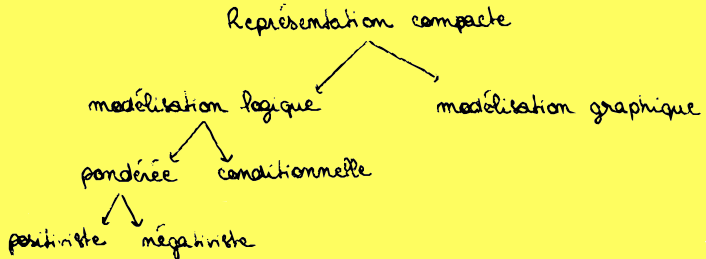


# Aide à la décision/ Decision aid

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Partie 1/Part 1  
Représentation des informations avec priorités/Representation  
of prioritized information

# Modeling



# Modeling: Basic ingredients

- The outcomes (objects, products, states of the world, etc) that need to be compared are usually of a combinatorial nature, i.e. defined by the values they assign to a set of variables
- $V = \{X_1, \dots, X_n\}$ : a set of variables
- $v(X_i) \in \text{Dom}(X_i)$
- $\prod_{i=1}^n \text{Dom}(X_i)$ : the set of possible outcomes  
↳ produit cartésien des variables
- $\Omega \subseteq \prod_{i=1}^n \text{Dom}(X_i)$ : the set of feasible outcomes  
↳ déterminé par des contraintes d'intégrité
- $\omega$ : an outcome

# Example

- $V = \{V_1, V_2, V_3\}$ ,  $V_1$ ,  $V_2$  and  $V_3$  respectively standing for “dish”, “wine” and “dessert”
- $Dom(V_1) = \{fish, meat\}$ ,  $Dom(V_2) = \{red, white, rosé\}$ ,  
 $Dom(V_3) = \{cake, ice\_cream\}$
- $\Omega = \{\omega_0 = fish - red - cake, \omega_1 = fish - red - ice\_cream,$   
 $\omega_2 = fish - white - cake, \omega_3 = fish - white - ice\_cream,$   
 $\omega_4 = fish - rosé - cake, \omega_5 = fish - rosé - ice\_cream,$   
 $\omega_6 = meat - red - cake, \omega_7 = meat - red - ice\_cream,$   
 $\omega_8 = meat - white - cake, \omega_9 = meat - white - ice\_cream,$   
 $\omega_{10} = meat - rosé - cake, \omega_{11} = meat - rosé - ice\_cream\}$

- Outcomes have varied uncertainty/priority/satisfaction. We speak about an uncertainty/priority/satisfaction relation. We use the generic term **preference/plausibility relation**
- Modeling is the mathematical writing of a preference/plausibility relation
- We distinguish between **ordinal representation** and **cardinal representation**

# Modeling: Ordinal representation (1)

- An **ordering relation** (generally called preference relation):  $\succeq$ ,  $\succ$
- $\omega$  is **at least as preferred** (plausible) as  $\omega'$  ( $\omega \succeq \omega'$ )
- $\omega$  is **strictly preferred to** (more plausible than)  $\omega'$  ( $\omega \succ \omega'$ )
- $\omega$  and  $\omega'$  are **equally preferred** (plausible) ( $\omega \approx \omega'$ )
- $\omega$  and  $\omega'$  are **incomparable** ( $\omega \sim \omega'$ )

## Modeling: Ordinal representation (2)

- $\succeq$  is a **preorder** over  $\Omega$  iff  $\succeq$  is
  - reflexive:  $\forall \omega \in \Omega, \omega \succeq \omega$
  - transitive:  $\forall \omega, \omega', \omega'' \in \Omega$ , if  $\omega \succeq \omega'$  and  $\omega' \succeq \omega''$  then  $\omega \succeq \omega''$
- $\succ$  is an **order** over  $\Omega$  iff  $\succeq$  is
  - irreflexive:  $\forall \omega \in \Omega, \omega \succ \omega$  is not true ( $\text{not}(\omega \succ \omega)$ )
  - transitive:  $\forall \omega, \omega', \omega'' \in \Omega$ , if  $\omega \succ \omega'$  and  $\omega' \succ \omega''$  then  $\omega \succ \omega''$
- $\succeq$  (resp.  $\succ$ ) is **complete** iff all outcomes are comparable.  
Otherwise it is partial.
- If  $\succeq$  is a complete preorder then it can be written under a well ordered partition of the form  $(E_1, \dots, E_n)$  such that  $\forall \omega, \omega' \in \Omega, \omega \succeq \omega'$  iff  $\omega \in E_i, \omega' \in E_j$  with  $i \leq j$ .  
This also applies to a complete order. Each stratum is then composed of one outcome only.

# Examples

$\Omega = \{\omega_0 = \text{fish} - \text{red}, \omega_1 = \text{fish} - \text{white}, \omega_2 = \text{fish} - \text{rosé}, \omega_3 = \text{meat} - \text{red}, \omega_4 = \text{meat} - \text{white}, \omega_5 = \text{meat} - \text{rosé}\}$

- $\preceq_1$ :  $\text{fish} - \text{white} \approx \text{meat} - \text{red} \succ \text{fish} - \text{red} \approx \text{meat} - \text{white} \succ \text{fish} - \text{rosé} \approx \text{meat} - \text{rosé}$  is a **complete preorder**
- $\preceq_2$ :  $\text{fish} - \text{white} \approx \text{meat} - \text{red} \succ \text{fish} - \text{red} \approx \text{meat} - \text{white}, \text{fish} - \text{rosé} \approx \text{meat} - \text{rosé}$  is a **partial preorder**
- $\succ_3$ :  $\text{fish} - \text{white} \succ \text{meat} - \text{red} \succ \text{fish} - \text{red} \succ \text{fish} - \text{rosé} \succ \text{meat} - \text{white} \succ \text{meat} - \text{rosé}$  is a **complete order**
- $\succ_4$ :  $\text{fish} - \text{white} \succ \text{meat} - \text{red} \succ \text{fish} - \text{red} \succ \text{fish} - \text{rosé}, \text{meat} - \text{white} \succ \text{meat} - \text{rosé}$  is a **partial order**
- $\preceq_1 = (\{\text{fish} - \text{white}, \text{meat} - \text{red}\}, \{\text{fish} - \text{red}, \text{meat} - \text{white}\}, \{\text{fish} - \text{rosé}, \text{meat} - \text{rosé}\})$
- $\succ_3 = (\{\text{fish} - \text{white}\}, \{\text{meat} - \text{red}\}, \{\text{fish} - \text{red}\}, \{\text{fish} - \text{rosé}\}, \{\text{meat} - \text{white}\}, \{\text{meat} - \text{rosé}\})$



# Modeling: Cardinal representation

- A numerical function  $u$  which associates with each outcome  $\omega$  a numerical value  $u(\omega)$
- $\omega$  is strictly preferred to (more plausible than)  $\omega'$  iff  $u(\omega) > u(\omega')$
- $\omega$  and  $\omega'$  are equally preferred (plausible) iff  $u(\omega) = u(\omega')$
- Incomparability cannot be expressed

- $u_1(\text{fish} - \text{white}) = u_1(\text{meat} - \text{red}) = 10$ ,  
 $u_1(\text{fish} - \text{red}) = u_1(\text{meat} - \text{white}) = 8$ ,  
 $u_1(\text{fish} - \text{rosé}) = u_1(\text{meat} - \text{rosé}) = 3$
- $u_2(\text{fish} - \text{white}) = 25$ ,  $u_2(\text{meat} - \text{red}) = 20$ ,  
 $u_2(\text{fish} - \text{red}) = 18$ ,  $u_2(\text{fish} - \text{rosé}) = 15$ ,  
 $u_2(\text{meat} - \text{white}) = 9$ ,  $u_2(\text{meat} - \text{rosé}) = 7$

# What is your preference?

When Maria was asked which **juice** she would prefer, she immediately said: **orange juice**.

When she was doing her shopping, she hesitated between **red skirt** and **white pants** but she finally choose the former since she **prefers red to white** and **skirt to pants**.

But when she was asked to choose the composition of a meal based on **main dish (fish or meat)**, **wine (red, white or rosé)** and **dessert (cake or ice cream)**, the choice was less obvious! She said “**I prefer fish to meat**”, “**if fish is served then I prefer white wine otherwise I prefer red wine**” and “**if cake is served then I prefer meat otherwise I prefer fish**”.

Maria much more hesitated when she had to choose among **three professor positions**!

# Unfortunately things are not too simple!

The elicitation of a preference relation is a hard task

What's your preference among the menus *fish – red – cake* and *meat – white – ice\_cream*?

- I prefer *fish – red – cake* to *meat – white – ice\_cream*
- I prefer *meat – white – ice\_cream* to *fish – red – cake*
- I have the same preference for both
- They are incomparable
- I don't know, not easy to make a choice! But...
  - Partial preferences
  - I prefer fish to meat, if fish is served then I prefer white wine otherwise I prefer red wine, I really like fish, I like fish with weight .9 and meat with weight .6, etc
  - We need **representation languages** to support such preferences

# Knowledge representation languages

A preference/plausibility relation over  $\Omega$  (called a model) is associated with each language

Knowledge representation languages fall into two categories

- Logical languages: weighted logics, conditional logics
- Graphical languages

# Knowledge representation languages: Weighted logics

# What is a weighted logic?

A weighted logic associates certainty/priority degrees with propositional logic formulas. It may be qualitative or quantitative.

- Possibilistic logic
- Penalty logic



# Possibilistic logic (1)

- It encodes a numerical function, called a **possibility distribution**  $\pi$  from  $\Omega$  to  $[0, 1]$ .  $\pi(\omega)$  is the plausibility/satisfaction associated with  $\omega$
- $\pi(\omega) = 1$ : nothing prevents  $\omega$  from being plausible/satisfactory  $\rightarrow$  **peut encore l'être**
- $\pi(\omega) = 0$ :  $\omega$  is certainly not plausible/satisfactory  $\rightarrow$  **définitivement non**
- $\pi(\omega) > \pi(\omega')$  iff  $\omega$  is more plausible/satisfactory than  $\omega'$

# Possibilistic logic (2)

- A general knowledge base  $\Sigma = \{(\phi_i, a_i) | i = 1, \dots, n\}$
- The associated possibility distribution should satisfy the following constraints:

$$\forall i = 1, \dots, n \quad \Pi(\neg\phi_i) \leq 1 - a_i$$

- The unique possibility distribution associated with  $\Sigma$  is computed in the following way:  $\forall \omega \in \Omega$ ,

$$\pi(\omega) = \begin{cases} 1 & \text{if } \omega \models \phi_1 \wedge \dots \wedge \phi_n \\ 1 - \max\{a_i | (\phi_i, a_i) \in \Sigma, \omega \not\models \phi_i\} & \text{otherwise.} \end{cases}$$

*↳ sinon 1 - la valeur associée à la connaissance la plus importante*

## Example 1

Let

$$\Sigma = \{(p, 1), (\neg p \vee b, .8), (\neg p \vee \neg f, .8), (\neg b \vee f, .4), (\neg b \vee w, .4)\}$$

## Example 2

$$\text{Let } \Sigma = \{(\neg p \vee b, .8), (\neg p \vee \neg f, .8), (\neg b \vee f, .4), (\neg b \vee w, .4)\}$$

A penalty base is a set of **weighted formulas** of the form

$$\Sigma = \{(\phi_i, a_i) | i = 1, \dots, n\}$$

with  $a_i$  is a real number.

The associated penalty distribution is computed as follows:  $\forall \omega \in \Omega$ ,

$$p(\omega) = \begin{cases} 0 & \text{if } \omega \models \phi_1 \wedge \dots \wedge \phi_n \\ \sum \{a_i | (\phi_i, a_i) \in \Sigma, \omega \not\models \phi_i\} & \text{otherwise.} \end{cases}$$

*↳ sinon, somme des valeurs associées aux formules non-satisfaites*

$p(\omega) < p(\omega')$  iff  $\omega$  is more plausible/satisfactory than  $\omega'$

### Example

Let

$$\Sigma = \{(p, 100), (\neg p \vee b, 80), (\neg p \vee \neg f, 80), (\neg b \vee f, 40), (\neg b \vee w, 40)\}$$

# Knowledge representation languages: Conditional logics

- (Conditional) comparative preference statements
  - Prefer  $A$  to  $B$
  - If  $C$  is true, prefer  $A$  to  $B$  (equivalent to prefer  $C \wedge A$  to  $C \wedge B$ )
- Both statements can be written as  $p \triangleright q$  (prefer  $p$  to  $q$ )
- Comparative preference statements offer a simple and intuitive way for expressing preferences
- However they also come with difficulties regarding their interpretation

# Problem 1: Common outcomes

## Example

- Let  $V_1$  and  $V_2$  respectively stand for “dish” and “wine” with  $Dom(V_1) = \{fish, meat\}$  and  $Dom(V_2) = \{red, white\}$ .
- Let  $fish \triangleright white$ .
- We have to compare  $\{fish - red, fish - white\}$  and  $\{meat - white, fish - white\}$
- $fish - white$  belongs to both sets!

“ $p \wedge \neg q$  is preferred to  $q \wedge \neg p$ ” (von Wright principle)

$$\hookrightarrow p \triangleright q \equiv (p \wedge \neg q) \wedge \neg (\neg p \wedge q) \triangleright \neg (p \wedge \neg q) \wedge (\neg p \wedge q)$$

## Example

$fish \triangleright white$  stands for  $fish \wedge \neg white \triangleright white \wedge \neg fish$  (i.e.,  $fish - red \triangleright meat - white$ )



## Problem 2: Comparison of two sets of objects

How do we compare  $p \wedge \neg q$ -outcomes and  $q \wedge \neg p$ -outcomes ?

# Comparative preference statements: Semantics

How should we interpret “prefer ( $p$ =fish) to ( $q$ =meat)”?

- **strong semantics:**  $p$  is always preferred to  $q$  ( $\forall \supset \forall$ )  
any  $p \wedge \neg q$ -outcome is preferred to any  $q \wedge \neg p$ -outcome
- **ceteris paribus semantics:** ( $p_{pc} > q_{pc}$ ,  $p_{pc} \not\sim q_{pc}$ )  
any  $p \wedge \neg q$ -outcome is preferred to any  $q \wedge \neg p$ -outcome, if the two outcomes are completed in the same way
- **optimistic semantics:** at least one  $p \wedge \neg q$ -outcome is preferred to any  $q \wedge \neg p$ -outcome ( $\exists \supset \forall$ )
- **pessimistic semantics:**  
at least one  $q \wedge \neg p$ -outcome is less preferred to any  $p \wedge \neg q$ -outcome ( $\forall \supset \exists$ )
- **opportunistic semantics:** ( $\exists \supset \exists$ )  
at least one  $p \wedge \neg q$ -outcome is preferred to at least one  $q \wedge \neg p$ -outcome

Definition: Best/Worst outcomes w.r.t.  $\succsim$

- $\max(\Omega, \succsim) = \{\omega | \omega \in \Omega, \nexists \omega' \in \Omega, \omega' \succ \omega\}$
- $\min(\Omega, \succsim) = \{\omega | \omega \in \Omega, \nexists \omega' \in \Omega, \omega \succ \omega'\}$
- $\max(p, \succsim) = \{\omega | \omega \in \text{Mod}(p), \nexists \omega' \in \text{Mod}(p), \omega' \succ \omega\}$
- $\min(p, \succsim) = \{\omega | \omega \in \text{Mod}(p), \nexists \omega' \in \text{Mod}(p), \omega \succ \omega'\}$

# An equivalent reading of the semantics

- **strong semantics:**

The **worst** ranked  $p \wedge \neg q$ -outcome is preferred (w.r.t.  $\succeq$ ) to the **best** ranked  $q \wedge \neg p$ -outcome

- **optimistic semantics:**

The **best** ranked  $p \wedge \neg q$ -outcome is preferred (w.r.t.  $\succeq$ ) to the **best** ranked  $q \wedge \neg p$ -outcome

- **pessimistic semantics:**

The **worst** ranked  $p \wedge \neg q$ -outcome is preferred (w.r.t.  $\succeq$ ) to the **worst** ranked  $q \wedge \neg p$ -outcome

- **opportunistic semantics:**

The **best** ranked  $p \wedge \neg q$ -outcome is preferred (w.r.t.  $\succeq$ ) to the **worst** ranked  $q \wedge \neg p$ -outcome

# From comparative statements to (pre)orders

A **preference set**  $\mathcal{P}_{\triangleright} = \{p_i \triangleright q_i \mid i = 1, \dots, n\}$  is a set of preference statements obeying the same semantics,  
 $\triangleright = st, cp, opt, pes, opp$  (for strong, ceteris paribus, optimistic, pessimistic, opportunistic)

## Example

$$\mathcal{P}_{\triangleright} = \{ \text{fish} \triangleright \text{meat}, \\ \text{red} \wedge \text{cake} \triangleright \text{white} \wedge \text{ice\_cream}, \\ \text{fish} \wedge \text{white} \triangleright \text{fish} \wedge \text{red} \}$$

- How to rank-order menus (the set  $\Omega$ ) w.r.t.  $\mathcal{P}_{\triangleright}$ ?
- $\succsim$  satisfies  $\mathcal{P}_{\triangleright}$  iff  $\succsim$  satisfies each statement  $p_i \triangleright q_i$  in  $\mathcal{P}_{\triangleright}$
- Several complete preorders may satisfy the set  $\mathcal{P}_{\triangleright}$ . They are called models of  $\mathcal{P}_{\triangleright}$ .

# Example

$$\Omega = \{ \text{fish} - \text{red}, \text{fish} - \text{white}, \text{meat} - \text{red}, \text{meat} - \text{white} \}$$

$$\mathcal{P}_{\text{opt}} = \{ \text{fish} >_{\text{opt}} \text{meat} \}$$

- $\succ_1 = (\{ \text{fish} - \text{red}, \text{fish} - \text{white} \}, \{ \text{meat} - \text{red}, \text{meat} - \text{white} \})$ ,  
 $\succ_2 = (\{ \text{fish} - \text{red} \}, \{ \text{fish} - \text{white}, \text{meat} - \text{red}, \text{meat} - \text{white} \})$ ,  
 $\succ_3 = (\{ \text{fish} - \text{white} \}, \{ \text{fish} - \text{red}, \text{meat} - \text{red}, \text{meat} - \text{white} \})$ ,
- $\succ_4 = (\{ \text{fish} - \text{red}, \text{fish} - \text{white} \}, \{ \text{meat} - \text{red} \}, \{ \text{meat} - \text{white} \})$ ,  
 $\succ_5 = (\{ \text{fish} - \text{red}, \text{fish} - \text{white} \}, \{ \text{meat} - \text{white} \}, \{ \text{meat} - \text{red} \})$ ,
- ...  $\hookrightarrow$  Au moins une relation sans préférence  $\rightarrow$  incompatibilité

- $\text{fish} - \text{red} ? \text{meat} - \text{red}$ :  $\text{fish} - \text{red} \sim \text{meat} - \text{red}$
  - $\text{fish} - \text{red} ? \text{meat} - \text{white}$ :  $\text{fish} - \text{red} \sim \text{meat} - \text{white}$
  - $\text{fish} - \text{white} ? \text{meat} - \text{red}$ :  $\text{fish} - \text{white} \sim \text{meat} - \text{red}$
  - $\text{fish} - \text{white} ? \text{meat} - \text{white}$ :  $\text{fish} - \text{white} \sim \text{meat} - \text{white}$
- $\rightarrow$  il faut choisir une des relations

# Selecting a unique model: Specificity principle (1)

- Minimal specificity principle: each outcome is put in the highest possible level in the preorder

## Principle

An outcome is satisfactory unless there is a reason to state the contrary

## Example

- $\mathcal{P}_{opt} = \{fish >_{opt} meat\}$
- $\preceq_1 = (\{fish - red, fish - white\}, \{meat - red, meat - white\})$
- $\preceq_2 = (\{fish - red\}, \{fish - white, meat - red, meat - white\})$
- $\preceq_3 = (\{fish - white\}, \{fish - red, meat - red, meat - white\})$
- $\preceq_4 = (\{fish - red, fish - white\}, \{meat - red\}, \{meat - white\})$
- $\preceq_5 = (\{fish - red, fish - white\}, \{meat - white\}, \{meat - red\})$
- ...

## Selecting a unique model: Specificity principle (2)

- **Maximal specificity principle:** each outcome is put in the **lowest** possible level in the preorder

### Principle

An outcome is not satisfactory unless there is a reason to state the contrary

### Example

- $\mathcal{P}_{pes} = \{fish >_{pes} meat\}$
- $\preceq_1 = (\{fish - red, fish - white\}, \{meat - red, meat - white\})$
- $\preceq_2 = (\{fish - red\}, \{fish - white, meat - red, meat - white\})$
- $\preceq_3 = (\{fish - white\}, \{fish - red, meat - red, meat - white\})$
- $\preceq_4 = (\{fish - red, fish - white\}, \{meat - red\}, \{meat - white\})$
- $\preceq_5 = (\{fish - red, fish - white\}, \{meat - white\}, \{meat - red\})$
- ...



## Uniqueness of the models

- The least specific model of  $\mathcal{P}_{opt}$  (resp.  $\mathcal{P}_{cp}$ ,  $\mathcal{P}_{st}$ ) exists.
- The most specific model of  $\mathcal{P}_{pes}$  (resp.  $\mathcal{P}_{cp}$ ,  $\mathcal{P}_{st}$ ) exists.
- The most specific model of  $\mathcal{P}_{opt}$  (resp.  $\mathcal{P}_{opp}$ ) doesn't exist.
- The least specific model of  $\mathcal{P}_{pes}$  (resp.  $\mathcal{P}_{opp}$ ) doesn't exist.

# Algorithms to compute the unique models

- **Input:**  $\mathcal{P} = \{s_i : p_i \triangleright q_i \mid i = 1, \dots, n\}$  ( $s_i$  for statement)
- We define  $\mathcal{L}(\mathcal{P}) = \{(L(s_i), R(s_i)) \mid s_i \in \mathcal{P}\}$  with  $\nearrow$  *des outcomes ( $\omega$ ) qui vérifient  $F$*   
 $L(s_i) = \text{Mod}(p_i \wedge \neg q_i)$  and  $R(s_i) = \text{Mod}(q_i \wedge \neg p_i)$   
(left) (right)
- **Output:** a unique model (complete preorder) following minimal/maximal specificity principles depending on the semantics
- We focus on **optimistic semantics**

- It is a left-hand weakening of strong semantics. It requires at least one  $p \wedge \neg q$ -outcomes to be preferred to all  $q \wedge \neg p$ -outcomes.
- It obeys minimal specificity principle.

# Optimistic semantics - Minimal specificity principle

- 1  $I = 0$
- 2 While  $\Omega \neq \emptyset$ 
  - $I = I + 1$  → On met dans la strate courante les éléments qui ne sont à droite dans aucune des contraintes
  - $E_I = \{t \mid t \in \Omega, \nexists (L(s_i), R(s_i)) \in \mathcal{L}(\mathcal{P}_{\triangleright}), t \in R(s_i)\}$
  - If  $E_I = \emptyset$  then stop (inconsistent preferences),  $I = I - 1$
  - $\Omega = \Omega \setminus E_I$
  - remove  $(L(s_i), R(s_i))$  with  $L(s_i) \cap E_I \neq \emptyset$  (remove satisfied preferences) → contraintes contenant à gauche un outcome ajouté à la strate
  - return to 2
- 3 Output:  $\succeq = (E_1, \dots, E_I)$

## Example

Let  $V_1$  and  $V_2$  with  $Dom(V_1) = \{fish, meat\}$  and  $Dom(V_2) = \{white, red\}$ .

Let  $\mathcal{P} = \{fish \triangleright meat, red \wedge meat \triangleright red \wedge fish\}$ .

## Optimistic/Pessimistic semantics

- Optimistic: What is not explicitly rejected is satisfactory
- Pessimistic: What is not explicitly desired is not satisfactory

## Example

$fish \triangleright meat, red : meat \triangleright fish$

$\{fish - white, fish - red\} \triangleright \{meat - white, meat - red\}$

$\{meat - red\} \triangleright \{fish - red\}$

- Strong, ceteris paribus semantics:  $fish - red \succ meat - red$   
and  $meat - red \succ fish - red$
- Optimistic semantics:  
 $\succeq = (\{fish - white\}, \{meat - white, meat - red\}, \{fish - red\})$
- Pessimistic semantics:  
 $\succeq = (\{meat - red\}, \{fish - white, fish - red\}, \{meat - white\})$

# Exercise 1

Suppose an individual is planning a holiday. She expresses her preferences on the basis of three variables: P (for period) which is either W or S (Winter and Summer resp.), D (for destination) which is either M or B (Mountain and Beach resp.) and L (for location) which is either H or A (Hotel and Apartment resp.). The individual expresses three preference statements:

- (i) she would prefer travel in winter than in summer,
- (ii) if destination is beach then she would prefer travel in summer than in winter,
- (iii) if she travels in winter then she would prefer rent an apartment than a hotel.

$$\mathcal{P} = \{p \rightarrow b, p \rightarrow \neg f, b \rightarrow f, b \rightarrow w\} \text{ (new notation!)}$$



# Knowledge representation languages: Graphical representations

- The elicitation of a utility function (or preference relation) is much easier when it exhibits a particular structure.
- We speak about **preference independence** between variables.
- A set of variables  $V_1$  is independent of the set of variables  $V_2$  if and only if preferences over  $V_1$  can be stated given a fixed value of variables in  $V_2$ .  
→  $a : b \succ \neg b$   
     $\neg a : \neg b \succ b$  }  $b$  dependant of  $a$

## Independence is not commutative

If  $X_i$  is independent of  $X_j$  ( $X_i$  and  $X_j$  are two variables) then this does not necessarily mean that  $X_j$  is independent of  $X_i$ .

## Example

A user's preference over the main dish may be independent of the wine. Therefore she prefers *fish* to *meat* given a fixed value of wine. We have *fish* – *white*  $\succ$  *meat* – *white* and *fish* – *red*  $\succ$  *meat* – *red*. However her preference over wine depends on the main dish. Therefore, she prefers *white wine* with *fish* and *red wine* with *meat*.

# Conditional Preference Networks (1)

## Preferential independence

Let  $\succeq$  be a preference relation.  $X \subseteq V$  is preferentially independent of  $Y = V \setminus X$  w.r.t.  $\succeq$  if and only if for all  $x, x' \in Asst(X), y, y' \in Asst(Y)$ , we have

$$xy \succeq x'y \text{ iff } xy' \succeq x'y'.$$

This means that the preference relation over values of  $X$ , when all other variables get a fixed value, is the same regardless the values of these variables. This is the qualitative counterpart of the additive independence property of a utility function. We say that  $x$  is preferred to  $x'$  ceteris paribus.

## Conditional preferential independence

Let  $\succeq$  be a preference relation. Let  $X, Y$  and  $Z$  be a partition of  $V$ .  $X$  and  $Y$  are conditionally preferentially independent given  $z \in Asst(z)$  w.r.t.  $\succeq$  if and only if for all  $x, x' \in Asst(X), y, y' \in Asst(Y)$ , we have

$$xyz \succeq x'yz \text{ iff } xy'z \succeq x'y'z.$$

This means that  $X$  and  $Y$  are preferentially independent in the sense of the previous definition (Preferential independence) only when  $Z$  is assigned the value  $z$ .

# Conditional Preference Networks (3)– CP-nets

- CP-nets exploit conditional preferential independence in structuring a user's preferences.
- They are graphical languages which consist of **nodes** and **arrows** that connect the nodes.
- Each node represents a variable at hand.

# Conditional Preference Networks (4)– CP-nets

A CP-net, let us say  $N$ , is constructed as follows:

- 1 for each variable  $X_i$ , the user specifies a set of parent variables, denoted  $Pa_N(X_i)$ , that affect her preference over the values of  $X_i$ . This preferential dependency is represented in the graph by an arrow connecting each node representing a parent variable in  $Pa_N(X_i)$  to the node representing  $X_i$ . By abuse of language, we simply speak about the node  $X_i$  (instead of the node representing  $X_i$ ) and parent nodes. The set  $Pa_N(X_i)$  may be empty which is interpreted as the user specifying her preference over the values of  $X_i$  independently of the values of the remaining variables. In this case,  $X_i$  is called a root node.
- 2 The user specifies a preference order over the values of  $X_i$  for all instantiations of the variable set  $Pa_N(X_i)$ . Therefore, the node  $X_i$  in the graph is annotated with a conditional preference table  $CPT(X_i)$  representing these preferences.

# Conditional Preference Networks (5)– CP-nets

- for root nodes  $X_i$ , the conditional preference table  $CPT(X_i)$  provides the strict preference from among  $x_i$  and  $\neg x_i$  (suppose that we act over binary variables), other things being equal, i.e.,  $\forall y \in Asst(Y)$ ,  $x_i y \succ \neg x_i y$ , where  $Y = V \setminus \{X_i\}$ ; this is the ceteris paribus semantics; this means that  $X$  is preferentially independent of  $Y$ ; in  $CPT(X_i)$  this preference is written  $x_i > \neg x_i$ ;
- for other nodes  $X_j$ ,  $CPT(X_j)$  describes the preferences from among  $x_j$  and  $\neg x_j$ , other things being equal, given any assignment of  $Pa_N(X_j)$ , i.e.,  $x_j z y \succ \neg x_j z y$ ,  $\forall z \in Asst(Pa_N(X_j))$  and  $\forall y \in Asst(Y)$ , where  $Y = V \setminus (\{X_j\} \cup Pa_N(X_j))$ ; this means that  $X$  is preferentially independent of  $Y$  given  $Z$ ; in the preference table  $CPT(X_j)$  we write  $z : x_j > \neg x_j$  for each assignment  $z$  of  $Pa_N(X_j)$ .



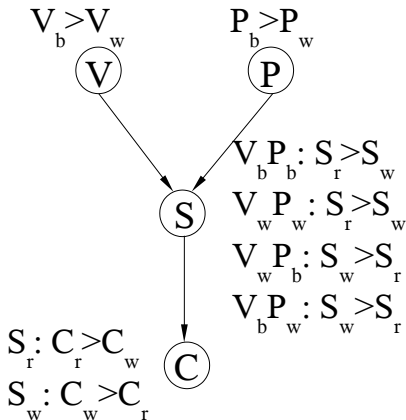
## Example (1) – How to be dressed for an evening party?

- Consider four binary variables  $V(\text{vest})$ ,  $P(\text{pants})$ ,  $S(\text{shirt})$  and  $C(\text{shoes})$  with
$$\text{Dom}(V) = \{V_b, V_w\}, \text{Dom}(P) = \{P_b, P_w\},$$
$$\text{Dom}(S) = \{S_r, S_w\} \text{ and } \text{Dom}(C) = \{C_r, C_w\}.$$
- Assume that when choosing his evening outfit, Peter is not able to compare the sixteen outcomes but expresses the following preferences over partial descriptions of outcomes:
  - $(P_1)$ : he prefers a black vest to a white vest,
  - $(P_2)$ : he prefers black pants to white pants,
  - $(P_3)$ : when vest and pants have the same color, he prefers a red shirt to a white shirt; otherwise, he prefers a white shirt, and
  - $(P_4)$ : when the shirt is red, he prefers red shoes; otherwise, he prefers white shoes.

The problem now is how to rank-order the possible outcomes according to Peter's preferences.

## Example (2)

### Représentation des dépendances



# The preference relation associated with a CP-net

The preference relation over  $\Omega$  associated with a CP-net  $N$ , denoted by  $\succeq_N$ , is the deductive closure of all local preferences induced by the conditional preference tables of  $N$  between completely specified outcomes. Generally,  $\succeq_N$  is a partial order and represented by its associated strict preference relation  $\succ_N$ . When the CP-net is acyclic, its associated preference relation is acyclic too.

# Example (3): The associated partial order

Représentation

des préférences

$a \succ a$

devient

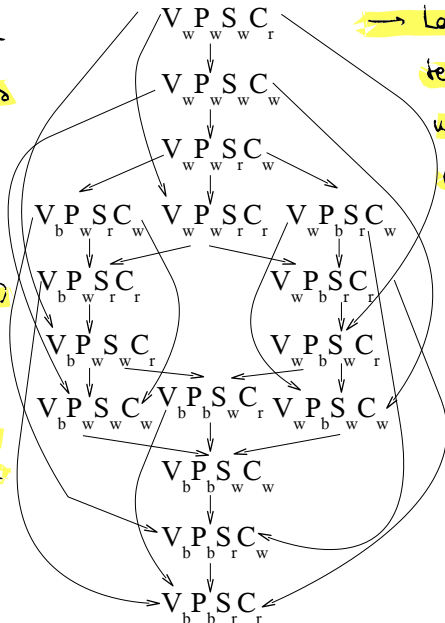
$a \leftarrow a$

(flèche vers préférence)

deux préférences

sont comparables s'il

existe un chemin



→ La plupart du temps, on obtient un ordre partiel ( $\neq$  conditionnelle)

# Preference queries

- Due to the ceteris paribus semantics, strict preferences induced by CPT hold among outcomes which differ only in the value of one variable. This is called “worsening flip”.
- $\succ_N$  is the deductive closure of local preferences induced by the conditional preference tables.
- Thus, the preferential comparison of two outcomes w.r.t.  $\succ_N$  is limited to the pairs for which there exists a path between them through a sequence in which two successive outcomes differ only in the value of one variable.
- For example  $V_b P_b S_w C_w$  is preferred to  $V_w P_b S_r C_w$  thanks to the following sequence of worsening flips:  $V_b P_b S_w C_w \succ_N V_w P_b S_w C_w \succ_N V_w P_b S_w C_r \succ_N V_w P_b S_r C_r \succ_N V_w P_b S_r C_w$ .

## Important

Not all partial orders can be compactly represented by a CP-net.