# CSCE 624 Sketch Recognition Fall 2019 Assignment 3

Due: 10/15/2019 2pm CT

#### Overview

In this third homework assignment, we'll consider a powerful and versatile machine learning algorithm, the **Hidden Markov Model**. HMMs are based on Markov chains, which are sequences of states governed by transition probabilities, but HMMs rely on external observations to determine the most likely sequence of states.

A detailed tutorial on HMMs is available here.

### **Assignment Instructions**

- 1. HMM Implementation
  - a. You will need to implement an HMM in code using NodeJS. Starter code to help with reading input data is provided on eCampus.
    - i. Specifically, you should write functions to perform both forward and backward chaining using the Viterbi algorithm.
    - ii. Pruning, while not directly evaluated, could be applied to optimize your algorithm.
  - b. You will implement the hmm function in hmm.js.
    - i. The function takes as input the states, emissions, and associated probabilities as well as the direction and a list of observations.
    - ii. Remember that backward chaining will require using the reverse observation order.
  - c. Your program will need to return a nested array where each entry contains the most likely sequence of states *after each observation*. The first element in the array will be an array of just 1 state. The second element in the array will be an array of 2 states, etc.

#### 2. Report

Your report should be written in LaTeX (see <a href="www.overleaf.com">www.overleaf.com</a> for an easy to use, online LaTeX editor) and contain the following sections

- a. Discuss the intuition of HMMs and how they can be applied to sketch recognition, plus one or two other domains.
- b. What are some pros and cons of HMMs?
- c. When might using a HMM be a good idea? When might it be a bad idea?

#### 3. Submission

You should submit a zip file of the following files. The zip should be named lastName\_firstName\_UIN\_HW3.zip where you use your info. For example, John Smith with a UIN 123001234 would name their zip Smith\_John\_123001234\_HW3.zip

- a. hmm.js
- b. lastName\_firstName\_UIN\_report.pdf

Your submission should be made to eCampus by the due date at the top of these instructions.

## **Example Input and Output**

```
Input
  "states": ["Rainy", "Sunny"],
  "emissions": ["Walk", "Shop", "Clean"],
  "start probabilities": {"Rainy": 0.6, "Sunny": 0.4},
  "transition probabilities": {"Rainy": {"Rainy": 0.7, "Sunny": 0.3},
"Sunny": {"Rainy": 0.4, "Sunny": 0.6}},
  "emission probabilities": {"Rainy": {"Walk": 0.1, "Shop": 0.4,
"Clean": 0.5}, "Sunny": {"Walk": 0.6, "Shop": 0.3, "Clean": 0.1}},
  "direction": "forward",
  "observations": ["Walk", "Shop", "Clean"]
}
Output
  ["Sunny"],
 ["Sunny", "Sunny"],
 ["Sunny", "Rainy", "Rainy"]
1
```

```
input
{
 "states": ["A", "E", "I", "O", "U"],
  "emissions": ["Flat", "Open", "Circle"],
  "start probabilities": {"A": 0.6, "E": 0.1, "I": 0.1, "O": 0.1,
"U": 0.1},
  "transition probabilities": {"A": {"A": 0.4, "E": 0.3, "I": 0.1,
"O": 0.1, "U": 0.1}, "E": {"A": 0.2, "E": 0.2, "I": 0.4, "O": 0.1,
"U": 0.1}, "I": {"A": 0.2, "E": 0.1, "I": 0.2, "O": 0.2, "U": 0.3},
"O": {"A": 0.2, "E": 0.1, "I": 0.1, "O": 0.2, "U": 0.4}, "U": {"A":
0.5, "E": 0.1, "I": 0.1, "O": 0.1, "U": 0.2}},
  "emission probabilities": {"A": {"Flat": 0.6, "Open": 0.1,
"Circle": 0.3}, "E": {"Flat": 0.6, "Open": 0.1, "Circle": 0.3}, "I":
{"Flat": 0.2, "Open": 0.7, "Circle": 0.1}, "O": {"Flat": 0.1, "Open":
0.2, "Circle": 0.7}, "U": {"Flat": 0.1, "Open": 0.2, "Circle": 0.7}},
  "direction": "forward",
  "observations": ["Flat", "Open", "Open", "Closed"]
}
output
 ["A"]
 ["A", "I"]
 ["A", "I", "I"]
  ["A", "I", "I", "U"]
1
```

```
input
{
 "states": ["A", "E", "I", "O", "U"],
  "emissions": ["Flat", "Open", "Circle"],
  "start probabilities": {"A": 0.6, "E": 0.1, "I": 0.1, "O": 0.1,
"U": 0.1},
  "transition probabilities": {"A": {"A": 0.4, "E": 0.3, "I": 0.1,
"O": 0.1, "U": 0.1}, "E": {"A": 0.2, "E": 0.2, "I": 0.4, "O": 0.1,
"U": 0.1}, "I": {"A": 0.2, "E": 0.1, "I": 0.2, "O": 0.2, "U": 0.3},
"O": {"A": 0.2, "E": 0.1, "I": 0.1, "O": 0.2, "U": 0.4}, "U": {"A":
0.5, "E": 0.1, "I": 0.1, "O": 0.1, "U": 0.2}},
  "emission probabilities": {"A": {"Flat": 0.6, "Open": 0.1,
"Circle": 0.3}, "E": {"Flat": 0.6, "Open": 0.1, "Circle": 0.3}, "I":
{"Flat": 0.2, "Open": 0.7, "Circle": 0.1}, "O": {"Flat": 0.1, "Open":
0.2, "Circle": 0.7}, "U": {"Flat": 0.1, "Open": 0.2, "Circle": 0.7}},
  "direction": "backward",
  "observations": ["Flat", "Open", "Closed", "Closed"]
}
output
 ["0"]
 ["O", "U"]
 ["I", "O", "U"]
  ["E", "I", "O", "U"]
1
```