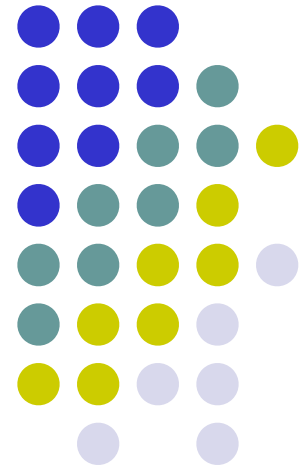
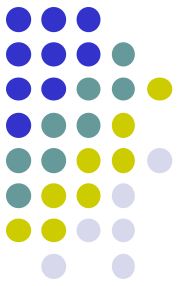


# Web Algorithms

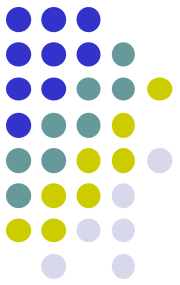
Eng. Fabio Persia, PhD



# Design of dynamic programming algorithms.



1. Provide the recursive decomposition of the subproblems
1. Compute the subsolutions bottom-up, that is starting from the subproblems of smallest size
  1. use a table to store the results of subproblems
  2. avoid computation of the same solutions exploiting the table
2. Combine the solutions of already solved subproblems to construct the ones of subproblems of bigger size, till solving the original problem



# Complexity dyn. progr. algorithms

- Let us consider the solutions table:

Subproblems parameters

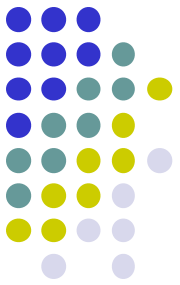
Subproblems size		$p_1$	$p_2$	$p_3$	$\dots$	$p_k$
	1					
	2					
	3					
	$\dots$					
	$n$					

Table size =  
Number of subproblems =  
 $n \cdot k$

- Complexity:

Subsolutions combination

- (table size) x (time of combining subsolutions)
- time of combining subsolutions always trivially polynomial
- polynomial** if table of polynomial size, that is only if **polynomial** number of different subproblems

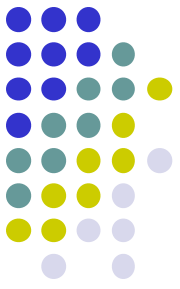


# MAX 0-1 Knapsack

- **INPUT:** Finite set of objects  $O$ , an integral profit  $p_i$  and an integral weight  $w_i$  for every  $o_i \in O$ , a positive integer  $b$
- **SOLUTION:** A subset of objects  $Q \subseteq O$  such that  $\sum_{o_i \in Q} w_i \leq b$
- **MEASURE:** Total profit of the chosen objects, that is  $\sum_{o_i \in Q} p_i$

Without loss of generality in the sequel we will always assume that  $w_i \leq b$  and  $p_i > 0$  for every object  $o_i \in O$

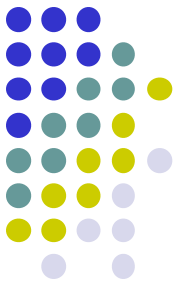
# Brute Force Algorithm



- Simple algorithm enumerating all the possible  $2^n$  subsets of the  $n$  elements
- It chooses the best combination (best profit when satisfying the weight constraint)
- The Dynamic-Programming algorithm usually performs much better



# Designing the algorithm ...



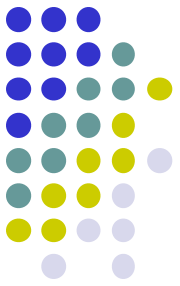
Def.  $OPT(i, w)$  = max profit subset of objects  $1, \dots, i$  with weight limit  $w$

FACT:  $OPT(n, b)$  = optimal solution initial problem

The following alternatives can occur for  $OPT$ :

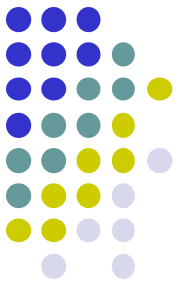
- Case 1.  $OPT$  does not select object  $i$ 
  - $OPT$  selects best of  $\{ 1, 2, \dots, i - 1 \}$  using weight limit  $w$
- Case 2.  $OPT$  selects object  $i$ 
  - $OPT$  selects best of  $\{ 1, 2, \dots, i - 1 \}$  using weight limit  $w - w_i$

# Designing the algorithm ...



- Let us assume  $\text{OPT}(k,w)$  to be the optimal solution for the items  $\{o_1, \dots, o_k\}$
- REMARK: The optimal solution  $\text{OPT}(k+1,w)$  could not correspond to  $\text{OPT}(k,w)$
- Also because  $\text{OPT}(k+1,w)$  could not be a superset of  $\text{OPT}(k,w)$

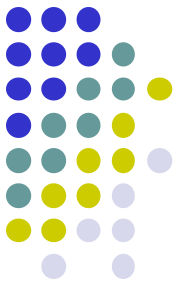
# Example



Item	Weight	Value
$I_0$	3	10
$I_1$	8	4
$I_2$	9	9
$I_3$	8	11

- The maximum weight of the knapsack is 20
- The best solution for  $\{I_0, I_1, I_2\}$  is  $\{I_0, I_1, I_2\}$
- But the best solution for  $\{I_0, I_1, I_2, I_3\}$  is  $\{I_0, I_2, I_3\}$
- In this example, the optimal solution exploits the partial solution  $\{I_0, I_2\}$ , which is the optimal solution of  $\{I_0, I_1, I_2\}$ , when the weight of the knapsack is 12





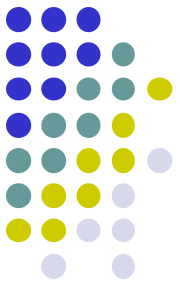
We can thus provide the following recursive definition for  $OPT$ :

- $OPT(i, w) =$ 
  - Empty set if  $i=0$
  - $OPT(i-1, w)$  if  $w_i > w$
  - Best choice between  $OPT(i-1, w)$  and  $OPT(i-1, w-w_i) \cup \{o_i\}$  otherwise

In terms of measure  $m(i, w)$  of the optimal solution  $OPT(i, w)$

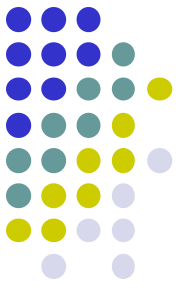
- $m(i, w) =$ 
  - 0 if  $i=0$
  - $m(i-1, w)$  if  $w_i > w$
  - $\text{Max} \{ m(i-1, w), m(i-1, w-w_i) + p_i \}$  otherwise

Clearly,  $m^*=m(n, b)$



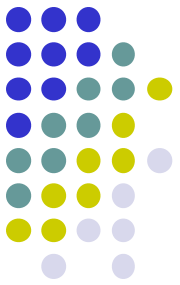
As a result, this means that the **best subset** of  **$k$  objects** with weight constraint  **$w$**  is (mutual exclusion):

- The **best subset** of  **$(k-1)$  objects** with total weight  $w$
- The best subset of  **$(k-1)$  objects** with total weight  **$w-w_k$** , plus the contribution (i.e., the **weight**) of the  **$k$ -th** object



Thus, as regards the following recursive formula

- $OPT(i, w) =$ 
  - Empty set if  $i=0$
  - $OPT(i-1, w)$  if  $w_i > w$
  - Best choice between  $OPT(i-1, w)$  and  $OPT(i-1, w-w_i) \cup \{o_i\}$  otherwise
- the k-th object cannot be part of the solution (since just its weight is so big that the object itself does not fit in the knapsack)
- otherwise, we choose the best solution between
  - the solution including the new object
  - the best solution which does not include the new object



## Algorithm Progr-Dyn-Knapsack

*Begin*

*For*  $w=0$  *to*  $b$  *do*

$M[0,w]=0$

*For*  $i=1$  *to*  $n$  *do*

*For*  $w=0$  *to*  $b$  *do*

*if* ( $w_i > w$ )  $M[i,w] = M[i-1,w]$

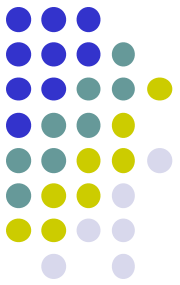
*else*  $M[i,w] = \max \{M[i-1,w], M[i-1,w-w_i] + p_i\}$

*Return*  $M[n,b]$

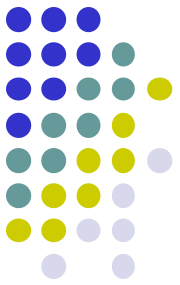
*End*

**Exercise:** modify the pseudo-code in order to return the optimal subset of objects, and not just its measure (in the next slides)

## *Knapsack 0-1: example*



- Let us consider the **following instance** of the problem:
- $n = 4$  (# of objects)
- $b = W = 5$  (maximum weight)
- Objects (weight, profit)
- $(2,3), (3,4), (4,5), (5,6)$
- [The object 1 weighs 2 and has profit 3]



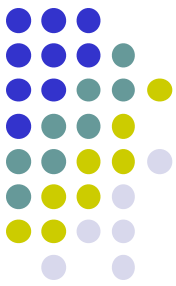
## Knapsack 0-1: example

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0					
2	0					
3	0					
4	0					

## Initialization (base case scenario):

```
for w = 0 to W
    B[0,w] = 0
for i = 1 to n
    B[i,0] = 0
```

- In the next slides, the blue color shows the instruction currently being executed
- The blue arrow in the table shows the cell of the matrix which is exploited to compute the new value



## Example

### Knapsack 0-: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0				
2	0					
3	0					
4	0					

$i = 1$   
 $v_i = 3$   
 $w_i = 2$   
 $w = 1$   
 $w - w_i = -1$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // oggetto  $i$  può essere inserito nella soluzione

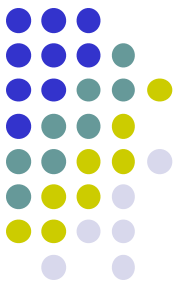
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$  // massimo: da stessa colonna



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3			
2	0					
3	0					
4	0					

$i = 1$

$v_i = 3$

$w_i = 2$

$w = 2$

$w - w_i = 0$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // oggetto  $i$  può essere inserito nella soluzione

if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

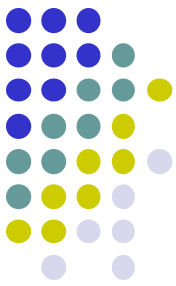
$B[i, w] = v_i + B[i-1, w - w_i]$  // massimo: da  $B[0, 0]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$





## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3		
2	0					
3	0					
4	0					

$i = 1$   
 $v_i = 3$   
 $w_i = 2$   
 $w = 3$   
 $w - w_i = 1$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // oggetto  $i$  può essere inserito nella soluzione

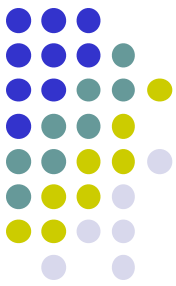
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$  // massimo: da  $B[0,1]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	
2	0					
3	0					
4	0					

$i = 1$

$v_i = 3$

$w_i = 2$

$w = 4$

$w - w_i = 2$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // oggetto  $i$  può essere inserito nella soluzione

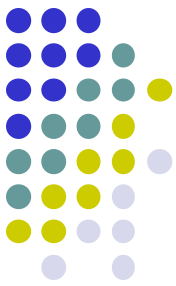
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$  // massimo: da  $B[0, 2]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0					
3	0					
4	0					

$i = 1$   
 $v_i = 3$   
 $w_i = 2$   
 $w = 5$   
 $w - w_i = 3$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // oggetto  $i$  può essere inserito nella soluzione

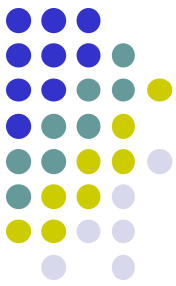
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$  // massimo: da  $B[0, 3]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0				
3	0					
4	0					

$$i = 2$$

$$v_i = 4$$

$$w_i = 3$$

$$w = 1$$

$$w - w_i = -2$$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  //item i can be in the solution

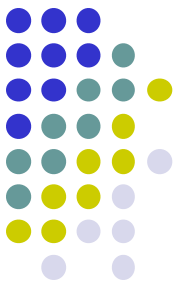
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w - w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3			
3	0					
4	0					

$i = 2$

$v_i = 4$

$w_i = 3$

$w = 2$

$w - w_i = -1$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // oggetto  $i$  può essere inserito nella soluzione

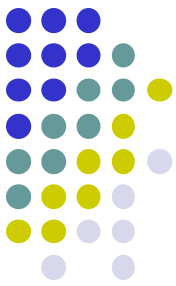
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4		
3	0					
4	0					

$i = 2$   
 $v_i = 4$   
 $w_i = 3$   
 $w = 3$   
 $w - w_i = 0$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  //item i can be in the solution

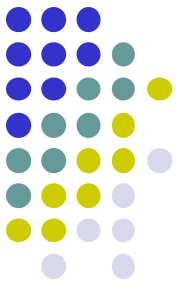
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	
3	0					
4	0					

$i = 2$

$v_i = 4$

$w_i = 3$

$w = 4$

$w - w_i = 1$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  //oggetto i può essere inserito nella soluzione

if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

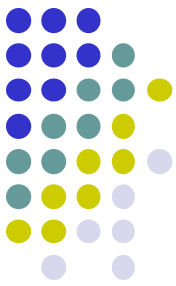
$B[i, w] = v_i + B[i-1, w - w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$





## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0					
4	0					

$i = 2$

$v_i = 4$

$w_i = 3$

$w = 5$

$w - w_i = 2$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // oggetto  $i$  può essere inserito nella soluzione

if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

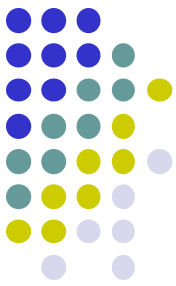
$B[i, w] = v_i + B[i-1, w-w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$





## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4		
4	0					

$i = 3$

$v_i = 5$

$w_i = 4$

$w = 1..3$

$w - w_i = -3..-1$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  // oggetto  $i$  può essere inserito nella soluzione

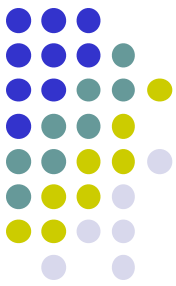
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	
4	0					

$i = 3$   
 $v_i = 5$   
 $w_i = 4$   
 $w = 4$   
 $w - w_i = 0$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  //item i can be in the solution

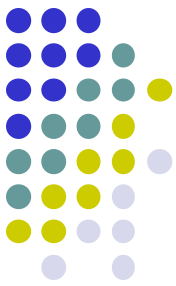
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0					

$i = 3$   
 $v_i = 5$   
 $w_i = 4$   
 $w = 5$   
 $w - w_i = 1$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  //item i can be in the solution

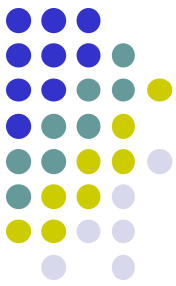
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i = 4$

$v_i = 6$

$w_i = 5$

$w = 1..4$

$w - w_i = -4..-1$

if  $w_i \leq w$  //item i can be in the solution

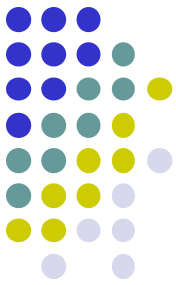
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i = 4$   
 $v_i = 6$   
 $w_i = 5$   
 $w = 5$   
 $w - w_i = 0$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if  $w_i \leq w$  //item i can be in the so

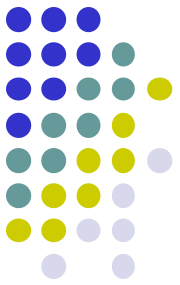
if  $v_i + B[i-1, w-w_i] > B[i-1, w]$

$B[i, w] = v_i + B[i-1, w-w_i]$

else

$B[i, w] = B[i-1, w]$

else  $B[i, w] = B[i-1, w]$  //  $w_i > w$



## Example

### Knapsack 0-1: esempio

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

elementi:

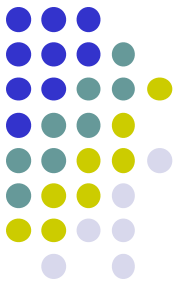
1: (2,3)

2: (3,4)

3: (4,5)

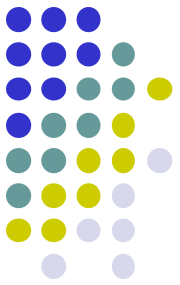
4: (5,6)

**DONE!** The maximum profit possible that can be inserted into the knapsack is **7**



## KNAPSACK 0-1 Algorithm

- The algorithm finds the maximum value which can be inserted into the knapsack
- This value is memorized in  $B[n,W]$  at the end
- In order to discover the objects which have been inserted in the optimal solution, we need to come back to the table
  - We need to store somehow each added object

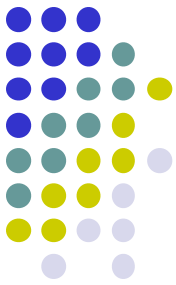


## KNAPSACK 0-1 Algorithm

### Knapsack 0-1 Algoritmo: trovare gli elementi nella soluzione ottima

- Sia  $i = n$  e  $k = W$   
if  $B[i, k] \neq B[i-1, k]$  then  
    marca oggetto  $i$  “dentro lo zaino”  
     $i = i-1, k = k-w_i$   
else  
     $i = i-1$  // Assumi che oggetto  $i^{\text{th}}$  non sia nello zaino  
    // Potrebbe essere inserito nello zaino  
    // in una soluzione ottima?





## KNAPSACK 0-1 Algorithm

### Knapsack 0-1: costruire la soluzione

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

elementi:

1: (2,3)  
2: (3,4)  
3: (4,5)  
4: (5,6)

Knapsack:

$i = 4$

$k = 5$

$v_i = 6$

$w_i = 5$

$B[i, k] = 7$

$B[i-1, k] = 7$

$i = n, k = W$

while  $i, k > 0$

if  $B[i, k] \neq B[i-1, k]$  then

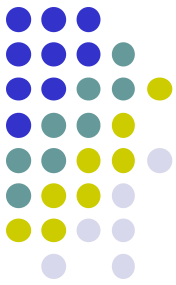
*marca elemento  $i$  nello zaino*

$i = i-1, k = k-w_i$

else

$i = i-1$

Oggetto 4  
NON è nello  
zaino



## KNAPSACK 0-1 Algorithm

### Knapsack 0-1: costruire la soluzione

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

elementi:

1: (2,3)  
2: (3,4)  
3: (4,5)  
4: (5,6)

Knapsack:

$i = 3$

$k = 5$

$v_i = 5$

$w_i = 4$

$B[i, k] = 7$

$B[i-1, k] = 7$

$i = n, k = W$

while  $i, k > 0$

if  $B[i, k] \neq B[i-1, k]$  then

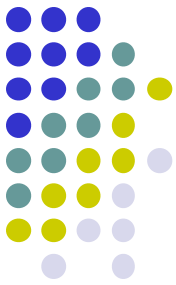
mark the  $i^{\text{th}}$  item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

Oggetto 3  
NON è nello  
zaino



## KNAPSACK 0-1 Algorithm

### Knapsack 0-1: costruire la soluzione

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i = n, k = W$

while  $i, k > 0$

if  $B[i, k] \neq B[i-1, k]$  then

*marca elemento i nello zaino*

$i = i-1, k = k-w_i$

else

$i = i-1$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Knapsack:

**Item 2**

$i = 2$

$k = 5$

$v_i = 4$

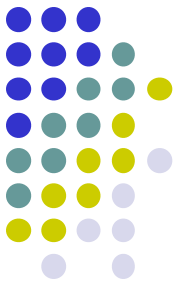
$w_i = 3$

$B[i, k] = 7$

$B[i-1, k] = 3$

$k - w_i = 2$

Oggetto 2 È  
nello zaino



## KNAPSACK 0-1 Algorithm

Knapsack 0-1: costruire la soluzione

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i = n, k = W$

while  $i, k > 0$

if  $B[i, k] \neq B[i-1, k]$  then

*marca elemento i nello zaino*

$i = i-1, k = k-w_i$

else

$i = i-1$

elementi:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Knapsack:

**Item 2**

**Item 1**

$i = 1$

$k = 2$

$v_i = 3$

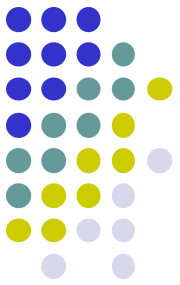
$w_i = 2$

$B[i, k] = 3$

$B[i-1, k] = 0$

$k - w_i = 0$

Oggetto 1  
È nello  
zaino



## KNAPSACK 0-1 Algorithm

Knapsack 0-1: costruire la soluzione

i / w	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

elementi:

- 1: (2,3)
- 2: (3,4)
- 3: (4,5)
- 4: (5,6)

Knapsack:

**Item 2**  
**Item 1**

$i = 1$

$k = 2$

$v_i = 3$

$w_i = 2$

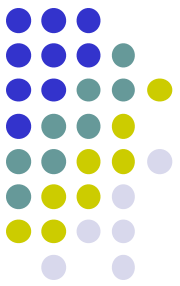
$B[i,k] = 3$

$B[i-1,k] = 0$

$k - w_i = 0$

DONE! ( $k=0$ )

The optimal solution contains items 1 and 2



**Remark:** besides modifying the pseudo-code, the optimal subset of objects can be easily derived from the table  $M$  of the optimal subsolution measures (typical in dyn. progr. algorithms)

$i$	$v$	$w$
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

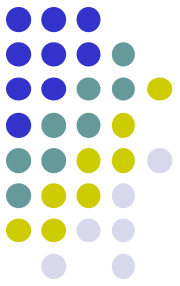
$$OPT(i, w) = \begin{cases} 0 & \text{if } i = 0 \\ OPT(i-1, w) & \text{if } w_i > w \\ \max\{OPT(i-1, w), v_i + OPT(i-1, w-w_i)\} & \text{otherwise} \end{cases}$$

		weight limit $w$											
		0	1	2	3	4	5	6	7	8	9	10	11
subset of items $1, \dots, i$	{ }	0	0	0	0	0	0	0	0	0	0	0	0
	{ 1 }	0	1	1	1	1	1	1	1	1	1	1	1
	{ 1, 2 }	0	1	6	7	7	7	7	7	7	7	7	7
	{ 1, 2, 3 }	0	1	6	7	7	18	19	24	25	25	25	25
	{ 1, 2, 3, 4 }	0	1	6	7	7	18	22	24	28	29	29	40
	{ 1, 2, 3, 4, 5 }	0	1	6	7	7	18	22	28	29	34	34	40

$OPT(i, w) = \text{max profit subset of items } 1, \dots, i \text{ with weight limit } w.$



# Time complexity



*Theorem.* The time complexity of *Progr-Dyn-Knapsack* is  $O(n \cdot b)$

*Proof.*

- The algorithm takes  $O(1)$  for each table entry
- There are  $O(n \cdot b)$  table entries
- After computing values, we can trace back to find the optimal solution: take item  $o_i$  in  $OPT(i, w)$  iff  $M[i-1, w] < M[i, w]$   $\square$

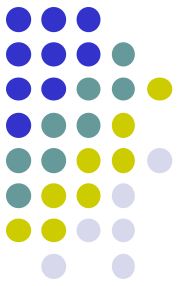
*Question:* is the algorithm polynomial?

*Hint:* consider the case  $b=2^n$

*Answer:* in order to be polynomial, the complexity should be polynomial in the logarithm of the values coded in the input instance, that is with respect to  $\log b!!!$

This complexity called is **pseudo-polynomial**, that is polynomial in the input size and values, but not only in the input size

# Dual approach ...



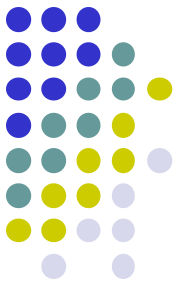
Def.  $OPT(i, p)$  = min weight subset of objects  $1, \dots, i$  with profit **at least**  $p$

**QUESTION**: which subproblem corresponds to the optimal solution?

The following alternatives can occur for  $OPT(i, p)$ :

- Case 1.  $OPT$  does not select object  $i$ 
  - $OPT$  selects best of  $\{ 1, 2, \dots, i - 1 \}$  using profit limit  $p$
- Case 2.  $OPT$  selects object  $i$ 
  - $OPT$  selects best of  $\{ 1, 2, \dots, i - 1 \}$  using profit limit  $p - p_i$



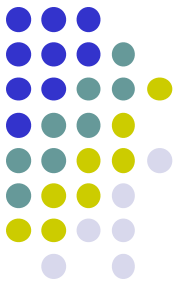


• We can thus provide the following recursive definition for  $OPT$ :

- $OPT(i,p) =$ 
  - $Undef$  if  $i=0$
  - Best choice between  $OPT(i-1,p)$  and  $\{o_i\}$  if  $p_i \geq p$
  - Best choice between  $OPT(i-1,p)$  and  $OPT(i-1,p-p_i) \cup \{o_i\}$  otherwise ( $Undef$  if both  $Undef$ )

In terms of weight  $v(i,p)$  of the optimal solution  $OPT(i,p)$

- $v(i,p) =$ 
  - $\infty$  if  $i=0$
  - $Min \{ v(i-1,p) , w_i \}$  if  $p_i \geq p$
  - $Min \{ v(i-1,p) , v(i-1,p-p_i) + w_i \}$  otherwise



## Algorithm Progr-Dyn-Knapsack-Dual

Begin

For  $p=1$  to  $P$  do

$V[0,p]=\infty$

For  $i=1$  to  $n$  do

For  $p=1$  to  $P$  do

if  $(p_i \geq p)$   $V[i,p] = \min \{V[i-1,p], w_i\}$

else  $V[i,p] = \min \{V[i-1,p], V[i-1,p-p_i] + w_i\}$

Return max  $p$  such that  $V[n,p] \leq b$

End

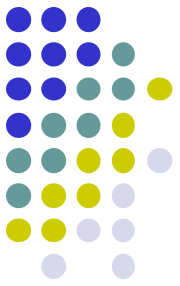
Problem: how should we choose  $P$ ?

Answer: large enough to include optimum, that is any upper bound to  $m^*$ ,  
i.e.  $P \geq m^*$ .

Choice:  $P = n p_{\max} \geq \quad \geq m^* \quad (p_{\max} = \max p_j)$

$$\sum_{i=1}^n p_i$$

# Time complexity



*Theorem.* The complexity of *Progr-Dyn-Knapsack-Dual* is  $O(n^2 p_{\max})$

*Proof.*

- The algorithm takes  $O(1)$  for each table entry
- There are  $O(n \cdot P) = O(n^2 p_{\max})$  table entries
- After computing values, we can trace back to find the optimal solution:  
take item  $o_i$  in  $OPT(i, p)$  iff  $V[i-1, p] > V[i, p]$   $\square$

*Exercise:* modify the pseudo-code in order to return the optimal subset of objects, and not just the measure