Messy Code Makes Managing ML Pipelines Difficult? Just Let LLMs Rewrite the Code!

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ABSTRACT

Machine learning (ML) applications that learn from data are increasingly used to automate impactful decisions. Unfortunately, these applications often fall short of adequately managing critical data and complying with upcoming regulations. A technical reason for the persistence of these issues is that the data pipelines in common ML libraries and cloud services lack fundamental declarative, data-centric abstractions. Recent research has shown how such abstractions enable techniques like provenance tracking and automatic inspection to help manage ML pipelines. Unfortunately, these approaches lack adoption in the real world because they require clean ML pipeline code written with declarative APIs, instead of the messy imperative Python code that data scientists typically write for data preparation.

We argue that it is unrealistic to expect data scientists to change their established development practices. Instead, we propose to circumvent this "code abstraction gap" by leveraging the code generation capabilities of large language models (LLMs). Our idea is to rewrite messy data science code to a custom-tailored declarative pipeline abstraction, which we implement as a proof-of-concept in our prototype Lester. We detail its application for a challenging compliance management example involving "incremental view maintenance" of deployed ML pipelines. The code rewrites for our running example show the potential of LLMs to make messy data science code declarative, e.g., by identifying hand-coded joins in Python and turning them into joins on dataframes, or by generating declarative feature encoders from NumPy code.

1 INTRODUCTION

Software systems that learn from data with machine learning (ML) are increasingly used to automate impactful decisions. The risks arising from this widespread use lead to the question of how to adequately manage the data processed by these ML applications.

Unsolved data management challenges in ML applications. Modern data-driven organisations run hundreds of ML pipelines to train and deploy machine learning models [19]. Unfortunately, they often fall short with respect to the management of personal and security-critical data. Google's text completion system, for example, contained credit card numbers from personal emails [4], and Facebook recently could not detail the flow of personal data through its systems in a court hearing [2]. At the same time, more and more regulatory requirements for ML applications are coming into effect, e.g., the right to inspect, rectify, and delete personal data from the General Data Protection Regulation in Europe, or comprehensive requirements on the traceability of results in highrisk ML applications from the upcoming European AI Act.

Shortcomings in common ML libraries and cloud services. We argue that the current design of the data pipelines in such ML applications lacks the foundations to adequately address the outlined challenges. Current ML pipeline libraries lack fundamental datacentric abstractions such as logical query plans in databases. Major cloud providers offer services based on custom pipeline abstractions [1, 8, 12] without making data a first-class citizen or modeling the semantics of individual operations. Instead, these services focus on flexibility and ease of deployment and treat the pipeline as a workflow to orchestrate with black-box operators implemented as general Python functions. Moreover, data preparation and integration are often outsourced, as a single integrated dataset is expected as input. Most of these abstractions also do neither consider the fine-grained provenance of the produced data artifacts, nor do they offer fine-grained update functionality for them. As a result, the burden of handling complex business requirements like compliance with regulations is put on the developers.

Attempts to retroactively raise the level of abstraction in data science code. The data management community has recognised these challenges and shown how to enhance ML applications with provenance tracking, debugging, inspection, and automatic rewriting capabilities [7, 9, 10, 13, 15]. However, these techniques are hard to integrate into real-world applications as they rely on declarative abstractions which are not present in existing data science code.

There have been various attempts to retroactively fix this. Both industry and academia have proposed novel systems [3, 5, 14] for data scientists to (re)write their pipelines with. Another line of research enhances existing declaratively written ML pipelines without requiring code modifications [9, 10, 13, 15]. Unfortunately, the real-world adoption of both of these directions is still limited. Data scientists typically focus on the ML aspects of data science and treat data preparation as grunt work for which they write messy imperative Python code to "get the job done" quickly. As a consequence, they lack incentives to rewrite existing code to new abstractions. Furthermore, the majority of data scientists have a math/statistics background and often lack the engineering experience to express their pipeline computations in an elaborate declarative way.

LESTER: LLM-assisted code rewriting to a declarative pipeline abstraction. We argue that this is a fundamental problem and that it is unrealistic to expect data scientists to (re)write their ML pipeline code with declarative APIs. Instead, we propose to leverage the promising code generation capabilities of large language models (LLMs) [6] to bridge the outlined "code abstraction gap". We present a proof-of-concept in the form of our prototype Lester, which is based on the idea of leveraging LLMs to rewrite messy data science code to a custom-tailored declarative pipeline abstraction. We design this pipeline abstraction to encompass a variety of existing research on enhancing ML pipelines [7, 9, 10, 13, 15].

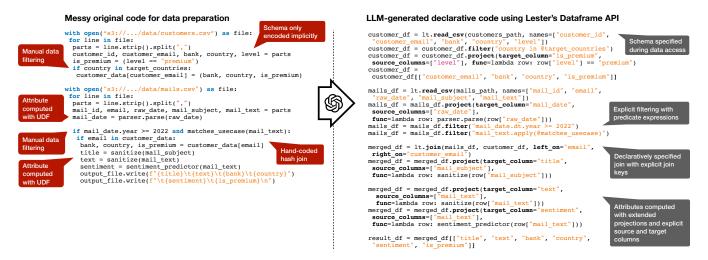


Figure 1: LLM-assisted rewrite of messy data preparation code to declarative dataframe operations in Lester with relational semantics and fine-grained row and column provenance tracking.

By rewriting existing code, LESTER can apply general functionality to address problems such as compliance with regulatory requirements. To showcase this, we implement a difficult and time-sensitive compliance task in our prototype: treating the data artifacts of a pipeline as "materialised views" over its inputs and conducting a low-latency update on them to delete security critical leaked input data. In summary, we make the following contributions.

- We introduce the abstractions and implementation underlying Lester, discuss its code rewriting potential, and detail the resulting benefits for a challenging example (Sections 2 & 3).
- We evaluate the performance benefits of our proposed "view maintenance" technique and conduct a small user study to showcase that even basic tasks like computing certain metadata in ML pipelines are difficult for data scientists without system support (Section 4).
- We provide the code of our prototype and example scenario at https://github.com/deem-data/lester.

2 RUNNING EXAMPLE

We introduce a running example for the scenarios that Lester addresses (inspired by the leakage of credit card numbers from personal emails reported in [4]). Our fictitious example evolves around a financial service provider who maintains a data lake with semi-structured data of customers from several banks and their corresponding interactions, such as emails regarding questions, complaints, or service requests. Multiple ML pipelines regularly train ML models based on this data, e.g., for churn prediction, the prioritisation of service requests, text completion for chatbots, or fraud detection.

Scenario: urgent removal of security-critical personal data.

Now imagine that a team of data engineers realises that credit card numbers in the email subject for customers from certain banks in Germany were not scrambled during the import of the emails into the data lake. This poses a severe financial and reputational risk for the company, so they need to act immediately to delete the leaked data. However, the data engineers realise that several ML pipelines may have also consumed the critical data, As a result, the credit card numbers might be contained in data artifacts produced by these pipelines, might be recoverable from encoded representations using correlation attacks or might even have been memorised by the resulting ML models! The data engineers are now faced with the following challenges:

- (1) How can they determine which ML pipelines and models are actually affected?
- (2) If they identify the affected pipelines, how can they rectify the affected models and pipeline artifacts? They cannot simply delete all artifacts/models, as these have to be retained for compliance reasons. At the same time, it would be tedious and expensive for them to have to manually re-execute all affected pipelines from scratch to recreate the models and artifacts without the contaminated data.

Unfortunately, the data engineers realise that the ML pipelines consist of messy Python code written by data scientists. Due to this, automated solutions for identifying affected pipelines and rectifying models and artifacts are hardly possible, and overtime hours and tedious "detective work" will be necessary to address the security issue!

Example code. We illustrate the challenges of this scenario with messy code for an exemplary ML pipeline that identifies complaints from premium customers (based on email content). Note that the discussed pipeline code is available in our code repository at https://github.com/deem-data/lester/blob/main/messy_original_pipeline.py.

Preparing the training data. The left side of Figure 1 (on the previous page) shows the code for preparing the training data for the ML model, based on CSV files about customers and emails from the data lake. This code has several issues, as it manually parses the CSV files, has the schema of the CSV files implicitly encoded in variable names, conducts filters and projections in plain Python code with

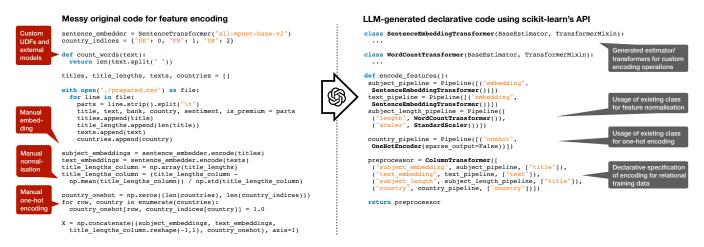


Figure 2: LLM-assisted rewrite of messy data feature encoding code to estimator/transformer operations in scikit-learn for LESTER with fine-grained provenance tracking.

Python UDFs and external ML models, and even conducts a hand-coded hash join to map customers to their emails. The deletion of the credit card numbers from the generated training data would require understanding column provenance, e.g., that the title column may contain the credit card numbers, as it is computed from the mail_subject column of the input data. Furthermore, row provenance is required to identify which rows to update since the primary key (the email attribute) from the input data has been projected out and is not available in the training data.

Encoding the training data as features. The code for encoding the training data as a feature matrix for model training (shown on the left side of Figure 2) is messy as well. It generates embeddings for the title and text attributes of the training data with an external model, and uses imperative NumPy code to compute the normalised word count of the title as a feature and a one-hot encoding matrix of the country assignment.

For the deletion of the credit card numbers from the feature matrix data, a form of "matrix column provenance" would be required, in order to understand that the title column from the training data (which originates from the mail_subject column with the credit card numbers) is encoded into two different features (the normalised word count and a contextual embedding). In order to update the feature matrix X, one also needs to be able to identify the exact dimension ranges to which these features are mapped.

Model training. Furthermore, the pipeline trains an ML model implemented in PyTorch (whose code we omit due to lack of space, but which is available in our Github repository).

3 LESTER

In the following, we first detail the pipeline abstraction in Lester and showcase how to rewrite the messy code from our running example to this abstraction via custom-tailored prompts and the state-of-the-art LLM GPT-4 from OpenAI. This pipeline abstraction enables row and column provenance tracking together with certain forms of incremental view maintenance, based on which we automate the challenging compliance task described in Section 2.

3.1 Computational Model for ML Pipelines

The backbone of Lester is a formal model of ML pipelines for supervised learning, which encompasses the pipeline abstractions from our previous research [9, 10, 15]. We model an ML pipeline as a sequence of two dataflow computations. First, the data preparation step transforms a set of relational input datasets $\mathcal{D}_1, \mathcal{D}_2, \ldots, \mathcal{D}_n$ into an integrated relational dataset \mathcal{D}_{prep} with a query that applies operations from the positive relational algebra. Secondly, the feature encoding step leverages a set of featurisers Φ , where ϕ_{A_i} denotes a sequence of estimator/transformer functions [16] for encoding the attribute A_i of \mathcal{D}_{prep} into matrix form. The resulting features are then concatenated to form a feature matrix X with a label vector y, which denotes the training data for the pipeline's final ML model training step.

Provenance-based ML pipeline management. Given this abstract pipeline representation, we can comprehensively inspect the dataflow and artifacts of the pipeline via row and column provenance tracking. We build on previous research [9] to efficiently track fine-grained provenance for these artifacts. In particular, we compute how-provenance via provenance polynomials [11] during the execution of the SPJRU queries in the data preparation step and the feature encoding step. We additionally track column provenance through the projections in the relational data preparation stage, and compute a form of "matrix column provenance" for the feature encoding operations, where we record which column dimensions in the produced feature matrix are used by a column's feature encoders.

Implementation. We implement LESTER as a proof-of-concept in Python. We design a dataframe API for the data preparation step with basic support for joins, selections, projections, and extended projections, which we internally execute with Pandas. We add row and column provenance tracking by maintaining a polynomial per row in a "hidden" column that is part of the data, and update the polynomials according to the relational operations conducted. For the feature encoding stage, we rely on the well-established estimator/transformer implementation from scikit-learn [16], which we

extend to compute "matrix column provenance" by determining to which dimension ranges in the feature matrix a particular input column is mapped. Based on these, we capture and store the artifacts (together with their provenance) during the initial pipeline execution.

3.2 LLM-Assisted Code Rewrite

In the following, we detail how to leverage OpenAI's GPT-4 model for the rewrite of the messy data science code from our running example. In general, we observe that LLMs are good at the heavy lifting (e.g., identifying handwritten joins and generating corresponding dataframe code), but that a full automation of the rewrite process is unrealistic and that data scientists have to spend additional effort on getting the code to work, e.g., by adjusting data access operations. Note that we focus on showcasing the potential of LLMs to turn imperative code into declarative statements, based on a set of hand-crafted prompts, and do not yet present a conversational system to automate this process. We consider it important future work to streamline and generalise the rewriting process to minimise the amount of manual corrections necessary, e.g., via an agent-based conversational approach [18].

Rewriting the data preparation code to Lester's dataframe API. We first have the data preparation part (shown on the left side in Figure 1) of the messy pipeline code rewritten to Lester's dataframe API. For that, we issue a series of prompts to the LLM that contain our transformation instructions and the messy data preparation code. The most important prompt is the first one, which asks for a rewrite to dataframe operations (and makes use of the fact that our API is similar to Pandas, for which the LLM has seen a large amount of example code during pretraining training).

The following code is written in Python with for loops and manual data parsing. Please rewrite the code to use a dataframe library called lester. lester has an API similar to pandas and supports the following operations from pandas: merge, query, assign, explode, rename. The assign method in lester has two additional parameters: target_column and source_columns; target_column refers to the new column which should be created, while source_columns refers to the list of input columns that are used by the expression in assign. Please create a single, separate assign statement for each new column that is computed. Only respond with Python code. Do not iterate over dataframes. The code should contain a single function called _lester_dataprep, which returns a single dataframe called result_df as result. This final dataframe should have the following columns: t of output columns>

<Code inserted here>

Note that the prompt is general and only requires filling in the desired output schema of the produced relational training data. We issue three more calls (details available in our code repository) to refine the resulting code, e.g., to make sure that references to local variables in pandas expressions are correctly annotated or that the generated code introduces function parameters for the hardcoded input paths.

The resulting generated data preparation code is shown on the right side of Figure 1. We observe that the automated code rewrite successfully generates the corresponding declarative relational operations for the messy imperative data science code: (i) Manual selections are now expressed with explicit calls to filter operations on Lester dataframes; (ii) New columns are computed with explicit calls to project operations on Lester dataframes which

contain the name of the source_columns that the extended projection uses to enable column provenance tracking; (iii) The hand-coded hash-join is replaced with a call to Lester's join operation and explicitly specifies the join keys. Upon inspection, we find that no manual adjustments of the generated code are necessary in this example, indicating that the generation of dataframe code seems to be an easy task for LLMs.

Rewriting the feature encoding code to scikit-learn's estimator/transformer API. Next, we have the LLM rewrite the feature encoding code to declarative operations using scikit-learn's estimator/transformer abstraction. We use the following prompt:

The following Python reads a CSV file and manually encodes the data as features for a machine learning model. Please rewrite the code to use estimator/transformers from scikit-learn and the ColumnTransformer from scikit-learn. Only respond with Python code. Create a function called encode_features which returns an unfitted ColumnTransformer which contains the feature encoding logic. The encode_features function should be able to work on data that follows the exact schema of the CSV file.

<Code inserted here>

The right side of Figure 2 shows the resulting generated code for feature encoding. We again observe that the automated code rewrite successfully generates the corresponding declarative encoding operations from the messy imperative data science code: (i) The manual implementations of the common normalisation and one-hot encoding operations are replaced with scikit-learns generic StandardScaler and OneHotEncoder abstractions; (ii) Custom estimator/transformers are generated for the sentence embedding (SentenceEmbeddingTransformer) and word count feature operations (WordCountTransformer); (iii) The complete feature encoding stage is defined declaratively with scikit-learn's ColumnTransformer which can directly be applied on the relational training data produced by the data preparation stage.

For this code rewrite, two small manual adjustments are necessary to get the code to run. The sparsity parameter of the OneHotEncoder has to be renamed (which changed in a recent version of scikit-learn), and two additional lines of code to flatten the input lists of the custom generated transformers are needed. We assume that in future work, more sophisticated approaches (e.g., trying to execute the generated code and feeding error messages back into the LLM) and improvements in LLMs in general will help to reduce the need for manual intervention.

3.3 Incremental View Maintenance for Deployed ML Pipelines

The abstract pipeline representation at the core of LESTER enables the automation of many common compliance tasks. As an example, we detail how to solve our outlined scenario scenario of urgently removing sensitive information leaked into a pipeline, by treating the scenario as an incremental view maintenance (IVM) problem.

ML Pipelines as "materialised views". Our abstraction allows us to treat the produced pipeline artifacts (e.g., the relational training data, the feature matrix, and the model) as "views" over the relational inputs of the pipeline, which we can efficiently maintain for certain changes to the inputs. Maintaining \mathcal{D}_{prep} with relational update operations and X with matrix operations for operations like the deletion of input records is straight-forward based on the

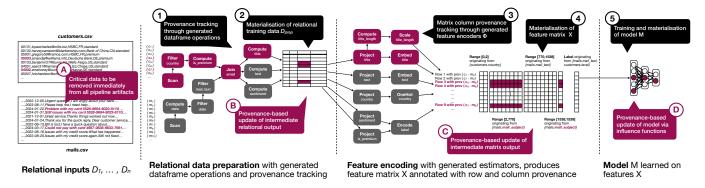


Figure 3: 1 – 5 Initial pipeline execution with artifact capture and provenance tracking; A – D Provenance-driven low-latency removal of security-critical data across all pipeline artifacts (intermediate training data, feature matrix, ML model).

detailed provenance information (under the reasonable assumption that small input changes do not affect the global statistics computed by estimators at fitting time). If the exact changes in the feature matrix are known, one can even do (approximate) updates of the pipeline's model based on influence functions [17] (which do not require retraining the model) in the common case where the model is differentiable and given in a form that allows the automated computation of gradients.

IVM for our running example. The generated declarative pipeline code allows Lester to capture and materialise the pipeline artifacts together with their fine-grained row and column provenance during the initial execution of the pipeline at deployment time. Figure 3 shows how Lester conducts a targeted low-latency update of the deployed ML pipeline for removing the security-critical data. (B) For the update of the relational training data \mathcal{D}_{prep} , Lester queries the column provenance for the input column mail_subject to identify that the title column of \mathcal{D}_{prep} is computed from this, and queries the row provenance to identify the rows which originate from the affected input customers. Next, LESTER replaces the resulting cells with NULL values to remove the leaked credit card numbers. (C) The update of the feature matrix **X** works similarly. The row provenance identifies the affected rows and Lester queries the computed matrix column provenance to identify the dimension ranges onto which the encoded title column is mapped, which are subsequently overwritten with zeroes. Lester keeps track of the affected positions in the feature matrix together with their previous numerical values to conduct a fast first-order "unlearning" update of the pipeline's ML model (D), according to the general techniques proposed in [17].

4 EVALUATION

We conduct a preliminary evaluation of our IVM technique and the claims on the difficulty of manually extending pipeline code.

Runtime Benefits of Pipeline IVM. We evaluate the runtime benefits of our proposed IVM technique for the example scenario.

Experimental setup. We focus on our example scenario of urgent updates of the pipeline artifacts due to the leakage of a small amount of security-critical data into the pipeline. We compare the time to re-execute the original pipeline from scratch to the time for

conducting an IVM update with Lester on the captured artifacts. We experiment with synthetically generated customer and mail data, and ask for updates to leaked data for five customers. We experiment with a growing number (up to 100,000) of email records and customers (up to 10,000) as pipeline inputs. We execute the experiment with Python 3.9 and four AMD EPYC 7H12 2.6 GHz cores on a machine running AlmaLinux 8.6. We repeat each run seven times (except for the last run with 100,000 mails, which we only execute three times due to the long runtime) and measure the mean runtime.

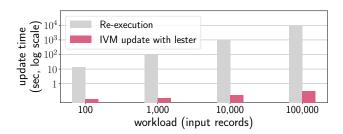


Figure 4: Time (in logarithmic scale) to re-execute a pipeline from scratch versus the time to update the pipeline artifacts with IVM. LESTER enables sub-second updates.

Results and discussion. We plot the resulting runtimes in seconds on a logarithmic scale in Figure 4. We observe that the time to re-execute the pipeline from scratch scales linearly with the size of the input data, and that the re-execution already takes more than 140 minutes for an input size of 100,000 mails. (which is still a toy setup, compared to real-world workloads). The runtime for the IVM update of the pipeline artifacts with Lester is influenced by the input size, but depends on the size of the data to update and constantly ranges below a second, even for the challenging pipeline ran on 100,000 mails. These results confirm that Lester's design enables low-latency updates of pipeline outputs, which are orders of magnitude faster than re-execution.

Exploratory User Study. Next, we conduct a small user study to showcase that even basic tasks like computing certain metadata in ML pipelines are difficult for data scientists without system support.

Experimental setup. We design a complex ML pipeline to identify helpful product reviews in an e-commerce setting, based on a dataset of product reviews from Amazon, which includes joins, data cleaning operations, temporal splits and complex feature engineering operations. We include nine participants in our study with a mixed industry/academia background, all of which have a specialisation in ML and/or data engineering. Our participants receive a ten-minute introduction to the scenario in an online Zoom meeting, where they are given access to a Google Colab notebook with the pipeline.

We subsequently ask them to extend the pipeline code to address the following tasks: T1 – Assess the group fairness (with a given fairness metric) of the pipeline for third-party reviews and non-third-party reviews; T2 – Track the row provenance for the products and ratings relations by computing two boolean arrays for them, which denote which records in the relations have been used to train the model. We give the participants one hour to work on both tasks and ask them to provide us with information on the time spent per task as well as their final edited copies of the notebook. In addition, we ask them to answer eight short survey questions. We provide the materials for this study at https://github.com/deemdata/lester/blob/main/study.md.

Results and discussion. We analyse the results of our exploratory study based on the participants' notebooks and survey answers:

Finding 1 – Manual extension of ML pipeline code is non-trivial. Only four out of nine participants managed to fully implement T1 (with some minor errors), and required 35 to 56 minutes for this task. Only two of those four managed to additionally implement T2 and spent 20 and 25 minutes on it.

Finding 2 – Manual code extension is error prone. We analysed the solutions of our participants in detail, and found that even the participants who stated that they successfully finished T1 often had small mistakes in their code that lead to incorrect results. Most of these errors revolve around the implementation of the fairness metric, where we found several minor careless mistakes, e.g., copyand-paste errors, the computation of the metric on the train instead of the test set, or hacky ways to identify group memberships that would give wrong results if other parts of the code changed.

Finding 3 – Manual pipeline code extension potentially introduces high code complexity. Next, we analysed the complexity of the solutions of our participants by counting the number of lines of code (LOC) that they added to the pipeline. For T1, the participants added up to 37 LOC (compared to only six in our reference solution) and performed up to six additional joins on the data (compared to none in our reference solution). This indicates that even though nearly half of our participants managed to finish the implementation for this task, they introduced relatively complex code with up to seven times the number of lines required and many unnecessary joins. For T2, the results were closer to the reference solution with six and 11 additional LOC (compared to seven in the reference solution), and two and three additional joins (compared to two in the reference solution).

Conclusion. In summary, our exploratory study showed that the manual extension of pipeline code is a non-trivial task (as less than half of our participants finished T1, and less than a quarter finished

T2), with a high potential for errors and code complexity. This as a strong indication that data scientists need systems support and partial automation for this task.

5 NEXT STEPS

The next step for Lester will be a dedicated interface to guide data scientists through the LLM-assisted code rewriting process, potentially via a conversational, agent-based approach [18]. We also plan to design a pipeline refactoring benchmark based on code "in the wild", to get a deeper understanding of the capabilities and limitations of refactoring pipeline code with LLMs. Furthermore, we plan to implement additional functionality for compliance management such as automated provenance-based pipeline analysis and maintenance, as well as production monitoring and logging. Furthermore, we think that the idea of using LLMs to make imperative code declarative has many applications outside of ML pipeline management, e.g., to rewrite legacy code to modern systems.

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