# Tuning-free Plug-and-Play Proximal Algorithm for Inverse Imaging Problems

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# **Abstract**

Plug-and-play (PnP) is a non-convex framework that combines ADMM or other proximal algorithms with advanced denoiser priors. Recently, PnP has achieved great empirical success, especially with the integration of deep learning-based denoisers. However, a key problem of PnP based approaches is that they require manual parameter tweaking. It is necessary to obtain high-quality results across the high discrepancy in terms of imaging conditions and varying scene content. In this work, we present a tuning-free PnP proximal algorithm, which can automatically determine the internal parameters including the penalty parameter, the denoising strength and the terminal time. A key part of our approach is to develop a policy network for automatic search of parameters, which can be effectively learned via mixed modelfree and model-based deep reinforcement learning. We demonstrate, through numerical and visual experiments, that the learned policy can customize different parameters for different states, and often more efficient and effective than existing handcrafted criteria. Moreover, we discuss the practical considerations of the plugged denoisers, which together with our learned policy yield state-of-the-art results. This is prevalent on both linear and nonlinear exemplary inverse imaging problems, and in particular, we show promising results on Compressed Sensing MRI and phase retrieval.

# 1. Introduction

The problem of recovering an underlying unknown image  $x \in \mathbb{R}^N$  from noisy and/or incomplete measured data  $y \in \mathbb{R}^M$  is fundamental in computational imaging, in applications including magnetic resonance imaging (MRI)

(Fessler, 2010), computed tomography (CT) (Elbakri & Fessler, 2002), microscopy (Aguet et al., 2008; Zheng et al., 2013), and inverse scattering (Katz et al., 2014; Metzler et al., 2017b), to name a few. This image recovery task is often formulated as an optimization problem that minimizes a cost function, i.e.,

$$\underset{x \in \mathbb{P}^{N}}{\operatorname{minimize}} \quad \mathcal{D}(x) + \lambda \mathcal{R}(x), \qquad (1)$$

where  $\mathcal{D}$  is a data-fidelity term that ensures consistency between the reconstructed image and measured data.  $\mathcal{R}$  is a regularizer that imposes certain prior knowledge, e.g. smoothness (Osher et al., 2005; Ma et al., 2008), sparsity (Yang et al., 2010; Liao & Sapiro, 2008; Ravishankar & Bresler, 2010), low rank (Semerci et al., 2014; Gu et al., 2017) and nonlocal self-similarity (Mairal et al., 2009; Qu et al., 2014), regarding the unknown image. The problem in Eq. (1) is often solved by first-order iterative proximal algorithms, e.g. fast iterative shrinkage/thresholding algorithm (FISTA) (Beck & Teboulle, 2009) and alternating direction method of multipliers (ADMM) (Boyd et al., 2011), to tackle the nonsmoothness of the regularizers.

To handle the nonsmoothness caused by regularizers, first-order algorithms rely on the proximal operators (Beck & Teboulle, 2009; Boyd et al., 2011; Chambolle & Pock, 2011; Parikh et al., 2014; Geman, 1995; Esser et al., 2010) defined by

$$\operatorname{Prox}_{\sigma^2 \mathcal{R}}(v) = \underset{x}{\operatorname{argmin}} \left( \mathcal{R}(x) + \frac{1}{2\sigma^2} \|x - v\|_2^2 \right). \tag{2}$$

Interestingly, given the mathematical equivalence of the proximal operator to the regularized denoising, the proximal operators  $\text{Prox}_{\sigma^2\mathcal{R}}$  can be replaced by any off-the-shelf denoisers  $\mathcal{H}_{\sigma}$  with noise level  $\sigma$ , yielding a new framework namely plug-and-play (PnP) prior (Venkatakrishnan et al., 2013). The resulting algorithms, *e.g.* PnP-ADMM, can be written as

$$x_{k+1} = \operatorname{Prox}_{\sigma_k^2 \mathcal{R}} (z_k - u_k) = \mathcal{H}_{\sigma_k} (z_k - u_k), \quad (3)$$

$$z_{k+1} = \operatorname{Prox}_{\frac{1}{\mu_k} \mathcal{D}} (x_{k+1} + u_k), \tag{4}$$

$$u_{k+1} = u_k + x_{k+1} - z_{k+1}, (5)$$

where  $k \in [0, \tau)$  denotes the k-th iteration,  $\tau$  is the terminal time,  $\sigma_k$  and  $\mu_k$  indicate the denoising strength (of the

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# denoiser) and the penalty parameter used in the k-th iteration respectively.

In this formulation, the regularizer  $\mathcal{R}$  can be implicitly defined by a plugged denoiser, which opens a new door to leverage the vast progress made on the image denoising front to solve more general inverse imaging problems. To plug well-known image denoisers, e.g. BM3D (Dabov et al., 2007) and NLM (Buades et al., 2005), into optimization algorithms often leads to sizeable performance gain compared to other explicitly defined regularizers, e.g. total variantion. That is PnP as a stand-alone framework can combine the benefits of both deep learning based denoisers and optimization methods, e.g. (Zhang et al., 2017b; Rick Chang et al., 2017; Meinhardt et al., 2017). These highly desirable benefits are in terms of fast and effective inference whilst circumventing the need of expensive network retraining whenever the specific problem changes.

Whilst a PnP framework offers promising image recovery results, a major drawback is that its performance is highly sensitive to the internal parameter selection, which generically includes the penalty parameter  $\mu$ , the denoising strength (of the denoiser)  $\sigma$  and the terminal time  $\tau$ . The body of literature often utilizes manual tweaking e.g. (Rick Chang et al., 2017; Meinhardt et al., 2017) or handcrafted criteria e.g. (Chan et al., 2017; Zhang et al., 2017b; Eksioglu, 2016; Tirer & Giryes, 2018) to select parameters for each specific problem setting. However, manual parameter tweaking requires several trials, which is very cumbersome and time-consuming. Semi-automated handcrafted criteria (for example monotonically decreasing the denoising strength) can, to some degree, ease the burden of exhaustive search of large parameter space, but often leads to suboptimal local minimum. Moreover, the optimal parameter setting differs image-by-image, depending on the measurement model, noise level, noise type and unknown image itself. These differences can be noticed in the further detailed comparison in Fig. 1, where peak signal-to-noise ratio (PSNR) curves are displayed for four images under varying denoising strength.

This paper is devoted to addressing the aforementioned challenge – how to deal with the manual parameter tuning problem in a PnP framework. To this end, we formulate the internal parameter selection as a sequential decision-making problem. To do this, a policy is adopted to select a sequence of internal parameters to guide the optimization. Such problem can be naturally fit into a reinforcement learning (RL) framework, where a policy agent seeks to map observations to actions, with the aim of maximizing cumulative-reward. The reward reflects the *to do* or *not to do* events for the agent, and a desirable high reward can be obtained if the policy leads to a faster convergence and better restoration accuracy.

We demonstrate, through extensive numerical and visual

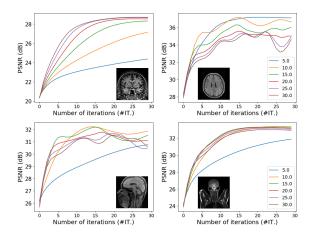


Figure 1. Compressed Sensing MRI using radial sampling pattern with 20% sampling rate, where PSNR curves of four medical images are displayed - using PnP-ADMM with different denoising strengths. Different images requires different denoising strengths to reach the optimal performance.

experiments, the advantage of our algorithmic approach on Compressed Sensing MRI and phase retrieval problems. We show that the policy well approximates the intrinsic function that maps the input state to its optimal parameter setting. By using the learned policy, the guided optimization can reach comparable results to the ones using oracle parameters tuned via the inaccessible ground truth. An overview of our algorithm is shown in Fig. 2. Our contributions are as follows:

- We present a tuning-free PnP algorithm that can customize parameters towards diverse images, which often demonstrates faster practical convergence and better empirical performance than handcrafted criteria.
- We introduce an efficient mixed model-free and modelbased RL algorithm. It can optimize jointly the discrete terminal time, and the continuous denoising strength/penalty parameters.
- 3. We validate our approach with an extensive range of numerical and visual experiments, and show how the performance of the PnP is affected by the parameters. We also show that our well-designed approach leads to better results than state-of-the-art techniques on compressed sensing MRI and phase retrieval.

## 2. Related Work

The body of literature has reported several PnP algorithmic techniques. In this section, we provide a short overview of these techniques.

**Plug-and-play (PnP).** The definitional concept of PnP was first introduced in (Danielyan et al., 2010; Zoran & Weiss,

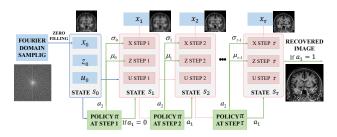


Figure 2. Overview of our tuning-free plug-and-play framework (taking CS-MRI problem as example).

2011; Venkatakrishnan et al., 2013), which has attracted great attention owing to its effectiveness and flexibility to handle a wide range of inverse imaging problems. Following this philosophy, several works have been developed, and can be roughly categorized in terms of four aspects, i.e., proximal algorithms, imaging applications, denoiser priors, and the convergence. (i) proximal algorithms include half-quadratic splitting (Zhang et al., 2017b), primaldual method (Ono, 2017), generalized approximate message passing (Metzler et al., 2016b) and (stochastic) accelerated proximal gradient method (Sun et al., 2019a). (ii) imaging applications have such as bright field electronic tomography (Sreehari et al., 2016); diffraction tomography (Sun et al., 2019a); low-dose CT imaging (He et al., 2018); Compressed Sensing MRI (Eksioglu, 2016); electron microscopy (Sreehari et al., 2017); single-photon imaging (Chan et al., 2017); phase retrieval (Metzler et al., 2018); Fourier ptychography microscopy (Sun et al., 2019b); light-field photography (Chun et al., 2019); hyperspectral sharpening (Teodoro et al., 2018); denoising (Rond et al., 2016); and image processing – e.g. demosaicking, deblurring, super-resolution and inpainting (Heide et al., 2014; Meinhardt et al., 2017; Zhang et al., 2019a; Tirer & Giryes, 2018).

Moreover, (iii) denoiser priors include BM3D (Heide et al., 2014; Dar et al., 2016; Rond et al., 2016; Sreehari et al., 2016; Chan et al., 2017), nonlocal means (Venkatakrishnan et al., 2013; Heide et al., 2014; Sreehari et al., 2016), Gaussian mixture models (Teodoro et al., 2016; 2018), weighted nuclear norm minimization (Kamilov et al., 2017), and deep learning-based denoisers (Meinhardt et al., 2017; Zhang et al., 2017b; Rick Chang et al., 2017). Finally, (iv) theoretical analysis on the convergence include the symmetric gradient (Sreehari et al., 2016), the bounded denoiser (Chan et al., 2017) and the nonexpansiveness assumptions (Sreehari et al., 2016; Teodoro et al., 2018; Sun et al., 2019a; Ryu et al., 2019; Chan, 2019).

Differing from these aspects, in this work we focus on the challenge of parameter selection in PnP, where a bad choice of parameters often leads to severe degradation of the results (Romano et al., 2017; Chan et al., 2017). Unlike existing semi-automated parameter tuning criteria (Wang & Chan,

2017; Chan et al., 2017; Zhang et al., 2017b; Eksioglu, 2016; Tirer & Giryes, 2018), our method is fully automatic and is purely learned from the data, which significantly eases the burden of manual parameter tuning.

Automated Parameter Selection. There are some works that considering automatic parameter selection in inverse problems. However, the prior term in these works is restricted to certain types of regularizers, *e.g.* Tikhonov regularization (Hansen & O'Leary, 1993; Golub et al., 1979), smoothed versions of the  $\ell_p$  norm (Eldar, 2008; Giryes et al., 2011), or general convex functions (Ramani et al., 2012). To the best of our knowledge, none of them can be applicable to the PnP framework with sophisticated non-convex and learned priors.

Deep Unrolling. Perhaps the most confusable concept to PnP in the deep learning era is the so-called deep unrolling methods (Gregor & LeCun, 2010; Hershey et al., 2014; Wang et al., 2016; Yang et al., 2016; Zhang & Ghanem, 2018; Diamond et al., 2017; Metzler et al., 2017a; Adler & Oktem, 2018; Dong et al., 2018; Xie et al., 2019), which explicitly unroll/truncate iterative optimization algorithms into learnable deep architectures. In this way, the penalty parameters (and the denoiser prior) are treated as trainable parameters, meanwhile the number of iterations has to be fixed to enable end-to-end training. By contrast, our PnP approach can adaptively select a stop time and penalty parameters given varying input states, though using the off-the-shelf denoiser as prior.

**Reinforcement Learning for Image Recovery.** Although Reinforcement Learning (RL) has been applied in a range of domains, from game playing (Mnih et al., 2013; Silver et al., 2016) to robotic control (Schulman et al., 2015), only few works have successfully employed RL to the image recovery tasks. Authors of that (Yu et al., 2018) learned a RL policy to select appropriate tools from a toolbox to progressively restore corrupted images. The work of (Zhang et al., 2019b) proposed a recurrent image restorer whose endpoint was dynamically controlled by a learned policy. In (Furuta et al., 2019), authors used RL to select a sequence of classic filters to process images gradually. The work of (Yu et al., 2019) learned network path selection for image restoration in a multi-path CNN. In contrast to these works, we apply a mixed model-free and model-based deep RL approach to automatically select the parameters for the PnP image recovery algorithm.

### 3. Tuning-free PnP Proximal Algorithm

In this work,we elaborate on our tuning-free PnP proximal algorithm, as described in (3)-(5). This section describes in detail our approach, which contains three main parts. Firstly, we describe how the automated parameter selection is driven.

Secondly, we introduce our environment model, and finally, we introduce the policy learning, which is guided by a mixed model-free and a model-based RL.

It is worth mentioning that our method is generic, and can be applicable to PnP methods derived from other proximal algorithms, *e.g.* forward backward splitting, as well. The reason is that these are distinct methods, they share the same fixed points as PnP-ADMM (Meinhardt et al., 2017).

# 3.1. RL Formulation for Automated Parameter Selection

This work mainly focuses on the automated parameter selection problem in the PnP framework, where we aim to select a sequence of parameters  $(\sigma_0, \mu_0, \sigma_1, \mu_1, \cdots, \sigma_{\tau-1}, \mu_{\tau-1})$  to guide optimization such that the recovered image  $x^{\tau}$  is close to the underlying image x. We formulate this problem as a Markov decision process (MDP), which can be addressed via reinforcement learning (RL).

We denote the MDP by the tuple (S, A, p, r), where S is the state space,  $\mathcal{A}$  is the action space, p is the transition function describing the environment dynamics, and  $\overline{r}$  is the reward function. Specifically, for our task, S is the space of optimization variable states, which includes the initialization  $(x_0, z_0, u_0)$  and all intermedia results  $(x_k, z_k, u_k)$  in the optimization process. A is the space of internal parameters, including both discrete terminal time  $\tau$  and the continuous denoising strength/penalty parameters ( $\sigma_k$ ,  $\mu_k$ ). The transition function  $p: \mathcal{S} \times \mathcal{A} \mapsto \mathcal{S}$  maps input state  $s \in \mathcal{S}$  to its outcome state  $s' \in \mathcal{S}$  after taking action  $a \in \mathcal{A}$ . The state transition can be expressed as  $s_{t+1} = p(s_t, a_t)$ , which is composed of one or several iterations of optimization. On each transition, the environment emits a reward in terms of the reward function  $r: S \times \mathcal{A} \mapsto \mathbb{R}$ , which evaluates actions given the state. Applying a sequence of parameters to the initial state  $s_0$  results in a trajectory T of states, actions and rewards:  $T = \{s_0, a_0, r_0, \dots, s_N, a_N, r_N\}$ . Given a trajectory T, we define the return  $r_t^{\gamma}$  as the summation of discounted rewards after  $s_t$ ,

$$r_t^{\gamma} = \sum_{t'=0}^{N-t} \gamma^{t'} r(s_{t+t'}, a_{t+t'}),$$
 (6)

where  $\gamma \in [0, 1]$  is a discount factor and prioritizes earlier rewards over later ones.

Our goal is to learn a policy  $\pi$ , denoted as  $\pi(a|s): \mathcal{S} \mapsto \mathcal{A}$  for the decision-making agent, in order to maximize the objective defined as

$$J(\pi) = \mathbb{E}_{s_0 \sim S_0, T \sim \pi} \left[ r_0^{\gamma} \right], \tag{7}$$

where  $\mathbb{E}$  represents expectation,  $s_0$  is the initial state, and  $S_0$  is the corresponding initial state distribution. Intuitively, the objective describes the expected return over all possible

trajectories induced by the policy  $\pi$ . The expected return on states and state-action pairs under the policy  $\pi$  are defined by state-value functions  $V^{\pi}$  and action-value functions  $Q^{\pi}$  respectively, i.e.,

$$V^{\pi}(s) = \mathbb{E}_{T \sim \pi} [r_0^{\gamma} | s_0 = s],$$
 (8)

$$Q^{\pi}(s, a) = \mathbb{E}_{T \sim \pi} \left[ r_0^{\gamma} | s_0 = s, a_0 = a \right]. \tag{9}$$

In our task, we decompose actions into two parts: a discrete decision  $a_1$  on terminal time and a continuous decision  $a_2$  on denoising strength and penalty parameter. The policy also consists of two sub-policies:  $\pi = (\pi_1, \pi_2)$ , a stochastic policy and a deterministic policy that generate  $a_1$  and  $a_2$  respectively. The role of  $\pi_1$  is to decide whether to terminate the iterative algorithm when the next state is reached. It samples a boolean-valued outcome  $a_1$  from a two-class categorical distribution  $\pi_1(\cdot|s)$ , whose probability mass function is calculated from the current state s. We move forward to the next iteration if  $a_1 = 0$ , otherwise the optimization would be terminated to output the final state. Compared to the stochastic policy  $\pi_1$ , we treat  $\pi_2$ deterministically, *i.e.*  $a_2 = \pi_2(s)$  since  $\pi_2$  is differentiable with respect to the environment, such that its gradient can be precisely estimated.

#### 3.2. Environment Model

In RL, the environment is characterized by two components: the environment dynamics and reward function. In our task, the environment dynamics is described by the transition function p related to the PnP-ADMM. Here, we elucidate the detailed setting of the PnP-ADMM as well as the reward function used for training policy.

**Denoiser Prior.** Differentiable environment makes the policy learning more efficient. To make the environment differentiable with respect to  $\pi_2^1$ , we take a convolutional neural network (CNN) denoiser as the image prior. In practice, we use a residual U-Net (Ronneberger et al., 2015) architecture, which was originally designed for medical image segmentation, but was founded to be useful in image denoising recently. Besides, we incorporate an additional tunable noise level map into the input as (Zhang et al., 2018), enabling us to provide continuous noise level control (*i.e.* different denoising strength) within a single network.

**Proximal operator of data-fidelity term.** Enforcing consistency with measured data requires evaluating the proximal operator in (4). For inverse problems, there might exist fast solutions due to the special structure of the observation model. We adopt the fast solution if feasible (*e.g.* closedform solution using fast Fourier transform, rather than the general matrix inversion) otherwise a single step of gradient descent is performed as an inexact solution for (4).

 $<sup>^{1}\</sup>pi_{1}$  is non-differentiable towards environment regardless of the formulation of the environment.

**Transition function.** To reduce the computation cost, we define the transition function p to involve m iterations of the optimization. At each time step, the agent thus needs to decide the internal parameters for m iterates. We set m=5 and the max time step N=6 in our algorithm, leading to 30 iterations of the optimization at most.

**Reward function.** To take both image recovery performance and runtime efficiency into account, we define the reward function as

$$r(s_t, a_t) = \zeta(p(s_t, a_t)) - \zeta(s_t) - \eta.$$
(10)

The first term,  $\zeta(p(s_t, a_t)) - \zeta(s_t)$ , denotes the PSNR increment made by the policy, where  $\zeta(s_t)$  denotes the PSNR of the recovered image at step t. A higher reward is acquired if the policy leads to higher performance gain in terms of PSNR. The second term,  $\eta$ , implies penalizing the policy as it does not select to terminate at step t, where  $\eta$  sets the degree of penalty. A negative reward is given if the PSNR gain does not exceed the degree of penalty, thereby encouraging the policy to early stop the iteration with diminished return. We set  $\eta=0.05$  in our algorithm<sup>2</sup>.

#### 3.3. RL-based policy learning

In this section, we present a mixed model-free and modelbased RL algorithm to learn the policy. Specifically, modelfree RL (agnostic to the environment dynamics) is used to train  $\pi_1$ , while model-based RL is utilized to optimize  $\pi_2$  to make full use of the environment model<sup>3</sup>. We apply the actor-critic framework (Sutton et al., 2000), that uses a policy network  $\pi_{\theta}(a_t|s_t)$  (actor) and a value network  $V_{\phi}^{\pi}(s_t)$  (critic) to formulate the policy and the state-value function respectively. For convenience, we follow (Huang et al., 2019) that uses residual structures similar to ResNet-18 (He et al., 2016) as the feature extractor in the policy and value networks, followed by fully-connected layers and activation functions to produce desired outputs<sup>4</sup>. The policy and the value networks are learned in an interleaved manner. For each gradient step, we optimize the value network parameters  $\phi$  by minimizing

$$L_{\phi} = \mathbb{E}_{s \sim B, a \sim \pi_{\theta}(s)} \left[ \frac{1}{2} (r(s, a) + \gamma V_{\hat{\phi}}^{\pi}(p(s, a)) - V_{\phi}^{\pi}(s))^{2} \right],$$
(11)

where B is the distribution of previously sampled states, practically implemented by a state buffer. This partly serves as a role of the experience replay mechanism (Lin, 1992), which is observed to "smooth" the training data distribution

Table 1. Comparisons of different CNN-based denoisers: we show the results of (1) Gaussian denoising performance (PSNR) under noise level  $\sigma=50$ ; (2) the CS-MRI performance (PSNR) when plugged into the PnP-ADMM; (3) the GPU runtime (ms) of denoisers when processing an image with size  $256\times256$ .

Performance	DnCNN	MemNet	UNet
DENOISING PERF.	27.18	27.32	27.40
PnP Perf.	25.43	25.67	25.76
TIMES	8.09	64.65	5.65

(Mnih et al., 2013). The update makes use of a target value network  $V_{\hat{\phi}}^{\pi}$ , where  $\hat{\phi}$  is the exponentially moving average of the value network weights and has been shown to stabilize training (Mnih et al., 2015).

The policy network has two sub-policies, which employs shared convolutional layers to extract image features, followed by two separated groups of fully-connected layers to produce termination probability  $\pi_1(\cdot|s)$  (after softmax) or denoising strength/penalty parameters  $\pi_2(s)$  (after sigmoid). We denote the parameters of the sub-polices as  $\theta_1$ and  $\theta_2$  respectively, and we seek to optimize  $\theta = (\theta_1, \theta_2)$ so that the objective  $J(\pi_{\theta})$  is maximized. The policy network is trained using policy gradient methods (Peters & Schaal, 2006). The gradient of  $\theta_1$  is estimated by a likelihood estimator in a model-free manner, while the gradient of  $\theta_2$  is estimated relying on backpropagation via environment dynamics in a model-based manner. Specifically, for discrete terminal time decision  $\pi_1$ , we apply the policy gradient theorem (Sutton et al., 2000) to obtain unbiased Monte Carlo estimate of  $\nabla_{\theta_1} J(\pi_{\theta})$  using advantage function  $A^{\pi}(s, a) = Q^{\pi}(s, a) - V^{\pi}(s)$  as target, i.e.,

$$\nabla_{\theta_1} J(\pi_{\theta}) = \mathbb{E}_{s \sim B, a \sim \pi_{\theta}(s)} \left[ \nabla_{\theta_1} \log \pi_1(a_1|s) A^{\pi}(s, a) \right]. \tag{12}$$

For continuous denoising strength and penalty parameter selection  $\pi_2$ , we utilize the deterministic policy gradient theorem (Silver et al., 2014) to formulate its gradient, i.e.,

$$|\nabla_{\theta_2} J(\pi_{\theta})| = \mathbb{E}_{s \sim B, a \sim \pi_{\theta}(s)} [\nabla_{a_2} Q^{\pi}(s, a) \nabla_{\theta_2} \pi_2(s)],$$
(13)

where we approximate the action-value function  $Q^{\pi}(s, a)$  by  $r(s, a) + \gamma V_{\phi}^{\pi}(p(s, a))$  given its unfolded definition.

Using the chain rule, we can directly obtain the gradient of  $\theta_2$  by backpropagation via the reward function, the value network and the transition function, in contrast to relying on the gradient backpropagated from only the learned action-value function in the model-free DDPG algorithm (Lillicrap et al., 2016).

<sup>&</sup>lt;sup>2</sup>The choice of the hyperparameters m, N and  $\eta$  is discussed in the *suppl. material*.

 $<sup>^{3}\</sup>pi_{2}$  can also be optimized in a model-free manner. The comparison can be found in the Section 4.2.

<sup>&</sup>lt;sup>4</sup>Details of networks are given in the *suppl. material*.

Table 2. Comparisons of different policies used in PnP-ADMM algorithm for CS-MRI on seven widely used medical images under various acceleration factors (x2/x4/x8) and noise level 15. We show both PSNR and the number of iterations (#IT.) used to induce results. \* denotes to report the best PSNR over all iterations (*i.e.* with optimal early stopping). The best results are indicated by orange color and the second best results are denoted by blue color.

	$\times 2$		$\times 4$		×8	
POLICIES	PSNR	#IT.	PSNR	#IT.	PSNR	#IT.
handcrafted	30.05	30.0	27.90	30.0	25.76	30.0
handcrafted*	30.06	29.1	28.20	18.4	26.06	19.4
fixed	23.94	30.0	24.26	30.0	22.78	30.0
fixed*	28.45	1.6	26.67	3.4	24.19	7.3
fixed optimal	30.02	30.0	28.27	30.0	26.08	16.7
fixed optimal*	30.03	6.7	28.34	12.6	26.16	30.0
oracle	30.25	30.0	28.60	30.0	26.41	30.0
oracle*	30.26	8.0	28.61	13.9	26.45	21.6
model-free	28.79	30.0	27.95	30.0	26.15	30.0
Ours	30.33	5.0	28.42	5.0	26.44	15.0

# 4. Experiments

In this section, we detail the experiments and evaluate our proposed algorithm. We mainly focus on the tasks of Compressed Sensing MRI (CS-MRI) and phase retrieval (PR), which are the representative linear and nonlinear inverse imaging problems respectively.

#### 4.1. Implementation Details

Our algorithm requires two training processes for: the denoising network and the policy network (and value network). For training the denoising network, we follow the common practice that uses 87,000 overlapping patches (with size  $128 \times 128$ ) drawn from 400 images from the BSD dataset (Martin et al., 2001). For each patch, we add white Gaussian noise with noise level sampled from [1,50]. The denoising networks are trained with 50 epoch using  $L_1$  loss and Adam optimizer (Kingma & Ba, 2014) with batch size 32. The base learning rate is set to  $10^{-4}$  and halved at epoch 30, then reduced to  $10^{-5}$  at epoch 40.

To train the policy network and value network, we use the 17,125 resized images with size  $128 \times 128$  from the PASCAL VOC dataset (Everingham et al., 2014). Both networks are trained using Adam optimizer with batch size 48 and 1500 iterations, with a base learning rate of  $3 \times 10^{-4}$  for the policy network and  $10^{-3}$  for the value network. Then we set these learning rates to  $10^{-4}$  and  $3 \times 10^{-4}$  at iteration 1000. We perform 10 gradient steps at every iteration.

For the CS-MRI application, a single policy network is trained to handle multiple sampling ratios (with x2/x4/x8 acceleration) and noise levels (5/10/15), simultaneously. Similarly, one policy network is learned for phase retrieval under different settings.

#### 4.2. Compressed sensing MRI

The forward model of CS-MRI can be mathematically described as  $y = \mathcal{F}_p x + \omega$ , where  $x \in \mathbb{C}^N$  is the underlying image, the operator  $\mathcal{F}_p : \mathbb{C}^N \to \mathbb{C}^M$ , with M < N, denotes the partially-sampled Fourier transform, and  $\omega \sim \mathcal{N}\left(0,\sigma_n I_M\right)$  is the additive white Gaussian noise. The data-fidelity term is  $\mathcal{D}(x) = \frac{1}{2}\|y - \mathcal{F}_p x\|^2$  whose proximal operator is given in (Eksioglu, 2016).

**Denoiser priors.** To show how denoiser priors affect the performance of the PnP, we train three state-of-the-art CNN-based denoisers, *i.e.* DnCNN (Zhang et al., 2017a), MemNet (Tai et al., 2017) and residual UNet (Ronneberger et al., 2015), with tunable noise level map. We compare both the Gaussian denoising performance and the PnP performance<sup>5</sup> using these denoisers. As shown in Table 1, the residual UNet and MemNet consistently outperform DnCNN in terms of denoising and CS-MRI. It seems to imply a better Gaussian denoiser is also a better denoiser prior for the PnP framework<sup>6</sup>. Since UNet is significantly faster than MemNet, we choose UNet as our denoiser prior.

Comparisons of different policies. We start by giving some insights of our learned policy by comparing the performance of PnP-ADMM with different polices: i) the hand-crafted policy used in IRCNN (Zhang et al., 2017b); ii) the fixed policy that uses fixed parameters ( $\sigma=15, \mu=0.1$ ); iii) the fixed optimal policy that adopts fixed parameters searched to maximize the average PSNR across all testing images; iv) the oracle policy that uses different parameters for different images such that the PSNR of each image is maximized and v) our learned policy based on a learned policy network to optimize parameters for each image. We remark that all compared polices are run for 30 iteration whilst ours automatically choose the terminal time.

To understand the usefulness of the early stopping mechanism, we also report the results of these polices with optimal early stopping<sup>7</sup>. Moreover, we analyze whether the model-based RL benefits our algorithm by comparing it with the learned policy by model-free RL whose  $\pi_2$  is optimized using the model-free DDPG algorithm (Lillicrap et al., 2016).

The results of all aforementioned policies are provided in Table 2. We can see that the bad choice of parameters (see "fixed") induces poor results, in which the early stopping is quite needed to rescue performance (see "fixed\*"). When the parameters are properly assigned, the early stopping would be helpful to reduce computation cost. Our learned

<sup>&</sup>lt;sup>5</sup>We exhaustively search the best denoising strength/penalty parameters to exclude the impact of internal parameters.

<sup>&</sup>lt;sup>6</sup>Further investigation of this argument can be found in the *suppl. material*.

<sup>&</sup>lt;sup>7</sup>It should be noted some policies (*e.g.* "fixed optimal" and "oracle") requires to access the ground truth to determine parameters, which is generally impractical in real testing scenarios.

Tal	ble 3. Quantitative results (PSNR) of different CS-MRI methods on two datasets under various acceleration factors $f$ and noise levels
σ	The best results are indicated by grange color and the second best results are denoted by blue color

DATASET	f	$\sigma_n$	TRADITIONAL		DEEP UNROLLING		PNP		
DATASET			RecPF	FCSA	ADMMNet	ISTANet	BM3D-MRI	IRCNN	Ours
	×2	5	32.46	31.70	33.10	34.58	33.33	34.67	34.78
		10	29.48	28.33	31.37	31.81	29.44	31.80	32.00
		15	27.08	25.52	29.16	29.99	26.90	29.96	30.27
		5	28.67	28.21	30.24	31.34	30.33	31.36	31.62
Medical7	×4	10	26.98	26.67	29.20	29.71	28.30	29.52	29.68
		15	25.58	24.93	27.87	28.38	26.66	27.94	28.43
		5	24.72	24.62	26.57	27.65	26.53	27.32	28.26
	×8	10	23.94	24.04	26.21	26.90	25.81	26.44	27.35
		15	23.18	23.36	25.49	26.23	25.09	25.53	26.41
	×2	5	36.39	34.90	36.74	38.17	36.00	38.42	38.57
		10	31.95	30.12	34.20	34.81	31.39	34.93	35.06
		15	28.91	26.68	31.42	32.65	28.46	32.81	33.09
	×4	5	33.05	32.30	34.15	35.46	34.79	35.80	36.11
MICCAI		10	30.21	29.56	32.58	33.13	31.63	32.99	33.07
		15	28.13	26.93	30.55	31.48	29.35	30.98	31.42
	×8	5	28.35	28.71	30.36	31.62	31.34	31.66	32.64
		10	26.86	27.68	29.78	30.54	29.86	30.16	30.89
		15	25.70	26.35	28.83	29.50	28.53	28.72	29.65

Table 4. Quantitative results of different PR algorithms on four CDP measurements and varying amount of Possion noise (large  $\alpha$  indicates low sigma-to-noise ratio).

	$\alpha = 9$	$\alpha = 27$	$\alpha = 81$
Algorithms	PSNR	PSNR	PSNR
HIO	35.96	25.76	14.82
WF	34.46	24.96	15.76
DOLPHIn	29.93	27.45	19.35
SPAR	35.20	31.82	22.44
BM3D-prGAMP	40.25	32.84	25.43
prDeep	39.70	33.54	26.82
Ours	40.33	33.90	27.23

policy leads to fast practical convergence as well as excellent performance, sometimes even outperforms the oracle policy tuned via inaccessible ground truth (in  $\times 2$  case). We note this is owing to the varying parameters across iterations generated automatically in our algorithm, which yield extra flexibility than constant parameters over iterations. Besides, we find the learned model-free policy produces suboptimal denoising strength/penalty parameters compared with our mixed model-free and model-based policy, and it also fails to learn early stopping behavior.

Comparisons with state-of-the-arts. We compare our method against six state-of-the-art methods for CS-MRI, including the traditional optimization-based approaches (RecPF (Yang et al., 2010) and FCSA (Huang et al., 2010)), the PnP approaches (BM3D-MRI (Eksioglu, 2016) and IR-CNN (Zhang et al., 2017b)), and the deep unrolling approaches (ADMMNet (Yang et al., 2016) and ISTANet (Zhang & Ghanem, 2018)). To keep comparison fair, for each deep unrolling method, only single network is trained

to tackle all the cases using the same dataset as ours. Table 3 shows the method performance on two set of medical images, *i.e.* 7 widely used medical images (Medical7) (Huang et al., 2010) and 50 medical images from MICCAI 2013 grand challenge dataset<sup>8</sup>. The visual comparison can be found in Fig. 3. It can be seen that our approach significantly outperforms the state-of-the-art PnP method (IRCNN) by a large margin, especially under the difficult  $\times 8$  case. In the simple cases (*e.g.*  $\times 2$ ), our algorithm only runs 5 iterations to arrive at the desirable performance, in contrast with 30 or 70 iterations required in IRCNN and BM3D-MRI respectively.

# 4.3. Phase retrieval

The goal of phase retrieval (PR) is to recover the underlying image from only the amplitude, or intensity of the output of a complex linear system. Mathematically, PR can be defined as the problem of recovering a signal  $x \in \mathbb{R}^N$  or  $\mathbb{C}^N$  from measurement y of the form  $y^2 = |Ax|^2 + \omega$ , where the measurement matrix A represents the forward operator of the system, and  $\omega$  represents shot noise. We approximate it with  $\omega \sim \mathcal{N}(0,\alpha^2|Ax|^2)$ . The term  $\alpha$  controls the sigmato-noise ratio in this problem.

We test algorithms with coded diffraction pattern (CDP) (Candès et al., 2015). Multiple measurements, with different random spatial modulator (SLM) patterns are recorded. We model the capture of four measurements using a phase-only SLM as (Metzler et al., 2018). Each measurement operator can be mathematically described as  $A_i = \mathcal{F}D_i, i \in [1, 2, 3, 4]$ , where  $\mathcal{F}$  can be represented by the 2D Fourier

<sup>8</sup>https://my.vanderbilt.edu/masi/

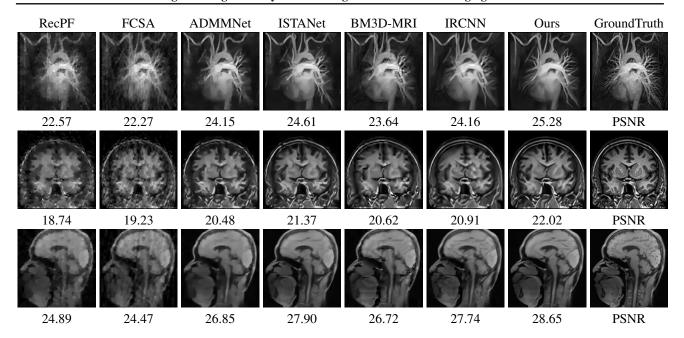


Figure 3. CS-MRI reconstruction results of different algorithms on medical images. (best view on screen with zoom).

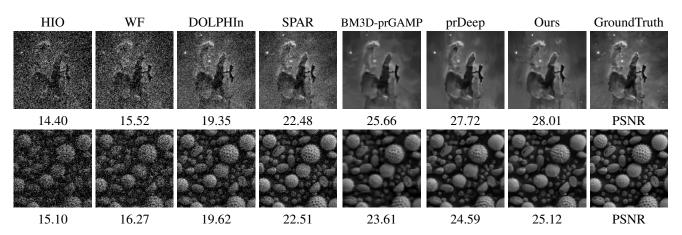


Figure 4. Recovered images from noisy intensity-only CDP measurements with seven PR algorithms. (**Details are better appreciated on screen.**).

transform and  $D_i$  is diagonal matrices with nonzero elements drawn uniformly from the unit circle in the complex planes.

We compare our method with three classic approaches (HIO (Fienup, 1982), WF (Candes et al., 2014), and DOLPHIn (Mairal et al., 2016)) and three PnP approaches (SPAR (Katkovnik, 2017), BM3D-prGAMP (Metzler et al., 2016a) and prDeep (Metzler et al., 2018)). Table 4 and Fig. 4 summarize the results of all competing methods on twelve images used in (Metzler et al., 2018). It can be seen that our method still leads to state-of-the-art performance in this nonlinear inverse problem, and produces cleaner and clearer results than other competing methods.

### 5. Conclusion

In this work, we introduce RL into the PnP framework, yielding a novel tuning-free PnP proximal algorithm for a wide range of inverse imaging problems. We underline the main message of our approach the main strength of our proposed method is the policy network, which can customize well-suited parameters for different images. Through numerical experiments, we demonstrate our learned policy often generates highly-effective parameters, which even often reaches to the comparable performance to the "oracle" parameters tuned via the inaccessible ground truth.

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