



I/O

Today

- I/O Systems
- Unix I/O
- Metadata, sharing, and redirection
- Standard I/O

Overview

A computer's job is to process data

- Computer (CPU, cache, and memory)
- Move data into and out of a system (between I/O devices and memory)

Challenges with I/O devices

- Different categories: storage, networking, displays, etc.
- Large number of device drivers to support
- Device driver run in kernel mode and can crash systems

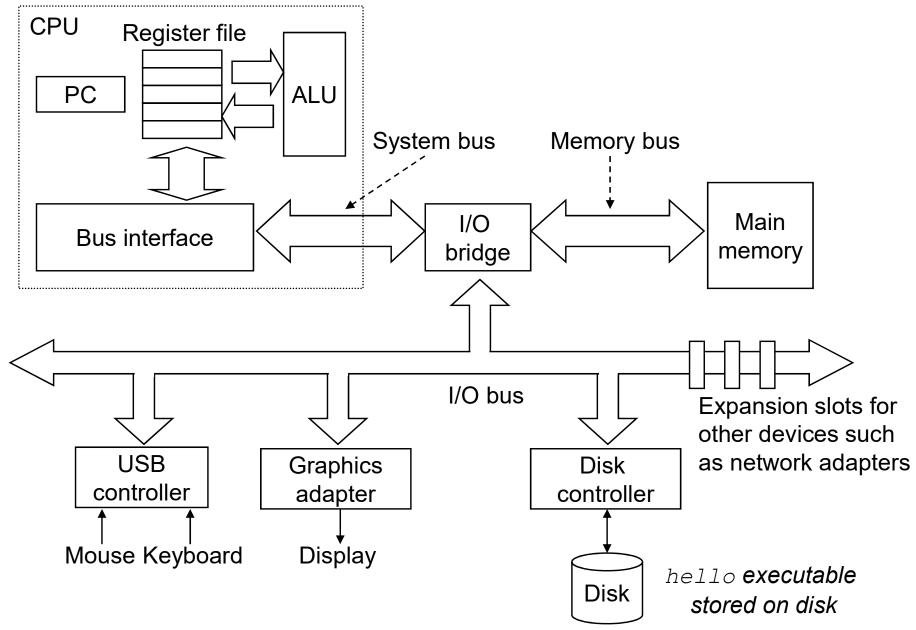
Overview (Cont.)

- I/O management is a major component of operating system
 - Important aspect of computer operation
 - I/O devices vary greatly
 - Various methods to control them
 - Performance management
 - New types of devices frequent
- **■** Ports, busses, device controllers connect to various devices
- Device drivers encapsulate device details
 - Present uniform device-access interface to I/O subsystem

How does the CPU talk to devices?

- Device controller: Hardware that enables devices to talk to the peripheral bus
- Host adapter: Hardware that enables the computer to talk to the peripheral
- Bus: Wires that transfer data between components inside computer
- Device controller allows OS to specify simpler instructions to access data
- Example: a disk controller
 - Translates "access sector 23" to "move head reader 1.672725272 cm from edge of platter"
 - Disk controller "advertises" disk parameters to OS, hides internal disk geometry. Most modern hard drives have disk controller embedded as a chip on the physical device

Typical Computer (PC) Today: HW Organization



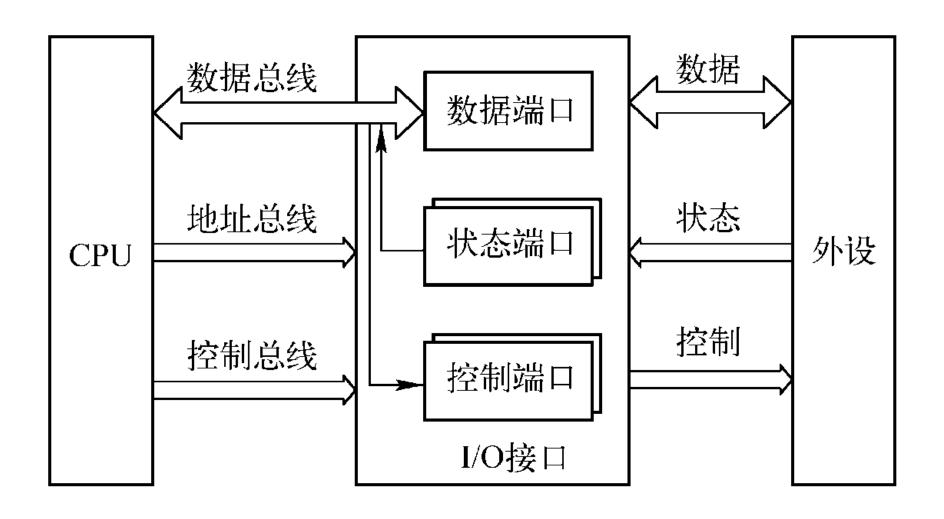
I/O Hardware

- Incredible variety of I/O devices
 - Storage
 - Transmission
 - Human-interface
- Common concepts signals from I/O devices interface with computer
 - Port connection point for device
 - Bus daisy chain or shared direct access
 - Controller (host adapter) electronics that operate port, bus, device
 - Sometimes integrated
 - Sometimes separate circuit board (host adapter)
 - Contains processor, microcode, private memory, bus controller, etc
 - Some talk to per-device controller with bus controller, microcode, memory, etc

I/O Hardware (Cont.)

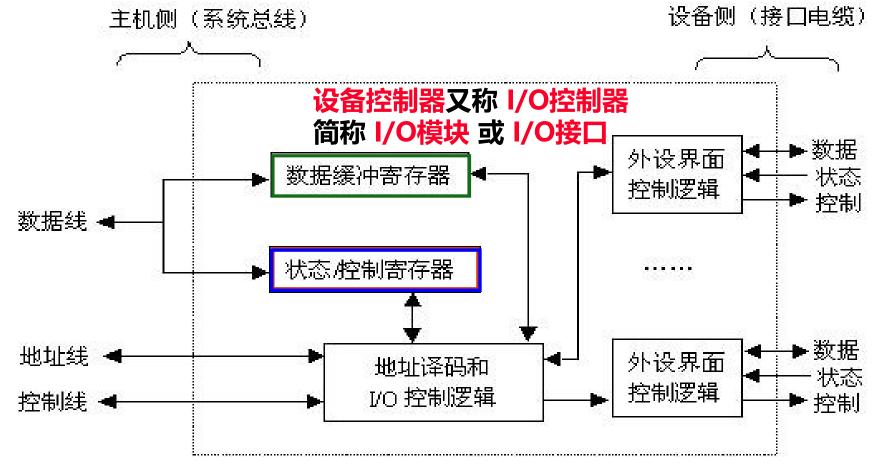
- I/O instructions control devices
- Devices usually have registers where device driver places commands, addresses, and data to write, or read data from registers after command execution
 - Data-in register, data-out register, status register, control register
 - Typically 1-4 bytes, or FIFO buffer
- Devices have addresses, used by
 - Direct I/O instructions
 - Memory-mapped I/O
 - Device data and command registers mapped to processor address space
 - Especially for large address spaces (graphics)

I/O Hardware



设备控制器的结构

°设备控制器的一般结构:不同I/O模块在复杂性和控制外设的数量上相差很大



通过发送命令字到I/O控制寄存器来向设备发送命令

通过从状态寄存器读取状态字来获取外设或I/O控制器的状态信息 通过向I/O控制器发送或读取数据来和外设进行数据交换

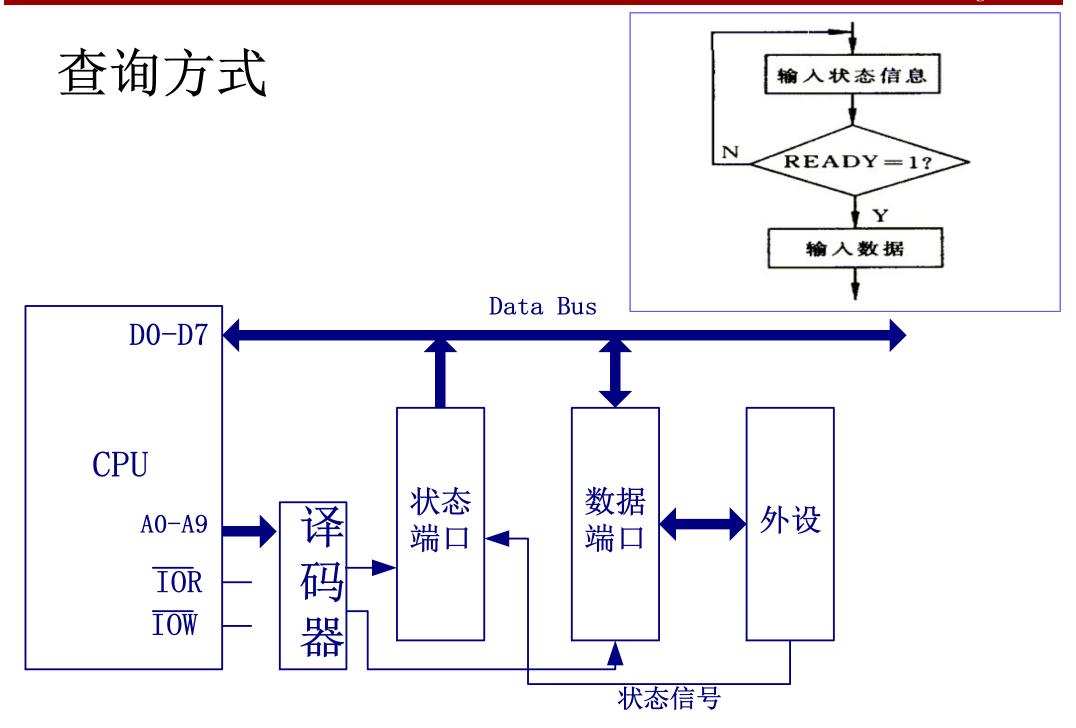
将I/O控制器中CPU能够访问的各类寄存器称为I/O端口对外设的访问通过向I/O端口发命令、读状态、读/写数据来进行

驱动程序与I/O指令

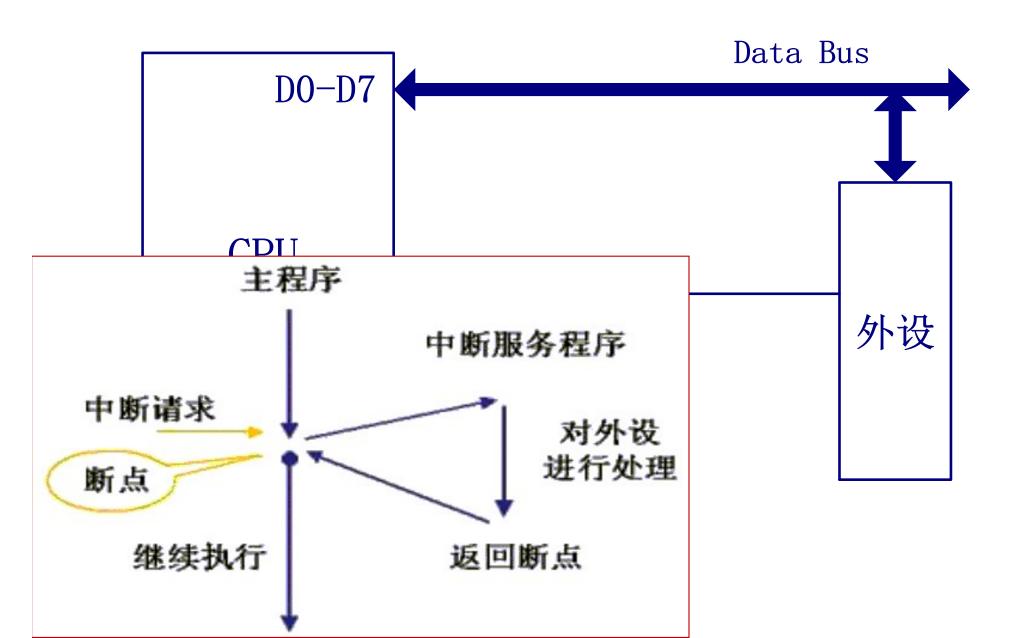
- °控制外设进行输入/输出的底层I/O软件是驱动程序
- 驱动程序设计者应了解设备控制器及设备的工作原理,包括:设备控制器中有哪些用户可访问的寄存器、控制/状态寄存器中每一位的含义、设备控制器与外设之间的通信协议等,而关于外设的机械特性,程序员则无需了解。驱动程序通过访问I/O端口控制外设进行I/O:
 - 将控制命令送到控制寄存器来启动外设工作;
 - 读取状态寄存器了解外设和设备控制器的状态;
 - 访问数据缓冲寄存器进行数据的输入和输出。
- °对I/O端口的访问操作由I/O指令完成,它们是一种特权指令

Three Types of I/O

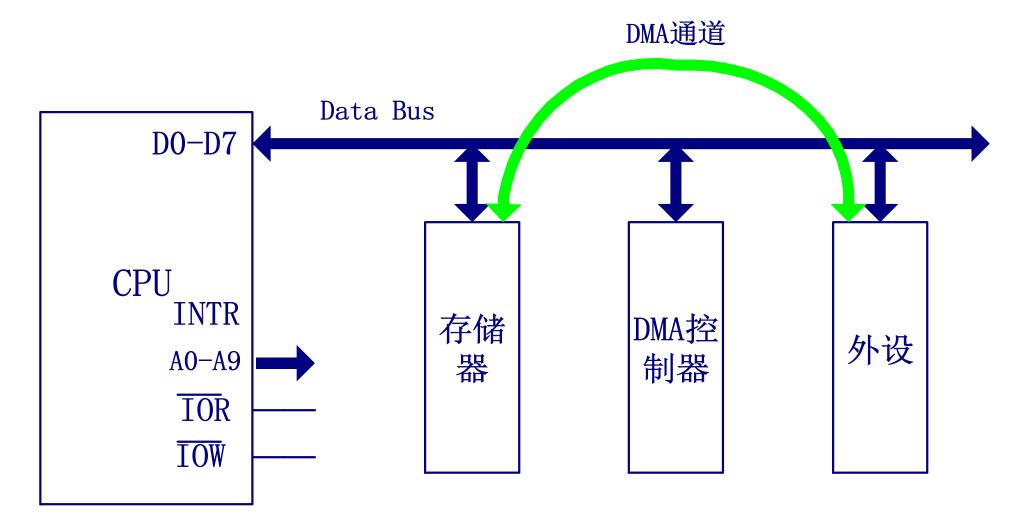
- Programmed I/O(Polling): continuous attention of the processor is required
- Interrupt driven I/O: processor launches I/O and can continue until interrupted
- Direct memory access(DMA): the dma module governs the exchange of data between the I/O unit and the main memory



中断方式



DMA方式



I/O子系统概述

各类用户的I/O请求需要通过某种方式传给OS:

- 最终用户:键盘、鼠标通过操作界面传递给OS
- 用户程序: 通过函数 (高级语言) 转换为系统调用传递给OS

I/O软件被组织成从高到低的四个层次,层次越低,则越接近设备 而越远离用户程序。这四个层次依次为:

- (1) 用户层I/O软件 (I/O函数调用系统调用)
- (2) 与设备无关的操作系统I/O软件
- (3) 设备驱动程序
- (4) I/O中断处理程序

OS在I/O系统 中极其重要!

大部分I/O软件都属于操作系统内核态程序,最初的I/O请求在用户程序中提出。

用户I/O软件

用户软件可用以下两种方式提出I/O请求:

(1) 使用高级语言提供的标准I/O库函数。例如,在C语言程序中可以直接使用像fopen、fread、fwrite和fclose等文件操作函数,或printf、putc、scanf和getc等控制台I/O函数。 程序移植性很好!

但是,使用标准I/O库函数有以下几个方面的不足:

- (a) 标准I/O库函数不能保证文件的安全性(无加/解锁机制)
- (b) 所有I/O都是同步的,程序必须等待I/O操作完成后才能继续执行
- (c) 有时不适合甚至无法使用标准I/O库函数实现I/O功能,如,不提供读取文件元数据的函数(元数据包括文件大小和文件创建时间等)
- (d) 用它进行网络编程会造成易于出现缓冲区溢出等风险
- (2) 使用OS提供的API函数或系统调用。例如,在Windows中直接使用像CreateFile、ReadFile、WriteFile、CloseHandle等文件操作API函数,或ReadConsole、WriteConsole等控制台I/O的API函数。对于Unix或Linux用户程序,则直接使用像open、read、write、close等系统调用封装函数。

用户I/O软件

- 。用户进程请求读磁盘文件操作
 - 用户进程使用标准C库函数fread,或Windows API函数 ReadFile,或Unix/Linux的系统调用函数read等要求读一个 磁盘文件块。
 - ·用户程序中涉及I/O操作的函数最终会被转换为一组与具体机器架构相关的指令序列,这里我们将其称为I/O请求指令序列。
 - 每个指令系统中一定有一类陷阱指令(有些机器也称为软中断指令或系统调用指令),主要功能是为操作系统提供灵活的系统调用机制。
 - 在I/O请求指令序列中,具体I/O请求被转换为一条陷阱指令, 在陷阱指令前面则是相应的系统调用参数的设置指令。

系统I/O软件

OS在I/O子系统中的重要性由I/O系统以下三个特性决定:

- (1) 共享性。I/O系统被多个程序共享,须由OS对I/O资源统一调度管理,以保证用户程序只能访问自己有权访问的那部分I/O设备,并使系统的吞吐率达到最佳。
- (2) 复杂性。I/O设备控制细节复杂,需OS提供专门的驱动程序 进行控制,这样可对用户程序屏蔽设备控制的细节。
- (3) 异步性。不同设备之间速度相差较大,因而,I/O设备与主机之间的信息交换使用异步的中断I/O方式,中断导致从用户态向内核态转移,因此必须由OS提供中断服务程序来处理。

那么,如何从用户程序对应的用户进程进入到操作系统内核执行呢?

系统调用!

系统调用和API

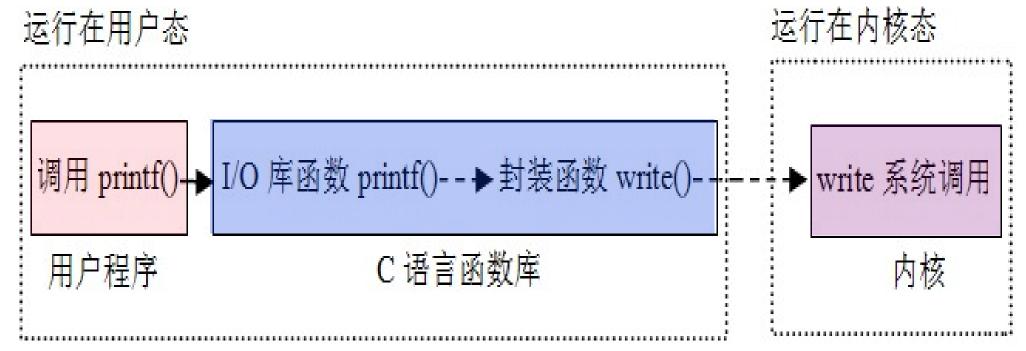
- °OS提供一组系统调用为用户进程的I/O请求进行具体的I/O操作。
- 应用编程接口(API)与系统调用两者在概念上不完全相同,它们都是系统提供给用户程序使用的编程接口,但前者指的是功能更广泛、抽象程度更高的函数,后者仅指通过软中断(自陷)指令向内核态发出特定服务请求的函数。
- [®] 系统调用封装函数是 API 函数中的一种。
- [°] API 函数最终通过调用系统调用实现 I/O。一个API 可能调用多个系统调用,不同 API 可能会调用同一个系统调用。但是,并不是所有 API 都需要调用系统调用。
- °从编程者来看,API 和 系统调用之间没有什么差别。
- [°] 从内核设计者来看,API 和 系统调用差别很大。API 在用户态执行, 系统调用封装函数也在用户态执行,但具体<mark>服务例程在内核态执行。</mark>

用户程序、C函数和内核

°用户程序总是通过某种I/O函数或I/O操作符请求I/O操作。

例如,读一个磁盘文件记录时,可调用C标准I/O库函数fread(),也可直接调用系统调用封装函数read()来提出I/O请求。不管是C库函数、API函数还是系统调用封装函数,最终都通过操作系统内核提供的系统调用来实现I/O。

例: printf()函数的调用过程如下:



以hello程序为例说明

假定以下用户程序对应的进程为p

```
#include <stdio.h>
int main()
{
    printf("hello, world\n");
```

sys_write可用三种I/O方式实现:

程序查询、中断 和 DMA

可见:字符串输出最终是由内核中的sys_write系统调用服务例程实现

用户空间、运行在用户态

内核空间、运行在内核态

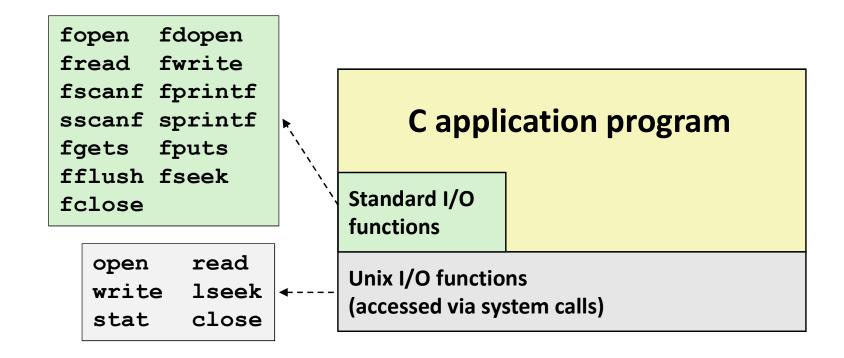


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Today: Unix I/O and C Standard I/O

Two sets: system-level and C level

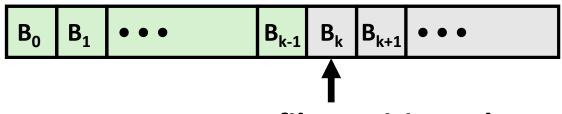


Unix I/O Overview

- A Linux *file* is a sequence of *m* bytes:
 - \blacksquare $B_0, B_1, \dots, B_k, \dots, B_{m-1}$
- Cool fact: All I/O devices are represented as files:
 - /dev/sda2 (/usr disk partition)
 - /dev/tty2 (terminal)
- Even the kernel is represented as a file:
 - boot/vmlinuz-3.13.0-55-generic (kernel image)
 - /proc (kernel data structures)

Unix I/O Overview

- Elegant mapping of files to devices allows kernel to export simple interface called *Unix I/O*:
 - Opening and closing files
 - open() and close()
 - Reading and writing a file
 - read() and write()
 - Changing the current file position (seek)
 - indicates next offset into file to read or write
 - lseek()



Current file position = k

File Types

- Each file has a type indicating its role in the system
 - Regular file: Contains arbitrary data
 - Directory: Index for a related group of files
 - Socket: For communicating with a process on another machine
- Other file types beyond our scope
 - Named pipes (FIFOs)
 - Symbolic links
 - Character and block devices

Regular Files

- A regular file contains arbitrary data
- Applications often distinguish between text files and binary files
 - Text files are regular files with only ASCII or Unicode characters
 - Binary files are everything else
 - e.g., object files, JPEG images
 - Kernel doesn't know the difference!
- Text file is sequence of text lines
 - Text line is sequence of chars terminated by newline char ('\n')
 - Newline is 0xa, same as ASCII line feed character (LF)
- End of line (EOL) indicators in other systems
 - Linux and Mac OS: '\n' (0xa)
 - line feed (LF)
 - Windows and Internet protocols: '\r\n' (0xd 0xa)
 - Carriage return (CR) followed by line feed (LF)

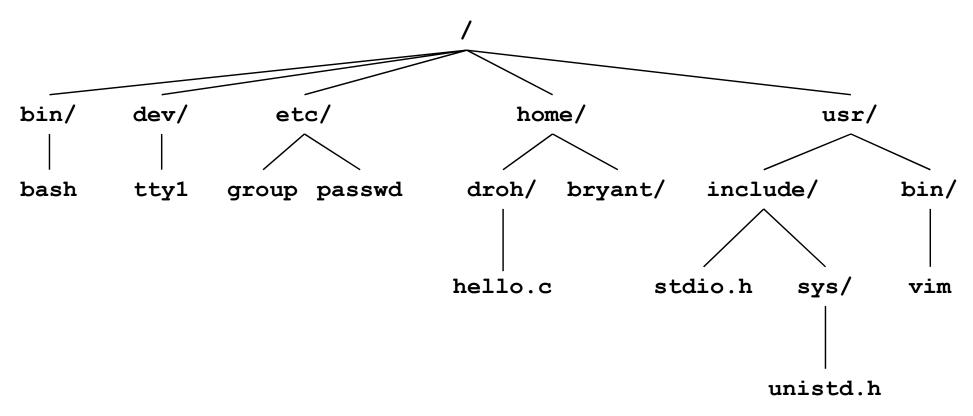


Directories

- Directory consists of an array of *links*
 - Each link maps a filename to a file
- Each directory contains at least two entries
 - . (dot) is a link to itself
 - . . (dot dot) is a link to the parent directory in the directory hierarchy (next slide)
- Commands for manipulating directories
 - mkdir: create empty directory
 - 1s: view directory contents
 - rmdir: delete empty directory

Directory Hierarchy

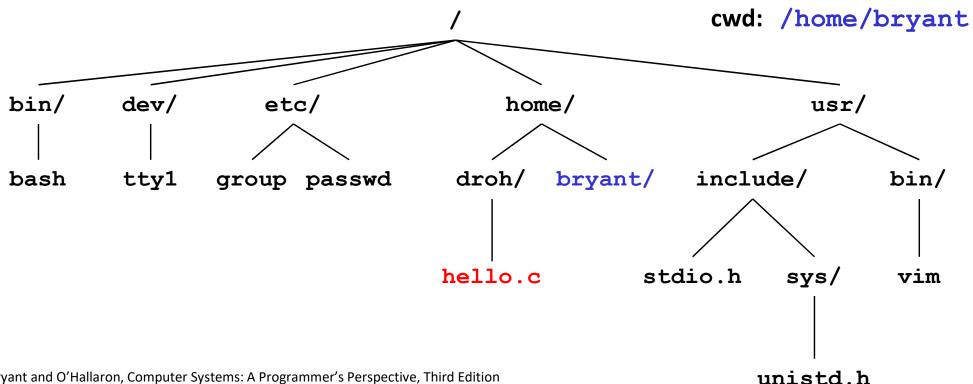
 All files are organized as a hierarchy anchored by root directory named / (slash)



- Kernel maintains *current working directory (cwd)* for each process
 - Modified using the cd command

Pathnames

- Locations of files in the hierarchy denoted by *pathnames*
 - Absolute pathname starts with '/' and denotes path from root
 - home/droh/hello.c
 - Relative pathname denotes path from current working directory
 - ../droh/hello.c



Opening Files

 Opening a file informs the kernel that you are getting ready to access that file

```
int fd; /* file descriptor */
if ((fd = open("/etc/hosts", O_RDONLY)) < 0) {
   perror("open");
   exit(1);
}</pre>
```

- Returns a small identifying integer file descriptor
 - fd == -1 indicates that an error occurred
- Each process created by a Linux shell begins life with three open files associated with a terminal:
 - 0: standard input (stdin)
 - 1: standard output (stdout)
 - 2: standard error (stderr)

Closing Files

Closing a file informs the kernel that you are finished accessing that file

```
int fd;  /* file descriptor */
int retval; /* return value */

if ((retval = close(fd)) < 0) {
   perror("close");
   exit(1);
}</pre>
```

- Closing an already closed file is a recipe for disaster in threaded programs (more on this later)
- Moral: Always check return codes, even for seemingly benign functions such as close()

Reading Files

 Reading a file copies bytes from the current file position to memory, and then updates file position

- Returns number of bytes read from file fd into buf
 - Return type ssize t is signed integer
 - nbytes < 0 indicates that an error occurred</p>
 - Short counts (nbytes < sizeof(buf)) are possible and are not errors!</p>

Writing Files

 Writing a file copies bytes from memory to the current file position, and then updates current file position

- Returns number of bytes written from buf to file fd
 - nbytes < 0 indicates that an error occurred</p>
 - As with reads, short counts are possible and are not errors!

Simple Unix I/O example

Copying stdin to stdout, one byte at a time

```
#include "csapp.h"

int main(void)
{
    char c;

    while(Read(STDIN_FILENO, &c, 1) != 0)
        Write(STDOUT_FILENO, &c, 1);
    exit(0);
}
```

On Short Counts

- Short counts can occur in these situations:
 - Encountering (end-of-file) EOF on reads
 - Reading text lines from a terminal
 - Reading and writing network sockets
- Short counts never occur in these situations:
 - Reading from disk files (except for EOF)
 - Writing to disk files
- Best practice is to always allow for short counts.

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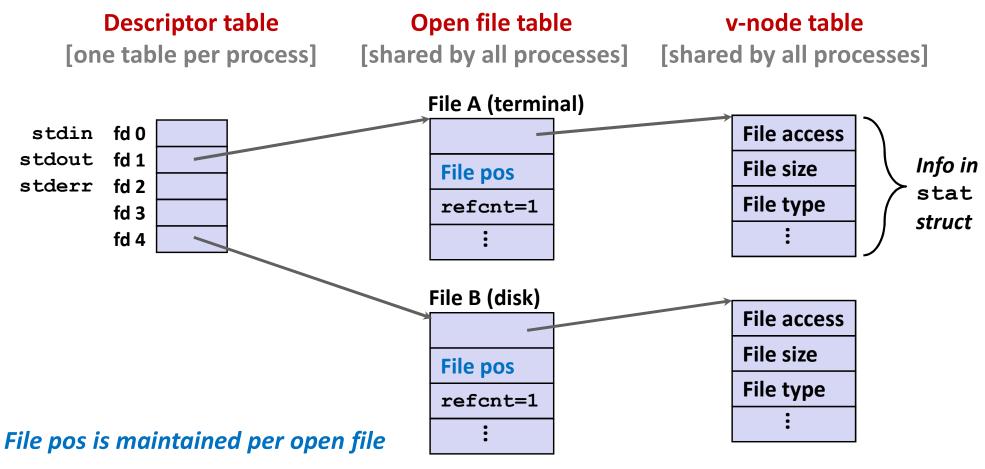
File Metadata

- *Metadata* is data about data, in this case file data
- Per-file metadata maintained by kernel
 - accessed by users with the stat and fstat functions

```
/* Metadata returned by the stat and fstat functions */
struct stat {
          st dev; /* Device */
  dev t
          st ino; /* inode */
  ino t
  nlink_t st_nlink; /* Number of hard links */
  gid_t st_gid; /* Group ID of owner */
  off t st size; /* Total size, in bytes */
  unsigned long st blksize; /* Blocksize for filesystem I/O */
  unsigned long st blocks; /* Number of blocks allocated */
  time t st atime; /* Time of last access */
  time_t st_mtime; /* Time of last modification */
  time t
           st ctime; /* Time of last change */
```

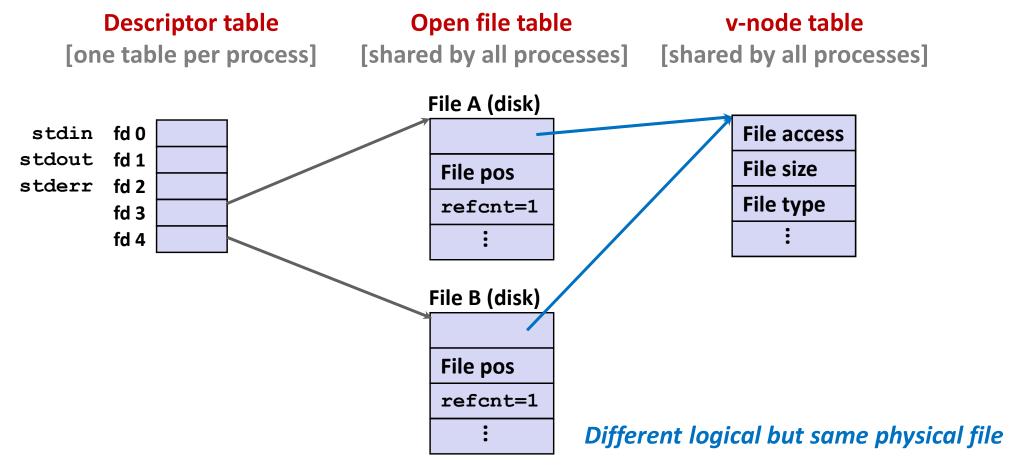
How the Unix Kernel Represents Open Files

Two descriptors referencing two distinct open files.
 Descriptor 1 (stdout) points to terminal, and descriptor 4 points to open disk file



File Sharing

- Two distinct descriptors sharing the same disk file through two distinct open file table entries
 - E.g., Calling **open** twice with the same **filename** argument

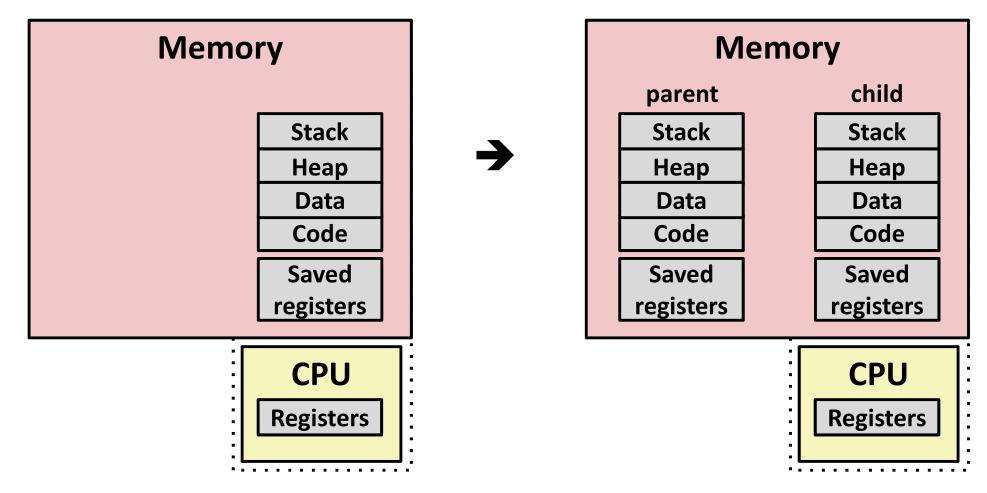


Creating Processes

Parent process creates a new running child process by calling fork

- int fork(void)
 - Returns 0 to the child process, child's PID to parent process
 - Child is almost identical to parent:
 - Child get an identical (but separate) copy of the parent's virtual address space.
 - Child gets identical copies of the parent's open file descriptors
 - Child has a different PID than the parent
- fork is interesting (and often confusing) because it is called *once* but returns *twice*

Conceptual View of fork



- Make complete copy of execution state
 - Designate one as parent and one as child
 - Resume execution of parent or child

fork Example

```
int main(int argc, char** argv)
   pid t pid;
   int x = 1:
   pid = fork();
    if (pid == 0) { /* Child */
       printf("child: x=%d\n", ++x);
       return 0:
    /* Parent */
   printf("parent: x=%d\n", --x);
   return 0;
                                fork.c
```

- Call once, return twice
- Concurrent execution
 - Can't predict execution order of parent and child

```
linux> ./fork
parent: x=0
child : x=2
```

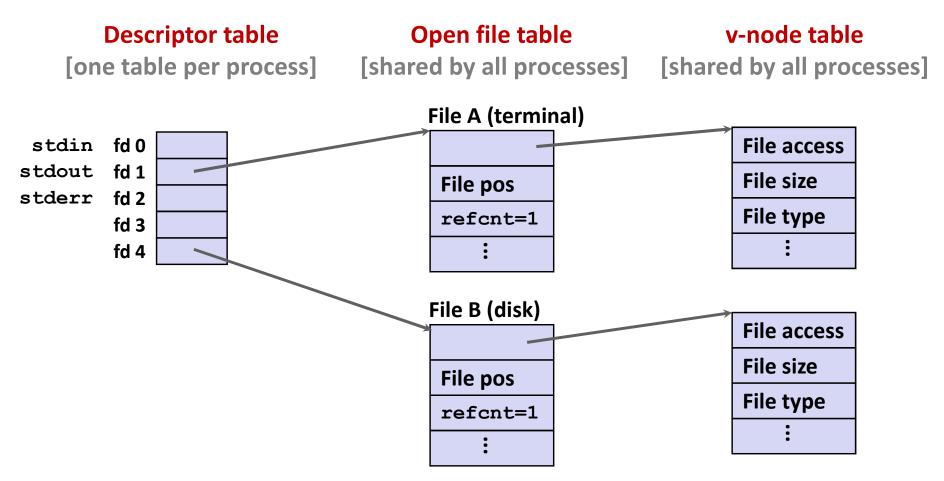
```
linux> ./fork
child : x=2
parent: x=0
```

```
linux> ./fork
parent: x=0
child : x=2
```

linux> ./fork
parent: x=0
child : x=2

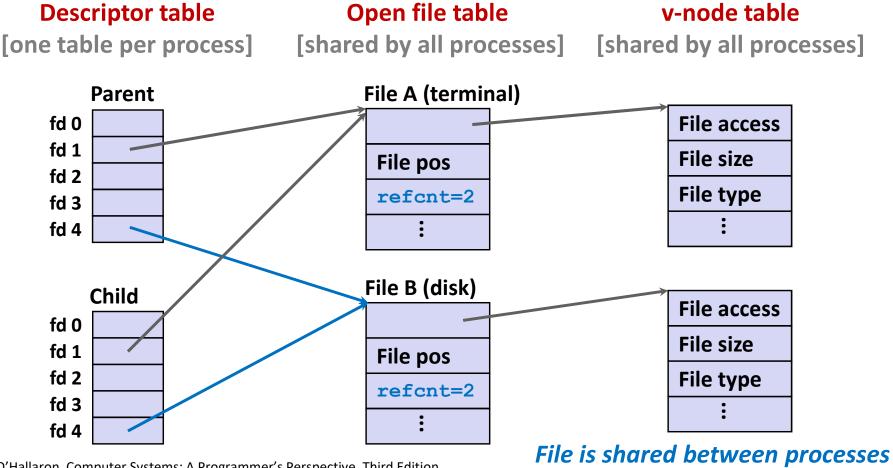
How Processes Share Files: fork

- A child process inherits its parent's open files
 - Note: situation unchanged by exec functions (use fcntl to change)
- Before fork call:



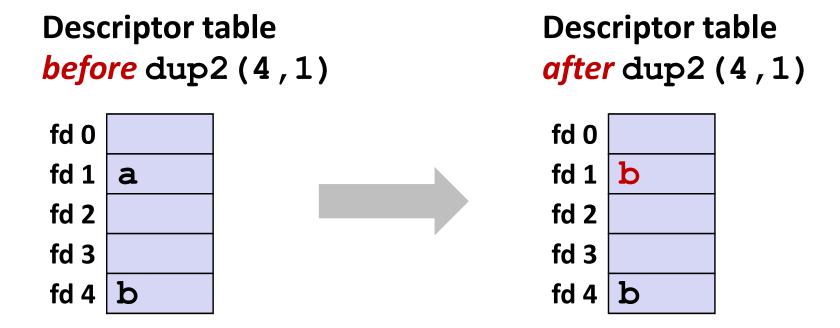
How Processes Share Files: fork

- A child process inherits its parent's open files
- After fork:
 - Child's table same as parent's, and +1 to each refent



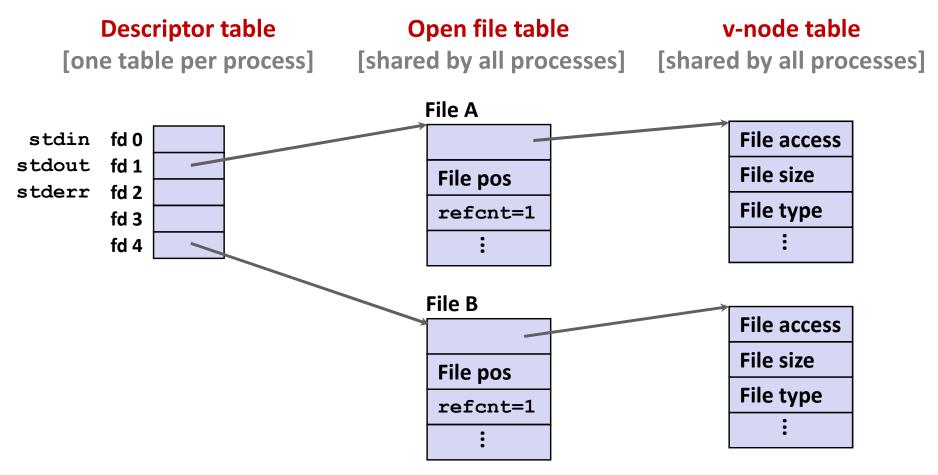
I/O Redirection

- Question: How does a shell implement I/O redirection?
 linux> ls > foo.txt
- Answer: By calling the dup2 (oldfd, newfd) function
 - Copies (per-process) descriptor table entry oldfd to entry newfd



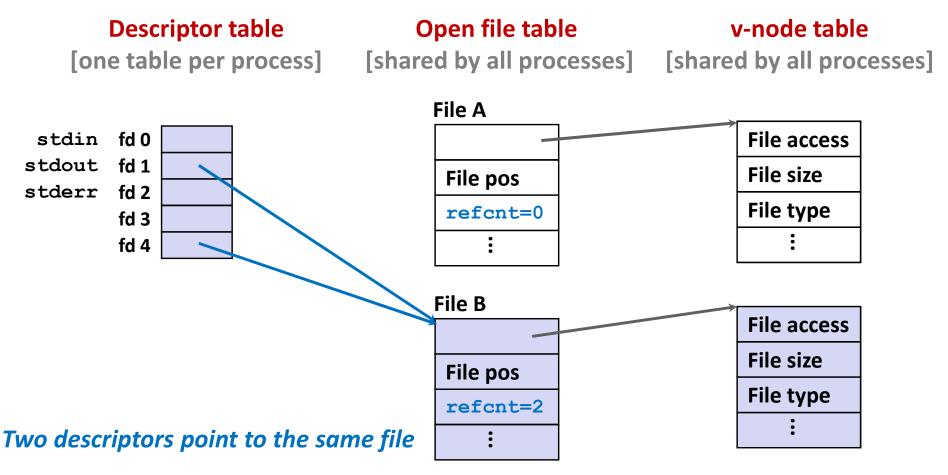
I/O Redirection Example

- Step #1: open file to which stdout should be redirected
 - Happens in child executing shell code, before exec



I/O Redirection Example (cont.)

- Step #2: call dup2 (4,1)
 - cause fd=1 (stdout) to refer to disk file pointed at by fd=4



Warm-Up: I/O and Redirection Example

```
#include "csapp.h"
int main(int argc, char *argv[])
    int fd1, fd2, fd3;
    char c1, c2, c3;
    char *fname = arqv[1];
    fd1 = Open(fname, O RDONLY, 0);
    fd2 = Open(fname, O RDONLY, 0);
    fd3 = Open(fname, O RDONLY, 0);
   Dup2 (fd2, fd3);
    Read(fd1, &c1, 1);
    Read(fd2, &c2, 1);
   Read(fd3, &c3, 1);
    printf("c1 = %c, c2 = %c, c3 = %c\n", c1, c2, c3);
    return 0;
                                              ffiles1.c
```

Warm-Up: I/O and Redirection Example

```
#include "csapp.h"
int main(int argc, char *argv[])
                                      c1 = a, c2 = a, c3 = b
   int fd1, fd2, fd3;
   char c1, c2, c3;
   char *fname = arqv[1];
   fd1 = Open(fname, O RDONLY, 0);
   fd2 = Open(fname, O RDONLY, 0);
   fd3 = Open(fname, O RDONLY, 0);
                                      dup2(oldfd, newfd)
   Dup2 (fd2, fd3); ←
   Read(fd1, &c1, 1);
   Read(fd2, &c2, 1);
   Read(fd3, &c3, 1);
   printf("c1 = %c, c2 = %c, c3 = %c\n", c1, c2, c3);
   return 0;
                                            ffiles1.c
```

Master Class: Process Control and I/O

```
#include "csapp.h"
int main(int argc, char *argv[])
    int fd1;
    int s = getpid() & 0x1;
    char c1, c2;
    char *fname = arqv[1];
    fd1 = Open(fname, O RDONLY, 0);
    Read(fd1, &c1, 1);
    if (fork()) { /* Parent */
        sleep(s);
        Read(fd1, &c2, 1);
        printf("Parent: c1 = %c, c2 = %c\n", c1, c2);
    } else { /* Child */
        sleep(1-s);
        Read(fd1, &c2, 1);
        printf("Child: c1 = %c, c2 = %c n", c1, c2);
    return 0;
                                            ffiles2.c
```

Master Class: Process Control and I/O

```
#include "csapp.h"
                                       Child: c1 = a, c2 = b
int main(int argc, char *argv[])
                                       Parent: c1 = a, c2 = c
   int fd1;
   int s = getpid() & 0x1;
                                       Parent: c1 = a, c2 = b
   char c1, c2;
   char *fname = arqv[1];
                                       Child: c1 = a, c2 = c
   fd1 = Open(fname, O RDONLY, 0);
   Read(fd1, &c1, 1);
    if (fork()) { /* Parent */
                                       Bonus: Which way does it go?
       sleep(s);
       Read(fd1, &c2, 1);
       printf("Parent: c1 = %c, c2 = %c\n", c1, c2);
    } else { /* Child */
       sleep(1-s);
       Read(fd1, &c2, 1);
       printf("Child: c1 = %c, c2 = %c\n", c1, c2);
   return 0;
                                          ffiles2.c
```

Today

- I/O Systems
- Unix I/O
- Metadata, sharing, and redirection
- Standard I/O

Standard I/O Functions

- The C standard library (libc.so) contains a collection of higher-level standard I/O functions
 - Documented in Appendix B of K&R
- Examples of standard I/O functions:
 - Opening and closing files (fopen and fclose)
 - Reading and writing bytes (fread and fwrite)
 - Reading and writing text lines (fgets and fputs)
 - Formatted reading and writing (fscanf and fprintf)

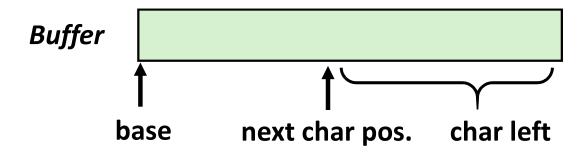
Standard I/O Streams

- Standard I/O models open files as streams
 - Abstraction for a file descriptor and a buffer in memory
- C programs begin life with three open streams (defined in stdio.h)
 - stdin (standard input)
 - stdout (standard output)
 - stderr (standard error)

```
#include <stdio.h>
extern FILE *stdin; /* standard input (descriptor 0) */
extern FILE *stdout; /* standard output (descriptor 1) */
extern FILE *stderr; /* standard error (descriptor 2) */
int main() {
    fprintf(stdout, "Hello, world\n");
}
```

Struct FILE

- Standard I/O models open files as streams
 - Abstraction for a file descriptor and a buffer in memory



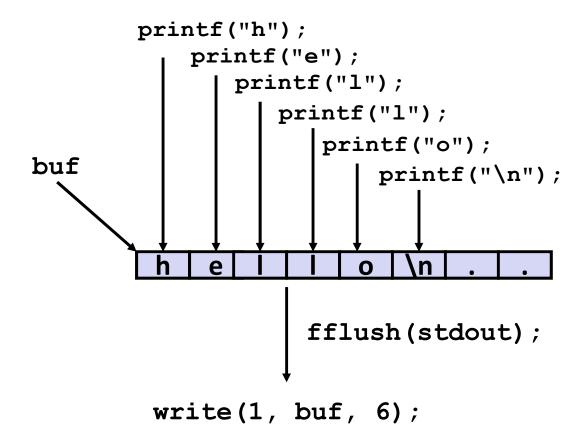
Buffered I/O: Motivation

- Applications often read/write one character at a time
 - getc, putc, ungetc
 - gets, fgets
 - Read line of text one character at a time, stopping at newline
- Implementing as Unix I/O calls expensive
 - read and write require Unix kernel calls
 - > 10,000 clock cycles
- Solution: Buffered read
 - Use Unix read to grab block of bytes
 - User input functions take one byte at a time from buffer
 - Refill buffer when empty



Buffering in Standard I/O

Standard I/O functions use buffered I/O



Buffer flushed to output fd on "\n", call to fflush or exit, or return from main.

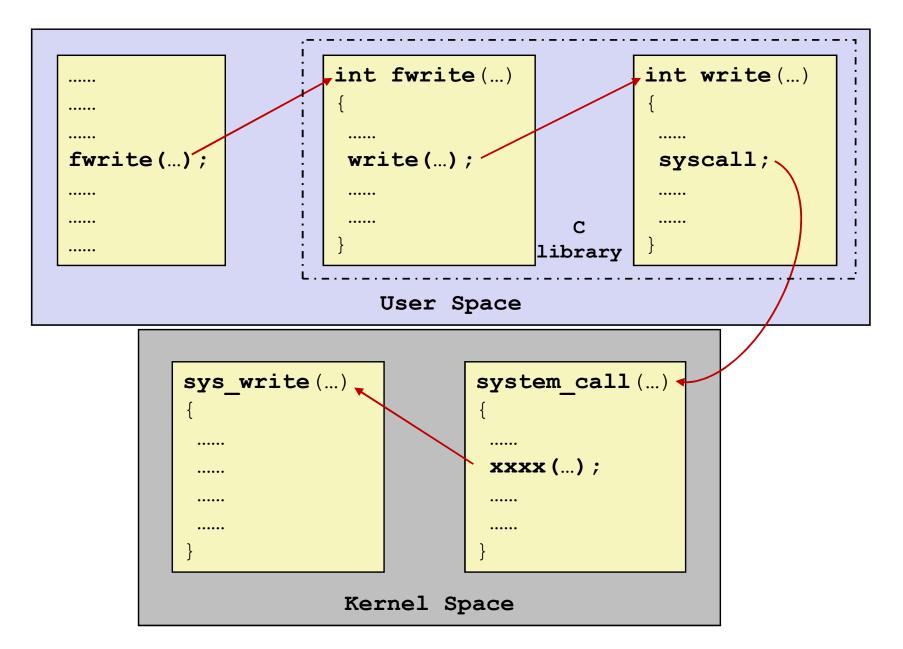
Standard I/O Buffering in Action

You can see this buffering in action for yourself, using the always fascinating Linux strace program:

```
#include <stdio.h>
int main()
{
    printf("h");
    printf("e");
    printf("l");
    printf("l");
    printf("o");
    printf("\n");
    fflush(stdout);
    exit(0);
}
```

```
linux> strace ./hello
execve("./hello", ["hello"], [/* ... */]).
...
write(1, "hello\n", 6) = 6
...
exit_group(0) = ?
```

Standard I/O Functions→Unix I/O

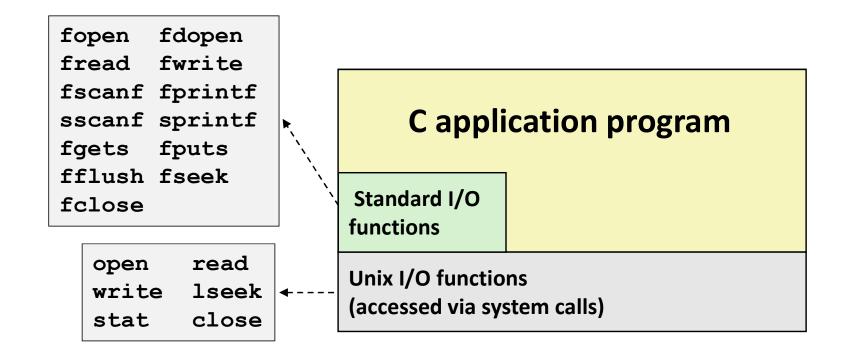


Today

- I/O Systems
- Unix I/O
- Metadata, sharing, and redirection
- Standard I/O
- Summary

Unix I/O vs. Standard I/O

Standard I/O is implemented using low-level Unix I/O



Which ones should you use in your programs?

Pros and Cons of Unix I/O

Pros

- Unix I/O is the most general and lowest overhead form of I/O
 - All other I/O packages are implemented using Unix I/O functions
- Unix I/O provides functions for accessing file metadata
- Unix I/O functions are async-signal-safe and can be used safely in signal handlers

Cons

- Dealing with short counts is tricky and error prone
- Efficient reading of text lines requires some form of buffering, also tricky and error prone
- Both of these issues are addressed by the standard I/O

Pros and Cons of Standard I/O

Pros:

- Buffering increases efficiency by decreasing the number of read and write system calls
- Short counts are handled automatically

Cons:

- Provides no function for accessing file metadata
- Standard I/O functions are not async-signal-safe, and not appropriate for signal handlers
- Standard I/O is not appropriate for input and output on network sockets
 - There are poorly documented restrictions on streams that interact badly with restrictions on sockets (CS:APP3e, Sec 10.11)

Choosing I/O Functions

- General rule: use the highest-level I/O functions you can
 - Many C programmers are able to do all of their work using the standard I/O functions
 - But, be sure to understand the functions you use!
- When to use standard I/O
 - When working with disk or terminal files
- When to use raw Unix I/O
 - Inside signal handlers, because Unix I/O is async-signal-safe
 - In rare cases when you need absolute highest performance

Aside: Working with Binary Files

- Functions you should *never* use on binary files
 - Text-oriented I/O: such as fgets, scanf
 - Interpret EOL characters.
 - String functions
 - strlen, strcpy, strcat
 - Interprets byte value 0 (end of string) as special

教材阅读

- 第10章 10.1、10.2、10.3、10.4、10.6-10.12
- ■参考书

《计算机系统基础》; 袁春风; 机械工业出版社

参考阅读 第8章 8.1、8.2、8.3.4、8.4.1、8.4.2