

A deterministic tabu search algorithm for the capacitated arc routing problem

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Abstract

The capacitated arc routing problem (CARP) is a difficult optimisation problem in vehicle routing with applications where a service must be provided by a set of vehicles on specified roads. A heuristic algorithm based on tabu search is proposed and tested on various sets of benchmark instances. The computational results show that the proposed algorithm produces high quality results within a reasonable computing time. Some new best solutions are reported for a set of test problems used in the literature.

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1. Introduction

The capacitated arc routing problem (CARP) may be described as follows: consider an undirected connected graph $G = (V, E)$, with a vertex set V and edge set E and a set of required edges $R \subseteq E$. A fleet of identical vehicles, each of capacity Q , is based at a designated depot vertex. Each edge of the graph (v_i, v_j) incurs a cost c_{ij} whenever a vehicle travels over it or services a required edge. When a vehicle travels over an edge without servicing it, this is referred to as deadheading. Each required edge of the graph (v_i, v_j) has a demand q_{ij} associated with it. A vehicle route must start and finish at the designated depot vertex and the total demand serviced on the route must not exceed the capacity of the vehicle, Q . The objective of the CARP is to find a minimum cost set of vehicle routes where each required edge is serviced on one of the routes.

In the instances tested, the objective is to minimise the total cost incurred on the routes and does not include any costs relating to the number of routes or vehicles required.

The graph or network on which the problem is based may be directed or undirected or mixed, but in this paper only undirected graphs are considered. A good introduction to and survey of the CARP and other arc routing problems may be found in Dror [1].

In the CARP, each edge in the graph may model a road that can be travelled in either direction and each vertex corresponds to a road junction. Applications of the CARP arise in operations such as postal deliveries, household

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waste collection, winter gritting, snow clearance and others, though in most practical applications there are additional constraints that must also be considered, including time window constraints or restrictions on certain turns (see e.g. Lacomme et al. [2]).

The CARP is NP-hard. Even when a single vehicle is able to service all the required edges, the problem reduces to the rural postman problem (RPP) which has been shown to be NP-hard by Lenstra and Rinnooy Kan [3]. Additionally, Golden and Wong [4] showed that even 1.5-approximation for the CARP is NP-hard. Exact methods for the CARP have only been able to solve relatively small examples to optimality.

A discussion of different heuristic algorithms that have been proposed for the CARP can be found in Dror [1]. These include simple constructive heuristics (e.g. Pearn [5,6]) and go on to include various metaheuristic algorithms. The most successful algorithms that have been reported in the literature are based on different metaheuristic models. We have chosen two of these with which to compare the results of our proposed algorithm. The first is the tabu search based algorithm known as “CARPET” which is described in Hertz et al. [7]. The second is the approach using memetic algorithms, which will be referred to as MA, described in Lacomme et al. [8].

Beullens et al. [9] describe a guided local search heuristic for the CARP and also introduce some new large data sets based on the inter-city road network in Flanders (Belgium). Our proposed algorithm is also tested on these data sets.

There are also other notable contributions that have recently been proposed for solving the CARP. Greistorfer [10] also uses a tabu search based approach, but uses a form of scatter search within his proposed heuristic. Hertz and Mittaz [11] describe a variable neighbourhood descent algorithm for the CARP. Amberg et al. [12] have also proposed a tabu search based algorithm; their approach makes use of capacitated trees and can be applied to multi-depot problems. Baldacci and Maniezzo [13] describe an exact method for solving the CARP based on a new transformation to a constrained node routing problem. A similar approach is described by Longo et al. [14] who also obtain bounds and solutions to standard test problems using a transformation to the constrained vehicle routing problem.

The approach described in this paper is based on a tabu search algorithm (TSA). However, it differs from CARPET in many of the details of the implementation. In particular, the algorithm presented here is deterministic and does not require the use of random parameter values (which are also needed for MA), so making the results fully reproducible.

The structure of the remainder of this paper is as follows. Section 2 describes the methods used to obtain initial feasible solutions in our algorithm. Section 3 describes the TSA for solving the CARP and Section 4 describes the results obtained on a set of test problems. Final conclusions are presented in Section 5.

2. Initial solutions

Five different methods were used to obtain initial solutions. Each method provided a feasible solution that could be used as an initial solution for the TSA. The methods were designed to be fast to compute and to provide a variety of starting solutions for the TSA to improve. The diversity provided by the different starting solutions was found to be useful in ultimately finding high quality solutions.

When implementing the algorithms described in this paper, each route was represented by an ordered list of vertices, starting and ending with the depot vertex, together with a corresponding ordered list of zero–one variables, $type_p$. If the p th vertex in the list is v_i and it is immediately followed by v_j , then $type_p$ takes the value one if (v_i, v_j) is a required edge which is serviced at this stage of the route. The cost of the route includes c_{ij} and the demand satisfied by the vehicle on this route includes q_{ij} . If (v_i, v_j) is not a required edge which is serviced at this stage of the route, then $type_p$ takes the value zero, (v_i, v_j) represents a path and the cost of the route is increased by the cost of deadheading this path, which is the shortest path from v_i to v_j . This representation allows all the required operations and calculations to be carried out efficiently.

2.1. Method 1—cheapest edge

Each route is started with the required edge not yet served in a route that is nearest to the depot vertex. If there is more than one required edge not yet served in a route nearest to the depot vertex, then the one that has the least cost is selected. If more than one has the least cost then ties are broken arbitrarily. The vehicle starts by travelling from the depot to the nearest vertex of that edge along the least cost path (unless the first required edge is incident to the depot vertex). The route is built up by adding required edges that are feasible to the end of the route. The next edge to be added is the required edge that has not yet been included in a route which is nearest to the end vertex of the last

included edge (and which has least cost in the event of ties, with ties broken arbitrarily if more than one edge has the same least cost), but excluding any edge that closes the tour unless no others are feasible in terms of vehicle capacity. If the next required edge is not incident to the last vertex on the route so far, the cost of the route includes the cost of deadheading along the least cost path between the last vertex and the next edge. When no remaining required edges can be feasibly added to a route, the route is completed by the vehicle returning to the depot along the least cost path from the end of the last serviced edge, and the next route is started.

2.2. Method 2—dearest edge

This method operates in exactly the same way as Method 1, except that the highest cost edge replaces the lowest cost edge at each selection point.

2.3. Method 3—insert

Each route is started as in Method 1 and a route is completed by adding the deadheading path from the end of the first edge back to the depot. Then the next edge to be chosen from the required edges not yet served and which is feasible in terms of vehicle capacity is the one that increases the cost of the route by the least amount. When considering an edge for insertion, the new edge may be inserted between any pair of required edges already included in the route that are currently linked by a deadheading path, or before the first edge or after the last edge if these edges are not directly linked to the depot.

2.4. Method 4—connected components

This method requires the use of a procedure to solve the RPP for sets of connected required edges. The RPP is the problem of finding the minimum cost single route to service a set of required edges in an undirected graph and in general is NP-hard [3]. However, when the subgraph generated by the subset of required edges is connected, the RPP is reduced to the undirected Chinese postman problem which is polynomially solvable [15]. A general heuristic for the RPP was first proposed in Frederickson [16], based on a procedure that is similar to Christofides' heuristic for the undirected travelling salesman problem [17]. The method has been described and used by several researchers; our version follows the version described in Pearn and Wu [18] (though in that paper it is referred to as “Christofides et al. algorithm”).

Frederickson's algorithm is described in general here as it is also used within the TSA to improve individual routes. In what follows, $E_R = R$ for this initial solution method. However, when this algorithm is used to improve routes individually in the TSA, then $E_R \subseteq R$ includes only those required edges serviced by that particular route. Frederickson's algorithm may be described as follows:

1. Let $G_R = (V_R, E_R)$ be the subgraph of G generated by E_R with the corresponding set of vertices V_R . Let C_i ($i = 1, \dots, c$) be the i th component G_R . Let G_C be the graph derived from G by reducing each component C_i of G_R to a single vertex in G . The cost of an edge $(i, j) \in G_C$ is defined as $d(i, j) = d(C_i, C_j) = \min_{x,y} \{d(x, y) - u_x - u_y\}$, where $x, y \in V$, $x \in C_1$, $y \in C_2$ and $u_x = -\eta(\deg(x) - 2)$. $d(x, y)$ is the cost of the minimum cost path from x to y in the original graph G and $\deg(x)$ is the degree of vertex x in the original graph G .
2. Determine the minimum cost spanning tree in G_C . Let E_T be the set of edges from the minimum cost spanning tree solution.
3. Considering the graph $G_R \cup E_T$ find a minimum cost perfect matching of the odd degree vertices. Let E_M be the edges of the matching.
4. Find an Eulerian tour in the graph $G_R \cup E_T \cup E_M$. This tour is the RPP solution.

Pearn and Wu show how the results can be influenced by the use of a parameter η . In our implementation, the algorithm is executed with $\eta = 0$, then $\eta = 1$ and the better solution is chosen.

Note that Frederickson's heuristic includes finding a minimum cost perfect matching as one of the steps. This may be achieved optimally in polynomial time using the approach described in Edmonds and Johnson [15]. However, this

complex algorithm was not available and so a simple greedy heuristic was used for the matching step, which can be described as follows:

Step 0: Set every vertex as non-included.

Step 1: Select the edge with the minimum cost among all the edges of the graph, linking two non-included vertices. Set the two end vertices of this edge as included.

Step 2: Repeat step 1 until all the vertices are included.

If the number of vertices were less than or equal to six, then the heuristic was not applied and the optimum solution was found by complete enumeration. Tests were carried out to see what effect the use of this procedure had on the solution to the CARP by finding the optimum solution to the matching problem by complete enumeration in all cases. As expected, the complete enumeration method was much slower than the procedure using the heuristic, but surprisingly, the average solutions to the CARP were worse than when the heuristic was used. We therefore retained the heuristic procedure for solving the matching problem.

Thus for each connected component, a route is constructed using Frederickson's heuristic, ignoring the capacity constraints. In the special case where more than two required edges are adjacent to the depot vertex, the connected component is split again into connected components in which no more than two required edges connect to the depot. This is done by splitting the route into subroutes: following the order of the route it is cut at the point where the second edge linked to the depot appears; then a new route starts and this process finishes when only one or two edges linked to the depot remain.

The route is modified into one starting and ending at the depot by starting with a required edge connected to the depot if one exists, otherwise adding the least cost route from the depot to a required edge in the connected component, following the constructed route until the last required edge in the connected component has been included and then finally joining the route to the depot by linking back to the depot along the least cost path. If any route is infeasible according to the capacity constraint, it is split into smaller routes in the following way. Starting from the depot, the route is followed until the last feasible required edge has been included. The route is then completed by adding the least cost path from the end of this edge back to the depot. The next route is started by adding the least cost path from the depot to the next required edge that is to be served on the route that had been created. The process finishes when all required edges in each connected component have been included in a route.

2.5. Method 5—path scanning

This method is based on the procedure proposed by Golden et al. [19]. Each route is extended by one required edge at each step using a variety of selection rules.

Each route starts at the depot vertex. Let S be the set of required edges closest to the end of the current route that are not yet served and do not exceed the capacity of the current route. If S is empty then complete the current route using the shortest deadheading path from the end of the current route to the depot vertex and start a new route. If S is not empty, exclude from S any edges that would close the route unless that would make S empty. Select a required edge in S to be the next edge in the route to be serviced according to the current rule and extend the current route to the vertex at the end of the selected edge.

Five rules are used to determine the next required edge, e , in the route to be serviced: (1) maximise the distance to the depot; (2) minimise the distance to the depot; (3) use rule 1 if the vehicle is less than half-full, else use rule 2; (4) maximise the ratio $d(e)/c(e)$, where $d(e)$ and $c(e)$ are, respectively, the demand and the cost of e ; (5) minimise this ratio. Each criterion gives rise to one solution and the best of the five is chosen.

Some results to show the effectiveness of these initial solution methods are given in Section 4.

3. The tabu search algorithm

3.1. Neighbourhood moves

The TSA is based on three types of neighbourhood move. The first two are insertions (single and double) and the third is a swap.

In a single insertion move, a candidate edge (only required edges can be candidates) is removed from its current route and a trial insertion is made in any other route between any two serviced edges that are not adjacent, but are

linked by a deadheading path of edges. The trial insertion considers both directions for the edge to be traversed when inserted in the new route. In a double insertion move, the operation is similar except that a candidate consists of two connected required edges in one route.

The swap move is similar. Two candidate edges are selected from two different routes, each containing deadheading paths. The candidate edges are removed from their original routes and inserted in the other routes between any two serviced edges that are not adjacent, but are linked by a deadheading path of edges.

In this implementation, the frequencies of the different types of move may change according to the phase of the algorithm. Parameters, F_{SI} , F_{DI} and F_{SWAP} denote the frequencies of the single insertion, double insertion and swap moves, respectively. For example, the value of F_{SWAP} implies that the swap move is only tested every F_{SWAP} iterations.

The trial move chosen depends on the effect of the move on the objective function defined in the next section.

3.2. Objective function

The objective function to be minimised by the TSA includes the total cost of each route, i , plus a penalty cost $Pw(i)$, where P is a penalty term and $w(i) = \max(x(i) - Q, 0)$, and where $x(i)$ is the sum of the demands on the edges serviced in route i . For any candidate solution, S , the objective function is denoted by $f(S)$.

The parameter P is set at 1 initially, and is then halved if all the solutions are feasible for 10 consecutive iterations; it is doubled if all the solutions are infeasible for 10 consecutive iterations. A similar use of P to direct the search from feasible to infeasible regions and vice versa has also been used before by e.g. Hertz et al. [7] and Beullens et al. [9].

3.3. Admissibility of moves

A conventional tabu list is constructed to prevent the reversal of accepted moves for the next t iterations, where t is the length of the tabu list. The length of the tabu list is fixed and after some experimentation has been set to $N/2$ in Phase 1 of the TSA and $N/6$ in Phase 2, where N is the number of required edges. The tabu restriction may be overridden if the move will produce a solution that is better than what has been found in the past. This is referred to as the aspiration criterion.

In the TSA, a trial move to solution S' is regarded as admissible:

- (i) if it is not currently on the tabu list;
- (ii) or if it is tabu and infeasible, but the value of $f(S')$ is less than the value of the best infeasible solution yet found;
- (iii) or if it is tabu and feasible, but the value of $f(S')$ is less than the value of the best feasible solution yet found.

3.4. Route improvement procedure

At the end of each iteration, a procedure is applied to each of the two individual routes that have been changed to try to reduce their costs further. For each individual route we aim to find the least cost route, starting from the depot and then serving all the required edges included for service in the route and returning to the depot. This is done by using Frederickson's heuristic, which was described in Section 2.4.

3.5. Outline of TSA

The operation of the TSA can now be summarised in the following steps:

1. Initialise:

Set current solution S to be an initial solution and let $f(S)$ be the total cost of S

Set best solution $S_B = S$

Set best feasible solution $S_{BF} = S$, if S is feasible; otherwise set $f(S_{BF}) = \infty$

Set iteration counter, $k = 0$

Set number of iterations since best solution after Intensification step, $k_B = 0$

Set number of iterations for applying Intensification step, $k_L = 8N$
 Set number of iterations since best feasible solution, $k_{BF} = 0$
 Set number of consecutive iterations that solution is feasible, $k_F = 0$
 Set number of consecutive iterations that solution is infeasible, $k_I = 0$
 Set number of iterations since best solution in total, $k_{BT} = 0$
 Set tabu list to be empty
 Set tabu tenure, $t = N/2$
 Set frequency parameters, $F_{SI} = 1$, $F_{DI} = 5$, $F_{SWAP} = 5$
 Set penalty parameter, $P = 1$.

2. Find neighbourhood move (S') from S , using all required edges as a candidate list:

Set $f(S') = \infty$.

2.1. If k is a multiple of F_{SI} perform trial single insertion moves

For each admissible move, s , do:

If $f(s) < f(S')$, then

- (1) $S' = s$ and $f(S') = f(s)$;
- (2) If s is feasible and $f(s) < f(S_{BF})$, or $f(s) < f(S_B)$ go to Step 3.

Repeat until all the potential moves have been explored.

2.2. If k is a multiple of F_{DI} perform trial double insertion moves

For each admissible move, s , do:

If $f(s) < f(S')$, then

- (1) $S' = s$ and $f(S') = f(s)$;
- (2) If s is feasible and $f(s) < f(S_{BF})$, or $f(s) < f(S_B)$ go to Step 3.

Repeat until all the potential moves have been explored.

2.3. If k is a multiple of F_{SWAP} perform trial swap moves

For each admissible move, s , do:

If $f(s) < f(S')$, then

- (1) $S' = s$ and $f(S') = f(s)$;
- (2) If s is feasible and $f(s) < f(S_{BF})$, or $f(s) < f(S_B)$ go to Step 3.

Repeat until all the potential moves have been explored.

3. Improve changed routes:

For each of the two routes that have been changed, apply the route improvement procedure using Frederickson's heuristic to try to find further cost reductions if possible, resulting in solution S'' .

4. Update:

Update tabu list.

If S'' is feasible and $f(S'') < f(S_{BF})$ then

(1) apply Frederickson's heuristic to each route individually (except the two routes that have just been updated in Step 3) to get a new solution S'''

(2) set $S_{BF} = S'''$, $S'' = S'''$ and set $k_B = k_{BF} = k_{BT} = 0$.

If $f(S'') < f(S_B)$ then set $S_B = S''$ and $k_B = k_{BT} = 0$.

Set $k = k + 1$, $k_B = k_B + 1$, $k_{BF} = k_{BF} + 1$, $k_{BT} = k_{BT} + 1$.

If k is a multiple of 10, then if $k_F = 10$, then set $P = P/2$ else if $k_I = 10$ then set $P = 2P$;

If $k_F = 10$ or $k_I = 10$ then recalculate $f(S_B)$ with the new value of P and set $k_F = k_I = 0$.

5. Change of parameter values:

If $k_B = k_L/2$, then set $F_{SI} = 5$, $F_{DI} = 1$, $F_{SWAP} = 10$.

6. Intensification:

If $k_B = k_L$, then

(1) if $f(S_{BF}) < \infty$ then set $S = S_{BF}$ else set $S = S_B$,

(2) set $k_B = 0$, $P = 1$, $k_F = 0$, $k_I = 0$, $F_{SI} = 1$, $F_{DI} = 5$, $F_{SWAP} = 5$, $k_L = k_L + 2N$,

Table 1

Ratios of average solution values to average best solutions for the initial solution methods

	Cheapest	Dearest	Insert	Connected components	Path scanning
DeArmon	1.25	1.25	1.19	1.29	1.13
Benavent et al.	1.27	1.25	1.28	1.25	1.19
Eglese	1.33	1.26	1.29	1.24	1.16

Table 2

Problems from DeArmon [20]

No.	V	E	Best known	Our best	CARPET		MA		TSA Version 1		TSA Version 2	
					Cost	CPU (s) ^a	Cost	CPU (s) ^b	Cost	CPU (s) ^c	Cost	CPU (s) ^c
1	12	22	316	316	316	2.4	316	0.0	316	0.0	316	0.0
2	12	26	339	339	339	4.0	339	0.3	345	0.1	339	0.1
3	12	22	275	275	275	0.1	275	0.0	275	0.0	275	0.0
4	11	19	287	287	287	0.1	287	0.0	287	0.0	287	0.0
5	13	26	377	377	377	4.3	377	0.1	377	0.0	377	0.1
6	12	22	298	298	298	0.7	298	0.1	298	0.0	298	0.0
7	12	22	325	325	325	0.0	325	0.1	325	0.0	325	0.0
10	27	46	348	348	352	47.2	350	26.5	352	2.5	348	1.6
11	27	51	303	303	317	41.8	303	4.7	307	1.0	303	26.1
12	12	25	275	275	275	1.2	275	0.1	275	0.0	275	0.0
13	22	45	395	395	395	1.8	395	0.9	395	0.2	395	0.1
14	13	23	458	458	458	16.0	458	6.5	462	0.2	458	0.8
15	10	28	536	536	544	1.9	536	4.9	544	0.2	540	4.8
16	7	21	100	100	100	0.4	100	0.1	100	0.0	100	0.1
17	7	21	58	58	58	0.0	58	0.0	58	0.0	58	0.0
18	8	28	127	127	127	1.3	127	0.1	129	0.2	127	0.1
19	8	28	91	91	91	0.0	91	0.1	91	0.0	91	0.0
20	9	36	164	164	164	0.2	164	0.1	164	0.0	164	0.0
21	8	11	55	55	55	0.2	55	0.0	55	0.0	55	0.0
22	11	22	121	121	121	7.4	121	0.2	123	0.1	121	0.2
23	11	33	156	156	156	0.9	156	0.1	156	0.0	156	0.0
24	11	44	200	200	200	2.6	200	2.3	200	0.0	200	0.1
25	11	55	233	233	235	26.6	233	34.1	235	0.7	235	22.3
Average			253.8	253.8	255.0	7.0	253.9	3.5	255.2	0.2	254.0	2.5
No. optimal			23	23	19	–	22	–	15	–	21	–
Average deviation from Best known (%)				0.00	0.47	–	0.04	–	0.55	–	0.08	–

Optimal solutions are in bold.

^aThe original value has been divided by 7 (SGI Indigo2 at 195 MHz).^bThe original value has been divided by 1.5 (Pentium III at 1 GHz).^cWe used a Pentium Mobile at 1.4 GHz.(3) recalculate $f(S_B)$ with the new value of P , and empty tabu list.(Note that the changes to the parameter values and emptying the tabu list at this point means that the sequence of solutions following S is different to the sequence generated previously.)

7. Stopping criterion:

If $(k \geq 900 \lceil \sqrt{N} \rceil$ and $k_{BF} \geq 10N$) or $k_{BT} = 2k_L$ then stop, otherwise go to Step 2.

Two versions of the TSA have been applied to the experimental set of problems. In Version 1, the initial solution is taken from the path scanning method as described in Section 2.5, because this method gave the best results on average for the initial solution methods tested. This provides a good initial solution quickly.

Table 3
Problems from Benavent et al. [21]

Name	V	E	Lower bound	Best known	Our best	CARPET		MA		TSA Version 1		TSA Version 2	
						Cost	CPU (s)	Cost	CPU (s)	Cost	CPU (s)	Cost	CPU (s)
1A	24	39	173	173	173	173	0.0	173	0.0	173	0.0	173	0.0
1B	24	39	173	173	173	173	7.2	173	5.3	173	0.2	173	0.9
1C	24	39	245	245	245	245	72.3	245	19.1	245	0.8	245	12.1
2A	24	34	227	227	227	227	0.1	227	0.1	227	0.0	227	0.0
2B	24	34	259	259	259	260	10.1	259	0.1	259	0.1	259	0.3
2C	24	34	457	457	457	494	24.5	457	14.5	457	1.5	457	7.8
3A	24	35	81	81	81	81	0.6	81	0.1	81	0.0	81	0.0
3B	24	35	87	87	87	87	2.1	87	0.0	87	0.0	87	0.0
3C	24	35	138	138	138	138	32.2	138	18.8	138	0.6	138	1.3
4A	41	69	400	400	400	400	21.9	400	0.5	400	0.1	400	0.4
4B	41	69	412	412	412	416	58.6	412	0.8	414	1.7	412	5.5
4C	41	69	428	428	428	453	54.2	428	12.7	444	1.7	428	38.0
4D	41	69	526	530	530	556	180.8	541	68.9	538	10.3	530	110.0
5A	34	65	423	423	423	423	2.9	423	1.3	423	0.3	423	0.3
5B	34	65	446	446	446	448	32.0	446	0.7	446	0.1	446	0.1
5C	34	65	473	474	474	476	41.3	474	67.3	474	1.2	474	10.6
5D	34	65	573	579	577	607	173.5	583	60.5	583	6.5	583	73.3
6A	31	50	223	223	223	223	3.0	223	0.1	223	0.1	223	1.6
6B	31	50	233	233	233	241	20.9	233	44.9	233	2.5	233	12.7
6C	31	50	317	317	317	329	66.0	317	34.8	323	3.1	317	22.9
7A	40	66	279	279	279	279	5.1	279	1.3	283	0.9	279	1.0
7B	40	66	283	283	283	283	0.0	283	0.3	283	0.1	283	0.5
7C	40	66	334	334	334	343	94.0	334	67.5	335	4.0	334	37.0
8A	30	63	386	386	386	386	3.0	386	0.5	386	0.6	386	0.3
8B	30	63	395	395	395	401	63.1	395	6.7	407	1.0	395	1.8
8C	30	63	518	521	521	533	114.1	527	47.7	545	1.9	529	55.7
9A	50	92	323	323	323	323	22.1	323	12.2	323	0.7	323	0.0
9B	50	92	326	326	326	329	46.4	326	19.6	326	1.3	326	0.5
9C	50	92	332	332	332	332	43.7	332	47.5	332	0.7	332	0.4
9D	50	92	385	391	391	409	273.5	391	140.7	404	7.3	391	60.4
10A	50	97	428	428	428	428	4.3	428	17.0	430	3.5	428	3.2
10B	50	97	436	436	436	436	14.3	436	3.1	438	3.6	436	1.8
10C	50	97	446	446	446	451	72.4	446	11.5	447	4.6	446	7.5
10D	50	97	525	526	528	544	121.0	530	143.3	534	10.9	530	218.1
Average				344.4	344.4	350.8	49.5	345.2	25.6	347.5	2.1	344.9	20.2
No. optimal				28	28	16	–	23	–	16	–	23	–
No. best				33	33	17	–	30	–	20	–	31	–
Average deviation from Best known (%)					0	1.86	–	0.23	–	0.90	–	0.15	–

Optimal solutions are in bold.

In Version 2, the TSA works in four phases. In Phase 1, the TSA is applied to each of the five initial solutions described in Section 2. In Phase 2, the TSA is applied once more to the best solution from Phase 1, but with $t = N/6$ and initial values of $F_{SI} = 1$, $F_{DI} = 10$, $F_{SWAP} = 3$. Phase 3 works as Phase 1, but with $t = N/8$ and fixed values of $F_{SI} = 1$, $F_{DI} = 10$, $F_{SWAP} = \infty$, i.e. F_{SI} , F_{DI} , F_{SWAP} are not updated in Step 5 or Step 6(2). This is followed by applying

Table 4
Problems from Eglese

Name	V	R	E	LB	Best known	Our best	MA		TSA Version 1		TSA Version 2	
							Cost	CPU (s)	Cost	CPU (s)	Cost	CPU (s)
E1-A	77	51	98	3548	3548	3548	3548	49.5	3548	2.1	3548	22.1
E1-B	77	51	98	4498	4498	4498	4498	46.3	4533	4.8	4533	28.0
E1-C	77	51	98	5566	5595	5595	5595	47.5	5659	5.1	5595	24.1
E2-A	77	72	98	5018	5018	5018	5018	101.7	5018	7.7	5018	63.4
E2-B	77	72	98	6305	6340	<i>6317</i>	6340	102.3	6385	11.5	6343	66.7
E2-C	77	72	98	8243	8395	<i>8335</i>	8415	86.4	8400	12.0	8347	78.7
E3-A	77	87	98	5898	5898	5898	5898	161.3	6044	17.9	5902	77.3
E3-B	77	87	98	7704	7816	<i>7777</i>	7822	170.2	7916	17.8	7816	113.4
E3-C	77	87	98	10 163	10 369	<i>10 305</i>	10 433	137.6	10 309	23.2	10 309	134.3
E4-A	77	98	98	6408	6461	<i>6456</i>	6461	194.6	6476	14.0	6473	135.5
E4-B	77	98	98	8884	9021	<i>9000</i>	9021	208.6	9134	26.9	9063	167.6
E4-C	77	98	98	11 427	11 779	<i>11 601</i>	11 779	168.3	11 627	31.8	11 627	188.6
S1-A	140	75	190	5018	5018	5018	5018	139.1	5171	10.3	5072	66.6
S1-B	140	75	190	6384	6435	<i>6388</i>	6435	139.2	6388	13.1	6388	80.8
S1-C	140	75	190	8493	8518	8518	8518	110.4	8739	6.9	8535	79.2
S2-A	140	147	190	9824	9995	<i>9956</i>	9995	582.9	10 190	70.2	10 038	395.1
S2-B	140	147	190	12 968	13 174	<i>13 165</i>	13 174	507.0	13 284	78.2	13 178	448.3
S2-C	140	147	190	16 353	16 715	<i>16 505</i>	16 795	498.0	16 709	53.6	16 505	515.8
S3-A	140	159	190	10 143	10 296	<i>10 260</i>	10 296	713.7	10 508	79.3	10 451	554.2
S3-B	140	159	190	13 616	14 028	<i>13 807</i>	14 053	709.3	13 981	84.2	13 981	570.6
S3-C	140	159	190	17 100	17 297	<i>17 234</i>	17 297	582.9	17 346	99.1	17 346	596.4
S4-A	140	190	190	12 143	12 442	<i>12 341</i>	12 442	1025.1	12 546	129.8	12 462	696.8
S4-B	140	190	190	16 093	16 531	<i>16 442</i>	16 531	953.5	16 695	141.4	16 490	954.6
S4-C	140	190	190	20 375	20 832	<i>20 591</i>	20 832	996.7	20 981	144.9	20 733	934.5
Average				9673.8	9834.1	9773.9	9842.3	351.4	9899.5	45.2	9823.0	291.4
No. optimal					5	5	5	–	2	–	2	–
No. best				–	7	24	7	–	3	–	5	–
Average deviation to the LB (%)				–	1.66	1.03	1.74	–	2.33	–	1.54	–

Optimal solutions are in bold.

New best solutions are in italic.

Phase 2 again to the best solution just found. Finally, Phase 4 applies the TSA to the best solution of the previous phases with the following parameters: $t = N/3$, $k_B = 25N$, and fixed values of $F_{SI} = 1$, $F_{DI} = 10$, $F_{SWAP} = 3$. Phases 3 and 4 were not applied to the Eglese set of problems, as for these larger problems, the additional computing time required gave only a small improvement.

4. Results of experiments

Computational experiments have initially been conducted on three sets of CARP problems that have been studied in the literature. The first set contains 23 instances originally generated by DeArmon [20] and discussed by Golden et al. [19]. The second set is from Benavent et al. [21] containing 34 instances defined on 10 different graphs; for each graph different instances were created by changing the capacity of the vehicles. In both the first two sets of problems, all the edges in the graphs are required edges. The final set was generated by Eglese based on data from a winter gritting

Table 5
Problems from Beullens et al.—set C

Name	V	R	E	LB	Our best	Beullens et al.		TSA Version 1		TSA Version 2	
						Cost	CPU (s) ^a	Cost	CPU (s)	Cost	CPU (s)
C1	69	79	98	1590	1660	1660	325.4	1700	12.7	1660	127.1
C2	48	53	66	1095	1095	1095	7.9	1165	1.6	1095	26.6
C3	46	51	64	875	925	925	172.6	935	3.8	925	19.4
C4	60	72	84	1285	1340	1340	283.7	1515	11.0	1340	65.4
C5	56	65	79	2410	<i>2470</i>	2475	234.3	2630	6.8	<i>2470</i>	47.8
C6	38	51	55	855	895	895	160.4	910	2.7	895	9.3
C7	54	52	70	1735	1795	1795	166.6	1795	5.4	1795	29.9
C8	66	63	88	1640	1730	1730	229.8	1740	7.2	1730	44.4
C9	76	97	117	1775	<i>1820</i>	1825	445.1	1880	24.6	1830	245.9
C10	60	55	82	2190	<i>2270</i>	2290	181.1	2370	5.5	<i>2270</i>	30.6
C11	83	94	118	1725	1815	1815	423.6	1940	22.8	1815	209.4
C12	62	72	88	1510	1610	1610	285.2	1760	10.8	1610	46.2
C13	40	52	60	1050	1110	1110	173.6	1115	4.4	1110	23.8
C14	58	57	79	1620	1680	1680	198.9	1710	6.4	1680	54.6
C15	97	107	140	1765	1860	1860	552.3	1910	30.8	1865	335.3
C16	32	32	42	580	585	585	122.9	585	0.8	585	5.1
C17	43	42	56	1590	1610	1610	137.5	1630	3.2	1610	14.0
C18	93	121	133	2315	2410	2410	565.6	2460	38.8	2415	520.8
C19	62	61	84	1345	1395	1395	210.2	1425	7.0	1400	76.2
C20	45	53	64	665	665	665	1.2	795	1.0	665	2.6
C21	60	76	84	1705	1725	1725	326.9	1725	3.7	1725	32.0
C22	56	43	76	1070	1070	1070	2.8	1070	0.1	1070	0.7
C23	78	92	109	1620	1700	1690	381.6	1775	18.9	1700	99.5
C24	77	84	115	1330	1360	1360	311.4	1405	6.0	1360	78.9
C25	37	38	50	905	905	905	0.3	935	1.9	905	0.7
Average				1449.8	1500.0	1500.8	236.0	1555.2	9.5	1501.0	85.85
No. optimal				—	4	4	—	1	—	4	—
Average deviation to the LB (%)				—	3.46	3.52	—	7.27	—	3.53	—

Optimal solutions are in bold.

New best solutions are in italic.

^aThe original value on a Pentium II at 500 GHz.

application in Lancashire [22–24]. There are 24 instances based on two graphs where the different instances have been created by changing the set of required edges and the capacities of the vehicles. Because of the application from which these instances were generated, the demand quantities for the required edges are proportional to their costs.

The full data for these instances can be obtained from <http://www.uv.es/~belengue/carp.html>.

To give an impression of the relative accuracy of the five initial solution methods, the average solution value was calculated for each method over all the instances in each set and this was compared to the average best known solution from the literature over all the instances in each set. The ratios of these averages are given in Table 1. It will be seen that the quality of these initial solutions is poor compared to the final solutions generated by the TSA.

The results are presented in Tables 2–4. For each instance, an indication of the size of the graph is given, where n is the number of vertices, N is the number of required edges and M is the total number of edges. The tables show the best known results from the literature. The column headed “Our best” gives the result from all runs of our algorithm that included trials of different swap frequencies. The columns headed “CARPET” give the results reported in Hertz et al. [7]. The run times have been divided by 7 to give an approximate comparison between the times on the original computer used by the authors (SGI Indigo2 at 195 MHz) and our algorithm that was coded in C and run using a Pentium Mobile at 1.4 GHz. The columns headed “MA” give the results reported by Lacomme et al. [8], using a Pentium III at 1 GHz. The computing times in their paper have been divided by 1.5 to make them approximately equivalent to

Table 6
Problems from Beullens et al.—set D

Name	V	R	E	LB	Our best	Beullens et al.		TSA Version 1		TSA Version 2	
						Cost	CPU (s)	Cost	CPU (s)	Cost	CPU (s)
D1	69	79	98	725	740	725	11.8	865	2.8	740	62.7
D2	48	53	66	480	480	480	1.2	480	1.6	480	0.8
D3	46	51	64	415	415	415	0.4	415	0.2	415	0.2
D4	60	72	84	615	615	615	0.5	630	4.4	615	1.7
D5	56	65	79	1040	1040	1040	1.4	1080	2.4	1040	2.8
D6	38	51	55	485	485	485	0.2	525	0.7	485	7.9
D7	54	52	70	735	835	835	138.9	915	3.4	835	22.8
D8	66	63	88	615	685	685	195.1	685	4.5	685	49.1
D9	76	97	117	680	680	680	1.1	810	6.1	680	30.1
D10	60	55	82	900	910	910	149.1	910	1.3	910	16.5
D11	83	94	118	920	940	930	368.3	990	8.0	960	112.0
D12	62	72	88	680	680	680	0.4	735	2.5	680	26.0
D13	40	52	60	690	690	690	0.8	695	1.1	695	13.9
D14	58	57	79	920	930	930	180.2	950	1.0	940	21.1
D15	97	107	140	910	950	910	368.8	1100	23.6	950	102.3
D16	32	32	42	170	170	170	0.0	175	0.1	170	0.4
D17	43	42	56	675	675	675	0.2	675	0.0	675	0.0
D18	93	121	133	930	930	930	2.5	1075	13.9	930	190.5
D19	62	61	84	650	680	680	154.8	690	2.7	690	20.1
D20	45	53	64	415	415	415	0.1	420	2.5	415	1.1
D21	60	76	84	695	815	805	251.8	865	2.3	825	60.9
D22	56	43	76	690	690	690	0.3	690	0.0	690	0.0
D23	78	92	109	715	735	735	335.3	780	5.3	735	111.1
D24	77	84	115	620	670	670	248.0	670	10.3	670	105.2
D25	37	38	50	410	410	410	0.0	510	0.2	410	0.6
Average				671.2	690.6	687.6	96.4	733.4	4.0	693.2	38.4
No. optimal					14	15		4	—	13	—
Average deviation to the LB (%)				—	2.89	2.44		9.27	—	3.28	—

Optimal solutions are in bold.

the times we recorded for our algorithm. The best results include those reported by Beullens et al. [9], Baldacci and Maniezzo [13] and Longo et al. [14].

In Tables 2 and 3, if the best known or our best solution is optimal then it is shown in bold. Optimality can be proved for many of the problems in the first two sets using the lower bounding procedures described in Belenguer and Benavent [25]. However, these lower bounding procedures still leave a gap between the lower bound and the best known solutions for the larger problems in the third set. Ahr [26], Baldacci and Maniezzo [13] and Longo et al. [14] have found improvements to some of these lower bounds and the column LB in Table 4 gives the best lower bounds found so far for these problems. Note that the lower bounds reported for the second set of test problems in Belenguer and Benavent [25] differ from those reported in Hertz et al. [7] and Lacomme et al. [8]. This is due to a different cost being used for servicing the required edges. As this is a fixed cost incurred by any solution, the consequence is just that a constant term is needed to adjust the results. Details of the adjustments needed are provided in Belenguer and Benavent [25].

The results show that the TSA is capable of providing high quality results. Using the best results from all trials of the TSA with varying swap frequencies and running times, “our best” solutions match the best solutions found for all instances in the first set, all instances except the last one in the second set and in the third set of large instances, “our best” solutions are at least as good as the best published results in all 24 instances and in 17 of these instances, a new best solution has been found using the TSA.

However in order to properly evaluate the TSA, we should examine the results of Version 1 and Version 2 where the parameters have been fixed. In our computational experiments, we have followed the practice of other researchers by

Table 7
Problems from Beullens et al.—set E

Name	V	R	E	LB	Our best	Beullens et al.		TSA Version 1		TSA Version 2	
						Cost	CPU (s)	Cost	CPU (s)	Cost	CPU (s)
E1	73	85	105	1855	<i>1935</i>	1940	342	2160	13.4	1935	203.1
E2	58	58	81	1580	1610	1610	188.6	1700	5.5	1610	53.2
E3	46	47	61	750	750	750	0.8	750	0.1	750	7.7
E4	70	77	99	1580	1615	1610	328.3	1760	11.1	1615	132.2
E5	68	61	94	2130	<i>2160</i>	2170	214.1	2235	8.5	<i>2160</i>	81.9
E6	49	43	66	670	670	670	0.1	670	0.0	670	0.7
E7	73	50	94	1780	1900	1900	161.7	1900	0.9	1900	39.1
E8	74	59	98	2080	2150	2150	221.6	2180	6.6	2155	83.7
E9	93	103	141	2160	2295	2250	440.2	2440	24.3	2300	257.3
E10	56	49	76	1690	1690	1690	0.2	1690	1.3	1690	3.7
E11	80	94	113	1810	<i>1840</i>	1850	420.4	1980	22.4	1855	174.3
E12	74	67	103	1580	<i>1705</i>	1710	264.7	1735	7.1	1730	89.7
E13	49	52	73	1300	1325	1325	178.5	1415	1.0	1325	39.0
E14	53	55	72	1780	1810	1810	190.2	1840	3.8	1810	45.4
E15	85	107	126	1555	1610	1610	503.4	1645	29.2	1610	303.3
E16	60	54	80	1785	1825	1825	199.3	1870	5.5	1825	70.7
E17	38	36	50	1290	1290	1290	6.3	1325	1.6	1290	0.0
E18	78	88	110	1600	1610	1610	363.1	1660	5.0	1610	123.2
E19	77	66	103	1400	1435	1435	211.5	1475	7.9	1435	101.6
E20	56	63	80	950	990	990	232.2	1020	7.3	990	78.8
E21	57	72	82	1700	1705	1705	293.4	1790	3.8	1705	78.4
E22	54	44	73	1155	1185	1185	129	1215	2.8	1185	30.7
E23	93	89	130	1395	1435	1430	394.8	1530	17.8	1445	189.6
E24	97	86	142	1695	1785	1785	362.1	1850	13.4	1785	112.5
E25	26	28	35	655	655	655	0	655	0.1	655	0.1
Average				1517.0	1559.2	1558.2	225.9	1619.6	8.0	1561.6	92.0
No. optimal				—	5	5	—	4	—	5	—
Average deviation to the LB (%)				—	2.78	2.71	—	6.76	—	2.94	—

Optimal solutions are in bold.

New best solutions are in italic.

halting the programme when a known optimal solution has been found. As expected, Version 1 is faster and the average solution over all the instances in each set only exceeds the best known solution or lower bound on the optimal solution (for the third set) by 0.55%, 0.90% and 2.33%, respectively, for the three problem sets. Version 2 requires longer, but the average solution over all the instances in each set exceeds the best known solution or lower bound on the optimal solution (for the third set) by 0.08%, 0.15% and 1.54%, respectively.

Comparison can be made with CARPET for the first two sets of problems. This shows that the average solution values given by CARPET and Version 1 are very similar, but Version 1 is much faster over all the problems. Version 2 gives slightly better solutions on average than CARPET, finds more best solutions and is still significantly faster.

Comparison can be made with MA for all three sets of problems. Version 1 is much faster than MA, but the results are not quite so good. Version 2 gives results that are slightly better and the computing time is lower than required for MA.

Further experiments were conducted on the 100 CARP data sets generated by Beullens et al. [9] based on the inter-city road network in Flanders (Belgium). Tables 5–8 show the results for the four sets of instances, C, D, E and F. Sets D and F are based on the same networks, but using vehicles of larger capacity. As in Beullens et al. [9], the results are reported in terms of the cost of deadheading only. The results show that the TSA is competitive with the guided local search heuristic proposed by Beullens et al. [9]. Over the 100 problems, the TSA equalled the best solution found by the guided local search heuristic for 78 instances and additionally found seven new best solutions.

Table 8
Problems from Beullens et al.—set F

Name	V	R	E	LB	Our best	Beullens et al.		TSA Version 1		TSA Version 2	
						Cost	CPU (s)	Cost	CPU (s)	Cost	CPU (s)
F1	73	85	105	1065	1070	1065	3.4	1090	11.5	1085	88.5
F2	58	58	81	920	920	920	2.3	940	2.6	920	0.3
F3	46	47	61	400	400	400	0.0	480	1.8	400	1.3
F4	70	77	99	930	945	940	247.8	970	2.7	960	63.7
F5	68	61	94	1180	1180	1180	0.2	1185	1.8	1180	17.1
F6	49	43	66	490	490	490	0.0	540	0.4	490	0.0
F7	73	50	94	1080	1080	1080	0.1	1110	3.6	1080	1.7
F8	74	59	98	1135	1145	1145	185.5	1155	1.1	1145	35.7
F9	93	103	141	1145	1155	1145	3.7	1520	7.4	1170	145.9
F10	56	49	76	1010	1010	1010	0.1	1010	0.1	1010	0.1
F11	80	94	113	1015	1015	1015	4.5	1100	8.5	1015	125.8
F12	74	67	103	900	910	910	228.7	1000	4.9	910	47.9
F13	49	52	73	835	835	835	0.2	855	0.8	835	0.2
F14	53	55	72	1025	1035	1025	18.8	1085	2.5	1035	29.7
F15	85	107	126	945	965	945	1.3	1315	22.1	990	145.4
F16	60	54	80	775	775	775	0.1	945	1.9	775	3.4
F17	38	36	50	605	605	605	0.1	660	0.3	630	4.7
F18	78	88	110	835	850	850	274.5	945	13.9	850	92.9
F19	77	66	103	685	725	725	158.0	740	1.5	740	34.9
F20	56	63	80	610	610	610	1.3	610	0.3	610	9.8
F21	57	72	82	905	905	905	4.0	940	2.1	905	45.5
F22	54	44	73	790	790	790	0.4	790	0.1	790	0.4
F23	93	89	130	705	730	725	319.6	895	4.6	730	80.2
F24	97	86	142	975	1010	975	26.6	1040	10.3	1010	79.2
F25	26	28	35	430	430	430	0.1	430	0.0	430	0.1
Average				855.6	863.4	859.8	59.3	934.0	4.3	867.8	42.2
No. optimal				—	14	19	—	4	—	13	—
Average deviation to the LB (%)				—	0.91	0.49	—	9.16	—	1.43	—

Optimal solutions are in bold.
New best solutions are in italic.

Table 9
Large problems

Name	V	R	E	Initial solution cost	TSA Version 1	
				(path scanning method)	Cost	CPU (s)
G1-A	255	347	375	1 318 092	1 049 708	789.4
G1-B	255	347	375	1 483 179	1 140 692	867.3
G1-C	255	347	375	1 584 177	1 282 270	919.1
G1-D	255	347	375	1 744 159	1 420 126	850.8
G1-E	255	347	375	1 841 023	1 583 133	672.2
G2-A	255	375	375	1 416 720	1 129 229	1455.6
G2-B	255	375	375	1 559 464	1 255 907	1122.3
G2-C	255	375	375	1 704 234	1 418 145	849.0
G2-D	255	375	375	1 918 757	1 516 103	1805.3
G2-E	255	375	375	1 998 355	1 701 681	879.9
Average				1 656 816.0	1 349 699.4	1021.1

Finally a new set of large instances was created for testing. These were based on a different road network in Lancashire that had been used in a winter gritting study with 255 vertices and 375 edges. Different problem instances were created by changing the set of edges required for service and by changing the capacity of the vehicles. Table 9 shows the results from using one of the classical heuristics, the path scanning method, and comparing it with the solution provided by running Version 1 of the TSA. The path scanning method is fast, taking an average of only 0.27 s to find a solution. However the TSA improved the results from the path scanning method by 18.5%, taking an average time of 1021.1 s.

5. Conclusions

The paper has demonstrated that the TSA is able to provide high quality solutions to the capacitated arc routing problem (CARP) in a reasonable computing time. Several new best solutions are provided for the Eglese set of test problems that have been studied by other researchers.

The results presented demonstrate the good performance of the TSA compared to CARPET and the memetic algorithms approach (MA) of Lacomme et al. [8]. In addition, the TSA is a deterministic algorithm, so all the results are fully reproducible. Both CARPET and MA include several random elements, so different runs of these algorithms may produce different results. CARPET is also complex in the subroutines used within the algorithm. MA is also a complex algorithm and although it has the potential to be easily extended to other problems, it requires many parameters to be set.

The guided local search approach of Beullens et al. [9] describes an alternative deterministic algorithm for solving the CARP. Their approach also provides high quality solutions in a limited computation time. The results show that the TSA is competitive with their approach and has found some new best solutions for the problem instances they introduced.

This paper demonstrates that a relatively straightforward implementation of tabu search (without any long-term memory component or any other procedures to encourage diversification apart from different starting solutions and different frequencies for different types of move) is able to produce high quality solutions to the CARP in an efficient manner.

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