# Graphs

Undir:  $m \leq {n \choose 2}$ ,  $\sum_{v} deg(v) = 2m$ 

Dir:  $m \le n * (n-1)$ ,  $\sum_{v} indeg(v) = \sum_{v} outdeg(v) = m$ Subgraph: result of removing an edge,  $V' \subseteq V, E' \subset E$ 

Induced SG: result of removing node. Is subgraph,  $e \in E' \leftrightarrow (e \in E \land e)$  $(u,v)\in V.$ 

u connected to  $v: (u \sim v) \rightarrow \exists$  path from u to v

G connected if  $(u \sim v) \ \forall (u, v)$ 

## Representations

Adj. Matrix: row 1 = outgoing edge, col 1 = incoming edge

- Undir:  $G = G^T$ 

- Find a neighbour: O(n)
- Access(v) = O(1), traverse V = O(n)
- Traverse all edges of v = O(n)
- Traverse all edges of  $G = O(n^2)$
- $-O(V^2) = O(n^2)$  space
- Adj. List:  $\sum_{v} outdeg(v) = E$
- O(V+E) = O(n+m) space
- Find a neighbour: O(1)
- Traverse all edges of v = O(|neighbours(v)|)
- Traverse all edges of G = O(m)
- Access(v) = O(1), traverse V = O(n)

#### Trees

Tree: connected, acyclic graph

- Add edge  $\rightarrow$  cycle; Remove edge  $\rightarrow$  not connected
- n 1 edges

Forest: graph with trees as connected components

Spanning tree:  $T \subseteq G$  S.T V(T) = V(G)

Undir. is a tree  $\leftrightarrow$  connected and E = V - 1

#### BFS

- O(n+m) time (list),  $O(n^2)$  (matrix)
- $\Theta(n)$  space for both list and matrix
- Finds all nodes, finds shortest path from s to all others

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#### **Edge Classes**

The edge (u, v) refers to the FOREST, not the graph.

- Tree:  $(u, v) \in \text{Forest}$
- Forward: v is descendant of u
- Back: v is ancestory of u (back = fwd in undirs)
- Cross: none of the above are true.
- Und: DFS tree/fwd only, BFS tree/cross only

- Dir: DFS: all edges, BFS: no fwd edges

## DAGs

- Source: no incoming edges
- Sink: no outgoing edges
- Multiple sources/sinks are possible. At least one of each in every DAG.
- Source & Sink  $\rightarrow$  no cycles
- A Digraph is a DAG  $\leftrightarrow$  DFS has no back edges

- Produces ordering s.t  $(u, v) \in E \to u$  appears before v in the ordering
- Digraph has a Toposort  $\leftrightarrow$  it is a DAG
- Run DFS, order in decreasing order of finish time
- -O(n+m)
- Orders are not unique.

# SCCs

- Run DFS. Run DFS on  $G^T$ , in decreasing order of ftime. The forests of the transpose DFS are the SCCs.
- Independent of toposort ordering

# Minimum Spanning Trees

- Spanning tree w/ minumum weight
- DFS and BFS build spanning trees, but not necessarily the MST.
- Optimal Substructure: if T = MST of  $G \to T[U]$ , where  $U \subset V$ , if T[U]is connected it is an MST.

Prim: add best vertex

# Kruskal's Algorithm $(O((m+n)\log(n)))$

- Only consider edges that do NOT create a cycle (safe edges)
- Add lowest-cost edge on each iteration
- Has greedy-choice property

## Edge Switching

- Let  $e' \notin T$ , where  $T \cup \{e'\}$  has a cycle. For any  $e \in E$ , where e is in the cycle,  $T \cup \{e'\} - \{e\}$  is a tree.

# Single-Source Shortest Paths (SSSPs)

- The problem: find the min-cost path from source s to each vertex v.
- Shortest path is at most of length n-1.

#### Relax

If d(v) > d(u) + w(u, v), then  $d(v) \leftarrow d(u) + w(u, v)$ 

#### Dijkstra

**Algo:** Init.  $S = \emptyset$ ; Init.  $d(v) = \infty$ ,  $\forall v \neq s$ , d(s) = 0; Init. Min-Queue, keyed by d(v). While  $Q \neq \emptyset$ , extract  $u = \min(Q)$ , Add u to S; Relax all edges (u, v).

- List + binary heap (as a min-PQ):  $O((m+n)\log(n))$  Matrix:  $O(n^2+m\log(n))$
- Fib heap:  $\Theta(m + n \log(n))$
- No negative cycles (undir), no negative edges (dir)

### **Properties**

Subpath Optimality: If P = (s, ...u, ...v, ...t), then each subpath is the shortest corresponding path.

Triangle Inequality:  $d(u, w) \le d(u, v) + d(v, w)$ .

# Relaxing an Edge

- d(v) only updated w/ relax  $\rightarrow d(v) \ge d(s, v)$ 

relax(u, v): if d(v) > d(u) + w(u, v):

 $d(v) \leftarrow d(u) + w(u, v)$ 

## Bellman-Ford O(VE)

- Can handle negative edge weights (but not negative cycles!)
- Updates distances OFFLINE.

**Algo:** Init.  $d(v) = \infty$ ,  $\forall v \neq s$ , d(s) = 0; For  $i \in \{1, ..., n-1\}$ , For  $(u, v) \in$ E, Relax edges (u, v). Endfor. For  $(u, v) \in E$ ; If  $d(u) + w(u, v) \leq d(v)$ , Return FALSE. Else return TRUE.

- Use a DP table, dim =  $0:(n-1)\times n$
- $d_i[v] \leftarrow \min(d_{i-1}[v], \min_{u \in Neigh(v)}[d_{i-1}[u] + w(u, v)])$
- Order irrelevant to final product.

# Floyd-Warshall $O(n^3)$

- $V \times V$  table,  $v_1, ... v_n$ .
- Allows negative weights, no negative cycles.
- All-pairs shortest path (APSP)

Suppose  $V = \{1, 2, ..., n\}$ .

Then, d[i, j, k] = distance of shortest path from i to j, such that all intermediate vertices  $\in \{1, 2, \dots, k\}$ .

- Case 1: don't need vertex k: d[i, j, k] = d[i, j, (k-1)].
- Case 2: need vertex  $k\colon d[i,j,k]=d[i,k,(k-1)]+d[k,j,(k-1)].$
- So,  $d[i, j, k] = \min(\text{Case } 1, \text{ Case } 2)$
- Base cases: d[i, j, 0] = w(i, j), d[i, i, k] = 0.

# Greedy Algorithms

Optimal Substructure: an optimal choice must be included in an optimal solution, but not necessarily all of them.

# Fractional Knapsack

 $v_i = \text{profit per unit weight } b_i/w_i$ 

 $x_i = \min(w_i, W_{\text{remaining}})$ 

add  $x_i$  amount of item i

 $W_{\text{remaining}} \leftarrow W_{\text{remaining}} - x_i$ 

Choose item with highest  $v_i$ 

 $X^*$  is unique  $\to$  all  $x^* \in X^*$  are saturated.

# Job Scheduling

- Earliest-Start-Time-First (ESTF)

Sort the n jobs by start time  $O(n \log(n))$ ; iterate n times, and on each iteration find the right machine, schedule the job, and update the times. Total runtime:  $O(n \log(n))$ .

Alternatively use a min-heap: also  $O(n \log(n))$ .

## **Activity Selection**

- Earliest-Finish-Time-First (EFTF);  $\Theta(n \log(n))$ 

# **Dynamic Programming**

#### Integral Knapsack

- n items: table with 0: n-1 rows, 0: W columns. D is remaining capacity, W is total capacity.

 $- \forall D, \ A[0, D] = 0$ 

 $- \forall i, \ A[i,0] = 0$ 

- Else,  $A[i, D] = \max(A[i-1, D], v_i + A[i-1, D-w_i])$ 

## Rod Cutting

- Else,  $r_n = \max_{i \in 1:n} (p_i + r_{n-i})$ 

## Longest Common Subsequence

-O(nm)

- len(X) = n, len(Y) = m.

- Table:  $0: n \times 0: m$ .

-  $A[i,j] = \max(D[i-1,j], D[i,j-1], 1 + D[i-1,j-1])$ 

- Last one only if X[i] = Y[j].

## MCM

- n matrices

-  $d \in \{0, \dots, n+1\}$ 

-  $A[i,j] = \min_{i \le k < j} (A[i,k] + A[k+1,j], + d_{i-1}d_kd_j)$ 

# Asymptotic Cheatsheet

For some set S,

If  $f(n) \in S(g(n))$  and  $g(n) \in S(h(n))$  then  $f(n) \in S(h(n))$ .

 $\sum_{k=0}^{n} r^{k} = \frac{1 - r^{n+1}}{1 - r} = \frac{r^{n+1} - 1}{r - 1}$   $n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^{n}$ 

Limits:

 $\lim_{n \to \infty} \frac{h(n)}{f(n)} = 0 \to h(n) \in o(f(n))$ 

 $\lim_{n\to\infty} \frac{h(n)}{f(n)} = k > 0 \to h(n) \in \Theta(f(n))$  $\log^k(n) \in o(n^{\varepsilon}), \, \forall k, \varepsilon > 0$ 

 $n \log(n) \in \Theta(\log(n!))$ 

#### Master Theorem

Let  $T(n) = aT(\frac{n}{b}) + f(n)$  Bottom-Heavy: Leaves dominate runtime

 $-f(n) \in O(n^{\log_b(a)-\varepsilon}) \to T(n) \in \Theta(n^{\log_b(a)})$ 

Balanced: Leaves and internal nodes do equal work

 $-f(n) \in \Theta(n^{\log_b(a)\log_n^k}) \to T(n) \in \Theta(n^{\log_b(a)}\log^{k+1}(n)), k \ge 0$ 

Top-Heavy: Eariler nodes dominate runtime

 $-f(n) \in \Omega(n^{\log_b(a)+\varepsilon}), \text{ and } af(\frac{n}{b}) \leq \delta f(n), \ \delta < 1 \to T(n) \in \Theta(f(n))$ 

### Heaps

Max-Heap Property:  $A[Parent(i)] \ge A[i]$ 

Heap of n keys has height  $|\log(n)|$ 

buildMaxHeap: O(n)

extractMax:  $O(\log(n))$ 

heapSort:  $\Theta(n \log(n))$ 

QuickSort

## Sorting Lower Bound

## Binary Search Trees

Right-(Left)-Rotate: x becomes the right (left) child of new root, usually its left (right) child.

#### **AVL Trees**

- Height-balanced:  $|h_l - h_r| \le 1$ 

 $-h = O(\log(n))$