



计算机系统基础05



Machine-Level Programming I: Basics

Today: Machine Programming I: Basics

- **History of Intel processors and architectures**
- Assembly Basics: Registers, operands, move
- Arithmetic & logical operations
- C, assembly, machine code

Intel x86 Processors

- **Dominate laptop/desktop/server market**
- **Evolutionary design**
 - **Backwards compatible** up until 8086, introduced in 1978
 - Added more features as time goes on
- **Complex instruction set computer (CISC)**
 - Many different instructions with many different formats
 - But, only small subset encountered with Linux programs
 - Hard to match performance of Reduced Instruction Set Computers (RISC)
 - But, Intel has done just that!
 - In terms of speed. Less so for low power.

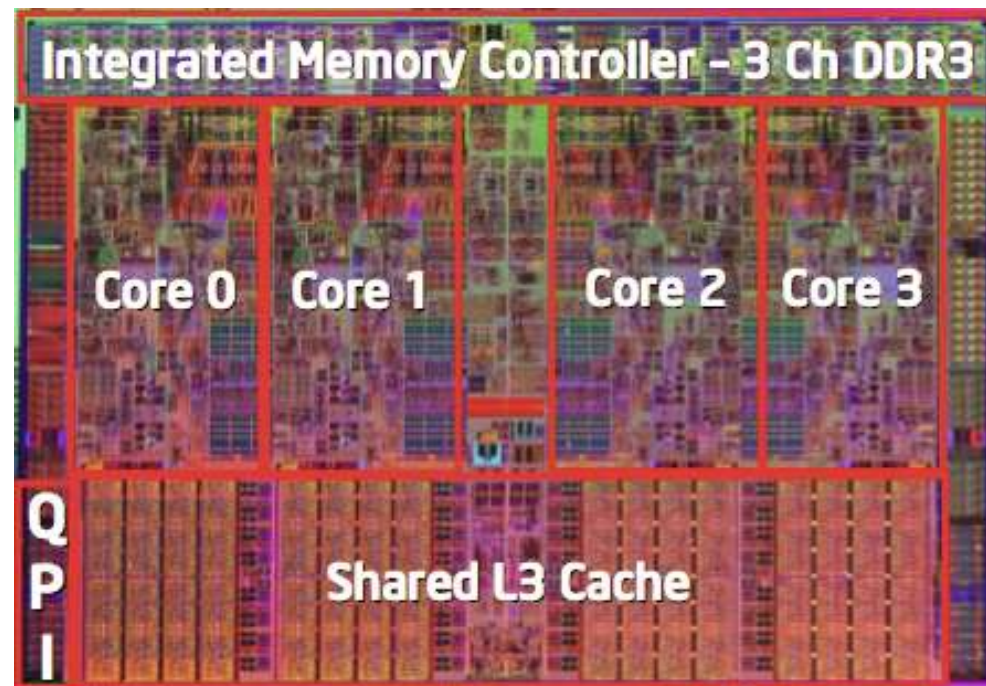
Intel x86 Evolution: Milestones

<i>Name</i>	<i>Date</i>	<i>Transistors</i>	<i>MHz</i>
■ 8086	1978	29K	5-10
<ul style="list-style-type: none"> First 16-bit Intel processor. Basis for IBM PC & DOS 1MB address space 			
■ 386	1985	275K	16-33
<ul style="list-style-type: none"> First 32 bit Intel processor , referred to as IA32 Added “flat addressing”, capable of running Unix 			
■ Pentium 4E	2004	125M	2800-3800
<ul style="list-style-type: none"> First 64-bit Intel x86 processor, referred to as x86-64 			
■ Core 2	2006	291M	1060-3333
<ul style="list-style-type: none"> First multi-core Intel processor 			
■ Core i7	2008	731M	1600-4400
<ul style="list-style-type: none"> Four cores (our shark machines) 			

Intel x86 Processors, cont.

■ Machine Evolution

■ 386	1985	0.3M
■ Pentium	1993	3.1M
■ Pentium/MMX	1997	4.5M
■ PentiumPro	1995	6.5M
■ Pentium III	1999	8.2M
■ Pentium 4	2000	42M
■ Core 2 Duo	2006	291M
■ Core i7	2008	731M



■ Added Features

- Instructions to support **multimedia** operations
- Instructions to enable **more efficient conditional** operations
- Transition from 32 bits to 64 bits
- More cores

Intel x86 Processors, cont.

■ Past Generations

Process technology

- | | | |
|-------------------------------|------|--------|
| ■ 1 st Pentium Pro | 1995 | 600 nm |
| ■ 1 st Pentium III | 1999 | 250 nm |
| ■ 1 st Pentium 4 | 2000 | 180 nm |
| ■ 1 st Core 2 Duo | 2006 | 65 nm |

Process technology dimension
= width of narrowest wires
(10 nm \approx 100 atoms wide)

■ Recent & Upcoming Generations

- | | | | |
|----|--------------|-------|-------|
| 1. | Nehalem | 2008 | 45 nm |
| 2. | Sandy Bridge | 2011 | 32 nm |
| 3. | Ivy Bridge | 2012 | 22 nm |
| 4. | Haswell | 2013 | 22 nm |
| 5. | Broadwell | 2014 | 14 nm |
| 6. | Skylake | 2015 | 14 nm |
| 7. | Kaby Lake | 2016 | 14 nm |
| ■ | Coffee Lake | 2017? | 14 nm |
| ■ | Cannonlake | 2018? | 10 nm |

2017 State of the Art: Skylake

■ Mobile Model: Core i7

- 2.6-2.9 GHz
- 45 W

■ Desktop Model: Core i7

- Integrated graphics
- 2.8-4.0 GHz
- 35-91 W

■ Server Model: Xeon

- Integrated graphics
- Multi-socket enabled
- 2-3.7 GHz
- 25-80 W

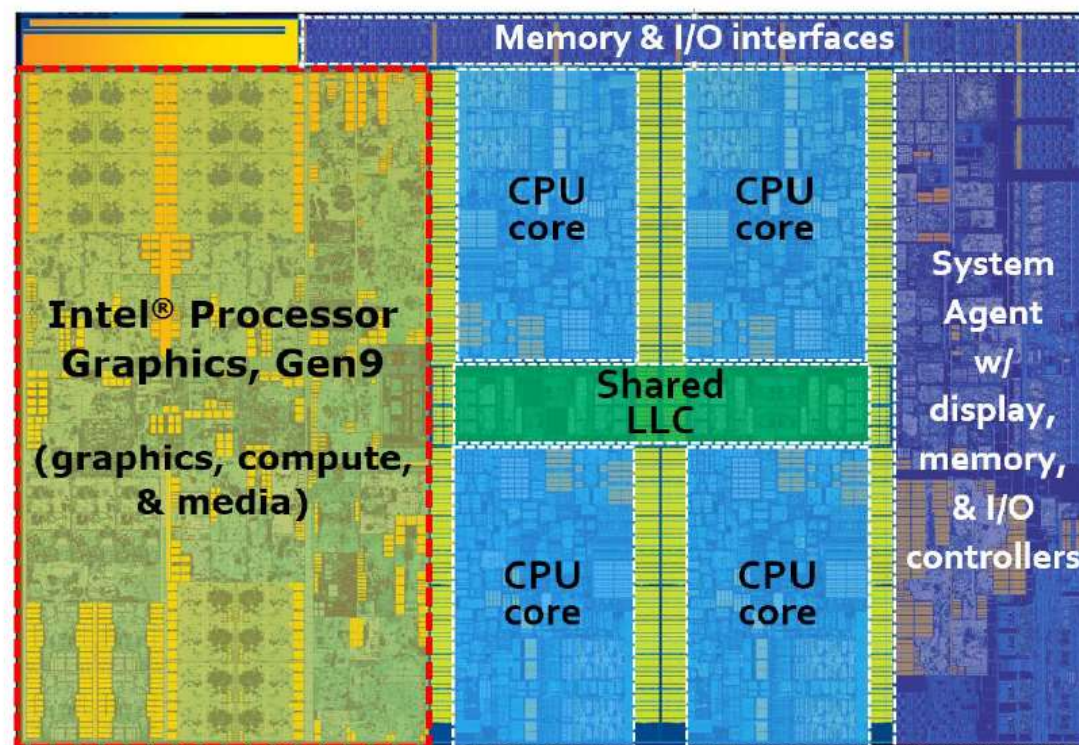


Figure 1: Architecture components layout for an Intel® Core™ i7 processor 6700K for desktop systems. This SoC contains 4 CPU cores, outlined in blue dashed boxes. Outlined in the red dashed box, is an Intel® HD Graphics 530. It is a one-slice instantiation of Intel processor graphics gen9 architecture.

x86 Clones: Advanced Micro Devices (AMD)

■ Historically

- AMD has followed just behind Intel
- A little bit slower, a lot cheaper

■ Then

- Recruited top circuit designers from Digital Equipment Corp. and other downward trending companies
- Built Opteron: tough competitor to Pentium 4
- Developed x86-64, their own extension to 64 bits

■ Recent Years

- Intel got its act together
 - Leads the world in semiconductor technology
- AMD has fallen behind
 - Relies on external semiconductor manufacturer

Intel's 64-Bit History

- **2001: Intel Attempts Radical Shift from IA32 to IA64**
 - Totally different architecture (Itanium)
 - Executes IA32 code only as legacy
 - Performance disappointing
- **2003: AMD Steps in with Evolutionary Solution**
 - x86-64 (now called “AMD64”)
- **Intel Felt Obligated to Focus on IA64**
 - Hard to admit mistake or that AMD is better
- **2004: Intel Announces EM64T extension to IA32**
 - Extended Memory 64-bit Technology
 - Almost identical to x86-64!
- **All but low-end x86 processors support x86-64**
 - But, lots of code still runs in 32-bit mode

Our Coverage

■ IA32

- The traditional x86

■ x86-64

- The standard
- `bupt1> gcc hello.c`

■ Presentation

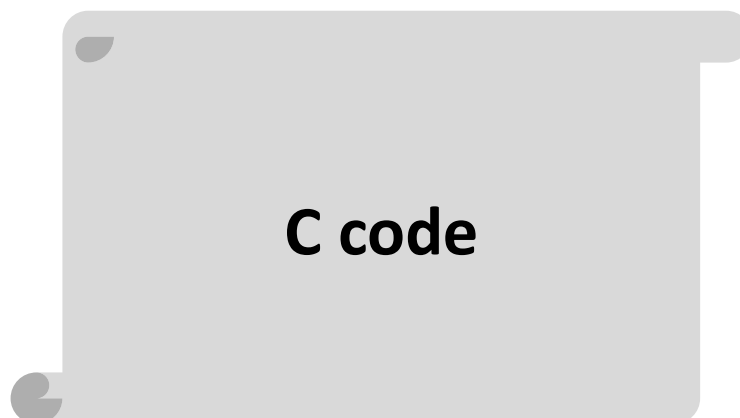
- Book covers x86-64
- Web aside on IA32
- We will only cover x86-64

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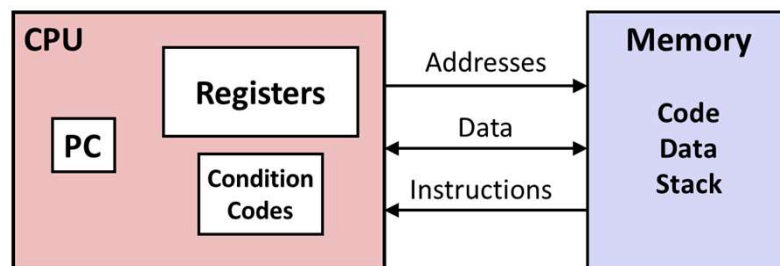
Levels of Abstraction

C programmer



**Nice clean layers,
but beware...**

Assembly programmer



Computer Designer

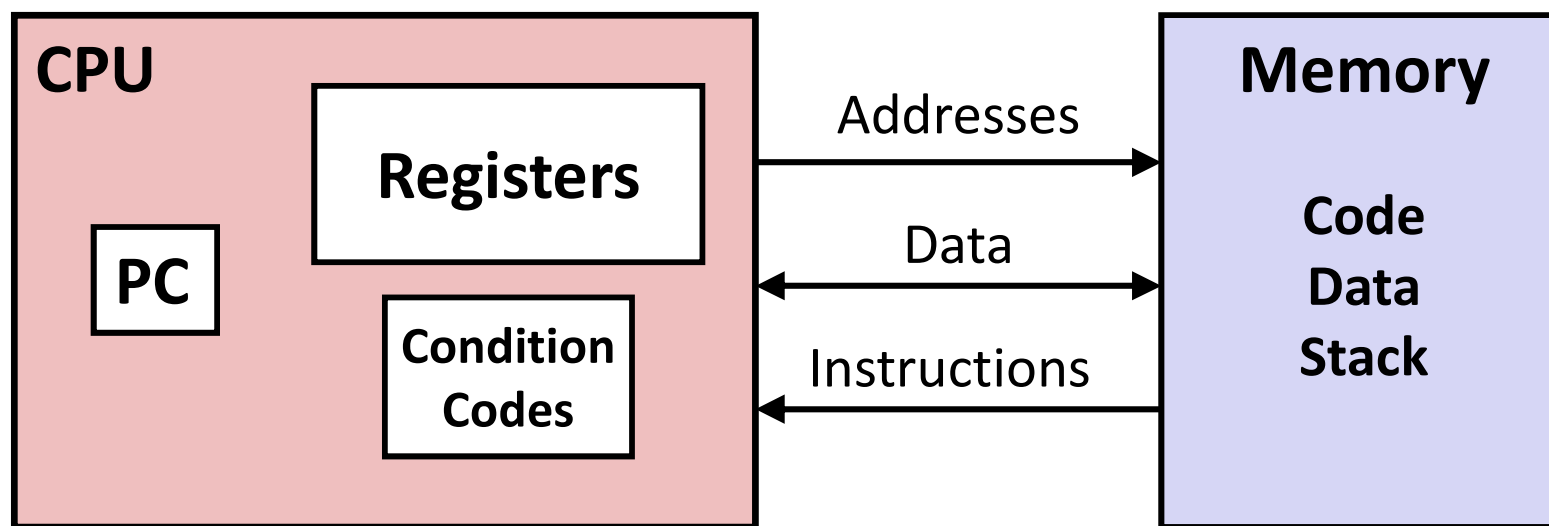
Caches, clock freq, layout, ...

Of course, you know that: It's why you are taking this course.

Definitions

- **Architecture:** (also ISA: instruction set architecture) The parts of a processor design that one needs to understand for writing correct machine/assembly code
 - Examples: instruction set specification, registers
 - **Machine Code:** The byte-level programs that a processor executes
 - **Assembly Code:** A text representation of machine code
- **Microarchitecture:** Implementation of the architecture
 - Examples: cache sizes and core frequency
- **Example ISAs:**
 - Intel: x86, IA32, Itanium, x86-64
 - ARM: Used in almost all mobile phones
 - RISC V: New open-source ISA

Assembly/Machine Code View



Programmer-Visible State

■ PC: Program counter

- Address of next instruction
- Called “RIP” (x86-64)

■ Register file

- Heavily used program data

■ Condition codes

- Store status information about most recent arithmetic or logical operation
- Used for conditional branching

■ Memory

- Byte addressable array
- Code and user data
- Stack to support procedures

Assembly Characteristics: Data Types

- **“Integer” data of 1, 2, 4, or 8 bytes**
 - Data values
 - Addresses (untyped pointers)
- **Floating point data of 4, 8, or 10 bytes**
- **(SIMD vector data types of 8, 16, 32 or 64 bytes)**
- **Code: Byte sequences encoding series of instructions**
- **No aggregate types such as arrays or structures**
 - Just contiguously allocated bytes in memory

x86-64 Integer Registers

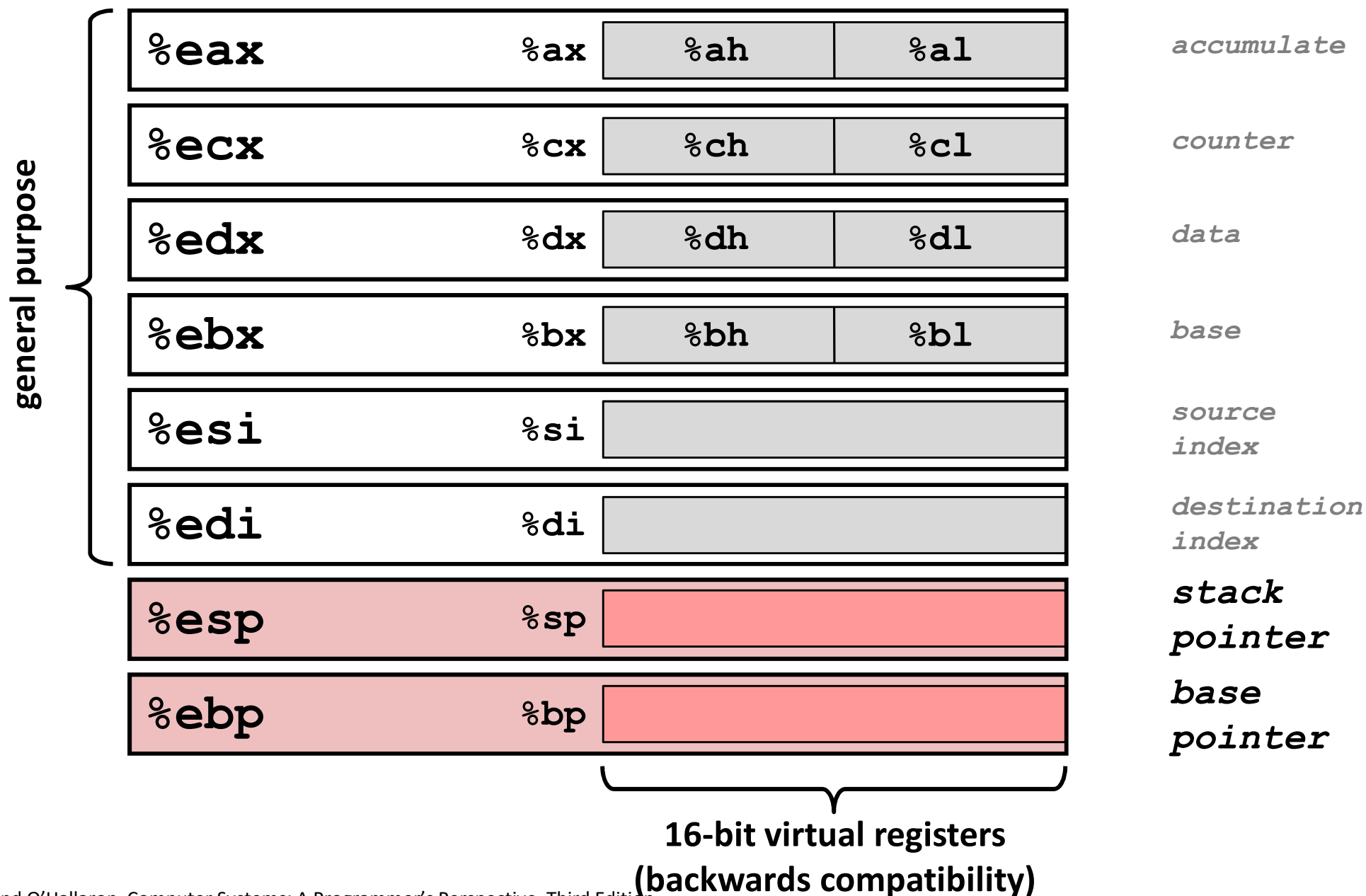
%rax	%eax
%rbx	%ebx
%rcx	%ecx
%rdx	%edx
%rsi	%esi
%rdi	%edi
%rsp	%esp
%rbp	%ebp

%r8	%r8d
%r9	%r9d
%r10	%r10d
%r11	%r11d
%r12	%r12d
%r13	%r13d
%r14	%r14d
%r15	%r15d

- Can reference low-order 4 bytes (also low-order 1 & 2 bytes)
- Not part of memory (or cache)

Some History: IA32 Registers

Origin
(mostly obsolete)



Assembly Characteristics: Operations

- **Transfer data between memory and register**
 - Load data from memory into register
 - Store register data into memory
- **Perform arithmetic function on register or memory data**
- **Transfer control**
 - Unconditional jumps to/from procedures
 - Conditional branches
 - Indirect branches

Moving Data

■ Moving Data

`movq Source, Dest`

■ Operand Types

- **Immediate:** Constant integer data
 - Example: `$0x400`, `$-533`
 - Like C constant, but prefixed with `'$'`
 - Encoded with 1, 2, or 4 bytes
- **Register:** One of 16 integer registers
 - Example: `%rax`, `%r13`
 - But `%rsp` reserved for special use
 - Others have special uses for particular instructions
- **Memory** 8 consecutive bytes of memory at address given by register
 - Simplest example: `(%rax)`
 - Various other “addressing modes”

`%rax`

`%rcx`

`%rdx`

`%rbx`

`%rsi`

`%rdi`

`%rsp`

`%rbp`

`%rn (n=8~15)`

**Warning: Intel docs use
`mov Dest, Source`**

movq Operand Combinations

	Source	Dest	Src, Dest	C Analog
movq	Imm	Reg	movq \$0x4, %rax	temp = 0x4;
		Mem	movq \$-147, (%rax)	*p = -147;
	Reg	Reg	movq %rax, %rdx	temp2 = temp1;
		Mem	movq %rax, (%rdx)	*p = temp;
	Mem	Reg	movq (%rax), %rdx	temp = *p;

Cannot do memory-memory transfer with a single instruction

Simple Memory Addressing Modes

■ Normal (R) Mem[Reg[R]]

- Register R specifies memory address
- Aha! Pointer dereferencing in C

```
movq (%rcx), %rax
```

■ Displacement D(R) Mem[Reg[R]+D]

- Register R specifies start of memory region
- Constant displacement D specifies offset

```
movq 8(%rbp), %rdx
```

Example of Simple Addressing Modes

```
void  
whatAmI (<type> a, <type> b)  
{  
    ????  
}
```

`%rdi`

`%rsi`

```
whatAmI:  
    movq    (%rdi), %rax  
    movq    (%rsi), %rdx  
    movq    %rdx, (%rdi)  
    movq    %rax, (%rsi)  
    ret
```

Example of Simple Addressing Modes

```
void swap
(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

```
swap:
    movq    (%rdi), %rax
    movq    (%rsi), %rdx
    movq    %rdx, (%rdi)
    movq    %rax, (%rsi)
    ret
```

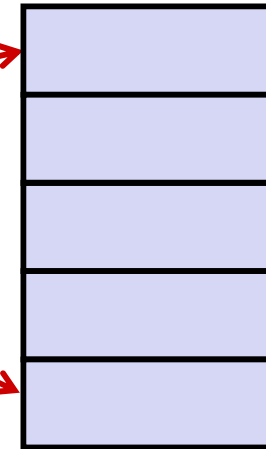

Understanding Swap()

```
void swap
(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

Registers

%rdi	
%rsi	
%rax	
%rdx	

Memory



Register	Value
%rdi	xp
%rsi	yp
%rax	t0
%rdx	t1

swap:

```
movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret
```

Understanding Swap()

Registers

<code>%rdi</code>	<code>0x120</code>
<code>%rsi</code>	<code>0x100</code>
<code>%rax</code>	
<code>%rdx</code>	

Memory

Address	
<code>0x120</code>	123
<code>0x118</code>	
<code>0x110</code>	
<code>0x108</code>	
<code>0x100</code>	456

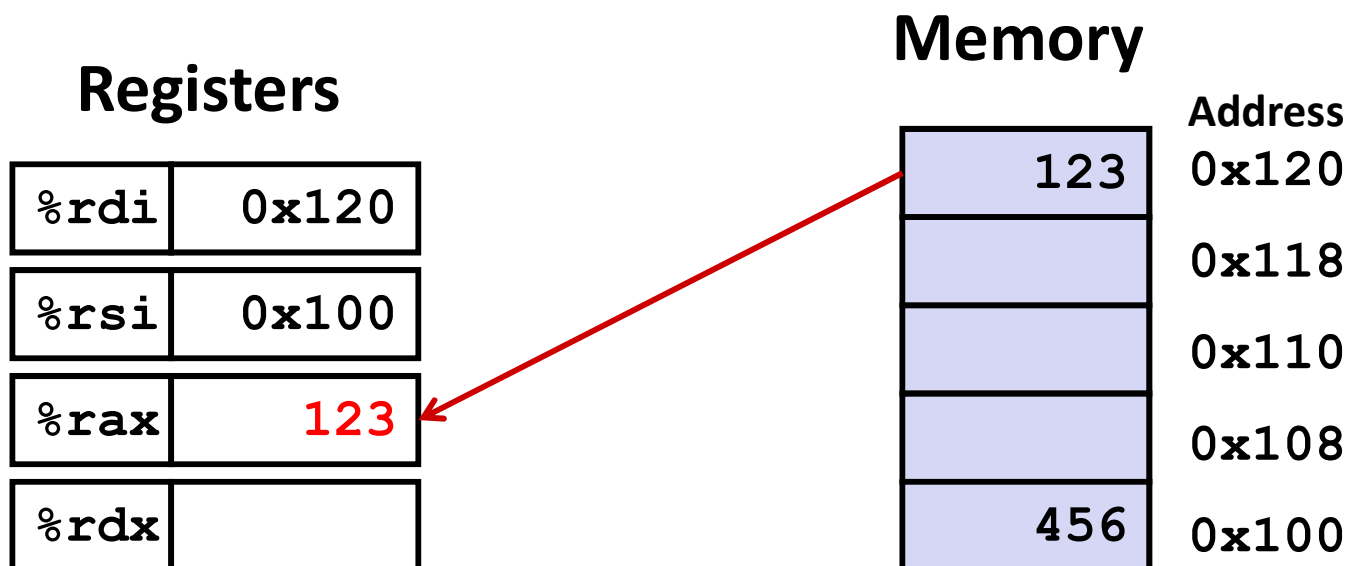
swap:

```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret

```

Understanding Swap()



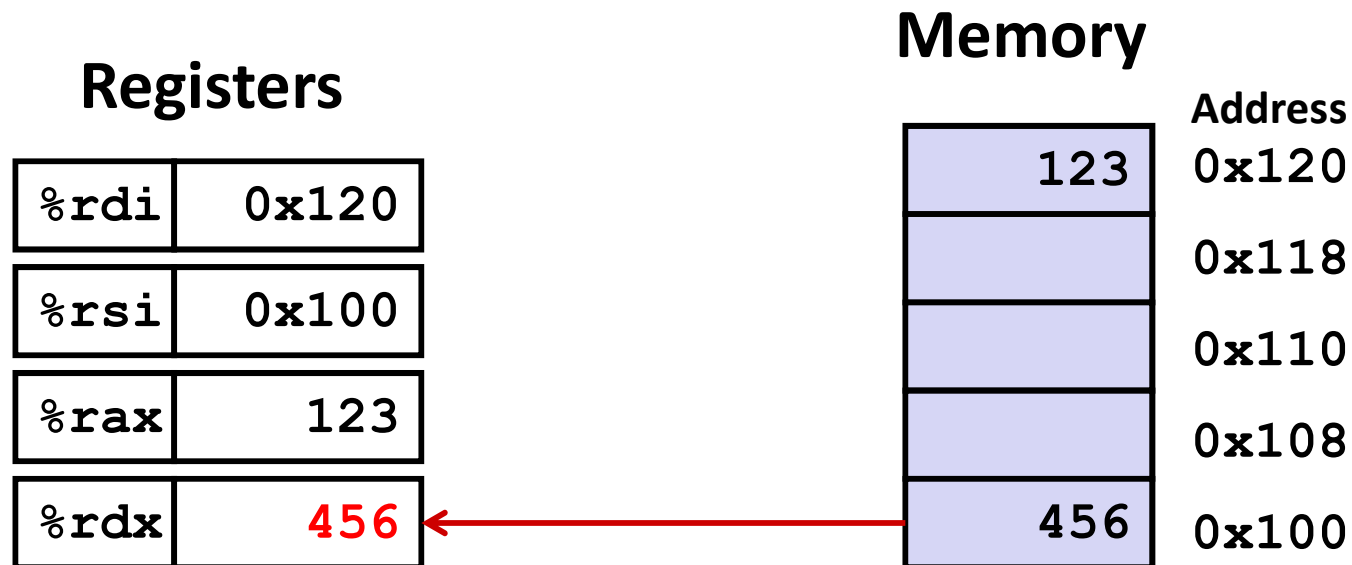
swap:

```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
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ret

```

Understanding Swap()



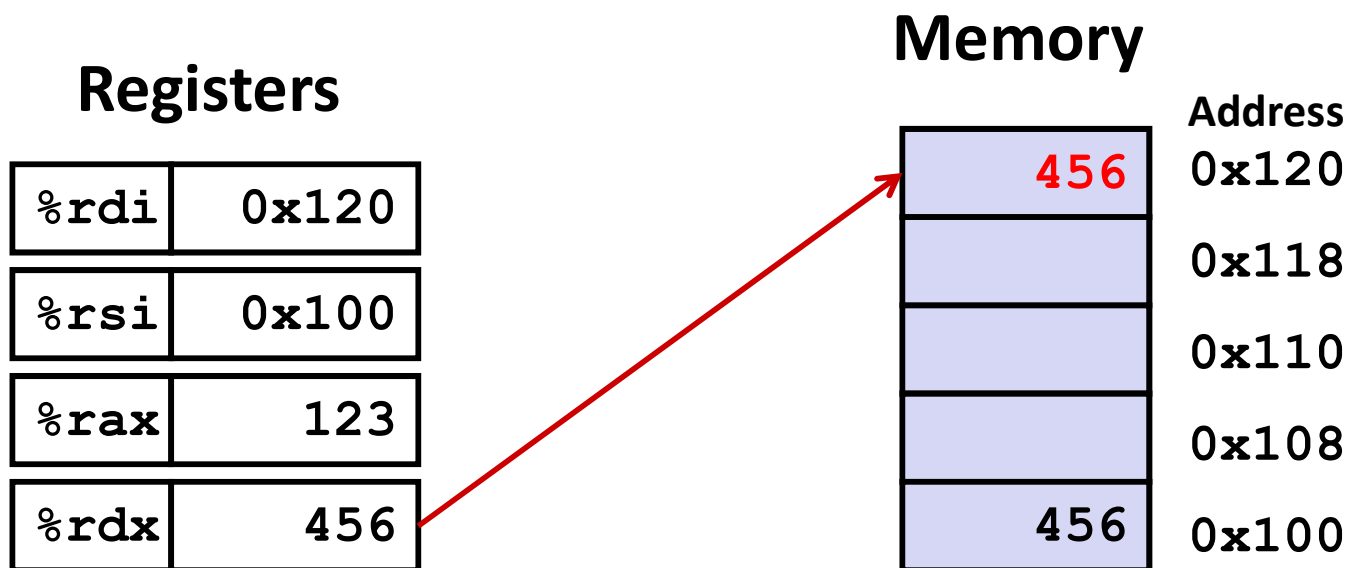
swap:

```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
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movq    %rax, (%rsi)    # *yp = t0
ret

```

Understanding Swap()



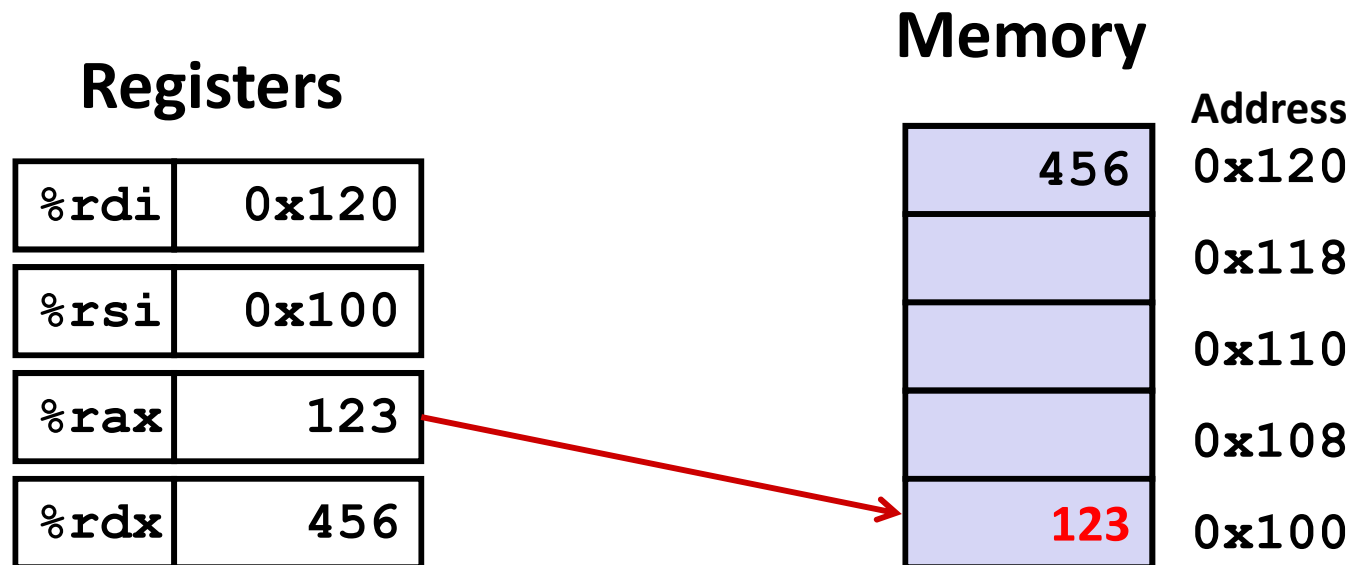
swap:

```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret

```


Understanding Swap()



swap:

```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret

```

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movq (%rcx), %rax
```

■ Displacement D(R) Mem[Reg[R]+D]

- Register R specifies start of memory region
- Constant displacement D specifies offset

```
movq 8(%rbp), %rdx
```

Complete Memory Addressing Modes

■ Most General Form

D(Rb,Ri,S)

Mem[Reg[Rb]+S*Reg[Ri]+ D]

- D: Constant “displacement” 1, 2, or 4 bytes
- Rb: Base register: Any of 16 integer registers
- Ri: Index register: Any, **except for %rsp**
- S: Scale: 1, 2, 4, or 8 (*why these numbers?*)

■ Special Cases

(Rb,Ri)

Mem[Reg[Rb]+Reg[Ri]]

D(Rb,Ri)

Mem[Reg[Rb]+Reg[Ri]+D]

(Rb,Ri,S)

Mem[Reg[Rb]+S*Reg[Ri]]

Address Computation Examples

%rdx	0xf000
%rcx	0x0100

D(Rb,Ri,S)

Mem[Reg[Rb]+S*Reg[Ri]+ D]

- D: Constant “displacement” 1, 2, or 4 bytes
- Rb: Base register: Any of 16 integer registers
- Ri: Index register: Any, except for **%rsp**
- S: Scale: 1, 2, 4, or 8 (*why these numbers?*)

Expression	Address Computation	Address
0x8 (%rdx)		
(%rdx, %rcx)		
(%rdx, %rcx, 4)		
0x80 (, %rdx, 2)		

Address Computation Examples

<code>%rdx</code>	<code>0xf000</code>
<code>%rcx</code>	<code>0x0100</code>

Expression	Address Computation	Address
<code>0x8 (%rdx)</code>	<code>0xf000 + 0x8</code>	<code>0xf008</code>
<code>(%rdx, %rcx)</code>	<code>0xf000 + 0x100</code>	<code>0xf100</code>
<code>(%rdx, %rcx, 4)</code>	<code>0xf000 + 4*0x100</code>	<code>0xf400</code>
<code>0x80 (, %rdx, 2)</code>	<code>2*0xf000 + 0x80</code>	<code>0x1e080</code>

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- **Arithmetic & logical operations**
- C, assembly, machine code

Address Computation Instruction

■ `leaq Src, Dst`

- *Src* is address mode expression
- Set *Dst* to address denoted by expression

■ Uses

- Computing addresses without a memory reference
 - E.g., translation of `p = &x[i];`
- Computing arithmetic expressions of the form $x + k*y$
 - $k = 1, 2, 4, \text{ or } 8$

■ Example

```
long m12(long x)
{
    return x*12;
}
```

Converted to ASM by compiler:

```
leaq (%rdi,%rdi,2), %rax # t = x+2*x
salq $2, %rax           # return t<<2
```

Some Arithmetic Operations

■ Two Operand Instructions:

<i>Format</i>	<i>Computation</i>	
<code>addq</code>	<i>Src, Dest</i>	$\text{Dest} = \text{Dest} + \text{Src}$
<code>subq</code>	<i>Src, Dest</i>	$\text{Dest} = \text{Dest} - \text{Src}$
<code>imulq</code>	<i>Src, Dest</i>	$\text{Dest} = \text{Dest} * \text{Src}$
<code>salq</code>	<i>Src, Dest</i>	$\text{Dest} = \text{Dest} \ll \text{Src}$
<code>sarq</code>	<i>Src, Dest</i>	$\text{Dest} = \text{Dest} \gg \text{Src}$
<code>shrq</code>	<i>Src, Dest</i>	$\text{Dest} = \text{Dest} \gg \text{Src}$
<code>xorq</code>	<i>Src, Dest</i>	$\text{Dest} = \text{Dest} \wedge \text{Src}$
<code>andq</code>	<i>Src, Dest</i>	$\text{Dest} = \text{Dest} \& \text{Src}$
<code>orq</code>	<i>Src, Dest</i>	$\text{Dest} = \text{Dest} \text{Src}$

Also called `shlq`

Arithmetic

Logical

■ Watch out for argument order! *Src, Dest*
(Warning: Intel docs use “op *Dest, Src*”)

■ No distinction between signed and unsigned int (why?)

Some Arithmetic Operations

■ One Operand Instructions

`incq` *Dest* $Dest = Dest + 1$

`decq` *Dest* $Dest = Dest - 1$

`negq` *Dest* $Dest = -Dest$

`notq` *Dest* $Dest = \sim Dest$

■ See book for more instructions

Arithmetic Expression Example

```
long arith
(long x, long y, long z)
{
    long t1 = x+y;
    long t2 = z+t1;
    long t3 = x+4;
    long t4 = y * 48;
    long t5 = t3 + t4;
    long rval = t2 * t5;
    return rval;
}
```

```
arith:
    leaq    (%rdi,%rsi), %rax
    addq    %rdx, %rax
    leaq    (%rsi,%rsi,2), %rdx
    salq    $4, %rdx
    leaq    4(%rdi,%rdx), %rcx
    imulq    %rcx, %rax
    ret
```

Interesting Instructions

- **leaq**: address computation
- **salq**: shift
- **imulq**: multiplication
 - But, only used once

Understanding Arithmetic Expression

Example

```

long arith
(long x, long y, long z)
{
    long t1 = x+y;
    long t2 = z+t1;
    long t3 = x+4;
    long t4 = y * 48;
    long t5 = t3 + t4;
    long rval = t2 * t5;
    return rval;
}

```

```

arith:
    leaq    (%rdi,%rsi), %rax    # t1
    addq    %rdx, %rax          # t2
    leaq    (%rsi,%rsi,2), %rdx
    salq    $4, %rdx            # t4
    leaq    4(%rdi,%rdx), %rcx   # t5
    imulq    %rcx, %rax          # rval
    ret

```

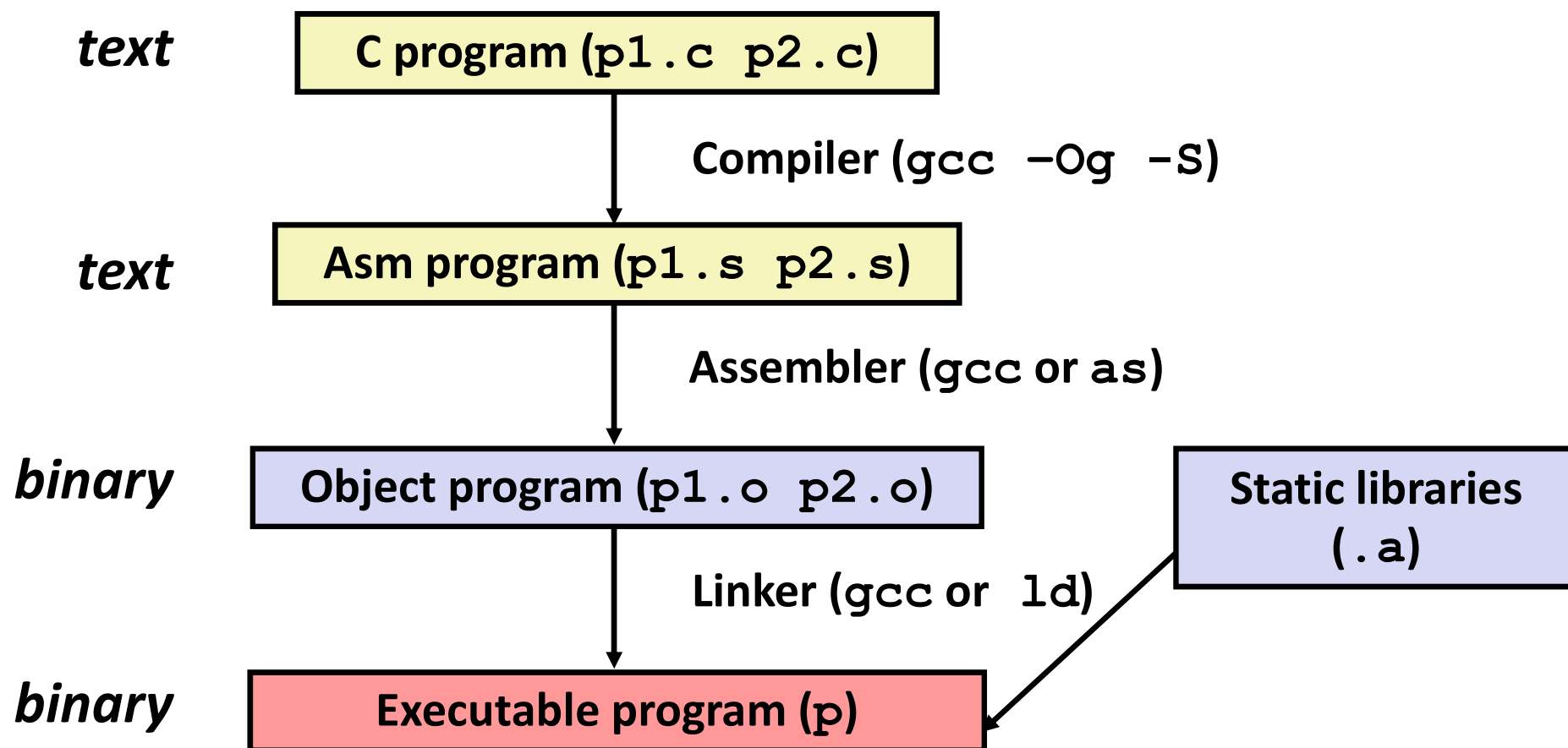
Register	Use(s)
%rdi	Argument x
%rsi	Argument y
%rdx	Argument z , t4
%rax	t1 , t2 , rval
%rcx	t5

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Turning C into Object Code

- Code in files `p1.c` `p2.c`
- Compile with command: `gcc -Og p1.c p2.c -o p`
 - Use basic optimizations (`-Og`) [New to recent versions of GCC]
 - Put resulting binary in file `p`



Compiling Into Assembly

C Code (sum.c)

```
long plus(long x, long y);  
  
void sumstore(long x, long y,  
              long *dest)  
{  
    long t = plus(x, y);  
    *dest = t;  
}
```

Generated x86-64 Assembly

```
sumstore:  
    pushq    %rbx  
    movq     %rdx, %rbx  
    call     plus  
    movq     %rax, (%rbx)  
    popq     %rbx  
    ret
```

Obtain (on shark machine) with command

```
gcc -Og -S sum.c
```

Produces file `sum.s`

Warning: Will get very different results on non-Shark machines (Andrew Linux, Mac OS-X, ...) due to different versions of gcc and different compiler settings.

What it really looks like

```
.globl  sumstore
.type   sumstore, @function
sumstore:
.LFB35:
    .cfi_startproc
    pushq   %rbx
    .cfi_def_cfa_offset 16
    .cfi_offset 3, -16
    movq    %rdx, %rbx
    call    plus
    movq    %rax, (%rbx)
    popq    %rbx
    .cfi_def_cfa_offset 8
    ret
    .cfi_endproc
.LFE35:
    .size   sumstore, .-sumstore
```

What it really looks like

```

        .globl  sumstore
        .type   sumstore, @function
sumstore:
.LFB35:

        .cfi_startproc
pushq    %rbx
        .cfi_def_cfa_offset 16
        .cfi_offset 3, -16
movq     %rdx, %rbx
call     plus
movq     %rax, (%rbx)
popq     %rbx
        .cfi_def_cfa_offset 8
ret
        .cfi_endproc
.LFE35:

        .size   sumstore, .-sumstore

```

Things that look weird
and are preceded by a '**'**
are generally directives.

```

sumstore:
    pushq    %rbx
    movq     %rdx, %rbx
    call     plus
    movq     %rax, (%rbx)
    popq     %rbx
    ret

```


Assembly Characteristics: Data Types

- **“Integer” data of 1, 2, 4, or 8 bytes**
 - Data values
 - Addresses (untyped pointers)
- **Floating point data of 4, 8, or 10 bytes**
- **(SIMD vector data types of 8, 16, 32 or 64 bytes)**
- **Code: Byte sequences encoding series of instructions**
- **No aggregate types such as arrays or structures**
 - Just contiguously allocated bytes in memory

Assembly Characteristics: Operations

- **Transfer data between memory and register**
 - Load data from memory into register
 - Store register data into memory
- **Perform arithmetic function on register or memory data**
- **Transfer control**
 - Unconditional jumps to/from procedures
 - Conditional branches

Object Code

Code for `sumstore`

0x0400595:

0x53

0x48

0x89

0xd3

0xe8

0xf2

0xff

0xff

0xff

0x48

0x89

0x03

0x5b

0xc3

- Total of 14 bytes
- Each instruction 1, 3, or 5 bytes
- Starts at address 0x0400595

■ Assembler

- Translates `.s` into `.o`
- Binary encoding of each instruction
- Nearly-complete image of executable code
- Missing linkages between code in different files

■ Linker

- Resolves references between files
- Combines with static run-time libraries
 - E.g., code for `malloc`, `printf`
- Some libraries are *dynamically linked*
 - Linking occurs when program begins execution

Machine Instruction Example

```
*dest = t;
```

```
movq %rax, (%rbx)
```

```
0x40059e: 48 89 03
```

■ C Code

- Store value `t` where designated by `dest`

■ Assembly

- Move 8-byte value to memory
 - Quad words in x86-64 parlance
- Operands:
 - `t`: Register `%rax`
 - `dest`: Register `%rbx`
 - `*dest`: Memory `M[%rbx]`

■ Object Code

- 3-byte instruction
- Stored at address `0x40059e`

Disassembling Object Code

Disassembled

```
0000000000400595 <sumstore>:
 400595:  53                push    %rbx
 400596:  48 89 d3          mov     %rdx,%rbx
 400599:  e8 f2 ff ff ff   callq   400590 <plus>
 40059e:  48 89 03          mov     %rax, (%rbx)
 4005a1:  5b                pop     %rbx
 4005a2:  c3                retq
```

■ Disassembler

`objdump -d sum`

- Useful tool for examining object code
- Analyzes bit pattern of series of instructions
- Produces approximate rendition of assembly code
- Can be run on either a `.out` (complete executable) or `.o` file

Alternate Disassembly

Disassembled

```
Dump of assembler code for function sumstore:  
0x0000000000400595 <+0>: push    %rbx  
0x0000000000400596 <+1>: mov     %rdx,%rbx  
0x0000000000400599 <+4>: callq  0x400590 <plus>  
0x000000000040059e <+9>: mov     %rax, (%rbx)  
0x00000000004005a1 <+12>: pop     %rbx  
0x00000000004005a2 <+13>: retq
```

■ Within gdb Debugger

- Disassemble procedure

```
gdb sum
```

```
disassemble sumstore
```

Alternate Disassembly

Object Code

0x0400595:

0x53

0x48

0x89

0xd3

0xe8

0xf2

0xff

0xff

0xff

0x48

0x89

0x03

0x5b

0xc3

Disassembled

Dump of assembler code for function sumstore:

```
0x0000000000400595 <+0>: push    %rbx
0x0000000000400596 <+1>: mov     %rdx,%rbx
0x0000000000400599 <+4>: callq   0x400590 <plus>
0x000000000040059e <+9>: mov     %rax, (%rbx)
0x00000000004005a1 <+12>: pop     %rbx
0x00000000004005a2 <+13>: retq
```

■ Within gdb Debugger

- Disassemble procedure

`gdb sum`

`disassemble sumstore`

- Examine the 14 bytes starting at `sumstore`

`x/14xb sumstore`

What Can be Disassembled?

```
% objdump -d WINWORD.EXE
```

```
WINWORD.EXE:      file format pei-i386
```

```
No symbols in "WINWORD.EXE".
```

```
Disassembly of section .text:
```

```
30001000 <.text>:
```

```
30001000:
```

```
30001001:
```

```
30001003:
```

```
30001005:
```

```
3000100a:
```

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- Anything that can be interpreted as executable code
- Disassembler examines bytes and reconstructs assembly source

Machine Programming I: Summary

- **History of Intel processors and architectures**
 - Evolutionary design leads to many quirks and artifacts
- **C, assembly, machine code**
 - New forms of visible state: program counter, registers, ...
 - Compiler must transform statements, expressions, procedures into low-level instruction sequences
- **Assembly Basics: Registers, operands, move**
 - The x86-64 move instructions cover wide range of data movement forms
- **Arithmetic**
 - C compiler will figure out different instruction combinations to carry out computation

教材阅读

■ 第3章 3.1-3.5