

# Relational algebra

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# The question of the day

For each ware what materials are required to produce it?



# The structure of bill of materials in DB

**Problem:** to reason about bills of materials, production chains, etc. it is essential to query multiple tables simultaneously.



# Basic terms (a bit more formal)

**n-tuple (tuple)** – sequence of **n** elements:  $(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n)$

**Type:** **x** is of type **T** iff  $\mathbf{x} \in \mathbf{T}$

**Type of tuple:** if  $\mathbf{x}_i$  is of type  $\mathbf{T}_i$ , then the whole tuple is of type  $\mathbf{T}_1 \times \mathbf{T}_2 \times \dots \times \mathbf{T}_n$

**Record** is a **tuple** with named attributes. Will use both terms interchangeably.

**n-ary relation** over the sets  $\mathbf{T}_1, \mathbf{T}_2, \dots, \mathbf{T}_n$  – a **subset** of  $\mathbf{T}_1 \times \mathbf{T}_2 \times \dots \times \mathbf{T}_n$ , (Cartesian product or cross-product) i.e. a set of tuples of this type

**Table** (multiset) in RDB and **relation** (set) in math are almost the same.



# Operations in relational algebra

- **Projection** - takes the subset of attributes from each tuple. Acts like the unique projection in SQL without derivative columns.
- **Extended projection** – like unique projection in SQL. Could include derivative columns.
- **Selection** – subset of tuples that passes the filter provided, written as propositional formula. The same as in SQL.
- **Rename**
- **Set operations:** union, intersection, difference
- **Join** (like product)
  - **Cross-join**
  - **Natural join**
  - **Equijoin**
  - **Outer join**
  - **$\theta$ -join**
  - **Semijoin**
  - **Antijoin**
- **Division**



# Rename

## Relational algebra:

$\rho_{y/x}(\mathbf{R})$ , result is the same as  $\mathbf{R}$  except element with name  $\mathbf{x}$  renamed to  $\mathbf{y}$

## SQL:

```
SELECT WARE AS WARE_NAME, CLASS  
FROM CATEGORY
```

```
>> WARE_NAME  CLASS  
-----  
Charcoal     Fuel  
Water        Mineral  
...
```



# Cross join

## Relational algebra:

$R_1 \times R_2$  cartesian product of two relations, i.e. two sets

**SQL:** get an answer for the question of the day, the first try

```
SELECT *  
FROM MATERIAL, PRODUCT
```

```
>> ...
```

```
...
```

```
PROFIT?
```



# Semantics of cross join

**MATERIAL**

BILL_ID	WARE	...
1	Meat cow	
2	Water	
2	Grain	
3	Gold ore	
...	...	

**PRODUCT**

BILL_ID	WARE	...
1	Meat	
1	Leather	
2	Meat cow	
3	Gold	
...	...	





# Natural join

## Relational algebra:

$\mathbf{R}_1 \bowtie \mathbf{R}_2$  all the combinations of tuples from  $\mathbf{R}_1$  and  $\mathbf{R}_2$  equal on attributes with the same names.

## SQL: second try

```
SELECT *  
FROM MATERIAL, PRODUCT  
<MAGICAL RITUAL TO MAKE THE DB TO PERFORM  $\bowtie$ >
```

```
>> NULL NULL NULL NULL
```



# Disclosure of the black magic (that failed)

```
SELECT MATERIAL.BILL_ID,  
        MATERIAL.WARE,  
        MATERIAL.AMOUNT,  
        PRODUCT.PRICE  
FROM MATERIAL, PRODUCT  
WHERE MATERIAL.BILL_ID=PRODUCT.BILL_ID  
      AND MATERIAL.WARE=PRODUCT.WARE  
      AND MATERIAL.AMOUNT=PRODUCT.AMOUNT;
```

```
>> NULL NULL NULL NULL
```



# Equijoin

## Relational algebra:

$\mathbf{R}_1 \bowtie_{a=b} \mathbf{R}_2$  all the combinations of tuple from  $\mathbf{R}_1$  and  $\mathbf{R}_2$ , where  $\mathbf{a}$  is some attribute of  $\mathbf{R}_1$ ,  $\mathbf{b}$  is attribute of  $\mathbf{R}_2$  and  $\mathbf{a}$  equals to  $\mathbf{b}$ .  
In extended form (implemented by SQL) multiple attributes from both relations can be used.

## SQL: the second try

```
SELECT MATERIAL.WARE, PRODUCT.WARE
FROM MATERIAL, PRODUCT
WHERE MATERIAL.BILL_ID=PRODUCT.BILL_ID;
```

```
>> WARE          WARE
-----
Charcoal        Drinking water
Grain           Meat cow
Charcoal        Drinking water
...
```



# Semantics of equijoin

**MATERIAL**

...	WARE	BILL_ID
	Meat cow	1
	Water	2
	Grain	2
	Gold ore	3
	...	...

**PRODUCT**

BILL_ID	WARE	...
1	Meat	
1	Leather	
2	Meat cow	
3	Gold	
...	...	



# Final touch on the query

- There are many duplicates, **DISTINCT** should be applied.
- Rename is useful to distinguish columns in the query result.

```
SELECT DISTINCT MATERIAL.WARE AS MATERIAL,  
                PRODUCT.WARE AS PRODUCT  
FROM MATERIAL, PRODUCT  
WHERE MATERIAL.BILL_ID=PRODUCT.BILL_ID;
```

```
>> MATERIAL    PRODUCT  
-----  
Charcoal      Drinking water  
Grain         Meat cow  
...
```



# Alternative SQL syntax for equijoin

```
SELECT DISTINCT MATERIAL.WARE AS MATERIAL,  
                PRODUCT.WARE AS PRODUCT  
FROM MATERIAL  
INNER JOIN PRODUCT  
ON MATERIAL.BILL_ID=PRODUCT.BILL_ID;
```

- The query is equivalent to the previous
- **INNER** keyword is optional (in contrast to other types of join)
- For the inner join **ON** section works the same as **WHERE** section (wrong for other types of join). However, it is conventional to place the join conditions under **ON** and other selection conditions under **WHERE**.



# Another question

Which wares do have two or more categories?



# Self-join

```
SELECT DISTINCT fst.WARE  
FROM CATEGORY fst, CATEGORY snd  
WHERE fst.WARE=snd.WARE AND fst.CLASS<>snd.CLASS;
```

Table is joined to itself, so table aliasing is a must in this case.

In other cases aliasing is optional, however it is conventional to use in any join-query.

**Q:** Identify the join type in this query:

- ☐ Cross join
- ☐ Equijoin
- ☐ Join of unknown type





# Equivalent query rewriting

## Cross join form:

```
SELECT DISTINCT fst.WARE
FROM CATEGORY fst
INNER JOIN CATEGORY snd
WHERE fst.WARE=snd.WARE
      AND fst.CLASS<>snd.CLASS;
```

## Equijoin form:

```
SELECT DISTINCT fst.WARE
FROM CATEGORY fst
INNER JOIN CATEGORY snd
ON  fst.WARE=snd.WARE
WHERE fst.CLASS<>snd.CLASS;
```

## $\theta$ -join (theta-join) form:

```
SELECT DISTINCT fst.WARE
FROM CATEGORY fst
INNER JOIN CATEGORY snd
ON  fst.WARE=snd.WARE
AND fst.CLASS<>snd.CLASS;
```



# $\theta$ -join

## Relational algebra:

$\theta$ -join is generalization of equijoin.

$\mathbf{R}_1 \bowtie_{a \theta b} \mathbf{R}_2$  all the combinations of tuples from  $\mathbf{R}_1$  and  $\mathbf{R}_2$  where  $\mathbf{a}$  is some attribute

of  $\mathbf{R}_1$ ,  $\mathbf{b}$  is attribute of  $\mathbf{R}_2$ ,  $\theta$  is one the predicates ( $>$ ,  $\geq$ ,  $<$ ,  $\leq$ ,  $=$ ,  $\neq$ ) and  $\mathbf{a} \theta \mathbf{b}$ .

## SQL:

Inner join implements extended version of  $\theta$ -join. The extensions are the following:

- a propositional formula over any number of attributes can be used as a join condition
- additional predicates can be used ([LIKE](#) for example)

Formally there are no differences between  $\theta$ -join and cross join with selection, all these variants are implemented by inner join in SQL.



# $\theta$ -join: example

## Schema

--people and their money

**TABLE PERSON:**

NAME TEXT

CASH INTEGER

Ex.: ('John Smith', 1000)

--manufacturing goods and prices

**TABLE GOOD:**

GOOD\_NAME TEXT

PRICE INTEGER

Ex.: ('Soap', 10)

**Q:** What can be bought by each person?

**SQL:**

SELECT p.NAME AS PERSON,

g.GOOD\_NAME AS GOOD

FROM PERSON p, GOOD g

WHERE p.CASH >= g.PRICE; --  $\theta$ -join condition



# $\theta$ -join: yet another example

## Schema

--two-dimensional points

**TABLE** POINT\_2D:

X REAL

Y REAL

Ex.: (10.5, 6.3)

**Q:** What points are close to each other (i.e. with distance less than 1.0)?

**SQL:**

SELECT fst.X AS X1, fst.Y AS Y1,

      snd.X AS X2, snd.Y AS Y2

FROM POINT\_2D fst, POINT\_2D snd

WHERE (fst.X-snd.X)\*(fst.X-snd.X)+

      (fst.Y-snd.Y)\*(fst.Y-snd.Y)<=1.0 --  $\theta$ -join condition

-- there is a bug in this query



# Notes on usage and performance

- Equijoin is the most used form of join. The generalized  $\theta$ -join is much more rare.
- There are efficient algorithms to perform equijoin and some other special forms of  $\theta$ -join (will be discussed in lectures later).
- Despite the fact that  $\theta$ -join could be implemented as cross join with additional selection this is used as the worst case scenario only due to terrible efficiency.



# Returning to the question of the day

The solution was:

```
SELECT DISTINCT MATERIAL.WARE AS MATERIAL,  
                PRODUCT.WARE  AS PRODUCT  
FROM MATERIAL, PRODUCT  
WHERE MATERIAL.BILL_ID=PRODUCT.BILL_ID;
```

**Q:** Have we taken everything into the account?  
What about the **water**? Do we have bills for it?



# Left outer join

## Relational algebra:

$\mathbf{R}_1 \bowtie \mathbf{R}_2$  is  $\mathbf{R}_1 \ltimes \mathbf{R}_2$  ( $\theta$  variant in general case) with additional tuples from  $\mathbf{R}_1$  that have no matching tuples (with NULLs for the missing attributes from  $\mathbf{R}_2$ )

## SQL: the third try

```
SELECT DISTINCT m.WARE, p.WARE
FROM PRODUCT p
LEFT OUTER JOIN MATERIAL m
ON m.BILL_ID=p.BILL_ID;
```

```
>> WARE          WARE
-----
Water           Drinking water
NULL            Water
...
```

**Warning:** unlike the inner join, moving the join condition from **ON** to **WHERE** section will break the join itself.



# Right and full outer join

**SQL:** RIGHT OUTER JOIN

**Relational algebra:**

$\mathbf{R}_1 \bowtie \mathbf{R}_2$  is  $\mathbf{R}_1 \bowtie \mathbf{R}_2$  ( $\theta$  variant in general case) with additional tuples from  $\mathbf{R}_2$  that have no matching tuples (with NULLs for the missing attributes)

**SQL:** FULL OUTER JOIN

**Relational algebra:**

$\mathbf{R}_1 \bowtie \mathbf{R}_2 = \mathbf{R}_1 \bowtie \mathbf{R}_2 \cup \mathbf{R}_1 \bowtie \mathbf{R}_2$

**Note:** in SQLite only the left join is implemented.  
However, the right join can be replaced with the left one and the appropriate projection.  
The full join can be implemented directly by its definition.





# Outer join: alternative syntax

```
--left join in ANSI SQL  
--this syntax is not supported by SQLite
```

```
SELECT DISTINCT m.WARE, p.WARE  
FROM PRODUCT p, MATERIAL m  
WHERE p.BILL_ID=m.BILL_ID(+);
```



# NULL value

- **NULLs** can be explicitly put into DB or produced by some statements (OUTER JOIN for example)
- **NULL** is considered as value of any primitive type (TEXT, INTEGER, etc.)
- Causes any regular predicate or function/operation to return **NULL** (that is logically false)
- Ignored by any aggregation function



# NULL statements and functions

- X IS NULL – explicitly checks if the value is NULL
- X IS NOT NULL – explicitly checks if the value is not NULL
- COALESCE(X, Y) – returns X if it is not NULL and Y otherwise



# Programming style examples

```
SELECT tab1_left.ATTR1, tab2_right.ATTR1
FROM TABLE1 tab1_left
INNER JOIN TABLE1 tab1_right
ON tab1_left.KEY1=tab1_right.KEY2
WHERE tab1_left.ATTR2>0 AND
      tab1_right.ATTR2>0
```

```
SELECT tab1_left.ATTR1 AS LEFT,
      tab2_right.ATTR1 AS RIGHT
FROM TABLE1 tab1_left
JOIN TABLE1 tab1_right
  ON tab1_left.KEY1=tab1_right.KEY2
WHERE tab1_left.ATTR2>0
      AND tab1_right.ATTR2>0
```

```
SELECT tab1_left.ATTR1 AS LEFT,
      tab2_right.ATTR1 AS RIGHT
FROM TABLE1 tab1_left
JOIN TABLE1 tab1_right
  ON tab1_left.KEY1=tab1_right.KEY2
WHERE tab1_left.ATTR2>0
      AND tab1_right.ATTR2>0
```

