# 作业3简答

# 李子钰, 肖子达

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问题 1. Let W be the union of the first and the third quadrants in the xy-plane. That is, let

$$W = \left\{ \left[ \begin{array}{c} x \\ y \end{array} \right] \mid xy \ge 0 \right\}$$

- 1. If  $\vec{u}$  is in W and c any scalar, is  $c\vec{u}$  in W? Why?
- 2. Find specific vectors  $\vec{u}$  and  $\vec{v}$  in W such that  $\vec{u} + \vec{v}$  is not in W. This is enough to show that W is not a vector space.

**解答.** 1. 设  $\vec{u}=\begin{bmatrix}x\\y\end{bmatrix}\in W$ , 这里  $xy\geq 0$ , 于是  $c\vec{u}=\begin{bmatrix}cx\\cy\end{bmatrix}$ , 直接验证

$$(cx) \cdot (cy) = c^2 \cdot xy \ge 0,$$

因此  $c\vec{u} \in W$ .

2. 直接取

$$\vec{u} = \begin{bmatrix} -2 \\ -1 \end{bmatrix}, \vec{v} = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$$

即可.

问题 2. Determine if the given set is a subspace of  $\mathbb{P}_n$  for an appropriate n, justify your answer.

- 1. All polynomials of the form  $P(t) = at^2$ , with  $a \in \mathbb{R}$ .
- 2. All polynomials of the form  $P(t) = a + t^2$ , with  $a \in \mathbb{R}$ .

- 3. All polynomials of degree at most 3, with integers as coefficients.
- 4. All polynomials in  $\mathbb{P}_n$  such that P(0) = 0.

**解答.** 1. 对的. $\forall c_1, c_2 \in \mathbb{R}$ , 有

$$c_1P_1(t) + c_2P_2(t) = c_1a_1t^2 + c_2a_2t^2 = (c_1a_1 + c_2a_2)t^2 \in \mathbb{P}_2$$

- 2. 错. $2t^2 = t^2 + t^2$  不在里面.
- 3. 错. $\sqrt{2}t^2 = \sqrt{2} \cdot t^2$  不在里面.
- 4. 对的. $\forall c_1, c_2 \in \mathbb{R}, c_1P_1(t) + c_2P_2(t)$  也在 0 处取 0.

问题 3. Let H and K be subspaces of a vector space V. The intersection of H and K is defined to be

$$H \cap K = \{ \vec{v} \in V \mid \vec{v} \in H \text{ and } \vec{v} \in K \}$$

Show that  $H \cap K$  is a subspace of V. Give an example in  $\mathbb{R}^2$  to show that the union of two subspaces is, in general, not a subspace.

**解答.**  $\forall c_1, c_2 \in \mathbb{R}, \forall v_1, v_2 \in H \cap K$ , 注意

$$v \in H \cap K \iff v \in H \quad and \quad v \in K$$

于是由于 H 是线性空间:

$$v_1, v_2 \in H \Longrightarrow c_1v_1 + c_2v_2 \in H$$
,

同理

$$v_1, v_2 \in K \Longrightarrow c_1 v_1 + c_2 v_2 \in K$$

故有

$$c_1v_1+c_2v_2\in H\cap K.$$

考虑

$$S = \{(x,0) : x \in \mathbb{R}\} \cup \{(0,y) \in \mathbb{R}\},\$$

这里

$$(1,1) = (1,0) + (0,1) \notin S.$$

问题 4. Consider the polynomials  $P_1(t) = 1 + t^2$  and  $P_2(t) = 1 - t^2$ . Is  $\{P_1, P_2\}$  a linear independent set in  $\mathbb{P}_3$ ? Why or why not?

**解答.** 若存在  $a,b \in \mathbb{R}$  使得

$$a \cdot P_1(t) + b \cdot P_2(t) = 0$$

即有

$$a \cdot P_1(t) + b \cdot P_2(t) = (a+b) + (a-b)t^2 = 0,$$

解出 a=b=0, 从而它们线性无关.

问题 5. Use coordinate vectors to test the linear independence of the set of polynomials. Explain your work.

1. 
$$1+2t^3$$
,  $2+t-3t^2$ ,  $-t+2t^2-t^3$ 

2. 
$$1-2t^2-t^3$$
,  $t+2t^3$ ,  $1+t-2t^2$ 

**解答**. 把多项式对应到  $\mathbb{R}^n$  中的向量,这两个问题只需要说明矩阵

$$\begin{bmatrix} 1 & 2 & 0 \\ 0 & 1 & -1 \\ 0 & -3 & 2 \\ 2 & 0 & -1 \end{bmatrix} \qquad \ \, = \left[ \begin{array}{ccc} 1 & 0 & 1 \\ 0 & 1 & 1 \\ -2 & 0 & -2 \\ -1 & 2 & 0 \end{array} \right]$$

的列向量是线性无关的即可.

问题 6. The first four Laguerre polynomials are  $1, 1-t, 2-4t+t^2$  and  $6-18t+9t-t^3$ . Show that these polynomials form a basis of  $\mathcal{P}_{\leq 3}$ .

**解答.** 把多项式对应到  $\mathbb{R}^n$  中的向量:

$$\begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 2 \\ -4 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 6 \\ -18 \\ 9 \\ -1 \end{bmatrix},$$

只要它们是线性无关的,就自然是 4 维线性空间  $\mathcal{P}_{\leq 3}$  的一组基底. 我们可以用解线性方程组

$$\begin{bmatrix} 1 & 1 & 2 & 6 \\ 0 & -1 & -4 & -18 \\ 0 & 0 & 1 & 9 \\ 0 & 0 & 0 & -1 \end{bmatrix} \cdot \boldsymbol{x} = \boldsymbol{0}$$

的方式判断它们的线性无关性. 这一线性方程组只有零解.

问题 7. Show that the space  $C(\mathbb{R})$  of all continuous functions on the real line is an infinite dimensional vector space.

#### 解答. 考虑

$$1, t, t^2, \dots, t^n, \dots \in C(\mathbb{R})$$

它们任意有限多个都是线性无关的. 如果  $C(\mathbb{R})$  是有限维的,那么  $C(\mathbb{R})$  中线性无关的向量个数不超过  $C(\mathbb{R})$  的维数 $^1$ . 矛盾!

In the following two questions, V is a finite dimensional vector space, and the vectors listed belong to V. Mark each statement true of false, and justify your answer.

- 问题 8. 1. If there exists a set  $\{\vec{v}_1, \dots, \vec{v}_p\}$  that spans V, then  $\dim(V) \leq p$ .
  - 2. If there exists a linearly independent set  $\{\vec{v}_1, \dots, \vec{v}_p\}$  in V, then  $\dim(V) \ge p$ .
  - 3. If  $\dim(V) = p$ , then there exists a spanning set of p + 1 vectors in V.

### 解答. 全是对的.

- $I. \{\vec{v}_1, \cdots, \vec{v}_p\}$  的极大线性无关组 I, I 也张成 V. 由于 I 还是线性无关的,因此 I 是 V 的基底, $\dim(V)$  是 I 的元素个数. 而  $I \subset \{\vec{v}_1, \cdots, \vec{v}_p\}$ ,从而 I 的元素个数不超过 p.
- 2.  $\{\vec{v}_1, \dots, \vec{v}_p\}$  张成了 V 的子空间,由于它们线性无关,故张成子空间的维数就是它们的元素个数. 而子空间的维数不超过大空间的维数.
- 3. 找到 V 中 p+1 个互异的向量即可. 取 V 的基底  $\{\vec{v}_1, \dots, \vec{v}_p\}$  再加上 零向量  $\mathbf{0}$  即可.
- 问题 9. 1. If there exists a linearly dependent set  $\{\vec{v}_1, \dots, \vec{v}_p\}$  in V, then  $\dim(V) \leq p$ .

$$1, t, t^2, \ldots, t^n, \cdots \in C(\mathbb{R})$$

里轻松找到 m+1 个线性无关的向量.

 $<sup>^{1}</sup>$ 设  $dimC(\mathbb{R})=m$ , 我们可以在

- 2. If every set of p elements in V fails to span V, then  $\dim(V) > p$ .
- 3. If  $p \ge 2$  and  $\dim(V) = p$ , then every set of p-1 nonzero vectors is linearly independent.

解答. 1. 错. 考虑 
$$\mathbb{R}^3$$
 中的  $\left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 2\\0\\0 \end{bmatrix} \right\}$ .

- 2. 对. 它的逆否命题是若  $\dim(V) \leq p$ , 则存在 V 中的 p 个元素张成 V;
- 3. 错. 考虑第一问的反例.

问题 10. Let 
$$A = \begin{bmatrix} 1 & 3 & 9 & 2 \\ 1 & 0 & 3 & -4 \\ 0 & 1 & 2 & 3 \\ -2 & 3 & 0 & 5 \end{bmatrix}$$

1. Solve the equation  $A\vec{x} = 0$ .

2. Let 
$$\vec{b} = \begin{bmatrix} -1 \\ 3 \\ -1 \\ 4 \end{bmatrix}$$
, is  $\vec{b}$  in the range of the linear transformation  $\vec{x} \mapsto A\vec{x}$ ?

Why or why not?

解答. 1. 解得 
$$\vec{x} = t \cdot \begin{bmatrix} -3 \\ -2 \\ 1 \\ 0 \end{bmatrix}, t \in \mathbb{R};$$

- 2. 解方程  $A\vec{x} = \vec{b}$  即可,发现它无解,即  $\vec{b}$  不在  $\vec{x} \mapsto A\vec{x}$  的像里.
- **问题 11.** An affine transformation  $T: \mathbb{R}^n \to \mathbb{R}^m$  has the form  $T(\vec{x}) = A\vec{x} + \vec{b}$ , with A an  $m \times n$  matrix and  $\vec{b} \in \mathbb{R}^m$ . Show that T is not a linear transformation if  $\vec{b} \neq 0$ .

解答. 利用线性变换一定把零向量映为零向量.

**问题 12.** Let  $T: \mathbb{R}^n \to \mathbb{R}^m$  be a linear transformation, and let  $\{\vec{v}_1, \vec{v}_2, \vec{v}_3\}$  be a linearly dependent set in  $\mathbb{R}^n$ . Explain why  $\{T(\vec{v}_1), T(\vec{v}_2), T(\vec{v}_3)\}$  is linearly dependent.

**解答.** 由于  $\{\vec{v}_1, \vec{v}_2, \vec{v}_3\}$  线性相关,故存在不全为零的  $a_i$  使得

$$a_1\vec{v}_1 + a_2\vec{v}_2 + a_3\vec{v}_3 = 0,$$

把 T 作用上去得到

$$T(a_1\vec{v}_1 + a_2\vec{v}_2 + a_3\vec{v}_3) = a_1T(\vec{v}_1) + a_2T(\vec{v}_2) + a_3T(\vec{v}_3) = 0,$$

得到  $\{T(\vec{v_1}), T(\vec{v_2}), T(\vec{v_3})\}$  线性相关.

问题 13. Let  $T: \mathbb{R}^2 \to \mathbb{R}^2$  be the linear transformation that first reflects points through the horizontal  $x_1$ -axis and then reflects points through the line  $x_1 = x_2$ . What is the standard matrix of T.

**解答.** 取标准的基底,以  $x_1$  轴为反射轴的反射是  $\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ ,以  $x_1 = x_2$ 

为轴的反射是  $\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ , 它们的复合 (注意顺序) 是

$$\left[\begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array}\right] \cdot \left[\begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array}\right] = \left[\begin{array}{cc} 0 & -1 \\ 1 & 0 \end{array}\right].$$

问题 14. A linear transformation  $T: \mathbb{R}^2 \to \mathbb{R}^2$  first reflects points through the  $x_1$ -axis and then reflects points through the  $x_2$ -axis. Show that T can also be described as a linear transformation that rotates points about the origin. What is the angle of the rotation?

解答. 取标准的基底, 这两个反射分别是

$$\left[\begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array}\right] \ and \left[\begin{array}{cc} -1 & 0 \\ 0 & 1 \end{array}\right],$$

它们的复合是

$$\left[\begin{array}{cc} -1 & 0 \\ 0 & 1 \end{array}\right] \cdot \left[\begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array}\right] = \left[\begin{array}{cc} -1 & 0 \\ 0 & -1 \end{array}\right],$$

这是绕原点旋转 π 对应的矩阵.

问题 15. 1. Not every linear transformation from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  is a matrix transformation.

- 2. The columns of the standard matrix for a linear transformation from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  are the images of the columns of the  $n \times n$  identity matrix.
- 3. The standard matrix of a linear transformation from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  that reflects points through the horizontal axis, the vertical axis, or the origin has the form  $\begin{bmatrix} a & 0 \\ 0 & d \end{bmatrix}$ , where a and d are  $\pm 1$ .
- 4. A mapping  $T: \mathbb{R}^n \to \mathbb{R}^m$  is onc-to-one if each vector in  $\mathbb{R}^n$  maps onto a unique vector in  $\mathbb{R}^m$ .
- 5. If A is a  $3 \times 2$  matrix, then the transformation  $\vec{x} \mapsto A\vec{x}$  can not map  $\mathbb{R}^2$  onto  $\mathbb{R}^3$ .

## 解答. 1. 错. 任意线性映射 A 都能写成矩阵的形式. 设

$$\mathcal{A}\vec{e_i} = a_{1i}\vec{e_i} + \dots + a_{mi}\vec{e_m}$$

把它写成

$$\mathcal{A}ec{e}_i = (ec{e}_1, ec{e}_2, \cdots, ec{e}_m) \left(egin{array}{c} a_{1i} \ a_{2i} \ \cdots \ a_{mi} \end{array}
ight)$$

再将所有的  $\vec{e}_i$  拼一起, 就有

$$\mathcal{A}(\vec{e}_{1},\vec{e}_{2},\cdots,\vec{e}_{n}) = (\mathcal{A}\vec{e}_{1},\cdots,\mathcal{A}\vec{e}_{n}) = (\vec{e}_{1},\vec{e}_{2},\cdots,\vec{e}_{m}) \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{pmatrix}$$

- 2. 错. 需要 m = n 才可以.
- 3. 对. 使用前面的方法转化.
- 4. 不对. 都映成一个向量的线性映射肯定不是单射.
- 5. 对. 否则  $\mathbb{R}^3$  的基底在  $\mathbb{R}^2$  的原象是线性无关的,矛盾!