Lecture 5 Synchronization

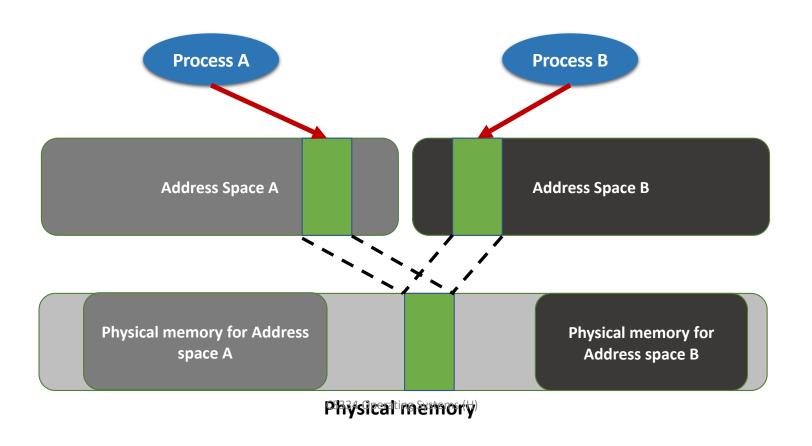
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Summer 2022

Process Communication

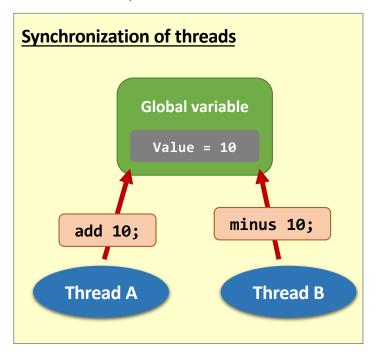
- Threads of the same process share the same address space
 - Global variables are shared by multiple threads
 - Communication between threads made easy
- · Process may also need to communicate with each other
 - Information sharing:
 - · e.g., sharing between Android apps
 - Computation speedup:
 - e.g., Message Passing Interface (MPI)
 - Modularity and isolation:
 - e.g., Chrome's multi-process architecture

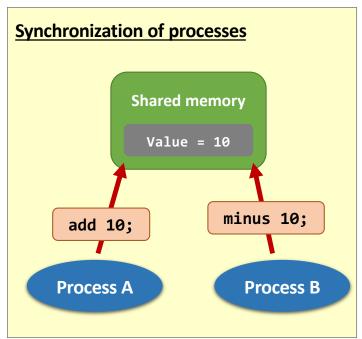
Shared Memory between Processes



Synchronization of Threads/Processes

Process and thread synchronization can be considered in similar way





Synchronization of Threads/Processes

High-level language for Program A

```
1 attach to the shared memory X;
2 add 10 to X;
```

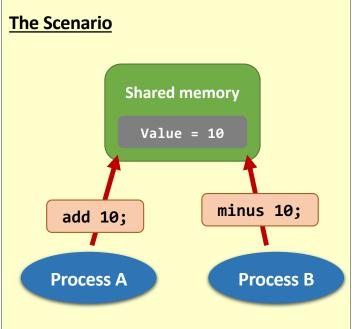
3 exit;

Partial low-level language for Program A

- 1 attach to the shared memory X;
- 2.1 load memory X to register A;
- 2.2 add 10 to register A;
- 2.3 write register A to memory X;

• • • • •

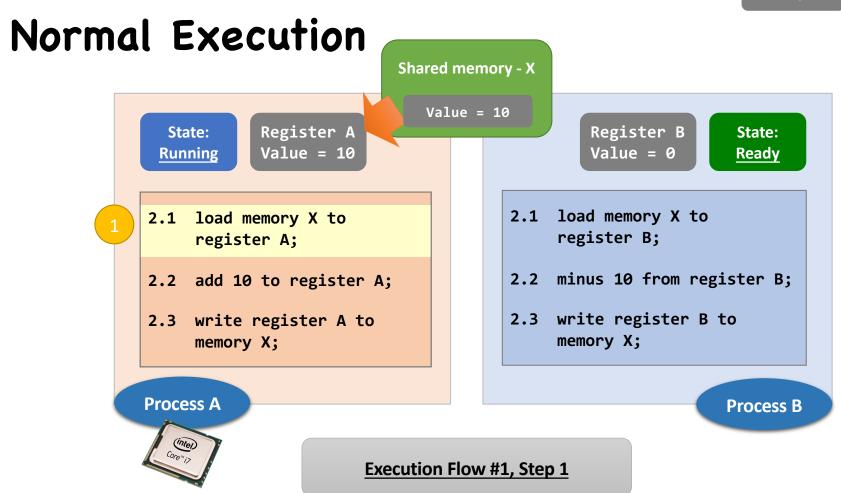
3 exit;



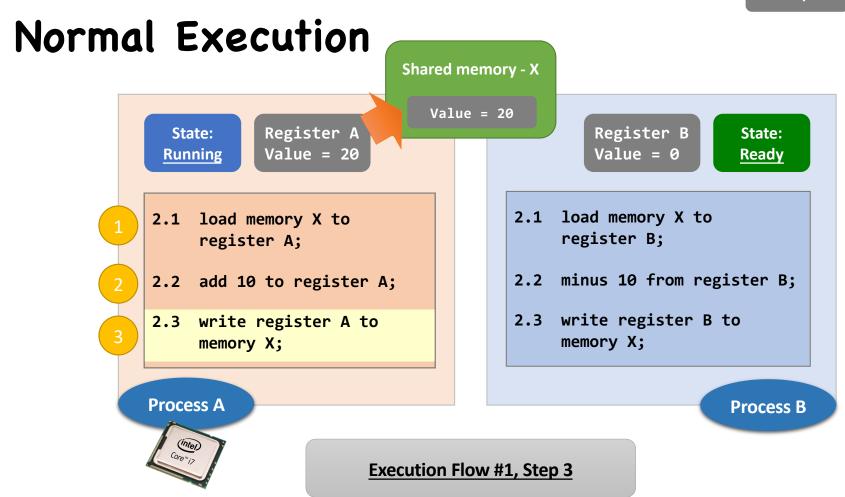


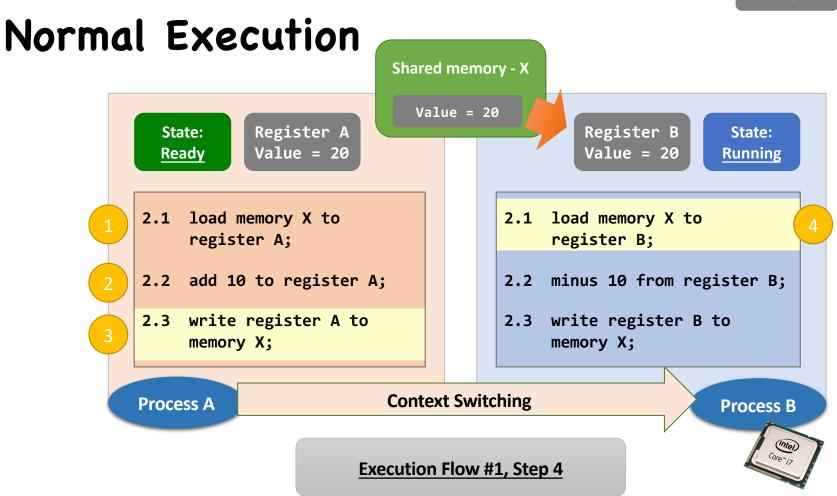
Race Condition **Shared memory - X** Value = 10 Register A Register B State: State: Value = 0 Value = 0 Ready Ready 2.1 load memory X to 2.1 load memory X to register A; register B; 2.2 add 10 to register A; 2.2 minus 10 from register B; 2.3 write register A to 2.3 write register B to memory X; memory X; **Process A Process B**

The initial setting

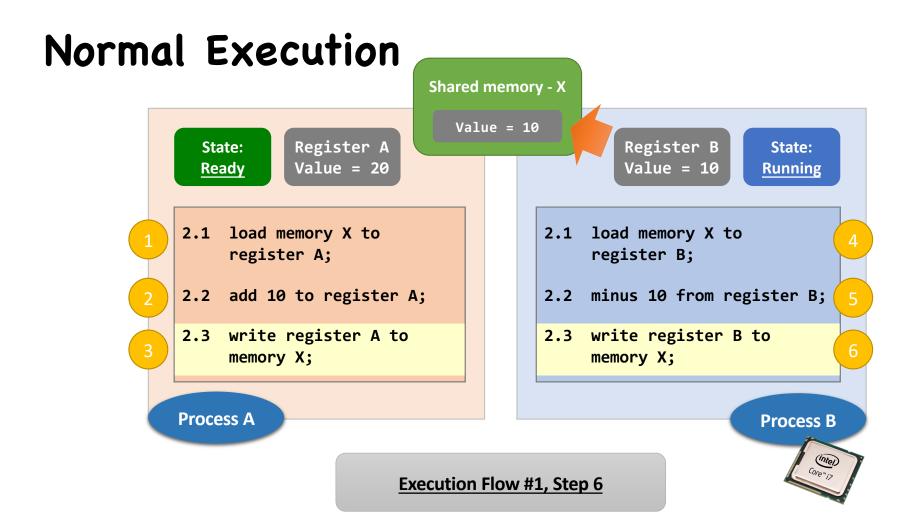


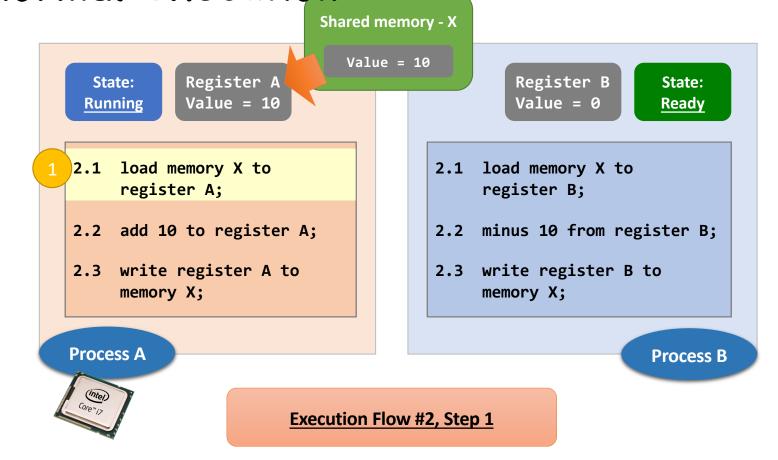
Normal Execution **Shared memory - X** Value = 10 Register A Register B State: State: Value = 20 Value = 0 **Running** Ready 2.1 load memory X to 2.1 load memory X to register B; register A; 2.2 minus 10 from register B; 2.2 add 10 to register A; 2.3 write register B to 2.3 write register A to memory X; memory X; **Process A Process B** Core" 17 **Execution Flow #1, Step 2**

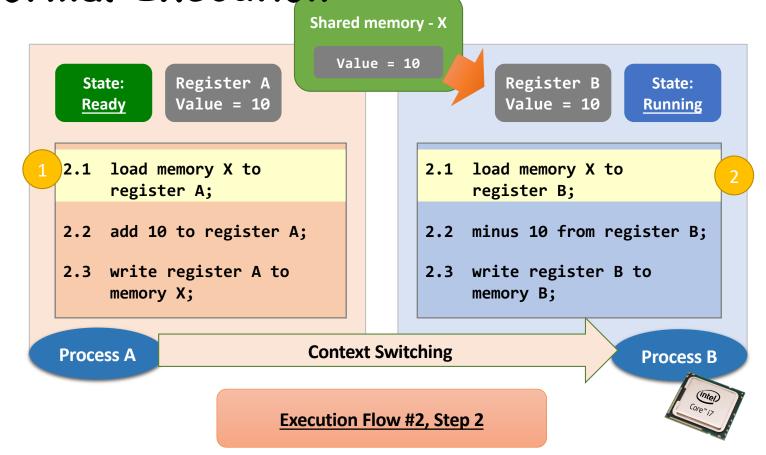


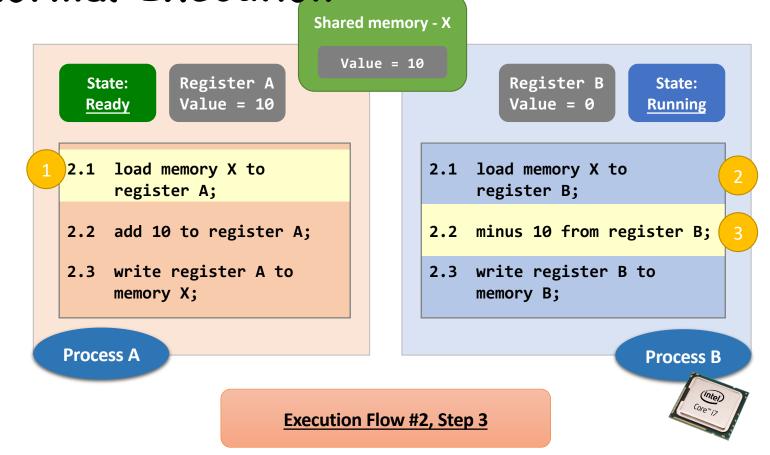


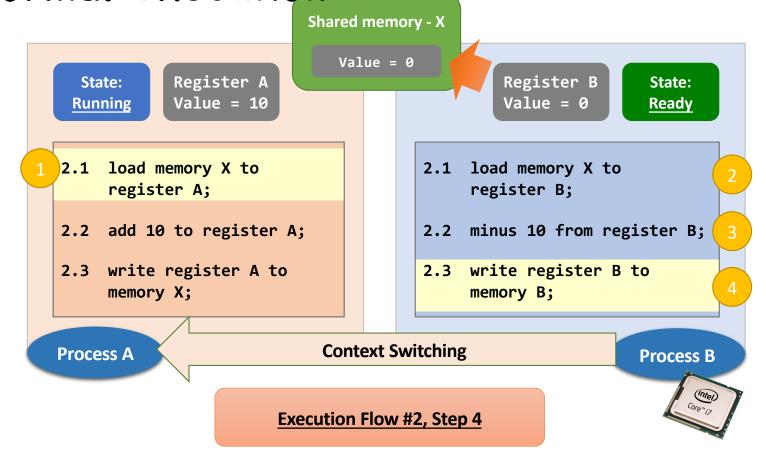
Normal Execution Shared memory - X Value = 20 Register A Register B State: State: Value = 20 Value = 10 Ready Running 2.1 load memory X to 2.1 load memory X to register A; register B; 2.2 minus 10 from register B; 2.2 add 10 to register A; 2.3 write register A to 2.3 write register B to memory X; memory X; **Process A Process B Execution Flow #1, Step 5**

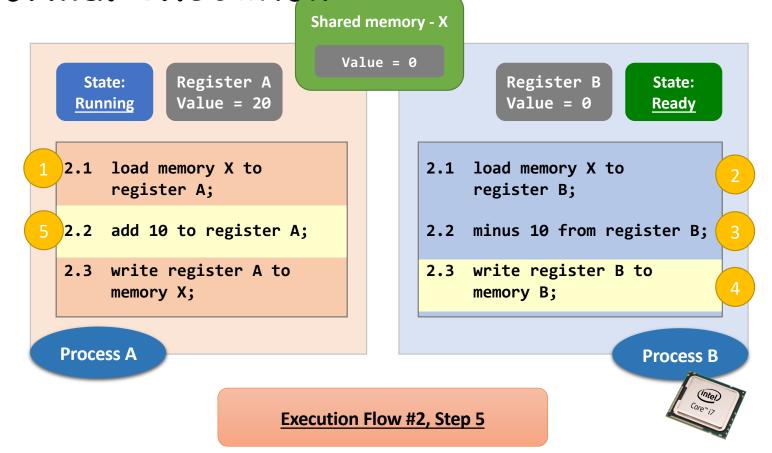


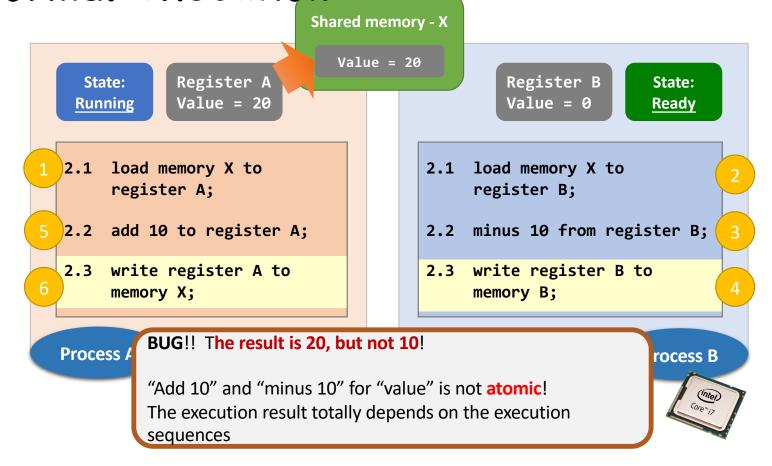








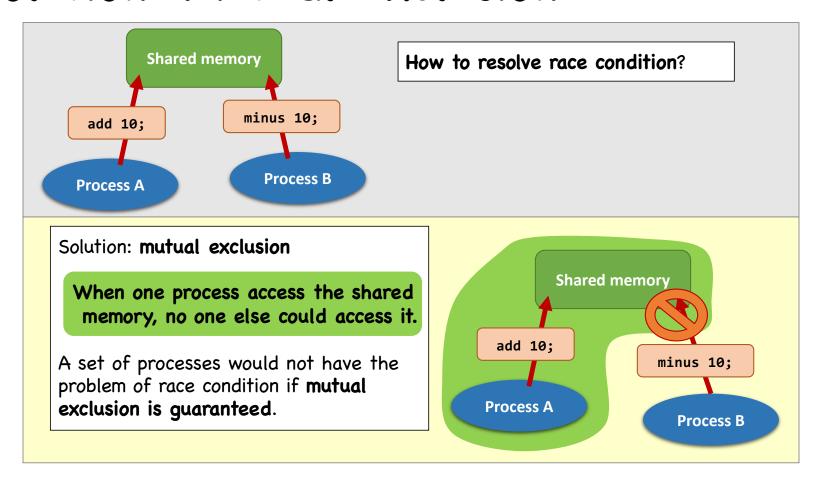




Race Condition

- The above scenario is called the race condition.
 - May happen whenever "shared object" + "multiple processes/threads" + "concurrently"
- A race condition means
 - The outcome of an execution depends on a particular order in which the shared resource is accessed.
- Remember: race condition is always a bad thing and debugging race condition is a nightmare!
 - It may end up ...
 - 99% of the executions are fine.
 - 1% of the executions are problematic.

Solution: Mutual Exclusion



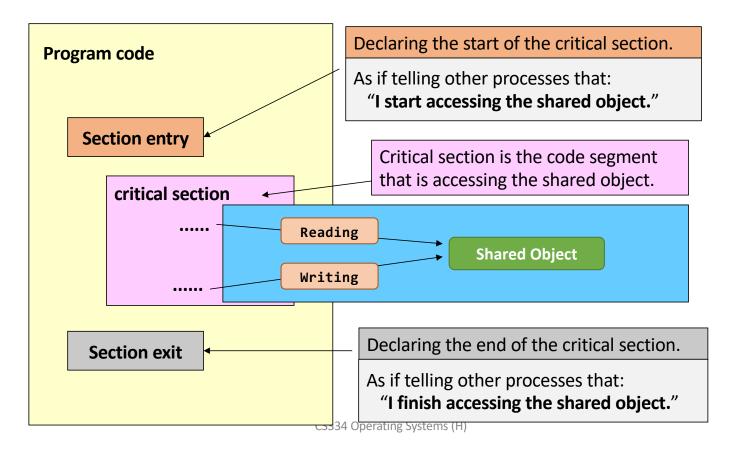
Solution: Mutual Exclusion

- · Shared object is still sharable, but
- Do not access the "shared object" at the same time
- · Access the "shared object" one by one





Critical Section: Realizing Mutual Exclusion



Critical Section: Realizing Mutual Exclusion

Need a section entry here

- 2.1 load memory X to register A;
- 2.2 add 10 to register A;
- 2.3 write register A to memory X;

Need a section exit here

Need a section entry here

- 2.1 load memory X to register B;
- 2.2 <u>minus 10</u> from register B;
- 2.3 write register B to memory X;

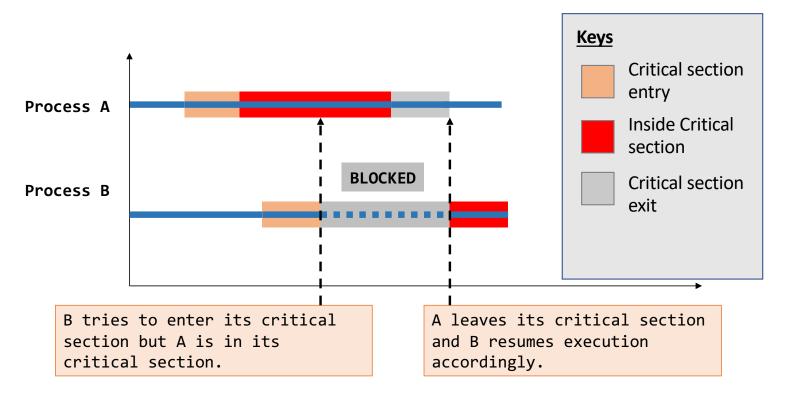
Need a section exit here

Process A

When process A is entering its critical section, process B cannot enter its critical section.

Process B

A Typical Mutual Exclusion Scenario



Summary

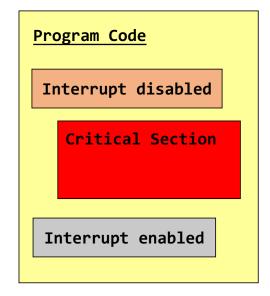
- Race condition
 - · happens when programs accessing a shared object
 - The outcome of the computation totally depends on the execution sequences of the processes involved.
- Mutual exclusion is a requirement
 - If it could be achieved, then the problem of the race condition would be gone.
- A critical section is the code segment that access shared objects.
 - Critical section should be as tight as possible.
 - Well, you can set the entire code of a program to be a big critical section.
 - But, the program will have a very high chance to block other processes or to be blocked by other processes.
 - Note that one critical section can be designed for accessing more than one shared objects.

Critical Section Implementation

- Requirement #1. Mutual Exclusion
 - No two processes could be simultaneously go inside their own critical sections.
- Requirement #2. Bounded Waiting
 - Once a process starts trying to enter its critical section, there is a bound on the number of times other processes can enter theirs.
- Requirement #3. Progress
 - Say no process currently in critical section.
 - · One of the processes trying to enter will eventually get in

Solution: Disabling Interrupts

- Disabling interrupts when the process is inside the critical section.
- When a process is in its critical section, no other processes could be able to run.
- Uni-core: Correct but not permissible
 - User level: what if one enters a critical section and loops infinitely?
 - OS cannot regain control if interrupt is disabled
 - Kernel level: yes, correct and permissible
- Multi-core: Incorrect
 - if there is another core modifying the shared object in the memory (unless you disable interrupts on all cores!!!!)



Solution: Locks

- Use yet another shared objects: locks
 - · What about race condition on lock?
 - Atomic instructions: instructions that cannot be "interrupted", not even by instructions running on another core
- Spin-based locks
 - Process synchronization
 - · Basic spinning using 1 shared variable
 - Peterson's solution: Spin using 2 shared variables
 - Thread synchronization: pthread_spin_lock
- Sleep-based locks
 - Process synchronization: POSIX semaphore
 - Thread synchronization: pthread_mutex_lock

Spin-based Locks

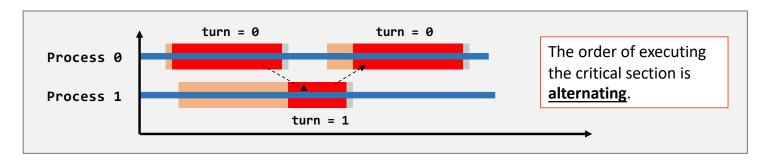
 Loop on a shared object, turn, to detect the status of other processes

```
Shared object "turn"
                                        initial value = 0
                                              1 while (TRUE) {
1 while (TRUE) {
     while( turn != 0 )
                                                   while( turn != 1 )
       ; /* busy waiting */
                                                     ; /* busy waiting */
     critical_section();
                                                   critical_section();
     turn = 1;
                                                   turn = 0;
5
     remainder_section();
                                                    remainder_section();
7 }
                                              7
                      Process 0
                                                                    Process1
                                 Operating Systems (H)
```

Spin-based Locks (Cont'd)

```
turn = 0
                                       turn = 0
                                                            The order of executing
Process 0
                                                            the critical section is
                                                            alternating.
Process 1
                             turn = 1
                 Shared object "turn"
                                           initial Value = 0
1 while (TRUE) {
                                                 1 while (TRUE) {
     while( turn != 0 )
                                                      while( turn != 1 )
       ; /* busy waiting */
                                                         ; /* busy waiting */
     critical_section();
                                                      critical_section();
     turn = 1;
                                                      turn = 0;
                                                 5
     remainder_section();
                                                       remainder_section();
6
7 }
                                  384 Operating Systems (H)
                       Process 0
                                                                        Process1
```

Spin-based Locks (Cont'd)



- Correct but waste CPU resources
 - OK for short waiting (spin-time < context-switch-overhead)
 - Especially these days we have multi-core
 - Will not block other irrelevant processes a lot
- Impose a "strict alternating" order
 - Sometimes you give me my turn but I'm not ready to enter critical section yet

Spin-based Locks: Progress Violation

- Consider the following sequence:
 - ProcessO leaves cs(), set turn=1
 - Process1 enters cs(), leaves cs(), set turn=0, work on remainder_section_slow()
 - ProcessO loops back and enters cs() again, leaves cs(), set turn=1
 - ProcessO finishes its <u>remainder_section()</u>, go back to top of the loop
 - It can't enter its cs() (as turn=1)
 - That is, process0 gets blocked, but <u>Process1 is outside its cs()</u>, it is at its <u>remainder_section_slow()</u>

```
1 while (TRUE) {
2  while( turn != 0 )
3  ; /* busy waiting */
4  cs();
5  turn = 1;
6  remainder_section();
7 }
Process 0
```

```
1 while (TRUE) {
2   while( turn != 1 )
3   ; /* busy waiting */
4   cs();
5   turn = 0;
6   remainder_section_slow ();
7  }
Process 1
```

Turn = 1

Spin-based Locks: Progress Violation

- Consider the following sequence:
 - ProcessO leaves cs(), set turn=1
 - Process1 enters cs(), leaves cs(), set turn=0, work on remainder_section-slow()
 - ProcessO loops back and enters cs() again, leaves cs(), set turn=1
 - ProcessO finishes its <u>remainder_section()</u>, go back to top of the loop
 - It can't enter its cs() (as turn=1)
 - That is, process0 gets blocked, but Process1 is outside its cs(), it is at its remainder_section-slow()

```
Has to wait...

1 while (TRUE) {
2 while( turn != 0 )
3 ; /* busy waiting */
4 cs();
5 turn = 1;
6 remainder_section();
7 }

Process 0
```

```
1 while (TRUE) {
2   while( turn != 1 )
3   ; /* busy waiting */
4   cs();
5   turn = 0;
6   remainder_section_slow ();
7 }
```

Turn = 1

Peterson's Solution: Improved Spin-based Locks

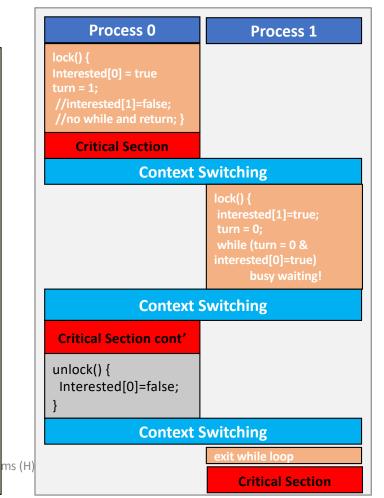
```
1 int turn;
                                   /* whose turn is it next */
2 int interested[2] = {FALSE,FALSE}; /* express interest to enter cs*/
4 void lock( int process ) { /* process is 0 or 1 */
                             /* number of the other process */
   int other;
6 other = 1-process; /* other is 1 or 0 */
7 interested[process] = TRUE; /* express interest */
   turn = other;
     while ( turn == other &&
            interested[other] == TRUE )
10 ; /* busy waiting */
11 }
12
13 void unlock( int process ) {    /* process: who is leaving */
     interested[process] = FALSE; /* I just left critical region */
14
15 }
```

Peterson's Solution: Improved Spin-based Locks

```
1 int turn;
  int interested[2] = {FALSE,FALSE};
                                                 Express interest to enter CS
4 void lock( int process ) {
      int other;
   other = 1-process;
   interested[process] = TRUE;
                                                 Being polite and let other go
     turn = other; ◀
                                                first
      while ( turn == other &&
             interested[other] == TRUE >
            /* busy waiting */
10
                                                   If other is not interested, I can
11 }
                                                   always go ahead
12
13
   void unlock( int process ) {
14
      interested[process] = FALSE;
15 }
                                            ms (H)
```

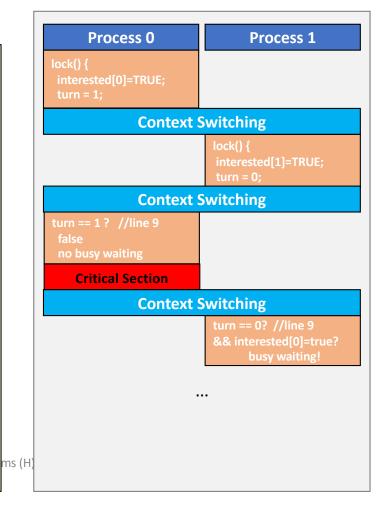
Peterson's Solution

```
1 int turn;
 2 int interested[2] = {FALSE,FALSE};
4 void lock( int process ) {
     int other;
     other = 1-process;
     interested[process] = TRUE;
     turn = other;
8
9
     while ( turn == other &&
             interested[other] == TRUE )
           /* busy waiting */
10
11 }
12
13
   void unlock( int process ) {
14
     interested[process] = FALSE;
15 }
```



Peterson's Solution

```
1 int turn;
2 int interested[2] = {FALSE,FALSE};
4 void lock( int process ) {
     int other;
     other = 1-process;
     interested[process] = TRUE;
     turn = other;
8
9
     while ( turn == other &&
             interested[other] == TRUE )
       ; /* busy waiting */
10
11 }
12
   void unlock( int process ) {
13
14
     interested[process] = FALSE;
15 }
```



Peterson's Solution Summary

- Mutual exclusion
 - interested[0] == interested[1] == true
 - turn == 0 or turn == 1, not both
- Progress
 - If only Po to enter critical section
 - interested[1] == false, thus P_0 enters critical section
 - If both P_0 and P_1 to enter critical section
 - interested[0] == interested[1] == true and (turn == 0 or turn == 1)
 - One of P_0 and P_1 will be selected
- · Bounded-waiting
 - If both P_0 and P_1 to enter critical section, and P_0 selected first
 - When P₀ exit, interested[0] = false
 - If P₁ runs fast: interested[0] == false, P₁ enters critical section
 - If P_0 runs fast: interested[0] = true, but turn = 0, P_1 enters critical section

Multi-Process Mutual Exclusion

```
do {
        waiting[i] = TRUE;
        key = TRUE;
        while (waiting[i] && key)
                   key = test_and_set(&lock);
        waiting[i] = FALSE;
                   // critical section
        j = (i + 1) \% n;
        while ((j != i) && !waiting[j])
                   j = (j + 1) \% n;
        if(j == i)
                   lock = FALSE;
        else
                   waiting[j] = FALSE;
                   // remainder section
} while (TRUE);
                                 CS334 Operating Systems (H)
```

Multi-Process Mutual Exclusion (Cont'd)

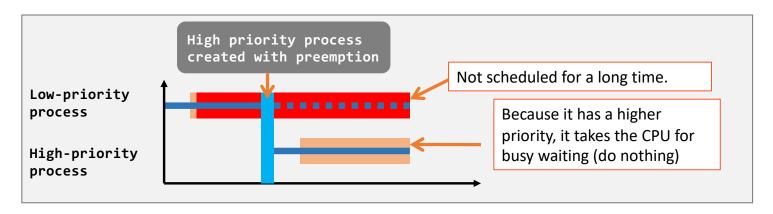
- Support n processes
 - boolean waiting[n]
 - boolean lock
 - initially FALSE
- A process can enter the critical section if either waiting[i] == FALSE or key == FALSE
 - key is local variable
 - All process must execute test_and_set() at least once
 - The first one call test_and_set() with lock==FALSE wins
 - key = FALSE
 - lock == TRUE after the first process executes test_and_set()
 - key = TRUE
- Mutual exclusion and progress are satisfied

Multi-Process Mutual Exclusion (Cont'd)

- · When a process leaves the critical section
- It scans the array waiting[n] in a cyclic order (i+1, i+2, ... n-1, 0, 1, ..., i-1)
- The first process with waiting[j] == TRUE enters the critical section next
- Bounded-waiting: Any process waiting to enter its critical section will do so within n-1 turns.
- If no other process to enter critical section: i==j
 - lock = FALSE

Priority Inversion

- Priority/Preemptive Scheduling (Linux, Windows... all OS...)
 - A low priority process L is inside the critical region, but ...
 - A high priority process **H** gets the CPU and wants to enter the critical region.
 - But H cannot lock (because L has not unlock)
 - So, H gets the CPU to do nothing but spinning



Sleep-based Lock: Semaphore

- Semaphore is just a struct, which includes
 - an integer that counts the # of resources available
 - Can do more than solving mutual exclusion
 - a wait-list
- The trick is still the section entry/exit function implementation
 - Must involve kernel (for sleep)
 - Implement uninterruptable section entry/exit
 - Disable interrupts (on single core)
 - Atomic instructions (on multiple cores)

Semaphore

```
typedef struct {
   int value;
   list process_id;
} semaphore;
```

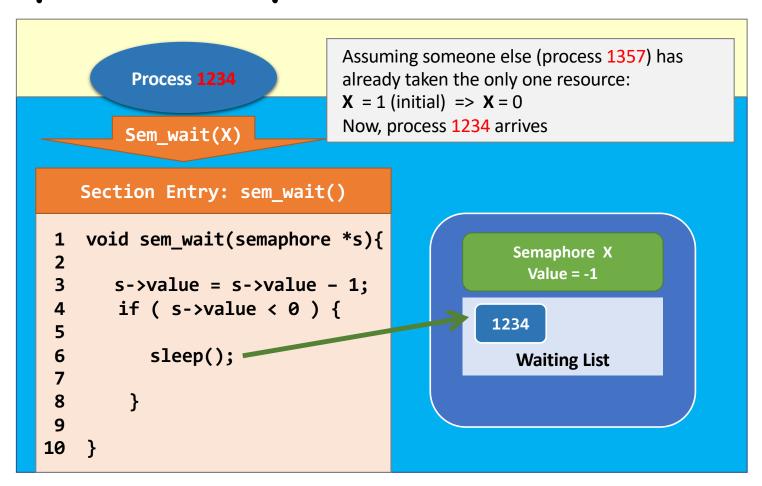
Initialize s->value = 1

>value <= 0

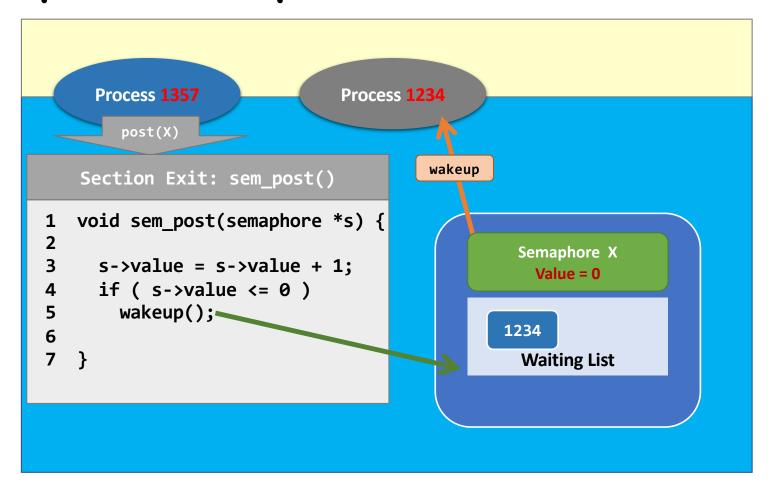
```
Section Exit: sem_post()

1  void sem_post(semaphore *s) {
2
3   s->value = s->value + 1;
4   if ( s->value <= 0 )
5     wakeup();
6
7 }</pre>
```

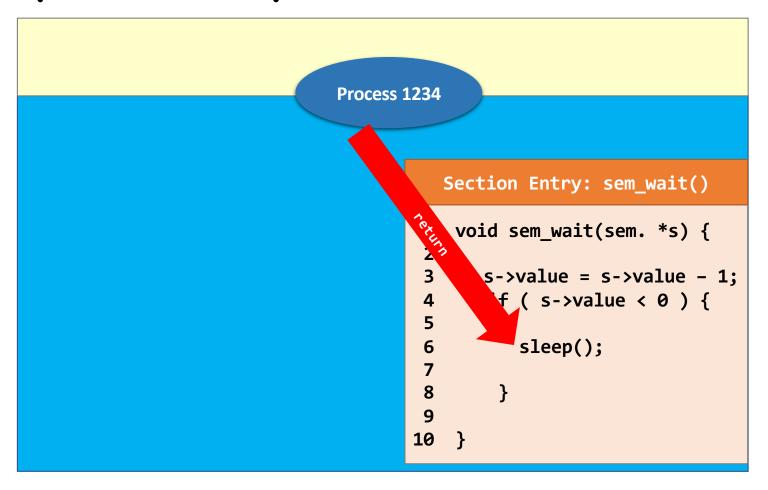
Semaphore Example



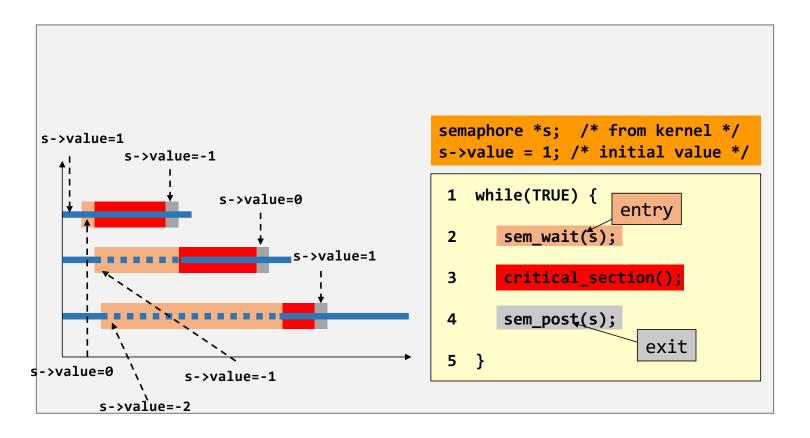
Semaphore Example



Semaphore Example



Using Semaphore in User Process



Semaphore Implementation

- Must guarantee that no two processes can execute sem_wait() and sem_post() on the same semaphore at the same time
- Thus, implementation becomes the critical section problem where the wait and signal code are placed in the critical section.
 - Need to disable interrupt on single-processor machine
 - use atomic instruction cmp_xchg() on multi-core architecture

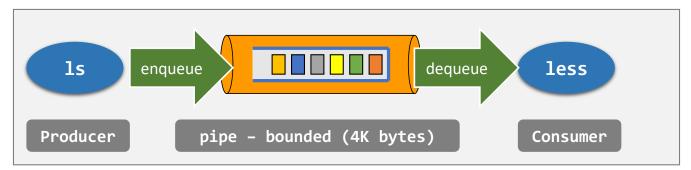
Using Semaphore beyond Mutual Exclusion

- Producer-Consumer Problem
 - Two types of processes: <u>producer</u> and <u>consumer</u>;
 - At least one producer and one consumer.
- Dining Philosopher Problem
 - Only one type of process
 - At least two processes.
- Reader Writer Problem
 - Multiple readers, one writer

Producer-consumer Problem

- Also known as the boundedbuffer problem.
- Single-object synchronization

A bounded buffer	-It is a shared object;-Its size is bounded, say N slots.-It is a queue (imagine that it is an array implementation of queue).
A producer process	-It produces a unit of data, and -writes a piece of data to the tail of the buffer at one time.
A consumer process	-It removes a unit of data from the head of the bounded buffer at one time.

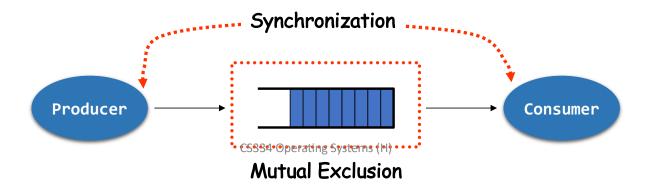


CS334 Operating Systems (H)

Producer-consumer Problem

Requirement #1	When the <u>producer</u> wants to (a) put a new item in the buffer, but (b) the buffer is already full
	Then, the producer should <u>wait</u> .
	The consumer should notify the producer after she has dequeued an item.
Requirement #2	When the <u>consumer</u> wants to (a) consumes an item from the buffer, but (b) the buffer is empty
	Then, the consumer should <u>wait</u> .
	The producer should notify the consumer after she has enqueued an item.

- The problem can be divided into two sub-problems.
 - · Mutual exclusion with one binary semaphore
 - The buffer is a shared object.
 - Synchronization with two counting semaphores
 - Notify the producer to stop producing when the buffer is full
 - In other words, notify the producer to produce when the buffer is NOT full
 - Notify the consumer to stop eating when the buffer is empty
 - In other words, notify the consumer to consume when the buffer is NOT empty



#define N 100 semaphore mutex = 1; semaphore avail = N; semaphore fill = 0;

```
Note
The size of the bounded buffer is "N".
fill: number of occupied slots in buffer
avail: number of empty slots in buffer
```

Abstraction of semaphore as integer!

```
Producer function
    void producer(void) {
        int item;
 2
 3
 4
        while(TRUE) {
            item = produce item();
 6
            wait(&avail);
 7
            wait(&mutex);
 8
            insert item(item);
 9
            post(&mutex);
10
            post(&fill);
11
12 }
```

```
Consumer Function
    void consumer(void) {
        int item;
 2
 3
 4
        while(TRUE) {
            wait(&fill);
            wait(&mutex);
 6
 7
            item = remove item();
 8
            post(&mutex);
            post(&avail);
 9
            //consume the item;
10
11
        }
12 }
```

```
Note
6: (Producer) I wait for an available
slot and acquire it if I can

10: (Producer) I notify the others
that I have filled the buffer
```

```
Producer function
    void producer(void) {
        int item;
 2
 3
 4
        while(TRUE) {
            item = produce item();
 6
            wait(&avail);
            wait(&mutex);
 7
            insert_item(item);
 8
            post(&mutex);
 9
10
            post(&fill);
11
12 }
```

Note 6: (Producer) I wait for an available slot and acquire it if I can 10: (Producer) I notify the others that I have filled the buffer

```
Producer function
    void producer(void) {
        int item;
 2
 3
 4
        while(TRUE) {
            item = produce item();
 6
            wait(&avail);
 7
            wait(&mutex);
 8
            insert item(item);
 9
            post(&mutex);
10
            post(&fill);
11
12 }
```

```
Note
5: (Consumer) I wait for someone to
fill up the buffer and proceed if I can
9: (Consumer) I notify the others that
I have made the buffer with a new
available slot
```

```
Consumer Function
    void consumer(void) {
        int item;
 2
 3
 4
        while(TRUE) {
            wait(&fill);
            wait(&mutex);
 6
 7
            item = remove item();
 8
            post(&mutex);
            post(&avail);
 9
            //consume the item;
10
11
        }
12 }
```

12 }

```
Necessary to use both "avail" and "fill"?

Let us try to remove semaphore fill?
```

Shared object

```
#define N 100
typedef int semaphore;
semaphore mutex = 1;
semaphore avail = N;
semaphore fill = 0;
```

Producer function void producer(void) { 2 int item; 3 4 while(TRUE) { item = produce item(); 6 wait(&avail); 7 wait(&mutex); 8 insert_item(item); post(&mutex); 9 10 post(&fill); 11

Consumer Function void consumer(void) { int item; 2 3 4 while(TRUE) { _5_ wait(&fill); wait(&mutex); 6 7 item = remove_item(); post(&mutex); 8 post(&avail); 9 //consume the item; 10 11 12 }

```
Just view wait(avail) as -- resource?
Just view post(avail) as ++ resource?
```

```
producer avail-- by waitconsumer avail++ by postProblem solved?
```

Producer function

```
void producer(void) {
 2
        int item;
 3
        while(TRUE) {
 4
            item = produce item();
            wait(&avail); '
 6
            wait(&mutex);
 7
 8
            insert_item(item);
            post(&mutex);
 9
10
            post(&fill);
11
12 }
```

Consumer Function

```
void consumer(void) {
        int item;
 2
 3
 4
        while(TRUE) {
            wait(&f|11);
            wait(&mutex);
 6
 7
            item = remove_item();
            post(&mutex);
 8
            post(&avail);
 9
        //consume the item;
10
11
12 }
```

```
Just view wait(avail) as -- resource?
Just view post(avail) as ++ resource?
```

```
producer avail-- by waitconsumer avail++ by post
```

If consumer gets CPU first, it removes item from NULL

ERROR

Producer function void producer(void) { 2 int item; 3 4 while(TRUE) { item = produce item(); 6 wait(&avail); 7 wait(&mutex); 8 insert_item(item); 9 post(&mutex); 10 post(&fill); 11 12 }

```
Consumer Function
    void consumer(void) {
        int item;
 2
 3
 4
        while(TRUE) {
            wait(&fill);
            wait(&mutex);
 6
 7
            item = remove_item();
            post(&mutex);
 8
            post(&avail);
 9
        //consume the item;
10
11
12 }
```

Question #2.

Can we swap Lines 6 & 7 of the producer?

Let us simulate what will happen with the modified code!

Shared object

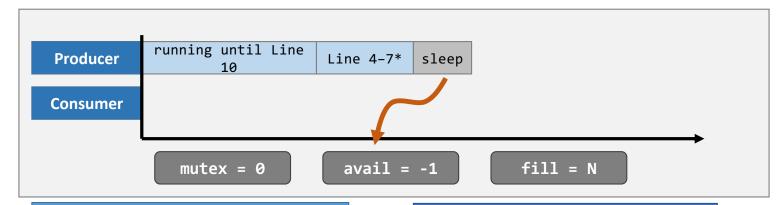
```
#define N 100
semaphore mutex = 1;
semaphore avail = N;
semaphore fill = 0;
```

Producer function

```
void producer(void) {
 2
        int item;
 3
 4
        while(TRUE) {
            item = produce item();
 6*
            wait(&mutex); 
 7*
            wait(&avail); 	
 8
            insert_item(item);
            post(&mutex);
 9
10
            post(&fill);
11
12 }
```

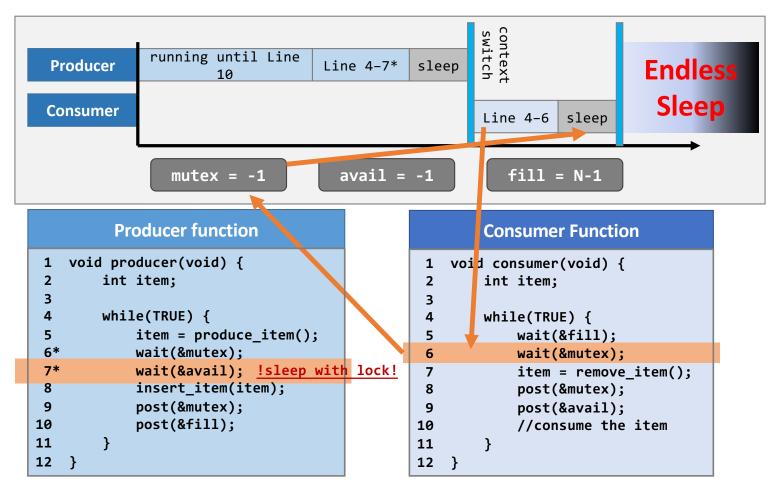
Consumer Function

```
void consumer(void) {
        int item;
 2
 3
 4
        while(TRUE) {
 5
            wait(&fill);
 6
            wait(&mutex);
 7
            item = remove_item();
            post(&mutex);
 8
            post(&avail);
 9
            //consume the item
10
11
        }
12 }
```



```
Producer function
    void producer(void) {
1
 2
        int item;
 3
 4
        while(TRUE) {
            item = produce item();
6*
           wait(&mutex);
7*
           wait(&avail);
           insert_item(item);
 8
            post(&mutex);
 9
10
            post(&fill);
11
            Consider: producer gets
12 }
                the CPU to keep
              producing until the
                 buffer is full
```

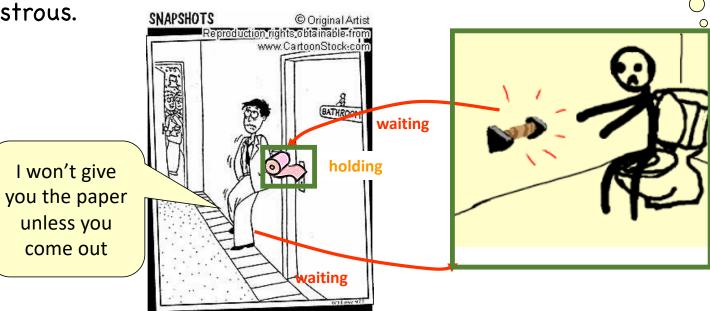
```
Consumer Function
    void consumer(void) {
        int item;
 2
 3
 4
        while(TRUE) {
 5
            wait(&fill);
 6
            wait(&mutex);
 7
            item = remove_item();
            post(&mutex);
 8
            post(&avail);
 9
            //consume the item
10
11
        }
12 }
```



- This scenario is called a **deadlock**
 - Consumer waits for Producer's mutex at line 6
 - i.e., it waits for Producer (line 9) to unlock the mutex
 - Producer waits for Consumer's avail at line 7
 - i.e., it waits for Consumer (line 9) to release avail

• Implication: careless implementation of the producer-consumer solution

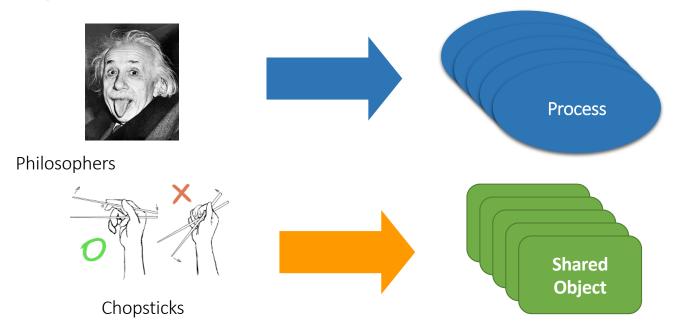
can be disastrous.



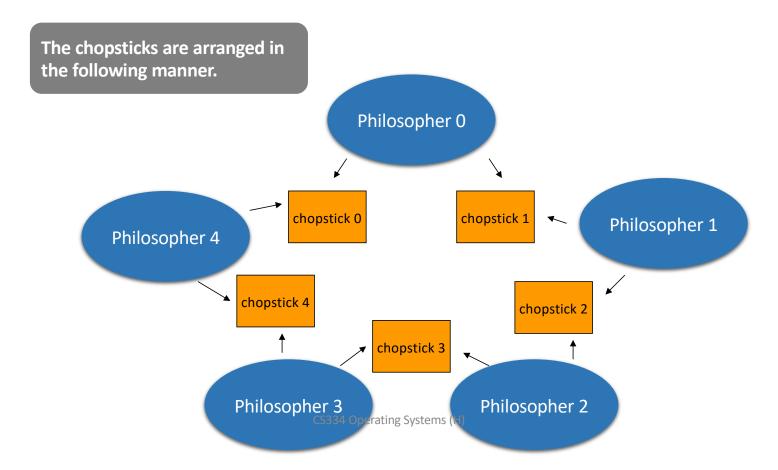
Summary on Producer-consumer Problem

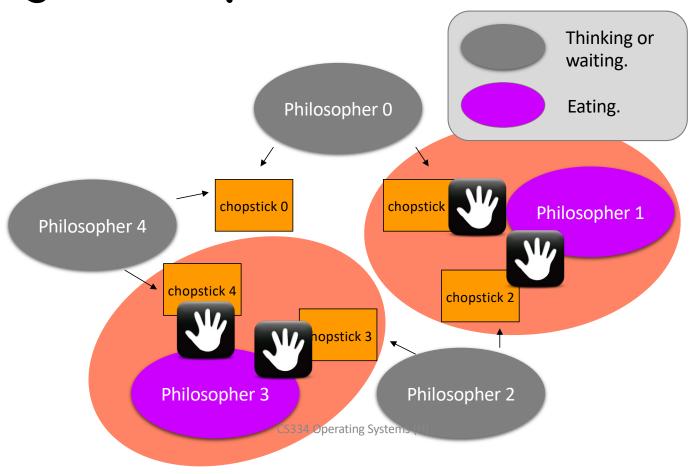
- How to avoid race condition on the shared buffer?
 - E.g., Use a binary semaphore.
- How to achieve synchronization?
 - E.g., Use two counting semaphores: fill and avail

- 5 philosophers, 5 plates of spaghetti, and 5 chopsticks.
- The jobs of each philosopher are to think and to eat
- They **need exactly two chopsticks** in order to eat the spaghetti.
- Question: how to construct a <u>synchronization protocol</u> such that they
 - will not starve to death, and
 - will not result in any deadlock scenarios?
 - A waits for B's chopstick
 - B waits for C's chopstick
 - C waits for As chopstick



A process needs two shared resources in order to do some work





Dining Philosopher - Requirement 1

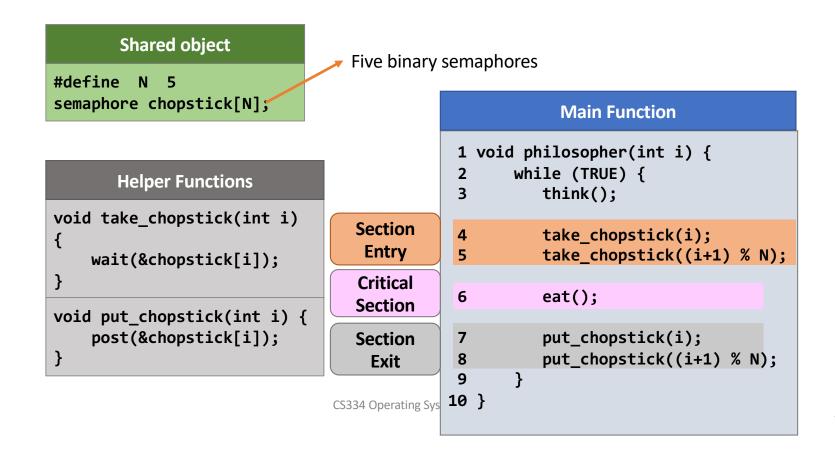
Mutual exclusion

- While you are eating, people cannot steal your chopstick
- Two persons cannot hold the same chopstick

Let's propose the following solution:

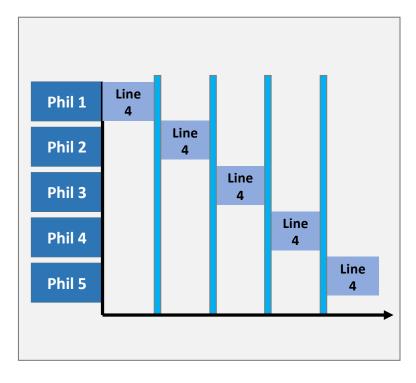
- When you are hungry, you have to check if anyone is using the chopsticks that you need.
- If yes, you wait.
- If no, seize both chopsticks.
- After eating, put down both your chopsticks.

Dining Philosopher - Requirement 1



Dining Philosopher - Deadlock

- Each philosopher finishes thinking at the same time and each first grabs her left chopstick
- All chopsticks[i]=0
- When executing line 5, all are waiting



```
Main Function
 1 void philosopher(int i) {
       while (TRUE) {
 2
 3
          think();
          take chopstick(i);
4
          take_chopstick((i+1) % N);
 5
          eat();
 6
          put_chopstick(i);
7
 8
          put_chopstick((i+1) % N);
 9
10 }
```

Dining Philosopher - Requirement 2

Synchronization

- Should avoid deadlock.
- How about the following suggestions:
 - First, a philosopher takes a chopstick.
 - If a philosopher finds that she cannot take the second chopstick, then she should **put it down**.
 - Then, the philosopher goes to sleep for a while.
 - When wake up, she retries
 - Loop until both chopsticks are seized.

Dining Philosopher - Requirement 2

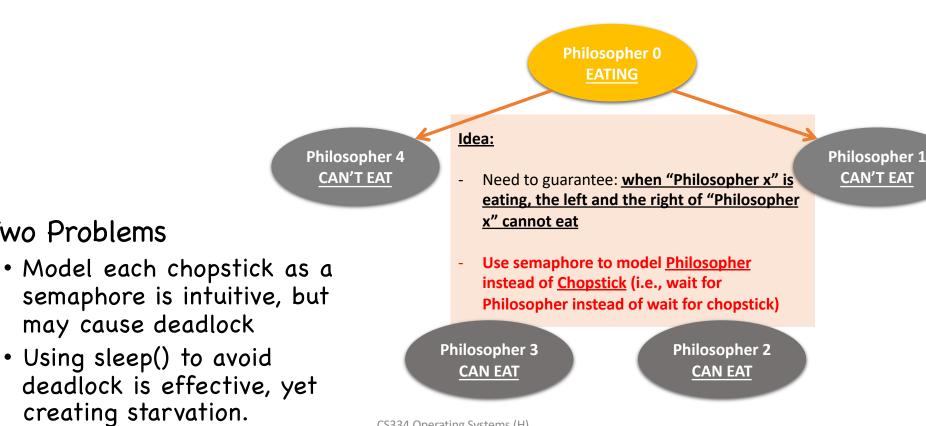
· Potential Problem:

Philosophers are all busy (no deadlock), but no progress (starvation)

• Imagine:

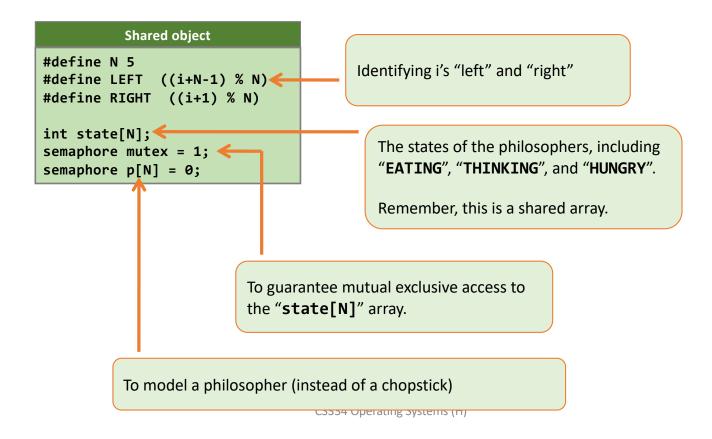
- all pick up their left chopsticks,
- seeing their right chopsticks unavailable (because P1's right chopstick is taken by P2 as her left chopstick) and then putting down their left chopsticks,
- all sleep for a while
- all pick up their left chopsticks,

Dining Philosopher - before the Final Solution

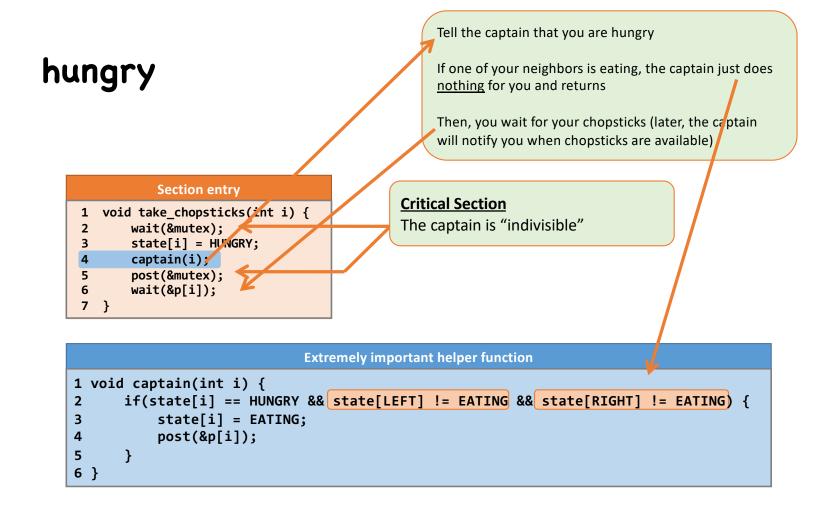


CS334 Operating Systems (H)

Two Problems



```
Shared object
                                                                         void wait(semaphore *s) {
                                                Main function
                                         void philosopher(int i) {
#define N 5
                                                                            *s = *s - 1;
#define LEFT ((i+N-1) % N)
                                      2
                                              think();
                                                                            if ( *s < 0 ) {
#define RIGHT ((i+1) % N)
                                              take chopsticks(i);
                                                                              sleep();
                                              eat();
int state[N];
                                      5
                                              put chopsticks(i);
semaphore mutex = 1;
                                      6
semaphore p[N] = 0;
           Section entry
                                                 Section exit
                                                                         void post(semaphore *s) {
1 void take_chopsticks(int i) {
                                      1 void put chopsticks(int i) {
 2
        wait(&mutex):
                                             wait(&mutex);
                                                                           *s = *s + 1;
 3
        state[i] = HUNGRY;
                                      3
                                             state[i] = THINKING;
                                                                          if ( *s <= 0 )
 4
        captain(i);
                                      4
                                             captain(LEFT);
                                                                             wakeup();
 5
        post(&mutex);
                                      5
                                             captain(RIGHT);
        wait(&p[i]);
                                             post(&mutex);
 7 }
                                      7 }
```



Finish eating

```
Tell the captain
                                                 Section exit
Try to let your left neighbor to
                                      1 void put_chopsticks(int i)
eat.
                                              wait(&mutex);
                                      2
                                              state[i] = THINKING;
                                      3
                                              captain(LEFT);
Tell the captain
                                              captain(RIGHT);
Try to let your right neighbor to
                                              post(&mutex);
eat.
                                      7 }
```

```
post(&p[i]);

Extremely important helper function

1 void captain(int i) {
2    if(state[i] == HUNGRY && state[LEFT] != EATING && state[RIGHT] != EATING) {
3         state[i] = EATING;
4         post(&p[i]);
5    }
6 }

Wake up the one who is sleeping
```

Don't print

An illustration: How can Philosopher 1 start eating?

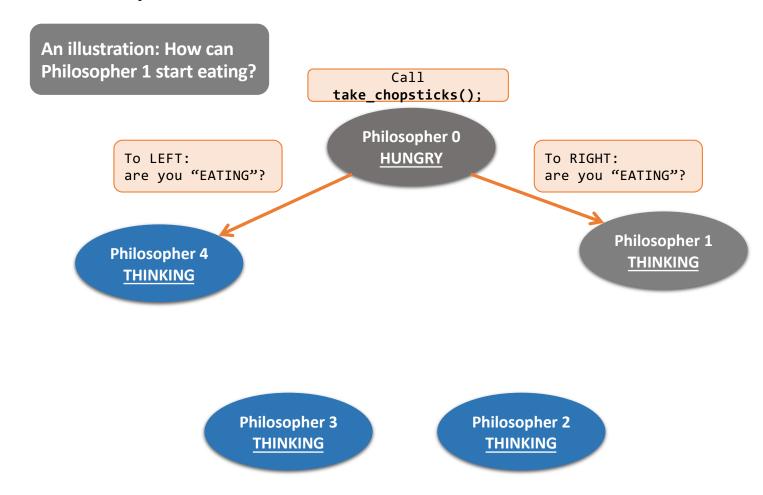
Philosopher 0
THINKING

Philosopher 4
<u>THINKING</u>

Philosopher 1
THINKING

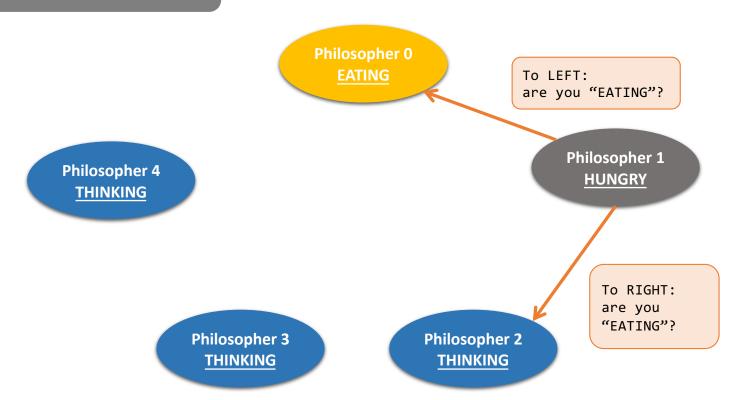
Philosopher 3 THINKING

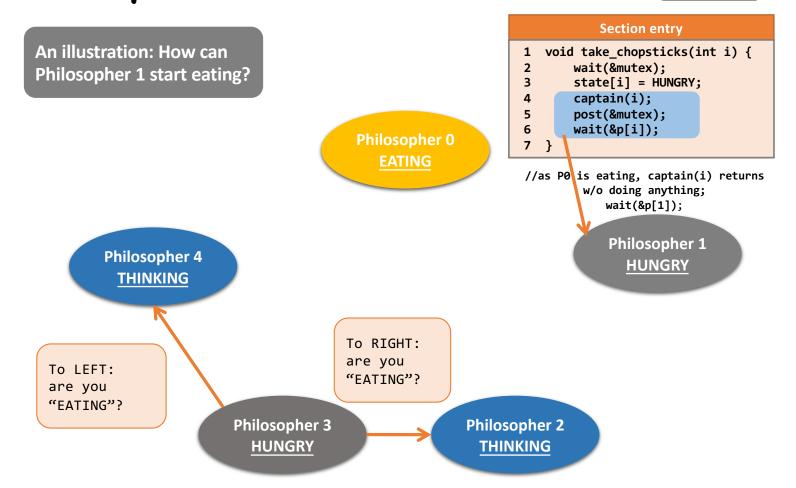
Philosopher 2 THINKING



Don't print

An illustration: How can Philosopher 1 start eating?





Don't print

An illustration: How can Philosopher 1 start eating?

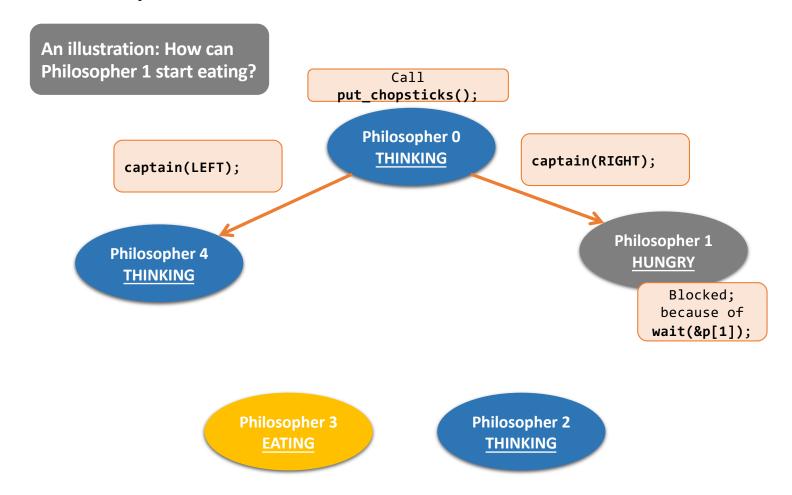
Philosopher 0 EATING

Philosopher 4
THINKING

Philosopher 1 HUNGRY

Blocked;
because of
wait(&p[1]);

Philosopher 3 <u>EATING</u> Philosopher 2 THINKING



```
1 void captain(int i) {
2    if(state[i] == HUNGRY && state[LEFT] != EATING && state[RIGHT] != EATING) {
3        state[i] = EATING;
4        post(&p[i]);
5    }
6 }
```

Don't print

An illustration: How can **Section entry** Philosopher 1 start eating? 1 void take_chopsticks(int i) { 2 wait(&mutex); state[i] = HUNGRY; 3 captain(i); Philosopher 0 post(&mutex); 6 wait(&p[i]); **THINKING** 7 } Wake up Philosopher 4 **EATING THINKING**

Philosopher 3
<u>EATING</u>

Philosopher 2 THINKING

Thank you!

