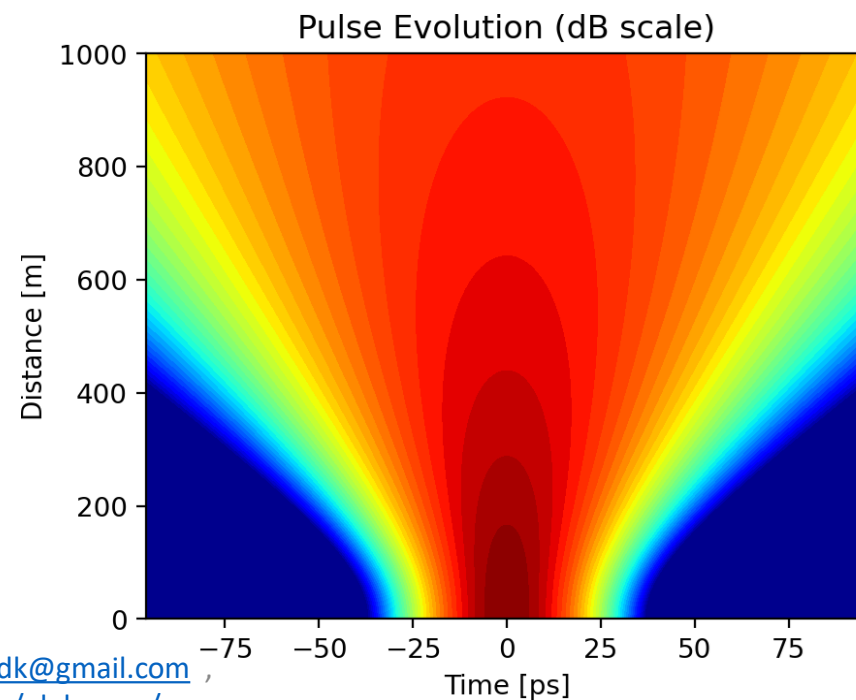
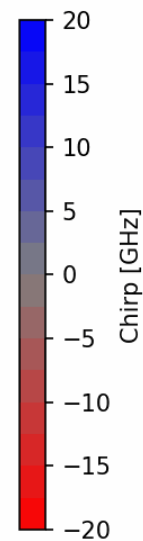
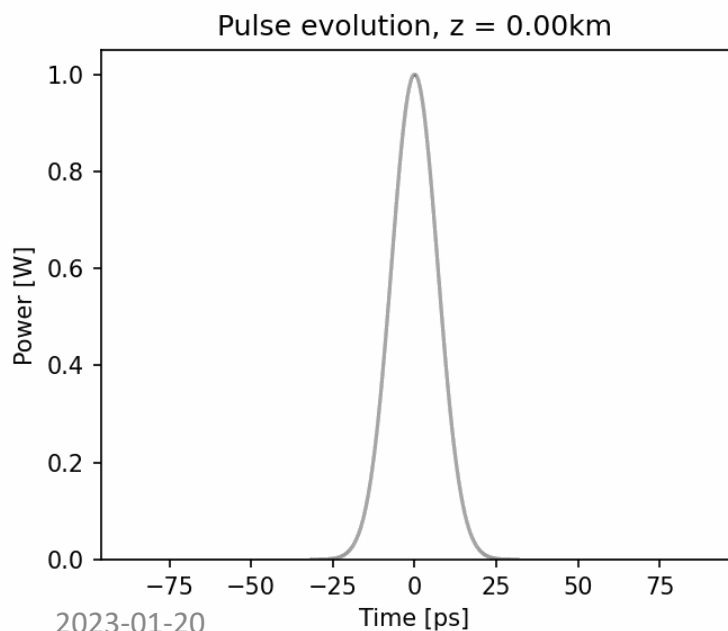


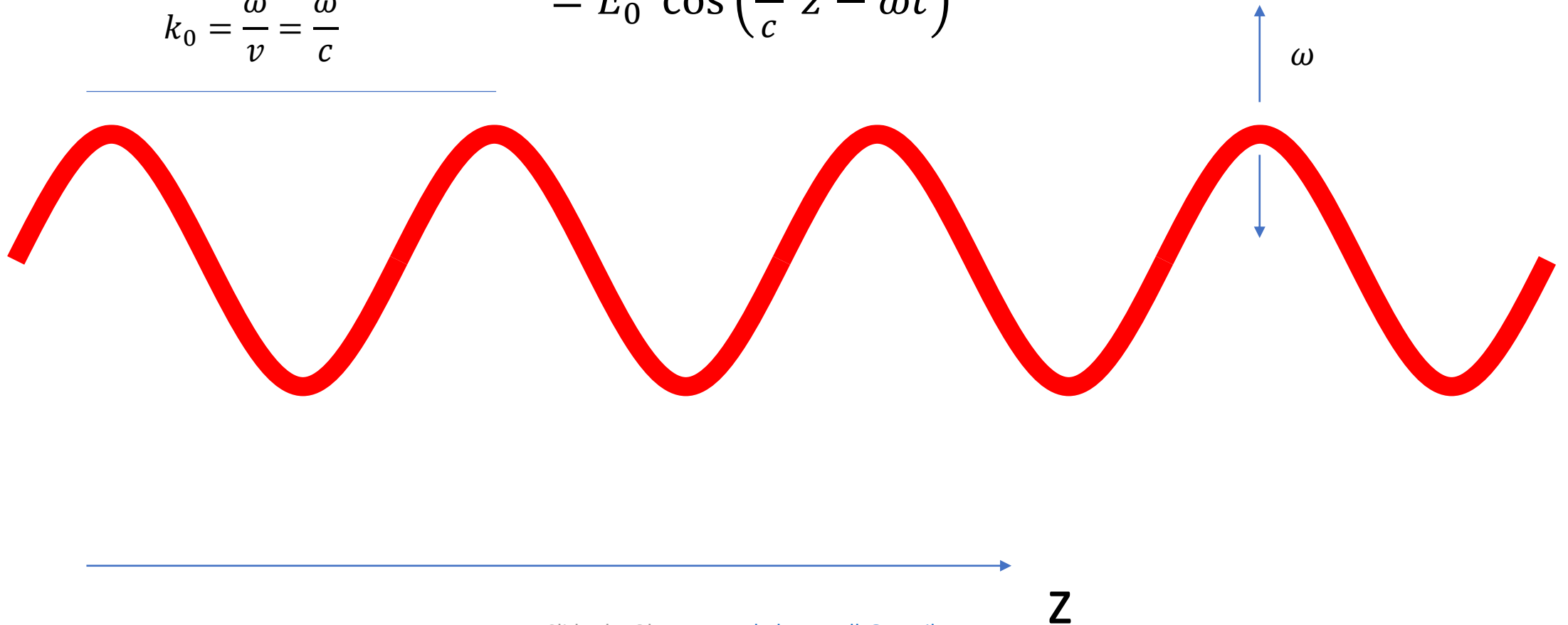
# Understanding dispersion



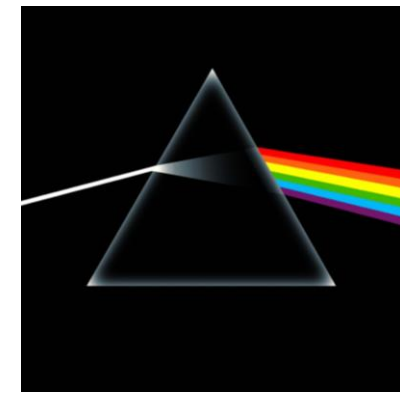
# EM wave in vacuum

$$\begin{aligned}\vec{E} &= \vec{E}_0 \cos(k_0 z - \omega t) \\ &= \vec{E}_0 \cos\left(\frac{\omega}{c} z - \omega t\right)\end{aligned}$$

$$k_0 = \frac{\omega}{v} = \frac{\omega}{c}$$



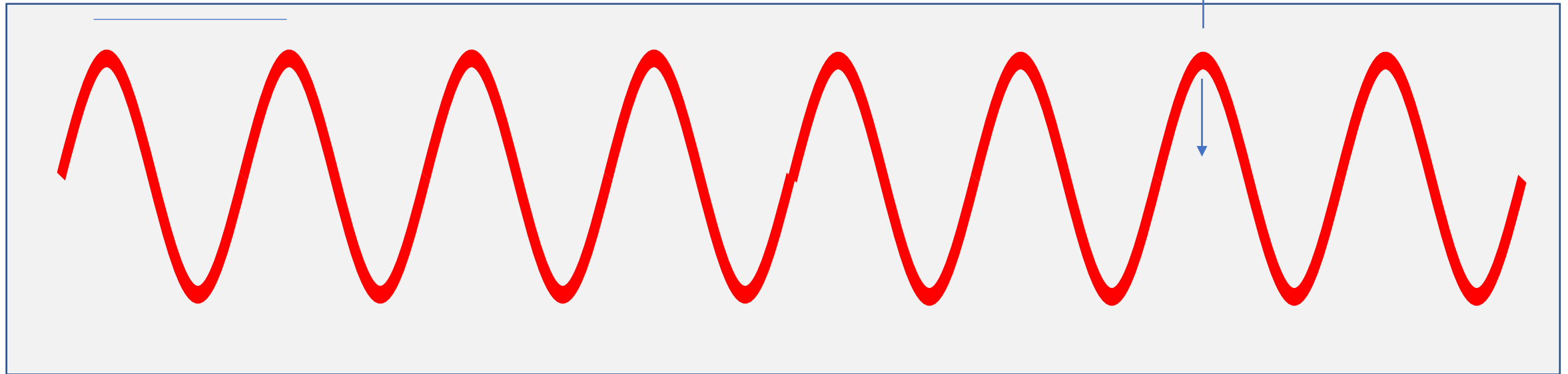
# EM wave in bulk material



P. Floyd (1973)

$$\vec{E} = \vec{E}_0 \cos(\beta z - \omega t)$$

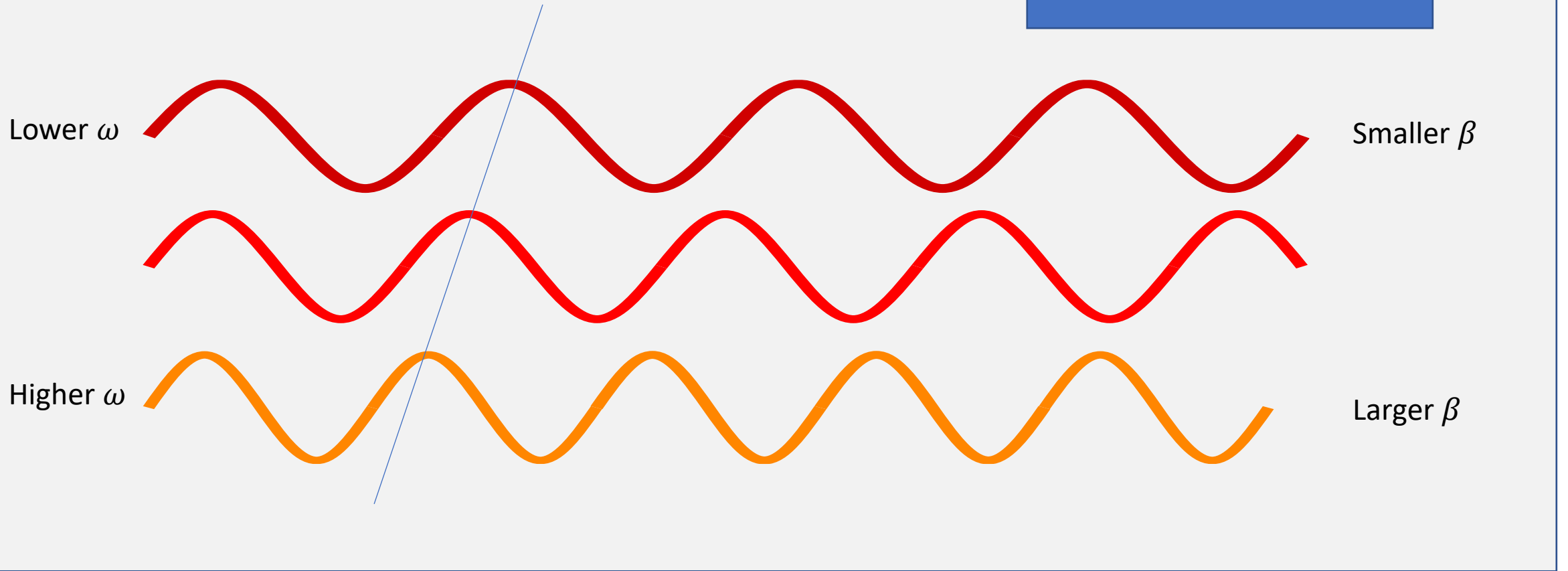
$$\beta = \frac{\omega}{v_p} = \frac{\omega}{c/n(\omega)} = \frac{\omega}{c} n(\omega)$$



**z**

# EM waves in bulk material

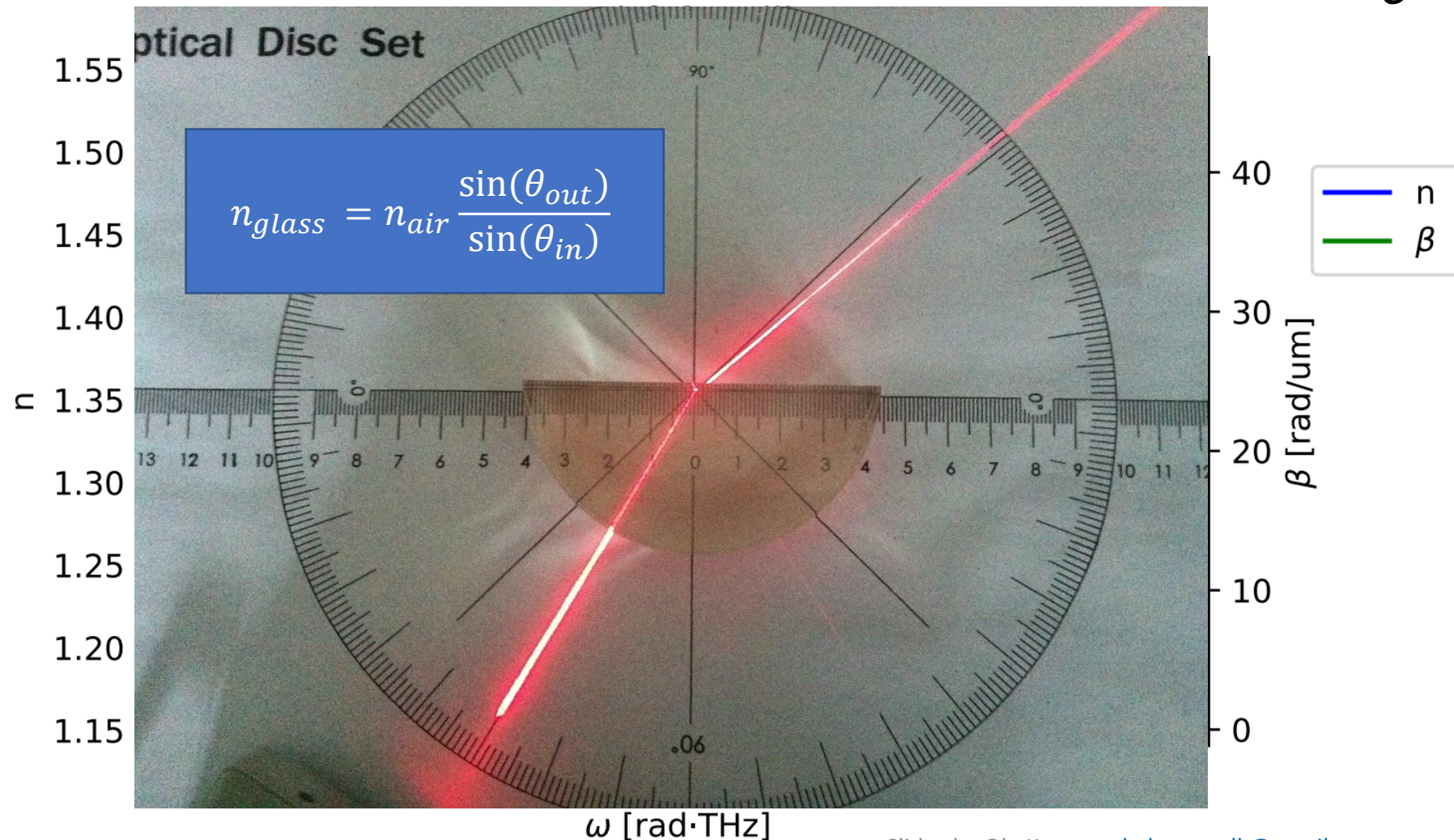
Normal dispersion!!!



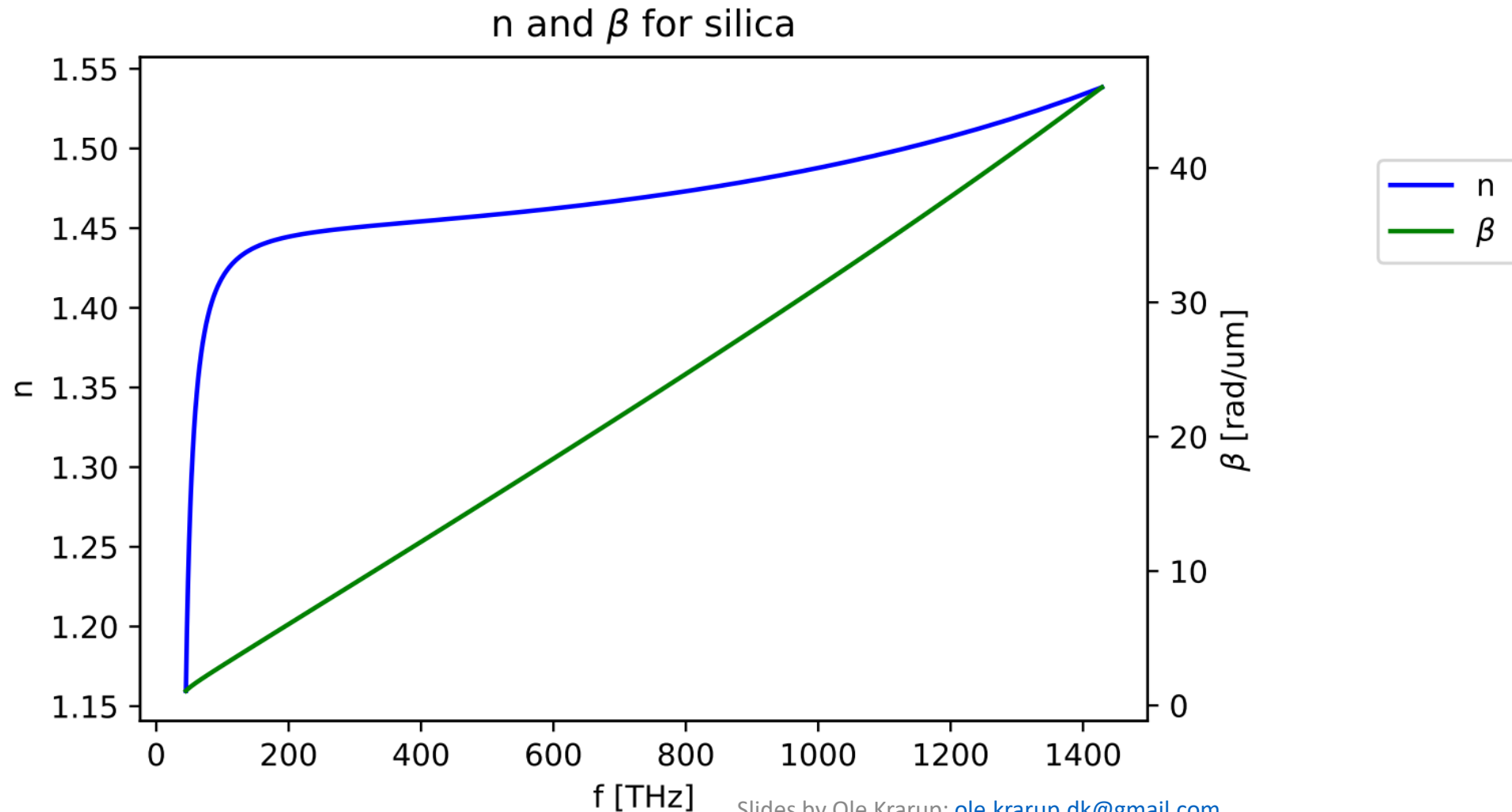
$z$

# Chart of $n(\omega)$ and $\beta(\omega)$

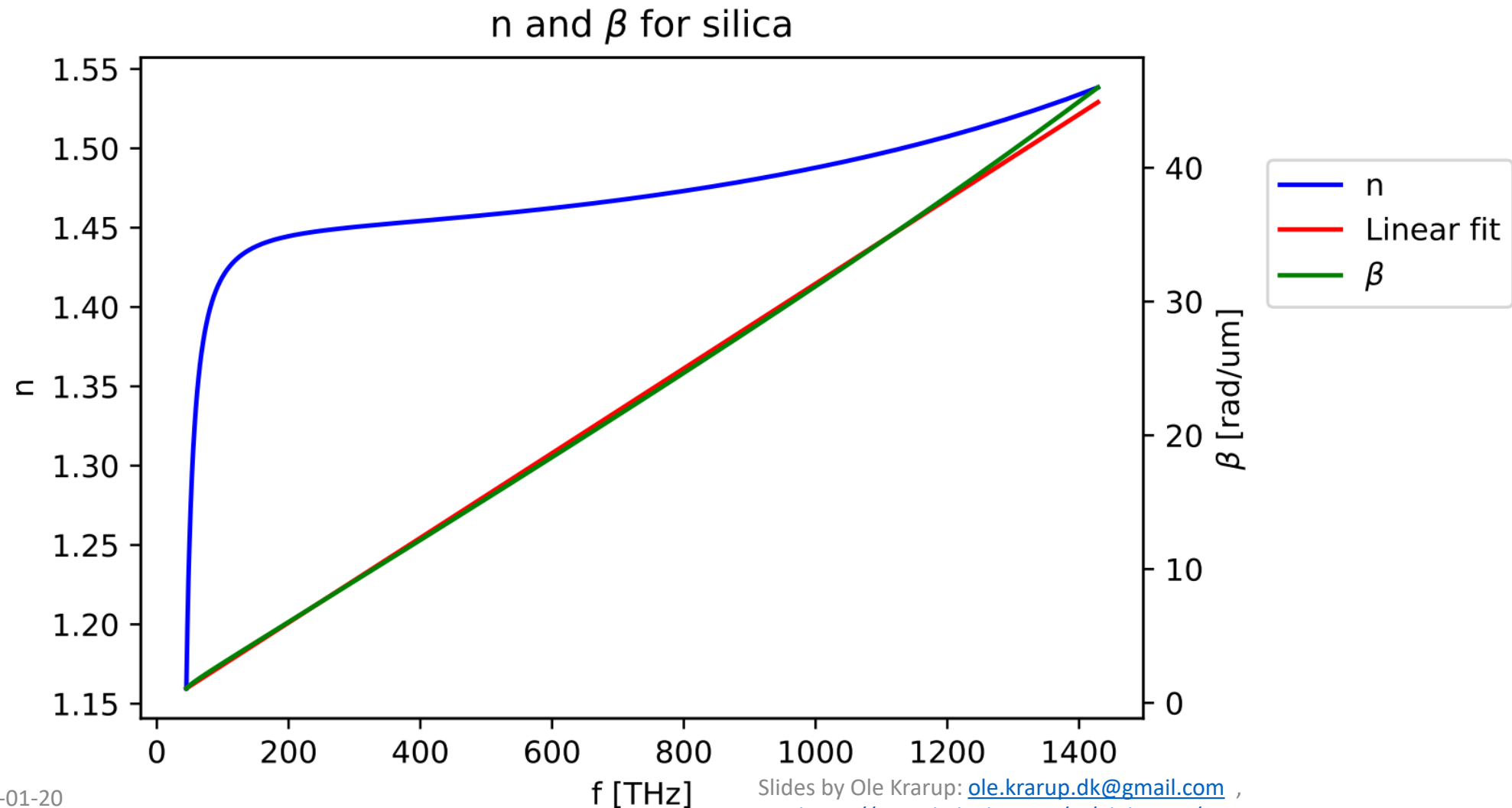
$$\beta = \frac{\omega}{c} n(\omega)$$



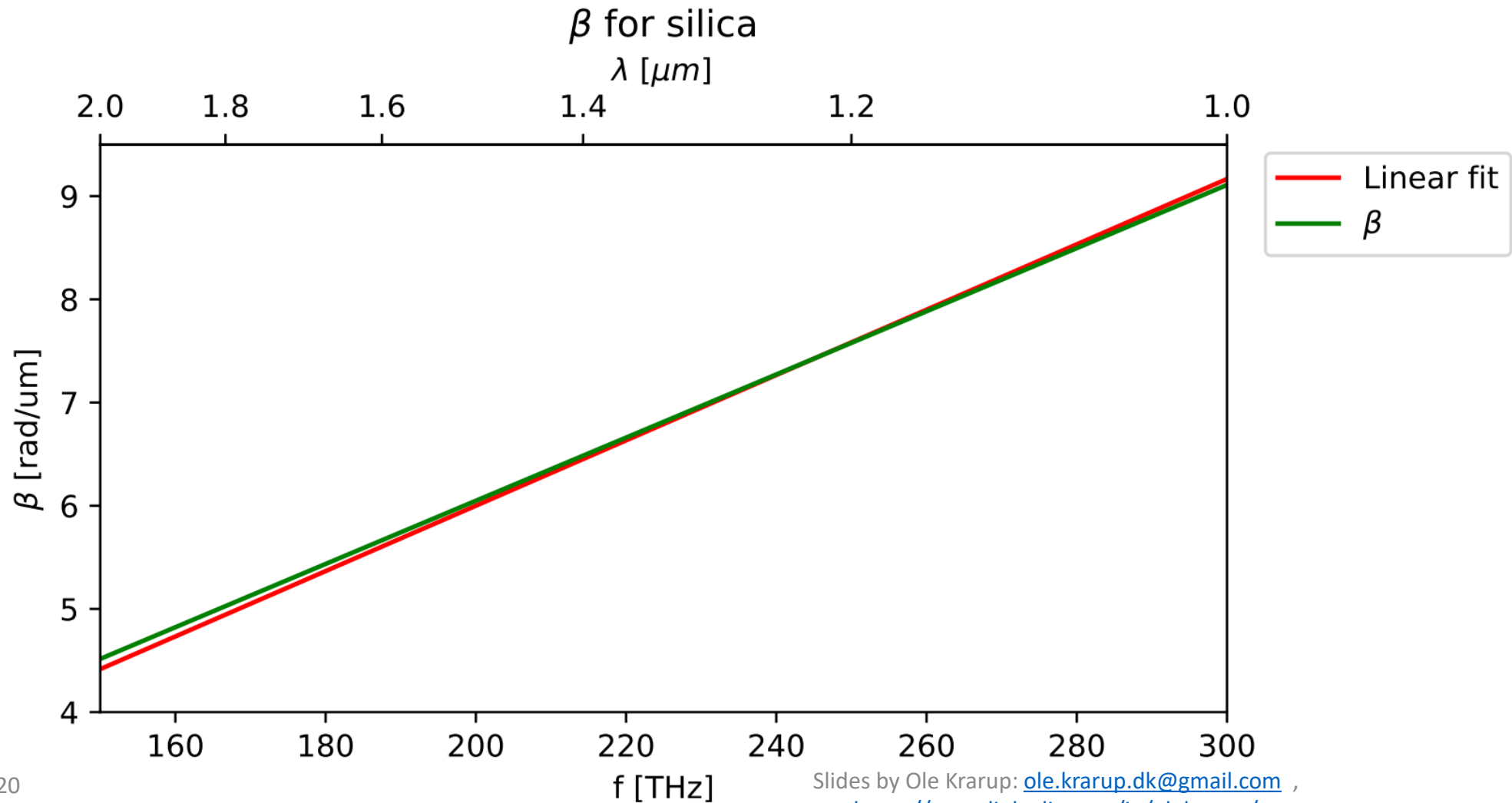
# Chart of $n(f)$ and $\beta(f)$



# Chart of $n(f)$ and $\beta(f)$



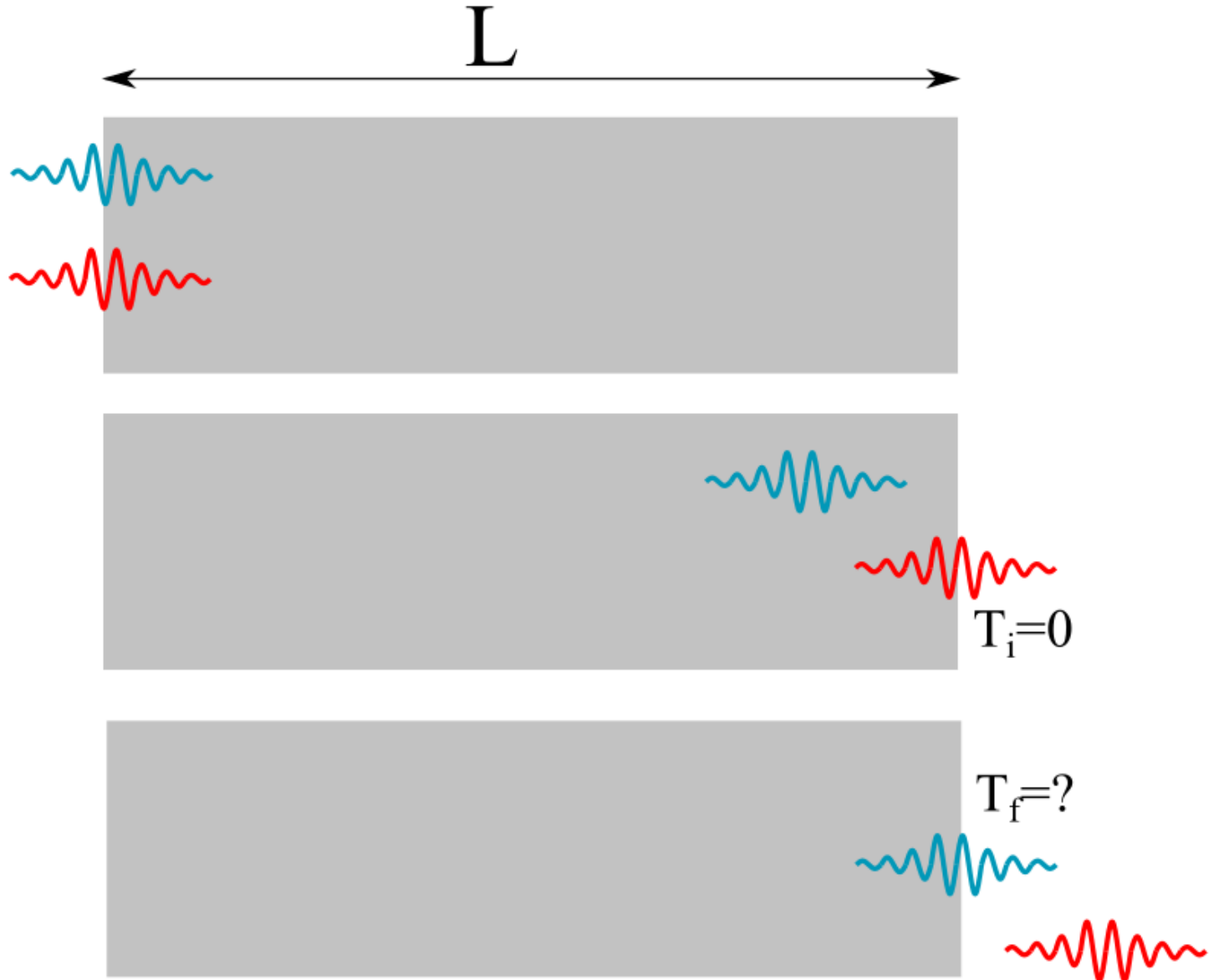
# Zoom on NIR range





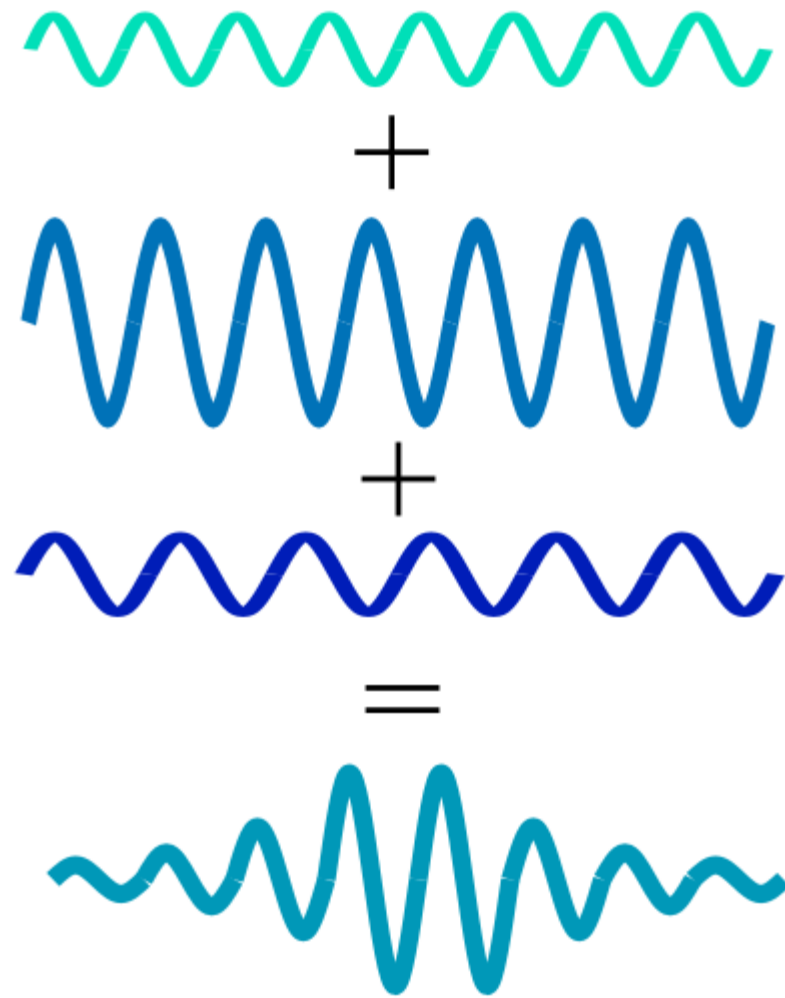
Difference in  
arrival time?

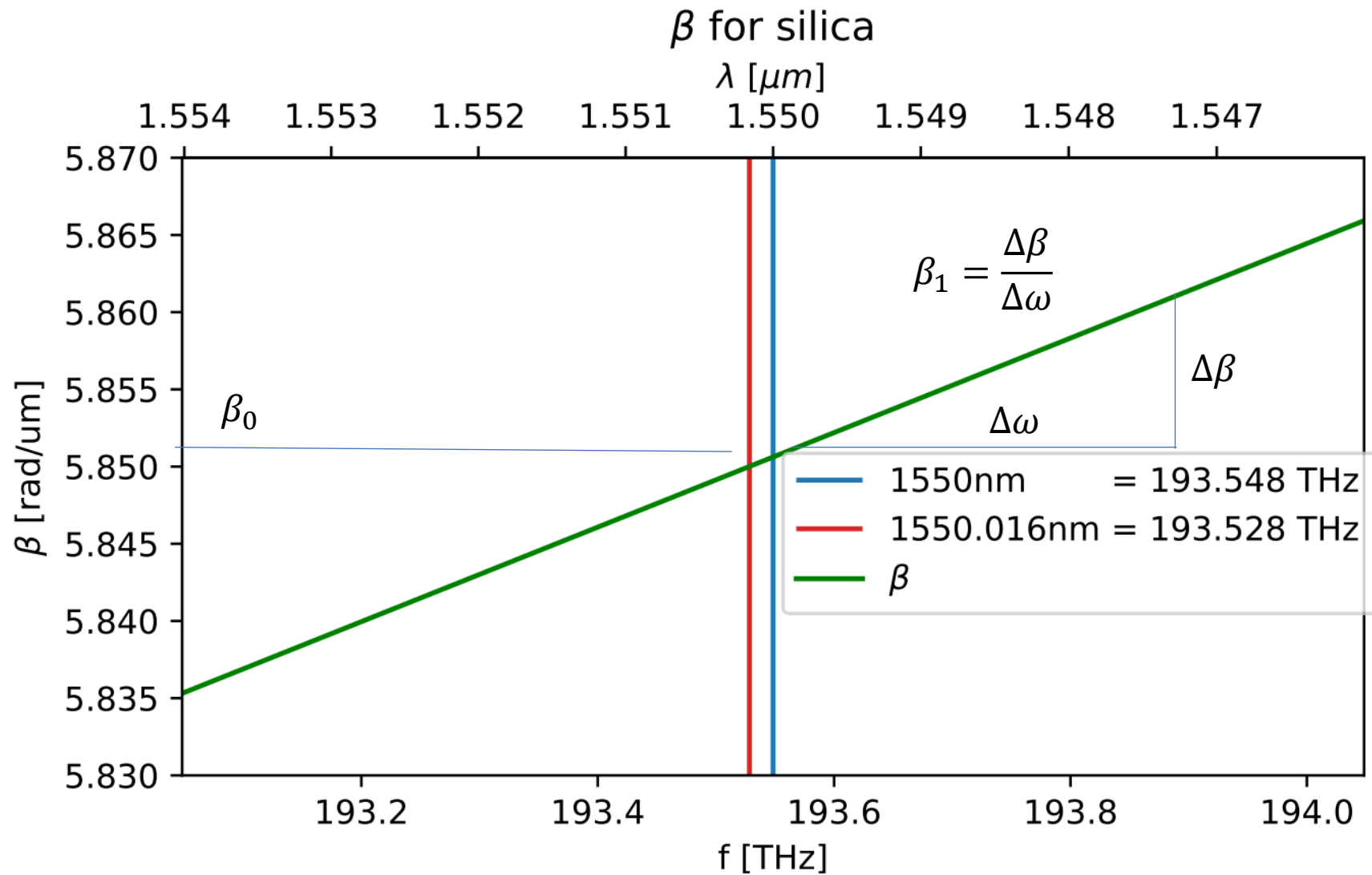
$$\Delta T = \frac{L}{v} - \frac{L}{v} = L \left( \frac{1}{v} - \frac{1}{v} \right)$$



# What is a “pulse”?

$$E(z, t) = \int_{-\infty}^{\infty} \hat{E}(\omega) e^{i(\beta(\omega)z - \omega t)} d\omega$$





$$\beta(\omega) = \beta_0 + \beta_1(\omega_0)(\omega - \omega_0) + \cdots (\text{higher order terms})$$

# Use approximation of $\beta(\omega)$

$$E(z, t) \approx \int_{-\infty}^{\infty} \hat{E}(\omega) e^{i([\beta_0(\omega_0) + \beta_1(\omega_0)(\omega - \omega_0)]z - \omega t)} d\omega$$

$$E(z, t) \approx e^{i\beta_0(\omega_0)z} \int_{-\infty}^{\infty} \hat{E}(\omega) e^{i(\beta_1(\omega_0)[\omega - \omega_0]z - \omega t)} d\omega$$

$$E(z, t) \approx e^{i\beta_0(\omega_0)z} e^{-i\omega_0 t} \int_{-\infty}^{\infty} \hat{E}(\omega) e^{i(\beta_1(\omega_0)[\omega - \omega_0]z - \omega t)} e^{+i\omega_0 t} d\omega$$

$$E(z, t) \approx e^{i(\beta_0(\omega_0)z - \omega_0 t)} \int_{-\infty}^{\infty} \hat{E}(\omega) e^{i(\beta_1(\omega_0)[\omega - \omega_0]z - (\omega - \omega_0)t)} d\omega$$

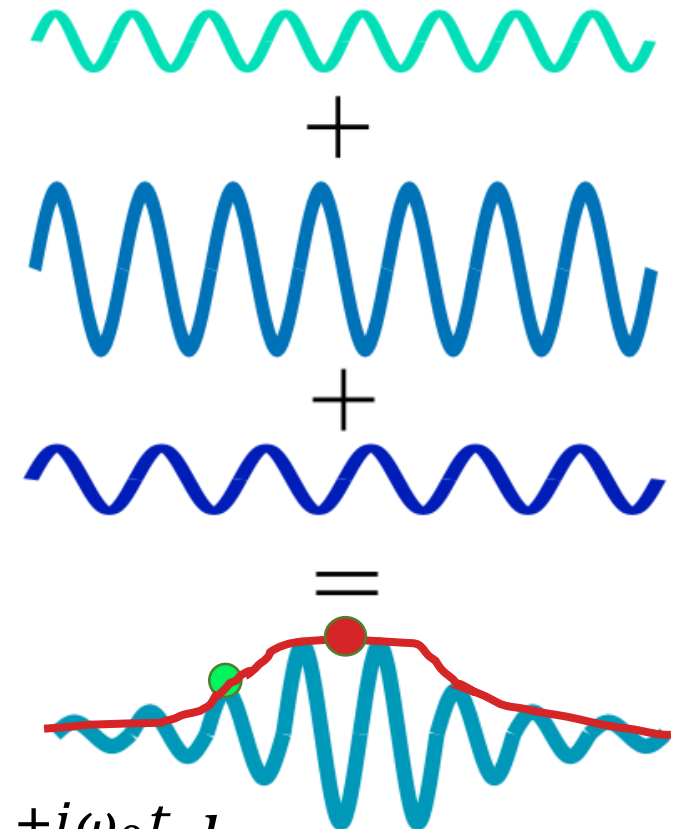
How does a “phase peak” move?

$$v_{\text{phase}} = \frac{\omega_0}{\beta_0}$$

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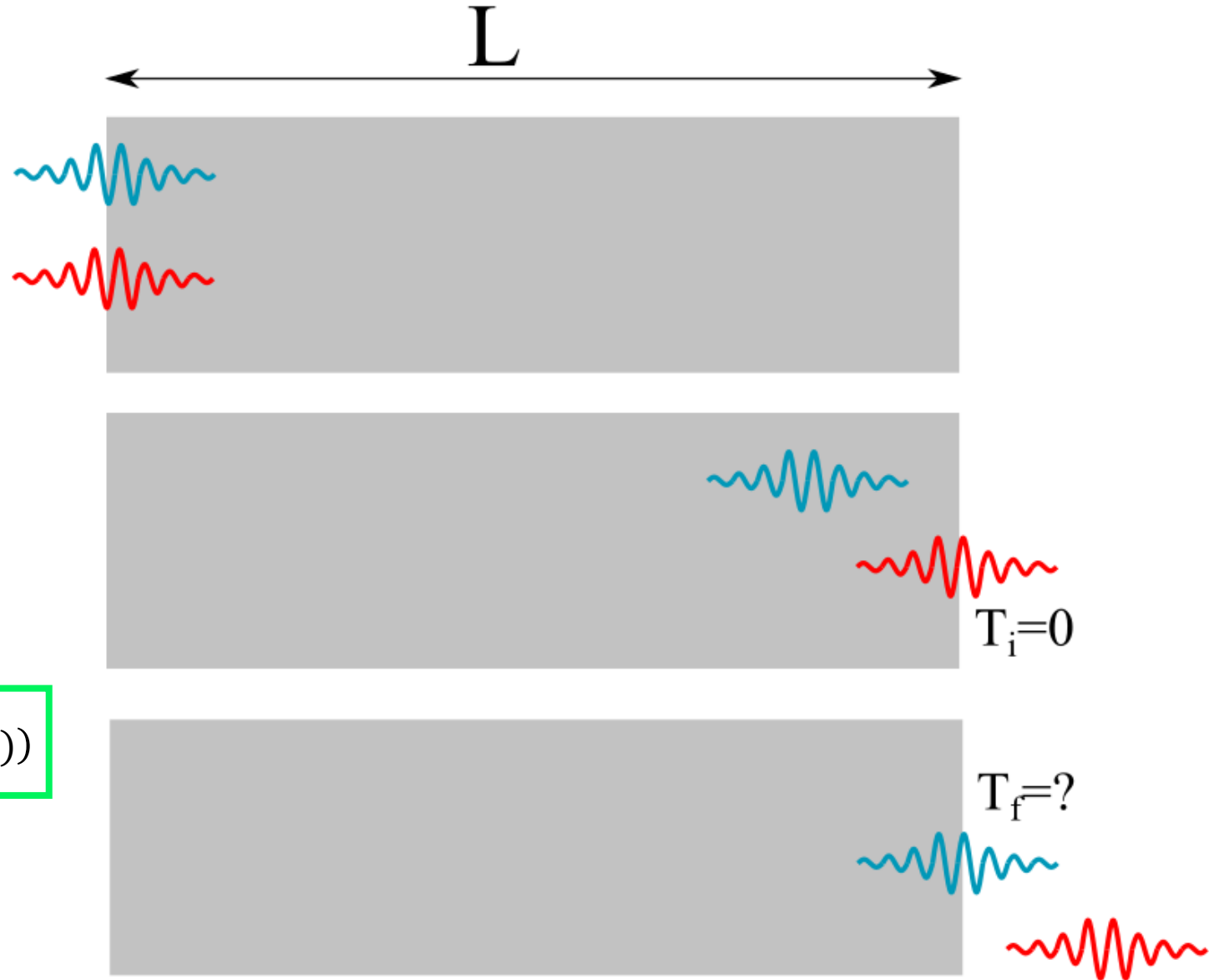
How does the “Envelope peak” move?

$$v_{\text{group}} = \frac{(\omega - \omega_0)}{\beta_1(\omega_0)[\omega - \omega_0]} = \frac{1}{\beta_1(\omega_0)}$$

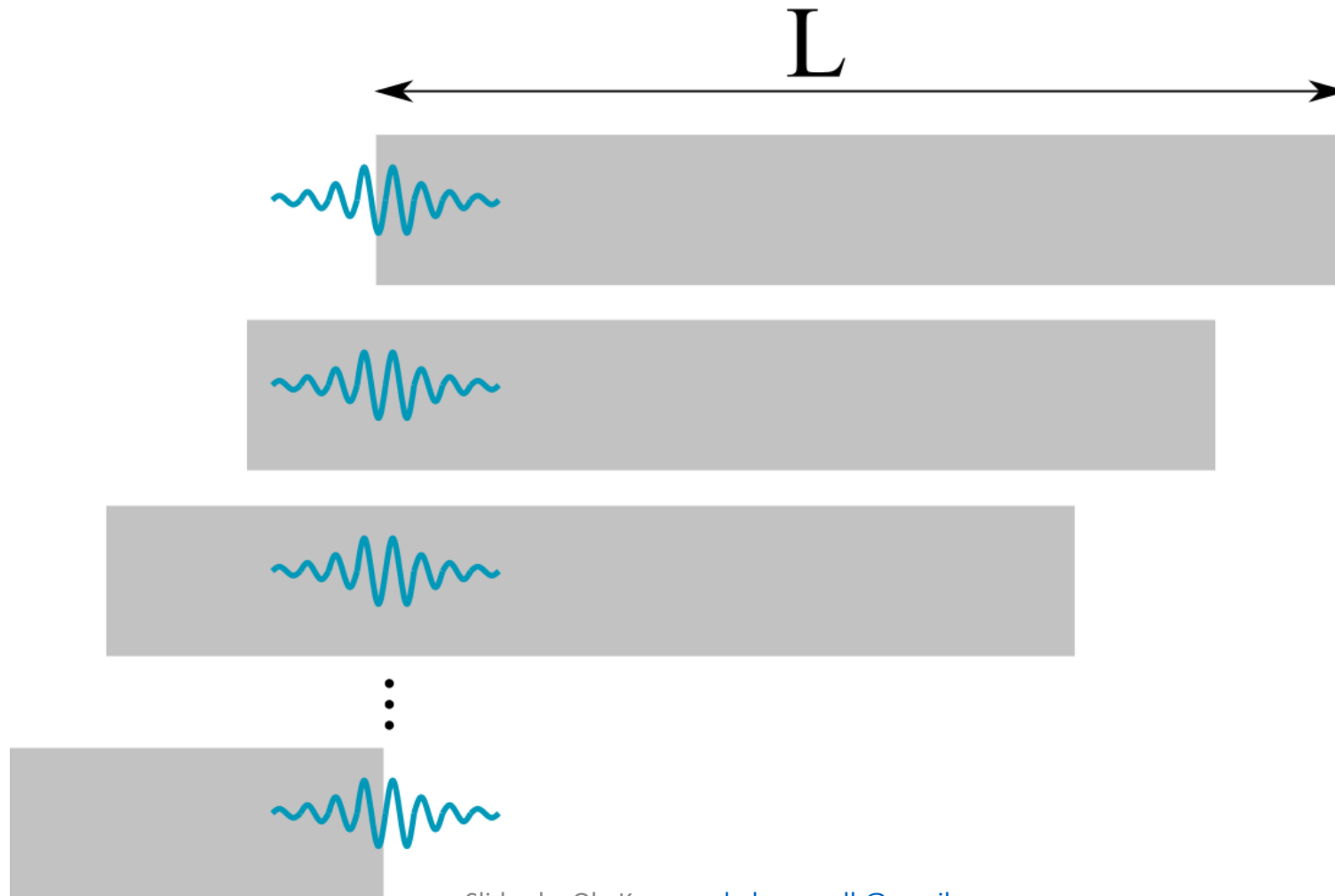


Difference in  
arrival time?

$$\Delta T = \frac{L}{v} - \frac{L}{v} = L(\beta_1(\omega) - \beta_1(\omega))$$



# What if we “follow” a pulse?



# What if we “follow” a pulse?

Note: From now on, it's implicit that the value of every coefficient in the Taylor expansion of  $\beta(\omega)$  depends on the chosen carrier frequency, i.e.  $\beta_1 = \beta_1(\omega_0)$ ,  $\beta_2 = \beta_2(\omega_0)$  etc.

$$A(z, t) = E(z, t) e^{-i(\beta_0 z - \omega_0 t)}$$

$$A(z, t) = e^{-i(\beta_0 z - \omega_0 t)} \int_{-\infty}^{\infty} \hat{A}(\omega - \omega_0) e^{i(\beta(\omega) z - \omega t)} d\omega$$

$$A(z, t) = e^{-i(\beta_0 z - \omega_0 t)} \int_{-\infty}^{\infty} \hat{A}(\omega - \omega_0) e^{i\left(\left[\beta_0 + \beta_1(\omega - \omega_0) + \frac{1}{2}\beta_2(\omega - \omega_0)^2 + \dots\right]z - \omega t\right)} d\omega$$

$$A(z, t) = e^{-i(\beta_0 z - \omega_0 t)} e^{-i\omega_0 t} \int_{-\infty}^{\infty} \hat{A}(\omega - \omega_0) e^{i\left(\left[\beta_0 + \beta_1(\omega - \omega_0) + \frac{1}{2}\beta_2(\omega - \omega_0)^2 + \dots\right]z - \omega t\right)} e^{+i\omega_0 t} d\omega$$

$$A(z, t) = \int_{-\infty}^{\infty} \hat{A}(\omega - \omega_0) e^{i\left(\left[\beta_1(\omega - \omega_0) + \frac{1}{2}\beta_2(\omega - \omega_0)^2 + \dots\right]z - (\omega - \omega_0)t\right)} d\omega$$

# Change time variable

$$T = t - \beta_1 z$$
$$t = T + \beta_1 z$$

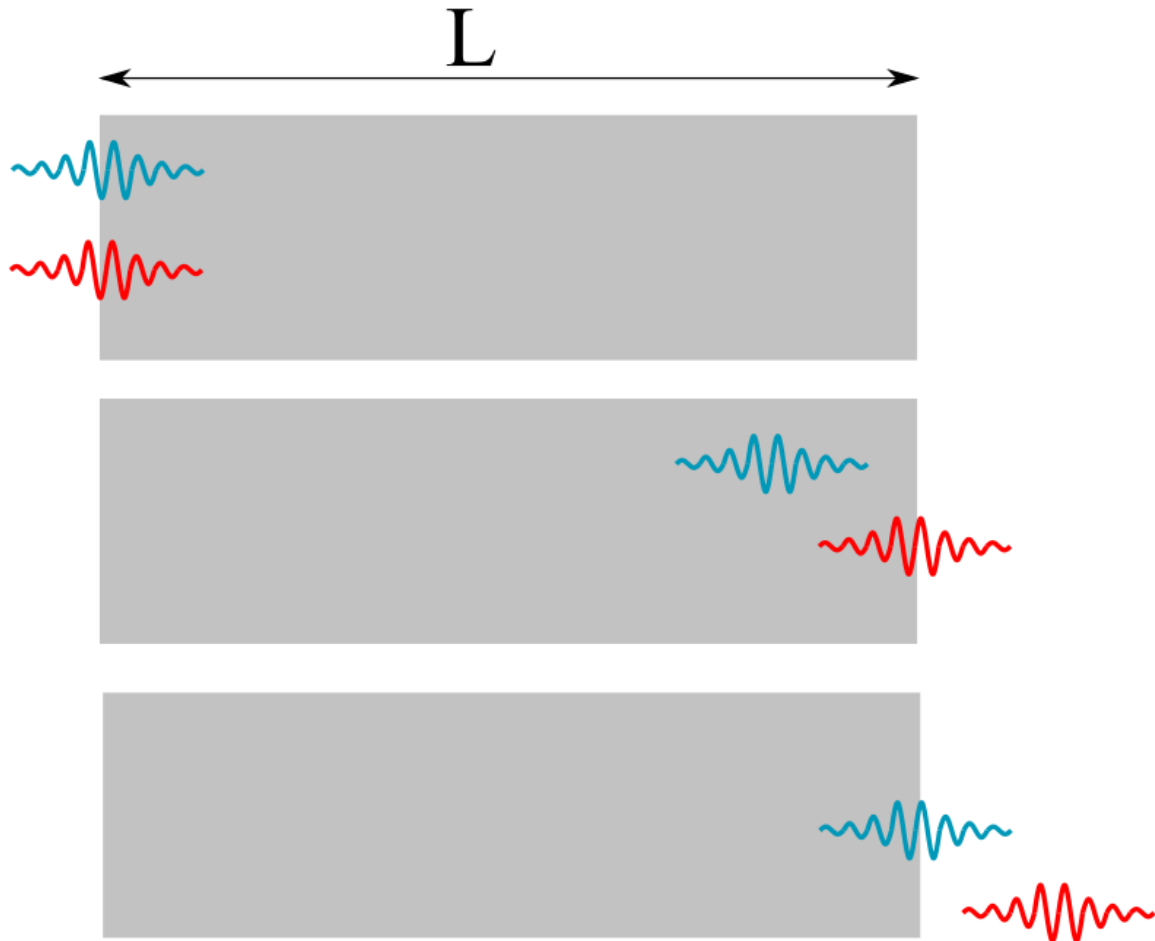
$$A(z, T) = \int_{-\infty}^{\infty} \hat{A}(\omega - \omega_0) e^{i\left(\left[\beta_1(\omega - \omega_0) + \frac{1}{2}\beta_2(\omega - \omega_0)^2 + \dots\right]z - (\omega - \omega_0)[T + \beta_1 z]\right)} d\omega$$

$$A(z, T) = \int_{-\infty}^{\infty} \hat{A}(\omega - \omega_0) e^{i\left(\left[\beta_1(\omega - \omega_0) + \frac{1}{2}\beta_2(\omega - \omega_0)^2 + \dots\right]z - (\omega - \omega_0)T - (\omega - \omega_0)\beta_1 z\right)} d\omega$$

$$A(z, T) = \int_{-\infty}^{\infty} \hat{A}(\omega - \omega_0) e^{i\left(\left[\frac{1}{2}\beta_2(\omega - \omega_0)^2 + \dots\right]z - (\omega - \omega_0)T\right)} d\omega$$

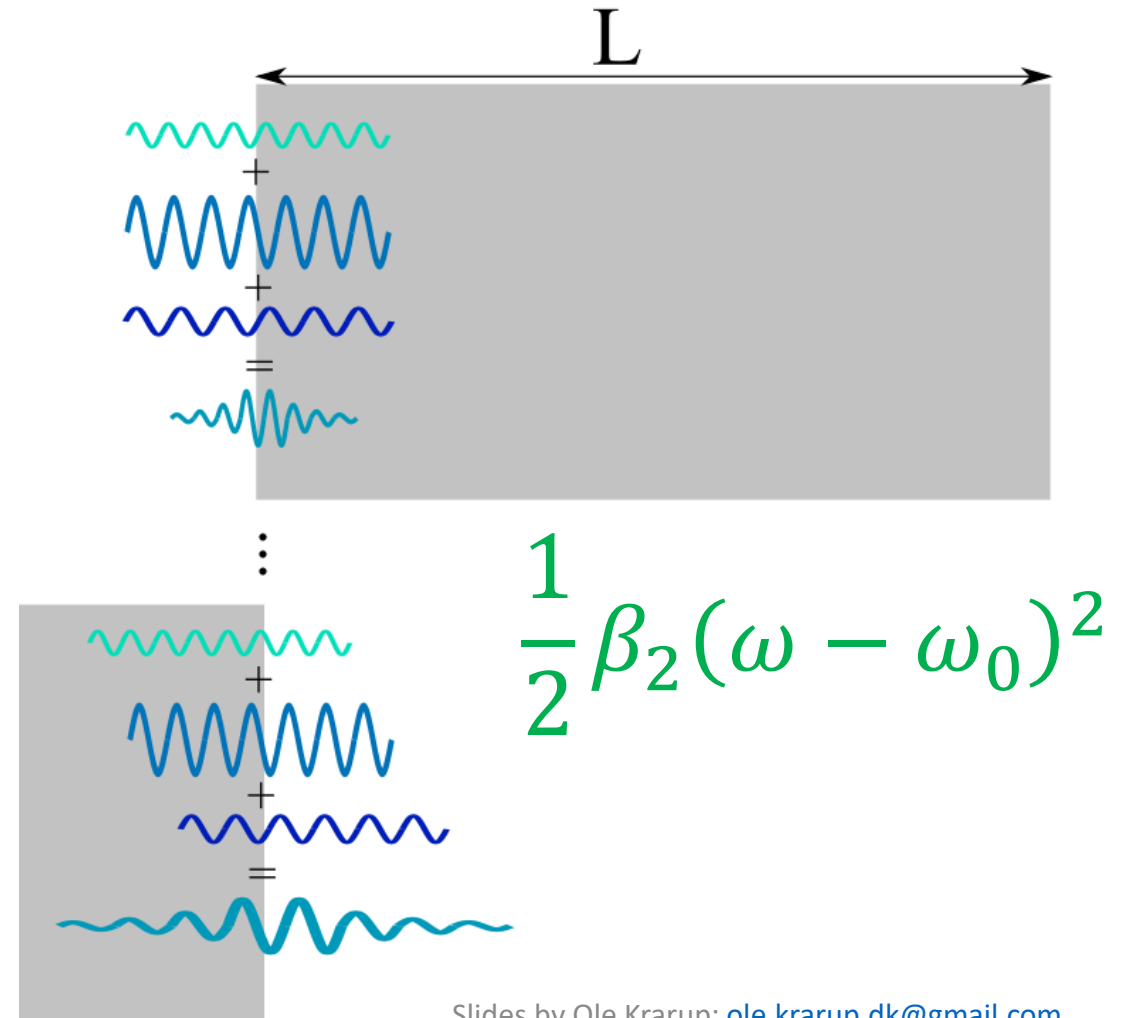


Before: Speed difference between two “frequency packets” centered at different carrier frequencies leads to difference in their arrival times.



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Now: Speed difference of different waves within the same “frequency packet” leads to time delay between them. Pulse “spreads out” in time domain!

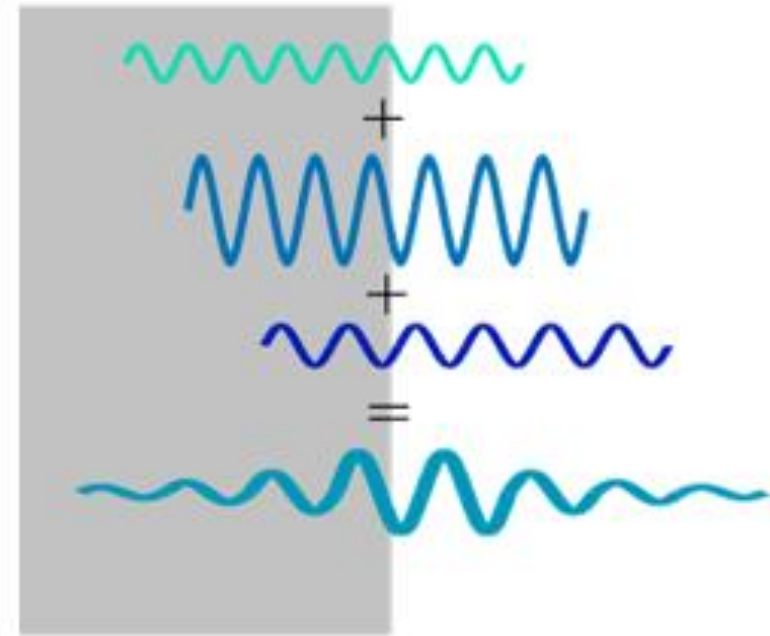
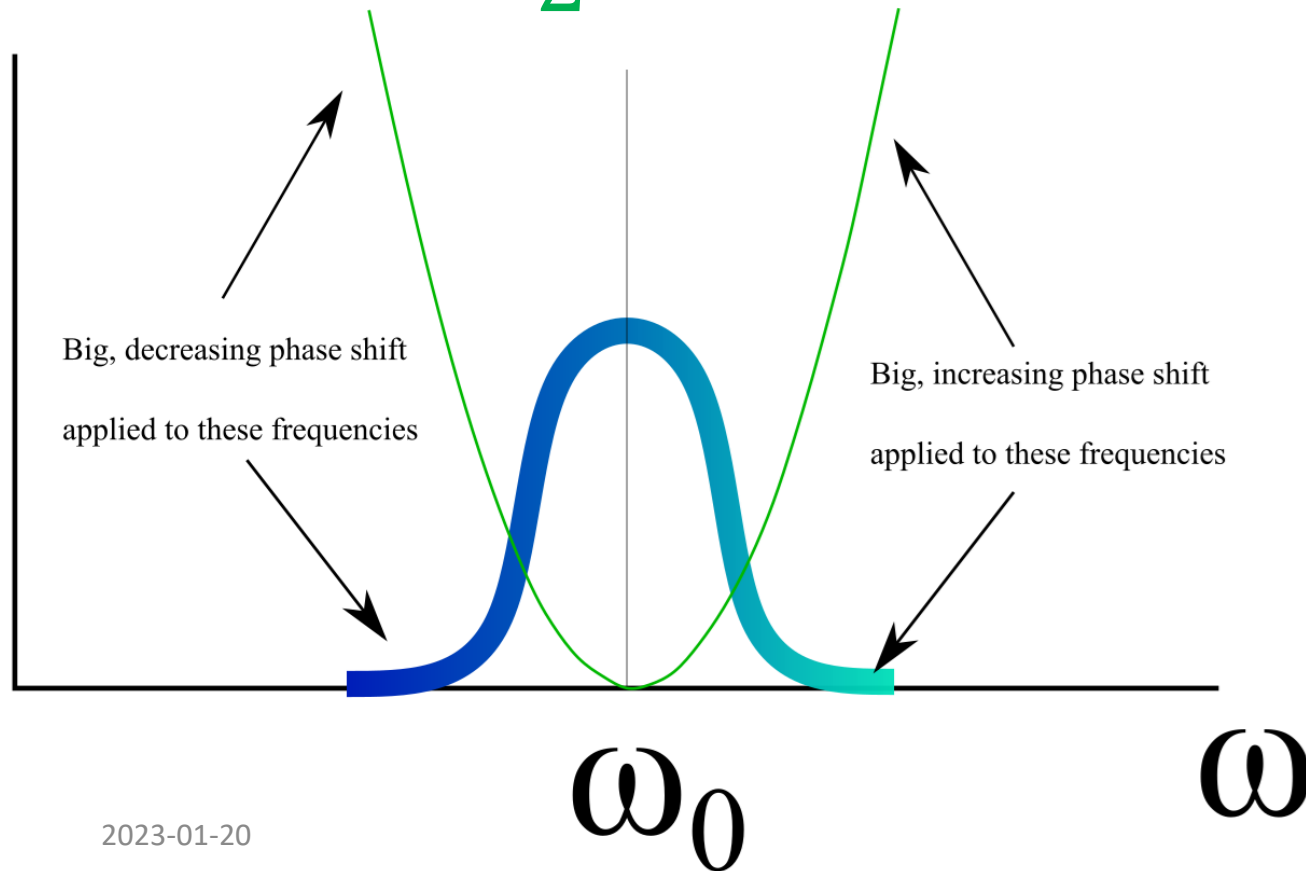


Slides by Ole Krarup: [ole.krarup.dk@gmail.com](mailto:ole.krarup.dk@gmail.com) ,  
<https://www.linkedin.com/in/olekrarup/>

# Changing the phase of frequency components

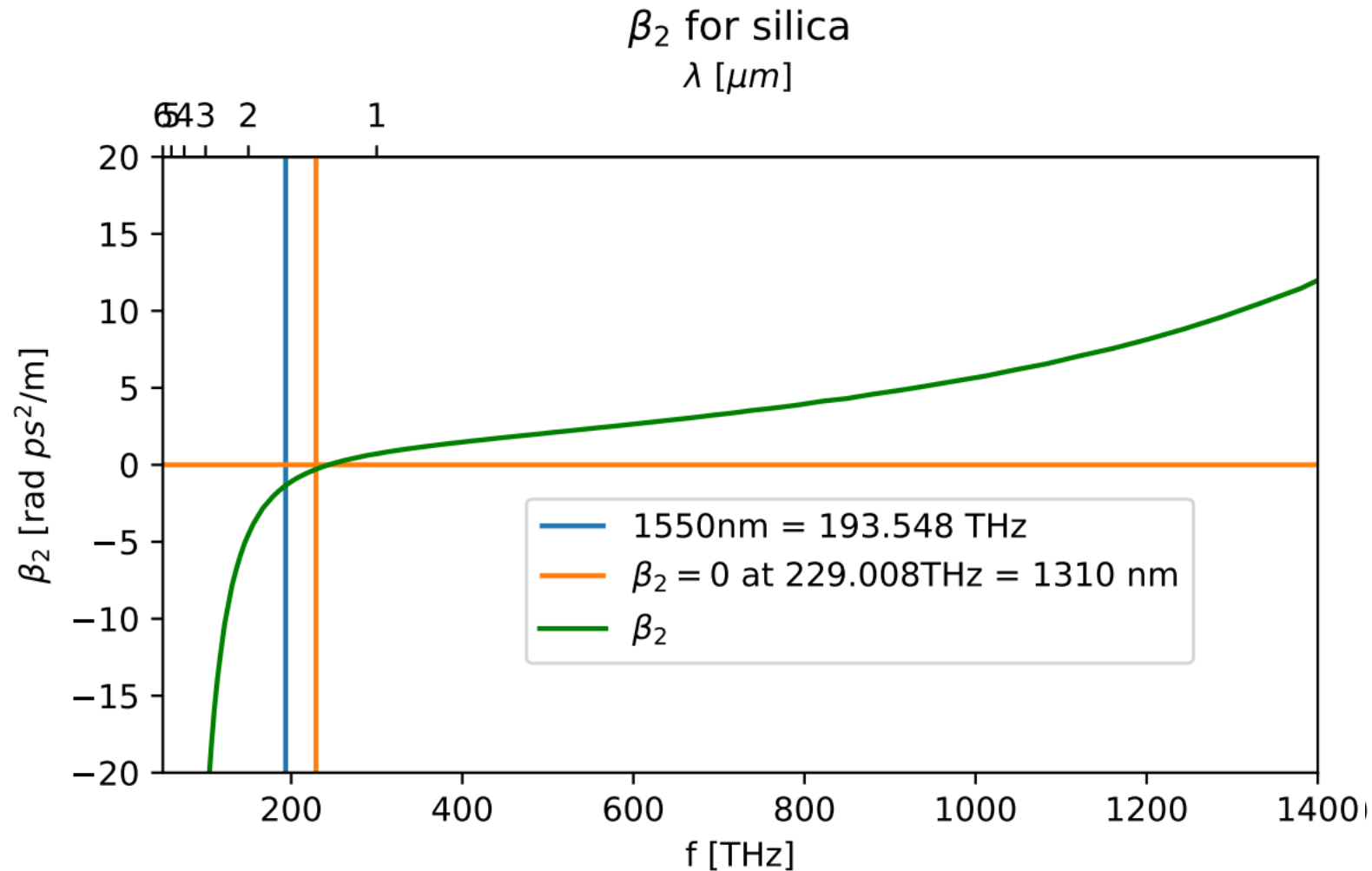
- $\beta_2 > 0$

$$\frac{1}{2}\beta_2(\omega - \omega_0)^2$$

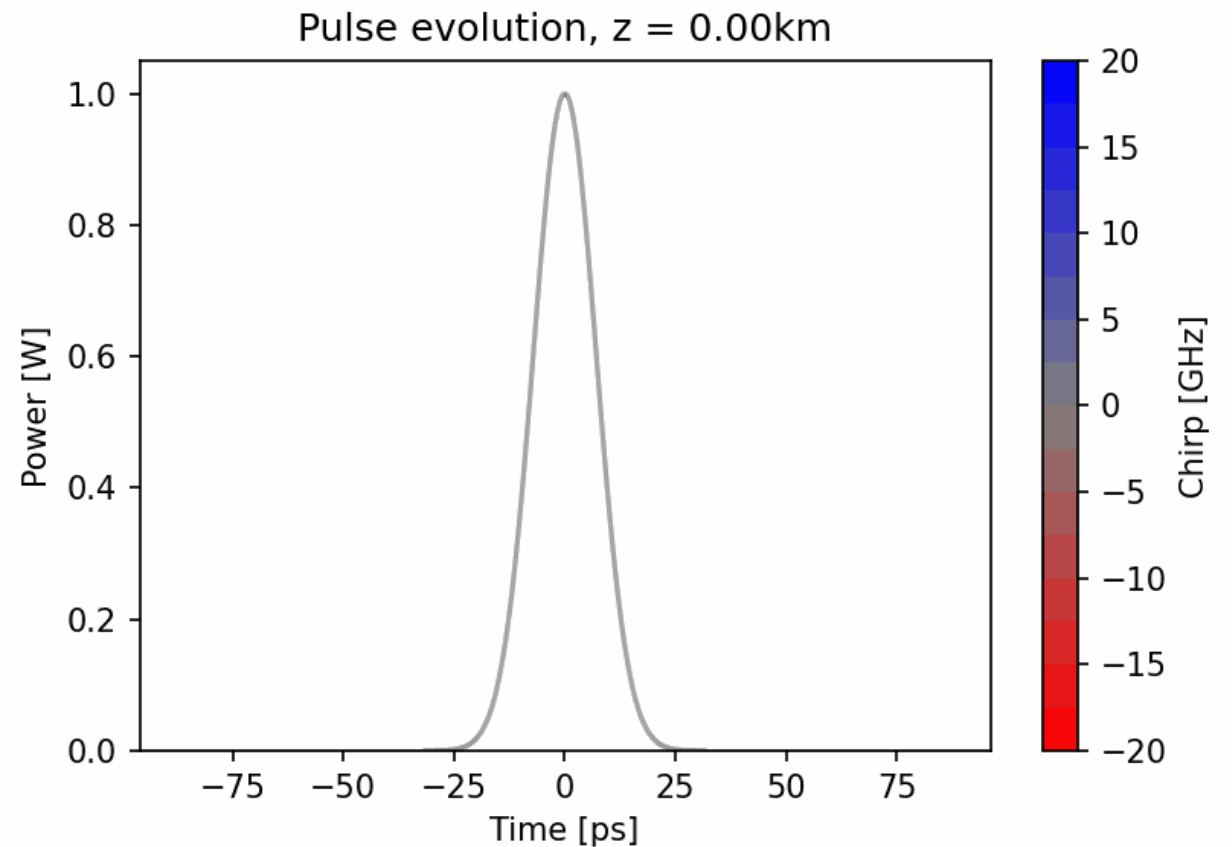
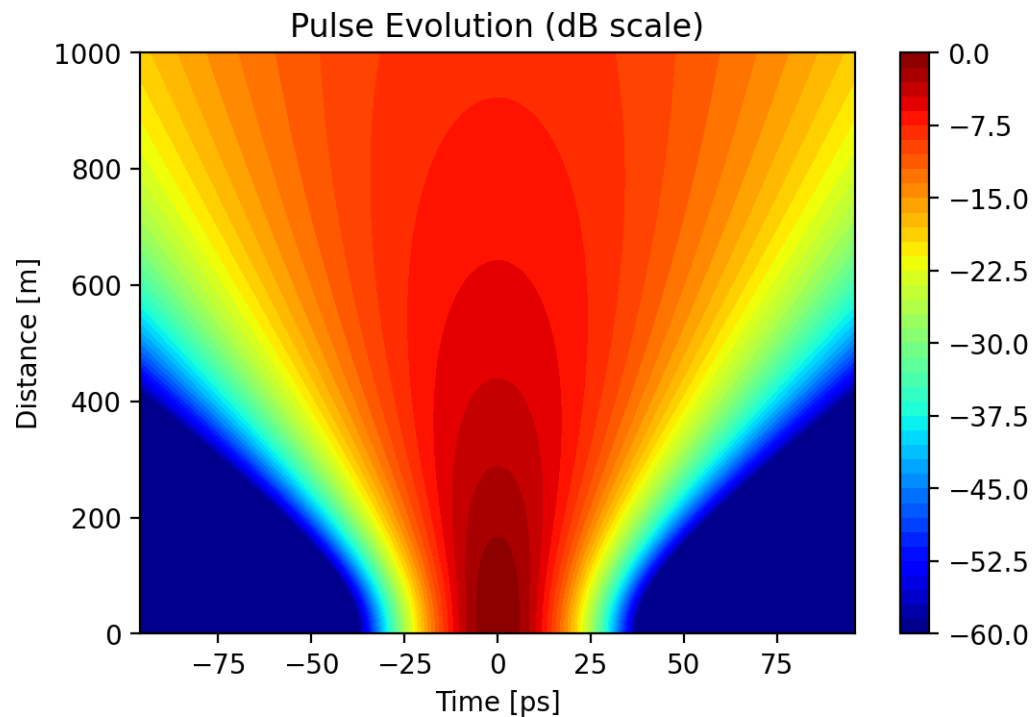


# How to find $\beta_2$ ?

- Take derivative of  $\beta(\omega)$  to find  $\beta_1(\omega)$ .
- Take derivative of  $\beta_1(\omega)$  to find  $\beta_2(\omega)$ .

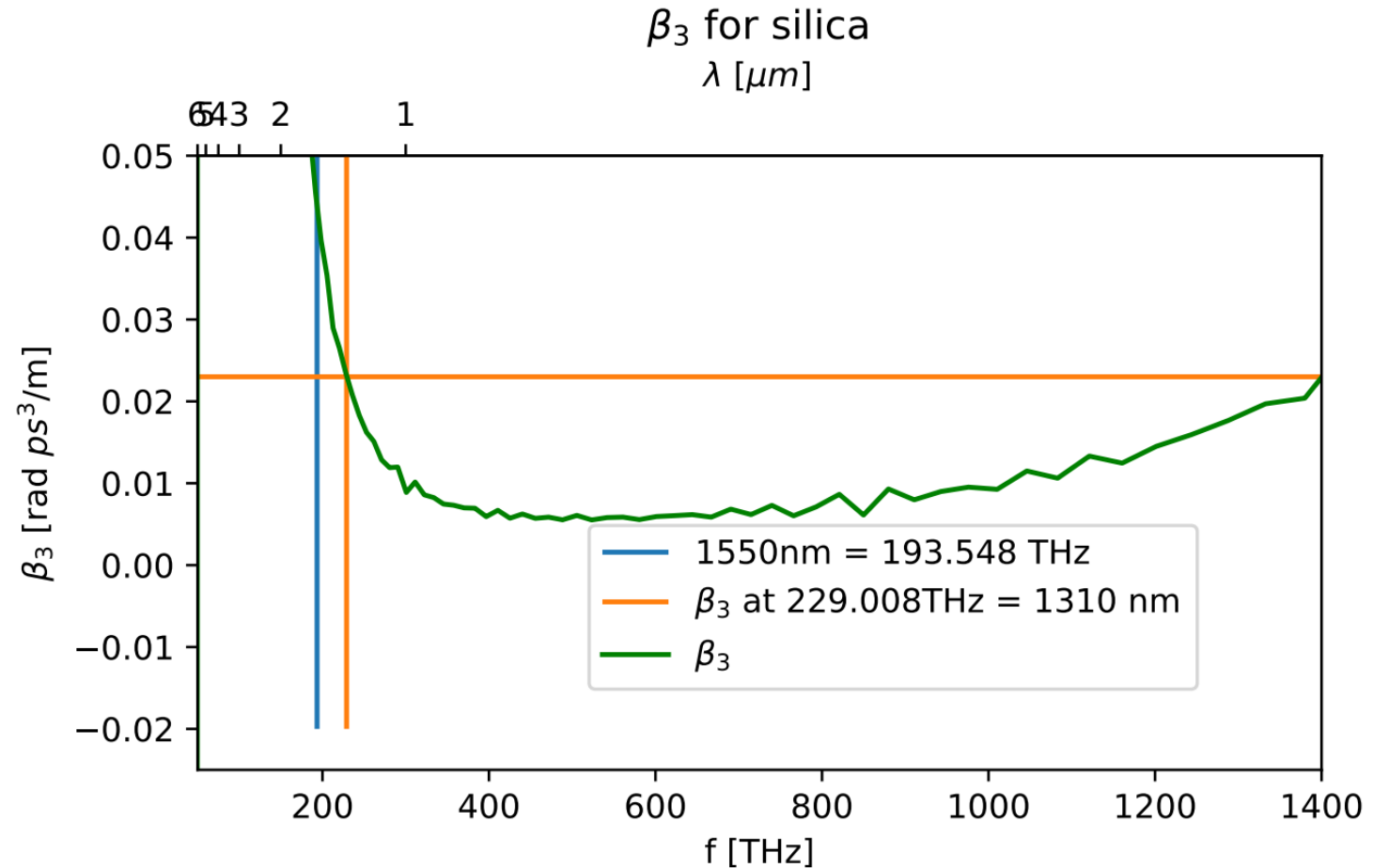


$\beta_2 > 0$  (Normal dispersion)

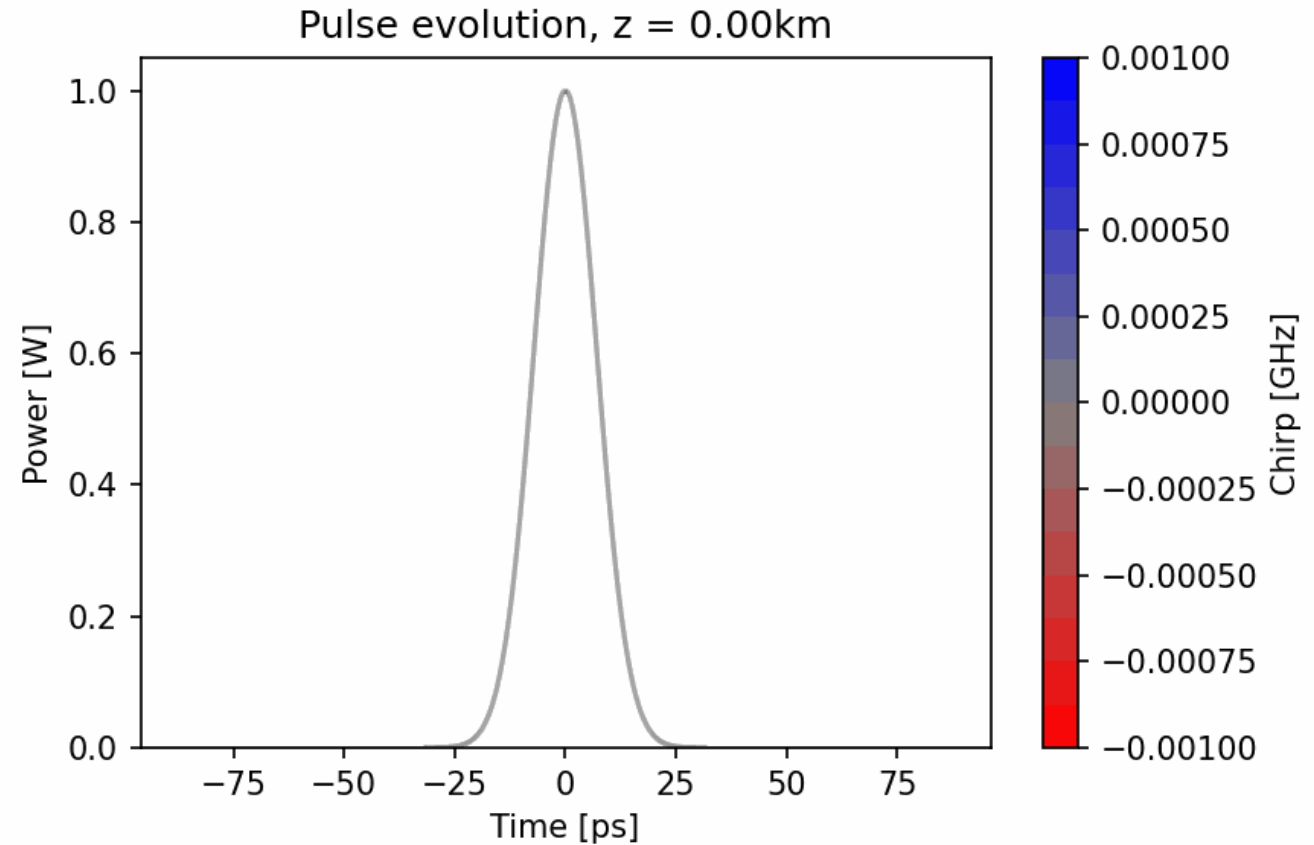
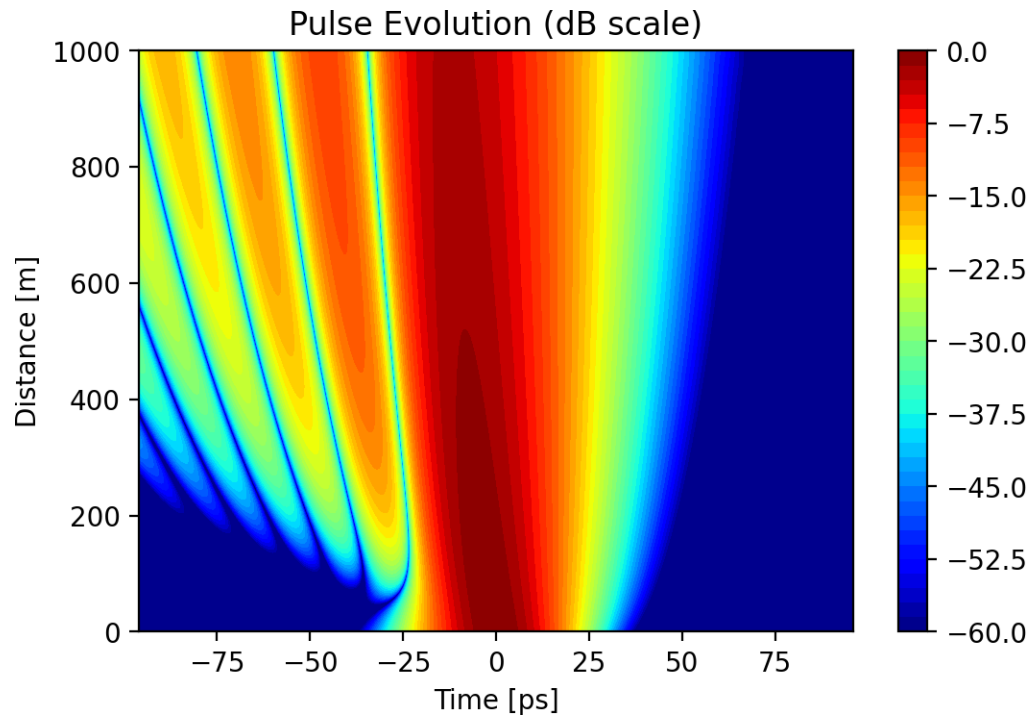


# Why stop at $\beta_2$ ?

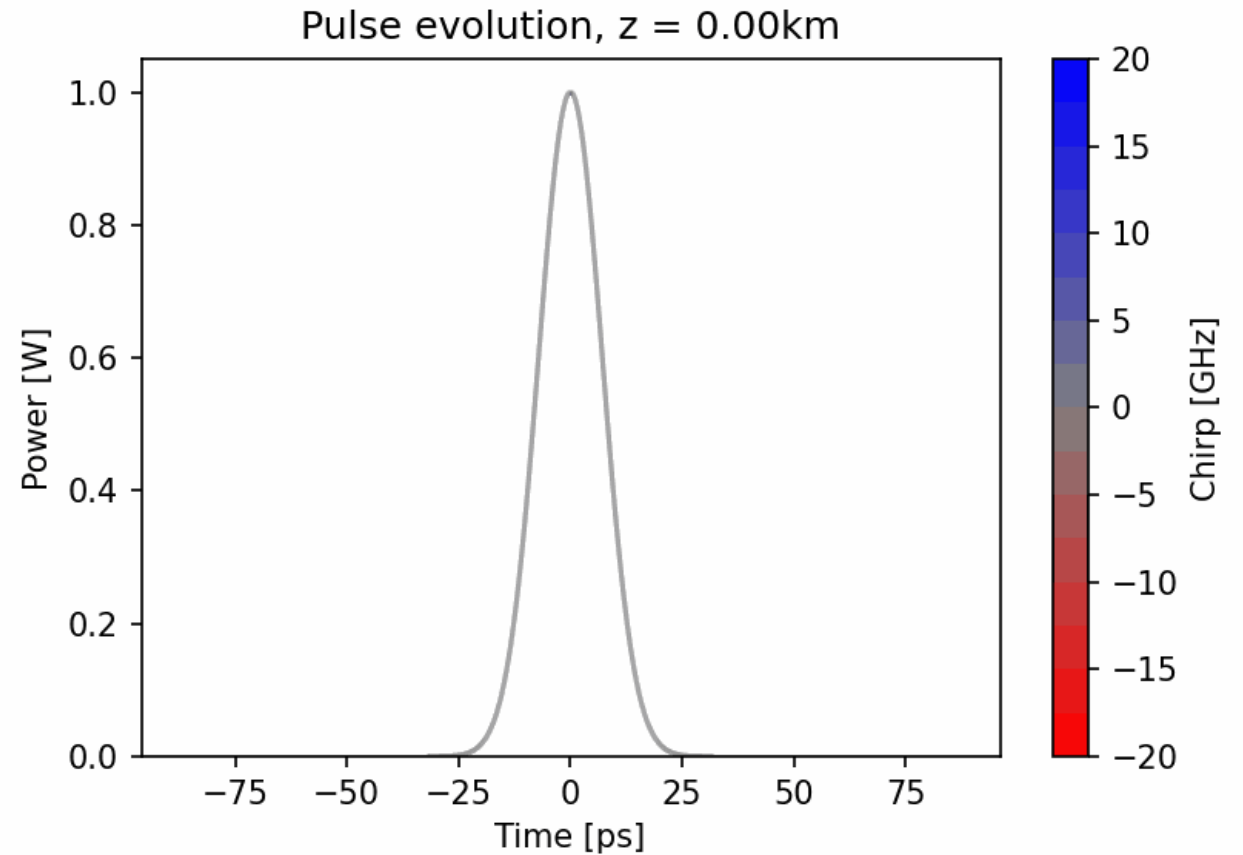
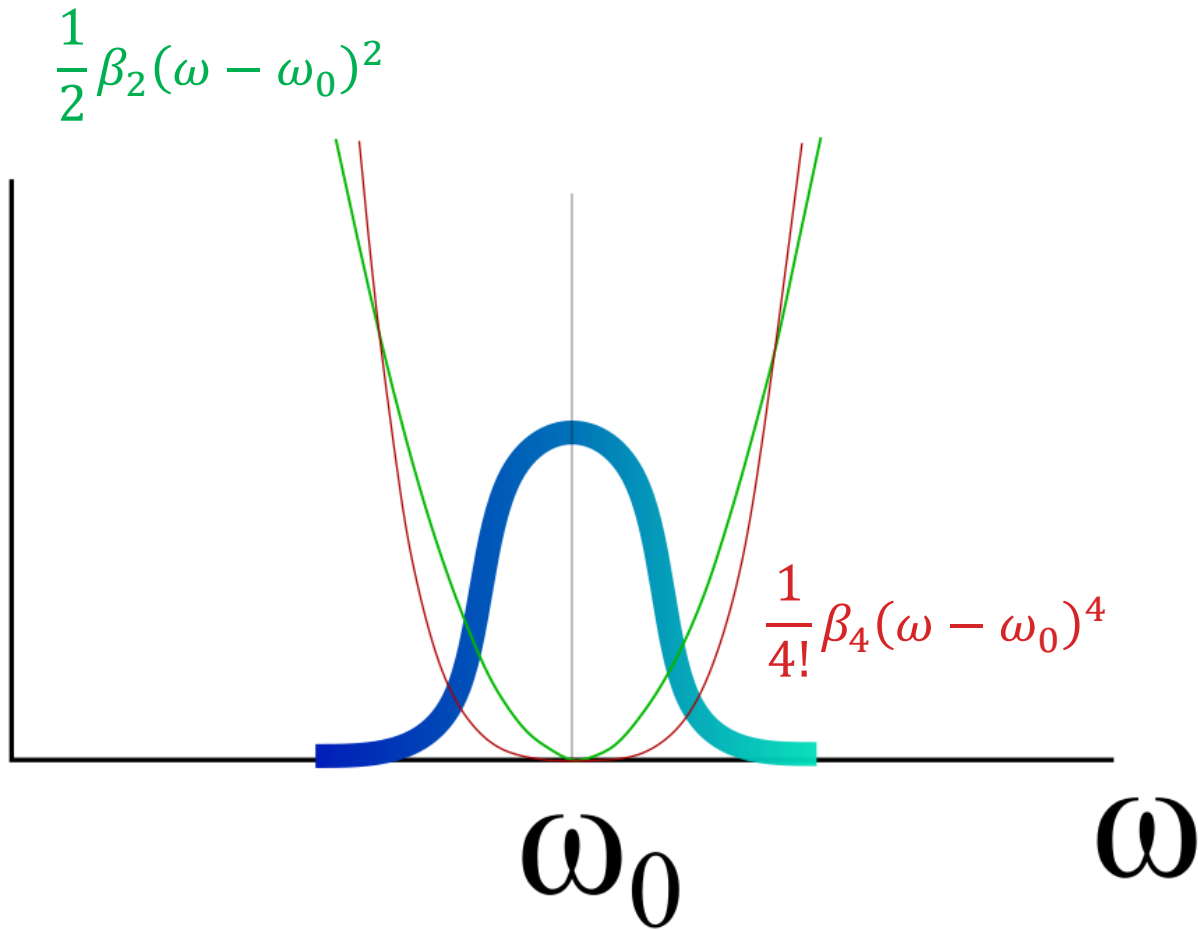
- Take one more derivative to get  $\beta_3$ !
- What happens for  $\beta_2 = 0$  and  $\beta_3 > 0$  ?



$$\beta_2 = 0 \text{ and } \beta_3 > 0$$

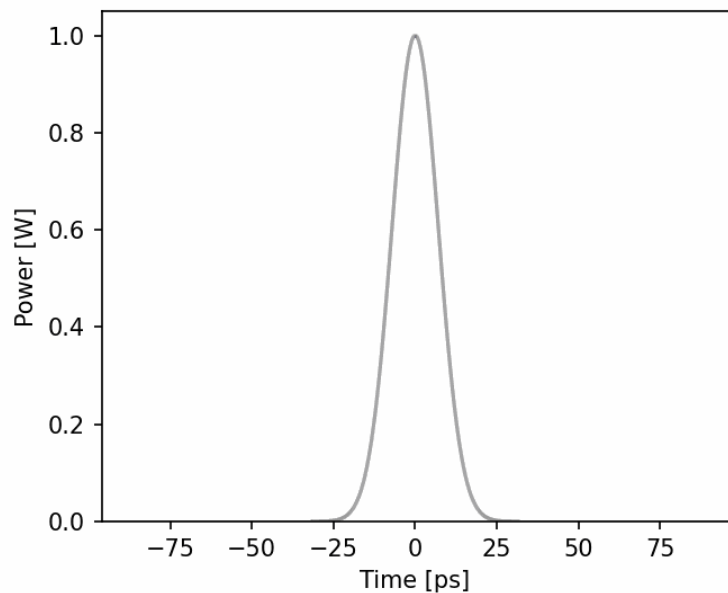


$$\beta_2 = 0 \text{ and } \beta_3 = 0 \text{ and } \beta_4 > 0$$



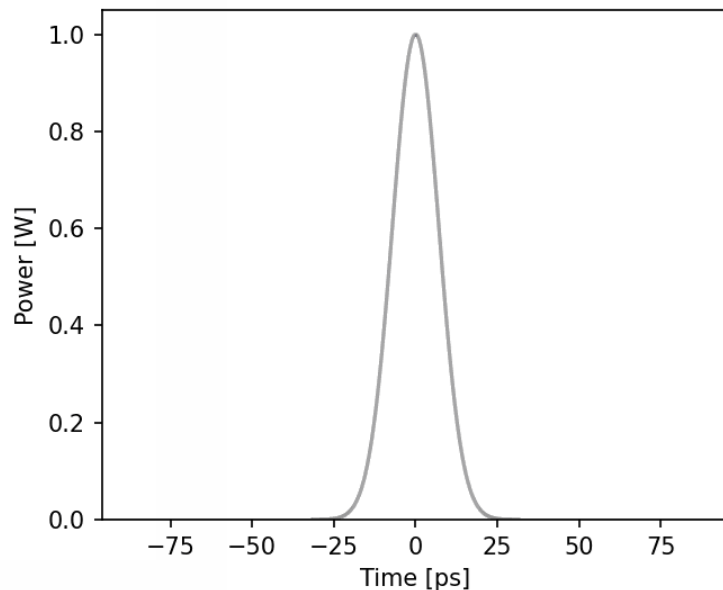
$$\beta_2 > 0$$

Pulse evolution,  $z = 0.00\text{km}$



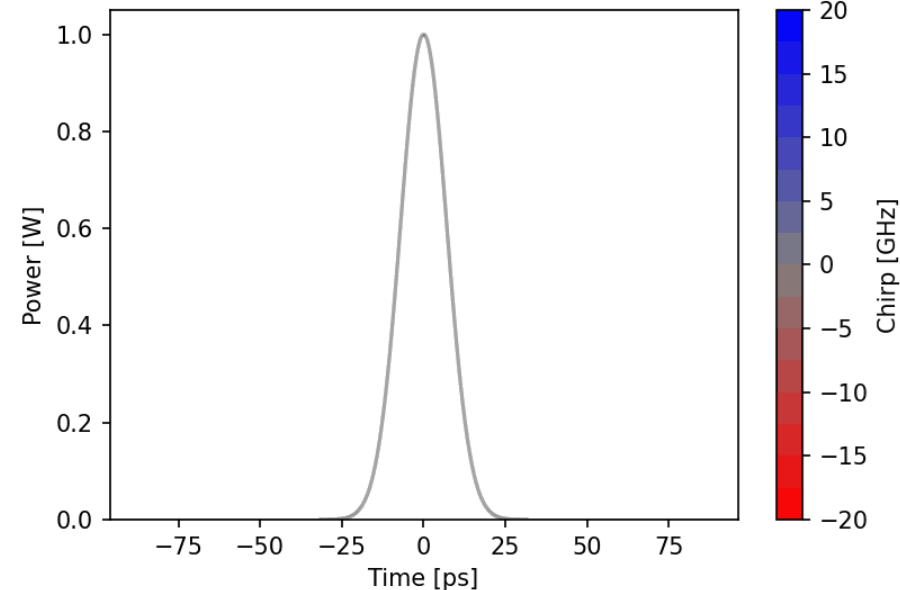
$$\beta_2 = 0, \quad \beta_3 > 0$$

Pulse evolution,  $z = 0.00\text{km}$

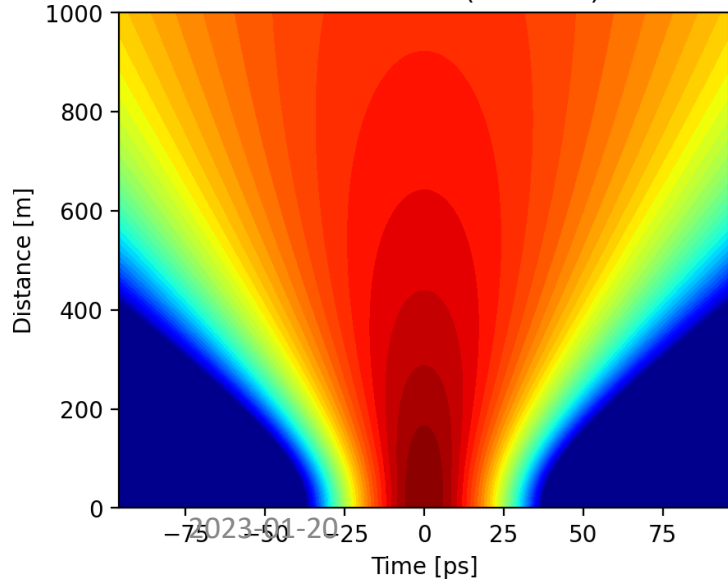


$$\beta_2 = 0, \quad \beta_3 = 0, \quad \beta_4 > 0$$

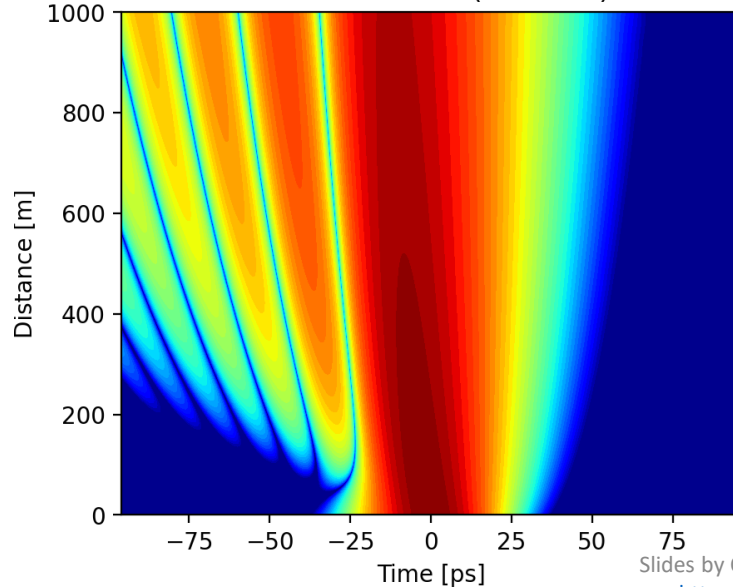
Pulse evolution,  $z = 0.00\text{km}$



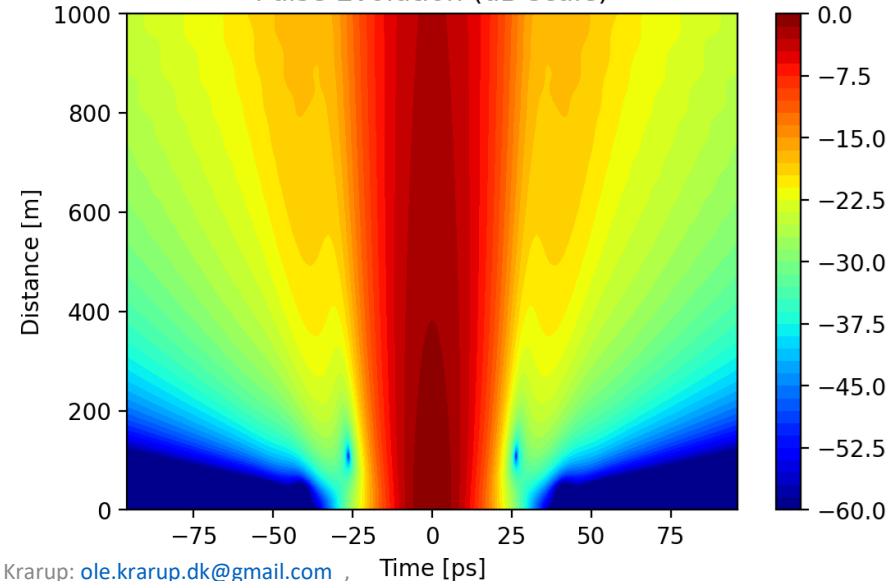
Pulse Evolution (dB scale)



Pulse Evolution (dB scale)

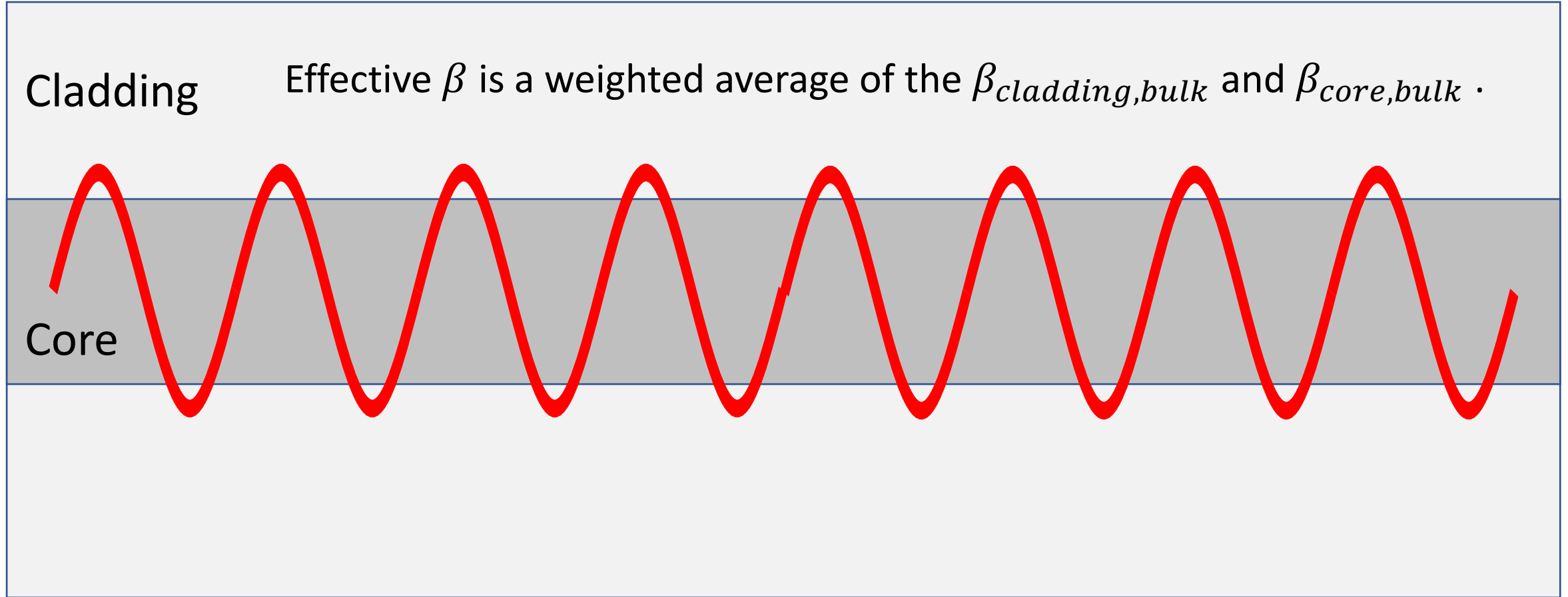


Pulse Evolution (dB scale)





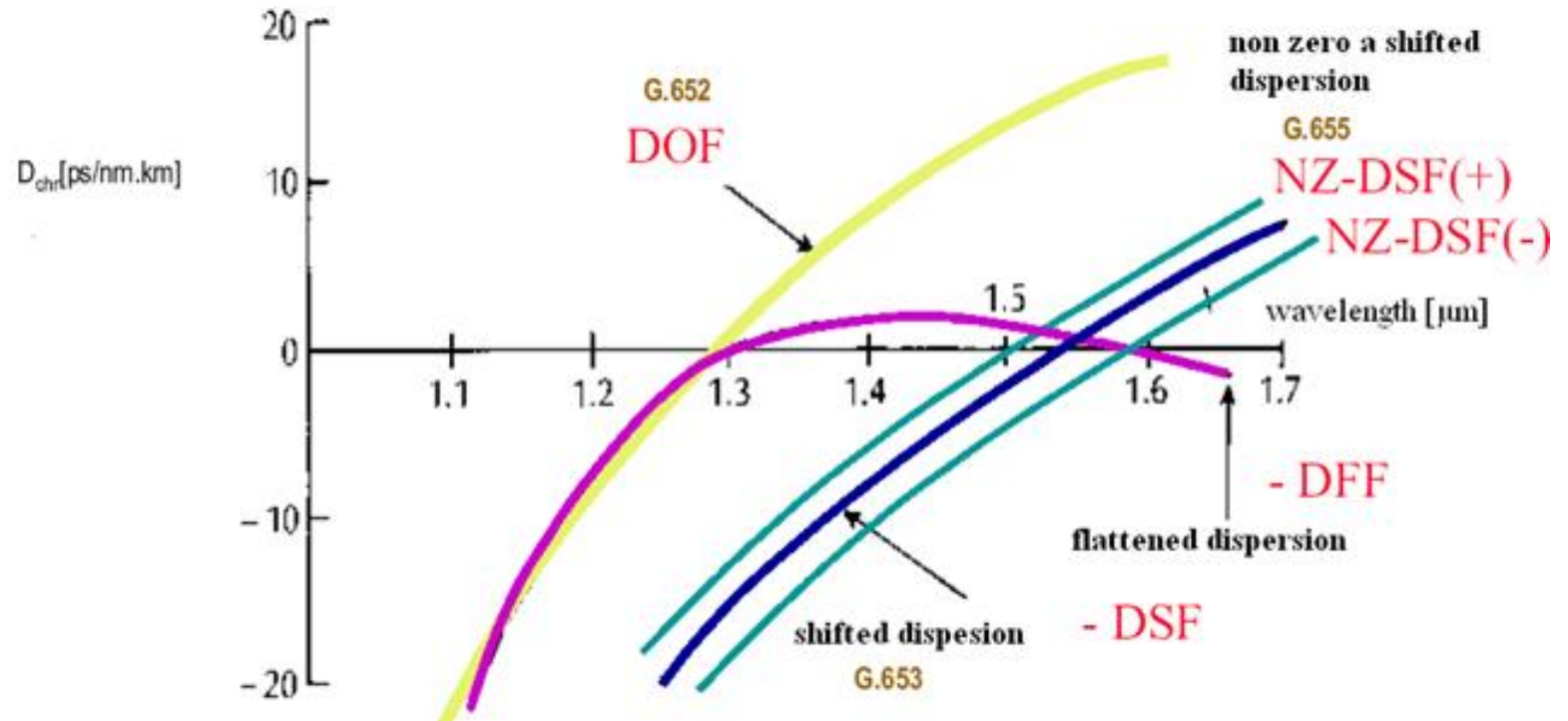
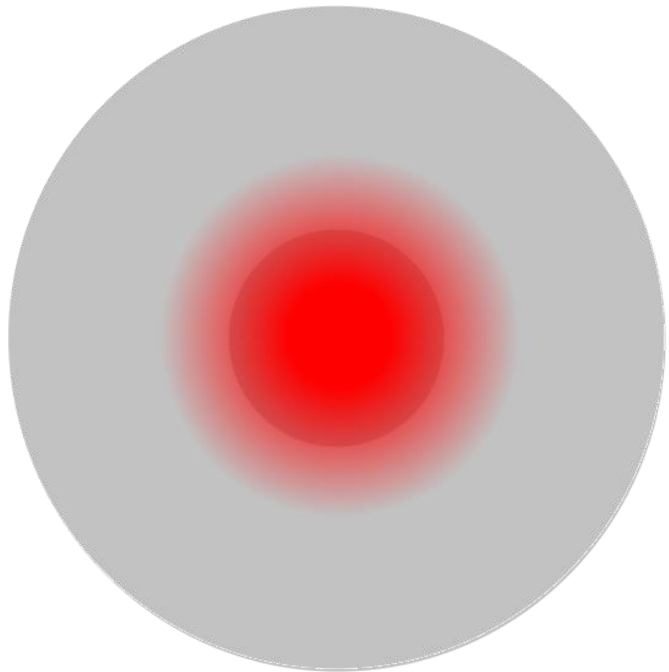
# EM waves in waveguides



z

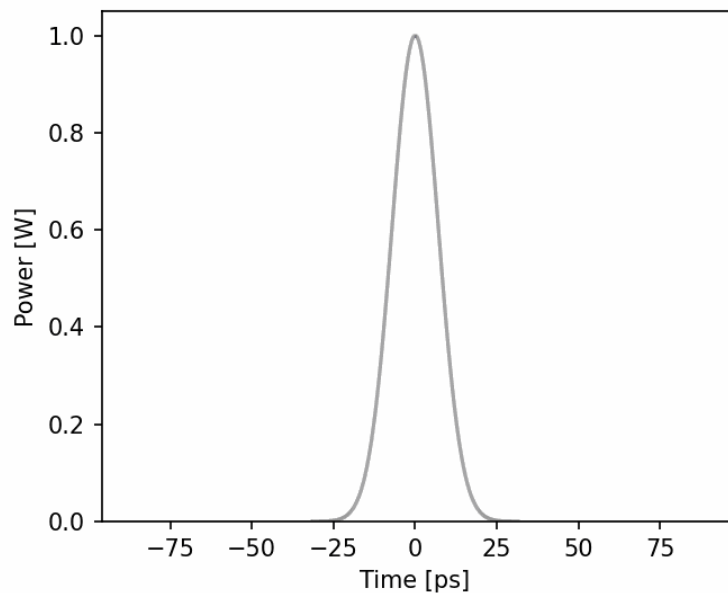
# EM in waveguides

$$n(\omega, x, y) \quad A(\omega, x, y) \quad n_{eff}(\omega) = \frac{\iint n(\omega, x, y) |A(\omega, x, y)|^2 dx dy}{\iint |A(\omega, x, y)|^2 dx dy}$$



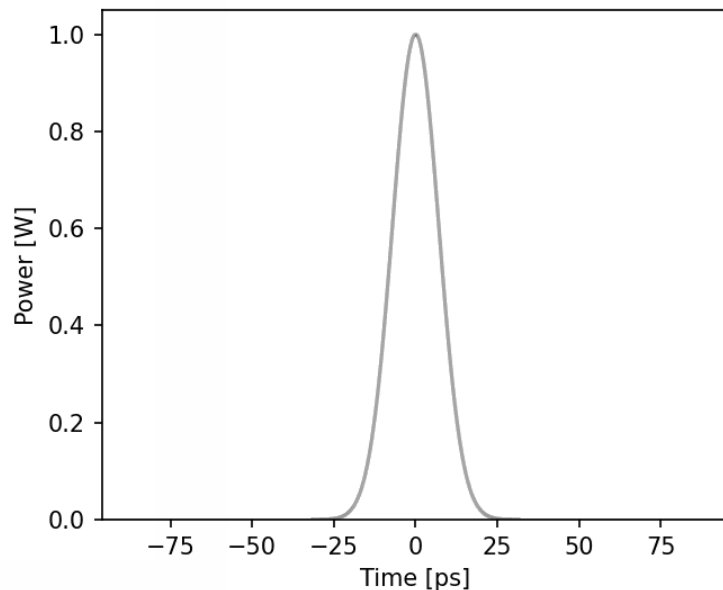
$$\beta_2 > 0$$

Pulse evolution,  $z = 0.00\text{km}$



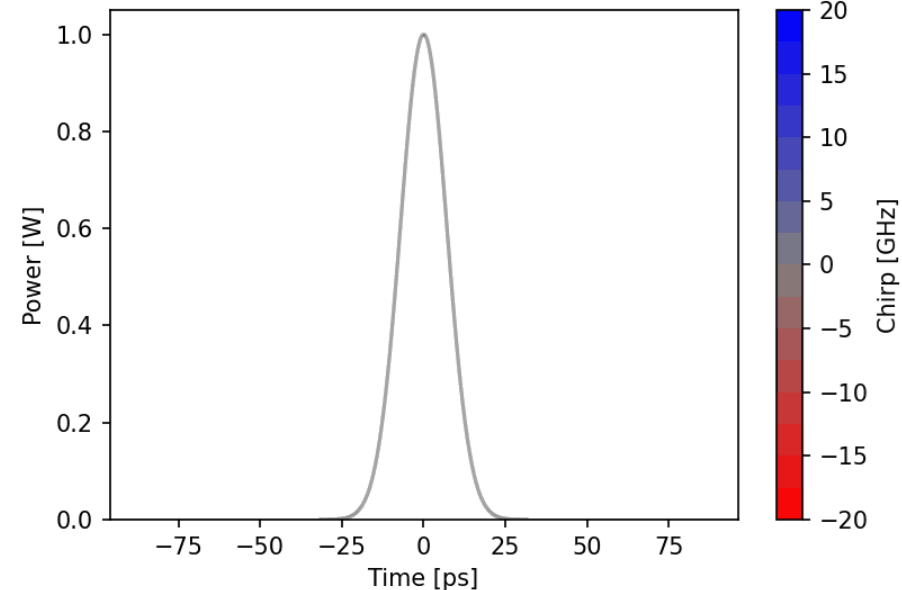
$$\beta_2 = 0, \quad \beta_3 > 0$$

Pulse evolution,  $z = 0.00\text{km}$

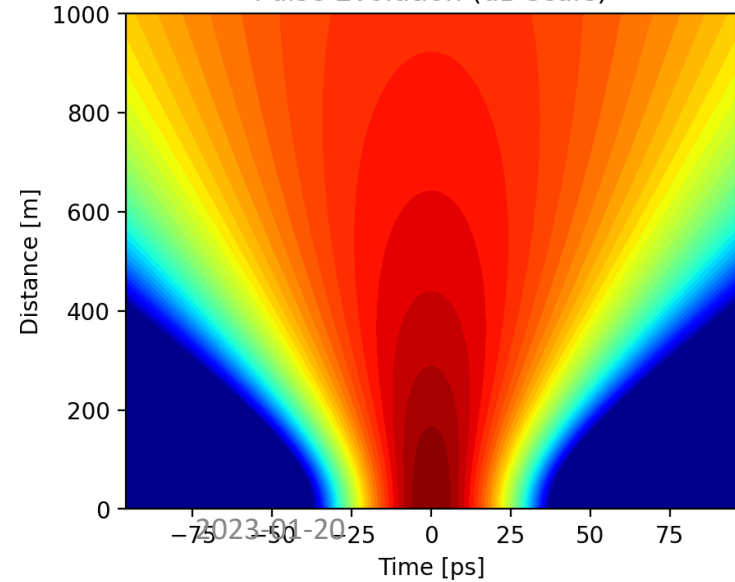


$$\beta_2 = 0, \quad \beta_3 = 0, \quad \beta_4 > 0$$

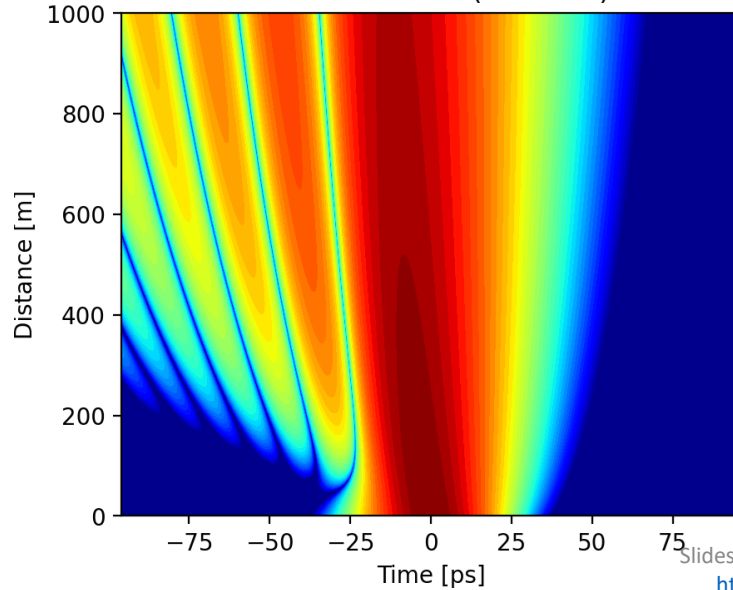
Pulse evolution,  $z = 0.00\text{km}$



Pulse Evolution (dB scale)



Pulse Evolution (dB scale)



Pulse Evolution (dB scale)

