#### **Chapter 3 Notes**

Overview

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Formal properties of open sets

Closed sets by the convergence of sequences

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# **Chapter 3 Notes**

#### **Overview**

Open and closed subset of a metric space Formal properties of open subsets Closed sets by convergence of sequences Convergence/Continuity using open sets Equivalence for two distances Homeomorphism

# 3.1. Open sets and closed sets

#### **Definition for open sets and closed sets**

Definition 3.1.1.

Let (X, d) be a metric space, and let  $A \subseteq X$ .

Open: we say that A is open in (X, d) if  $\forall p \in A, \exists \epsilon > 0$ , s.t.  $B_{\epsilon}(p) \subseteq A$ .

Closed: If  $B \subseteq X$  then we say that B is closed in (X, d) if  $X \setminus B$  is open.

一般的开集证明思路: 证明所证集内的任一元素的任一开球内的元素都在所证集中. i.e. 所证集内任一元素的任一开球是所证集的子集.

### Formal properties of open sets

Lemma 3.1.4 — Open sets properties

Let  $(X, d_X)$  be a metric space.

- 1. The subsets  $\emptyset$  and X are open
- 2. An arbitrary union of open sets is open (任意并)
- 3. A finite intersection of open sets is open (有限交)

About closed sets:

- 1. The subsets  $\emptyset$  and X are closed
- 2. The arbitrary intersection of closed sets is closed (任意交)
- 3. A finite union of closed sets is closed (有限并)

#### Closed sets by the convergence of sequences

Lemma 3.1.8.

Let (X, d) be a metric space, and let  $A \subseteq X$ . Then,

The subset A is closed  $\iff \forall (x_n) \text{ of } A$ , if  $(x_n)$  converges to  $l \in X$  then  $l \in A$ .

# 3.2. Topology

Definition 3.2.1.

A topology  $\mathcal{U}$  on X is a collection of subsets  $\mathcal{U}_i$  of X, called the <u>open subsets</u> of X (开子集族), that satisfies the following properties.

 $(T_1)$  The subsets  $\emptyset$  ,  $X \in \mathcal{U}$ 

 $(T_2)$  If  $\mathcal{U}_i \in \mathcal{U}$  for all  $i \in I \implies \bigcup_{i \in I} \mathcal{U}_i \in \mathcal{U}$  (任意并)

$$(T_3)$$
 If  $\mathcal{U}_1,\ldots,\mathcal{U}_N\in\mathcal{U}\implies\bigcap_{i=1}^N\mathcal{U}_i\in\mathcal{U}$  (有限交)

Remark 3.2.5.

$$\begin{cases} Discrete \ topology \ \mathscr{P}(X) \\ Trivial \ topology \ \mathscr{U} = \{\emptyset, X\} \end{cases}$$

### **Convergence/Continuity in topological terms**

Theorem 3.2.8. — Convergence

Let (X,d) be a metric space,  $(x_n)$  a sequence of X, and let  $l \in X$ . Then the following are equivalent:

- 1.  $(x_n)$  converges to l
- 2.  $\forall$  open subset  $\mathcal{U}\subseteq X$  with  $l\in\mathcal{U}$ ,  $\exists N\in\mathbb{N}$  s.t.  $(x_n)\in\mathcal{U}$   $\forall n>N$

Tips: 当收敛时 $(x_n)$ 只有有限多的项在 $\mathcal{U}$ 外面

Theorem 3.2.9. — Continuity

Let  $(X,d_X)$  and  $(Y,d_Y)$  be metric spaces, and let  $f\colon X\to Y$ . Then the following are equivalent.

- 1. f is continuous.
- 2.  $\forall$  open set  $\mathcal{U} \subseteq Y$ , the *inverse image*  $f^{-1}(\mathcal{U})$  is open in X.

Remark 3.2.10.

 $\forall$  open subset  $\mathcal{U}\subseteq Y$ ,  $f^{-1}(\mathcal{U})$  is open  $\iff$   $\forall$  closed set  $C\subseteq Y$ ,  $f^{-1}(C)$  is closed.

Tips: 上述的闭集用开集表示即可.

## 3.3. Equivalent distances

#### **Equivalence for two distances**

Two distances are equivalent if the notions of convergence and continuity defined using one are the same as those defined using the other. (上面使用了<u>开集</u>来定义度量空间内收敛性与连续性)

Definition 3.3.1.

Let X be a set. Let d,d' be two different distances on X. Then we say that d and d' are equivalent whenever the <u>open sets</u> of (X,d) <u>coincide</u> with those of (X,d'). We write  $d \sim d'$  to denote that two distances are equivalent.

Tips: 即开集表示一致.

Corollary 3.3.2. — Convergence

Let (X,d) and (X,d') be metric spaces with  $d \sim d'$ , and let  $(x_n)$  be sequence of X and  $l \in X$ . Then we have

$$(x_n)\stackrel{d}{
ightarrow} l\iff (x_n)\stackrel{d'}{
ightarrow} l$$

Corollary 3.3.3. — Continuity

Let  $(X,d_X)$ ,  $(X,d_X')$  and  $(Y,d_Y)$ ,  $(Y,d_Y')$  be metric spaces where  $d_X\sim d_X'$  and  $d_Y\sim d_Y'$ . Then

$$\underbrace{f: (X, d_X) o (Y, d_Y)}_{is \ continuous} \iff \underbrace{f: (X, d_X') o (Y, d_Y')}_{is \ continuous}$$

Lemma 3.3.5.

Let (X,d), (X,d') be metric spaces on the same underlying set. Suppose  $\forall x,y,\exists C>0$ , s.t.

$$d(x,y) \leq C \cdot d'(x,y)$$

Then,

$$\mathcal{U} \subseteq (X, d) \ open \implies \mathcal{U} \subseteq (X, d') \ open$$

Corollary 3.3.6. — Give an easy sufficient condition for two distances to be equivalent

According to the lemma above, if  $\forall x,y \in X$ ,  $\exists C,C'>0$ , s.t.

$$d(x,y) \leq C \cdot d'(x,y)$$
 and  $d'(x,y) \leq C' \cdot d(x,y)$ 

Then according to definition 3.3.1., d is equivalent to d'.

From Chapter 1, if  $p \neq q$ , then  $(\mathbb{R}^n, d_p)$  and  $(\mathbb{R}, d_q)$  are not isometric.

Corollary 3.3.7. — equivalence for  $d_p$  and  $d_q$ 

On  $\mathbb{R}^n$ , the distances  $d_p$  and  $d_q$  are equivalent  $\forall p,q \geq 1$  including  $p,q = \infty$ .

The case of the space of functions C[0,1] and two distances  $d_{L^1}$  and  $d_{L^\infty}$ :

Lemma 3.3.8.

The inequality  $d_{L^1}(f,g) \leq d_{L^\infty}(f,g)$  holds  $\forall f,g \in C[0,1]$ .

Remark 3.3.9.

The distance  $d_{L^1}$  and  $d_{L^\infty}$  are not equivalent.

Remark 3.3.12.

For  $p \geq 1$ , the space of sequences  $l^p$  can be endowed with distances  $d_q$  and  $d_{q'} \ \forall p \leq q < q'$ . These two distances are <u>not equivalent</u>.

# 3.4. Homeomorphisms

Definition 3.4.1. — 集到集的双连续映射

Let  $(X,d_X)$ ,  $(Y,d_Y)$  be metric spaces. We say that  $f\colon\thinspace X o Y$  is a homeomorphism when

- 1. f is bijective
- 2. Both f and  $f^{-1}$  are continuous

We say that the two metric spaces are homeomorphic when there exists such a f.

f 可以将 $(X, d_X)$ 中的开集通过映射:

$$\mathcal{U} 
ightarrow f(\mathcal{U}), \quad \mathcal{V} 
ightarrow f^{-1}(\mathcal{V})$$

来得到 $(Y, d_Y)$ 中的开集.

Example 3.4.2.

Let  $f: (X,d_X) 
ightarrow (Y,d_Y)$  be an isometry, then f is a homeomorphism.