_7$$

7 mults, 18 adds/subs.

Note: No reliance on commutativity of mult!

Strassen's idea

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$$\begin{aligned}
 P_1 &= a \cdot (f - h) \\
 P_2 &= (a + b) \cdot h \\
 P_3 &= (c + d) \cdot e \\
 P_4 &= d \cdot (g - e) \\
 P_5 &= (a + d) \cdot (e + h) \\
 P_6 &= (b - d) \cdot (g + h) \\
 P_7 &= (a - c) \cdot (e + h)
 \end{aligned}$$

$$\begin{aligned}
 r &= P_5 + P_4 - P_2 + P_6 \\
 &= (a + d)(e + h) \\
 &\quad + d(g - e) - (a + b)h \\
 &\quad + (b - d)(g + h) \\
 &= ae + ah + de + dh \\
 &\quad + dg - de - ah - bh
 \end{aligned}$$

Strassen's algorithm

- 1. Divide:** Partition A and B into $(n/2) \times (n/2)$ submatrices. Form terms to be multiplied using $+$ and $-$.
.
- 2. Conquer:** Perform 7 multiplications of $(n/2) \times (n/2)$ submatrices recursively.
- 3. Combine:** Form C using $+$ and $-$ on $(n/2) \times (n/2)$ submatrices.

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$$T(n) = 7 T(n/2) + \Theta(n^2)$$

Analysis of Strassen

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Analysis of Strassen

$$T(n) = 7 T(n/2) + \Theta(n^2) \\ n^{\log_b a} = n^{\log_2 7} \approx n^{2.81} \Rightarrow \text{CASE 1} \Rightarrow T(n) = \Theta(n^{\lg 7}).$$

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$$T(n) = 7 T(n/2) + \Theta(n^2) \Rightarrow \text{CASE 1} \Rightarrow T(n) = \Theta(n^{\lg 7}).$$

$n^{\log_b a} = n^{\log_2 7} \approx n^{2.81}$

The number 2.81 may not seem much smaller than 3, but because the difference is in the exponent, the impact on running time is significant. In fact, Strassen's algorithm beats the ordinary algorithm on today's machines for $n \geq 32$ or so.

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$$T(n) = 7 T(n/2) + \Theta(n^2) \Rightarrow \text{CASE 1} \Rightarrow T(n) = \Theta(n^{\lg 7}).$$

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The number 2.81 may not seem much smaller than 3, but because the difference is in the exponent, the impact on running time is significant. In fact, Strassen's algorithm beats the ordinary algorithm on today's machines for $n \geq 32$ or so.

Best to date (of theoretical interest only):
 $\Theta(n^{2.376L})$.

Decrease and Conquer

- Based on exploiting the relationship between a solution to a given instance of a problem and a solution to a smaller instance of the same problem.
- After establishing the relationship it can be exploited using
 - Top Down and Bottom Up approaches
 - **Decrease:-** reduce problem instance to smaller instance of the same problem and extend solution.
 - **Conquer:-** the problem by solving smaller instance of the problem.
 - Extend solution of smaller instance to obtain solution to original problem .

Variations of Decrease and Conquer

- Decrease by Constant

- reduced by the same constant on each iteration of the algorithm. Typically, this constant is equal to one.

- Decrease by Constant factor

- reducing a problem instance by the same constant factor on each iteration of the algorithm. In most applications, this constant factor is equal to two.

- Variable Size Decrease

- the size-reduction pattern varies from one iteration of an algorithm to another.

Insertion Sort

54	26	93	17	77	31	44	55	20	Assume 54 is a sorted list of 1 item
26	54	93	17	77	31	44	55	20	inserted 26
26	54	93	17	77	31	44	55	20	inserted 93
17	26	54	93	77	31	44	55	20	inserted 17
17	26	54	77	93	31	44	55	20	inserted 77
17	26	31	54	77	93	44	55	20	inserted 31
17	26	31	44	54	77	93	55	20	inserted 44
17	26	31	44	54	55	77	93	20	inserted 55
17	20	26	31	44	54	55	77	93	inserted 20

Algorithm of Insertion Sort

```
//Insertion sort
```

```
int arr[]={89,2,67,37,72,17,4};
```

```
int n=sizeof(arr)/sizeof(arr[0]);
```

```
for(int i=1;i<n;i++)
```

```
{
```

```
    for(int j=i;j>0;j--)
```

```
    {
```

```
        if(arr[j]<arr[j-1])
```

```
        {
```

```
            int temp =arr[j];
```

```
            arr[j]=arr[j-1];
```

```
            arr[j-1]=temp;
```

```
        }
```

```
    }
```

```
}
```

Class work

Find the time complexity analysis of Insertion sort?

Conclusio n

- Divide and conquer is just one of several powerful techniques for algorithm design.
- Divide-and-conquer algorithms can be analyzed using recurrences and the master method.
- The divide-and-conquer strategy often leads to efficient algorithms.

Reading Assignment

- Graph search algorithms: DFS, BFS
- Topological sorting