

Lecture 09

Example: the product space \mathbb{R}^ω with box topo or uniform topo is not connected.

$$\mathbb{R}^\omega = \underbrace{\{ \text{all bounded sequences} \}}_B \cup \{ \text{all unbounded} \dots \}$$

let $(r_n)_{n \in \mathbb{N}} \in \mathbb{R}^\omega$ is bounded sequence

then $\prod_{n=1}^N (r_{n-1}, r_{n+1})$ a neighbour of (r_n) . In the box topo which consists of bounded sequence

Similarly unbounded $\mathbb{R}^\omega - B$ is open

In uniform topo choose $B_p((r_n), 1)$ as a neighbour of (r_n)

then similarly.

Def: An ordered set X is a linear continuum if:

- X has the least upper bound property

which means any non-empty bounded subset of X has a least upper bound $\in X$

- If $x < y$, $\exists z$ s.t. $x < z < y$ ($\forall x, y \in X$)

Example: \mathbb{R} is linear continuum.

\mathbb{Q} is not ...

\mathbb{Z} is not.

Theorem 24.1 if X is a linear continuum in the order topology, then X is connected and so are intervals and rays Y in X (may be $Y = X$)

Proof: let A, B be disjoint nonempty open subsets of Y . We prove that $A \cup B \not\subseteq Y \Rightarrow Y$ has no separation.

Choose $a \in A, b \in B$ and $a < b$

$$\Rightarrow [a, b] \subset Y$$

$$\text{let } A_0 = A \cap [a, b], B_0 = B \cap [a, b]$$

$$\text{let } c = \sup(A_0) \in [a, b]$$

Case 1: suppose that $c \notin B_0$

$$\text{so } a < c \leq b$$

Since B is open in Y , B_0 is open in $[a, b]$

$$\text{so } \exists d < c, (d, c] \subset B_0$$

$\Rightarrow d$ is a smaller upper bound of A_0 .

Contradiction.

Case 2: suppose that $c \in A_0$.

A_0 is open.

$$\Rightarrow \exists d > c, (c, d) \subset A_0$$

$$\text{so } \exists e \text{ s.t. } c < e < d$$

$\Rightarrow c$ is not the upper bound. Contradiction.

$\Rightarrow Y$ has no separation.

24.2

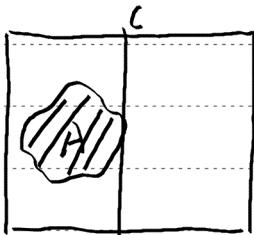
Corollary \mathbb{R} is connected and also each interval and ray in \mathbb{R}

Remark: The ordered sequence $\mathbb{I}_{\sigma}^2 = \mathbb{I} \times \mathbb{I}$ (with the dictionary tops) is linear continuum.

Let A be any non-empty bounded subset of \mathbb{I}_{σ}^2 .

Consider $\pi_1(\mathbb{I})$ projection on to the first coordinate.

Let $C = \sup(\pi_1(A))$ in \mathbb{I} .



If $B = \{x \in \mathbb{I}_{\sigma}^2 : \pi_1(x) = C\}$ is empty,

then $C \times \emptyset$ is the sup of A .

If $B \neq \emptyset$ let $d = \sup(\pi_2(B))$

the $C \times d$ is the sup of A .

The second condition is trivial.

Theorem 24.3 (Intermediate value theorem)

Let $f: X \rightarrow Y$ be continuous where X is connected and Y is ordered with the order tops.

If $f(a) < r < f(b)$. Then $\exists c \in X. f(c) = r$

Proof: Suppose r is not a value ($r \notin f(X)$)

Then $X = f^{-1}((-\infty, r)) \cup f^{-1}(r, +\infty))$

$a \in f^{-1}((-\infty, r))$, $b \in f^{-1}(r, +\infty))$ not empty.

is a separation of X . Contradiction.

Def: A path in a space X is a continuous map
 $f: [a, b] \rightarrow X$ for given pair of points x, y in X .
s.t. $f(a) = x$, $f(b) = y$
if $\forall x, y \in X \exists$ a path f then X is path-connected.

Proposition X path-connected $\Rightarrow X$ connected

Proof: Suppose $X = A \cup B$ I: interval

choose $a \in A$, $b \in B$ let $f: I \rightarrow X$.

then $[a, b] = f^{-1}(A) \cup f^{-1}(B)$

$0 \in f^{-1}(A)$, $1 \in f^{-1}(B)$ not empty a separation

contradiction since $[a, b]$ is connected

find a space which is connected but not path-connected

\mathbb{R}^{ω} with product topo is path-connected

\mathbb{R}^{ω} with box topo is even not connected

Example I_{σ}^2 is connected but not path-connected.

"connected" is already proved.

Suppose $f: I \rightarrow I_{\sigma}^2$ from 0×0 to 1×1

I connected. then by Intermediate theorem:

$\Rightarrow f$ is surjective

let $x \in I$. $x \times (0, 1)$ is open in I_{σ}^2

$U_x = f^{-1}(X \times (0,1))$ is open in I and non-empty

$\forall x, y \in X \rightarrow U_x \cap U_y = \emptyset$ if $x \neq y$

$$\text{Since } (X \times (0,1)) \cap (Y \times (0,1)) = \emptyset$$

U_x is open. $\exists q_x \in \mathbb{Q}$ and $q_x \in U_x$

so $X \rightarrow q_x$ is injective

I is uncountable. but $\{q_x \in \mathbb{Q}, q_x \in I\}$ is countable

contradiction

Lecture 10

Example: topologist's sine curve

$$S = \{x \times \sin(\frac{1}{x}) \mid 0 < x \leq 1\}$$

S is the image of the connected set $(0, 1]$ under a continuous map. S is connected.

then its closure \bar{S} is also connected in \mathbb{R}^2 , but it is not path-connected.

$$\bar{S} = S \cup (0 \times [1, 1])$$

Suppose \exists a path from $f(0) \in (0 \times [-1, 1])$ to $f(1) \in S$.

We can assume that $f(t) \in S$ for $t > 0$.

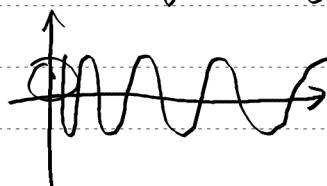
$$f(0) = p \in (0 \times [1, 1])$$

f is continuous in 0. $U = B(p, \epsilon) \cap \bar{G}$

then \exists a neighbour $[0, \delta)$ of 0 in \mathbb{Z} .

s.t. $f([0, \delta)) \subset U$

$[0, \delta)$ is connected.



Clearly $f([0, \delta))$ is not connected.
Contradiction

Another proof: $f(t) = (X(t), Y(t)) \Rightarrow X(0) = 0$

$$t > 0 \quad Y(t) = \sin\left(\frac{1}{X(t)}\right)$$

there is sequence $t_n \rightarrow 0$. s.t. $Y(t_n) = (-1)^n$. not converges.

Given n . choose u with $0 < u < X(\frac{1}{n})$ s.t. $\sin\left(\frac{1}{u}\right) = (-1)^n$.

then use intermediate theorem find $0 < t_h < \frac{1}{n}$ s.t. $X(t_h) = u$.

Def: A space X is locally connected in a point $x \in X$ if for every neighbour U of x , there is a connected neighbour $V \neq \emptyset$ contained in U .

Example: $S = \{(x, \sin(\frac{1}{x})) \mid 0 < x \leq 1\}$

\bar{S} is connected.

but not locally connected in all points of $(0 \times [-1, 1])$

Def: X : topo space: a component of X is a maximal connected subset of X .

a path-component of X is

fact: 2 components are either equal or disjoint.

2 path-components are

Another definition:

Def: an equivalence relation on X by setting $x \sim y$

if there is a connected subset of X containing x and y .

The equivalence class is called component.

path connected similar definition

Example \bar{S} has 2 path-components S and $(0 \times [-1, 1])$

In \mathbb{R}^2 the path-components are $X \times [0, 1]$

An open covering of a space X is a collection of open subsets of X whose union is X .

Def: A space X is compact if every open covering of X contains a finite sub-collection which covers X .
(a finite sub-covering)

Lemma 26.1 Let Y be a subspace of X . Then Y is compact if and only if every open covering of Y in X (that is, by open sets in X whose union contains Y) contains a finite subcollection covering Y .

Proof: \Rightarrow Y is compact.

Let $U = \{U_\alpha : \alpha \in J\}$ be an open covering of Y in X .
(U_α open in X , $Y \subset \bigcup_{\alpha \in J} U_\alpha$).

$\Rightarrow U' = \{U_\alpha \cap Y : \alpha \in J\}$ open covering of Y

Y compact $\Rightarrow \exists$ finite subcovering

$U_1 \cap Y, U_2 \cap Y, \dots, U_n \cap Y$ cover Y

first many U_1, U_2, \dots, U_n cover Y

\Leftarrow let $U' = \{U'_\alpha : \alpha \in J\}$ be an open covering of Y .

$\Rightarrow U'_\alpha = U_\alpha \cap Y$ U_α open in X .

$U = \{U_\alpha : \alpha \in J\}$ is an open covering of Y in X .

$\Rightarrow U$ has a finite subcovering U_1, \dots, U_n .

$\Rightarrow U_1, \dots, U_n = U_1 \cap Y, \dots, U_n \cap Y$ is a finite

subcovering of Y .

Example: $\{\frac{1}{n} : n \in \mathbb{N}\} \subset \mathbb{R}$ is not compact.

$\{\frac{1}{n} : n \in \mathbb{N}\} \cup \{0\}$ is compact.

(a sequence and its limits) is compact.

$x_n \rightarrow x$. $Y = \{x\} \cup \{x_n : n \in \mathbb{N}\}$, is compact

let $U = \{U_\alpha : \alpha \in J\}$ be an open covering of Y in X .

let $x \in U_{\alpha_0}$. $\alpha_0 \in J$. U_{α_0} neighbour of x .

$x_n \rightarrow x$. $\exists N \in \mathbb{N}$. $x_n \in U_{\alpha_0}$ for $n > N$.

let $x \in U_{\alpha_n}$ for $n < N$.

$\Rightarrow U_{\alpha_0}, U_{\alpha_1}, \dots, U_{\alpha_N}$ finite subcovering

Example: let X be a set with finite complement topo

(the closed subsets of X are the finite subsets and X)

Proof: let $U = \{U_\alpha : \alpha \in J\}$, be an open covering of X in X . We assume $Y \neq \emptyset$.

let $y_0 \in Y$ fixed. let $y_0 \in U_{\alpha_0}$. $X - U_{\alpha_0}$ is finite.

so $Y \cap (X - U_{\alpha_0}) = \{y_1, \dots, y_n\}$ is finite

let $y_n \in U_{\alpha_n}$. for $1 \leq n \leq N$.

$\Rightarrow U_{\alpha_0}, U_{\alpha_1}, \dots, U_{\alpha_N}$ is a finite subcovering

Theorem: the closed subspace of a compact space is compact.

Proof: let $Y \subset X$. X is compact. Y is closed.

let U be an open covering of Y in X . then $X - Y$ open

Then $U \cup \{x-y\}$ is an open covering of X .
 X compact \Rightarrow there is a finite subcovering
 $\{U_1, \dots, U_n, x-y\}$ of X .
 $\Rightarrow U_1, \dots, U_n$ is a subcovering of U covers y .

Theorem: every compact subset of a Hausdorff space is closed.

Proof: let $y \in X$ y compact. X Hausdorff

we prove that $x-y$ is open

let $x_0 \in x-y$. for each point $y \in Y$.

choose a disjoint neighb. U_y of x and V_y of y .

$\{V_y, y \in Y\}$ is an open covering of Y in X .

Y is compact $\Rightarrow Y \subset V_{y_1} \cup \dots \cup V_{y_n}$ finite ad. only.

let $U = U_{y_1} \cap \dots \cap U_{y_n}$ is a neighb. of x_0 .

$U \cap Y = \emptyset$. $U \subset x-y$ is open.

$\forall x_0 \exists$ neighb. $U \subset x-y \Rightarrow x-y$ open.

Y closed.

Lecture 11.

Theorem 26.5: If $f: X \rightarrow Y$ is continuous and X is compact, then $f(X)$ is compact
(the image of a compact space under continuous map is compact.)

Proof: let A be an open covering of $f(X)$ in Y .
then $f^{-1}(A) = \{f^{-1}(A), A \in A\}$ is an open covering of X .

X compact $\Rightarrow f^{-1}(A)$ has a finite subcovering.
and hence also A .

Theorem 26.6. Let $f: X \rightarrow Y$ be bijective and continuous.
If X is compact and Y is Hausdorff.
then f is homeomorphism.

Proof: We have to prove $f^{-1}: Y \rightarrow X$ is continuous.
Since f is bijective continuous.

$\Leftrightarrow f$ is open map

$\Leftrightarrow f$ is closed map since f is bijective.

Let A be closed in X . X is compact.

$\Rightarrow A$ is compact.

$\Rightarrow f(A)$ is compact by Theorem 26.5

$\Rightarrow f(A)$ is closed in Y since Y is hausdorff.

$\Rightarrow f$ is closed.

$\Leftrightarrow f^{-1}$ is continuous. f is homeomorphism.

Theorem 26.7 The product of finitely many compact spaces is compact.

Proof: it suffices to prove the theorem for $X \times Y$ of 2 compact spaces.

Step 1: (Tube lemma)^{26.8}: Consider $\{x_0\} \times Y$ with Y compact.

$$\{x_0\} \times Y \cong Y \quad x_0 \in X \quad \{x_0\} \times Y \subset X \times Y$$

if N is a neighbour of a slice $\{x_0\} \times Y$

Then there is a neighbour W of x_0 s.t. the tube $W \times Y \subset N$

Proof of the tube lemma. We cover the slice $\{x_0\} \times Y$ by basis elements $U \times V \subset N$ - U open in X .

V open in Y .

$\{x_0\} \times Y \cong Y$ is compact. We can cover it by finite $U_1 \times V_1, \dots, U_n \times V_n$, every $U_i \times V_i$ intersects $\{x_0\} \times Y$.

define $W = U_1 \cap U_2 \cap \dots \cap U_n$ and $x_0 \in W$.

Since $\{U_i \times V_i\}$ covers $\{x_0\} \times Y$.

so $\{V_i\}$ covers Y .

so $\{U_i \times V_i\}$ covers $W \times Y$

$\Rightarrow W \times Y \subset \bigcup U_i \times V_i \subset N$

Step 2: X, Y compact.

let A be an open covering of $X \times Y$. the slice

$\{x_0\} \times Y \cong Y$ is compact. s.t. $\{x_0\} \times Y$ is covered by finitely many elements of A .: $A_1 \dots A_n \in A$

then $M_x = A_1 \cup \dots \cup A_n$ is neighb. of $\{x_0\} \times Y$

hence there is a neighbour W_x of x s.t. $W_x \times Y \subset N_x$
 $\{W_x : x \in X\}$ is an open covering of X .

X is compact \Rightarrow finitely many W_{x_1}, \dots, W_{x_n} suffice
to cover X .

$$X \times Y = (W_{x_1} \cup \dots \cup W_{x_n}) \times Y$$

$$= (W_{x_1} \times Y) \cup \dots \cup (W_{x_n} \times Y)$$

since each $W_{x_i} \times Y$ can be covered by finitely many
elements of λ . then

$X \times Y$ can be covered.

Theorem (Tychonoff) an arbitrary product of compact
spaces is compact (in the product topology).

Not in the box topology in general

(吉洪諾夫定理)

(Alexander subbase theorem & Axiom of choice.)

Theorem 27.1 Let X be an ordered set with the least upper bound property.

In the order topology each closed interval is compact.

Proof: Let $a < b$ and A be an open covering of the closed interval $[a,b]$ (in the subspace topology which is the same as the order topology on $[a,b]$).

Let $C = \{y \in [a,b] : [a,y] \text{ is covered by finitely many elements of } A\}$ with $a \in C \neq \emptyset$.

$$\text{let } c = \sup(C)$$

(Claim: $c \in C$. ($[a,c]$ is covered by finitely many elements of A))

Choose $A \subseteq A$ with $c \in A$.

Assume $c > a$. ($\exists A$: A is open \Rightarrow

there is $d < c$: $(d,c) \subseteq A$

then $[a,b]$ can be finitely covered.

then $[a,c]$ can be finitely covered since $(d,c) \subseteq A$

Claim $c = b$. ($\Rightarrow [a,b]$ can be finitely covered)

Suppose $c < b$. Let $C \subseteq A \subseteq A$. A is open.

\Rightarrow there is $d > c$: $(c,d) \subseteq A$.

$[a,c]$ can be finitely covered.

$[c,d]$ can be finitely covered.

Contradict with c is the $\sup\{C\}$.

So $c \geq b$ $c = b$

Corollary: i) Each closed interval $[a,b]$ is compact. (R.)

2) the ordered square $I_0^2 = [0 \times 0, 1 \times 1]$ is compact.

Theorem 27.3 (Heine-Borel)

A subset A of \mathbb{R}^n is compact if and only if A is closed and bounded in the euclidean or square metric.

Proof: " \Rightarrow " A is compact. $A \subset \bigcup_{k \in \mathbb{N}} \text{Bal}(0, k) = \mathbb{R}^n$.

$\Rightarrow A$ is compact. $A \subset \bigcup_{i \in \mathbb{N}} \text{Bal}(0, i)$ finite N .

$\Rightarrow A$ is bounded.

A is closed as a compact subset of hausdorff space \mathbb{R}^n .

" \Leftarrow " A is closed and bounded.

$\Rightarrow A \subset [-N, N]^n \subset \mathbb{R}^n$

$[-N, N]^n$ is compact in \mathbb{R}^n .

$\Rightarrow [-N, N]^n$ is compact.

A closed. A is compact in $[-N, N]^n$.

Theorem 27.4 (Extrem value theorem)

Let $f: X \rightarrow Y$ be continuous where Y is ordered with the order topo.

If X is compact then $\exists c$ and d in X

s.t. $f(c) \leq f(x) \leq f(d) \quad \forall x$.

(" f has a max and a min").

Proof: X compact. f continuous

$\Rightarrow A = f(X)$ is compact

Suppose there f does not have a max

$\{(-\infty, a) : a \in A\}$ is an open covering.

A is compact $\Rightarrow A \subset (-\infty, a_0) \dots a_n \in A$.

Contradiction.

Similar when the case b is b .

Example: if X is metric and has a countable dense subset Y , then X has a countable basis.

(X is second countable)

Proof: $B = \{Bd(y, \frac{1}{n}) : y \in Y, n \in \mathbb{N}\}$

Is countable.

(Clim: B is a basis of X .)

Suppose U is open in X and $x \in U$.

then $\exists Bd(x, \frac{1}{m}) \subset U, m \in \mathbb{N}$

Let $y \in Bd(x, \frac{1}{2m})$ since Y is dense

then $x \in Bd(y, \frac{1}{2m}) \subset Bd(x, \frac{1}{m}) \subset U$, for $\forall x$.

Then B is a basis of X .

Corollary: \mathbb{R}_l is not metrizable (but first countable)

Proof: \mathbb{Q} is dense and countable.

but \mathbb{R}_l is not second countable.

27 事还得看。

Lecture 12

- Def: 1) A space X is limit point compact if every infinite subset has a limit point.
- 2) X is sequentially compact if every sequence in X has a convergent subsequence.

Theorem 28.1 X compact $\Rightarrow X$ (limit point) compact.

Proof: Let A be a subset of X which has no limit point.

$$\bar{A} = A \cup A' = A$$

$\Rightarrow A$ is closed. $\Rightarrow A$ is compact since X is compact for each $a \in A$. a is not a limit point of A .

\Rightarrow there is a neighb. of a s.t. $U_a \cap A = a$

$\{U_a : a \in A\}$ is an open covering of A in X .

A compact. $\Rightarrow A \subset U_1 \cup U_2 \cup \dots \cup U_m$

$\Rightarrow A \subset \{a_1, \dots, a_n\}$ is finite.

Contradiction

but the converse of the theorem is always true.

Theorem 28.2 Let X be metrizable. Then following equivalent:

- (1) X is compact.
- (2) X is limit compact
- (3) X is sequentially compact.

Proof: (1) \Rightarrow (2) has been proved.

(2) \Rightarrow (3) Given sequence x_n in X

if $S = \{x_n \mid n \in \mathbb{N}\}$ finite.

then there is a constant subsequence converges. trivial.

we assume $S = \{x_n \mid n \in \mathbb{N}\}$ infinite.

X is limit point compact. \Rightarrow

S has a limit point $x_0 \in X$.

$X_i := B_d(x_0, \frac{1}{i}) \cap S$ and $x_i \neq x_0$ and $x_i \neq x_j$ $i \neq j$

since $B_d(x_0, \frac{1}{i}) \cap S$ is infinite because X is metrizable

(\Rightarrow handout)

$\Rightarrow \exists$ subsequence $x_i \rightarrow x_0$

3) \Rightarrow 1):

step 1 Lemma: Suppose X is metrizable and sequentially compact.

Then for each $\varepsilon > 0$, there is a finite covering of X consisting of ε -ball.

Lemma proof suppose there is $\varepsilon > 0$ and no such finite covering of X .

let $x_1 \in X$ $B_d(x_1, \varepsilon) \not\subseteq X$

let $x_2 \in X - B_d(x_1, \varepsilon)$ $x_3 \in X - B_d(x_1, \varepsilon) - B_d(x_2, \varepsilon)$

these ε -ball can't cover X finitely.

x_i is a sequence: $d(x_i, x_j) \geq \varepsilon \quad \forall i \neq j$.

$\Rightarrow x_i$ has no convergent subsequence

$\Rightarrow X$ is not sequentially compact. Contradiction.

step 2: let λ be an open covering of X

(3) \Rightarrow there is a lebesgue number $\delta > 0$

by the lemma: there is an open covering of X by

finitely many ϵ -ball with $\epsilon = \frac{\delta}{3}$
each of those balls has diameter $\frac{2d}{3} < \delta$.

so it contained in an element of A .

so there is a finite subcovering of A .

X is compact.

Theorem 27.5 (Lebesgue number lemma)

Let A be an open covering of compact metric space X .

There exists a $\delta > 0$ (a Lebesgue number associated to the open covering A) such that

every subset of X with diameter less than δ ,

is contained in at least $A \in A$.

Proof: we prove that the theorem holds when X is metric and sequentially compact.

Suppose that no such $\delta > 0$ exists. Then for each $\delta > 0$, there is a subset A_δ of X whose diameter is less than δ , but A_δ is not contained in any $A \in A$.

Consider $\delta = \frac{1}{n}$. $n \in \mathbb{N}$. $A_\delta = A_{\frac{1}{n}}$

Let $x_n \in A_{\frac{1}{n}}$. There is a sequence x_n in X . X is sequentially compact.

\Rightarrow the sequence x_n has a convergent subsequence

$x_{n_i} \rightarrow x_0$ in X

Let $x_0 \in A \in A$. A is open.

there is $B_d(x_0, \varepsilon) \subset A$

choose n_i s.t. $d(x_{n_i}, x_0) < \frac{\varepsilon}{2}$

and $\frac{1}{n_i} < \frac{\varepsilon}{2}$

let $y \in A_{\frac{1}{n_i}}$. $d(y, x_0) \leq d(y, x_{n_i}) + d(x_{n_i}, x_0) < \varepsilon$

$A_{\frac{1}{n_i}} \subset A$. Contradiction.

Def: An ordered set is well ordered if every subset has a minimum.

Let X be an ordered set and $\alpha \subseteq X$. $S_\alpha = (-\infty, \alpha)$ is a section $\{x \in X \mid x < \alpha\}$ of α .

Axiom of Choice \Leftrightarrow Zorn's Lemma.

\Leftrightarrow Well-ordered theorem of Zermelo

Well-ordered theorem:

Every set X can be well ordered

Lemma 1 \Rightarrow 2

Theorem: There is an uncountable well-ordered set,

s.t. every section is countable

("the minimum well-ordered uncountable set")

Bewf: Using the well-ordered theorem

let X be any uncountable well-ordered set.

If every section S_x , $x \in X$ is countable
then we are done.

otherwise $Y = \{x \in X \mid S_x \text{ is not countable}\} \neq \emptyset$

X is well-ordered \Rightarrow

Y has a minimum $s_2 \in Y \subset X$

then S_{s_2} is uncountable

$S_2 \notin S_{s_2}$

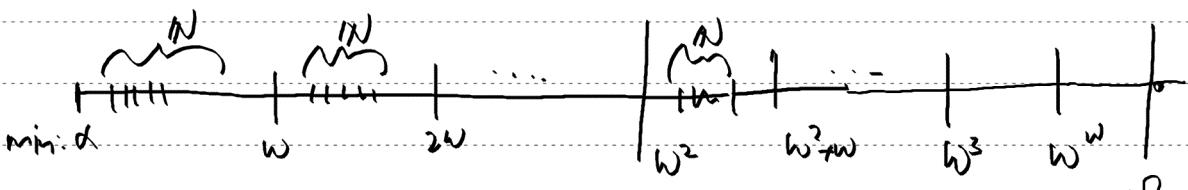
but every element of S_n , $x \in n$.

$\Rightarrow S_x$ should be countable
then take S_n

in every case call the set of theorem S_n
 S_n with the order type

$\bar{S}_n \equiv S_n \cup \{\omega\}$ ω : the largest of \bar{S}_n
then \bar{S}_n is well ordered also

in a well-ordered set, each element has an
immediate successor if it is not the largest



it is still countable before ω .

S_n : uncountable.

well-ordered.

选择公理(良序定理)可以证明 S_n 存在

“但似乎不能具体构造出来”