

# Algorithms for NGS

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## Topics covered by this class :

1. Sequencing data and what we do with it
2. Read alignment as a pattern matching problem
3. Assembly problem, two solutions
  - a. Overlap Graphs
  - b. De Bruijn Graphs

Sequencing data and what we do with it

Reads

GTATGCACGCGATAG TATGTCGCAGTATCT CACCCTATGTCGCAG GAGACGCTGGAGCCG

Your genome

CGTCTGGGGGGTATGCACGCGATAGCATTGCGAGACGCTGGAGCCGGAGCACCCTATGTCGCAGTATCTGTCTTTGATTCCTG

Name @ERR194146.1 HSQ1008:141:D0CC8ACXX:3:1308:20201:36071/1  
 Sequence ACATCTGGTTCCTACTTCAGGGCCATAAAGCCTAAATAGCCACACGTTCCCCTTAAAT  
 (ignore) +  
 Base qualities ?@@FFBFFDDHHBCEAFGEGIIDHGH@GDHHHGEHID@C?GGDG@FHIGGH@FHBEG:G

Usual ASCII encoding is "Phred+33":

take Q, rounded to integer, add 33, convert to character

```
def QtoPhred33(Q):
```

```
    """ Turn Q into Phred+33 ASCII-encoded quality """
```

```
    return chr(Q + 33)
```



(converts character to integer according to ASCII table)

```
def phred33ToQ(qual):
```

```
    """ Turn Phred+33 ASCII-encoded quality into Q """
```

```
    return ord(qual)-33
```



(converts integer to character according to ASCII table)

**GTATGCACGCGATAG    TATGTCGCAGTATCT    CACCCTATGTCGCAG    GAGACGCTGGAGCCG**  
**TAGCATTGCGAGACG    GGTATGCACGCGATA    TGGAGCCGGAGCACC    CGCTGGAGCCGGAGC**

Reads

Your genome

**CGTCTGGGGGGTATGCACGCGATAGCATTGCGAGACGCTGGAGCCGGAGCACCCTATGTCGCAGTATCTGTCTTTGATTCCTG**

Reads

GTATGCACGCGATAG	TATGTCGCAGTATCT	CACCCTATGTCGCAG	GAGACGCTGGAGCCG
TAGCATTGCGAGACG	GGTATGCACGCGATA	TGGAGCCGGAGCACC	CGCTGGAGCCGGAGC
TGTCTTTGATTCTG	CGCGATAGCATTGCG	GCATTGCGAGACGCT	CCTATGTCGCAGTAT

Your genome

CGTCTGGGGGTATGCACGCGATAGCATTGCGAGACGCTGGAGCCGGAGCACCCCTATGTCGCAGTATCTGTCTTTGATTCTG

*image extracted from [1]*

Reads

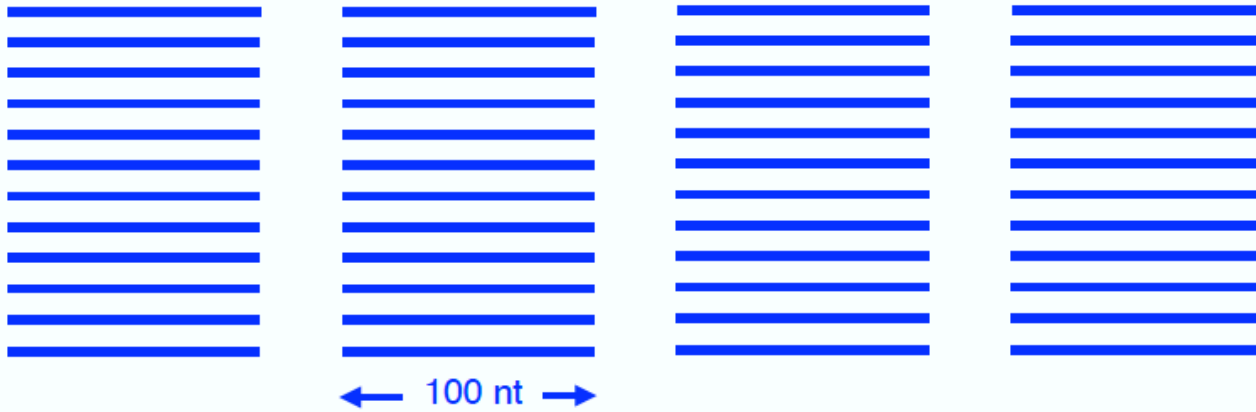
GTATGCACGCGATAG	TATGTCGCAGTATCT	CACCCTATGTCGCAG	GAGACGCTGGAGCCG
TAGCATTGCGAGACG	GGTATGCACGCGATA	TGGAGCCGGAGCACC	CGCTGGAGCCGGAGC
TGTCTTTGATTCTTG	CGCGATAGCATTGCG	GCATTGCGAGACGCT	CCTATGTCGCAGTAT
GACGCTGGAGCCGGA	GCACCCTATGTCGCA	GTATCTGTCTTTGAT	CCTCATCCTATTATT
TATCGCACCTACGTT	CAATATTCGATCATG	GATCACAGGTCTATC	ACCCTATTAACCACT
CACGGGAGCTCTCCA	TGCATTTGGTATTTT	CGTCTGGGGGGTATG	CACGCGATAGCATTG
GTATGCACGCGATAG	ACCTACGTTCAATAT	TATTTATCGCACCTA	CCACTCACGGGAGCT
GCGAGACGCTGGAGC	CTATCACCTATTAA	CTGTCTTTGATTCCT	ACTCACGGGAGCTCT
CCTACGTTCAATATT	GCACCTACGTTCAAT	GTCTGGGGGGTATGC	AGCCGGAGCACCTA
GACGCTGGAGCCGGA	GCACCCTATGTCGCA	GTATCTGTCTTTGAT	CCTCATCCTATTATT
TATCGCACCTACGTT	CAATATTCGATCATG	GATCACAGGTCTATC	ACCCTATTAACCACT
CACGGGAGCTCTCCA	TGCATTTGGTATTTT	CGTCTGGGGGGTATG	CACGCGATAGCATTG

Your genome

CGTCTGGGGGGTATGCACGCGATAGCATTGCGAGACGCTGGAGCCGGAGCACCTATGTCGCAGTATCTGTCTTTGATTCCTG



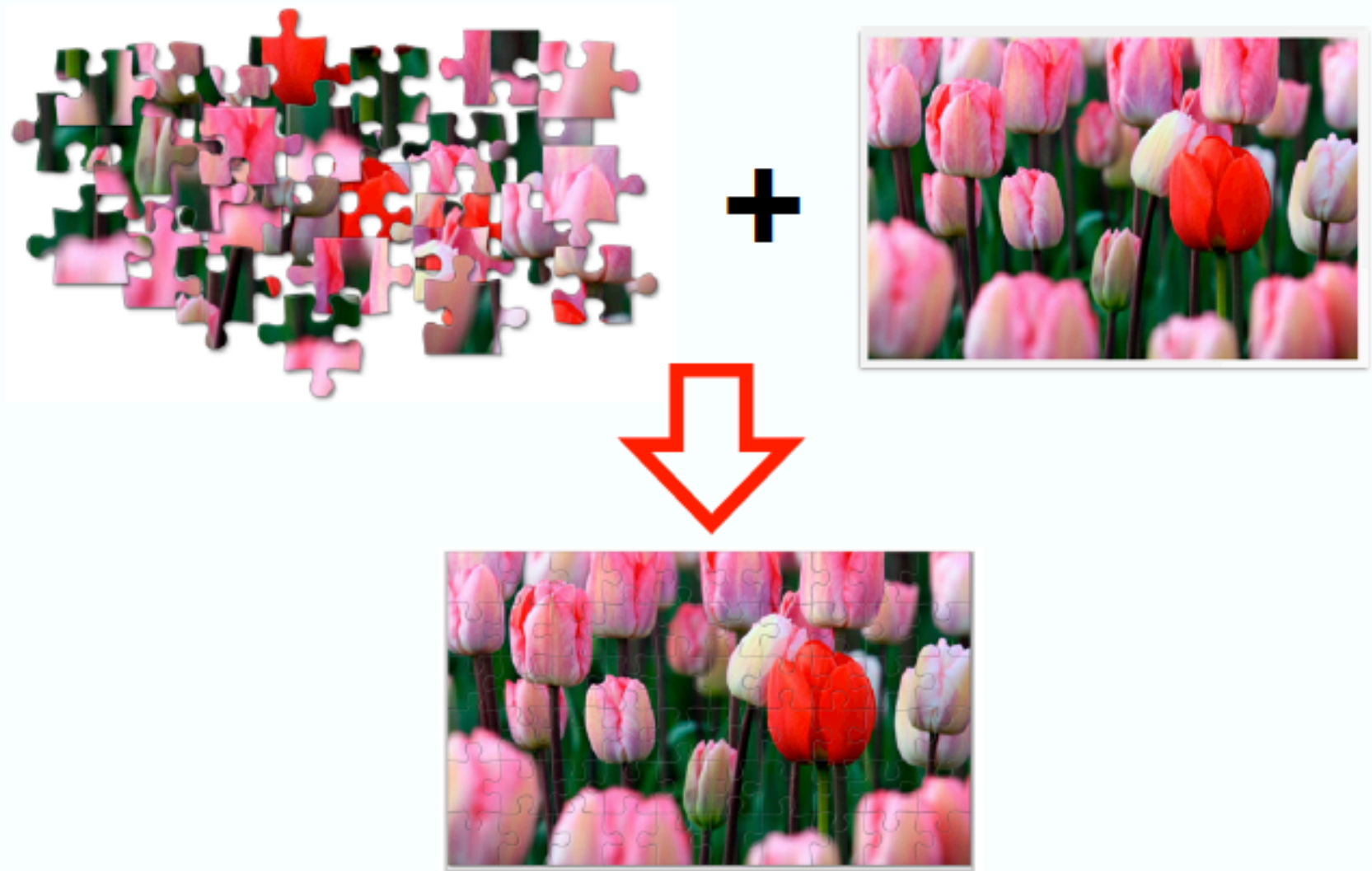
Reads



Your genome



# Alignment

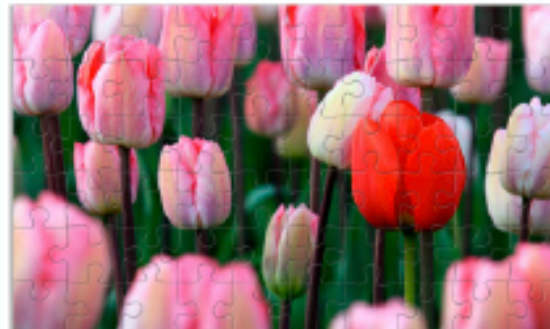


*image extracted from [1]*

## Read alignment (mapping) applications :

- Genotyping : differences between you and me
- RNA-seq, ChIP-seq, Methyl-seq to measure significant *peaks* (enriched areas) corresponding to DNA fragments being representative of a biological process

# Assembly



*image extracted from [1]*

Read alignment problem

# I. As an **exact pattern matching** problem

read  $R$  = pattern  $P$

Genome  $G$  = text  $T$

Where exactly does pattern  $P$  occur in the text  $T$ ?

$P$ : word

$T$ : There would have been a time for such a word

word word word word word word word word word word word

word word word word word word word word word word

word word word word word word word word word word

word word word word word word word word word word

word word word word word word word word word word

**Naive matcher (T, P):**

```
for i in range (0, |T| - |P| + 1):  
    if T[i, i+|P|-1] == P:  
        print(« occurrence at position i »)
```

## Complexity issues w.r.t the naïve algorithm

given  $|P|$  and  $|T|$ , what are the:

- number of possible matches
- greatest number of character comparisons
- lowest number of character comparisons
- what happens when all characters in  $|P|$  are different ?

What about the previous example :

$P = \textit{word}$

$T = \textit{There would have been a time for such a word}$



## Complexity issues w.r.t the naïve algorithm

given  $|P|$  and  $|T|$ , what are the:

- number of possible matches  $|T| - |P| + 1$
- greatest number of character comparisons  $|P| * (|T| - |P| + 1)$
- lowest number of character comparisons  $|T| - |P| + 1$
- what happens when all characters in  $|P|$  are different ?

What about the previous example :

$P = \text{word}$

$T = \text{There would have been a time for such a word}$

## Conclusions :

- worst case  $O(|P| * (|T| - |P| + 1))$
- in special cases (all characters in the pattern are identical or 100% different):  $O(|T|)$
- by gathering more information on the internal redundancy of  $P$ , we can learn how to make bigger shifts
  - > pattern pre-processing step
    - finite automata algorithm
    - Boyer Moore
    - Knuth Morris Pratt
    - shift-or algorithm (special class of algorithms)

## Shift – OR algorithm

*A new approach to text searching*

*Yates and Gonnet, 1998*

- $O(|T|)$  for searching small patterns
- $O(|P| + |\Sigma|)$  for pre-processing, where  $\Sigma$  is the alphabet
- simple and quick, based on bit operations (thus suitable for hardware implementations)
- no buffering of the text

## Shift – OR algorithm

- a table  $M$  with  $|P|$  masks corresponding to every character in the alphabet

$P = \text{ababc}$

$M[a] = 11010$  (a matches at positions 1 and 3)

$M[b] = 10101$  (b matches at positions 2 and 4)

$M[c] = 01111$  (c matches at position 5)

$M[*] = 11111$

- a state vector  $s$ , initialized with 1s  
11111 for this example (= no match)

## Shift – OR algorithm

- state `10101` means that we are at position 4 in the pattern (and got 2 partial matches : one of size 2 and one of size 4)
- a match of `P` in `T` will generate a state starting with `0` (ex. `01111`) meaning that a prefix of `P` of size 5 is matched (= `P`)
- at each step we go to a new state
$$s = (\text{previous } s \ll 1) \mid M[\text{current\_char in } T]$$

## Shift – OR algorithm

P **a**bab

T **a**bdababab

initial s = 11111

$M[T[1]] = 11010$

$s = (\text{initial } s \ll 1) \mid 11010 = 11110 \mid 11010 = 11110$

## Shift – OR algorithm

P **ab**abc

T **ab**dabababc

previous s = 11110

$M[T[2]] = 10101$

$s = (\text{prev } s \ll 1) \mid 10101 = 11100 \mid 10101 = 11101$

## Shift – OR algorithm

P **a**ba**b**c

T **a**b**d**abababc

previous s = 11101

$M[T[3]] = 11111$

$s = (\text{prev } s \ll 1) \mid 11111 = 11010 \mid 11111 = 11111$

no match, re-start from the previous match !!!

here from the beginning of the pattern as there is no partial match (no prefix of P is a suffix of T)



## Shift – OR algorithm

P **a**bab

T ab**d**abab

previous s = 11111

$M[T[4]] = 11010$

$s = (\text{prev } s \ll 1) \mid 11010 = 11110 \mid 11010 = 11110$

## Shift – OR algorithm

P **ab**abc

T abd**ab**ababc

previous s = 11110

$M[T[5]] = 10101$

$s = (\text{prev } s \ll 1) \mid 10101 = 11100 \mid 10101 = 11101$

## Shift – OR algorithm

P `ababc`

T `abdabababc`

previous s = 11101

$M[T[6]] = 11010$

$s = (\text{prev } s \ll 1) \mid 11010 = 11010 \mid 11010 = 11010$

## Shift – OR algorithm

P **ababc**

T abd**abab**abc

previous s = 11010

$M[T[7]] = 10101$

$s = (\text{prev } s \ll 1) \mid 10101 = 10100 \mid 10101 = 10101$

## Shift – OR algorithm

P ababc

T abdabAbabc

previous s = 10101

$M[T[8]] = 11010$

$s = (\text{prev } s \ll 1) \mid 11010 = 01010 \mid 11010 = 11010$

no match, re-start from the previous partial  
match !!!

begins at position 3 in the current part of the text

## Shift – OR algorithm

P **ababc**

T abdab**ababc**

previous s = 11010

$M[T[9]] = 10101$

$s = (\text{prev } s \ll 1) \mid 10101 = 10100 \mid 10101 = 10101$

## Shift – OR algorithm

P ababc

T abdabababc

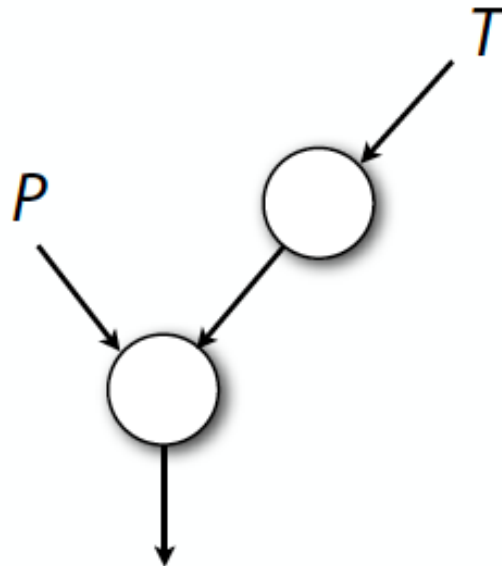
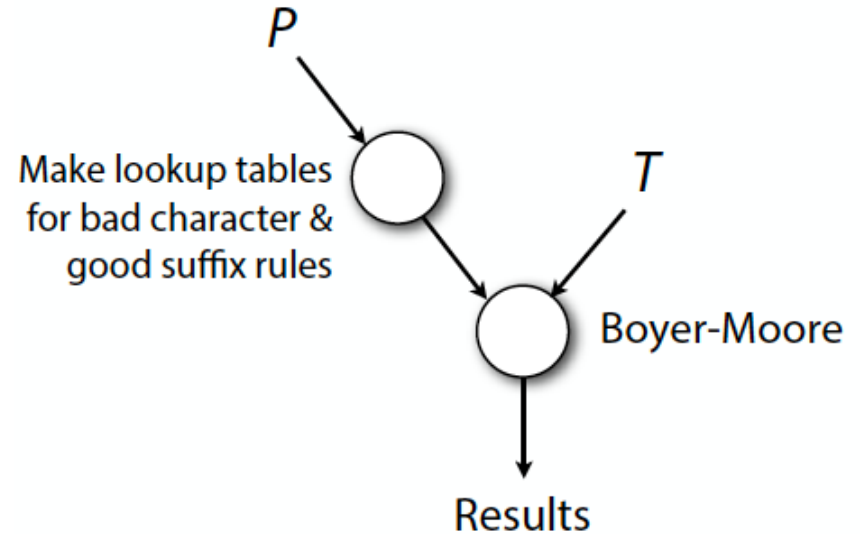
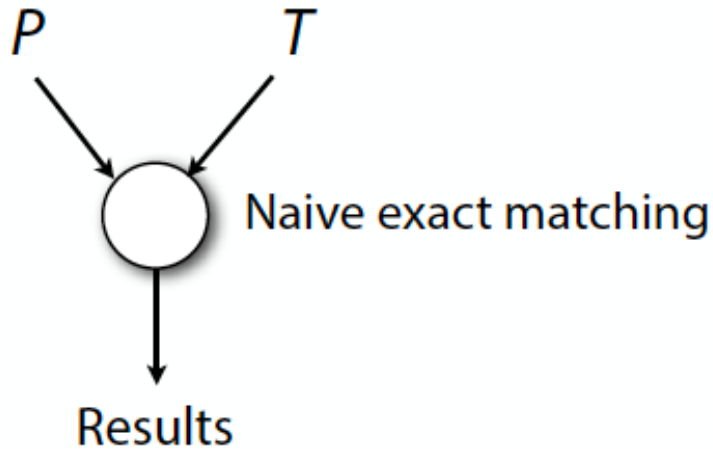
previous s = 10101

$M[T[10]] = 01111$

$s = (\text{prev } s \ll 1) \mid 01111 = 01010 \mid 01111 = 01111$

final state = match

# Pre-processing



Algorithms that preprocess  $T$  are offline.

Otherwise, they are called online.



## Offline exact matching: build a k-mer index of T

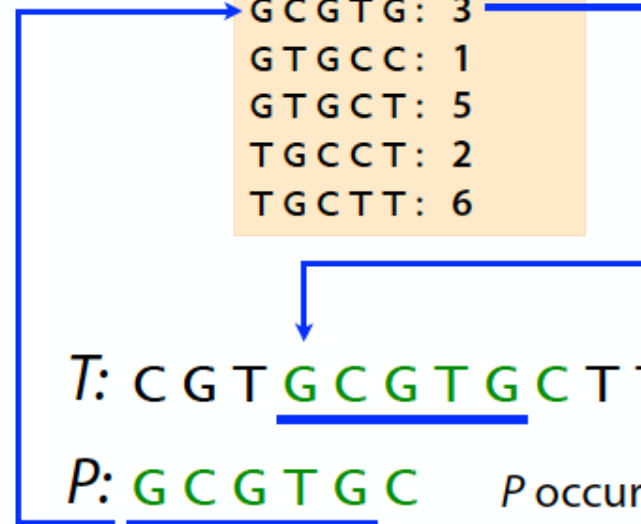
*k*-mer: substring  
of length *k*

Index of <i>T</i>	
CGTGC:	0, 4
GCGTG:	3
GTGCC:	1
GTGCT:	5
TGCCT:	2
TGCTT:	6

5-mer index

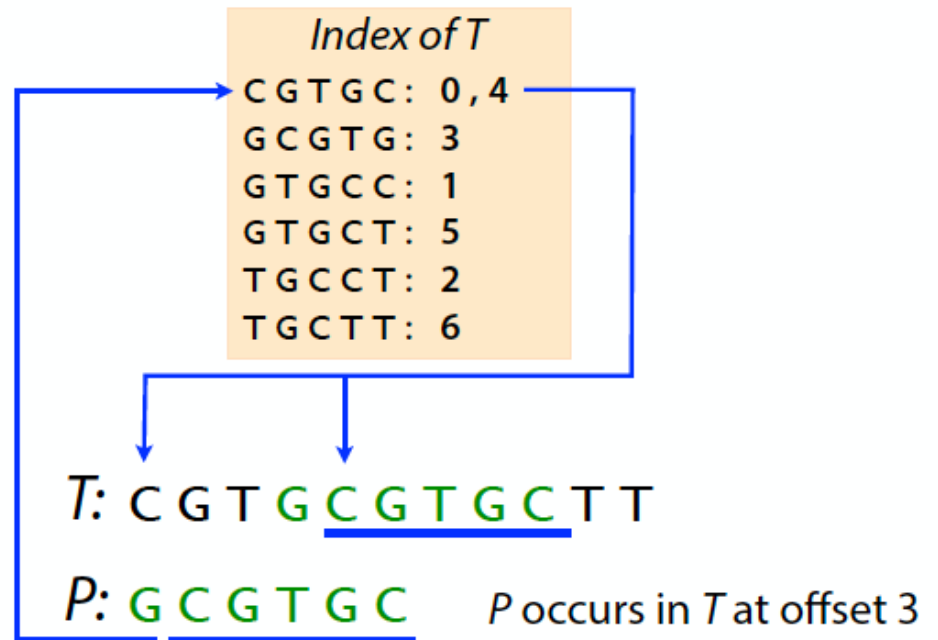
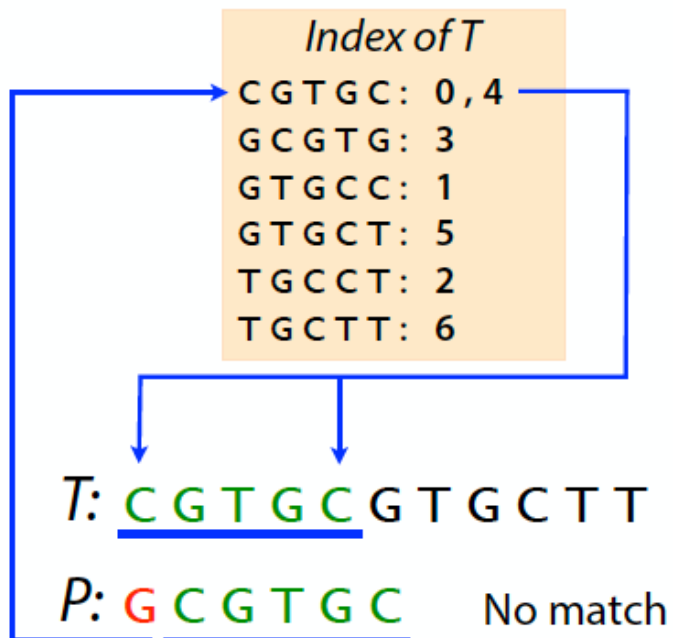
*T*: CGTGC GTGCTT

Index of <i>T</i>	
CGTGC:	0, 4
GCGTG:	3
GTGCC:	1
GTGCT:	5
TGCCT:	2
TGCTT:	6

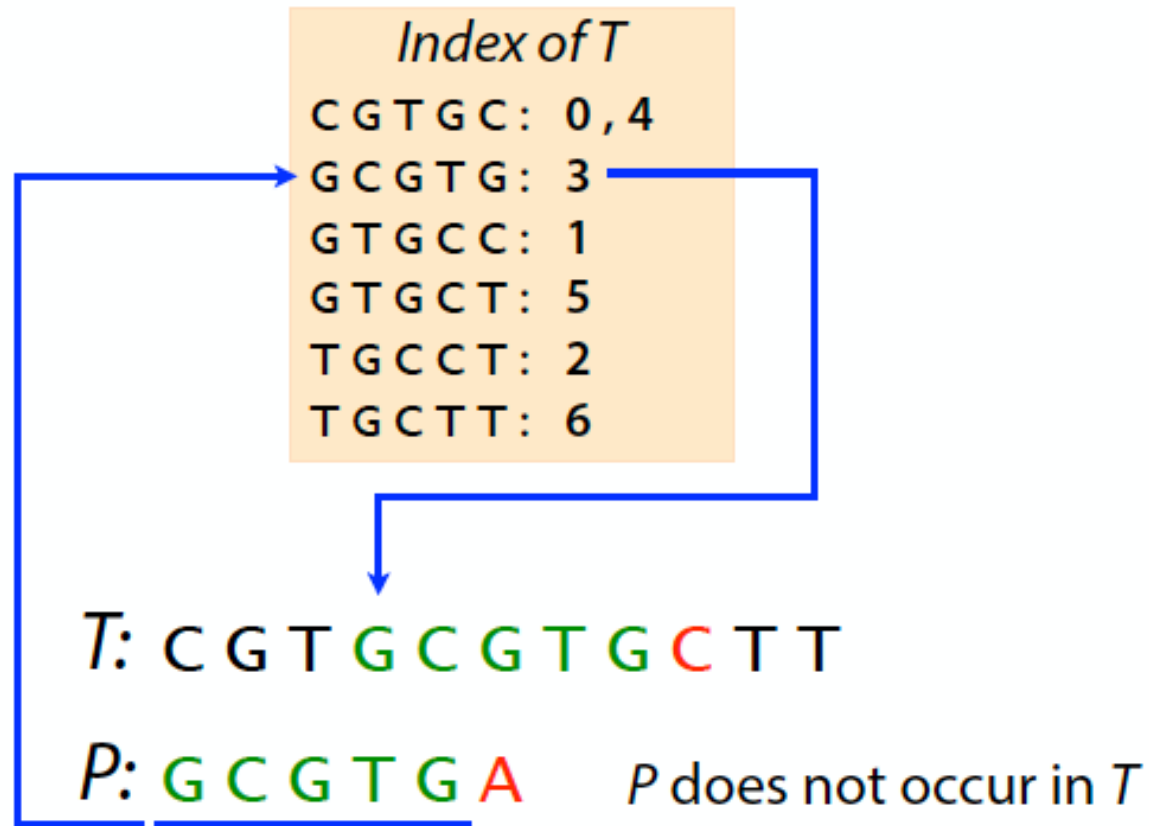


*P* occurs in *T* at offset 3

Still querying the index – several hits



Still querying the index – no hit



Multimaps to implement the index.

## 1. Ordered list

- binary search  $O(\log(|T|))$
- in python we can use *bisect* package with a sorted list  
*bisect\_left(a, x)* : leftmost offset where x can be inserted into a while maintaining order
- how ? show how this works on our example
- what about filling the data structure ?

## 2. Hash table

in python dictionaries are based on hash tables