

**9.1.1 Show that the second smallest of  $n$  elements can be found in  $n + \lg n - 2$  comparisons in the worst case. (Hint Also find the smallest element):**

We can perform the following: Let form pairs based on the numbers, so we do  $\frac{n}{2}$  comparisons between pairs we then end up with  $\frac{n}{2}$  we again perform such task until we have the smallest Since each comparison result in an element being rejected as the smallest in this situation we perform  $n - 1$  comparisons. Now our smallest element was compared with at most the height of the tree which is  $\lceil \lg n \rceil$  since we will not compare with the smallest anymore we reduce 1 comparison resulting in  $n + \lceil \lg n \rceil - 2$

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**9.1.2 Given  $n > 2$  distinct numbers, you want to find a number that is neither the minimum nor the maximum. What is the smallest number of comparisons that you need to perform?**

The minimum number of comparisons for distinct numbers is 3. With one comparison You will only know if a number  $a$  is lower than a number  $b$ , but they can be the maximum and minimum. With two comparisons with 3 numbers you can specify a order between between 2 of them with 1 of them. in this case you can perform  $a < b$  and  $a > c$ . In this case  $a$  is not the max nor the min. But if  $a > b$  and  $a > c$  we can't conclude anything. three comparisons guarantee that we perform an order in the three elements since they are distinct the middle one cannot be the max nor the min

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**9.1.3 A racetrack can run races with five horses at a time to determine their relative speeds. For 25 horses it takes 6 races to determine the fastest horse assuming transitivity. What is the minimum number of races it takes to determine the fastest three horses out of 25?**

We have 25 horses and we will divide them into groups of 5, let's call these groups  $A_1, A_2, A_3, A_4, A_5$ . We then perform a run in each of them. Totalizing 5 runs We then perform another run with the winners. Suppose, without loss of generality That  $A_1$  contains the winner,  $A_2$  the second and so on. Let Denote  $A_{11}$  the winner of  $A_1$   $A_{21}$  the winner of  $A_2$  and so on. We know that  $A_{41}$  and  $A_{51}$  cannot be in the three bests And so all their groups cannot. We already know the winner so we won't use it again in a race.  $A_{22}$  can be the third, but  $A_{23}, A_{24}$  and  $A_{25}$  cannot, since they are already worse 2 positions below their group leader. Based on this the possibly 2 fastest, with the first, are  $A_{12}, A_{13}, A_{21}, A_{22}, A_{31}$  We just need one more run. so 7 runs is enough

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**9.1.4 Prove the lower bound of  $\lceil \frac{3n}{2} \rceil - 2$  comparisons in the worst case to find both the maximum and minimum of  $n$  numbers. Hint (Consider how many numbers are potentially either the maximum or minimum, and investigate how a comparison affects these counts)**

Initially any of the  $n$  elements can be MINIMUM or MAXIMUM. Let's create two sets MAX and MIN in which, initially we set MAX to be equal our  $n$  members and so does MIN. We then have 2 values, let's say  $c$  in MIN and MAX. We then compare  $a$  with  $b$ , we will get  $a \leq b$  or  $b \leq a$ . In either case we can remove two elements from the sets if  $a \leq b$  we can take out  $a$  from MAX and  $b$  from MIN. Based on that if  $n$  is even, we can make pairs of comparisons with the different elements and in the end, after  $\frac{n}{2}$  comparisons our MAX set and MIN set will be disjoint with  $MIN \cup MAX = A$ . In this case we need to eliminate the elements from MAX comparing them since each comparison allows us to remove just one element at a time we need to perform  $\frac{n}{2} - 1$  comparisons, the same for the MIN.

With that our total comparisons is  $n - 2 + \frac{n}{2} = \frac{3n}{2} - 2$

Now if  $n$  is odd we cannot make pairwise comparisons exactly, We can reduce.

after  $\frac{n-1}{2}$  comparisons the size of each set to  $\frac{n+1}{2}$  with one element shared between them

now if we compare this element, say it  $c$ , with other of MIN, say it  $min$  and  $c \leq min$

we can remove  $min$  from MIN and  $c$  from MAX, the same goes for MAX

Now if we compare and  $min \leq c$  we can only take out  $min$  from MIN

let's not compare this element by now. We then compare the  $\frac{n-1}{2}$  elements in MIN

with that we can remove  $\frac{n-3}{2}$  elements from MIN through  $\frac{n-3}{2}$  comparisons, the same goes

to MAX we then have realized  $\frac{n-1 + n-3 + n-3}{2} = \frac{3n-7}{2}$  in this situation we

have say,  $a$  and  $c$  in the MIN set and  $b$  and  $c$  in the MAX Set. There is two cases if we compare  $b$  and  $c$  and we get  $c \leq a$  we take out  $c$  from MAX and  $a$  from MIN.

So with one more comparison we are done, leading us to  $\frac{3n-5}{2}$  comparisons

if  $a \leq c$  we need to compare  $b$  with  $a$  and we are done.

so  $\frac{3n-3}{2}$  comparisons are needed  $\rightarrow \frac{3n-1}{2} - 2$  and  $\frac{3n+1}{2} - 2$  comparisons needed

So if  $n$  is odd the worst case we need  $\frac{3n+1}{2} - 2$  We know that

$\frac{3n+1}{2}$  is an integer and  $\left\lceil \frac{3n}{2} \right\rceil$  too with  $\frac{3n}{2} \leq \left\lceil \frac{3n}{2} \right\rceil \leq \frac{3n+2}{2}$  since they are integers

And there can be only 1 integer between two non integers whose subtraction is one

we conclude that in the worst case our lower bound is  $\left\lceil \frac{3n}{2} \right\rceil - 2$

### 9.2.1 Show that RANDOMIZED – SELECT never makes a recursive call to a 0 –length array.

An array has at least one element if  $p == r$ , to have a 0 length we need that  $p > r$

In this situation, we know that  $q$  is equal to  $p$  or at most  $r$ . So  $k$  is such that:

$1 \leq k \leq r - p + 1 = \text{size of the array}$

Now  $q - 1$  must be smaller than  $p$ , if  $q$  is  $p + 1$  they are equal. if  $q = p$  it's greater

Now  $r$  must be smaller than  $q + 1$ , this only occurs if  $q = r$

So we have this two situations when a possible length 0 array would be called by RANDOMIZED – SELECT. Now if  $q = p$ , it means that  $k = 1$ . and will call the length 0 array only if  $i < 1$ . What is not the case since  $1 \leq i \leq \text{size of the array}$ .

In the other case we would only call

the length 0 array if  $q = r$  so  $k = r - p + 1 = \text{size of the array}$  and  $i$  would need to be higher than the size of the array, but one more time  $1 \leq i \leq \text{size of the array}$

### 9.2.2 Write an iterative version of RANDOMIZED – SELECT

**RANDOMIZED – SELECT( $A, p, r, i$ ):**

answer;

while true:

    if  $p == r$

        return  $A[p]$

$q = \text{RANDOMIZED – PARTITION}(A, p, r)$

$k = q - p + 1$

    if  $i == k$

        answer =  $A[q]$

        break

    else if  $i < k$

$r = q - 1$

    else:

$p = q + 1$

$i = i - k$

**9.2.3 Suppose that RANDOMIZED – SELECT is used to select the minimum element of the array  $A = \{2, 3, 0, 5, 7, 9, 1, 8, 6, 4\}$ . Describe a sequence of partition that results in a worstcase performance of RANDOMIZED – SELECT.**

```

RANDOMIZED-SELECT( $A, p, r, i$ )
1  if  $p == r$ 
2      return  $A[p]$     //  $1 \leq i \leq r - p + 1$  when  $p == r$  means that  $i = 1$ 
3   $q = \text{RANDOMIZED-PARTITION}(A, p, r)$ 
4   $k = q - p + 1$ 
5  if  $i == k$ 
6      return  $A[q]$     // the pivot value is the answer
7  elseif  $i < k$ 
8      return RANDOMIZED-SELECT( $A, p, q - 1, i$ )
9  else return RANDOMIZED-SELECT( $A, q + 1, r, i - k$ )
    
```

we want to select 0 in the worst case possible so we realize a botom up approach, in this situation we want 0 to be the last element in the array, so we want to return it if  $p == r$   
 So the previous call must be for  $i = 1$ , since  $i = 1$ ,  $i$  cannot be higher than  $k$ , whose minimun value is 1, since  $i = 1$  we cannot have  $k = 1$  otherwise we would return in line 6 so  $i < k$ , what means that  $q - 1 = p \rightarrow q = p + 1$  and  $k = 2$   
 that is the position of the pivot. The worst case we isolate 0 as far as possible.  
 We can select 9,8,7,6,5,4,3,2,1

#### 9.2.4 Argue that the expected running time of RANDOMIZED

– **SELECT** does not depend on the order of the elements in its input array  $A[p:r]$ .

That is, the expected running time is the same for any permutation of the input array  $A[p:r]$ . (Hint: argue by induction on the length  $n$  of the input array)

Let  $A$  an array of size  $n = 1$  obviously it will be independent. Now suppose it works for  $n - 1$ , let's prove it works for  $n$ . The partitioning is independent, since it's uniformly random. After the selection of the pivot we have the array subdivided into 3 groups, basically and the running time of the pivot finding will be  $\Theta(n)$ . These groups are independent of the order of the input but, simply in the intrinsic characteristics of the input. Again the recursive calls are independent of their order, but are dependent of the  $i$ -th smallest we want to find and the intrinsic values of the input.

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#### 9.3.1

In the algorithm **SELECT**, the input elements are divided into groups of 5. Show that the algorithm works in linear time if the input elements are divided into groups of 7 instead of 5.

```
SELECT(A, p, r, i)
1  while (r - p + 1) mod 5 ≠ 0
2      for j = p + 1 to r          // put the minimum into A[p]
3          if A[p] > A[j]
4              exchange A[p] with A[j]
5          // If we want the minimum of A[p:r], we're done.
6          if i == 1
7              return A[p]
8          // Otherwise, we want the (i - 1)st element of A[p + 1:r].
9          p = p + 1
10         i = i - 1
11     g = (r - p + 1) / 5          // number of 5-element groups
12     for j = p to p + g - 1      // sort each group
13         sort {A[j], A[j + g], A[j + 2g], A[j + 3g], A[j + 4g]} in place
14     // All group medians now lie in the middle fifth of A[p:r].
15     // Find the pivot x recursively as the median of the group medians.
16     x = SELECT(A, p + 2g, p + 3g - 1, ⌈g/2⌉)
17     q = PARTITION-AROUND(A, p, r, x) // partition around the pivot
18     // The rest is just like lines 3–9 of RANDOMIZED-SELECT.
19     k = q - p + 1
20     if i == k
21         return A[q]             // the pivot value is the answer
22     elseif i < k
23         return SELECT(A, p, q - 1, i)
24     else return SELECT(A, q + 1, r, i - k)
```

We basically need to adjust the proof provided in the book, by constants of 7. The number  $g$  of groups will be at most  $\frac{n}{7}$ . There are at least  $4 \left( \left\lfloor \frac{g}{2} \right\rfloor + 1 \right) \geq 2g$  elements greater

than or equal to the pivot and  $4 \left( \left\lceil \frac{g}{2} \right\rceil + 1 \right) \geq 2g$  elements less or equal to the pivot.

what leave us with  $5g \leq \frac{5n}{7}$  elements in the recursive call. The recurrence becomes

$T(n) \leq T\left(\frac{n}{7}\right) + T\left(\frac{5n}{7}\right) + O(n)$ . Using substitution method or Akra – Bazzi we can verify that it is still linear

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**9.3.2 Suppose that the preprocessing in lines 1 – 10 of SELECT is replaced by a base case for  $n \geq n_0$ , where  $n_0$  is a suitable constant;**

**that  $g$  is chosen as  $\left\lfloor \frac{r-p+1}{5} \right\rfloor$ ; and that the elements in  $A[5g:n]$  belong to no group**

**Show that although the recurrence for the running time becomes messier it still solves to  $\Theta(n)$**

```

SELECT(A, p, r, i)
1  while (r - p + 1) mod 5 ≠ 0
2      for j = p + 1 to r          // put the minimum into A[p]
3          if A[p] > A[j]
4              exchange A[p] with A[j]
5          // If we want the minimum of A[p:r], we're done.
6          if i == 1
7              return A[p]
8          // Otherwise, we want the (i - 1)st element of A[p + 1:r].
9          p = p + 1
10         i = i - 1
11     g = (r - p + 1)/5          // number of 5-element groups
12     for j = p to p + g - 1    // sort each group
13         sort {A[j], A[j + g], A[j + 2g], A[j + 3g], A[j + 4g]} in place
14     // All group medians now lie in the middle fifth of A[p:r].
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16     x = SELECT(A, p + 2g, p + 3g - 1, ⌈g/2⌉)
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18     // The rest is just like lines 3–9 of RANDOMIZED-SELECT.
19     k = q - p + 1
20     if i == k
21         return A[q]          // the pivot value is the answer
22     elseif i < k
23         return SELECT(A, p, q - 1, i)
24     else return SELECT(A, q + 1, r, i - k)

```

**9.3.3 Show how to use SELECT as a subroutine to make quicksort run in  $O(n \lg n)$  time in the worst case, assuming that all elements are distinct**

Select returns the  $i$  – th smallest element of an array in linear time in the size of the array. In this situation we can guarantee a great partitioning

Based on that we can substitute the casual partitioning from

$PARTITION - AROUND \left( A, p, r, SELECT \left( p, r, \left\lfloor \frac{r-p+1}{2} \right\rfloor \right) \right)$

In this case we will always recurse on  $T(n) \leq 2T\left(\frac{n}{2}\right) + \Theta(n)$  what is the  $O(n \lg n)$

**9.3.4 Suppose that an algorithm uses only comparisons to find the  $i$  – th smallest element in a set of  $n$  elements. Show that it can also find the  $i - 1$  smaller elements and the  $n - i$  larger elements without performing any additional comparison**

Lets say the sorted array is  $A_1, A_2 \dots A_n$ . The  $i$  smallest element is  $A_i$ , the  $i - 1$  smaller is  $A_{i-1}$  and the  $n - i$  larger. we know that  $A_n$  is the larger,  $A_{n-1}$  the second larger.  $A_{n-2}$  the third larger.  $A_{n-(n-i-1)}$  the  $n - i$  larger =  $A_{i+1}$ .

So basically what this wants we also find the immediately smaller and immediately greater of the median. In the last operation we discover one them. Given all possible Comparisons we need to know that  $A_i$  is exactly higher than  $i$  elements Since we only do comparisons and nothing else, we can only have such information with we compare  $A_{i-1}$  and this be higher and compare with  $A_{i+1}$  and this be lower. So we need our median

to be compared with both. The last operation will be with one of them  
 Suppose that in the last operation we discovered the  $i - 1$  smallest. It can only be the lowest of the two, now, how do we have chosen the one to be compared with the  $A_{i-1}$  smaller? IN other words how we have chosen the median to be compared?  
 We know it was compared with the  $A_{n+i}$  larger in some moment. Now in our algorithm The  $A_i$  were compared to some numbers, let  $S$  be the set of elements compared to them That were greater, This set is not empty containing at least  $A_{n+1}$  and possibly other. The question is How can we know which of these elements is the  $A_{n+1}$ ?  
 After we find the median. There is only one way we need to compare with some who is lower than  $i$  elements. There is only such element in the comparisons tree so we are done. There is no other way since we would need to keep

### 9.3.5 Show how to determine the median of 5 – elements set using only 6 comparisons

Lets say we have  $a, b, c, d, e$ . we compare  $a$  with  $b$  and  $c$  with  $d$  and the largest of them Without loss of generality we end with  $a < b < d$  and  $c < d$ .  $d$  cannot be median  
 Now we compare  $c$  with  $e$  resulting in  $c < e$  or  $e < c$ . we now compare  $b$  with  $e$  or  $c$  resulting in  $a < b < e$  and  $c < e$  | or  $c < e < b$  and  $a < b$  | or  $a < b < c$  and  $e < c$  | or  $e < c < b$  and  $a < b$ . We realized 5 comparisons until now.

In the four cases above we endup with:

1.  $e$  cannot be the median what leads us to  $a < b$  and  $c$
2.  $b$  cannot be the median what leads us to  $c < e$  and  $a$
3.  $c$  cannot be the median what leads us to  $a < b$  and  $e$
4.  $b$  cannot be the median what leads us to  $e < c$  and  $a$

We eliminated two possible median that have 3 or more less elements than them  
 So we eliminated the greatest. Now our median must be someone in those three how is the largest. With that said there is only two possibilities i each case since we know that one of them is smaller than other

### 9.3.6 You have black-box worst case linear – time median subroutine. Give a simple , linear time algorithm tha solves the selection problem for an arbitrary order statistics

We have an algorithm that always find the  $\left\lfloor \frac{n+1}{2} \right\rfloor$  – th order statistics of an array

We want him to find any  $i$  order. We showed above that we also discover

$\left\lfloor \frac{n+1}{2} \right\rfloor + 1$  and  $\left\lfloor \frac{n+1}{2} \right\rfloor - 1$  we can remove this elements and recurse.

Now the idea is as following, let  $j = \left\lfloor \frac{n+1}{2} \right\rfloor - i$ . if  $j > 0$  than

if  $-1 \leq j \leq 1$  we already got the  $i$  – order statistc.

if  $j < -1$  we want elements greater than  $\left\lfloor \frac{n+1}{2} \right\rfloor + 1$ . Since we are removing

3 elements lower we reduce  $i$  by 3 and recurse. If  $j > 1$  we only remove the elements and recurse.

Now in each call we have a chance of  $\frac{3}{n} \cdot \frac{3}{n-3} \cdots \frac{3}{n-6} \cdots \frac{3}{n-(n-3)}$  of achieving the desirable index. The total number of calls are  $i \rightarrow$

$n - 3(i - 1) = 3 \rightarrow n - 3i + 3 = 3 \rightarrow n = 3i \rightarrow i = \frac{n}{3}$  so we have  $\frac{n}{3}$  worst case calls

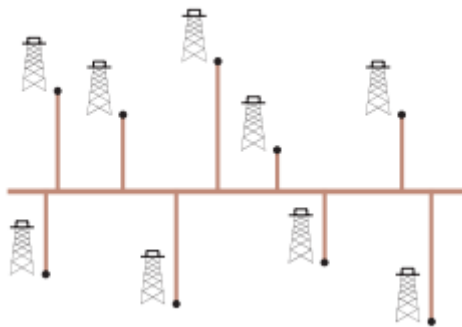
And since we use linear time in each one of the, we have  $n + n - 3 + n - 6 \dots$

$$\sum_{i=1}^{\frac{n}{3}} n - 3 \sum_{i=1}^{\frac{n}{3}} i = \frac{n^2}{3} - 3 \left(1 + \frac{n}{2}\right) \frac{n}{2 \cdot 2} \rightarrow \text{its still } O(n^2).$$

Another approach is since you find the median in  $O(n)$  you can realize a partition with cost  $O(n)$  and recurse on the desired region. Since its the median

we Reduce it to a recursions of  $T(n) = T\left(\frac{n}{2}\right) + O(n) = O(n)$

**9.3.7** Professor Olay is consulting for an oil company, which is planning a large pipeline running east to west through an oil field of  $n$  wells. The company wants to connect a spur pipeline from each well directly to the main pipeline along a shortest route (either north or south), as shown in Figure 9.4. Given the  $x$  - and  $y$  - coordinates of the wells, how should the professor pick an optimal location of the main pipeline to minimize the total length of the spurs? Show how to determine an optimal location in linear time



Let  $y_m$  be the location of the main pipeline.

Say it there are  $k$  towers above and  $n - k$  below.

The total ammount is equal to the  $y$  distance from the main pipeline. In this case we have

$$\sum_{i=1}^k Y_{ki} - Y_m + \sum_{i=1}^{n-k} -Y_{ni} + Y_m \rightarrow Y_m(n - k) - k(Y_m) + \sum_{i=1}^k Y_{ki} - \sum_{i=1}^{n-k} Y_{ni} \rightarrow$$

$$\text{Dispite this analyzes we can use modules so the size}(Y_m) = \sum_{i=1}^n \sqrt{(Y_i - Y_m)^2} \rightarrow$$

everything here is constant except  $Y_m$ , Lets find the minimun through the derivative . If  $Y_m$  is greater than  $k$  and lower than  $k$  its derivative = 0

Now lets  $Y_1$  be the lowest. for  $Y_m < Y_k \rightarrow$  The derivative is lower than 0 so the derivative is negative. if  $Y_k \leq Y_m \leq Y_{k+1}$  the derivative is 0 and if  $Y_m > Y_{k+1}$  the derivative is  $> 0$  So when we have a even number if  $Y_{\frac{n}{2}} \leq Y_m \leq Y_{\frac{n}{2}+1}$

we have the minimu. Now if  $n$  is even let  $Y_m < \frac{Y_{n+1}}{2}$  the derivative is negative. if

$Y_m = \frac{Y_{n+1}}{2}$  the derivative is 0 and after that the derivative is greater than 0; Based

on that the best location to construct is the median. As we saw before we can use SELECT algorithm to choose the median in  $n$  worst case

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**9.3.8 The  $k$  – th quantiles of an  $n$  – element set are the  $k – 1$  order statistics that divide the sorted set into  $k$  equal – sized sets(to within 1). Given an  $O(n \log(k))$  time algorithm to list the  $k$  – th quantiles of a set.**

if  $k$  is even we can verify that the  $\frac{k}{2} \cdot \frac{n}{k}$  is the median and also its the  $\frac{k}{2}$  – th quantile

In the case  $k$  is odd we catch the  $\frac{k-1}{2}$  quantile and then divide the arrays and recurse

So to the following use the SELECT subroutine to find the  $\left\lfloor \frac{k}{2} \right\rfloor$  quantile

After that use partition around We then recurse on each of the size. beginning with  $n$

Since we get the  $\left\lfloor \frac{k}{2} \right\rfloor$ , this is in the position  $\left\lfloor \frac{n}{2} \right\rfloor$ . we will then recurse on arrays

$A[r: \left\lfloor \frac{n}{2} \right\rfloor - 1]$  and  $A[\left\lfloor \frac{n}{2} \right\rfloor + 1: p]$  and we will find the  $k$  – th quantiles of them

in the same. this can be done because we selected and removed the  $k$  – th quantile

this means that  $\frac{n}{k}$  positions ahead and below there are  $k$  – th quantiles

All of this considering that  $k$  is even, now if  $k$  is odd we will get still the  $\left\lfloor \frac{k}{2} \right\rfloor$

In this situation we will divide the recursion on  $\left\lfloor \frac{k}{2} \right\rfloor \cdot \left\lfloor \frac{n}{k} \right\rfloor$ .

Based on that we can have the following recursion

$$T(n) = T\left(\left\lfloor \frac{k}{2} \right\rfloor \cdot \left\lfloor \frac{n}{k} \right\rfloor\right) + T\left(\left\lfloor \frac{k}{2} \right\rfloor \cdot \left\lfloor \frac{n}{k} \right\rfloor\right) + \Theta(n)$$

We continue calling until  $n > k$ . In first level we do  $n$ , in the second we do  $n - 1$  work

Because we just remove one pivot and we iterate over the remaining arrays

We know we discover one  $k$  – th quantile per iteration so the number of nodes in

our tree must be  $k$ . The height of our tree is  $\log_2 k + 1$  we end with the following sum

$$\sum_{i=1}^{\log_2 k + 1} n - (i - 1) \rightarrow \sum_{i=1}^{\log_2 k} n - i \rightarrow n \log_2(k) - \frac{(\log_2 k - 1) \log_2 k}{2} \rightarrow k < n$$

What lead our algorithm to be  $n \log(k)$

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### 9.3.9 Describe an $O(n)$

– time algorithm that, given a set  $S$  of  $n$  distinct numbers and a positive integer  $k \leq n$ , determines the  $k$  numbers in  $S$  that are the closest to the median of  $S$

We first need to select the median, we can use the SELECT algorithm to do it.

We can then create an array of such that  $A = \{|x - y|; y \in S\}$   $x$  is the median.

Now we have an array with the values of difference. we can maintain a correspondence using a map the elements with the minimum amount are the closest. based on that we can use

select to get the  $k$  – statistical order. With that we can Partition it. So we end up

with the  $k$  – numbers in the left and associated with the real closest numbers

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**9.3.10 Let  $X[1: n]$  and  $Y[1: n]$  be two arrays, each containing  $n$  numbers already in sorted order. Give an  $O(\log n)$  – time algorithm to find the median of all  $2n$  elements in arrays  $X$  and  $Y$ . Assume that all  $2n$  numbers are distinct**



Lets analyze the two medians, one from each set, let denote the sets by  $A$  and  $B$  the median index of each set by  $\lfloor \frac{n}{2} \rfloor = m$ , so  $A_m$  and  $B_m$  are the medians of each set and  $A_i$  and  $B_i$  are the elements in position  $i$  of the sets  $A$  and  $B$ . Lets com  $A_m$  with  $B_m$ . if  $A_m < B_m \rightarrow m$  here is a special index, maybe the median is not a member of the array, if  $n$  is even. What we know is that  $A_m$  and  $B_m$  are greater than  $\lfloor \frac{n}{2} \rfloor$  elements each and lower than  $\lfloor \frac{n}{2} \rfloor$ .

if  $A_m < B_m \rightarrow B_m$  is greater than  $2 \cdot \lfloor \frac{n}{2} \rfloor$ . Lets suppose here that  $n$  is even,

so  $B_m$  is greater than  $n$  elements  $= \frac{2n}{2}$ . it can be a median. Since  $n$  is even

the element  $A_{\lfloor \frac{n}{2} \rfloor}$  is still greater than  $n$  elements, the ones that exist in the array

The median of  $2n$  elements must be greater exactly of  $n$  members. This means that all elements above him are not suitable to be the median, since they would be greater than more than  $n$  elements

The same analysis could be done with  $A_m$  it means it is smaller than  $n$  elements

Since it doesn't exist it means that  $A_{\lfloor \frac{n}{2} \rfloor}$  is lower than  $n$  elements and could be the median

But all others below it cannot. So we reduce from  $2n$  to  $n$  potential elements in each comparison of the medians, since we are removing from the ordered arrays, they are still ordered

We just need to adjust the index of the new median, what takes constant time

Since  $n$  is even we need to take  $2n$  to  $2$  elements, since we reduce by half in each step

We can take  $O(\lg(n))$  as the height, that is the time complexity of the algorithm.

Same analysis go for  $n$  odd. We just have a problem when we have  $2$  elements in each array

In this situation we cannot exclude non possible medians, but we can find the medians in this situation in constant time, what leads the correct result

### 9.1 Largest $i$ numbers in sorted order.

You are given a set of  $n$  numbers, and you with to find the  $i$  largest in sorted order using a comparison – based algorithm. Describe the algorithm that implements each of the following methods with the best asymptotic worst – case running time, and analyze the running times of the algorithms in terms of  $n$  and  $i$

a) Sort the numbers, and list the  $i$  largest number.

b) Build a max – priority queue from the numbers, and call EXTRACT – MAX  $i$  times.

c) Use an order – static algorithm to find the  $i$  – th largest number, partition around that number, and sort the  $i$  largest numbers

a) It was prove that by a decision tree that the best we can do in a comparison – based algorithm to sort is  $\Omega(n \lg n)$  in the worst case. the  $i$  – largest is simply the  $i$  – th elements after sorted, so the running time is  $\Theta(n \lg n)$

b) Using the heap structure to implement a priority queue we know that it takes  $\Theta(n)$  time. Since we want the  $i$  – largest. we extract the first, which is constant, we do a max – heapify, which takes  $\log(n)$  and repeat. we have to do  $i - 1$  max – heapifies, or  $i$  if we want to maintain the data structure

In this situation, the total ammount of work done here is  $\sum_{j=1}^i \log(n - j) + \Theta(n)$

$$\log\left(\frac{n!}{(n-i-1)!}\right) + \Theta(n). \text{ which leads us to } \Theta(i \cdot \log(n) + n)$$

c) select and partition takes  $\Theta(n)$ . Now we need to sort the  $i - \text{th}$  largest it have  $i$  elements so we have  $\Theta(n + i \cdot \lg(i))$

## 9.2 Variant of randomized selection

**Professor Mendel has proposed a simplifying RANDOMIZED – SELECT by eliminating the check for whether  $i$  and  $k$  are equal. The simplified procedure is SIMPLER – RANDOMIZED – SELECT**

```

SIMPLER-RANDOMIZED-SELECT( $A, p, r, i$ )
1  if  $p == r$ 
2      return  $A[p]$       //  $1 \leq i \leq r - p + 1$  means that  $i = 1$ 
3   $q = \text{RANDOMIZED-PARTITION}(A, p, r)$ 
4   $k = q - p + 1$ 
5  if  $i \leq k$ 
6      return SIMPLER-RANDOMIZED-SELECT( $A, p, q, i$ )
7  else return SIMPLER-RANDOMIZED-SELECT( $A, q + 1, r, i - k$ )
    
```

**A) Argue that in the worst case. SIMPLE – RANDOMIZED – SELECT never terminates**

The idea of the argument of the algorithm is simple. We partition our array based on some value, this partition has value  $q$  and  $p$  has the index of the first element. What the  $k$  is doing is calculate how many elements there are in the first side. Based on that we verify if the value we want to calculate is there. If that's the case we recurse on this side of the array. If it's not we call it on the other side of the array. Now let's a situation where  $p = 1$  and  $r = 10$ . If we get  $r$  as the pivot, the greater element, then  $q = 10$  and  $k = 10$ .  $i$  is always less than 10. We will then recurse on the same input array.

**b) Prove that the expected running time of SIMPLER – RANDOMIZED – SELECT is still  $O(n)$**

We basically have a  $T(n)$  algorithm and in this situation we basically use Pivot to reduce the size of  $n$ , and we recurse on a new  $i$ .

$$T(n, i) = T(n - k, g(i)) + \Theta(n), \text{ where } 0 \leq k \leq n - 1$$

$n - k$  is in fact a random variable  $X$ , that represents the resulting size of the array. The possible values for  $X$  is  $1, 2, 3 \dots n - 1$ . What is the probability of each one of them? It depends on  $i$  and there is two situations where we end up with 1, there is two situations where we end up with size 2 and so on. There is only one case where there is only one situation that's when  $n$  is odd and we choose the median as the pivot, but since we have two divisions of same length, it still counts for two cases, but only one pivot produces it. We have  $n - 1$  possible sizes each one with the same probability.

So what is the expected size of this new array?  $\frac{1}{n-1}$  is the probability of a size

$$\text{being chosen. } \frac{1}{n-1} \sum_{i=1}^{n-1} i = n \cdot \frac{n-1}{2} \rightarrow \frac{n \cdot n - 1}{2 \cdot (n-1)} \rightarrow \frac{n}{2} \text{ is the expected size.}$$

$$E(T(n)) = E(T(n - k)) + \Theta(n) = T(E(n - k)) + \Theta(n) \rightarrow$$

$$E(T(n)) = T\left(\frac{n}{2}\right) + \Theta(n)$$

This recurrence has solution  $O(n)$ .

### 9.3 Weighted Median

Consider  $n$  elements  $x_1, x_2 \dots x_n$ , with positive weights  $w_1, w_2 \dots w_n$  such that

$\sum_{i=1}^n w_i = 1$ . The weighted (lower) median is an element  $x_k$  satisfying:

$$\sum_{x_i < x_k} w_i < \frac{1}{2} \text{ and } \sum_{x_i > x_k} w_i \leq \frac{1}{2}$$

For example, consider the following elements  $x_i$  and weights  $w_i$ :

$i$	1	2	3	4	5	6	7
$x_i$	3	8	2	5	4	1	6
$w_i$	0.12	0.35	0.025	0.08	0.15	0.075	0.2

For those elements, the median is  $x_5 = 4$ , but the weighted median is  $x_7 = 6$ .

To see why the weighted median is  $x_7$ , observe that the elements less than  $x_7$  are  $x_1, x_3, x_4, x_5$  and  $x_6$ , and the sum  $w_1 + w_3 + w_4 + w_5 + w_6$

$= 0.45$ , which is less than  $\frac{1}{2}$ . Furthermore, only element  $x_2$  is greater than  $x_7$

and  $w_2 = 0.35$ , which is no greater than  $\frac{1}{2}$

a) Argue that the median of  $x_1, x_2 \dots x_n$  is the weighted median of the  $x_i$  with the weights  $\frac{1}{n}$  for  $i = 1, 2 \dots n$

b) Show how to compute the weighted median of  $n$  elements in  $O(n \log(n))$  worst case time using sorting

c) Show how to compute the weighted median in  $\Theta(n)$  worst case time using a linear – time median algorithm such as SELECT from section 9.3

**The post**

– office location problem is defined as follows. The input is  $n$  points  $p_1, p_2 \dots p_n$  with associated weights  $w_1, w_2 \dots w_n$ . A solution is a point  $p$  (not necessarily one of the

input points) that minimized the sum  $\sum_{i=1}^n w_i d(p, p_i)$ , where  $d(a, b)$  is the distance

between points  $a$  and  $b$ .

d) Argue that the weighted median is a best solution for the one

– dimensional post office location problem, in which points are simply real numbers and the distance between the points  $a$  and  $b$  is  $d(a, b) = |a - b|$

e) Find the best solution for the two dimensional post

– office location problem, in which the points are  $(x, y)$  coordinate pairs and the distance between points  $a(x_1, y_1)$  and  $b(x_2, y_2)$  is the Manhattan distance given by  $|x_1 - x_2| + |y_1 - y_2|$

a) We know that the weighted median (lower) is defined as

$x_k$  where  $\sum_{x_i < x_k} w_i < \frac{1}{2}$  and  $\sum_{x_i > x_k} w_i \leq \frac{1}{2}$ , since  $w_i$  is  $\frac{1}{n}$

we have that  $\frac{k}{n} < \frac{1}{2}$  and  $\frac{j}{n} \leq \frac{1}{2}$ . Let  $x$  be the median.  $n$  being odd

$x$  have  $\frac{(n-1)}{2}$  as  $k$  and  $j$  and this values as  $j$  and  $n$  assures that the equations above holds, so the median  $x$  is also the weighted median

b) Lets sort the array, it takes  $O(n \lg(n))$ . We have associated with it the weights of each value. For a value in the position  $k$  to be the Weighted median, we need that all values lower than  $k$  have a sum of the index until it less than  $\frac{1}{2}$ . Based on that we can iterate over these weights and create

an array  $A$  in  $\Theta(n)$  time in each index 1 contains the sum of weights from 1 to 1 index 2 contains the sum of weights from 1 to 2 and so on.

Now we do something similar but in the other direction, we create a array  $B$

In which the value in the index  $i$  is equal the sum of all weights from  $i+1$  to  $n$

if we begin from the end we still run this program in  $\Theta(n)$ . The array  $B$ , now indicates from a given element the sum of weights of the elements greater or equal than it.

We just need to find the position that in both arrays obey the rules for the weighted lower median which takes more  $\Theta(n)$ .

c) If we use the select to find the median and the partition around

We can verify in  $\Theta(n)$  what is the value of the sum of weights of the elements lower than the median and the sum of elements that are greater than the median.

If the sum of elements greater are higher than  $\frac{1}{2}$  the median is not the right choice. but more than that the half part above it its not the weighted median because the sum of the elements lower than them is the same as the median + the weight

of the median which is clearly  $> \frac{1}{2}$ . we can then reduce the size of the

array by half and recurse what would leads to something like  $T(n) = T\left(\frac{n}{2}\right) + \Theta(n)$

Now if  $< \frac{1}{2}$  we analyze the second case, if  $> \frac{1}{2}$  we have the same situation, but for the

lower first half so we can eliminate them and recurse, if it is  $\leq \frac{1}{2}$  we found it!

So in the worst case we recurse until  $n = 1$ , or something like that.

In the worst case we end up with the recurrence  $T(n) = T\left(\frac{n}{2}\right) + \Theta(n)$  which is  $\Theta(n)$

d) When the derivative is negative our function values are decrementing, when the derivative is positive our function values are incrementing.

Based on that given a value  $p_x$  is such that  $f'(p) < 0$  for  $p < p_x$  and  $f'(p) > 0$  for  $p > p_x$  we have that  $p_x$  is a point of minimum. Based on that

To minimize the sum  $\sum_{i=1}^n w_i d(p, p_i)$  we can realize the derivative, Basically

We end up with :

$f'(p) = \sum_{p_i \leq p} w_i - \sum_{p_i > p} w_i \rightarrow$  We want a point that for the an element in the set  $p_i$

$f'(p_k) \leq 0$  and  $f'(p_{k+1}) > 0$ . In this region we have a point of minimum

For a given  $p_k \rightarrow$

$\sum_{p_k \leq p} w_i - \sum_{p_k > p} w_i \leq 0$  and  $\sum_{p_{k+1} \leq p} w_i - \sum_{p_{k+1} > p} w_i > 0 \rightarrow$

$$\sum_{p_k \leq p} w_i + \sum_{p_k > p} w_i \leq 2 \sum_{p_k > p} w_i \text{ and } \sum_{p_{k+1} \leq p} w_i + \sum_{p_{k+1} > p} w_i > 2 \sum_{p_{k+1} > p} w_i \rightarrow$$

$$1 \leq 2 \sum_{p_k > p} w_i \rightarrow 1 > 2 \sum_{p_{k+1} > p} w_i \rightarrow$$

$$\sum_{p_{k+1} > p} w_i < \frac{1}{2} \text{ and } \sum_{p_k > p} w_i \geq \frac{1}{2} \rightarrow \sum_{p < p_{k+1}} w_i < \frac{1}{2} \text{ and } \sum_{p > p_k} w_i < \frac{1}{2}$$

Now for any  $p$  between  $p_k < p < p_{k+1}$  the equation above are valid

$$\text{If } \sum_{p < p_{k+1}} w_i < \frac{1}{2} \text{ and } p_k < x_k < p_{k+1} \rightarrow \sum_{p < x_k} w_i < \frac{1}{2}$$

$$\text{if } \sum_{p > p_k} w_i < \frac{1}{2} \text{ and } p_k < x_k < p_{k+1} \rightarrow \sum_{p > x_k} w_i < \frac{1}{2}$$

e) In this case we have just two problems that were derived above.

We have that the manhattan distance is given by the following:

$d(a, b) = |x_1 - x_2| + |y_1 - y_2|$  Now our function becomes:

$$f(\vec{p}) \sum_{i=1}^n w_i \cdot (|x - x_2| + |y - y_2|) = f(x, y) = \sum_{i=1}^n w_i \cdot |x - x_2| + \sum_{i=1}^n w_i \cdot |y - y_2| \rightarrow$$

$x$  and  $y$  are totally independent so  $f(x, y) = g(x) + h(y)$ , this means that

the minimum of  $f(x, y)$  is attainable at the minimum of  $g(x)$  and  $h(y)$  and the minimum of  $g(x)$

and  $f(y)$  happens to be the weighted average as showed in the previous exercise. So

The best solution is the weighted average for the  $x$  - coordinate and  $y$  - coordinate

#### 9.4 Small order statistics

Lets denote by  $S(n)$  the worst case number of comparisons used by SELECT to select the  $i$  - th order statistic from  $n$  numbers. Although  $S(n) = \Theta(n)$ , the constant hidden by the  $\Theta$  - notation is rather large. When  $i$  is small relative to  $n$ , there is an algorithm that uses SELECT as subroutine but makes fewer comparisons in the worst case.

a) Describe an algorithm that uses  $U_i(n)$  comparisons to find the  $i$ th smallest of  $n$  elements where

$$U_i(n) = f(x) = \begin{cases} S(n), & \text{if } i \leq \frac{n}{2} \\ \left\lfloor \frac{n}{2} \right\rfloor + U_i\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + S(2i), & \text{otherwise} \end{cases}$$

(Hint: Begin with  $\left\lfloor \frac{n}{2} \right\rfloor$  disjoint pairwise comparisons, and recurse on the set containing the smaller element from each pair)

b) Show that, if  $i < \frac{n}{2}$ , then  $U_i(n) = n + O\left(S(2i) \lg\left(\frac{n}{i}\right)\right)$

c) Show that if  $i$  is a constant less than  $\frac{n}{2}$  then  $U_i(n) = n + O(\lg(n))$

d) Show that if  $i = \frac{n}{k}$  for  $k \geq 2$  then  $U_i(n) = n + O\left(S\left(\frac{2n}{k}\right) \log(k)\right)$

a) Lets suppose initially that  $n$  is even and divide it in the middle.

Now we compare the first elements of each array. We then put the smaller

of the comparison in the higher array part. We know that the SELECT algorithm is such that it partitions the input array in a such way that the first  $i$  elements are the  $i$  – th smallest elements. We then recurse this on the upper side, We do it in a way that guarantees The upper side contains the  $i$  – smallest elements and the larger counterparts that are placed in the first  $i$  elements of the array. We then Use select on this  $2i$  array, what results in the time complexity above

b)  $U_i(n) = \left\lfloor \frac{n}{2} \right\rfloor + U_i\left(\frac{n}{2}\right) + S(2i)$ , lets use the substitution method to show that if  $i < \frac{n}{2}$  then  $U_i(n) \leq n + cS(2i) \lg\left(\frac{n}{i}\right) - d(\log \log n)S(2i) \rightarrow$

$$\begin{aligned} U_i(n) &= \left\lfloor \frac{n}{2} \right\rfloor + U_i\left(\frac{n}{2}\right) + S(2i) \\ &\leq \left\lfloor \frac{n}{2} \right\rfloor + \left\lfloor \frac{n}{2} \right\rfloor + cS(2i) \lg\left(\left\lfloor \frac{n}{2} \right\rfloor\right) - cS(2i) \lg(i) - d\left(\lg \lg \left\lfloor \frac{n}{2} \right\rfloor\right) S(2i) \\ &\leq n + cS(2i) \lg\left(\frac{n}{2} + 1\right) - cS(2i) \lg(i) - d(\lg(\lg(n - 1)))S(2i) \leq \\ &n + cS(2i) \lg n - cS(2i) \lg(i) - d(\lg(\lg(n)))S(2i) \rightarrow \\ &\text{this will hold if we guarantee that } cS(2i) \lg\left(\frac{n}{2} + 1\right) - d(\lg(\lg(n - 1)))S(2i) \\ &\leq cS(2i) \log(n) - d \lg(\lg(n))S(2i) \rightarrow \end{aligned}$$

This happens if and only if  $clg\left(\frac{n}{2} + 1\right) - d(\lg(\lg n - 1)) \leq clg(n) - d \lg \lg n$

$$c \left( \lg\left(\frac{\frac{n}{2} + 1}{n}\right) \right) \leq d \lg\left(\frac{\lg n - 1}{\lg(n)}\right) \rightarrow c \left( \lg\left(\frac{1}{2} + \frac{1}{n}\right) \right) \leq d \lg\left(\frac{\lg n - 1}{\lg(n)}\right)$$

The limit to infinity of the left goes to  $-c$  while the limit of the right goes to 0  
The left equation is monotonically decreasing and the limit of the right is monotonically increasing, for  $n = 4$  we need that  $clg\left(\frac{3}{4}\right) \leq d \lg\left(\frac{1}{2}\right) \rightarrow$

When  $d \leq clg\left(\frac{4}{3}\right)$  it holds for  $\geq 4$  so we are done

given that  $i$  is constant less than  $\frac{n}{2}$  we have that  $S(2i)$  is also a constant

$$\begin{aligned} \text{ang } \lg\left(\frac{n}{i}\right) &= \log(n) - c \text{ which is } O(\log(n)) \text{ so } U_i = n + O(\text{constant} \cdot O(\log(n))) \rightarrow \\ U_i(n) &= n + O(\lg(n)) \end{aligned}$$

d) We just need to replace it in the previous equations so we end up with the following:

$$U_i(n) = n + O\left(S(2i) \lg\left(\frac{n}{i}\right)\right) = n + O\left(S\left(\frac{2n}{k}\right) \lg(k)\right)$$

## 9.5 alternative analysis of randomized selection

In this problem, you will use indicator random variable to analyze the procedure RANDOMIZED – SELECT in a manner akin to our analysis of RANDOMIZED – QUICKSORT in Section 7.4.2. As in the quicksort analysis, we assume that all elements are distinct and we rename the elements of the input array  $A$  as  $z_1, z_2 \dots z_n$  where  $z_i$  is the  $i$  – th smallest element. Thus the call RANDOMIZED – SELECT( $A, 1, N, i$ ) returns  $z_i$ . For  $1 \leq j < k \leq n$ , let

$X_{ijk}$

$= I\{z_j \text{ is compared with } z_k \text{ sometime during the execution of the algorithm to find } z_i\}$

a) Given an exact expression for  $E[X_{ijk}]$ ; (hint: Your expression may have different values, depending on the values of  $i, j$  and  $k$ )

b) Let  $X_i$  denote the total number of comparisons between elements of array  $A$  when finding  $z_i$ . Show that:

$$E[X_i] \leq 2 \left( \sum_{j=1}^i \sum_{k=i}^n \frac{1}{k-j+1} + \sum_{k=i+1}^n \frac{k-i-1}{k-i+1} + \sum_{j=1}^{i-2} \frac{i-j-1}{i-j+1} \right)$$

c) Show that  $E[X_i] \leq 4n$

d) Conclude that, assuming all elements of array  $A$  are distinct, **RANDOMIZED SELECT** runs in  $O(n)$  expected time

a) We have an analysis similar to the one realized for the quicksort procedure  
Based on the randomized

– select algorithm, comparisons are just realized in the scope of the **RANDOMIZED**

– **PARTITION** procedure, and we already verified that two elements  $z_k$  and  $z_j$

Are just compared if one of them is chosen as pivot before the other elements

between them, moreover, they are just compared one time. there are other situation in which maybe they are not compared, if  $i < j$  and  $i < k$  or  $i > j$  and  $i > k$ .

in these situations maybe the elements are not even verified. We have then 3 situations that we must analyze. Lets assume without loss of generality that  $j \leq k$  then we have:

if  $j \leq i \leq k$  the size of the set is  $k - j + 1$ , if  $i \leq j \leq k$  then the size is  $k - i + 1$

if  $j \leq k \leq i$  the size is  $i - j + 1$ . Now the probability is that one of them is chosen

as pivot before the other, and again since they are likely to be chosen we end up with:

$$E[X_{ijk}] = f(x) = \begin{cases} 2/(k-j+1) \\ 2/(k-i+1) \\ 2/(i-j+1) \end{cases}$$

b) So  $X_{ijk}$  is the random variable responsible for denoting 1 if in the search for  $i$  we compare  $j$  and  $k$ . And we want the total number of comparisons.

$$\sum_{j=1}^{n-1} \sum_{k=j+1}^n \Pr(k, j) = \sum_{j=1}^{n-1} \sum_{k=j+1}^n E[X_{ijk}] \quad \text{This is only one of the three,}$$

so its clearly bounded by the sum of all of them, so we know that our expectation is as follow:

$$E[X_i] \leq 2 \sum_{j=1}^i \sum_{k=i}^n \frac{1}{k-j+1} + \sum_{j=i+1}^{k-1} \sum_{k=i+1}^n \frac{1}{k-i+1} + \sum_{j=1}^{i-2} \sum_{k=j+1}^{i-1} \frac{1}{k-i+1}$$

Just realize arithmetic operations and we end up with the desired equation

$$c) \text{ We need to show that } \sum_{j=1}^i \sum_{k=i}^n \frac{1}{k-j+1} + \sum_{k=i+1}^n \frac{k-i-1}{k-i+1} + \sum_{j=1}^{i-2} \frac{i-j-1}{i-j+1} \leq 2n$$

For that we will calculate the maximum values of each summation and show that its less than  $2n$

For the last summation we have a function like  $\frac{k-1}{k+1}$  which is increasing, so its maximum value happens for the higher value possible for  $k$ , in this case the higher value for  $k$  is  $n$ .  
 $i-j$  happens  $j$  is the lowest as possible and  $i$  the largest as possible:

$$\frac{n-1-1}{n-1+1} = \frac{n-2}{n} \text{ so } \sum_{j=1}^{i-2} \frac{i-j-1}{i-j+1} \leq \frac{n-2}{n} \cdot (n-2), \text{ for the second summation its the}$$

same logic, the maximum  $k$  is  $n$  and the minimum  $i$  is 1:

$$\sum_{k=i+1}^n \frac{k-i-1}{k-i+1} \leq (n-1) \left( \frac{n-2}{n} \right)$$

Besides it we know that each term is less than 1 so the total of the two summations are less than:  $n - i - 1 + 1 + i - 2 = n - 2$ . Now we need to show that our first summation is lower than  $n + 2$ .

We have the following sum 
$$\sum_{j=1}^i \sum_{k=i}^n \frac{1}{k-j+1} \leq \sum_{j=1}^i \sum_{k=i}^n \frac{1}{i-j+1} = \sum_{j=1}^i \frac{(n-i+1)}{i-j+1} =$$

$$(n-i+1) \sum_{j=1}^i \frac{1}{j} \text{ this is always less than } n+2$$

d) We can reuse the lemma stated in the Quicksort section, that the running time is  $O(n + X)$ , where  $X$  is the number of comparisons

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