# **Acoustical Wave Models**

# I. Simplified Model and Layered Models

Ref: Acoustical wave propagation in buried water filled pipes(2005).

**Fundamental Solutions in Elastodynamics** 

# 1.Simplified Model

## i. Main idea

• The pipe will be considered to be much stiffer than the water it contains, in which case the fluid is surrounded by a cylindrical boundary that allows no motions in the radial direction.(管道将被认为比其所容纳的水硬得多,在这种情况下,流体被圆柱形边界包围,不允许沿径向方向运动)

Why we need this simplified model?

如果波可以穿过不止一种介质,特别是在固相和液相之间存在相互作用的情况下,在圆柱坐标系中严格制定声音传播是一个相当困难的问题(当然这种困难的问题在后面也有所讨论)

# ii. Mathematical preliminaries and application

## (1)Laplacian in cyclindrical coordinates

圆柱坐标系下的拉普拉斯算子:

$$abla^2 f = rac{\partial^2 f}{\partial r^2} + rac{1}{r} rac{\partial f}{\partial r} + rac{1}{r^2} rac{\partial^2 f}{\partial heta^2} + rac{\partial^2 f}{\partial z^2}$$

上式只需利用chain rule即可,可以在任何一本数学分析/微积分的教材中找到,我们将在 wave equation 和 Helmholtz equation中多次使用它.

## (2)Bessel function

参考维基百科上的介绍: Bessel function

• Bessel函数是Bessel方程的解

$$x^2y'' + xy' + (x^2 - \alpha^2)y = 0$$

有三类贝塞尔函数,根据我们的需要进行选取即可.

• Bessel函数的正交性:

由于贝塞尔方程对应的作用算符除以x后便是一个**自伴算子**(self-adjoint operator),所以它的解在适当边界条件下须满足正交性关系.特别地,有:

$$\int_0^1 x J_lpha(x u_{lpha,m}) J_lpha(x u_{lpha,n}) dx = rac{\delta_{m,n}}{2} J_{lpha+1}(u_{lpha,m})^2,$$

#### (3)Acoustic wave equation

参考维基百科上的介绍: Acoustic wave equation

$$abla^2 \phi = rac{1}{c^2} rac{\partial^2 \phi}{\partial t^2}$$

其中c是声速, $\phi$ 表示abstract scalar field,可以理解为Velocity Potential,

得到
$$\phi$$
后, $\mathbf{u} = \nabla \phi, p = -\rho \frac{\partial \phi}{\partial t}$ .

利用(1)Laplacian in cyclindrical coordinates,得到:

$$\frac{\partial^2 \phi}{\partial r^2} + \frac{1}{r} \frac{\partial \phi}{\partial r} + \frac{1}{r^2} \frac{\partial^2 \phi}{\partial \theta^2} + \frac{\partial^2 \phi}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2 \phi}{\partial t^2} = 0$$

以上的PDE的解为:

$$\phi(r,\theta,z) = (c_1 \cos(n\theta) + c_2 \sin(n\theta)) \cdot (c_3 J_n(k_a r) + c_4 Y_n(k_a r)) \cdot (c_5 e^{ik_z z} + c_6 e^{-ik_z z}) \text{ with}$$

$$k_a = \sqrt{k_p^2 - k_z^2}$$

根据物理模型的假设:*波从源向外移动,并且在靠近源的地方具有有限的强度*,解化简为:

$$\phi(r,\theta,z) = (c_1 \cos(n\theta) + c_2 \sin(n\theta)) \cdot J_n(k_a r) \cdot e^{-ik_z z}$$

根据Simplified Model中的假设,边界条件:

$$u_r = \phi_r = 0$$
 at r=R for every  $\theta$  and z.

将此条件代入 $\phi(r,\theta,z)$ 就得到:

$$J_n'(k_{nj}R) = 0 \iff k_{nj}R = z'_{nj} \iff k_{nj} = \frac{z'_{nj}}{R}$$

b. Solution of simplified model

以上的分析告诉了我们解具有的形式,现在考虑添加载荷项之后的方程的解.

• 以 $S(r, \theta, k_z, w)$ 表示源的强度,并利用Fourier-Bessel级数(Fourier级数和Bessel级数的张量)展开:

$$S(r,\theta,k_z,\omega) = \sum_{n=0}^{\infty} \sum_{i=1}^{\infty} S_{ni} = \sum_{n=0}^{\infty} \sum_{i=1}^{\infty} \left( a_{ni} \cos n\theta + b_{ni} \sin n\theta \right) J_n(k_{ni}r)$$

其中, $k_{nj}: J'_n(k_{nj}R) = 0$ 

• 由于Fourier-Bessel级数的正交性,Fourier系数可以由以下公式计算:

$$a_{nj} = \frac{\int_0^R \int_0^{2\pi} S(r, \theta, \omega) \cos m\theta J_m(k_{mi}r) r dr d\theta}{\frac{\pi}{2} (1 + \delta_{0n}) R^2 J_n^2(k_{nj}R) \left[ 1 - \left( \frac{n}{k_{nj}R} \right)^2 \right]} \text{ for } n = 0, 1, 2, \dots$$

$$b_{nj} = \frac{\int_{0}^{R} \int_{0}^{2\pi} S(r, \theta, k_{z}, \omega) \sin m\theta J_{m}(k_{mi}r) r dr d\theta}{\frac{\pi}{2} R^{2} J_{n}^{2}(k_{nj}R) \left[ 1 - \left( \frac{n}{k_{nj}R} \right)^{2} \right]} \text{ for } n > 0$$

• 添加载荷项之后的方程为:

$$\nabla^2 \phi + k^2 \phi = \sum_{n=0}^{\infty} \sum_{j=1}^{\infty} \left( a_{nj} \cos n\theta + b_{nj} \sin n\theta \right) J_n(k_{nj}r)$$

其中

$$k^2 = k_0^2 - k_z^2$$
 and  $k_0 = \omega/c$ .

• 为了求解以上的方程,考虑 $\phi$ 的Fourier-Bessel展开(注意,此时考虑的 $\phi$ 是频域 $k_z,w$ 上的函数):

$$\phi(r,\theta,k_z,\omega) = \sum_{n=0}^{\infty} \sum_{j=1}^{\infty} \phi_{nj} = \sum_{n=0}^{\infty} \sum_{j=1}^{\infty} \left( A_{nj} \cos n\theta + B_{nj} \sin n\theta \right) J_n(k_{nj}r)$$

• 代入添加了载荷项之后的方程,有:

$$\sum_{n=0}^{\infty} \sum_{j=1}^{\infty} \left( \nabla^2 + k^2 \right) \left( A_{nj} \cos n\theta + B_{nj} \sin n\theta \right) J_n(k_{nj}r) = \sum_{n=0}^{\infty} \sum_{j=1}^{\infty} \left( a_{nj} \cos n\theta + b_{nj} \sin n\theta \right) J_n(k_{nj}r)$$

• 由线性无关性:

$$\left(\nabla^2 + k^2\right) \left(A_{nj}\cos n\theta + B_{nj}\sin n\theta\right) J_n(k_{nj}r) = \left(a_{nj}\cos n\theta + b_{nj}\sin n\theta\right) J_n(k_{nj}r)$$

整理后得到:

$$\left\{ \left[ \left( J_n'' + \frac{1}{r} J_n' + \left( k_{nj}^2 - \left( \frac{n}{r} \right)^2 \right) J_n \right) \right] + \left( k^2 - k_{nj}^2 \right) J_n \right\} \left( A_{nj} \cos n\theta + B_{nj} \sin n\theta \right) = \left( a_{nj} \cos n\theta + b_{nj} \sin n\theta \right) J_n$$

注意到 $J_n''+rac{1}{r}J_n'+(k_{nj}^2-(rac{n}{r})^2J_n$ 即为Bessel方程的左端项,从而为0;

解得

$$k^2 - k_{nj}^2 = k_0^2 - k_{nj}^2 - k_z^2,$$

$$A_{nj} = \frac{-a_{nj}}{\left(k_z - \sqrt{k_0^2 - k_{nj}^2}\right)\left(k_z + \sqrt{k_0^2 - k_{nj}^2}\right)} \text{ and } B_{nj} = \frac{-b_{nj}}{\left(k_z - \sqrt{k_0^2 - k_{nj}^2}\right)\left(k_z + \sqrt{k_0^2 - k_{nj}^2}\right)}$$

• 以上的 $A_{ni}$ ,  $B_{ni}$ 是 $\phi$ 中所有包含轴向波数 $k_z$ 的项,进行Fourier逆变换:

$$I_{j} = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \frac{e^{-ik_{z}z} dk_{z}}{\left(k_{z} - \sqrt{k_{0}^{2} - k_{nj}^{2}}\right) \left(k_{z} + \sqrt{k_{0}^{2} - k_{nj}^{2}}\right)}$$

此积分可以由留数定理(积分区域取 $R o -R(Re^{i\pi}) \overset{cycle}{\longrightarrow} Re^{i2\pi}$ )计算:

$$I_{j} = -2\pi i \frac{1}{2\pi} \frac{e^{-iz\sqrt{k_{0}^{2} - k_{nj}^{2}}}}{2\sqrt{k_{0}^{2} - k_{nj}^{2}}} = -\frac{ie^{-iz\sqrt{k_{0}^{2} - k_{nj}^{2}}}}{2\sqrt{k_{0}^{2} - k_{nj}^{2}}}$$

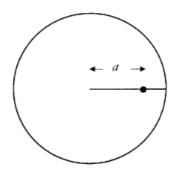
• 最终得到的解为:

$$\phi(r,\theta,z,\omega) = \frac{1}{2} \sum_{n=0}^{\infty} \sum_{j=1}^{\infty} \frac{e^{-iz\sqrt{k_0^2 - k_{nj}^2}}}{\sqrt{k_0^2 - k_{nj}^2}} \left( a_{nj} \cos n\theta + b_{nj} \sin n\theta \right) J_n(k_{nj}r)$$

现在我们只需要求出 $S(r, \theta, k_z, w)$ 中的对应系数 $a_{nj}, b_{nj}, k_{nj}$ 即可,接下来我们来确定这件事.

#### (4)Specific form of the solution

- a. off-center point source
  - 假设源偏离中心的距离为a,如下图所示:



• 源的强度函数表达为:

$$S(r,\theta,z,\omega) = \frac{1}{r}\delta(r-a)\delta(\theta)\delta(z)f(\omega)$$

注意,这是一个频域(w)上的函数, $\delta(\cdot)$ 表示<u>狄拉克函数</u>,它是一个分布函数,可以用标准正态分布方差趋于0的序列的弱极限来表示.狄拉克函数在信号处理上也被称为**单位脉冲符号**或**单位脉冲函数**.

以上的表达式是为了说明我们在某个点发出了信号.

• 源的强度满足以下表达式:

$$\int_{0}^{R} \int_{0}^{2\pi} S(r, \theta, k_{z}, \omega) r dr d\theta = \delta(z) f(\omega)$$

• 我们计算出对应的 $a_{nj}, b_{nj}$ :

$$a_{nj} = \frac{J_n(k_{nj}a)}{\frac{\pi}{2}(1+\delta_{0n})R^2J_n^2(k_{nj}R)\left[1-\left(\frac{n}{k_{nj}R}\right)^2\right]}, b_{nj} = 0$$

最终得到φ的表达式

$$\phi(r,\theta,z,\omega) = \frac{i}{\pi R^2} f(\omega) e^{i\omega r} \sum_{n=0}^{\infty} \sum_{j=1}^{\infty} \frac{e^{-iz\sqrt{k_0^2 - k_{nj}^2}}}{\sqrt{k_0^2 - k_{nj}^2}} \frac{\cos n\theta J_n(k_{nj}a) J_n(k_{nj}r)}{\left(1 + \delta_{0n}\right) J_n^2(k_{nj}R) \left[1 - \left(\frac{n}{k_{nj}R}\right)^2\right]}$$

以上的计算需要在很大的范围内进行双重求和,代价比较昂贵.

b. center point source

• a=0时,源的强度函数表达为:

$$S(r,\theta,z,\omega) = \frac{1}{r}\delta(r)\delta(\theta)\delta(z)f(\omega)$$

• 此时非零的系数仅有:

$$a_{0j} = \frac{1}{\pi R^2 J_0^2(k_{0j}R)}, a_{n>0,j} = 0, b_{nj} = 0$$

 • 
 oh表达式(频域)

$$\phi(r,\theta,z,\omega) = \frac{i}{2\pi R^2} f(\omega) e^{i\omega t} \sum_{j=1}^{\infty} \frac{e^{-iz\sqrt{k_0^2 - k_{0j}^2}}}{\sqrt{k_0^2 - k_{0j}^2}} \frac{J_0(k_{0j}r)}{J_0^2(k_{0j}R)}$$

•  $p=
horac{\partial\phi}{\partial t}$ 的表达式(频域)

$$p(r,\theta,z,\omega) = \frac{\rho}{cR^2} \, \omega \, f(\omega) \, e^{i\,\omega t} \sum_{j=1}^{\infty} \frac{e^{-i\,z\sqrt{k_0^2 - k_{0j}^2}}}{\sqrt{k_0^2 - k_{0j}^2}} \, \frac{J_0(k_{0j}r)}{J_0^2(k_{0j}R)}$$

对于以上的表达式,注意到管道的normal modes为: $k_{0j}=w_{0j}/c=z_{0j}/R$ ,即

$$\omega_{nj} = z_{nj} \frac{c}{R} \rightarrow k_{nj} r = z_{nj} \frac{r}{R}, k_{nj} a = z_{nj} \frac{a}{R} \text{ with } J'_n(z_{nj}) = 0$$

## iii. Algorithm

维基百科:短时距傅里叶变换

#### (1)Exponential Window Method

#### a. EWM数学原理

Given a displacement (or any other variable) of the form:

$$u(t) = \frac{1}{2\pi} \int_{0}^{\infty} F(\omega) H(\omega) e^{i\omega t} d\omega$$
, if one adds an artificial damping to the frequency, this

integral can be evaluated by contour integration as:

$$u(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} F(\omega - i\eta) H(\omega - i\eta) e^{i(\omega - i\eta)t} d\omega =$$

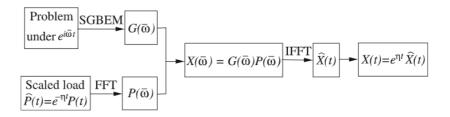
$$= \frac{1}{2\pi} e^{\eta t} \int_{-\infty}^{\infty} F(\omega - i\eta) H(\omega - i\eta) e^{i\omega t} d\omega = e^{\eta t} \overline{u}(t)$$

with

$$F(\omega - i\eta) = \int_{0}^{t_0} \left( e^{-\eta t} f(t) \right) e^{-i\alpha x} dt = \int_{0}^{t_0} \overline{f}(t) e^{-i\alpha x} dt$$

## b. EWM流程图

Fig. 1 A model for obtaining time solutions using the EWM



#### c. EWM算法流程

#### One. Acoustical wave propagation in buried water filled pipes

- Calculate the FFT of the forcing function
- Multiply it by a falling exponential window
- Calculate the transfer function for the complex frequency

- Multiply the FFT of the forcing function and the transfer function to get the response function
- Do the inverse FFT
- Multiply the resulting displacement by a rising exponential window with the same parameter  $\eta$  to get the actual response

$$EWM: ext{force function } f(t) \overset{FFT}{\longrightarrow} F(w) \overset{FEW}{\longrightarrow} F(\overline{w}) \overset{calculateH(w)}{\longrightarrow} \phi^*(r, \theta, z, \overline{w}) \overset{IFFT}{\longrightarrow} \phi^*(r, \theta, z, t) \overset{REW}{\longrightarrow} \phi(r, \theta, z, t)$$

## Two. Frequency domain analysis by the exponential window method and SGBEM for elastodynamics

原文中对于EWM方法的介绍缺少了一些细节,参考了以上的论文中的过程:

- 1. 确定频率分辨率 $\Delta f$ ,应当足够小以尽量减少频率信息的损失
- 2. 确定exponential window function中的shifting constant  $\eta$ , 公式:  $\eta=m_0\Delta f\ln 10, 3\leq m_0\leq 4$  is recommended
- 3. 对一系列 (N /2 + 1) 位移角频率进行 SGBEM(Symmetric-Galerkin Boundary Element Method)分析:
  - 。  $\overline{w_j}=2\pi(j\Delta f)-i\eta,\;j=0:N/2)$ 得到前N/2+1个样本的 scaled frequency response  $G(\overline{w})$ ;其中 $N=2^m$ ,m是与Nyquist frequency  $f_{Nyq}=\frac{N}{2}\Delta f=2^{(m-1)}\Delta f$ .该频率需要足够大,意味着高于 $f_{Nyq}$ 的频率响应并不重要,可以被丢弃.请注意,第一个样本 (j=0) 对应于静态样本.
- 4. 对于最后的N/2-1个样本, $G(\overline{w})$ 关于Nyquist frequency共轭对称:

$$\circ \ G(\overline{w_i}) = \operatorname{conj}(G(\overline{w}_{N-i+2}), \ j = N/2 + 2 : N)$$

5. 对于scaled loading function  $\hat{P}(t)=e^{-\eta t}P(t)$ 的前N(j=1:N)个样本执行FFT,得到

$$\bullet \ P(\overline{w}) = \int_0^{T_f} \hat{P}(t) e^{-iwt} dt, \ T_f = 1/\Delta f$$

- 6. 计算scaled output $X(\overline{w}) = G(\overline{w})Y(\overline{w})$
- 7. 对 $X(\overline{w})$ 执行IFFT,得到scaled time response:

$$\circ \ \hat{X}(t) = rac{1}{2\pi} \int_{-\infty}^{+\infty} X(\overline{w}) e^{i\overline{w}t} dw$$

- 8. 真正的时间响应为 $X(t)=e^{\eta t}\hat{X}(t)$ ,时间分辨率为 $\Delta t=T_f/N$ ;
- 9. 如果计算出的 $\Delta t$ 不能很好地指示time-history曲线的形状,可以考虑进行插值(Ref:Brigham EO (1988) The fast Fourier transform and its applications. Prentice Hall, New Jersey).
- 以上的流程中,3,4中的SGBEM数值技术适合  $G(\overline{w})$  是动态应力强度因子 (DSIF) 或动态T应力等裂缝参数的 scaled frequency response.
  - This numerical technique is particularly suitable if  $G(\overline{w})$  is the scaled frequency response for fracture parameters such as the dynamic stress intensity factors (DSIFs) or the dynamic T-stress

## (2)Algorithm for simplified model

After these brief notes on the EWM application the layout of the numerical code that calculates the response to an impact excitation will be:

- 1. Defining the forcing function
- 2. Choosing the distance for which the response is calculated
- 3. Choosing the timeframe under which the system is studied
- 4. Deciding on the number of discretization points
- 5. Calculating the Nyquist frequency for the FFT
- 6. Discretizing the frequency domain
- 7. Calculating the forcing function strength at all the discretization points
- 8. Calculating the FFT of forcing function
- 9. Choosing a value of damping for the EWM
- 10. Calculating the EW function

- 11. Multiplying the EW with the FFT of the forcing function
- 12. Choosing the appropriate number of modes (value of n) and of terms of the Bessel-Fourier series (value of j)
- 13. Calculating the response for all the frequencies (transfer function)
- 14. Multiplying the transfer function with the FFT of the forcing function
- 15. Executing an IFFT to calculate the response of the system in time
- 16. Multiplying the response with the inverse EW
- 17. Doing the above analysis for a range of distances

#### Notes:

- 实际上,只有少数离散时间点会给出非零的 foring function,但增加零是执行 FFT 所必需的.
- 为了计算贝塞尔-傅立叶级数所有项的响应,需要贝塞尔函数一阶导数的根.作者使用了单独的代码来计算根:该代码基于二分法.
- Nyquist criterion: FFT分析的最大频率必须至少比force function的主频率高2倍.
- 时域中的力必须使用至少6个点进行离散化,才能相对准确地表达力函数.

#### Result:

以5ms的脉冲为例

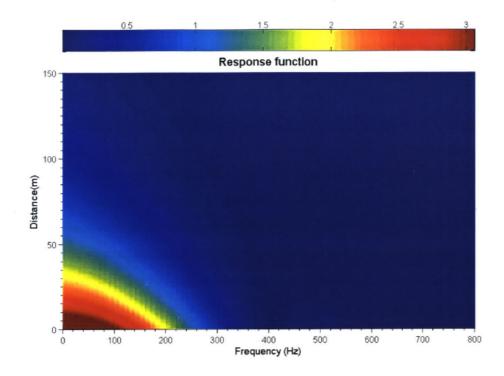


Figure 4.1: Response function (5ms pulse)

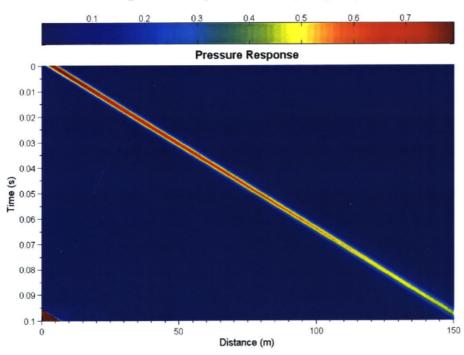


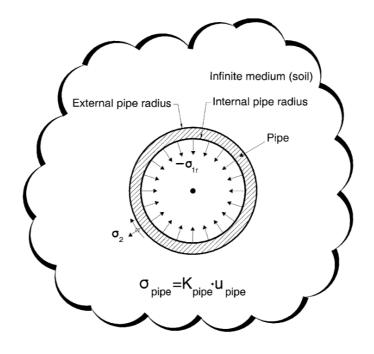
Figure 4.2: Pressure response (5ms pulse)

# 2.Layered Models

从Chapter6(Page 55),开始讨论由若干个同心圆柱层组成的系统:

- Single layer(最外层是孤立的自由层,单层外半径和内半径分别为 $r_1,r_2$ )
- Unbounded external region(外部被视为无限大的层)
- Layered system(多层系统,可以是无界的外部区域)

我们所需要的流体-管道-土壤模型(下图,Page 64 of thesis),属于case 2: Unbounded external region.



#### i. Main idea

• 考虑一个由 $N_l-1$ 个任意厚度的同心圆柱层组成的系统,我们从内到外对其 $N_l$ 个界面进行编号.可选择无限大的外部区域可以围绕这些层.将假定每层中的材料特性与方位角 $\theta$ 无关并且在每个圆柱形层内是均匀的。

## ii. Mathematical preliminaries

#### (1)General case

As shown by Kausel [Compendium of Fundamental Solutions in Elastodynamics, Cambridge University Press, in print],

• 给定轴向波数 $k_z$ 和频率w,单个圆柱层内部某点位移矢量的特定解具有以下形式

$$\mathbf{\tilde{u}}(r,\theta,z,\omega) = \begin{bmatrix} \bar{u}_r & \bar{u}_\theta & -\mathrm{i}\,\bar{u}_z \end{bmatrix}^T = \mathbf{T}_n \left( \mathbf{H}_n^{(1)}\,\mathbf{a}_1 + \mathbf{H}_n^{(2)}\,\mathbf{a}_2 \right) e^{-\mathrm{i}k_z z} \equiv \mathbf{T}_n\,\mathbf{u}\,e^{-\mathrm{i}k_z z}$$

$$\mathbf{u} = \mathbf{u}(r,k_z,\omega) = \begin{bmatrix} \tilde{u}_r & \tilde{u}_\theta & -\mathrm{i}\,\tilde{u}_z \end{bmatrix}^T = \mathbf{H}_n^{(1)}\,\mathbf{a}_1 + \mathbf{H}_n^{(2)}\,\mathbf{a}_2$$

• 圆柱表面上的应力

$$\begin{bmatrix} \bar{\sigma}_r & \bar{\sigma}_{r\theta} & -\mathrm{i}\bar{\sigma}_{rz} \end{bmatrix}^T = \mathbf{T}_n \left( \mathbf{F}_n^{(1)} \, \mathbf{c}_1 + \mathbf{F}_n^{(2)} \, \mathbf{c}_2 \right) e^{-\mathrm{i}k_z z} = \mathbf{T}_n \, \mathfrak{s} \, e^{-\mathrm{i}k_z z}$$

$$\mathfrak{s} = \mathfrak{s}(r) = \begin{bmatrix} \tilde{\sigma}_r & \tilde{\sigma}_{r\theta} & -\mathrm{i}\tilde{\sigma}_{rz} \end{bmatrix}^T = \mathbf{F}_n^{(1)} \, \mathbf{a}_1 + \mathbf{F}_n^{(2)} \, \mathbf{a}_2$$

#### (2)Layered Models

a. Single layer

最外层是孤立的自由层,单层外半径和内半径分别为 $r_1, r_2$ ,分别计算这两个表面上的位移和应力,得到:

其中下标表示计算矩阵的位置,上标表示使用的Bessel函数的类型.

消去a<sub>1</sub>, a<sub>2</sub>

$$\begin{cases}
\mathfrak{p}_{1} \\
\mathfrak{p}_{2}
\end{cases} = \begin{cases}
r_{1} \mathbf{F}_{n1}^{(1)} & r_{1} \mathbf{F}_{n1}^{(2)} \\
-r_{2} \mathbf{F}_{n2}^{(1)} & -r_{2} \mathbf{F}_{n2}^{(2)}
\end{cases} \begin{cases}
\mathbf{H}_{n1}^{(1)} & \mathbf{H}_{n1}^{(2)} \\
\mathbf{H}_{n2}^{(1)} & \mathbf{H}_{n2}^{(2)}
\end{cases}^{-1} \begin{cases}
\mathfrak{u}_{1} \\
\mathfrak{u}_{2}
\end{cases}$$

$$= \begin{cases}
\mathbf{K}_{11} \mathbf{K}_{12} \\
\mathbf{K}_{21} \mathbf{K}_{22}
\end{cases} \begin{cases}
\mathfrak{u}_{1} \\
\mathfrak{u}_{2}
\end{cases}$$

**K**称作刚度矩阵:

$$\mathbf{K} = \begin{cases} \mathbf{K}_{11} & \mathbf{K}_{12} \\ \mathbf{K}_{21} & \mathbf{K}_{22} \end{cases} = \begin{cases} r_1 \mathbf{F}_{n1}^{(1)} & r_1 \mathbf{F}_{n1}^{(2)} \\ -r_2 \mathbf{F}_{n2}^{(1)} & -r_2 \mathbf{F}_{n2}^{(2)} \end{cases} \begin{cases} \mathbf{H}_{n1}^{(1)} & \mathbf{H}_{n1}^{(2)} \\ \mathbf{H}_{n2}^{(1)} & \mathbf{H}_{n2}^{(2)} \end{cases}^{-1}$$

#### b. Unbounded external region

对于无界均匀空间内的圆柱形空腔,外部区域可以被视为外半径无限大的层.在这种情况下, $a_2$  必定为零.因此,外部区域的  $3\times 3$  刚度矩阵:

$$K_{ext} = -rF_n^{(2)}(H_n^{(2)})^{-1}$$

#### c. Layered system

从外部区域的刚度矩阵开始,为每一层创建层矩阵,得到以下的分块三对角阵:

$$\begin{cases} p_1 \\ p_2 \\ \vdots \\ p_N \end{cases} = \begin{cases} \mathbf{K}_{11} & \mathbf{K}_{12} & \mathbf{0} & \mathbf{0} \\ \mathbf{K}_{21} & \mathbf{K}_{22} & \mathbf{K}_{23} & \mathbf{0} \\ \mathbf{0} & \mathbf{K}_{32} & \ddots & \vdots \\ \mathbf{0} & \mathbf{0} & \mathbf{K}_{N,N-1} & \mathbf{K}_{NN} \end{cases} \begin{cases} u_1 \\ u_2 \\ \vdots \\ u_N \end{cases}$$

1) Form the (physical) load vector

$$\tilde{\mathbf{p}}(r,n,k_z,\omega) = \frac{2-\delta_{0n}}{2\pi} \int_0^{2\pi} \mathbf{T}_n \int_{-\infty}^{+\infty} \mathbf{p}(r,\theta,z,\omega) e^{-\mathrm{i}k_z z} dz d\theta$$
 (10.106)

(usually requires multiplying external pressures by r to obtain tractions per radian).

- 2) Multiply all axial components of  $\tilde{\mathbf{p}}$  by  $-\mathbf{i} = -\sqrt{-1}$ ;  $\tilde{\mathbf{p}} \to \mathfrak{p}$ .
- 3) Form system matrix  $\mathbf{K}$  and solve for  $\mathbf{u} = \mathbf{K}^{-1}\mathbf{p}$ .
- 4) Multiply all axial (vertical) components of  $\mathfrak{u}$  by  $+i = \sqrt{-1}$ ;  $\mathfrak{u} \to \tilde{\mathfrak{u}}$ .
- 5) Find the actual displacements at each interface from the inverse transform

$$\mathbf{u}(r,\theta,z,\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \left\{ \sum_{n=0}^{\infty} \mathbf{T}_n \, \tilde{\mathbf{u}}_n \right\} e^{-ik_z z} \, dk_z \tag{10.107}$$

d. Solid core

$$K_{core} = rF_nH_n^{-1}$$

以上的刚度矩阵,利用 $J_n$ 计算.

#### (3)Fluid-pipes-soil model

a. step 1

波源在layered medium中引起 $r_N$ 处的位移和内部压力分别为 $u_N, s_n$ 

波源在同质的无限介质中引起 $r_N$ 处的位移和内部压力分别为 $u^*, s^*$ 

$$r_N(s_N - s^*) = K_{core}(u_N - u^*), \text{ with } K_{core} = r_N F_N(H_N)^{-1}$$

此外,由于外部区域没有源,因此齐次参考问题的应力满足平衡条件

$$-r_N s^* = K_{ext} u^* \quad K_{ext} = -r_N F_N^{(2)} (H_N^{(2)})^{-1}$$

化简得到:

$$-r_N s_N = -K_{core} u_N + K_{full}^* u^* \quad K_{full}^* = K_{core} + K_{ext}^*$$

写成矩阵的形式:

$$egin{pmatrix} K_{11} & K_{12} & 0 & \cdots & 0 \ K_{21} & K_{22} & K_{23} & \cdots & 0 \ 0 & K_{32} & K_{33} & \ddots & dots \ dots & dots & \ddots & \ddots & K_{N-1,N} \ 0 & 0 & \cdots & K_{N,N-1} & K_{NN} + K_{core} \end{pmatrix} egin{pmatrix} u_1 \ u_2 \ u_3 \ dots \ u_N \end{pmatrix} = egin{pmatrix} 0 \ 0 \ 0 \ dots \ K_{full} u^* \end{pmatrix}$$

现在,只需要求出 $u^*$ 即可.

以上的刚度矩阵,见Table 1 Table 2

b. step 2

#### 柱坐标系下的Green's functions

Let  $g_j(r,r')=g_j(r,r',t)$  or  $g_j(r,r')=g_j(r,r',w)$  be the Green's function for a receiver placed at location r due to an impulsive or harmonic source, a point load P=1 acting at location r' in some principal direction j.

• When the Green's functions for a point load acting on the cylindrical axis (i.e., r'=0) at depth z' are expressed in cylindrical coordinates, they have the general form

$$u = A \left( k_{S}^{2} H_{0\beta} - \frac{1}{r} B_{1} + B_{2} \right),$$

$$v = A \left( k_{S}^{2} H_{0\beta} - \frac{1}{r} B_{1} \right)$$

$$w = A i k_{z} B_{1},$$

$$U = A i k_{z} B_{1}$$

$$W = A \left( k_{S}^{2} H_{0\beta} - k_{z}^{2} B_{0} \right)$$

The radial, tangential, and axial components of the Green's functions are then

$$g_{rx} = u(\cos \theta),$$
  $g_{ry} = u(\sin \theta),$   $g_{rz} = U$   
 $g_{\theta x} = v(-\sin \theta),$   $g_{\theta y} = v(\cos \theta),$   $g_{\theta z} = 0$   
 $g_{zx} = w(\cos \theta),$   $g_{zy} = w(\sin \theta),$   $g_{zz} = W$ 

其中, $g_{ij}$ 表示j方向的单位载荷对于i方向的影响.

如果求和 $\mathbf{g_j}=g_i^je^i(g_i^j=g_{ij})$ 则表示j方向的单位载荷对于所有方向的影响,在三维空间中, $\mathbf{g_j}$ 是个三维列向量. (通常,我们以 $\mathbf{i}$ , $\mathbf{j}$ , $\mathbf{k}$ 表示Cartesian coordinates下的标准正交基, $\mathbf{r}$ , $\mathbf{t}$ , $\mathbf{k}$ 表示柱面坐标系的标准正交基)

$$\mathbf{g}_{z} = U(r, z, z')\,\hat{\mathbf{r}} + W(r, z, z')\hat{\mathbf{k}} \qquad \qquad Vertical\ z\ load$$
 
$$\mathbf{g}_{x} = u(r, z, z')\cos\theta\,\hat{\mathbf{r}} + v(r, z, z')\,(-\sin\theta)\,\hat{\mathbf{t}} + w(r, z, z')\cos\theta\,\hat{\mathbf{k}} \qquad Horizontal\ x\ load$$
 
$$\mathbf{g}_{y} = u(r, z, z')\sin\theta\,\hat{\mathbf{r}} + v(r, z, z')\cos\theta\,\hat{\mathbf{t}} + w(r, z, z')\sin\theta\,\hat{\mathbf{k}} \qquad Horizontal\ y\ load$$
 
$$u = \sum \mathbf{g}_{x}$$

## c . Result

**Core fluid:** Water with  $c_p = 1.5$  Km/s,  $\rho = 1 \text{t/m}^3$  and a radius of 1m **Surrounding solid:** Steel with variable  $c_p$ ,  $\rho = 7.85$  t/m<sup>3</sup>, v = 0.3 and initially infinite radius (Both materials will have a very small damping ratio of 0.01%)

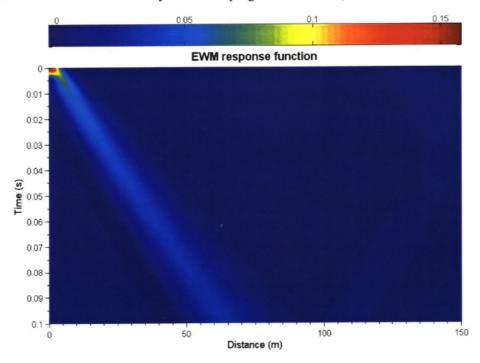


Figure 6.33: Response with  $c_p = 0.5$  Km/s (Calculated  $c_{tube} = 0.67$  Km/s)

# iii. Algorithm

我们根据以下参数来选择需要的模型,具体的算法步骤参考1.Simplified Model,其中的 L 是所考虑的最大频率与信号"频率"(持续时间的倒数)的比率, $\xi$ 表示damping ratio.

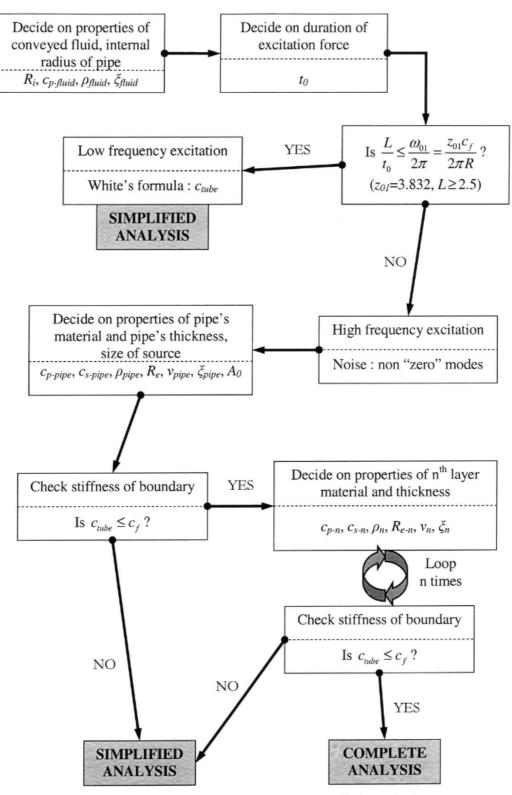


Chart 7.1: Decision-making diagram for analysis method

# 3. Appendix

## i. DFT&FFT

为了方便,以下所有的下标从零开始.

#### (1) 正交基

对于内积空间 $(\mathbb{C}^N,(\cdot,\cdot))$ ,其中内积为标准内积 $(x,y)=x'\overline{y}=\sum_{j=0}^{N-1}x_j\overline{y_j}$ ,

$$\{e_k=(e^{iwk\cdot 0},e^{iwk\cdot 1},\dots,e^{iwk\cdot (N-1)})\}_{k=0}^{N-1},\ w=rac{2\pi}{N}$$
构成了一组正交基:

Proof: 记 $w_1 = e^{iw} = e^{i\frac{2\pi}{N}}$ 为1的N次方根.

• 
$$(e_k, e_l) = \sum_{j=0}^{N-1} w_1^{kj} w_1^{-lj} = \sum_{j=0}^{N-1} w_1^{j(k-l)}$$

• 如果
$$k = l_i(e_k, e_l) = \sum_i 1 = N_i$$

• 如果
$$k \neq l$$
,  $(e_k,e_l) = \sum_j (w_1^{k-l})^j = 0$  这是由 $1+z+z^2+\cdots+z^{N-1} = rac{Z^N-1}{z-1}$ , 令 $z=w_1^{k-l}$ 得到.

#### (2) DFT

从而对于一个向量 $x=(x_0,x_1,\ldots,x_{N-1})'=\sum_k c_k e_k$ ,此时 $c_j$ 即为Fourier系数

$$ullet$$
  $X[k]=c_k=rac{(x,e_k)}{(e_k,e_k)}=rac{1}{N}\sum_j x_j e^{-iwk\cdot j}$ 

如果写成矩阵的形式: $(w_{N-1} = \overline{w}_1 = e^{iw(N-1)})$ 

$$F = egin{pmatrix} 1 & 1 & 1 & \cdots & 1 \ 1 & w_{N-1}^1 & w_{N-1}^2 & \cdots & w_{N-1}^{N-1} \ dots & dots & dots & \ddots & dots \ 1 & w_{N-1}^{N-1} & w^{2(N-1)} & \cdots & w_{N-1}^{(N-1)(N-1)} \end{pmatrix}$$

- $X = (X[0], X[1], \dots, X[N-1])' = \frac{1}{N} Fx$
- 注意到 $FF^H=NI_{NN}$ 从而IDFT也不难得到.

#### (3) FFT

假设 $N = p^m$ 其中p是个素数,特别地,对于p = 2的情况:

按照模2的余数,将 $F = (f_0, f_1, \ldots, f_{N-1})$ 的列分为两类:

- $\tilde{F} = FP = (f_0, f_2, \dots, f_{N-2}, f_1, f_3, \dots, f_{N-1})$ 其中P为排列矩阵.
- 将 $ilde{F}$ 分为四块, $ilde{F}=egin{pmatrix} F_1 & F_2 \ F_3 & F_4 \end{pmatrix}$ ,每一块的大小均为 $rac{N}{2} imesrac{N}{2}$
- 注意到 $w_{N-1}^N=1$ , yields  $F_3=F_1$
- $F_2=\Omega F_1$ ,其中 $\Omega=\mathrm{diag}\{1,w_{N-1}^1,\cdots,w_{N-1}^{N/2}\}$
- $F_4=\Omega_*F_3$ ,其中 $\Omega_*=\mathrm{diag}\{w_{N-1}^{N/2+1},w_{N-1}^{N/2+2},\cdots,w_{N-1}^{N-1}\}=-\Omega$

$$Fx = FPPx = \tilde{F}\tilde{x} = \begin{pmatrix} I & \Omega \\ I & -\Omega \end{pmatrix} \begin{pmatrix} F_1x[0:2:end] \\ F_1x[1:2:end] \end{pmatrix}$$

## (4) DFT中的w

对于一段长度为Ts的音频,采样率为 $f_s$ ,此时得到了向量的长度为 $N=T\cdot f_s$ 

此时,傅里叶变换中对应的w应该是什么?

对于信号:

$t/rac{1}{f_s}$	0	1	• • •	N-1
f(t)	x[0]	x[1]	• • •	x[N-1]

#### 经过FFT之后得到:

F(w)	X[0]	X[1]		X[N-1]
------	------	------	--	--------

- 以 $t_*$ 表示单位为s的时间,从而 $t=t_*/f_s$
- $x = \sum_k X[k]e_k$ ,其中 $e_k$ 是 $e^{i\frac{2\pi}{N}k}$ 生成的,

$$e^{irac{2\pi}{N}kt_*}=e^{irac{2\pi}{N}kf_s\cdot t}$$

## ii. Table

## (1) Table 1

## Table 10.3. Matrix for displacements in cylindrical layers

Obtain  $\mathfrak{u}$  by solving  $\mathfrak{u} = \mathbf{K}^{-1}\mathfrak{p}$  for the system of layers, then for each interface:

$$\mathbf{u}(r, n, k_z, \omega) = \begin{cases} \tilde{u}_r \\ \tilde{u}_{\theta} \\ -i \, \tilde{u}_z \end{cases} \rightarrow \tilde{\mathbf{u}} = \begin{cases} \tilde{u}_r \\ \tilde{u}_{\theta} \\ \tilde{u}_z \end{cases}$$
$$\mathbf{u}(r, \theta, z, \omega) = \begin{cases} u_r \\ u_{\theta} \\ u_z \end{cases} = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \left\{ \sum_{n=0}^{\infty} \mathbf{T}_n \, \tilde{\mathbf{u}}_n \right\} e^{-ik_z z} \, dk_z$$

$$\mathbf{T}_n = \operatorname{diag}[\cos n\theta \quad -\sin n\theta \quad \cos n\theta]$$

or

$$\mathbf{T}_n = \operatorname{diag}[\sin n\theta \quad \cos n\theta \quad \sin n\theta]$$

$$\mathbf{H}_{n} = \left\{ \begin{array}{ccc} H'_{\alpha n} & n \frac{H_{\beta n}}{k_{\beta r}} & \frac{k_{z}}{k_{\beta}} H'_{\beta n} \\ \\ n \frac{H_{\alpha n}}{k_{\alpha} r} & H'_{\beta n} & n \frac{k_{z}}{k_{\beta}} \frac{H_{\beta n}}{k_{\beta r}} \\ \\ -\frac{k_{z}}{k_{\alpha}} H_{\alpha n} & 0 & H_{\beta n} \end{array} \right\}, \quad \mathbf{H}_{nj}^{(i)} = \mathbf{H}_{n} \left( H_{n}^{(i)}(kr_{j}) \right)$$

in which

$$H_{\alpha n} = \begin{cases} H_n^{(1)}(k_{\alpha}r), H_n^{(2)}(k_{\alpha}r) & \text{layer} \\ H_n^{(2)}(k_{\alpha}r) & \text{exterior} \\ J_n(k_{\alpha}r) & \text{core} \end{cases}$$

$$H_{\beta n} = \begin{cases} H_n^{(1)}(k_{\beta}r), H_n^{(2)}(k_{\beta}r) & \text{layer} \\ H_n^{(2)}(k_{\beta}r) & \text{exterior} \\ J_n(k_{\beta}r) & \text{core} \end{cases}$$

$$H'_{\alpha n} = \frac{dH_{\alpha n}}{d(k_{\alpha}r)}, \qquad H'_{\beta n} = \frac{dH_{\beta n}}{d(k_{\beta}r)}$$

## Table 10.4. Elements of matrix for stresses in cylindrical surfaces

$$\mathfrak{s}(r, n, k_z, \omega) = \left\{ \begin{array}{c} \tilde{\sigma}_r \\ \tilde{\sigma}_{r\theta} \\ -\mathrm{i}\tilde{\sigma}_{rz} \end{array} \right\}, \quad \tilde{\mathbf{s}} = \left\{ \begin{array}{c} \tilde{\sigma}_r \\ \tilde{\sigma}_{r\theta} \\ \tilde{\sigma}_{rz} \end{array} \right\}, \quad \mathbf{F}_n = \left\{ \begin{array}{ccc} f_{11} & f_{12} & f_{13} \\ f_{21} & f_{22} & f_{23} \\ f_{31} & f_{32} & f_{33} \end{array} \right\}$$

$$\mathbf{F}_n = \left\{ f_{ij}(H_{\alpha n}, H_{\beta n}) \right\}, \quad \mathbf{F}_{nj}^{(i)} = \mathbf{F}_n \left( H_n^{(i)}(kr_j) \right)$$

in which  $H_{\alpha n}$ , etc., are the same as in Table 10.3. We list below the elements for a generic version of  $\mathbf{F}_n$ :

$$f_{11} = -k_{\alpha} \left\{ \lambda \left[ 1 + \left( \frac{k_{z}}{k_{\alpha}} \right)^{2} \right] H_{\alpha n} + 2\mu \left[ \frac{H'_{\alpha n}}{k_{\alpha} r} + \left( 1 - \left( \frac{n}{k_{\alpha} r} \right)^{2} \right) H_{\alpha n} \right] \right\}$$

$$f_{11} = -k_{\alpha} \left\{ \lambda \left[ 1 + \left( \frac{k_{z}}{k_{\alpha}} \right)^{2} \right] H_{\alpha n} + 2\mu \left[ \frac{H'_{\alpha n}}{k_{\alpha} r} + \left( 1 - \left( \frac{n}{k_{\alpha} r} \right)^{2} \right) H_{\alpha n} \right] \right\}$$

$$f_{12} = \frac{2n\mu}{r} \left( H'_{\beta n} - \frac{H_{\beta n}}{k_{\beta} r} \right)$$

$$f_{13} = -2k_{z}\mu \left\{ \frac{H'_{\beta n}}{k_{\beta}r} + \left[ 1 - \left( \frac{n}{k_{\beta}r} \right)^{2} \right] H_{\beta n} \right\}$$

$$f_{21} = \frac{2n\mu}{r} \left[ H'_{\alpha n} - \frac{H_{\alpha n}}{k_{\alpha} r} \right]$$

$$f_{22} = -k_{\beta} \mu \left[ 2 \frac{H'_{\beta n}}{k_{\beta} r} + \left( 1 - 2 \left( \frac{n}{k_{\beta} r} \right)^2 \right) H_{\beta n} \right]$$

$$f_{23} = k_z \frac{2n\,\mu}{k_\beta r} \left[ H'_{\beta n} - \frac{H_{\beta n}}{k_\beta r} \right]$$

$$f_{31} = -2 k_z \mu H'_{\alpha n}$$

$$f_{32} = -k_z n \, \mu \frac{H_{\beta n}}{k_{\beta} r}$$

$$f_{33} = k_{\beta} \mu \left[ 1 - \left( \frac{k_{z}}{k_{\beta}} \right)^{2} \right] H_{\beta n}'$$

## (3) Table 3

$$A = \frac{1}{4 i \rho \omega^2}$$

$$r = \sqrt{x^2 + y^2} \equiv \sqrt{x_1^2 + x_2^2}$$

$$\gamma_i = \frac{\partial r}{\partial x_i} = \frac{x_i}{r}, \qquad i = 1,2$$

$$\delta_{ii} = \delta_{11} + \delta_{22} = 2, \qquad \gamma_i \, \gamma_i = \gamma_1^2 + \gamma_2^2 = 1$$

$$\gamma_{i,j} = \frac{1}{r} \left( \delta_{ij} - \gamma_i \, \gamma_j \right)$$

Amplitude

Source-receiver distance

Direction cosines in the x,y plane

Implied summations

First derivatives of  $\gamma_i$ , i, j = 1,2

$\gamma_{i,jk} = \frac{1}{r^2} \left( 3\gamma_i  \gamma_j  \gamma_k - \gamma_i  \delta_{jk} - \gamma_j  \delta_{ki} - \gamma_k  \delta_{ij} \right)$	Second derivatives	
$k_z$	Wavenumber in z direction	
$k_{ m P}=rac{\omega}{lpha}$	Wavenumber for P waves	
$k_{ m S}=rac{\omega}{eta}$	Wavenumber for S waves	
$k_{\alpha} = \sqrt{k_{\mathrm{P}}^2 - k_{\mathrm{z}}^2}, \qquad k_{\beta} = \sqrt{k_{\mathrm{S}}^2 - k_{\mathrm{z}}^2}$	More wavenumbers	
$H_{n\alpha}=H_n^{(2)}(k_{\alpha}r), \qquad H_{n\beta}=H_n^{(2)}(k_{\beta}r)$	Shorthand for Hankel functions	
$H_{0\beta,l} = -k_{\beta} \gamma_l H_{1\beta}$	Derivative of Hankel function in the plane $(l = 1,2)$	
$H_{0\beta,z} = -\mathrm{i}k_zH_{0\beta}$	Derivative of Hankel function in the <i>z</i> direction	
$B_n = k_\beta^n H_{n\beta} - k_\alpha^n H_{n\alpha}$	$B_n$ functions (see end of section for definitions and properties)	
$\hat{\nabla}^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} - k_z^2$	Laplacian	

## iii. 管波波速

• 我们使用以下的公式计算管波的速度:

$$c_{tube} = \left[ \rho_{fluid} \left( \frac{1}{B} + \frac{1}{M} \right) \right]^{-1/2} = \left[ \frac{1}{c_{fluid}^2} + \frac{\rho_{fluid}}{M} \right]^{-1/2} \text{ with:}$$

$$M = \frac{E_{pipe} \cdot (a^2 - b^2)}{2 \left[ (1 + v_{pipe})(a^2 + b^2) - 2v_{pipe}b^2 \right]}$$

a:管道的外半径

b:管道的内半径

 $u_{pipe}$ :管道材料的泊松比

 $E_{pipe}$ :管道材料的弹性模量,

$$E_{pipe} = 2 \mu_{pipe} (1 + 
u_{pipe}) = (c_{s_{pipe}}^2 
ho_{pipe} (1 + 
u_{pipe})), ext{where } c_s = c_p \sqrt{rac{1 - 2 
u}{2(1 - 
u)}}$$

• 如果有多种固体限制流体:

$$c_{tube} = \left[ \frac{1}{c_{fluid}^2} + \frac{\rho_{fluid}}{\sum_{i=1}^{layers} M_i} \right]^{-\frac{1}{2}},$$

As noted in White, for a thin tube  $M=\frac{E_{pipe}h_{pipe}}{2b}$ , where  $h_{pipe}=a-b$  and for an infinite surrounding medium  $M=\mu_{pipe}$ .