

# Math 132 Problem Set 4

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**Problem 1.** Suppose that  $X \subset \mathbb{R}^N$  is a smooth  $n$ -manifold, and let  $k$  be a non-negative integer. Show that the set of  $((v_1, \dots, v_k), x) \in \mathbb{R}^{Nk} \times \mathbb{R}^N$  with  $x \in X$  and  $v_i \in T_x X$  is a smooth manifold of dimension  $n(k+1)$ .

Let's call this manifold  $W$ . First, observe that this manifold  $W$  is exactly the pullback of the diagram

$$\begin{array}{ccc} W & \longrightarrow & (TX)^k \\ \downarrow & & \downarrow p^k \\ X & \xrightarrow{\Delta} & X^k \end{array}$$

where  $p : TX \rightarrow X$  is the standard tangent bundle projection, and  $\Delta$  is the diagonal map. We claim that  $p^k \pitchfork \Delta$ , this would prove that  $W$  is a smooth manifold of dimension  $\dim TX^k - \dim X^k + \dim X = n(k+1)$ . To prove transversality, notice that on the preimage of a point  $\Delta(x) \in X^k$ ,  $dp^k$  must be onto because  $p$  and therefore  $p^k$  is a submersion. This is by the fiber bundle property proved in 3b, since locally  $p$  is a composition of a diffeomorphism and the submersion  $U \times F \rightarrow U$ .

**Problem 2.** Suppose that  $k \leq \ell$ . Show that the set of linear transformations  $T : \mathbb{R}^k \rightarrow \mathbb{R}^\ell$  having rank less than  $k$  has measure zero.

Let's call this space  $H \subset \text{Hom}(\mathbb{R}^k, \mathbb{R}^\ell)$ . First consider the space  $W_k(S^{k-1})$  from the previous problem, where  $X = S^{n-1}$ . This space has dimension  $(k-1)(k+1)$ . Now note that we have a smooth (linear) map  $W_k(S^{k-1}) \rightarrow \text{Hom}(\mathbb{R}^k, \mathbb{R}^\ell)$ , where a point  $((v_1, \dots, v_k), x)$  is sent to the matrix  $T$  with columns  $v_i$ . Here note that  $Tx = 0$  and  $|x| = 1$ . So the image of  $W_k(S^{k-1})$  is the space of maps that have a nontrivial kernel, which is exactly the space we're looking for. Since  $k \leq \ell$ ,  $(k-1)(k+1) < k\ell$ , so by Sard's theorem the desired set of transformations has measure zero.

**Problem 3.** Suppose that  $F$  is a smooth manifold of dimension  $k$  and  $M$  is a smooth manifold of dimension  $\ell$ . A *smooth fiber bundle* is a subspace  $E \subset \mathbb{R}^n$  and a smooth map  $p : E \rightarrow M$  with the property that for each  $x \in M$  there is an open neighborhood  $U \subset M$  of  $x$  and a diffeomorphism  $p^{-1}(U) \rightarrow U \times F$  having the property that the diagram

$$\begin{array}{ccc} p^{-1}(U) & \longrightarrow & U \times F \\ p \downarrow & & \downarrow \pi_U \\ U & \dashrightarrow & U \end{array}$$

commutes.

If  $F$  and  $M$  are  $k$  and  $\ell$  manifolds respectively:

**a.** Show that  $E$  is a smooth manifold of dimension  $k + \ell$ .

For any  $x \in E$ , we can find some open neighborhood  $p^{-1}(U)$  of  $p(x) \in M$  such that  $x \in p^{-1}(U) \rightarrow U \times F$  is a diffeomorphism. Since  $U \times F$  is a  $k + \ell$  dimensional manifold (being a product of an open subset of a  $k$ -manifold with an  $\ell$  manifold), we can pick some chart around the image of  $x$  and precompose it with the map to get a  $k + \ell$  chart at  $x \in E$ .

**b.** Show that the tangent bundle of a manifold is a smooth fiber bundle.

To be fully rigorous, we'll state and prove several "obvious" claims.

**Claim.** Let  $\mathcal{U} \subset \mathbb{R}^k$  be an open subset. Then  $T\mathcal{U} = \mathcal{U} \times \mathbb{R}^k$ .

**Proof.** This follows from the fact that  $T_u\mathcal{U} = \mathbb{R}^k$  for any  $u \in \mathcal{U}$ . □

**Claim.** Let  $f : X \rightarrow Y$  be a diffeomorphism. Then  $df : TX \rightarrow TY$  is also a diffeomorphism.

**Proof.** This follows from the fact that  $d(-)$  is "functorial", i.e. if  $g : Y \rightarrow X$  is a smooth inverse,  $dg$  will be a smooth inverse for  $df$ , and vice versa. □

Now suppose  $x \in B$  is any point. Let  $\Phi : \mathcal{U} \rightarrow V \subset \mathbb{R}^k$  be a chart. (i.e. a diffeomorphism) Then  $d\Phi : T\mathcal{U} \rightarrow V \times \mathbb{R}^k$  is also a diffeomorphism, and composing with  $\Phi^{-1} \times 1_{\mathbb{R}^k}$  gives us a diagram

$$\begin{array}{ccc} p^{-1}(\mathcal{U}) = T\mathcal{U} & \xrightarrow{d\Phi} & V \times \mathbb{R}^k \xrightarrow{\Phi^{-1} \circ 1} \mathcal{U} \times \mathbb{R}^k \\ p \downarrow & & \downarrow \pi_{\mathcal{U}} \\ \mathcal{U} & \xrightarrow{\quad \quad \quad} & \mathcal{U} \end{array}$$

This square commutes since  $(\Phi^{-1} \circ 1)(d\Phi)(u, v) = (\Phi^{-1} \circ 1)(\Phi(u), d\Phi_u(v)) = (u, d\Phi_u(v))$ . Projecting onto  $\mathcal{U}$  then just gives us  $u$  so we have the identity.

**Problem 4.** We return to the Stiefel manifold  $V_k(\mathbb{R}^n)$ .

For a unit vector  $v \in S^{n-1}$ , let  $R_v : \mathbb{R}^n \rightarrow \mathbb{R}^n$  be the orthogonal transformation which sends  $v$  to  $-v$  and fixes all the vectors with  $\langle v, w \rangle = 0$ . This is the *reflection through the hyperplane perpendicular to  $v$* . It is given by the formula

$$R_v(x) = x - 2\langle x, v \rangle v.$$

Given two vectors  $v, w \in S^{n-1}$  with  $v \neq -w$ , set  $m = (v + w)/|v + w|$  and define  $R_{v,w} = R_m \circ R_v$ . The orthogonal transformation  $R_{v,w}$  induces the unique rotation in the 2-plane spanned by  $v$  and  $w$  sending  $v$  to  $w$ . Finally, let  $p : V_k(\mathbb{R}^n) \rightarrow S^{n-1}$  be the map given by

$$p([v_1, \dots, v_k]) = v_k.$$

**a.** Given  $v \in S^{n-1}$  let  $U = S^{n-1} - \{-v\}$ , and  $H = v^\perp$ . Show that the map

$$g : p^{-1}(U) \rightarrow U \times V_{k-1}(H)$$

given by

$$g([v_1, \dots, v_{k-1}, x]) = (x, [Rv_1, \dots, Rv_{k-1}])$$

where  $R = R_{x,v}$  is a diffeomorphism.

This map is smooth because each component map  $g_i : p^{-1}(U) \rightarrow V_{k-1}(H)_i$  is given by

$$\begin{aligned} R_{x,v}(v_i) &= R_{\frac{x+v}{|x+v|}} \circ R_v = R_{\frac{x+v}{|x+v|}}(v_i - 2\langle v_i, v \rangle v) \\ &= v_i - 2\langle v_i, v \rangle v - 2 \left\langle v_i - 2\langle v_i, v \rangle v, \frac{x+v}{|x+v|} \right\rangle \frac{x+v}{|x+v|} \end{aligned}$$

which is clearly smooth. This also has smooth inverse

$$g^{-1}(x, [w_1, \dots, v_{k-1}]) = [R_{v,x}w_1, \dots, R_{v,x}w_{k-1}, x]$$

so  $g$  is a diffeomorphism.

**b.** Show that the map  $p$  is a smooth fiber bundle with fiber  $V_{k-1}(\mathbb{R}^{n-1})$ .

This follows immediately from the previous part by picking any orthonormal basis for  $H$  and using the diffeomorphism  $V_{k-1}(H) \rightarrow V_{k-1}(\mathbb{R}^{n-1})$ .

**c.** Using this, give another proof that the Stiefel manifold is a smooth manifold.

By properties of fiber bundles, we know that if  $V_{k-1}(\mathbb{R}^{n-1})$  is a smooth manifold, then so is  $V_k(\mathbb{R}^n)$ . (The base space is  $S^{n-1}$  is always a smooth manifold) By induction, and using the trivial case when  $k = 1$ , since we can always assume that  $k \leq n$ .

### Problem 5. Sphere bundles and Stiefel manifolds.

Let  $X$  be a  $k$ -manifold.

**a.** Let  $SX$  be the set of points  $(x, v) \in TX$  with  $|v| = 1$ . Prove that  $SX$  is a  $(2k - 1)$ -dimensional submanifold of  $TX$ ; it is called the *sphere bundle* of  $X$ .

Consider the map  $f : TX \rightarrow \mathbb{R}$  given by  $f(x, v) = |v|^2$ . This is clearly a smooth map, and  $SX = f^{-1}(1)$ . If we show that 1 is a regular value of this map, we would be done, since the preimage theorem would show that  $\dim SX = 2k - 1$ . Recall that at any point  $(x, v) \in TX$ , there is an identification  $T_{(x,v)}TX = T_xX \times \mathbb{R}^k$  and  $p : TX \rightarrow X$  is a submersion by the argument in problem 1. Thus, locally  $f$  looks like the map  $\mathcal{U} \times \mathbb{R}^k \rightarrow \mathbb{R}$  given by  $(u, v) \mapsto |v|^2$ , under this identification the derivative map is

$$df_{(x,v)} : T_xX \times \mathbb{R}^k \rightarrow \mathbb{R} : (y, w) \mapsto w \cdot 2v.$$

Since for any  $(x, v)$  with  $|v| = 1$ , this map is surjective, we are done.

**b.** Can you think of a relationship between the sphere bundle of  $S^{n-1}$  and a Stiefel manifold?

The sphere bundle  $SS^{n-1}$  is actually diffeomorphic (technically equal) to the Stiefel manifold of orthonormal 2-frames in  $\mathbb{R}^n$ . Recall that the tangent space  $TS^{n-1}$  can be represented as

$$TS^{n-1} = \{(x, v) : x \in S^{n-1}, v \perp x\}.$$

Then the sphere bundle of  $S^{n-1}$  would be

$$SS^{n-1} = \{(x, v) : x, v \in S^{n-1}, v \perp x\}.$$

This is exactly the set of orthogonal 2-frames in  $\mathbb{R}^n$ .