# **Project Machine Learning**

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In Data Science field, every day new machine-learning algorithms are studied and created. My aim in this project is to demonstrate that sometimes less sophisticated algorithms can reach similar level of accuracy (if not better) and are trainable in less time. This to bring back the focus on the dataset-dependence that every algorithm present with every different dataset.

Within my project I will analyse an image-classification problem. The core of my project will be the optimization of my model's hyperparameters via grid-search. To do this I will wrap Keras models in scikit-learn and I will choose the most convenient parameters activation function, neuron numbers, kernel initializer and dropout ratio. The main objective of my project is to show how much models performances vary across nonspecific state-of-the-art algorithms and simple algorithms suited for our specific dataset.

## **Dataset: Intel Image Classification**

This is a dataset about images of natural scenarios. This Data contains around 25k images of size 150x150 distributed under 6 categories. {'buildings' -> 0, 'forest' -> 1, 'glacier' -> 2, 'mountain' -> 3, 'sea' -> 4, 'street' -> 5}. As already mentioned, the shape of the images is 150x150 pixel in RGB format. This means that the shape passed to the input layer will be (150,150,3).¹

## Model selection<sup>2</sup>:

My model is a basic convolutional neural network.

A convolutional neural network consists of an input and an output layer, as well as multiple hidden layers. The hidden layers of a CNN typically consist of a series of convolutional layers that convolve with a multiplication or other dot product. The activation function is commonly a RELU layer and is subsequently followed by additional convolutions such as pooling layers, fully connected layers and normalization layers, referred to as hidden layers because their inputs and outputs are masked by the activation function and final convolution<sup>3</sup>.

I will compare it with a state-of-the-art ResNet convolutional network using the library keras with a model built using Tensorflow beckend. A residual neural network (ResNet) is an artificial neural network (ANN) of a kind that builds on constructs known from pyramidal cells in the cerebral cortex. Residual neural networks do this by utilizing skip connections, or shortcuts to jump over some layers<sup>4</sup>.

<sup>&</sup>lt;sup>1</sup> Link: 3

<sup>&</sup>lt;sup>2</sup> The architecture of my models is in the appendix otherwise the plot of the ResNet architecture would occupy the next 5 pages.

<sup>&</sup>lt;sup>3</sup> Source: https://en.wikipedia.org/wiki/ Convolutional\_neural\_network

<sup>&</sup>lt;sup>4</sup> Source: https://en.wikipedia.org/wiki/Residual\_neural\_network

### List of optimized parameters:

The parameter that I firstly decided to optimize are the following: Batch size, epochs, activation function, optimization algorithm, weight initialisation, learning rate, dropout regularisation, neurons in hidden layers.

However, I naively did not consider the time required to each step of my optimization. As result, once I tried to execute the first cross validation for the first parameters [batch\_size, nr\_epochs] I immediately understood that such a process for all the possible values of the parameters that I chose required me one week with my laptop (cpu: Intel Core i7 8th gen, gpu: Nvidia GTX-1080Ti). I consequently decided to run a less accurate cross validation on a subset of the whole train dataset with a reduced number of parameters. Once saw that over 13 epochs the model was overfitting, e.i. the validation score was not increasing while the score of the training set was, I decided to keep the next-model's epochs fixed at 13, with batch size fixed at 100. I ran the same grid search cross validation to test the best activation function, kernel initializer, the dropout rate and finally the number of neurons in the first layer.

Firstly, the result of the activation function's grid search returned an unexpected output: the best one was the sigmoid, immediately followed by softmax, while I was expecting relu instead since it is generally the most reliable and adopted one. In my final model I preferred softmax since in the various try the softmax was always the best one.

```
Best: 0.664054 using {'activation': 'sigmoid'}
0.661917 (0.019319) with: {'activation': 'softmax'}
0.259708 (0.076212) with: {'activation': 'relu'}
0.642679 (0.041871) with: {'activation': 'tanh'}
0.664054 (0.018651) with: {'activation': 'sigmoid'}
0.297114 (0.007033) with: {'activation': 'linear'}
```

Secondly, the kernel initializer has been tested within the list ['uniform', 'normal', 'zero']. The output of this cross validation is the following:

```
Best: 0.668685 using {'init_mode': 'uniform'}
0.668685 (0.017473) with: {'init_mode': 'uniform'}
0.654792 (0.018414) with: {'init_mode': 'normal'}
0.183826 (0.004931) with: {'init_mode': 'zero'},
```

where init\_mod is the kernel initializer. As we can see the best result is obtained in the case of a uniform initializer. Additionally, we can see the accuracy rate slightly increasing.

Thirdly, I tested the dropout rate. Dropout rate is defined to randomly, with a probability p, to impede some neurons to pass their output to the next layer. This, since deep neural networks are very good in reach high accuracy in the training test, is used to avoid overfitting. The result of the cross validation is the following:

```
Best: 0.683292 using {'dropout_rate': 0.3} 0.659067 (0.009028) with: {'dropout_rate': 0.2} 0.683292 (0.005457) with: {'dropout_rate': 0.3} 0.666548 (0.004869) with: {'dropout_rate': 0.4}
```

As above, the accuracy is increased. In this case the best dropout rate is 0.3.

Lastly, I tested the number of the neurons present in the first layer within the list [32, 64, 128]. Results of the validation are:

```
Best: 0.674385 using {'neurons': 128} 0.637691 (0.018298) with: {'neurons': 32} 0.659067 (0.004753) with: {'neurons': 64} 0.674385 (0.012229) with: {'neurons': 128}
```

In this case the accuracy did not improve. This is probably since already the number of the neurons in the first layer was set at 128 and this time the training resulted in a slightly worse accuracy. Finally I fitted the same dataset in a ResNet20.

#### Results:

Once trained my model and optimized its parameters, I fitted the last model in the whole train dataset, and it achieved an accuracy of 85%. The accuracy registered in the test dataset showed that the model is quite consistent.

```
loss: 0.5182 accuracy: 0.8153
```

In fact the accuracy is around 82%.

The ResNet20, even if not optimized, showed surprising results:

```
Test loss: 1.1212866408229512
Test accuracy: 0.7288920555965817
```

The accuracy value would be higher since the final model used was the one generated in the 10<sup>th</sup> epoch of fitting. For probably randomic reasons, during the 10<sup>th</sup> epoch the validation accuracy resulted to be way higher than all the other epochs. Moreover, this is the only peak of accuracy in a more generally linear growth of accuracy over epochs, so I tend to exclude the presence of overfitting. Another relevant matter is the training accuracy: the 15<sup>th</sup> and final epoch showed a training accuracy of *circa* 96%, which is high.

#### Conclusions:

As I described in the introduction, my aim was to show the dataset dependency that algorithms are obligated to cope with. To do this I assumed that a tuned algorithm, even if simple in terms of complexity, can reach similar accuracy rate of state-of-the-art algorithms. In my case I was working in the image-recognition field and as "simple algorithm" I chose a Convolutional Neural Network, whilst as state-of-the-art algorithm I chose ResNet20, that is a more refined Residual Neural Network. The results of my project display that both algorithms, in first place, did not perform as I expected in the evaluation process. Besides, ResNet outscored the CNN for 15% in the fitting process. In the second hand, the CNN model performed significantly better, i.e. 10% better accuracy

ratio. Finally, considering also the time per sample of the two models required to fit them (<70ms for CNN and circa 130ms for ResNet), at least in my case, a simpler and tuned algorithm demonstrated to be two times quicker and the accuracy ratio registered a sensible difference in CNN's favour. Nonetheless this is only a simple comparison and I am aware that is not 'fair' for what concerns the ResNet's side. With more powerful calculators a more precise and scientific research project can lead to interesting results.

# **Appendix**

## 1) Convolutional Neural Network architecture

Model: "sequential\_36"

| Layer (type)                 | Output | Shape          | Param # |
|------------------------------|--------|----------------|---------|
| conv2d_72 (Conv2D)           | (None, | 148, 148, 128) | 3584    |
| max_pooling2d_71 (MaxPooling | (None, | 74, 74, 128)   | 0       |
| conv2d_73 (Conv2D)           | (None, | 72, 72, 32)    | 36896   |
| max_pooling2d_72 (MaxPooling | (None, | 36, 36, 32)    | 0       |
| flatten_35 (Flatten)         | (None, | 41472)         | 0       |
| dropout_35 (Dropout)         | (None, | 41472)         | 0       |
| dense_70 (Dense)             | (None, | 50)            | 2073650 |
| dense_71 (Dense)             | (None, | 6)             | 306     |

Total params: 2,114,436 Trainable params: 2,114,436 Non-trainable params: 0

# 2) Residual Neural Network: ResNet20

| Layer (type)                    | Output | Shape |      | Param # | Connected to |                          |
|---------------------------------|--------|-------|------|---------|--------------|--------------------------|
| input_1 (InputLayer)            | (None, | 150,  | 150, | 3)      | 0            |                          |
| conv2d_1 (Conv2D)               | (None, | 150,  | 150, | 16)     | 448          | input_1[0][0]            |
| batch_normalization_1 (BatchNor | (None, | 150,  | 150, | 16)     | 64           | conv2d_1[0][0]           |
| activation_1 (Activation) [0]   | (None, | 150,  | 150, | 16)     | 0            | batch_normalization_1[0] |
| conv2d_2 (Conv2D)               | (None, | 150,  | 150, | 16)     | 2320         | activation_1[0][0]       |
| batch_normalization_2 (BatchNor | (None, | 150,  | 150, | 16)     | 64           | conv2d_2[0][0]           |

| activation_2 (Activation) [0]   | (None, | 150,        | 150,  | 16) | 0    | batch_normalization_2[0]                               |
|---------------------------------|--------|-------------|-------|-----|------|--|
| conv2d_3 (Conv2D)               | (None, | 150,        | 150,  | 16) | 2320 | activation_2[0][0]                                     |
| batch_normalization_3 (BatchNor | (None, | 150,        | 150,  | 16) | 64   | conv2d_3[0][0]   |
| add_1 (Add) [0]                 | (None, | 150,        | 150,  | 16) | 0    | activation_1[0][0] batch_normalization_3[0]            |
| activation_3 (Activation)       | (None, | 150,        | 150,  | 16) | 0    | add_1[0][0]  |
| conv2d_4 (Conv2D)               | (None, | 150,        | 150,  | 16) | 2320 | activation_3[0][0]                                     |
| batch_normalization_4 (BatchNor | (None, | 150,        | 150,  | 16) | 64   | conv2d_4[0][0]   |
| activation_4 (Activation) [0]   | (None, | 150,        | 150,  | 16) | 0    | batch_normalization_4[0]                               |
| conv2d_5 (Conv2D)               | (None, | 150,        | 150,  | 16) | 2320 | activation_4[0][0]                                     |
| batch_normalization_5 (BatchNor | (None, | 150,        | 150,  | 16) | 64   | conv2d_5[0][0]   |
| add_2 (Add) [0]                 | (None, | 150,        | 150,  | 16) | 0    | <pre>activation_3[0][0] batch_normalization_5[0]</pre> |
| activation_5 (Activation)       | (None, | 150,        | 150,  | 16) | 0    | add_2[0][0]  |
| conv2d_6 (Conv2D)               | (None, | 150,        | 150,  | 16) | 2320 | activation_5[0][0]                                     |
| batch_normalization_6 (BatchNor | (None, | 150,        | 150,  | 16) | 64   | conv2d_6[0][0]   |
| activation_6 (Activation) [0]   | (None, | 150,        | 150,  | 16) | 0    | batch_normalization_6[0]                               |
| conv2d_7 (Conv2D)               | (None, | 150,        | 150,  | 16) | 2320 | activation_6[0][0]                                     |
| batch_normalization_7 (BatchNor | (None, | 150,        | 150,  | 16) | 64   | conv2d_7[0][0]   |
| add_3 (Add) [0]                 | (None, | 150,        | 150,  | 16) | 0    | <pre>activation_5[0][0] batch_normalization_7[0]</pre> |
| activation_7 (Activation)       | (None, | 150,        | 150,  | 16) | 0    | add_3[0][0]  |
| conv2d_8 (Conv2D)               | (None, | 75,         | 75, 3 | 2)  | 4640 | activation_7[0][0]                                     |
| batch_normalization_8 (BatchNor | (None, | 75 <b>,</b> | 75, 3 | 2)  | 128  | conv2d_8[0][0]   |

| activation_8 (Activation) [0]   | (None, | 75 <b>,</b> | 75 <b>,</b> | 32) | 0    | batch_normalization_8[0]                        |
|---------------------------------|--------|-------------|-------------|-----|------|---|
| conv2d_9 (Conv2D)               | (None, | 75,         | 75,         | 32) | 9248 | activation_8[0][0]                              |
| conv2d_10 (Conv2D)              | (None, | 75,         | 75 <b>,</b> | 32) | 544  | activation_7[0][0]                              |
| batch_normalization_9 (BatchNor | (None, | 75 <b>,</b> | 75,         | 32) | 128  | conv2d_9[0][0]                                  |
| add_4 (Add) [0]                 | (None, | 75,         | 75,         | 32) | 0    | conv2d_10[0][0]<br>batch_normalization_9[0]     |
| activation_9 (Activation)       | (None, | 75,         | 75,         | 32) | 0    | add_4[0][0]                                     |
| conv2d_11 (Conv2D)              | (None, | 75,         | 75 <b>,</b> | 32) | 9248 | activation_9[0][0]                              |
| batch_normalization_10 (BatchNo | (None, | 75 <b>,</b> | 75 <b>,</b> | 32) | 128  | conv2d_11[0][0]                                 |
| activation_10 (Activation) ][0] | (None, | 75,         | 75,         | 32) | 0    | batch_normalization_10[0                        |
| conv2d_12 (Conv2D)              | (None, | 75 <b>,</b> | 75 <b>,</b> | 32) | 9248 | activation_10[0][0]                             |
| batch_normalization_11 (BatchNo | (None, | 75 <b>,</b> | 75 <b>,</b> | 32) | 128  | conv2d_12[0][0]                                 |
| add_5 (Add)                     | (None, | 75,         | 75,         | 32) | 0    | activation_9[0][0] batch_normalization_11[0     |
| activation_11 (Activation)      | (None, | 75,         | 75,         | 32) | 0    | add_5[0][0]                                     |
| conv2d_13 (Conv2D)              | (None, | 75,         | 75 <b>,</b> | 32) | 9248 | activation_11[0][0]                             |
| batch_normalization_12 (BatchNo | (None, | 75,         | 75,         | 32) | 128  | conv2d_13[0][0]                                 |
| activation_12 (Activation) ][0] | (None, | 75,         | 75,         | 32) | 0    | batch_normalization_12[0                        |
| conv2d_14 (Conv2D)              | (None, | 75 <b>,</b> | 75 <b>,</b> | 32) | 9248 | activation_12[0][0]                             |
| batch_normalization_13 (BatchNo | (None, | 75,         | 75,         | 32) | 128  | conv2d_14[0][0]                                 |
| add_6 (Add)                     | (None, | 75,         | 75 <b>,</b> | 32) | 0    | activation_11[0][0]<br>batch_normalization_13[0 |
| activation_13 (Activation)      | (None, | 75,         | 75,         | 32) | 0    | add_6[0][0]                                     |

| conv2d_15 (Conv2D)              | (None, | 38, | 38, | 64) | 18496 | activation_13[0][0]                          |
|---------------------------------|--------|-----|-----|-----|-------|--|
| batch_normalization_14 (BatchNo | (None, | 38, | 38, | 64) | 256   | conv2d_15[0][0]                              |
| activation_14 (Activation) ][0] | (None, | 38, | 38, | 64) | 0     | batch_normalization_14[0                     |
| conv2d_16 (Conv2D)              | (None, | 38, | 38, | 64) | 36928 | activation_14[0][0]                          |
| conv2d_17 (Conv2D)              | (None, | 38, | 38, | 64) | 2112  | activation_13[0][0]                          |
| batch_normalization_15 (BatchNo | (None, | 38, | 38, | 64) | 256   | conv2d_16[0][0]                              |
| add_7 (Add)                     | (None, | 38, | 38, | 64) | 0     | conv2d_17[0][0] batch_normalization_15[0     |
| activation_15 (Activation)      | (None, | 38, | 38, | 64) | 0     | add_7[0][0]                                  |
| conv2d_18 (Conv2D)              | (None, | 38, | 38, | 64) | 36928 | activation_15[0][0]                          |
| batch_normalization_16 (BatchNo | (None, | 38, | 38, | 64) | 256   | conv2d_18[0][0]                              |
| activation_16 (Activation) ][0] | (None, | 38, | 38, | 64) | 0     | batch_normalization_16[0                     |
| conv2d_19 (Conv2D)              | (None, | 38, | 38, | 64) | 36928 | activation_16[0][0]                          |
| batch_normalization_17 (BatchNo | (None, | 38, | 38, | 64) | 256   | conv2d_19[0][0]                              |
| add_8 (Add)                     | (None, | 38, | 38, | 64) | 0     | activation_15[0][0] batch_normalization_17[0 |
| activation_17 (Activation)      | (None, | 38, | 38, | 64) | 0     | add_8[0][0]                                  |
| conv2d_20 (Conv2D)              | (None, | 38, | 38, | 64) | 36928 | activation_17[0][0]                          |
| batch_normalization_18 (BatchNo | (None, | 38, | 38, | 64) | 256   | conv2d_20[0][0]                              |
| activation_18 (Activation) ][0] | (None, | 38, | 38, | 64) | 0     | batch_normalization_18[0                     |
| conv2d_21 (Conv2D)              | (None, | 38, | 38, | 64) | 36928 | activation_18[0][0]                          |
| batch_normalization_19 (BatchNo | (None, | 38, | 38, | 64) | 256   | conv2d_21[0][0]                              |
| add_9 (Add)                     | (None, | 38, | 38, | 64) | 0     | activation_17[0][0]                          |

| 9[0][0]              |
|----------------------|
| vation_19[0][0]      |
| age_pooling2d_1[0][0 |
| ten_1[0][0]          |
| t<br>=               |

Total params: 284,042 Trainable params: 282,666 Non-trainable params: 1,376

 $\overline{\text{ResNet20v}}1$ 

Not using data augmentation.