

# Monday

Friday:  $A_{TM}$  is recognizable.

**Theorem:**  $A_{TM}$  is not Turing-decidable.

$A_{TM}$  is undecidable!

**Proof:** Suppose **towards a contradiction** that there is a Turing machine that decides  $A_{TM}$ . We call this presumed machine  $M_{ATM}$ .

By assumption, for every Turing machine  $M$  and every string  $w$

$$L(M_{ATM}) = A_{TM} = \{ \langle M, w \rangle \mid M \text{ TM halts on } w \}$$

and  $M_{ATM}$  always halts

- If  $w \in L(M)$ , then the computation of  $M_{ATM}$  on  $\langle M, w \rangle$  halts and accepts.
  - If  $w \notin L(M)$ , then the computation of  $M_{ATM}$  on  $\langle M, w \rangle$  halts and rejects.
- whether  $M$  halts on  $w$  or not!

Define a **new** Turing machine using the high-level description:

$D =$  "On input  $\langle M \rangle$ , where  $M$  is a Turing machine:

1. Run  $M_{ATM}$  on  $\langle M, \langle M \rangle \rangle$ .
2. If  $M_{ATM}$  accepts, reject; if  $M_{ATM}$  rejects, accept."

**D** "diagonal"

self reference

disagreement

"devious"

Is  $D$  a Turing machine?

Yes! Given by high level description, using  $M_{ATM}$  as a subroutine.

Is  $D$  a decider?

For string  $x$ , running  $D$  on  $x$  means first type check, which takes finitely many steps. Then step 1, running one computation of  $M_{ATM}$ . This computation halts in finitely many steps b/c  $M_{ATM}$  is assumed to be a decider. Step 2 also takes only finitely many steps. So  $D$  guaranteed to halt in finitely many steps!

What is the result of the computation of  $D$  on  $\langle D \rangle$ ?

Type check ✓ TM  
Step 1: Run  $M_{ATM}$  on  $\langle D, \langle D \rangle \rangle$

Case ①  $M_{ATM}$  accepts  $\langle D, \langle D \rangle \rangle$

By assumption on  $M_{ATM}$   
 $\langle D, \langle D \rangle \rangle \in A_{TM}$  i.e.

$D$  accepts  $\langle D \rangle$

But step 2 of  $D$  tells us to reject  $\langle D \rangle$  when  $M_{ATM}$  accepts  $\langle D, \langle D \rangle \rangle$

Case ②  $M_{ATM}$  rejects  $\langle D, \langle D \rangle \rangle$

By assumption on  $M_{ATM}$

$\langle D, \langle D \rangle \rangle \notin A_{TM}$ .

i.e.  $\langle D \rangle \notin L(D)$  i.e.  $D$  does not accept  $\langle D \rangle$ .

But, step 2 of  $D$  when  $M_{ATM}$  rejected  $\langle D, \langle D \rangle \rangle$ ,

$D$  accepted  $\langle D \rangle$ !  $\rightarrow \times$

**Theorem** (Sipser Theorem 4.22): A language is Turing-decidable if and only if both it and its complement are Turing-recognizable.

**Proof, first direction:** Suppose language  $L$  is Turing-decidable. WTS that both it and its complement are Turing-recognizable.

Why is  $L$  recognizable?  
Use the same Turing machine that decides  $L$  to recognize it.

Why is  $\bar{L}$  recognizable?  
Let  $M_L$  be decider for  $L$ . Define

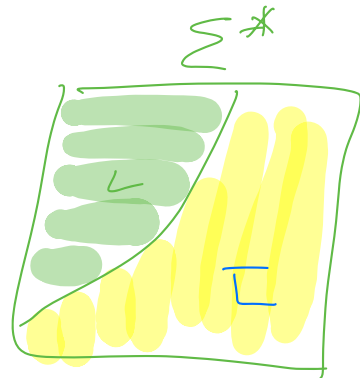
$M =$  "On input  $x$   
1. Run  $M_L$  on  $x$ . *finite subroutine*  
2. If  $M_L$  accepts, reject; if  $M_L$  rejects, accept."

$L(M) = \bar{L}$  and  $M$  is decider (b/c  $M_L$  is).  
 $M$  decides  $\bar{L}$  and thus recognizes it  $\checkmark$ .

**Proof, second direction:** Suppose language  $L$  is Turing-recognizable, and so is its complement. WTS that  $L$  is Turing-decidable.

Given TM  $M_L$  that recognizes  $L$ .  
Given TM  $M_{\bar{L}}$  that recognizes  $\bar{L}$ .  
Need to build a new TM that ① is a decider  
and ② recognizes  $L$ .

Define  $D =$  "On input  $w$   
1. Run  $M_L$  on  $w$  and  $M_{\bar{L}}$  on  $w$ ,  
alternating one step at a time  
("in parallel", saving configurations  
of computations)  
2. If  $M_L$  halts and accepts, accept.  
If  $M_L$  halts and rejects, reject.  
If  $M_{\bar{L}}$  halts and accepts, reject.  
If  $M_{\bar{L}}$  halts and rejects, accept."



Claim:  $D$  satisfies goals ① and ②. Pf...

Give an example of a decidable set:  
language  $A_{DFA}, \emptyset, \{x \in \Sigma^* \mid |x| \bmod 2 = 0\},$   
 $REPL(L)$  where  $L$  is decidable.

Give an example of a recognizable undecidable set:  
language ATM.

Give an example of an unrecognizable set:  
language ATM

Class of  
decidable  
languages is  
closed under  
complement.

If  $\overline{ATM}$  recognizable, then Thm above  
says  $ATM$  decidable  $\rightarrow$

**True** or **False**: The class of Turing-decidable languages is closed under complementation?

But the class of Turing-recognizable languages is not closed under complement.

Definition: A language  $L$  over an alphabet  $\Sigma$  is called **co-recognizable** if its complement, defined as  $\Sigma^* \setminus L = \{x \in \Sigma^* \mid x \notin L\}$ , is Turing-recognizable.

Notation: The complement of a set  $X$  is denoted with a superscript  $c$ ,  $X^c$ , or an overline,  $\overline{X}$ .

## Review: Week 8 Monday

Recall: Review quizzes based on class material are assigned each day. These quizzes will help you track and confirm your understanding of the concepts and examples we work in class. Quizzes can be submitted on Gradescope as many times (with no penalty) as you like until the quiz deadline: the three quizzes each week are all due on Friday (with no penalty late submission open until Sunday).

Please complete the review quiz questions on [Gradescope](#) about undecidability.

## Wednesday

### Mapping reduction

Motivation: Proving that  $A_{TM}$  is undecidable was hard. How can we leverage that work? Can we relate the decidability / undecidability of one problem to another?

If problem  $X$  is **no harder than** problem  $Y$   
... and if  $Y$  is easy,  
... then  $X$  must be easy too.

\*

If problem  $X$  is **no harder than** problem  $Y$   
... and if  $X$  is hard,  
... then  $Y$  must be hard too.

\*

“Problem  $X$  is no harder than problem  $Y$ ” means “Can answer questions about membership in  $X$  by converting them to questions about membership in  $Y$ ”

$w \in X?$

$f(w) \in Y?$

Definition:  $A$  is **mapping reducible to  $B$**  means there is a computable function  $f : \Sigma^* \rightarrow \Sigma^*$  such that for all strings  $x$  in  $\Sigma^*$ ,

$x \in A$  if and only if  $f(x) \in B$ .

well-defined

Notation: when  $A$  is mapping reducible to  $B$ , we write  $A \leq_m B$ .

Intuition:  $A \leq_m B$  means  $A$  is no harder than  $B$ , i.e. that the level of difficulty of  $A$  is less than or equal the level of difficulty of  $B$ .

To do

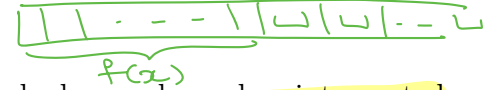
- ① What is a computable function?
- ② Prove that mapping reductions help.

Turing machine  $M$  computes the function  $f: \Sigma^* \rightarrow \Sigma^*$  means for every string  $x \in \Sigma^*$ , computation of  $M$  on  $x$  halts with  $f(x)$  in leftmost cells of tape, rest of the tape is blank.

## Computable functions

Definition: A function  $f: \Sigma^* \rightarrow \Sigma^*$  is a **computable function** means there is some Turing machine such that, for each  $x$ , on input  $x$  the Turing machine **halts** with exactly  $f(x)$  followed by all blanks on the tape

Examples of computable functions:



The function that maps a string to a string which is one character longer and whose value, when interpreted as a fixed-width binary representation of a nonnegative integer is twice the value of the input string (when interpreted as a fixed-width binary representation of a non-negative integer)

$$f_1: \Sigma^* \rightarrow \Sigma^*$$

$$f_1(x) = x0$$

multiply by 2 in binary!

To prove  $f_1$  is computable function, we define a Turing machine computing it.

### High-level description

"On input  $w$

1. Append 0 to  $w$ .
2. Halt."

Examples:

$$f_1(0) = 00$$

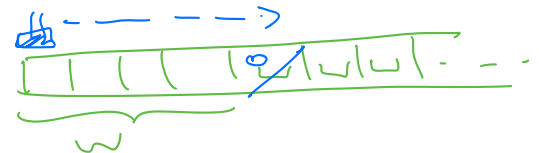
$$f_1(10) = 100$$

$$f_1(\epsilon) = 0$$

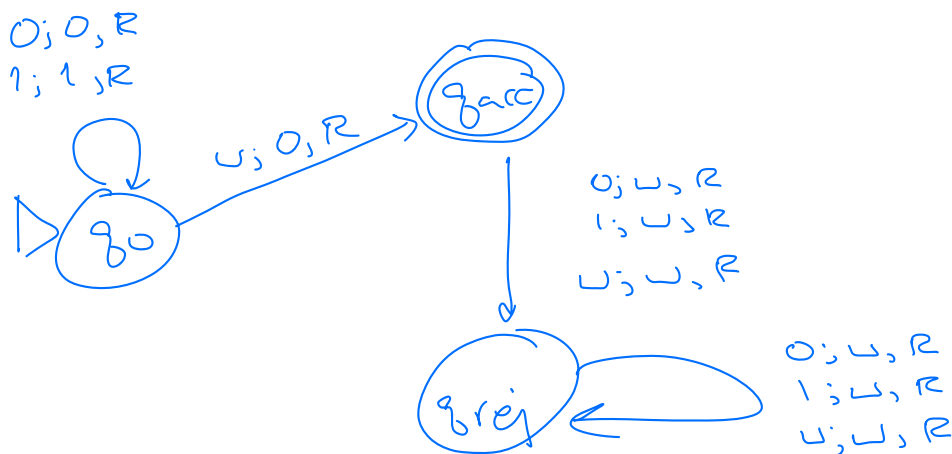
### Implementation-level description

"On input  $w$

1. Sweep read-write head to the right until find first blank cell.
2. Write 0.
3. Halt."



Formal definition  $(\{q_0, q_{acc}, q_{rej}\}, \{0, 1\}, \{0, 1, \sqcup\}, \delta, q_0, q_{acc}, q_{rej})$  where  $\delta$  is specified by the state diagram:



Turing machine for identity function  
 or  $\Sigma^* \rightarrow \Sigma^*$

implementation level

$M_1 =$  "On input  $x$

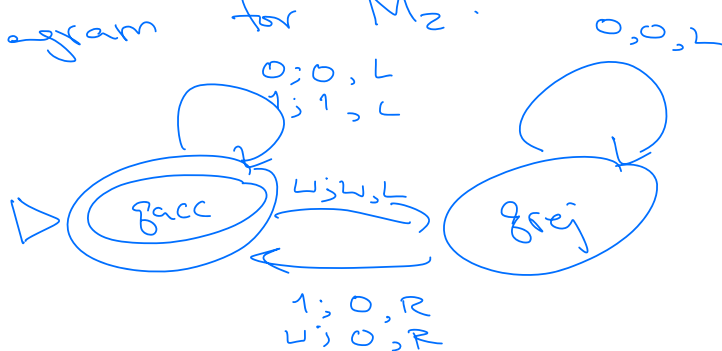
1. Scan  $R$  to first blank.

2. Halt"

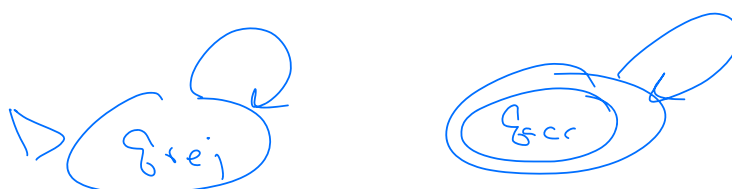
$M_2 =$  "On input  $x$

1. Halt"

State diagram for  $M_2$ :



OR



Note: computable functions must be well-defined (may or may not be 1-1, onto)

The function that maps a string to the result of repeating the string twice.

$$f_2 : \Sigma^* \rightarrow \Sigma^* \quad f_2(x) = xx$$

extra practice

Friday:

The function that maps strings that are not the codes of Turing machines to the empty string and that maps strings that code Turing machines to the code of the related Turing machine that acts like the Turing machine coded by the input, except that if this Turing machine coded by the input tries to reject, the new machine will go into a loop.

$$f_3 : \Sigma^* \rightarrow \Sigma^* \quad f_3(x) = \begin{cases} \varepsilon & \text{if } x \text{ is not the code of a TM} \\ \langle \langle Q \cup \{q_{trap}\}, \Sigma, \Gamma, \delta', q_0, q_{acc}, q_{rej} \rangle \rangle & \text{if } x = \langle \langle Q, \Sigma, \Gamma, \delta, q_0, q_{acc}, q_{rej} \rangle \rangle \end{cases}$$

where  $q_{trap} \notin Q$  and

$$\delta'((q, x)) = \begin{cases} (r, y, d) & \text{if } q \in Q, x \in \Gamma, \delta((q, x)) = (r, y, d), \text{ and } r \neq q_{rej} \\ (q_{trap}, \sqcup, R) & \text{otherwise} \end{cases}$$



The function that maps strings that are not the codes of CFGs to the empty string and that maps strings that code CFGs to the code of a PDA that recognizes the language generated by the CFG.

extra practice

*Other examples?*

## Review: Week 8 Wednesday

**Theorem** (Sipser 5.22): If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is decidable.

**Theorem** (Sipser 5.23): If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is undecidable.

Please complete the review quiz questions on [Gradescope](#) about mapping reductions.

**Pre class reading for next time:** Theorem 5.21 (page 236)

## Friday

Recall definition:  $A$  is **mapping reducible to**  $B$  means there is a computable function  $f : \Sigma^* \rightarrow \Sigma^*$  such that *for all* strings  $x$  in  $\Sigma^*$ ,

$$x \in A \quad \text{if and only if} \quad f(x) \in B.$$

Notation: when  $A$  is mapping reducible to  $B$ , we write  $A \leq_m B$ .

*Intuition:*  $A \leq_m B$  means  $A$  is no harder than  $B$ , i.e. that the level of difficulty of  $A$  is less than or equal the level of difficulty of  $B$ .

*Example:*  $A_{TM} \leq_m A_{TM}$

*Example:*  $A_{DFA} \leq_m \{ww \mid w \in \{0,1\}^*\}$

*Example:*  $\{0^i 1^j \mid i \geq 0, j \geq 0\} \leq_m A_{TM}$

**Theorem** (Sipser 5.22): If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is decidable.

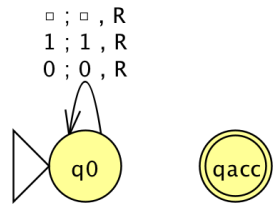
**Theorem** (Sipser 5.23): If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is undecidable.

## Halting problem

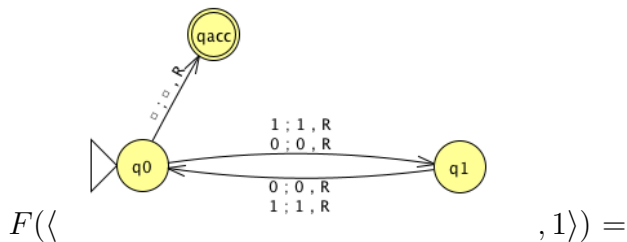
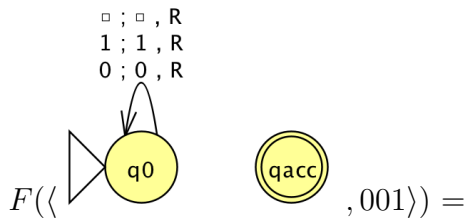
$$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a Turing machine, } w \text{ is a string, and } M \text{ halts on } w\}$$

Define  $F : \Sigma^* \rightarrow \Sigma^*$  by

$$F(x) = \begin{cases} const_{out} & \text{if } x \neq \langle M, w \rangle \text{ for any Turing machine } M \text{ and string } w \text{ over the alphabet of } M \\ \langle M', w \rangle & \text{if } x = \langle M, w \rangle \text{ for some Turing machine } M \text{ and string } w \text{ over the alphabet of } M. \end{cases}$$



where  $const_{out} = \langle \text{triangle}, \varepsilon \rangle$  and  $M'$  is a Turing machine that computes like  $M$  except, if the computation ever were to go to a reject state,  $M'$  loops instead.



To use this function to prove that  $A_{TM} \leq_m HALT_{TM}$ , we need two claims:

Claim (1):  $F$  is computable

Claim (2): for every  $x$ ,  $x \in A_{TM}$  iff  $F(x) \in HALT_{TM}$ .

## Review: Week 8 Friday

Please complete the review quiz questions on [Gradescope](#) about the relationship between  $A_{TM}$  and  $HALT_{TM}$

**Pre class reading for next time:** Example 5.30.