

CS215 DISCRETE MATHEMATICS FOR COMPUTER SCIENCE

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A list of *k distinct* elements chosen from a set *N* is called a *k*-element permutation of *N*

Note that the case of k = n is special;

An *n*-element permutation of a set N of size |N| = n is what we earlier simply called a permutation.



• How many three-element permutations of $\{1, 2, \ldots, n\}$ are there?



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Ex: When n = 4, there are 4 \times 3 \times 2 = 24 3 -element permutations of \{1, 2, 3, 4\}
```

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L = \{123, 124, 132, 134, 142, 143, 213, 214, 231, 234, 241, 243, 312, 314, 321, 324, 341, 342, 412, 413, 421, 423, 431, 432\}.
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Note: This type of "dictionary" ordering of tuples (assuming that we treat numbers the same as letters) is called a *lexicographic ordering* and is used quite often.



■ **Theorem** If N is a positive integer and k is an integer with $1 \le k \le n$, then there are

$$P(n,k) = n(n-1)(n-2)\cdots(n-k+1)$$

k-element permutations with *n* distinct elements.



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$$(\# 3\text{-element perms}) = 6 \times (\# 3\text{-element subsets})$$

$$P(n,3) = 3! \cdot C(n,3)$$



■ **Theorem** For integers n and k with $0 \le k \le n$, the number of k-element subsets of an n-element set is

$$\binom{n}{k} = C(n, k) = \frac{P(n, k)}{k!} = \frac{n!}{k!(n-k)!}.$$

This is the number of k-combinations of a set with n elements.



$${}^{\bullet}\binom{n}{k} = \frac{n!}{k!(n-k)!}$$
 is the number of k-element subsets of an n-element set.

$$\binom{n}{0} = 1$$
 only one set of size 0.

$$\binom{n}{n} = 1$$
 only one set of size n .

 $\binom{n}{k} = \binom{n}{n-k}$ Obvious from equation. Can you think of a simple bijection that explains this?



 $\sum_{i=0}^{n} \binom{n}{i} = 2^n$



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Use Sum Rule

```
Let P = \text{set of all subsets of } \{1,2,\ldots,n\}

S_i = \text{set of all } i \text{ subsets of } \{1,2,\ldots,n\}
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 $S_i = \text{set of all } i \text{ subsets of } \{1,2,\ldots,n\}$

$$\Rightarrow |P| = \sum_{i=0}^{n} |S_i| = \sum_{i=0}^{n} \binom{n}{i}$$



Let $L = L_1 L_2 \dots L_n$ be a list of size n from $\{0, 1\}$ If $\mathcal{L} = \text{set of all such lists} \Rightarrow |\mathcal{L}| = 2^n$ There is a *bijection* between \mathcal{L} and P so $|P| = 2^n$ and we are done.

Let L = L₁L₂...L_n be a list of size n from {0,1}
If L = set of all such lists ⇒ |L| = 2ⁿ
There is a bijection between L and P so |P| = 2ⁿ and we are done.
Define the following function f: L → P
If L ∈ L then f(L) is the set S ⊆ {1,2,...,n} defined by

 $i \in S \Leftrightarrow L_i = 1$

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f is a *bijection* between $\mathcal L$ and P (why?) so $|\mathcal L|=|P|$

Ex: n = 5 $f(10101) = \{1, 3, 5\}, \ f(11101) = \{1, 2, 3, 5\}, \ f(00000) = \emptyset$ 9 - 4

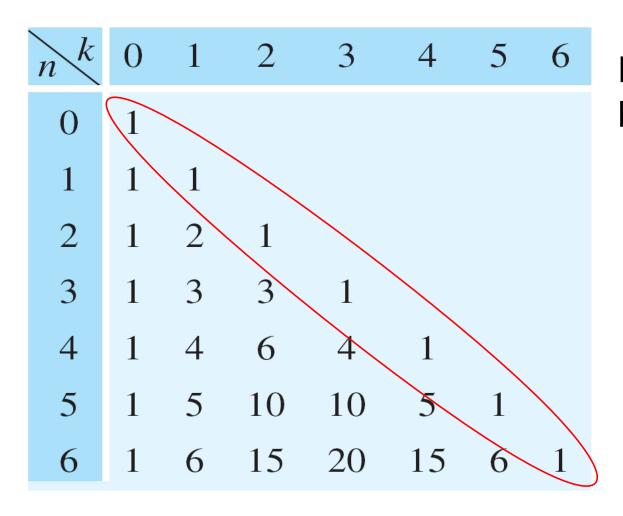
n^{k}	0	1	2	3	4	5	6
0	1						
1	1	1					
2	1	2	1				
3	1	3	3	1			
4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1



n^{k}	0	1	2	3	4	5	6
0	$\sqrt{1}$		1 3 6 10 15				
1	1	1					
2	1	2	1				
3	1	3	3	1			
4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1

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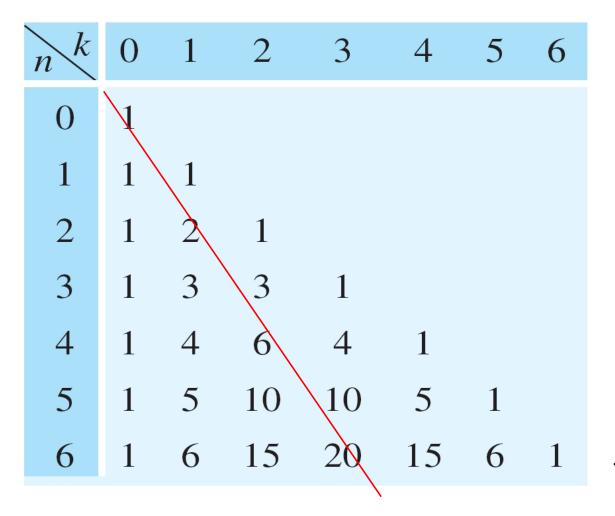
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1	1	1					
2	1	2	1				
3	1	3	3	1			
4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1

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Each row ends with a 1 because $\binom{n}{n} = 1$.

Each row increases at first then decreases.





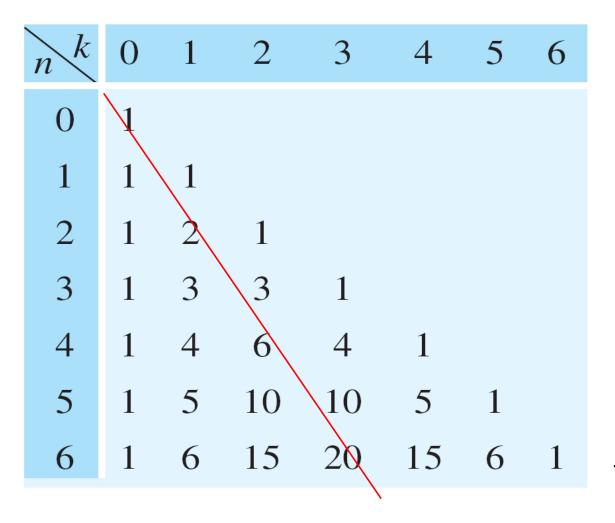
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Each row ends with a 1 because $\binom{n}{n} = 1$.

Each row increases at first then decreases.

Second half of each row is the reverse of the first half. Sum of items on n-th row is 2^n



Pascal's Triangle

Take the table

n^{k}	0	1	2	3	4	5	6
0	1						
1	1	1					
2	1	2	1				
3	1	3	3	1			
4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1



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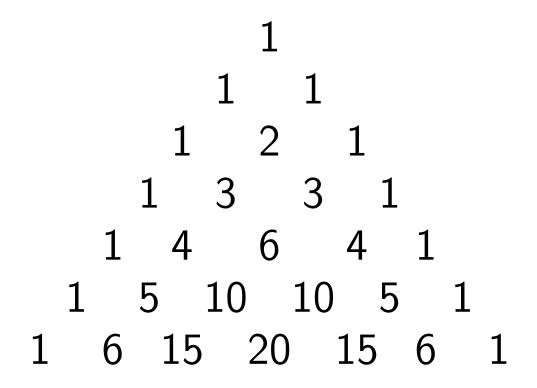
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4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1

and shift each row slightly so that middle element is in middle



Pascal's Triangle



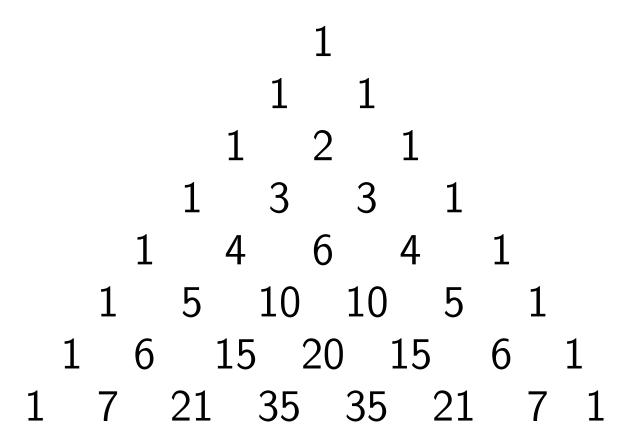


What is the next row in the table?



```
6
        10 10
      15 20 15
1 7 21 35 35 21
```





Pascal identity

Each (non-1) entry in Pascal's

Triangle is the sum of
the two entries directly above it
1sft and to right).



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We will use a combinatorial proof.



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$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

Therefore, each term (left and right) represents the number of subsets of a particular size chosen from an appropriately sized set.



$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$



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Number of k-subsets of an n-element set.



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Number of k-subsets of an n-element set.

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Try to use sum principle to explain relationship among these three terms.

Example: n = 5, k = 2

$$\binom{5}{2} = \binom{4}{1} + \binom{4}{2}.$$



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Consider $S = \{A, B, C, D, E\}$.



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Set S_1 of 2-subsets of S

$$S_1 = \{\{A, B\}, \{A, C\}, \{A, D\}, \{A, E\}, \{B, C\}, \{B, D\}, \{B, E\}, \{C, D\}, \{C, E\}, \{D, E\}\}\}.$$



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Consider $S = \{A, B, C, D, E\}$.

Set S_1 of 2-subsets of S can be partitioned into 2 disjoint parts.

 S_2 the 2-subsets that contain E and

 S_3 , the set of 2-subsets that do not contain E.

$$S_1 = \{\{A, B\}, \{A, C\}, \{A, D\}, \{A, E\}, \{B, C\}, \{B, D\}, \{B, E\}, \{C, D\}, \{C, E\}, \{D, E\}\}\}.$$



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If n and k are integers satisfying 0 < k < n, then

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Proof: Apply sum rule.

Let S_1 be set of all k-element subsets.

To apply sum rule, partition S_1 into S_2 and S_3 .

Let S_2 be set of k-element subsets that contain x_n .

Let S_3 be set of k-element subsets that don't contain x_n



Blaise Pascal

Born 1623; Died 1662

French Mathematician

A Founder of Probability Theory

Inventor of one of the first mechanical calculating machines

Pascal Programming Language named for him





$$(x+y) = \binom{1}{0}x + \binom{1}{1}y$$



$$(x+y) = \binom{1}{0}x + \binom{1}{1}y$$

$$(x+y)^2 = x^2 + 2xy + y^2 = {2 \choose 0}x^2 + {2 \choose 1}x^1y^1 + {2 \choose 2}y^2$$



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$$(x+y)^3 = x^3 + 3x^2y + 3xy^2 + y^3$$
$$= {3 \choose 0}x^3 + {3 \choose 1}x^2y + {3 \choose 2}xy^2 + {3 \choose 3}y^3$$



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The Binomial Theorem For any integer $n \geq 0$,

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$$(x+y)^n = \sum_{i=0}^n \binom{n}{i} x^{n-i} y^i.$$



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$$(x+y)^n = \sum_{i=0}^n \binom{n}{i} x^{n-i} y^i.$$

Proof?



Application of the Binomial Theorem

We may use the Binomial Theorem to prove

$$\sum_{i=0}^{n} \binom{n}{i} = 2^n$$



Suppose we have k labels of one kind, e.g., red and n-k labels of another, e.g., blue. In how many different ways can we apply these labels to n objects?



Suppose we have k labels of one kind, e.g., red and n-k labels of another, e.g., blue. In how many different ways can we apply these labels to n objects?

Show that if we have k_1 labels of one kind, e.g., red, k_2 labels of a second kind, e.g., blue, and $k_3 = n - k_1 - k_2$ labels of a third kind, then there are $\frac{n!}{k_1!k_2!k_3!}$ ways to apply these labels to n objects



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What is the coefficient of $x^{k_1}y^{k_2}z^{k_3}$ in $(x+y+z)^n$?



There are $\binom{n}{k_1}$ ways to choose the red items There are then $\binom{n-k_1}{k_2}$ ways to choose the blue items from the remaining $n-k_1$. The remaining k_3 items get labelled a third color.



Labelling and Trinomial Coefficients

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Using the *product rule* the total number of labellings is

$$\binom{n}{k_1} \binom{n-k_1}{k_2} = \frac{n!}{k_1!(n-k_1)!} \frac{(n-k_1)!}{(k_2)!(n-k_1-k_2)!}$$

$$= \frac{n!}{k_1!k_2!(n-k_1-k_2)!} = \frac{n!}{k_1!k_2!k_3!}$$



Labelling and Trinomial Coefficients

• When $k_1 + k_2 + k_3 = n$, we call

$$\frac{n!}{k_1!k_2!k_3!}$$

a trinomial coefficient and denote it as

$$\begin{pmatrix} n \\ k_1 & k_2 & k_3 \end{pmatrix}$$



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This will be very similar to the analysis of hashing *n* keys into a table of size 365.



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Sample space: $|S| = 365^n$



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$$\#B_n = 365 \times 364 \times \cdots \times (365 - (n-1))$$

$$\#A_n + \#B_n = 365^n$$



n	A_n	B_n	n	A_n	B_n
1	0.00000000	1.00000000	16	0.28360400	0.71639599
2	0.00273972	0.99726027	17	0.31500766	0.68499233
3	0.00820416	0.99179583	18	0.34691141	0.65308858
4	0.01635591	0.98364408	19	0.37911852	0.62088147
5	0.02713557	0.97286442	20	0.41143838	0.58856161
6	0.04046248	0.95953751	21	0.44368833	0.55631166
7	0.05623570	0.94376429	22	0.47569530	0.52430469
8	0.07433529	0.92566470	23	0.50729723	0.49270276
9	0.09462383	0.90537616	24	0.53834425	0.46165574
10	0.11694817	0.88305182	25	0.56869970	0.43130029
11	0.14114137	0.85885862	26	0.59824082	0.40175917
12	0.16702478	0.83297521	27	0.62685928	0.37314071
13	0.19441027	0.80558972	28	0.65446147	0.34553852
14	0.22310251	0.77689748	29	0.68096853	0.31903146
15	0.25290131	0.74709868	30	0.70631624	0.29368375
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Event A: at least two people in the room have the same birthday
Event B: no two people in the room have the same birthday

$$Pr[A] = 1 - Pr[B]$$

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Recall that
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Let n(p; H) be the smallest number of values we have to choose, such that the probability for finding a collision is at least p. By inverting the expression above, we have

$$n(p; H) \approx \sqrt{2H \ln \frac{1}{1-p}}.$$



The Euclidean algorithm in pseudocode

ALGORITHM 1 The Euclidean Algorithm.

```
procedure gcd(a, b): positive integers)

x := a

y := b

while y \neq 0

r := x \mod y

x := y

y := r

return x\{\gcd(a, b) \text{ is } x\}
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The number of divisions required to find gcd(a, b) is $O(\log b)$, where $a \ge b$. (this will be proved later.)



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Why?



Key steps in the Euclidean algorithm

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$$r_{i+2} = r_i \mod r_{i+1}$$

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By induction, such a recurrence relation is uniquely determined by this recurrence relation, and k initial conditions $a_0, a_1, \ldots, a_{k-1}$.

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Examples

$$P_n = (1.11)P_{n-1}$$
 $f_n = f_{n-1} + f_{n-2}$
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$$B_n = nB_{n-1}$$
 coefficients are not constants



Example Consider the recurrence relation

$$a_n = 2a_{n-1} - a_{n-2}$$

Which of the following are solutions?

$$\diamond a_n = 3n$$
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$$\diamond a_n = 2^n$$
:

$$\diamond a_n = 5$$
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♦ The solutions to the *characteristic equation* can yield an explicit formula for the sequence.

$$(r^k - c_1 r^{k-1} - \cdots - c_k) = 0$$

Recall: Problem IV

■ Fibonacci number

$$F_0 = 0$$
, $F_1 = 1$, $F_n = F_{n-1} + F_{n-2}$ for $n \ge 2$



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 \diamond What is the closed-form expression of F_n ?

Consider $x^n = x^{n-1} + x^{n-2}$, with $x \neq 0$. There are two different roots

$$\phi = \frac{1+\sqrt{5}}{2}, \quad \psi = \frac{1-\sqrt{5}}{2}$$

Then F_n can be the form of $a\phi^n + b\psi^n$. By $F_0 = 0$ and $F_1 = 1$, we have a + b = 0 and $\phi a + \psi b = 1$, leading to $a = \frac{1}{\sqrt{5}}$, b = -a. Therefore,

$$F_n = \frac{\phi^n - \psi^n}{\sqrt{5}}$$



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Theorem If this CE has 2 roots $r_1 \neq r_2$, then the sequence $\{a_n\}$ is a solution of the recurrence relation if and only if $a_n = \alpha_1 r_1^n + \alpha_2 r_2^n$ for $n \geq 0$ and constants α_1, α_2 .



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See [Theorem 1 p. 515].



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Example 2 $a_n = 7a_{n-1} - 10a_{n-2}$, with $a_0 = 2$, $a_1 = 1$



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Example
$$a_n = 2a_{n-1} + 5a_{n-2} - 6a_{n-3}$$



Theorem If the CE $r^2 - c_1 r - c_2 = 0$ has only 1 root r_0 , then

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Exercise.



Example $a_n = 4a_{n-1} - 4a_{n-2}$, with $a_0 = 1$, $a_1 = 0$



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The Case of Degenerate Roots

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 $a_1 = 2\alpha_1 + 2\alpha_2 = 0$

We get
$$\alpha_1 = 1$$
 and $\alpha_2 = -1$. Thus, $a_n = 2^n - n2^n$



The Case of Degenerate Roots in General

Theorem [Theorem 4, p.519] Suppose that there are t roots r_1, \ldots, r_t with multiplicities m_1, \ldots, m_t . Then

$$a_n = \sum_{i=1}^t \left(\sum_{j=0}^{m_i-1} \alpha_{i,j} n^j \right) r_i^n,$$

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Example

$$a_n = -3a_{n-1} - 3a_{n-2} - a_{n-3}$$
 with $a_0 = 1$, $a_1 = -2$, $a_2 = -1$



■ **Definition** A *linear nonhomogeneous relation* with constant coefficients may contain some terms F(n) that depend only on n

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_k a_{n-k} + F(n)$$
.

The recurrence relation

 $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_k a_{n-k}$ is called the associated homogeneous recurrence relation.



Theorem If $a_n = p(n)$ is any particular solution to the linear nonhomogeneous relation with constant coefficients,

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_k a_{n-k} + F(n),$$

Then all its solutions are of the form

$$a_n = p(n) + h(n),$$

where $a_n = h(n)$ is any solution to the associated homogeneous recurrence relation

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_k a_{n-k}$$



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We get
$$c = -1$$
 and $d = -3/2$. Thus, $p(n) = -n - 3/2$
49 - 5



Next Lecture

generating function, relation ...

