Homework 4

Problem 1. Give a model for the sentence

$$\phi_{lt} = \forall x \left[R_1(x, x) \right]$$

$$\wedge \forall x, y \left[R_1(x, y) \leftrightarrow R_1(y, x) \right]$$

$$\wedge \forall x, y, z \left[(R_1(x, y) \land R_1(y, z)) \rightarrow R_1(x, z) \right]$$

$$\wedge \forall x, y \left[R_1(x, y) \rightarrow \neg R_2(x, y) \right]$$

$$\wedge \forall x, y \left[\neg R_1(x, y) \rightarrow (R_2(x, y) \oplus R_2(y, x)) \right]$$

$$\wedge \forall x, y, z \left[(R_2(x, y) \land R_2(y, z)) \rightarrow R_2(x, z) \right]$$

$$\wedge \forall x \exists y [R_2(x, y)].$$

Solution. We describe the model \mathcal{U} as follows:

- 1. The universe of \mathcal{U} is an infinite set U, with a certain partial order R defined on it, and any of its subset has no maximum element.
- 2. There are two predicates: R_1 and R_2 . We assign a binary relation $R_1^{\mathcal{U}}$ and a binary relation $R_2^{\mathcal{U}}$ to them respectively. Both $R_1^{\mathcal{U}}$ and $R_2^{\mathcal{U}}$ belong to \mathcal{U} , and are denoted and defined as follows: for all $x, y \in \mathcal{U}$,

$$xR_1^{\mathcal{U}}y \iff x =_R y \iff xRy \wedge yRx,$$

 $xR_2^{\mathcal{U}}y \iff x <_R y \iff \neg(yRx).$

Therefore, the model \mathcal{U} satisfies ϕ_{lt} , because we can verify as follows:

- 1. For all $x \in U$, $x =_R x$ holds.
- 2. For all $x, y \in U$, $x =_R y$ if and only if $y =_R x$.
- 3. For all $x, y, z \in U$, if $x =_R y$ and $y =_R z$, then $x =_R z$.
- 4. For all $x, y \in U$, if $x =_R y$, then $x <_R y$ does not hold.
- 5. For all $x, y \in U$, if $x =_R y$ does not hold, then either $x <_R y$ or $y <_R x$.
- 6. For all $x, y, z \in U$, if $x <_R y$ and $y <_R z$, then $x <_R z$.

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7. For all $x \in U$, there exists $y \in U$ such that $x <_R y$, since any subset of U has no maximum element.

Problem 2. Prove that the Halting problem with empty input

$$\text{HALT}_{\varepsilon} = \{ \langle M \rangle \mid M \text{ halts on empty input.} \}$$

is undecidable.

Solution. We prove it by contradiction. Suppose that $HALT_{\varepsilon}$ is decidable, then there exists a Turing machine H that decides $HALT_{\varepsilon}$. Construct a new Turing machine D as follows:

- 1. Obtain its own description $\langle D \rangle$ by recursion theorem.
- 2. Run H on input $\langle D \rangle$.
- 3. If H accepts, then loop; otherwise, halt.

You can see that D halts on empty input if and only if H accepts $\langle D \rangle$, which leads to D looping at step 3, meaning D does not halt on empty input. This is a contradiction and thus $\text{HALT}_{\varepsilon}$ is undecidable.

Problem 3. Show that any infinite subset of MIN_{TM} is not Turing-recognizable where MIN_{TM} is a language defined in the class.

Solution. We prove it by contradiction. Suppose that there is an infinite subset $SMIN_{TM}$ of MIN_{TM} that is Turing-recognizable, then there exists a Turing machine E that enumerates $SMIN_{TM}$. We construct the following Turing machine C:

- 1. Obtain its own description $\langle C \rangle$ by recursion theorem.
- 2. Run the enumerator E until machine D appears with a longer description than $\langle C \rangle$.
- 3. Simulates D on input w.

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Because SMIN_{TM} is infinite, E's list must contain a longer description than $\langle C \rangle$. Therefore, C eventually terminates at step 2 with some machine D that has a longer description. And C simulates D on input w, so they are equivalent. Because C is shorter than D and is equivalent to it, so D cannot be minimal; but D appears on E's list.

This is a contradiction and thus $SMIN_{TM}$ is not Turing-recognizable.

Problem 4.

- (a) Prove a special case of the S-m-n theorem, the Currying technique for Turing machines. That is, show that there is a computable function $S_1^1: \Sigma^* \times \Sigma^* \to \Sigma^*$ mapping the description of Turing machine T and input x to the description of a Turing machine S such that (1) S on input y computes the same output as T on input $\langle x, y \rangle$ if T halts; and (2) S loops on input y if T loops on input $\langle x, y \rangle$.
- (b) Prove Kleene's recursion theorem by item (a) and Roger's fixed-point theorem.

Solution. (a) Given a Turing machine T and an input x, we can construct a Turing machine S that $S_1^1(\langle T \rangle, x) = S$ as follows:

1. On input y, S simulates T on input $\langle x, y \rangle$.

If the simulation of T on input $\langle x, y \rangle$ halts, then S halts and outputs the same result as T. Otherwise, S loops on input y as well. S satisfies the requirements, and S_1^1 is a computable function. Therefore the Currying technique is proved.

(b) Let T be a Turing machine that computes a function $t: \Sigma^* \times \Sigma^* \to \Sigma^*$. From item (a), we can construct a Turing machine $S_w = S^1_1(\langle T \rangle, w)$ that computes a function $s_w: \Sigma^* \to \Sigma^*$, such that $t(x, w) = s_w(x)$.

From Roger's fixed-point theorem, since $s_w : \Sigma^* \to \Sigma^*$ is a computable function, then there is a Turing machine R for which $s_w(\langle R \rangle)$ describes a machine equivalent to R. Note that $s_w(\langle R \rangle) = t(\langle R \rangle, w)$, and s_w is a function that implicitly relies on w, so s_w could be seen as a function of w, denoted as r(w).

Therefore, we can construct a Turing machine R that has a computing function $r: \Sigma^* \to \Sigma^*$, such that for every $w, r(w) = t(\langle R \rangle, w)$.