

EMS INTERNAL AUDIT

Company Name	KDG Logistics
Internal Auditor Name	Leroy Dwyer
Date of Internal Audit	19-Nov-25

Code	Meaning
C	Conformant
NC	Nonconformant
TBA	To be advised
N/A	Not applicable
OBS	Observation
FUP	Follow up

4. Context of the organization	Status	Comments	Evidence
4.1 Understanding the organization and its context			
Has the organization determined external and internal issues that are relevant to its purpose and its strategic direction and that affect its ability to achieve the intended result(s) of its EMS?	C		IMS POL 00 REV2 4,1
Does the organization understand the environmental conditions being affected by or capable of affecting the organisation?	C		IMS POL 00 REV2 4,1
4.2 Understanding the needs and expectations of interested parties			
Has the organization determined:			
a) the interested parties that are relevant to the EMS?	C		IMS POL 00 REV2 4,2
b) the relevant needs and expectations of these interested parties?	C		IMS POL 00 REV2 4,2
b) which of these needs and expectations have become its compliance obligations?	C		IMS POL 00 REV2 4,2
Does the organization monitor and review information about these interested parties and their relevant requirements?	C		IMS POL 00 REV2 4,2
4.3 Determining the scope of the environmental management system			
When determining this scope, has the organization considered:			
a) the external and internal issues referred to in 4.1?	C		IMS POL 00 REV2 4,3
b) the compliance obligations referred to in 4.2?	C		IMS POL 00 REV2 4,3
c) its organizational units, functions, and physical boundaries?	C		IMS POL 00 REV2 4,3
d) its activities, products and services?	C		IMS POL 00 REV2 4,3
e) the environmental conditions aspects that it can control as well as those that it can influence?	C		IMS POL 00 REV2 4,3
Once the scope has been defined, has the organization included all activities, products and services of the organization within the EMS?	C		IMS POL 00 REV2 4,3
Is the scope of the organization's EMS available and maintained as <i>documented information</i> ?	C		IMS POL 00 REV2 4,3 EM-PR01
4.4 Environmental management system			
Has the organization established, implemented, maintained, and continually improve a system in accordance with ISO 14001:2015?	C		IMS POL 00 REV2 4,4 EM-PR01/4
Does the organization consider the processes needed for the effective operation of its system, including their interaction?	C		IMS POL 00 REV2 4,4 EM-PR01/4
Has the organization considered its context (4.1) and the needs and expectations of interested parties (4.2) when establishing and maintaining its EMS?	C		IMS POL 00 REV2 4,4 EM-PR01/4

5. Leadership	Status	Comments	Evidence
5.1 Leadership and commitment			
Have top management demonstrated leadership and commitment with respect to the EMS by:			
a) taking accountability for the effectiveness of the EMS?	C		IMS POL 00 REV2 5,1
b) ensuring that the environmental policy and environmental objectives are established for the EMS and are compatible with the context and strategic direction of the organization?	C		IMS POL 00 REV2 5,1
c) ensuring the integration of the EMS requirements into the organization's business processes?	C		IMS POL 00 REV2 5,1
e) ensuring that the resources needed for the EMS are available?	C		IMS POL 00 REV2 5,1
f) communicating the importance of effective environmental management and of conforming to the EMS requirements?	C		IMS POL 00 REV2 5,1
g) ensuring that the EMS achieves its intended results?	C		IMS POL 00 REV2 5,1
h) engaging, directing and supporting persons to contribute to the effectiveness of the EMS?	C		IMS POL 00 REV2 5,1
i) promoting improvement?	C		IMS POL 00 REV2 5,1
j) supporting other relevant management roles to demonstrate their leadership as it applies to their areas of responsibility?	C		IMS POL 00 REV2 5,1
5.2 Environmental policy			
Has top management established, implemented and maintained an environmental policy that:			
a) is appropriate to the purpose and context of the organization, including the nature, scale and environmental impacts of its activities, products and services?	C		IMS POL 00 REV2 5,2 EM PR 01 A POL 01 REV2 IMS
b) provides a framework for setting environmental objectives?	C		IMS POL 00 REV2 5,2 EM PR 01 A POL 01 REV2 IMS
c) includes a commitment the protection of the environment, including prevention of pollution and other specific commitments relevant to the context of the organization?	C		IMS POL 00 REV2 5,2 EM PR 01 A POL 01 REV2 IMS
d) includes a commitment to fulfil its compliance obligations?	C		IMS POL 00 REV2 5,2 EM PR 01 A POL 01 REV2 IMS
d) includes a commitment to continual improvement of the EMS to enhance environmental performance?	C		IMS POL 00 REV2 5,2 EM PR 01 A POL 01 REV2 IMS
Is the environmental policy:			IMS POL 00 REV2 5,2 EM PR 01 A POL 01 REV2 IMS
a) maintained as <i>documented information</i> ?	C		IMS POL 00 REV2 5,2 EM PR 01 A POL 01 REV2 IMS
b) communicated within the organization?	C		IMS POL 00 REV2 5,2 EM PR 01 A POL 01 REV2 IMS
c) available to relevant interested parties?	C		IMS POL 00 REV2 5,2 EM PR 01 A POL 01 REV2 IMS
5.3 Organizational roles, responsibilities and authorities			
Do top management ensure that the responsibilities and authorities for relevant roles are assigned and communicated within the organization?			
Have top management assigned the responsibility and authority for:			
a) ensuring that the EMS conforms to the requirements of ISO 14001?	C		IMS POL 00 REV2 5,3 EM PR 06 A
b) reporting on the performance of the EMS to top management?	C		IMS POL 00 REV2 5,3 EM PR 06 A

6. Planning		Status	Comments	Evidence
6.1	Actions to address risks and opportunities			
6.1.1	General			
	When planning for the EMS, has the organization considered:			
	a) the context of the organization (4.1)?	C		IMS POL 00 REV2 6,1
	b) the needs and expectations of its interested parties (4.2)?	C		IMS POL 00 REV2 6,1
	c) the scope of its EMS (4.3)?	C		IMS POL 00 REV2 6,1
	Has the organization determined the risks and opportunities associated with its environmental aspects (6.1.2) and its compliance obligations (6.1.3), as well as issues related to their context and the needs and expectations of interested parties?	C		IMS POL 00 REV2 6,1 ESD 002 A
	Are these risks and opportunities addressed in order to:			
	1) give assurance that the EMS can meet its intended outcomes?	C		IMS POL 00 REV2 6,1
	2) prevent or reduce undesired (negative) effects, including external conditions that may affect the organization?	C		IMS POL 00 REV2 6,1
	3) achieve continual improvement?	C		IMS POL 00 REV2 6,1
	Has the organization determined its potential emergency situations?	C		IMS POL 00 REV2 6,1
	Has the organization maintained documented information of its:			
	1) risks and opportunities that need to be addressed?	C		IMS POL 00 REV2 6,1
6.1.2	Environmental aspects			
	Within the scope of the EMS, has the organization determined the environmental aspects and their associated impacts of its activities, products and services:			
	1) that it can control?	C		IMS POL 00 REV2 6,1 EM-PR 02 A ESD 002 A
	2) that it can influence?	C		IMS POL 00 REV2 6,1 EM-PR 02 A ESD 002 A
	When determining its environmental aspects, has the organization considered:	C		IMS POL 00 REV2 6,1 EM-PR 02 A ESD 002 A
	a) change, including planned or new developments, and new or modified activities, products and services?	C		IMS POL 00 REV2 6,1 EM-PR 02 A ESD 002 A
	b) abnormal conditions and reasonably foreseeable emergency situations?	C		IMS POL 00 REV2 6,1 EM-PR 02 A
	Has the organization used established criteria to determine which aspects have, or can have, a significant environmental impact?	C		IMS POL 00 REV2 6,1 EM-PR 02 A ESD 002 A
	Has the organization retained documented information of its:			
	1) environmental aspects and their associated impacts?	C		IMS POL 00 REV2 6,1 ESD 002A PR 02 A EM-
	2) criteria used to determine its significant environmental aspects?	C		IMS POL 00 REV2 6,1 ESD 002 A EM-PR 02 A
	3) which environmental aspects have been determined by the organization to be significant?	C		IMS POL 00 REV2 6,1 ESD 002 A PR 02 A EM-
6.1.3	Compliance obligations			
	Has the organization:			
	a) determined the compliance obligations related to its environmental aspects, and does it have access to them?	C		IMS POL 00 REV2 6,1 EM-PR 03 A EMS LEGAL COMPLIANCE AUDIT
	b) determined how these compliance obligations apply to the organization?	C		IMS POL 00 REV2 6,1 EM-PR 03 A
	c) taken these compliance obligations into account within the rest of the EMS?	C		IMS POL 00 REV2 6,1 EM-PR 03 A
	Has the organization retained documented information of its compliance obligations?	C		IMS POL 00 REV2 6,1 EM-PR 03 A EMS LEGAL COMPLIANCE CHECK LIST
6.1.4	Planning action			
	Has the organization planned:			
	a) actions to address its:			
	1) significant environmental aspects (6.1.2)?	C		IMS POL 00 REV2 6,1
	2) compliance obligations (6.1.3)?	C		IMS POL 00 REV2 6,1
	3) risks and opportunities (6.1.1)?	C		IMS POL 00 REV2 6,1
	4) evaluate the effectiveness of its planned actions?	C		IMS POL 00 REV2 6,1
	When planning actions, has the organization considered its technological options, as well as its financial, operational, and business requirements?	C		IMS POL 00 REV2 6,1
6.2	Environmental objectives and planning to achieve them			
6.2.1	Environmental objectives			
	Has the organization established environmental objectives at relevant functions, levels and processes needed for the EMS?	C		IMS POL 00 REV2 6,2 013 A ESD
	Do these objectives take into account the organization's significant environmental aspects, its applicable compliance obligations, and its risks and opportunities?	C		IMS POL 00 REV2 6,2 013 A ESD
	Are the environmental objectives:			
	a) consistent with the environmental policy?	C		IMS POL 00 REV2 6,2 013 A ESD
	b) measurable?	C		IMS POL 00 REV2 6,2 013 A ESD
	c) monitored?	C		IMS POL 00 REV2 6,2 013 A ESD
	f) communicated?	NC	Communicate objectives on a more regular basis, Yearly training	IMS POL 00 REV2 6,2 013 A ESD
	g) updated as appropriate?	C		IMS POL 00 REV2 6,2 013 A ESD
	Does the organization maintain documented information on the environmental objectives?			
6.2.2	Planning actions to achieve environmental objectives			
	When planning how to achieve its environmental objectives, has the organization determined:			
	a) what will be done?	C		IMS POL 00 REV2 6,2 013 A ESD
	b) what resources will be required?	C		IMS POL 00 REV2 6,2 013 A ESD
	c) who will be responsible?	C		IMS POL 00 REV2 6,2 013 A ESD
	d) when it will be completed?	C		IMS POL 00 REV2 6,2 013 A ESD
	e) how the results will be evaluated, including any indicators for monitoring progress toward achievement of its measurable environmental objectives?	C		IMS POL 00 REV2 6,2 013 A ESD
	Has the organization considered how it will integrate its actions to achieve its environmental objectives into its business processes?	C		IMS POL 00 REV2 6,2 013 A ESD

7. Support		Status	Comments	Evidence
7.1	Resources			
7.1.1	General			
	Has the organization determined and provided the resources needed for the establishment, implementation, maintenance and continual improvement of the EMS?	C		IMS POL 00 REV2 7,1 EM-PR 07 A
7.2	Competence			
	Has the organization:			

	a) determined the necessary competence of person(s) doing work under its control that affects environmental performance and its ability to fulfil its compliance obligations?	C		IMS POL 00 REV2 7,2 EM-PR 07 A
	b) ensured that these persons are competent on the basis of appropriate education, training, or experience?	C		IMS POL 00 REV2 7,2 EM-PR 07 A
	c) determined the training needs associated with its EMS?	OBS	Training can be expanded to upskill workers	IMS POL 00 REV2 7,2 EM-PR 07 A
	d) taken actions, where applicable, to acquire the necessary competence and evaluated the effectiveness of the actions taken?	C		IMS POL 00 REV2 7,2 EM-PR 07 A
	Does the organization retain documented information as evidence of competence?	C		IMS POL 00 REV2 7,2 EM-PR 07 A
7.3	Awareness			
	Has the organization ensured that persons doing work under its control are aware of:			
	a) the environmental policy?	C		IMS POL 00 REV2 7,3 EM-PR 07 A
	b) the significant environmental aspects and related actual or potential impacts associated with their work?	OBS	Training can be improved.	IMS POL 00 REV2 7,3 EM-PR 07 A
	c) their contribution to the effectiveness of the EMS, including the benefits of improved environmental performance?	C		IMS POL 00 REV2 7,3 EM-PR 07 A
	d) the implications of not conforming with the EMS requirements, including not fulfilling the organization's compliance obligations?	C		IMS POL 00 REV2 7,3 EM-PR 07 A
7.4	Communication			
7.4.1	General			
	Has the organization determined the internal and external communications relevant to the EMS including:			
	a) on what it will communicate?	C		IMS POL 00 REV2 7,4 PR 08 A
	b) when to communicate?	C		IMS POL 00 REV2 7,4 PR 08 A
	c) with whom to communicate?	C		IMS POL 00 REV2 7,4 PR 08 A
	d) how to communicate?	C		IMS POL 00 REV2 7,4 PR 08 A
	When establishing its communication processes, did the organization:			
	1) take into account its compliance obligations?	C		IMS POL 00 REV2 7,4 PR 08 A
	2) ensure that the environmental information it communicates is consistent with the data generated by the EMS, and is reliable?	C		IMS POL 00 REV2 7,4 PR 08 A
	Does the organization respond to relevant communications on its environmental management system?	C		IMS POL 00 REV2 7,4 PR 08 A
	Does the organization retain appropriate documented information as evidence of its communications?	C		IMS POL 00 REV2 7,4 PR 08 A
7.4.2	Internal communication			
	Does the organization:			
	a) internally communicate appropriate information relevant to the environmental management system among the various levels and functions of the organization, including any changes to the environmental management system?	NC	Yard staff communication is good, Communication with drivers needs to be improved.	IMS POL 00 REV2 7,4 PR 08 A
	b) ensure its communication processes enable persons doing work under the organization's control to contribute to continual improvement?	C		IMS POL 00 REV2 7,4 PR 08 A
7.4.3	External communication			
	Does the organization communicate to relevant external interested parties in accordance with:			
	1) its communication processes?	C		IMS POL 00 REV2 7,4 PR 08 A
	2) as required to by its compliance obligations?	C		IMS POL 00 REV2 7,4 PR 08 A
7.5	Documented information			
7.5.1	General			
	Does the organization's EMS include:			
	a) documented information required by ISO 14001?	C		IMS POL 00 REV2 7,4 PR 08 A
	b) documented information determined by the organization as being necessary for the effectiveness of the EMS?	C		IMS POL 00 REV2 7,4 PR 08 A
7.5.2	Creating and updating			
	When creating and updating documented information, does the organization ensure appropriate:			
	a) identification and description (e.g. a title, date, author, or reference number)?	C		IMS POL 00 REV2 7,5 PR 10 A
	b) format (e.g. language, software version, graphics) and media (e.g. paper, electronic)?	C		IMS POL 00 REV2 7,5 PR 10 A
	c) review and approval for suitability and adequacy?	C		IMS POL 00 REV2 7,5 PR 10 A
7.5.3	Control of documented information			
	Is the documented information required by the EMS and by ISO 14001 controlled to ensure:			
	a) it is available and suitable for use, where and when it is needed?	C		IMS POL 00 REV2 7,5 PR 10 A
	b) it is adequately protected (e.g. from loss of confidentiality, improper use, or loss of integrity)?	C		IMS POL 00 REV2 7,5 PR 10 A
	For the control of documented information, has the organization shall addressed the following activities, as applicable:			
	a) distribution, access, retrieval and use?	C		IMS POL 00 REV2 7,5 PR 10 A
	b) storage and preservation, including preservation of legibility?	C		IMS POL 00 REV2 7,5 PR 10 A
	c) control of changes (e.g. version control)?	C		IMS POL 00 REV2 7,5 PR 10 A
	d) retention and disposition?	C		IMS POL 00 REV2 7,5 PR 10 A
	Is documented information of external origin, determined by the organization to be necessary for the planning and operation of the EMS, identified as appropriate and controlled?	C		IMS POL 00 REV2 7,5 PR 10 A
	Is documented information retained as evidence of conformity protected from unintended alterations.	C		IMS POL 00 REV2 7,5 PR 10 A

8. Operation	Status	Comments	Evidence
8.1 Operational planning and control			
Has the organization established, implemented, controlled and maintained the processes needed to meet EMS requirements, and to implement any actions identified in clause 6.1 and 6.2 by:			
1) establishing operating criteria for its processes?	C		IMS POL 00 REV2 8,1 EM-PR 11 A

2)	implementing control of the processes in accordance with the operating criteria?	C		IMS POL 00 REV2 8,1 EM-PR 11 A
	Has the organization ensured any outsourced processes are either controlled or influenced?	C		IMS POL 00 REV2 8,1 EM-PR 11 A
	Has the organization defined the type and extent of control to be applied to its external providers within the EMS?	C		IMS POL 00 REV2 8,1 EM-PR 11 A
	Consistent with a life cycle perspective, has the organization:			
a)	established the controls needed to ensure that its environmental requirements are addressed in the design and development processes for its products and services, considering each life cycle stage?	C		IMS POL 00 REV2 8,1 EM-PR 11 A
b)	determined its environmental requirements for the procurement of products and services?	C		IMS POL 00 REV2 8,1 EM-PR 11 A
c)	communicated its relevant environmental required to external providers, including contractors?	NC	All contractors need to receive comunicatons	IMS POL 00 REV2 8,1 EM-PR 11 A
d)	considered the need to provide information about potential significant environmental impacts associated with the transportation or delivery, use, end-of-life treatment and final disposal of its products and services?	C	Availabile on request	IMS POL 00 REV2 8,1 EM-PR 11 A
	Does the organization maintain documented information to the extent necessary to have confidence that the processes have been carried out as planned?	C		IMS POL 00 REV2 8,1 EM-PR 11 A
8.2	Emergency preparedness and response			
	Has the organization established, implemented and maintained the processes needed to prepare for and respond to potential emergency situations identified as part of its risks and opportunities (6.1.1)?	C		IMS POL 00 REV2 8,2 EM-PR 12A
	Has the organization:			
a)	prepared to respond to potential emergency situations by planning actions to prevent or mitigate adverse environmental impacts from emergency situations?	C		IMS POL 00 REV2 8,2 EM-PR 12A
b)	responded to any actual emergency situations?	N/A	None to date	
c)	taken action to prevent or mitigate the consequences of emergency situations, appropriate to the magnitude of the emergency and the potential environmental impact?	C		IMS POL 00 REV2 8,2 EM-PR 12A
d)	periodically tested all of the planned response actions, where practicable?	OBS	Improve test report.	IMS POL 00 REV2 8,2 EM-PR 12A
e)	periodically reviewed and revised the processes and planned response actions, in particular after the occurrence of emergency situations or tests?	OBS	Frequent testing must be done to create continual improvement	IMS POL 00 REV2 8,2 EM-PR 12A
f)	provided relevant information and training related to emergency preparedness and response, as appropriate, to relevant interested parties, including persons working under its control?	C		IMS POL 00 REV2 8,2 EM-PR 12A EMERGENCY PREPAREDNESS TRAINING
	Does the organization retain documented information to the extent necessary to have confidence that the emergency preparedness and response processes are carried out as planned?	C		IMS POL 00 REV2 8,2 ESD 001 A

9. Performance evaluation	Status	Comments	Evidence
9.1 Monitoring, measurement, analysis and evaluation			
9.1.1 General			
Does the organization monitor, measure, analyse and evaluate its environmental performance?	C		IMS POL 00 REV2 9,1 EM-PR 14A
Has the organization determined:			
a) what needs to be monitored and measured?	C		IMS POL 00 REV2 9,1 EM-PR 14A
b) the applicable methods for monitoring, measurement, analysis and evaluation to ensure valid results?	C		IMS POL 00 REV2 9,1 EM-PR 14A
c) the criteria against which the organization will evaluate its environmental performance and appropriate indicators?	C		IMS POL 00 REV2 9,1 EM-PR 14A
d) when the monitoring and measurement activities will be performed?	C		IMS POL 00 REV2 9,1 EM-PR 14A
e) when the results of monitoring and measurement shall be analysed and evaluated?	C		IMS POL 00 REV2 9,1 EM-PR 14A
Has the organization ensured that appropriate calibrated or verified monitoring and measurement equipment is used and maintained?	C		IMS POL 00 REV2 9,1 EM-PR 14A
Does the organization evaluate its environmental performance and the effectiveness of the EMS?	C		IMS POL 00 REV2 9,1 EM-PR 14A
Does the organization communicate relevant environmental performance information both internally and externally in accordance with its communication processes identified in clause 7.4.1, and as per its compliance obligations?	C	Extrenal communication is given when requested.	IMS POL 00 REV2 9,1 EM-PR 14A
Does the organization retain appropriate documented information as evidence of its monitoring, measurement, analysis and evaluation results?	C		IMS POL 00 REV2 9,1 EM-PR 14A
9.1.2 Evaluation of compliance			
Has the organization established, implemented and maintained processes needed to evaluate the fulfillment of its compliance obligations?	C		IMS POL 00 REV2 9,1,2 ESD 011 A
Has the organization:			
a) determined the frequency that compliance will be evaluated?	C		IMS POL 00 REV2 9,1,2 ESD 011 A
b) evaluated compliance and taken action if needed?	C		IMS POL 00 REV2 9,1,2 ESD 011 A
c) maintained knowledge and understanding of its compliance status?	C		IMS POL 00 REV2 9,1,2 ESD 011 A
Has the organization retained documented information as evidence of the compliance evaluation results?	C		IMS POL 00 REV2 9,1,2 ESD 011 A
9.2 Internal audit			
9.2.1 General			
Does the organization conduct internal audits at planned intervals to provide information on whether the EMS:			
a) conforms to:			
1) the organization's own requirements for its EMS?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
2) the requirements of ISO 14001?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
b) is effectively implemented and maintained?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
9.2.2 Internal audit programme			
Has the organization established, implemented and maintained an audit programme, which includes the frequency, methods, responsibilities, planning requirements and reporting of its internal audits?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
When establishing the audit programme, did the organization take into consideration the environmental importance of the processes concerned, changes affecting the organization, and the results of previous audits?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
Has the organization:			
a) defined the audit criteria and scope for each audit?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
b) selected auditors and conducted audits to ensure objectivity and impartiality of the audit process?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
c) ensured that the results of the audits are reported to relevant management?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
Does the organization retain documented information as evidence of the implementation of the audit programme and the audit results?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
9.3 Management review			
Does top management review the organization's EMS, at planned intervals, to ensure its continuing suitability, adequacy, effectiveness?	C		IMS POL 00 REV2 9,2 EM-PR 16 A
Does the management review include consideration of:			

a) the status of actions from previous management reviews?	C		IMS POL 00 REV2 9,2 PR 16 A	EM-
b) changes in:				
1) external and internal issues that are relevant to the EMS?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
2) the needs and expectations of interested parties, including compliance obligations?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
3) its significant environmental aspects?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
4) risks and opportunities?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
c) the extent to which environmental objectives have been achieved?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
d) information on the organization's environmental performance, including trends in:				
1) nonconformities and corrective actions?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
2) monitoring and measurement results?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
3) fulfillment of its compliance obligations?	NC	Permits for fuel storage required	IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
4) audit results?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
e) the adequacy of resources?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
f) relevant communications from interested parties, including complaints?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
g) opportunities for improvement?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
Do the management reviews result in:			IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
1) conclusions on the continuing suitability, adequacy and effectiveness of the EMS?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
2) decisions related to continual improvement opportunities?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
3) decisions related to any need for changes to the EMS, including resources?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
4) actions, if needed, when environmental objectives have not been achieved?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
5) opportunities to improve integration of the EMS with other business processes, if needed?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
6) Any implications for the strategic direction of the organization?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-
Does the organization retain documented information as evidence of the results of management reviews?	C		IMS POL 00 REV2 9,2 PR 16 A ESD 005 A	EM-

10. Improvement	Status	Comments	Evidence
10.1 General			
Has the organization determined the opportunities for improvement (identified in its performance evaluation processes) and implemented necessary actions to achieve the intended outcomes of its EMS?	C		IMS POL 00 REV2 10
10.2 Nonconformity and corrective action			
When a nonconformity occurs, does the organization:			
a) react to the nonconformity and, as applicable:	C		IMS POL 00 REV2 10 PR 13 A
1) take action to control and correct it?	C		IMS POL 00 REV2 10 PR 13 A
2) deal with the consequences, including mitigating adverse environmental impacts?	C		IMS POL 00 REV2 10 PR 13 A
b) evaluate the need for action to eliminate the causes of the nonconformity, in order that it does not recur or occur elsewhere, by:			
1) reviewing the nonconformity?	C		IMS POL 00 REV2 10 PR 13 A
2) determining the causes of the nonconformity?	C		IMS POL 00 REV2 10 PR 13 A
3) determining if similar nonconformities exist, or could potentially occur?	C		IMS POL 00 REV2 10 PR 13 A
c) implement any action needed?	C		IMS POL 00 REV2 10 PR 13 A
d) review the effectiveness of any corrective action taken?	C		IMS POL 00 REV2 10 PR 13 A
e) make changes to the EMS, if necessary?	C		IMS POL 00 REV2 10 PR 13 A
Are the corrective actions appropriate to the significance of the effects of the nonconformities encountered, including any environmental impacts?			
Does the organization retain documented information as evidence of:	C		IMS POL 00 REV2 10 PR 13 A
a) the nature of the nonconformities and any subsequent actions taken?	C		IMS POL 00 REV2 10 PR 13 A
b) the results of any corrective action?	C		IMS POL 00 REV2 10 PR 13 A
10.3 Continual improvement			
Does the organization continually improve the suitability, adequacy and effectiveness of the EMS to enhance environmental performance?	C		IMS POL 00 REV2 10 PR 13 A