

## Homework 1

**Problem 1.** Decide whether the following is a  $\lambda$  term (or an abbreviation of a  $\lambda$  term). If it is not, explain the reason.

- (a)  $\lambda x.xxx$
- (b)  $\lambda\lambda x.x$
- (c)  $\lambda y.(\lambda x.x)$
- (d)  $\lambda uv.((xy)xy)y$

**Solution.** (a) Yes  
(b) No, the first  $\lambda$  is not matched.  
(c) Yes.  
(d) No, the number of parentheses are not matched.

**Problem 2.** Compute the terms represented by the following substitutions:

- (a)  $(xyz)[y/z]$ .
- (b)  $(\lambda x.x)[y/z]$ .
- (c)  $(\lambda y.xy)[yy/x]$ .

**Solution.** (a)  $xyy$   
(b)  $\lambda x.x$   
(c)  $\lambda z.yyz$

**Problem 3.** Prove the following equalities in the theory of  $\lambda\beta$ . You need to draw the “proof tree” using the rules we defined in the lecture.

- (a)  $\lambda uv.v = \lambda u.(\lambda x.x)(\lambda u.u)$ .
- (b)  $\lambda uv.(\lambda xy.y)uv = \lambda ab.b$ .

**Solution.** (a)

$$\begin{array}{c} (\beta) \frac{}{(\lambda x.x)(\lambda v.v) = \lambda v.v} \\ (\text{abs}) \frac{}{\lambda u.(\lambda x.x)(\lambda v.v) = \lambda u.(\lambda v.v)} \\ (\text{sym}) \frac{}{\lambda u.(\lambda v.v) = \lambda u.(\lambda x.x)(\lambda v.v)} \end{array}$$

Use  $\alpha$  conversion to obtain the final result.

(b)

$$\begin{array}{c}
(\beta) \frac{}{(\lambda xy.y)u = \lambda y.y} \quad (\text{refl}) \frac{}{v = v} \quad (\beta) \frac{}{(\lambda y.y)v = v} \\
(\text{app}) \frac{}{(\lambda xy.y)uv = (\lambda y.y)v} \quad (\text{tran}) \frac{}{(\lambda xy.y)uv = (\lambda y.y)v} \\
(\text{abs}) \frac{}{\lambda v.(\lambda xy.y)uv = \lambda v.v} \\
(\text{abs}) \frac{}{\lambda uv.(\lambda xy.y)uv = \lambda uv.v}
\end{array}$$

Use  $\alpha$  conversion to obtain the final result.

Please note that when writing proof trees, each reduction should be of the *exactly* same structure as the five rules. Operations like merging multiple abs together/ only applying  $\beta$  rule to the first term is not allowed.

**Problem 4.**

- (a) Find a  $\lambda$  term  $s$  such that the equality  $stu = ut$  holds in  $\lambda\beta$  for all terms  $t$  and  $u$ .
- (b) Show that there is a  $\lambda$  term  $s$  such that for all term  $t$ ,  $\lambda\beta \vdash st = ss$ .

**Solution.** (a)  $s = \lambda yx.xy$

(b) two possible solutions:

1.  $\lambda x.s$ ,  $x \notin \text{FV}(s)$ .
2. Note that we are trying to find  $s$  that satisfies  $s = \lambda y.ss$ , or equivalently,  $s$  is the fixed point for  $\lambda xy.xx$ . Take any fixed point combinator  $\Theta$ , and set  $s = \Theta(\lambda xy.xx)$ .

**Problem 5.** Show that there is a term  $G$  such that all fixed-point combinators can be *characterized* as the fixed points of  $G$ . That is,  $s$  is a fixed-point combinator if and only if  $\lambda\beta \vdash Gs = s$ .

**Solution.**  $G = \lambda yx.x(yx)$ .

If:  $Gs = s$  implies  $s = \lambda x.x(sx)$ . Thus for any  $f$ ,  $sf = f(sf)$ .

Only if: First we assume  $s = \lambda x.t$ .

$$Gs = \lambda x.x(sx) = \lambda x.sx = \lambda x.((\lambda y.t[y/x])x) = \lambda x.(t[x/x]) = s$$

First equality by def of  $G$  and  $\beta$  conversion, second by that  $s$  is a fixed point. third by  $\alpha$  conversion, fourth by  $\beta$  conversion.

To provide a full proof for the only if direction, we need to use the Church-Rosser theorem from Lecture 2. Here we give some hints, and left the full proof as an exercise.

Note that we want to prove  $s = \lambda x.t$  without using the  $\eta$  rule. Since  $\beta$  reduction satisfies the Church-Rosser property, we have that  $u = v$  iff there exists some  $w$  such that  $u \rightarrow w$  and  $v \rightarrow w$ . Now we set  $u = f(sf)$ ,  $v = sf$ , and discuss the structure of  $w$ . Hint: Consider  $u$  first. Since  $f$  is an arbitrary term, checking through all rules for  $\rightarrow_\beta$ , what should  $w$  look like?