

PART 1

RELATIONAL DATABASES

Chapter 2

Introduction to the Relational Model





Introduction to Chapter 2

- Relational data model
 - relational data structure (syntax)
 - integrity constraints (semantic)
 - constraints on attributes of schemas, e.g. value domain, type
 - constraints on dependencies among attributes of a schema
 - constraints on dependencies among attributes of different schemas

relational normal forms (范式, chapter 7)

• *operations* on the model





Main Parts in Chapter 2

- Relational data structure (syntax, § 2.1-2.4)
 - basic elements in relational data model, i.e. tables and attributes, and relationships among them
- Integrity constraints (semantic, 未涉及)
 - constraints on keys in relational schema
- Relational Operations
 - —Relational algebra (关系代数, § 2.5, 2.6)



§ 2.1 Structure of Relational Databases

- A relational database consists of a collection of relational tables
 - e.g. instructor ▶, course, prereq, department, sections, teaches
 - a row in a table represents a *relationship* among a set of values
 - a table is such a collection of relationships.

2.1.1 Basic structure

- Given sets $D_1, D_2, \dots D_n$, a relation r is a subset of $D_1 \times D_2 \times \dots \times D_n$
 - $r = \{(a_1, a_2, ..., a_n)\} \subseteq D_1 \times D_2 \times D_n$
 - relation $r = \{ \text{ tuple } \}$ /*元组
- 定义: 关系定义为一系列域上的笛卡尔积的子集





- Attributes of relation r
 - \bullet A₁, A₂, ..., A_n
 - **domain** of the relation's attributes $D_1, D_2, \dots D_n$
 - the set of allowed values for each attribute
- Notes
 - relation r in DBS is the limited set (有限集合)
 - attributes in tuples are non-ordered (无序性)
 - e.g. $(d_1, d_2, ..., d_n) = (d_2, d_1, ..., d_n)$
 - the order in which the tuples appear in a relations is irrelevant
 - Fig.2.1 vs. Fig.2.4
 - several attributes may have the same domain





ID	name	dept_name	salary
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
33456	Gold	Physics	87000
45565	Katz	Comp. Sci.	75000
58583	Califieri	History	62000
76543	Singh	Finance	80000
76766	Crick	Biology	72000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000

Figure 2.1 The *instructor* relation.

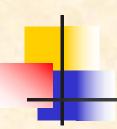




course_id	title	dept_name	credits
BIO-101	Intro. to Biology	Biology	4
BIO-301	Genetics	Biology	4
BIO-399	Computational Biology	Biology	3
CS-101	Intro. to Computer Science	Comp. Sci.	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3
CS-319	Image Processing	Comp. Sci.	3
CS-347	Database System Concepts	Comp. Sci.	3
EE-181	Intro. to Digital Systems	Elec. Eng.	3
FIN-201	Investment Banking	Finance	3
HIS-351	World History	History	3
MU-199	Music Video Production	Music	3
PHY-101	Physical Principles	Physics	4

Figure 2.2 The course relation.





course_id	prereq_id
BIO-301	BIO-101
BIO-399	BIO-101
CS-190	CS-101
CS-315	CS-101
CS-319	CS-101
CS-347	CS-101
EE-181	PHY-101

Figure 2.3 The *prereq* relation.





ID	name	dept_name	salary
22222	Einstein	Physics	95000
12121	Wu	Finance	90000
32343	El Said	History	60000
45565	Katz	Comp. Sci.	75000
98345	Kim	Elec. Eng.	80000
76766	Crick	Biology	72000
10101	Srinivasan	Comp. Sci.	65000
58583	Califieri	History	62000
83821	Brandt	Comp. Sci.	92000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
76543	Singh	Finance	80000

Figure 2.4 Unsorted display of the *instructor* relation.





- the special value *null* is a member of every domain.
 - the *null* value causes complications in the definition of many operations
- Example
 - student_name = {Jones, Smith, Curry, Lindsay}
 depart= {CS, EE, Physics}
 student_city = {Harrison, Rye, Pittsfield}
 - then r = { (Jones, CS, Harrison),(Smith, Physics, Rye),(Curry, EE, Rye),(Lindsay, CS, Pittsfield)}

is a relation over *student_name* × *depart* × *student_city*





- A domain is *atomic* if its elements are considered to be indivisible
 - all domains of R should be atomic, first formal norm
 - anti-e.g. multivalued attribute values are not atomic, { {1, 2}, {4}, {4, 6, 7} }
 - anti-e.g. composite (复合的) attribute address={city, street, zipcode} is not atomic





2.2 Database schema

- For attributes $A_1, A_2, ..., A_n$, $R = (A_1, A_2, ..., A_n)$ is a *relation schema*
 - e.g. in Fig. 2.1, *Instructor-schema* = (*ID*, name, depart_name, salary)
 - orders of attributes is irrelevant
- r(R) is a *relation* on the *relation schema R*
 - e.g. customer (customer-name, customer-street, customer-city) on Customer-schema
- Relation instance
 - the current values of a relation r at a particular time
 - specified by a table





§ 2.1.2 Relational schema (cont.)

- the term relation and relation instance are used interchangeably in the textbook
- Tabular (表格的) representation of r(R)
 - the current values of a relation r(R) (i,.e., relation instance of the relation r) are specified by a table
 - \blacksquare an element t in set r is a *tuple*, represented by a *row* in a table
 - tuple variable t, $t[A_i]$ = the value of t on the attribute A_i , or $t[\{A_i\}]$ = the value set of t on the attribute set $\{A_i\}$
 - e.g. in Fig.2.1, t2[name]= Wu
 - orders of tuples is irrelevant, i.e. tuples may be stored in an arbitrary order





Fig. 2.1 The instructor relation

attributes (or columns) ID dept_name salary name Comp. Sci. 65000 10101 Srinivasan tuples 12121 Wu Finance 90000 (or rows) 15151 Mozart Music 40000 Physics 22222 Einstein 95000 History 32343 El Said 60000 Physics 33456 Gold 87000 Comp. Sci. 45565 Katz 75000 History 58583 Califieri 62000 Singh Finance 76543 80000 Biology 76766 Crick 72000 Comp. Sci. 83821 Brandt 92000 Elec. Eng. 98345 Kim 80000





课题练习:

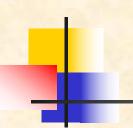
1. 写出Fig 2.6

,Fig 2.7 的 schema

course_id	sec_id	semester	year	building	room_number	time_slot_id
BIO-101	1	Summer	2009	Painter	514	В
BIO-301	1	Summer	2010	Painter	514	A
CS-101	1	Fall	2009	Packard	101	Н
CS-101	1	Spring	2010	Packard	101	F
CS-190	1	Spring	2009	Taylor	3128	E
CS-190	2	Spring	2009	Taylor	3128	A
CS-315	1	Spring	2010	Watson	120	D
CS-319	1	Spring	2010	Watson	100	В
CS-319	2	Spring	2010	Taylor	3128	C
CS-347	1	Fall	2009	Taylor	3128	A
EE-181	1	Spring	2009	Taylor	3128	C
FIN-201	1	Spring	2010	Packard	101	В
HIS-351	1	Spring	2010	Painter	514	C
MU-199	1	Spring	2010	Packard	101	D
PHY-101	1	Fall	2009	Watson	100	A

Figure 2.6 The *section* relation.





ID	course_id	sec_id	semester	year
10101	CS-101	1	Fall	2009
10101	CS-315	1	Spring	2010
10101	CS-347	1	Fall	2009
12121	FIN-201	1	Spring	2010
15151	MU-199	1	Spring	2010
22222	PHY-101	1	Fall	2009
32343	HIS-351	1	Spring	2010
45565	CS-101	1	Spring	2010
45565	CS-319	1	Spring	2010
76766	BIO-101	1	Summer	2009
76766	BIO-301	1	Summer	2010
83821	CS-190	1	Spring	2009
83821	CS-190	2	Spring	2009
83821	CS-319	2	Spring	2010
98345	EE-181	1	Spring	2009

Figure 2.7 The teaches relation.



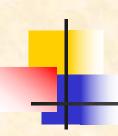


Fig. 2.1 The instructor relation

Fig 2.6, Fig 2.7 的schema

section (course_id, sec_id, semester, year, building, room_number, time_slot_id)

teaches (ID, course_id, sec_id, semester, year)





Fig. 2.1 The *instructor* relation

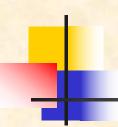
- 2. 给出以下关系模式, 请大家分别画出对应的表
- student (ID, name, dept_name, tot_cred)
- advisor (s_id, i_id)
- takes (ID, course_id, sec_id, semester, year, grade)
- classroom (building, room_number, capacity)
- time_slot (time_slot_id, day, start_time, end_time)





- Key: 键,码
- A superkey is a set of one or more attributes that, taken collectively, can be used to identify uniquely a tuple in the relation
- **Def.** Given relation schema $R(A_1, A_2, ..., A_n)$, a subset K of R is the *super key* of R, if no two distinct tuples in relation r(R) have the same values on all attributes in K
 - that is, if t_1 and t_2 are in r and $t_1 \neq t_2$, then $t_1[K] \neq t_2[K]$
 - the super key may contain redundant attributese.g. {ID} vs {ID, name, dept_name}▶
- *K* is a *candidate key* if *K* is *minimal* super key
- 候选码: 是最小的超码
 - e.g. {ID} is a candidate key for *instructor*, assuming no two instructors can possibly have the same identifiers





Keys (cont.)

- A relation may have several candidate keys. *Primary key* is a candidate key chosen by the database designer as principal (主要的) means to identify tuples within a relation
- Def. Primary attributes (主属性!)
 - taking the key as a attribute set, the attributes in the candidate keys, i.e. the element of the primary key is called the primary attributes
 - non-primary attributes (非主属性)



Keys (cont.)

Def. If X is one or more attributes in relation r_1 , and X is also the primary-key of another relation schema r_2 taking Fig.2.8 as case studies

- X is called a *foreign key* from r_1 referencing r_2
 - -X是由 r_1 参照/关联 r_2 的外键
- r_1 is called the referencing relation of the foreign key dependency
 - 外键的参照/关联关系
- r_2 is called the referenced relation of the foreign key
 - 外键的被参照/关联关系
- e.g. dept_name in instructor-schema (Fig.2.8) and department-schema, dept_name in instructor is a foreign key from instructor referencing department





Keys (cont.)

- A database schema, along with primary key and foreign key dependency, can be depicted as the *schema diagram* (模式图)
 - e.g. Fig.2.8
 - node
 - schema, attributes, primary key
 - directed arc (有向弧)
 - foreign key dependency, from referencing relation r_1 to referenced relation r_2

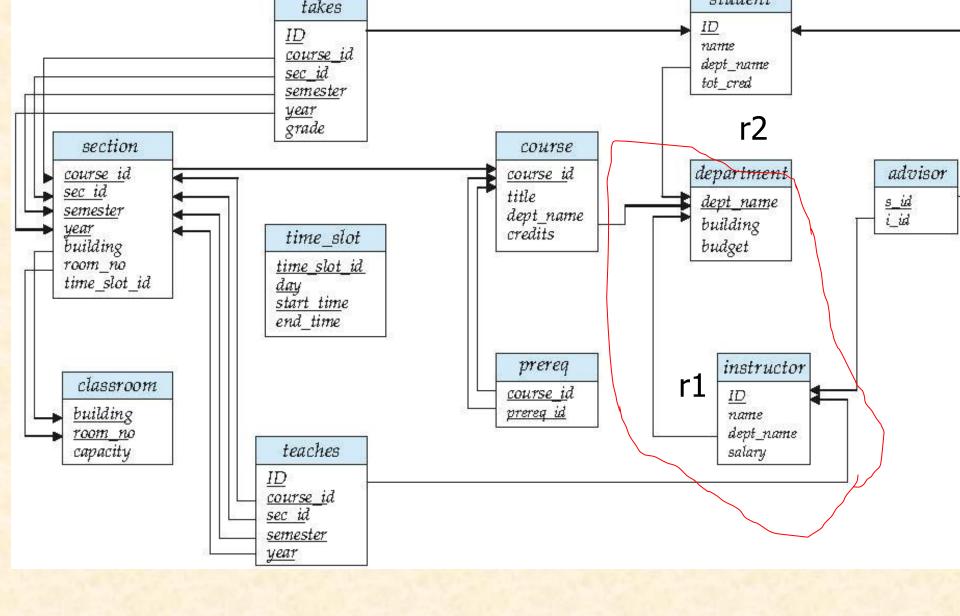


Fig. 2.8 Schema Diagram





Keys

- Foreign key/Referential integrity constraint
 - values appearing in specified attributes (e.g. dept_name) of any tuples in the referencing relation (e.g. instructor) must appear in specified attributes of at least one tuples in the referenced relation(e.g. department)
 - dept_name in instructor is a foreign key from instructor referencing department
 - why?
 - the department that an instructor belongs to in the relation instructor (e.g. Com.Sci) must be a department that has been defined in the relation department, i.e. Com.Sci corresponds to a tuple in department



注意事项

数据库导入数据时,先导入被参照关系 r_2 中的数据,后导入参照关系 r_1 中的数据



2.5 Query language

- Query Language
 - the language in which user requests information from the database
- Categories of languages
 - Procedural (过程化的): 用户指导系统对数据库执行一系列操作以计算所需结果
 - Non-procedural (非过程化的), or declarative(声明的): 用户只需描述所需信息,而不用给出获取该信息的具体过程。(SQL)
- "Pure" languages
 - relational algebra (关系代数)
 - tuple relational calculus(元组关系演算)
 - domain relational calculus(域关系演算)
- Pure languages form the underlying basis of query languages in practical uses

Relation Algebra Operations(关系代数操作)

- The relational algebra is *procedural*(过程化的) query language
 - a set of operations, take one or two *limited* relations as input and produce a new *limited* relation as output
- Three types of operations/operators on relations
 - Fundamental (基本的) operations, additive (附加的) operations, and extended (可扩展的) operations
 - similar to the logical operators in propositional calculus (命题演算) and predicate calculus (谓词演算)
 - fundamental: minimal complete set {¬, ∧合取与}, or {¬, ∨析取或}
 - additive: implication \rightarrow , equivalence \leftrightarrow
 - extended: ∀ (任意),∃ (存在)



6 basic relational algebra operators

Symbol (Name)	Example of Use
σ (Selection)选择	σ salary > = 85000 (instructor)
	Return rows of the input relation that satisfy the predicate.
II (Projection) 投影	П ID, salary (instructor)
	Output specified attributes from all rows of the input relation. Remove duplicate tuples from the output.
X (Cartesian Product)	instructor x department
笛卡 尔积	Output all pairs of rows from the two input relations whether or not they have the same value on all attributes that have the same name.
∪ (Union)并	П name (instructor) ∪ П name (student)
	Output the union of tuples from the <i>two</i> input relations.
- (Set Difference)集合差	П name (instructor) П name (student)
	Output the set difference of tuples from the two input relations.
⋈ (Natural Join) 自然连接	instructor ⋈ department
	Output pairs of rows from the two input relations that have the same value on all attributes that have the same name.





Select Operation(选择运算)

- Notation: $\sigma_p(r)$, 选择出满足给定谓词的元组
- p is called the selection predicate (谓词)
- Defined as:

$$\sigma_p(r) = \{t \mid t \in r \text{ and } p(t)\}$$

Where p is a formula in propositional calculus (命题演算公式) consisting of terms (项) connected by : \land (and与), \lor (or或), \neg (not非) Each term is one of:





Select Operation – selection of rows (tuples)

Relation r

A	В	C	D
α	α	1	7
α	β	5	7
β	β	12	3
β	β	23	10

 $\bullet \sigma_{A=B \land D > 5}(r)$

A	В	C	D
α	α	1	7
β	β	23	10





Project Operation(投影运算)

Notation:

$$\prod_{A_1,A_2,\ldots,A_k}(r)$$

where A_1 , A_2 are attribute names and r is a relation name.

- The result is defined as the relation of k columns obtained by erasing (排除) the columns that are not listed
- Duplicate (重复的) rows are removed from result, since relations are sets (集合)
- Example: To eliminate the *dept_name* attribute of *instructor*

 $\prod_{ID, name, salary} (instructor)$



Project Operation – selection of columns (Attributes)

Relation *r*:

 $\blacksquare \prod_{A,C} (r)$

A	В	C
α	10	1
α	20	1
β	30	1
β	40	2

$$\begin{array}{c|cccc}
A & C \\
\hline
\alpha & 1 \\
\alpha & 1 \\
\beta & 1 \\
\beta & 2
\end{array}$$

$$\begin{array}{c|cccc}
A & C \\
\hline
\alpha & 1 \\
\beta & 1 \\
\beta & 2
\end{array}$$





Union Operation (并运算)

- Notation: $r \cup s$
- Defined as:

$$r \cup s = \{t \mid t \in r \text{ or } t \in s\}$$

- For $r \cup s$ to be valid.
 - 1. *r*, *s* must have the *same* arity (元) (same number of attributes 相同的属性个数)
 - 2. The attribute domains must be compatible (相容的) (example: 2^{nd} column of r deals with the same type of values as does the 2^{nd} column of s)
- Example: to find all courses taught in the Fall 2009 semester,
 or in the Spring 2010 semester, or in both

$$\prod_{course_id} (\sigma_{semester="Fall" \ \Lambda \ year=2009}(section)) \cup \\ \prod_{course_id} (\sigma_{semester="Spring" \ \Lambda \ year=2010}(section))$$



Union Operation (并运算)

course_id	sec_id	semester	year	building	room_number	time_slot_id
BIO-101	1	Summer	2009	Painter	514	В
BIO-301	1	Summer	2010	Painter	514	A
CS-101	1	Fall	2009	Packard	101	Н
CS-101	1	Spring	2010	Packard	101	F
CS-190	1	Spring	2009	Taylor	3128	E
CS-190	2	Spring	2009	Taylor	3128	A
CS-315	1	Spring	2010	Watson	120	D
CS-319	1	Spring	2010	Watson	100	В
CS-319	2	Spring	2010	Taylor	3128	C
CS-347	1	Fall	2009	Taylor	3128	A
EE-181	1	Spring	2009	Taylor	3128	C
FIN-201	1	Spring	2010	Packard	101	В
HIS-351	1	Spring	2010	Painter	514	C
MU-199	1	Spring	2010	Packard	101	D
PHY-101	1	Fall	2009	Watson	100	Д ф °, ⊕ ў

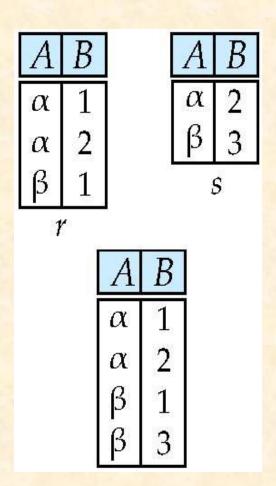
Figure 2.6 The *section* relation.



Union of two relations

Relations r, s:

 $r \cup s$:







Set Difference Operation(集合差运算)

- Notation: r-s
- Defined as:

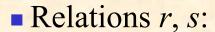
$$r-s = \{t \mid t \in r \text{ and } t \notin s\}$$

- Set differences must be taken between compatible relations.
 - r and s must have the same arity (元)
 - attribute domains of r and s must be compatible
- Example: to find all courses taught in the Fall 2009 semester, but not in the Spring 2010 semester

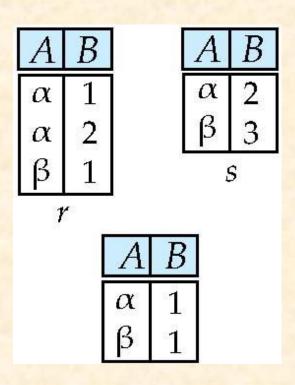
$$\Pi_{course_id} (\sigma_{semester="Fall" \ \Lambda \ year=2009}(section)) - \\ \Pi_{course_id} (\sigma_{semester="Spring" \ \Lambda \ year=2010}(section))$$



Set difference of two relations



$$r-s$$







Set Intersection(集合交) Operation

- Notation: $r \cap s$
- Defined as:
- $r \cap s = \{ t \mid t \in r \text{ and } t \in s \}$
- Assume:
 - r, s have the same arity
 - attributes of *r* and *s* are compatible
- Note: $r \cap s = r (r s)$



Set intersection of two relations

Relation r, s:

 $r \cap s$

Note: $r \cap s = r - (r - s)$

A	В		A	В
α	1		α	2
	2		β	3
α β	1			3
	20	-		
1				
,				
,		A	В	

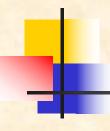
Cartesian-Product Operation(笛卡承积运算)

- Notation: r x s
- Defined as:

$$r \times s = \{t \mid q \mid t \in r \text{ and } q \in s\}$$

- Assume that attributes of r(R) and s(S) are disjoint (不相交的). (That is, $R \cap S = \emptyset$).
- If attributes of r(R) and s(S) are not disjoint, then renaming must be used. (为了加以区分,通过表名前缀进行重命名)

joining two relations -- Cartesian product



 \blacksquare Relations r, s:

r x s:

A	В	C	D
α	1	α	10
β	2	β	10
1	_	β	20
		γ	10

A	В	C	D	Ε
α	1	α	10	a
α	1	β	10	a
α	1	β	20	b
α	1	γ	10	b
β	2	α	10	a
β	2	β	10	a
β	2	β	20	b
β	2	γ	10	b

b



Cartesian-product – naming issue

 \blacksquare Relations r, s:

r x s:

1	4	В			B	D	Ε
	χ	1			α	10	a
	3	2			ββ	10	a
		r			β	20	b
					γ	10	b
						s	
I	1	r.B	s.B	D	Ε		
c	χ	1	α	10	a		
c	X	1	β	10	a		
C	χ	1	β β	20	b		
6	X		γ	10	b		
		1 2 2 2 2	α	10	a		
	3	2		10	a		
f	3	2	β β	20	b		
6	2	2	v	10	h		



instructor

teaches

ID	пате	dept_name	salary	ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
15151	Mozart	Music	40000	10101	CS-347	1	Fall	2009
22222	Einstein	Physics	95000	12121	FIN-201	1	Spring	2010
32343	El Said	History	60000	15151	MU-199	1	Spring	2010
20154	0.11	731	07000	22222	PHY-101	1	Fall	2009

Inst.ID	name	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101		Comp. Sci.		10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
(*)*(*)	***	•••	• • •		•••	•••	•••	
	•••		• • •	•••	•••		***	
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Pinance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Pinance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Pinance	90000	22222	PHY-101	1	Fall	2009
***	***			•••	• • •		•••	***
1	\$ * \$\$ * \$\$		• • •	•••	• • •		***	





- Let r and s be relations on schemas R and S respectively. Then, the "natural join" of relations R and S is a relation on schema $R \cup S$ obtained as follows:
 - Consider each pair of tuples t_r from r and t_s from s.
 - If t_r and t_s have the same value on each of the attributes in $R \cap S$, add a tuple t to the result, where
 - t has the same value as t_r on r
 - t has the same value as t_s on s





Natural Join (自然连接) Operation

Example:

$$R = (A, B, C, D)$$
$$S = (E, B, D)$$

- Result schema = (A, B, C, D, E)
- $r \bowtie s$ is defined as:

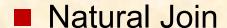
$$\prod_{r.A, r.B, r.C, r.D, s.E} (\sigma_{r.B=s.B} \wedge_{r.D=s.D} (r \times s))$$

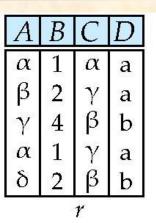
注:自然连接运算首先形成它的两个参数的笛卡儿积,然后基于两个关系模式中都出现的属性上的相等性进行选择,最后还要去除重复属性。



Natural Join Example

Relations r, s:





В	D	Ε
1	a	α
3	a	β
1	a	γ
2	b	δ
3	b	3
	c	

 $\begin{array}{c|ccccc} A & B & C & D & E \\ \hline \alpha & 1 & \alpha & a & \alpha \\ \alpha & 1 & \alpha & a & \gamma \\ \alpha & 1 & \gamma & a & \alpha \\ \alpha & 1 & \gamma & a & \gamma \\ \delta & 2 & \beta & b & \delta \\ \end{array}$

$$\prod_{A, r.B, C, r.D, E} (\sigma_{r.B = s.B \land r.D = s.D} (r \times s)))$$

Renaming a Table



Allows us to refer to a relation, (say E) by more than one name.

$$\rho_x(E)$$

returns the expression E under the name X

Relations r

\boldsymbol{A}	B
α	1
β	2
1	-

 $r \times \rho_s(r)$

r.A	r.B	s.A	s.B
α	1	α	1
α	1	β	2
β	2	α	1
β	2	β	2





Composition of Operations

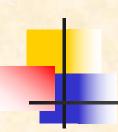
- Can build expressions using multiple operations
- Example: $\sigma_{A=C}(r \times s)$
- · rxs

A	В	C	D	Ε
α	1	α	10	a
α	1	β	10	a
α	1	β	20	b
α	1	γ	10	b
β	2	α	10	a
β	2	β	10	a
β	2	β	20	b
β	2	γ	10	b

 $\bullet \sigma_{A=C}(rxs)$

A	В	C	D	Ε
α	1	α	10	a
β	2	β	10	a
β	2	β	20	b





Composition of Operations

- ■1.查询计算机系所有教师的名字:
- 2.查询所有教师的姓名,连同他们教的所有课程的course id

3. 查询计算机系的所有教师,以及他们教授的所有课程的名称





Composition of Operations

■ 查询计算机系所有教师的名字:

 Π_{name} (σ_{dept} = "Comp.Sci." (instructor)) 查询所有教师的姓名,连同他们教的所有课程的course_id

 $\prod_{name, course id}$ (instructor \bowtie teaches)

查询计算机系的所有教师, 以及他们教授的所有课程的名称

Π_{name, title} (σ_{dept_name="Comp.Sci."}(instructor ⋈teaches⋈ course))