

# INTERNSHIP REPORT, ATTENTION GROWING NETWORKS

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## 1. NOMENCLATURE

### 1.1. Dimensions.

- $b$  Batch
- $d_e$  Embedding dimension
- $d_s$  Sequence length
- $d_k$  Query/Keys dimension
- $d_v$  Value dimension
- $h$  Number of heads

### 1.2. Matrix.

In the case of multi-head attention, for each head  $i = 1, \dots, h$ , we have:

- Input  $X \in \mathbb{R}^{d_s \times d_e}$
- $W_{Q_i} \in \mathbb{R}^{d_e \times \frac{d_k}{h}}, Q_i := XW_{Q_i} \in \mathbb{R}^{d_s \times \frac{d_k}{h}}$
- $W_{K_i} \in \mathbb{R}^{d_e \times \frac{d_k}{h}}, K_i := XW_{K_i} \in \mathbb{R}^{d_s \times \frac{d_k}{h}}$
- $S_i := \frac{Q_i K_i^\top}{\sqrt{\frac{d_k}{h}}} \in \mathbb{R}^{d_s \times d_s}$
- $A_i := \text{softmax}_{\text{row}}(S)$
- $W_{V_i} \in \mathbb{R}^{d_e \times \frac{d_v}{h}}, V_i := XW_{V_i} \in \mathbb{R}^{d_s \times \frac{d_v}{h}}$
- $H_i := A_i V_i \in \mathbb{R}^{d_s \times \frac{d_v}{h}}, H = [H_1, \dots, H_h] \in \mathbb{R}^{d_s \times d_v}$
- $W_O \in \mathbb{R}^{d_v \times d_e}$
- Output  $Y := HW_O + X \in \mathbb{R}^{d_s \times d_e}$

#### Remark 1.2.1:

The number of parameters to learn

$$\left( \underbrace{2 \left( d_e \frac{d_k}{h} \right)}_{W_{Q_i}, W_{K_i}} + \underbrace{d_e \frac{d_v}{h}}_{W_{V_i}} \right) h + \underbrace{d_v d_e}_{W_O}$$

is the same for any  $h \in \mathbb{N}_+^*$ .

**Remark 1.2.2:** We can easily consider the bias by augmenting the matrices:

$$X' = [X \mid \mathbf{1}] \in \mathbb{R}^{d_s \times (d_e + 1)}$$

$$H' = [H \mid \mathbf{1}] \in \mathbb{R}^{d_s \times (d_v + 1)}$$

And adding a row of parameters to  $W_{Q_i}, W_{K_i}, W_{V_i}, W_O$ . For example:

$$W'_{Q_i} = \begin{pmatrix} W_{Q_i} \\ (bQ)^\top \end{pmatrix} \in \mathbb{R}^{(d_e + 1) \times \frac{d_k}{h}}.$$

## 2. PROBLEM

We study the case where  $h = 1$ .

We are interested in growing the  $d_k$  dimension. We consider the first order approximation, using the functional gradient,

$$\mathcal{L}(f + \partial f(d\theta, d\mathcal{A})) = \mathcal{L}(f) + \langle \nabla_f \mathcal{L}(f), \partial f(\partial\theta, \partial\mathcal{A}) \rangle + o(\|\partial f(\partial\theta, \partial\mathcal{A})\|).$$

To avoid the softmax's non linearity, we will consider the gradient with respect to the matrix  $S$ , just before the softmax.

We then have

$$\mathcal{L}(S + \partial S) = \mathcal{L}(S) + \langle \nabla_S \mathcal{L}(S), \partial S \rangle + o(\|\partial S\|)$$

with

$$\partial S = X(W_Q + \partial W_Q)(W_K + \partial W_K)^\top X^\top - XW_QW_K^\top X^\top.$$

We have the following optimization problem:

$$\arg \min_{\partial S} \langle \nabla_S \mathcal{L}(S), \partial S \rangle, \text{ such that } \|\partial S\| \leq \gamma$$

$$\arg \min_{\partial W_Q, \partial W_K} \left\| B - X(W_Q + \partial W_Q)(W_K + \partial W_K)^\top X^\top \right\|^2$$

$$\text{with } B := \nabla_S \mathcal{L}(S) + XW_QW_K^\top X^\top$$

Which is a low rank regression (limited by  $d_k$ ).  $B$  is known.

We can approximate  $X \underbrace{(W_Q + \partial W_Q)}_{d_e \times d_k} \underbrace{(W_K + \partial W_K)^\top}_{d_k \times d_e} X^\top$  with a truncated SVD, taking the first  $d_k$  singular values.

To grow  $S$  for the next training iteration, we can instead approximate by

## REFERENCES

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