

Monday April 25

To fully specify a PDA we could give its 6-tuple formal definition or we could give its input alphabet, stack alphabet, and state diagram. An informal description of a PDA is a step-by-step description of how its computations would process input strings; the reader should be able to reconstruct the state diagram or formal definition precisely from such a description. The informal description of a PDA can refer to some common modules or subroutines that are computable by PDAs:

- PDAs can “test for emptiness of stack” without providing details. *How?* We can always push a special end-of-stack symbol, \$, at the start, before processing any input, and then use this symbol as a flag.
- PDAs can “test for end of input” without providing details. *How?* We can transform a PDA to one where accepting states are only those reachable when there are no more input symbols.

Big picture: PDAs were motivated by wanting to add some memory of unbounded size to NFA. How do we accomplish a similar enhancement of regular expressions to get a syntactic model that is more expressive?

DFA, NFA, PDA: Machines process one input string at a time; the computation of a machine on its input string reads the input from left to right.

Regular expressions: Syntactic descriptions of all strings that match a particular pattern; the language described by a regular expression is built up recursively according to the expression’s syntax

Context-free grammars: Rules to produce one string at a time, adding characters from the middle, beginning, or end of the final string as the derivation proceeds.

| Term | Typical symbol | Definition |
|---|-------------------------------------|--|
| Context-free grammar (CFG) | G | $G = (V, \Sigma, R, S)$ |
| Variables | V | Finite set of symbols that represent phases in production pattern |
| Terminals | Σ | Alphabet of symbols of strings generated by CFG $V \cap \Sigma = \emptyset$ |
| Rules | R | Each rule is $A \rightarrow u$ with $A \in V$ and $u \in (V \cup \Sigma)^*$ |
| Start variable | S | Usually on LHS of first / topmost rule |
| Derivation | $S \Rightarrow \dots \Rightarrow w$ | Sequence of substitutions in a CFG Start with start variable, apply one rule to one occurrence of a variable at a time |
| Language generated by the CFG G | $L(G)$ | $\{w \in \Sigma^* \mid \text{there is derivation in } G \text{ that ends in } w\} = \{w \in \Sigma^* \mid S \Rightarrow^* w\}$ |
| Context-free language | | A language that is the language generated by some CFG |
| Sipser pages 102-103 | | |

Examples of context-free grammars, derivations in those grammars, and the languages generated by those grammars

$\Sigma =$
 $G_1 = (\{S\}, \{0\}, R, S)$ with rules

one variable start variable

$S \Rightarrow \dots \Rightarrow$ string over $\{0\}$.

$S \rightarrow 0S$
 $S \rightarrow 0$

In $L(G_1) \dots$

0

witnessed by

$S \Rightarrow 0$ (second rule)

00

witnessed by

$S \xRightarrow{\text{first rule}} 0S \xRightarrow{\text{second rule}} 00$

000

witnessed by

$S \xRightarrow{\text{first rule}} 0S \xRightarrow{\text{first rule}} 00S \Rightarrow 000$

Not in $L(G_1) \dots$

(string over $\{0\}$)

ϵ because any string of terminals that is at the end of derivation will have pos length because both rules add 1 to num. of terminals in string.

$G_2 = (\{S\}, \{0, 1\}, R, S)$

$G_2 = (\{S\}, \{0, 1\}, \{S \rightarrow 0S, S \rightarrow 1S, S \rightarrow \epsilon\}, S)$ $S \rightarrow 0S \mid 1S \mid \epsilon$

In $L(G_2) \dots$

$S \Rightarrow 0S \Rightarrow 0$

NOTE: shorthand for

$S \rightarrow 0S$

$S \rightarrow 1S$

$S \rightarrow \epsilon$

1101

$S \Rightarrow 1S \Rightarrow 11S \Rightarrow 110S \Rightarrow 1101S \Rightarrow 1101$

~~Not in $L(G_2) \dots$~~

$L(G_2) = \{0, 1\}^* =$ the set of all strings over $\{0, 1\}$.

$(\{S, T\}, \{0, 1\}, R, S)$ with rules

string 1 string 1 string 1 string

$$S \rightarrow T1T1T1T$$

$$T \rightarrow 0T \mid 1T \mid \varepsilon$$

how to start

how to replace a T.
looks like G_2 .

In $L(G_3) \dots$

1101 as witnessed by

$$S \Rightarrow T1T1T1T \Rightarrow 1T1T1T \Rightarrow 11T1T \Rightarrow 110T1T \Rightarrow 1101T \Rightarrow 1101$$

Not in $L(G_3) \dots$

11

since first production in each derivation adds three 1s and no characters can be erased.

$$L(G_3) = \{w \in \{0, 1\}^* \mid w \text{ has at least three 1s}\} = \Sigma^* 1 \Sigma^* 1 \Sigma^* 1 \Sigma^*$$

$G_4 = (\{A, B\}, \{0, 1\}, R, A)$ with rules

↑ ↑

start var.

$$A \rightarrow 0A0 \mid 0A1 \mid 1A0 \mid 1A1 \mid 1$$

in the middle

In $L(G_4) \dots$

$$A \xRightarrow{(1)} 0A0 \xRightarrow{(3)} 01A00 \xRightarrow{(4)} 011A100 \xRightarrow{(5)} 0111100$$

$$A \Rightarrow 1$$

Not in $L(G_4) \dots$

11 is not in $L(G_4)$ because even length and...

000 is not in $L(G_4)$

$$L(G_4) = \{w \in \{0, 1\}^* \mid w \text{ has odd length and middle char is } 1\}$$

Extra practice: Is there a CFG G with $L(G) = \emptyset$?

very simple language

Design a CFG to generate the language $\{abba\}$

a lot of options

$(\{S, T, V, W\}, \{a, b\}, \{S \rightarrow aT, T \rightarrow bV, V \rightarrow bW, W \rightarrow a\}, S)$

$(\{Q\}, \{a, b\}, \{Q \rightarrow abba\}, Q)$

$(\{X, Y\}, \{a, b\}, \{X \rightarrow aYa, Y \rightarrow bb\}, X)$

Design a CFG to generate the language $\{a^n b^n \mid n \geq 0\}$

more complicated language.

ϵ a^1b^1 a^2b^2 a^3b^3 a^4b^4

$G = (\{S\}, \{a, b\}, R, S)$ where R is given by
 $S \rightarrow \epsilon \mid aSb$

Sample derivation:

Design a CFG to generate the language $\{a^i b^j \mid j \geq i \geq 0\}$

Extra practice

Sample derivation:

Review: Week 5 Monday

Recall: Review quizzes based on class material are assigned each day. These quizzes will help you track and confirm your understanding of the concepts and examples we work in class. Quizzes can be submitted on Gradescope as many times (with no penalty) as you like until the quiz deadline: the three quizzes each week are all due on Friday (with no penalty late submission open until Sunday).

Please complete the review quiz questions on Gradescope about context-free grammars

Pre class reading for next time: Example 2.3 and Example 2.4

Wednesday April 27



Theorem 2.20: A language is generated by some context-free grammar if and only if it is recognized by some push-down automaton.

Definition: a language is called **context-free** if it is the language generated by a context-free grammar. The class of all context-free language over a given alphabet Σ is called **CFL**.

Consequences:

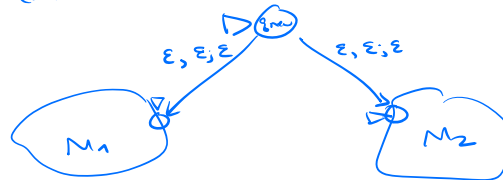
- Quick proof that every regular language is context free
- To prove closure of the class of context-free languages under a given operation, we can choose either of two modes of proof (via CFGs or PDAs) depending on which is easier

Over $\Sigma = \{a, b\}$, let $L = \{a^n b^m \mid n \neq m\}$. **Goal:** Prove L is context-free.

Building PDA that recognizes L .
 $L = \underbrace{\{a^n b^m \mid n > m\}}_{L_1} \cup \underbrace{\{a^n b^m \mid n < m\}}_{L_2}$

Informal description: read a 's and push them onto stack, when see first b pop a off the stack for each b we read and when stack is empty, if rest of string has positive number of b (and nothing else), accept (otherwise reject).

Informal description of PDA recognizing L_1



Build CFG G that generates $L = L_1 \cup L_2$

$$L_1 = \{a^n b^m \mid n > m\} \\ = \{a^k a^m b^m \mid m \in \mathbb{Z}^{>0}, k > 0\}$$

$$G_1 = (\{S_1, T_1\}, \{a, b\}, R_1, S_1) \\ S_1 \rightarrow a S_1 b \mid T_1$$

$$R_1: T_1 \rightarrow a T_1 \mid a$$

$$S_1 \Rightarrow a S_1 b \Rightarrow a a S_1 b b \Rightarrow a a T_1 b b \Rightarrow a a a b b$$

$$L_2 = \{a^n b^m \mid n < m\} \\ = \{a^n b^k b^l \mid n \in \mathbb{Z}^{>0}, k > 0\}$$

$$G_2 = (\{S_2, T_2\}, \{a, b\}, R_2, S_2)$$

$$S_2 \rightarrow a S_2 b \mid T_2$$

$$T_2 \rightarrow T_2 b \mid b$$

$$S_2 \Rightarrow a S_2 b \Rightarrow a T_2 b \Rightarrow a T_2 b b \Rightarrow a T_2 b b b \Rightarrow a b b b b$$

$$G = (\{S, S_1, T_1, S_2, T_2\}, \{a, b\}, R, S)$$

$$R: S \rightarrow S_1 \mid S_2$$

$$S_1 \rightarrow a S_1 b \mid T_1 \\ T_1 \rightarrow a T_1 \mid a$$

$$S_2 \rightarrow a S_2 b \mid T_2 \\ T_2 \rightarrow T_2 b \mid b$$

$$S \Rightarrow S_1 \Rightarrow a S_1 b \Rightarrow a a S_1 b b \Rightarrow a a T_1 b b \Rightarrow a a a b b$$

The class of context-free languages is closed under union.

Suppose L_1 and L_2 are context-free languages over Σ . **Goal:** $L_1 \cup L_2$ is also context-free.

Approach 1: with PDAs

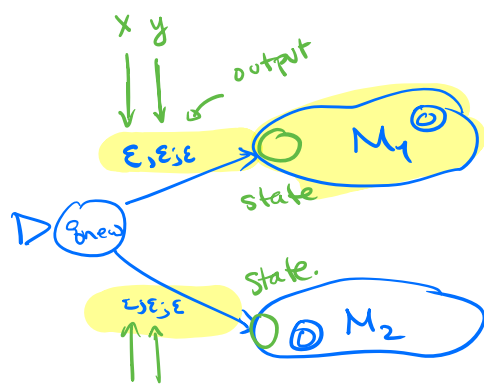
Let $M_1 = (Q_1, \Sigma, \Gamma_1, \delta_1, q_1, F_1)$ and $M_2 = (Q_2, \Sigma, \Gamma_2, \delta_2, q_2, F_2)$ be PDAs with $L(M_1) = L_1$ and $L(M_2) = L_2$.

Define $M = (Q, \Sigma, \Gamma, \delta, q_{\text{new}}, F, \cup F_2)$

$$Q_1 \cap Q_2 = \emptyset$$

$$q_{\text{new}} \notin Q_1 \cup Q_2$$

$$\delta: Q \times \Sigma_{\varepsilon} \times \Gamma_{\varepsilon} \rightarrow \mathcal{P}(Q \times \Gamma_{\varepsilon})$$



$$\delta((q, x, y)) = \begin{cases} \{(q_1, \varepsilon), (q_2, \varepsilon)\} & q = q_{\text{new}} \\ \delta_1((q, x, y)) & q \in Q_1 \\ \delta_2((q, x, y)) & q \in Q_2 \\ \emptyset & \text{otherwise} \end{cases}$$

$x = \varepsilon$
 $y = \varepsilon$
 $q \in Q_1$
 $x \in \Sigma_{\varepsilon}$
 $y \in \Gamma_{\varepsilon}$
 $q \in Q_2$
 $x \in \Sigma_{\varepsilon}$
 $y \in \Gamma_{\varepsilon}$

Approach 2: with CFGs

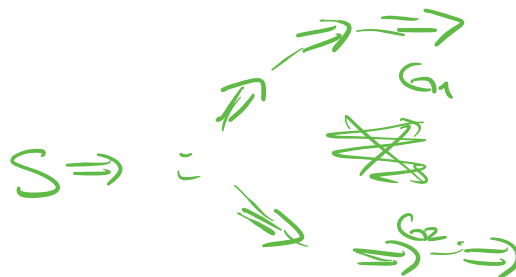
Let $G_1 = (V_1, \Sigma, R_1, S_1)$ and $G_2 = (V_2, \Sigma, R_2, S_2)$ be CFGs with $L(G_1) = L_1$ and $L(G_2) = L_2$.

Define $G = (V, \Sigma, R, S)$

$$R = R_1 \cup R_2 \cup \{S \rightarrow S_1 \mid S_2\}$$

$$S \notin V_1 \cup V_2$$

$$V_1 \cap V_2 = \emptyset$$



Suppose L_1 and L_2 are context-free languages over Σ . **Goal:** $L_1 \circ L_2$ is also context-free.

Approach 1: with PDAs

Let $M_1 = (Q_1, \Sigma, \Gamma_1, \delta_1, q_1, F_1)$ and $M_2 = (Q_2, \Sigma, \Gamma_2, \delta_2, q_2, F_2)$ be PDAs with $L(M_1) = L_1$ and $L(M_2) = L_2$.

Define $M =$

extra practice
(see HW4)

Approach 2: with CFGs

Let $G_1 = (V_1, \Sigma, R_1, S_1)$ and $G_2 = (V_2, \Sigma, R_2, S_2)$ be CFGs with $L(G_1) = L_1$ and $L(G_2) = L_2$.

Define $G =$

extra practice
(see HW4)

Review Quiz: Closure of CFL under Star

Summary

Over a fixed alphabet Σ , a language L is **regular**

- iff it is described by some regular expression
- iff it is recognized by some DFA
- iff it is recognized by some NFA

Over a fixed alphabet Σ , a language L is **context-free**

- iff it is generated by some CFG
- iff it is recognized by some PDA

Fact: Every regular language is a context-free language.

Fact: There are context-free languages that are not nonregular.

Fact: There are countably many regular languages.

Fact: There are countably infinitely many context-free languages.

Consequence: Most languages are **not** context-free!

Examples of non-context-free languages

$$\begin{aligned} &\{a^n b^n c^n \mid 0 \leq n, n \in \mathbb{Z}\} \\ &\{a^i b^j c^k \mid 0 \leq i \leq j \leq k, i \in \mathbb{Z}, j \in \mathbb{Z}, k \in \mathbb{Z}\} \\ &\{ww \mid w \in \{0, 1\}^*\} \end{aligned}$$

(Sipser Ex 2.36, Ex 2.37, 2.38)

There is a Pumping Lemma for CFL that can be used to prove a specific language is non-context-free: If A is a context-free language, there there is a number p where, if s is any string in A of length at least p , then s may be divided into five pieces $s = uvxyz$ where (1) for each $i \geq 0$, $uv^i xy^i z \in A$, (2) $|uv| > 0$, (3) $|vxy| \leq p$. *We will not go into the details of the proof or application of Pumping Lemma for CFLs this quarter.*

Review: Week 4 Wednesday

Please complete the review quiz questions on Gradescope about context-free languages

Pre class reading for next time: Pages 165-167

Friday April 29

$\emptyset, \epsilon, \epsilon^*$ Regular languages over Σ

A set X is said to be **closed** under an operation OP if, for any elements in X , applying OP to them gives an element in X .

| True/False | Closure claim |
|------------|---|
| True | The set of integers is closed under multiplication. $\forall x \forall y ((x \in \mathbb{Z} \wedge y \in \mathbb{Z}) \rightarrow xy \in \mathbb{Z})$ |
| True | For each set A , the power set of A is closed under intersection. $\forall A_1 \forall A_2 ((A_1 \in \mathcal{P}(A) \wedge A_2 \in \mathcal{P}(A)) \rightarrow A_1 \cap A_2 \in \mathcal{P}(A))$ |
| True | The class of <u>regular languages</u> over Σ is closed under <u>complementation</u> . |
| True | The class of regular languages over Σ is closed under union. |
| True | The class of regular languages over Σ is closed under intersection. |
| True | The class of regular languages over Σ is closed under concatenation. |
| True | The class of regular languages over Σ is closed under Kleene star. |
| False | The class of context-free languages over Σ is closed under <u>complementation</u> . Section 2.2. |
| True | The class of context-free languages over Σ is closed under union. CFG |
| False | The class of context-free languages over Σ is closed under intersection. Section 2.2. |
| True | The class of context-free languages over Σ is closed under concatenation. HW4. |
| True | The class of context-free languages over Σ is closed under Kleene star. Review Quiz. CFG. |

A language is context-free means there's a CFG that generates it, or there's a PDA that recognizes it.

Assume $\Sigma = \{0, 1, \#\}$

Extra practice

| | | | | | |
|--|---------|---|--|---|-----------------------------|
| Σ^* | Regular | / | nonregular and context free | / | not context-free |
| $\{0^i \# 1^j \mid i \geq 0, j \geq 0\}$ | Regular | / | nonregular and context free | / | not context-free |
| $\{0^i 1^j \# 1^j 0^i \mid i \geq 0, j \geq 0\}$ | Regular | / | <u>nonregular and context-free</u> | / | not context-free |
| $\{0^i 1^j \# 0^i 1^j \mid i \geq 0, j \geq 0\}$ | Regular | / | nonregular and context free | / | <u>not context-free</u> |

Ch 1 Regular Languages

Ch 2 CFL



Turing machines: unlimited read + write memory, unlimited time (computation can proceed without “consuming” input and can re-read symbols of input)

- Division between program (CPU, state diagram) and data
- Unbounded memory gives theoretical limit to what modern computation (including PCs, supercomputers, quantum computers) can achieve
- State diagram formulation is simple enough to reason about (and diagonalize against) while expressive enough to capture modern computation

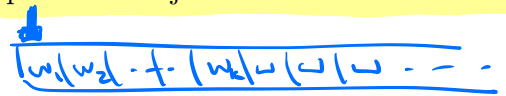
For Turing machine $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ the **computation** of M on a string w over Σ is:

- Read/write head starts at leftmost position on tape.
- Input string is written on $|w|$ -many leftmost cells of tape, rest of the tape cells have the blank symbol. **Tape alphabet** is Γ with $\sqcup \in \Gamma$ and $\Sigma \subseteq \Gamma$. The blank symbol $\sqcup \notin \Sigma$.
- Given current state of machine and current symbol being read at the tape head, the machine transitions to next state, writes a symbol to the current position of the tape head (overwriting existing symbol), and moves the tape head L or R (if possible). Formally, **transition function** is

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$$

new state write symbol to tape move tape head

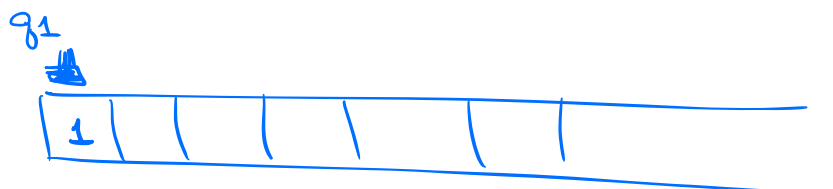
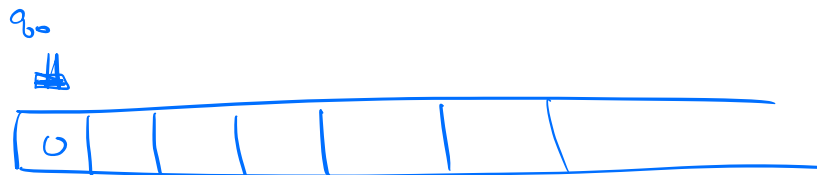
- Computation ends if and when machine enters either the accept or the reject state. This is called **halting**. Note: $q_{\text{accept}} \neq q_{\text{reject}}$.



The language recognized by the Turing machine M , is

$\{w \in \Sigma^* \mid \text{computation of } M \text{ on } w \text{ halts after entering the accept state}\} = \{w \in \Sigma^* \mid w \text{ is accepted by } M\}$

$$\delta(q_0, 0) = (q_1, 1, L)$$

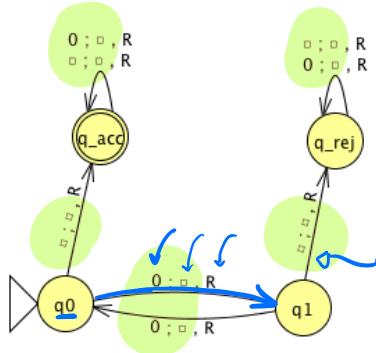


An example Turing machine: $\Sigma = \{0\}$

, $\Gamma = \{0, \sqcup\}$

$\delta((q_0, 0)) = (q_1, \sqcup, R)$

JFLAP: $\square \sqcup$



Formal definition: $(\{q_0, q_1, q_{acc}, q_{rej}\}, \{0\}, \{0, \sqcup\}, \delta, q_0, q_{acc}, q_{rej})$

Sample computation: input 000

| | | | | | | |
|----------------------|----------|----------|----------|----------|----------|----------|
| $q_0 \downarrow$ | | | | | | |
| 0 | 0 | 0 | \sqcup | \sqcup | \sqcup | \sqcup |
| $q_1 \downarrow$ | | | | | | |
| \sqcup | 0 | 0 | \sqcup | \sqcup | \sqcup | \sqcup |
| $q_0 \downarrow$ | | | | | | |
| \sqcup | \sqcup | 0 | \sqcup | \sqcup | \sqcup | \sqcup |
| $q_1 \downarrow$ | | | | | | |
| \sqcup | \sqcup | \sqcup | \sqcup | \sqcup | \sqcup | \sqcup |
| $q_{rej} \downarrow$ | | | | | | |
| \sqcup | \sqcup | \sqcup | \sqcup | \sqcup | \sqcup | \sqcup |

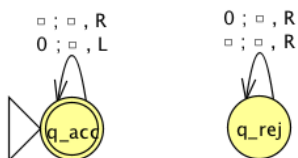
$\delta((q_1, 0)) = (q_0, \sqcup, R)$

This machine rejects 000.

The language recognized by this machine is ...

$\{w \in \{0^*\} \mid w \text{ has even length}\}$

Extra practice:

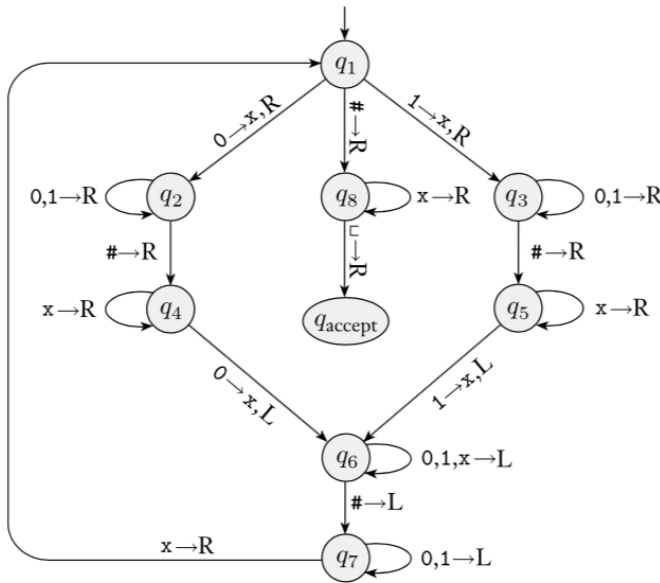


Formal definition:

Sample computation:

Sipser Figure 3.10

Conventions in state diagram of TM: $b \rightarrow R$ label means $b \rightarrow b, R$ and all arrows missing from diagram represent transitions with output (q_{reject}, \sqcup, R)



Computation on input string 01#01

[illegible]

Implementation level description of this machine:

Zig-zag across tape to corresponding positions on either side of $\#$ to check whether the characters in these positions agree. If they do not, or if there is no $\#$, reject. If they do, cross them off.

Once all symbols to the left of the # are crossed off, check for any un-crossed-off symbols to the right of #; if there are any, reject; if there aren't, accept.

The language recognized by this machine is

$$\{w\#w \mid w \in \{0,1\}^*\}$$

Extra practice

Computation on input string 01#1

[illegible]

Review: Week 4 Friday

Please complete the review quiz questions on Gradescope about Turing machines.

Pre class reading for next time: Definitions 3.5, 3.6 (page 170)