COMP9024: Data Structures and **Algorithms**

Week Ten: Text Processing

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Outline

- Pattern Matching
- Tries
- The Greedy Method and Text Compression
- Dynamic Programming

Pattern Matching





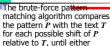
- characters Examples of strings:
- Java program
- HTML document
- DNA sequence Digitized image
- An alphabet $\boldsymbol{\mathcal{Z}}$ is the set of possible characters for a family of strings
- Example of alphabets:
 - ASCII
 - Unicode
 - {0, 1} {A, C, G, T}

Let P be a string of size

- A substring P[i..j] of P is the subsequence of P consisting of the characters with ranks between i and j

- between i and j
 A prefix of P is a substring of the type P[0...i]
 A suffix of P is a substring of the type P[i...m 1]
 Given strings T (text) and P (pattern), the pattern matching problem consists of finding a substring of T equal to P
- Applications:
 - Text editors
 - Search engines
 - Biological research

Brute-Force Pattern Matching



- a match is found, or
- all placements of the pattern have been tried
- Brute-force pattern matching runs in time O(nm)
- Example of worst case: $T = aaa \dots ah$
 - P = aaah
 - may occur in images and DNA sequences
 - unlikely in English text

Input text T of size n and pattern \boldsymbol{P} of size \boldsymbol{m}

Output starting index of a substring of T equal to P or -1 if no such substring exists

{ for (i = 0; i < n - m + 1; i + +){ // test shift i of the pattern i = 0;

while $(j < m \land T[i+j] = P[j])$ j = j + 1;

if (j = m)return i; // match at i

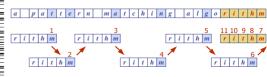
return -1 // no match anywhere

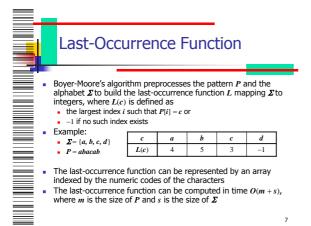
Boyer-Moore Heuristics

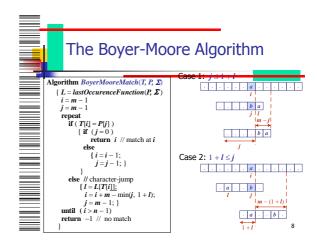
ore's pattern matching algorithm is based on two heuristics

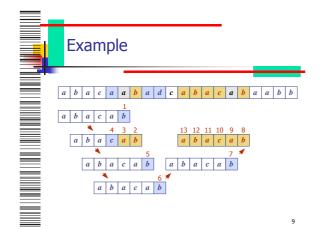
Looking-glass heuristic: Compare P with a subsequence of Tmoving backwards

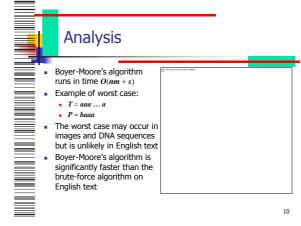
- Character-jump heuristic: When a mismatch occurs at T[i] = c
- If P contains c, shift P to align the last occurrence of c in P with T[i]■ Else, shift P to align P[0] with T[i+1]
- Example

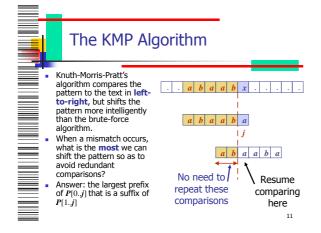


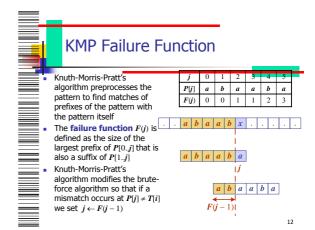




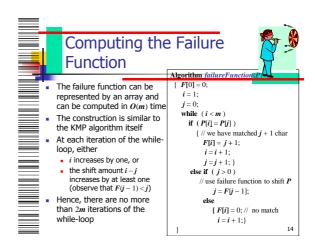


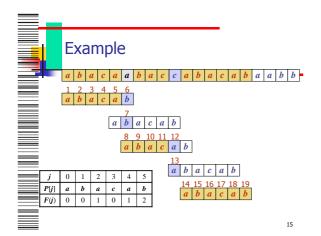


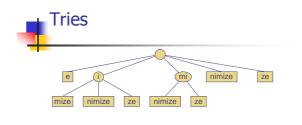




The KMP Algorithm gorithm KMPMatch(The failure function can be $\{ F = failureFunction(P); i = 0; \}$ represented by an array and can be computed in O(m) time j = 0;while (i < n)if (T[i] = P[j])At each iteration of the whileloop, either if (j = m - 1)return i - j; // match • i increases by one, or • the shift amount i-jelse { i = i + 1; j = j + 1; } increases by at least one (observe that F(j-1) < j) Hence, there are no more if (i > 0)than 2n iterations of the $= \mathbf{F}[\mathbf{j} - 1];$ while-loop i = i + 1;Thus, KMP's algorithm runs in return -1; // no match optimal time O(m+n)







Preprocessing Strings

- Preprocessing the pattern speeds up pattern matching queries
 - After preprocessing the pattern, KMP's algorithm performs pattern matching in time proportional to the text size
- If the text is large, immutable and searched for often (e.g., works by Shakespeare), we may want to preprocess the text instead of the pattern
- A trie is a compact data structure for representing a set of strings, such as all the words in a text
 - A tries supports pattern matching queries in time proportional to the pattern size

Standard Tries

The standard trie for a set of strings S is an ordered tree such that:

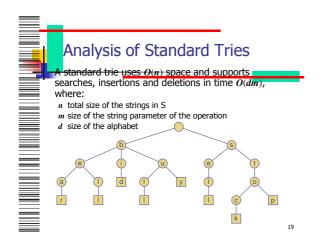
Each node but the Toot is labeled with a character

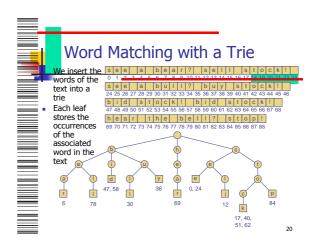
The children of a node are alphabetically ordered

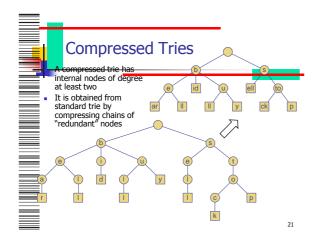
The paths from the external nodes to the root yield the strings of S Example: standard trie for the set of strings

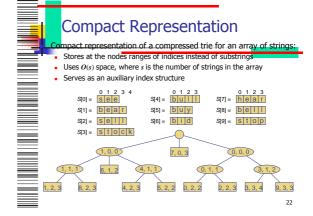
S = { bear, bell, bid, bull, buy, sell, stock, stop }

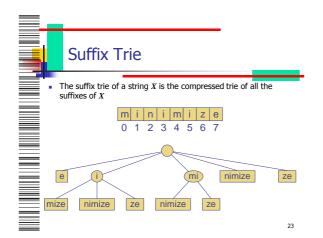
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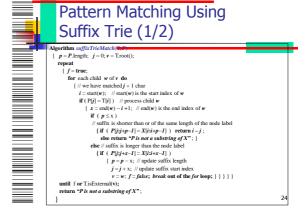












Pattern Matching Using Suffix Trie (2/2)

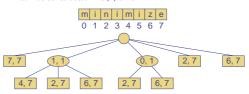
- Input of the algorithm:
 - Compact suffix trie T for a text X and pattern P.
 - Output of the algorithm:
 - Starting index of a substring of X matching P or an indication that P is not a substring.
- The algorithm assumes the following additional property on the labels of the nodes in the compact representation of the suffix trie:
 - If node v has label (i, j) and Y is the string of length y associated with the path from the root to v (included), then X[j-y+1.j]=Y.
- This property ensures that we can easily compute the start index of the pattern in the text when a match occurs.

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Analysis of Suffix Tries

Compact representation of the suffix trie for a string X of size n from an alphabet of size d

- Uses O(n) space
- Supports arbitrary pattern matching queries in X in O(dm) time, where m is the size of the pattern
- Can be constructed in O(n) time



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The Greedy Method and Text Compression



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The Greedy Method Technique



- The greedy method is a general algorithm design paradigm, built on the following elements:
- configurations: different choices, collections, or values to find
- objective function: a score assigned to configurations, which we want to either maximize or minimize
- It works best when applied to problems with the greedy-choice property:
 - a globally-optimal solution can always be found by a series of local improvements from a starting configuration.

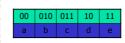
Text Compression

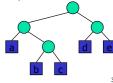
- Given a string X, efficiently encode X into a smaller string Y
 - Saves memory and/or bandwidth
- A good approach: Huffman encoding
 - Compute frequency f(c) for each character c.
 - Encode high-frequency characters with short code words
 - No code word is a prefix for another code
 - Use an optimal encoding tree to determine the code words

Encoding Tree Example

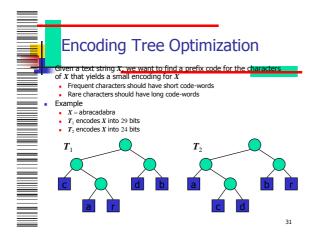
A code is a mapping of each character of an alphabet to a binary code-word

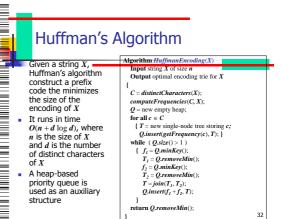
- A prefix code is a binary code such that no code-word is the prefix of another code-word
- An encoding tree represents a prefix code
 - Each external node stores a character
 - The code word of a character is given by the path from the root to the external node storing the character (0 for a left child and 1 for a right child)

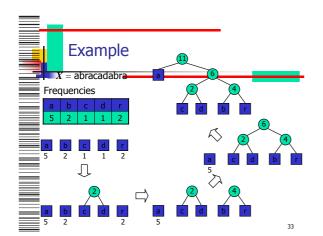


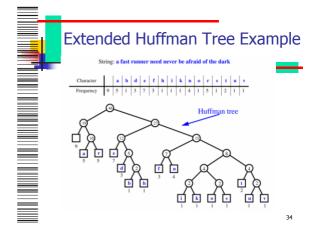


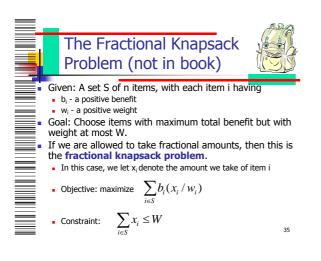
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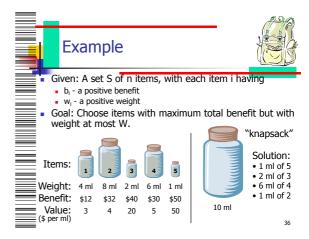












The Fractional Knapsack Algorithm

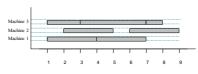
- Greedy choice: Keep taking Alsorithm fractional Kitem with highest value (benefit to weight ratio)

 Alsorithm fractional Kiteman Keep taking Input: set S of items and weight with a nount set it is amount set.
- Since $\sum b_i(x_i/w_i) = \sum (b_i/w_i)x_i$
- Run time: O(n log n). Why?
- Correctness: Suppose there is a better solution
 - there is an item i with higher value than a chosen item j, but x_i<w_i, x_i>0 and v_i<v_i
 - If we substitute some i with j, we get a better solution
 - How much of i: min{w_i-x_i, x_j}
 - Thus, there is no better solution than the greedy one

Task Scheduling (not in book)



- Given: a set T of n tasks, each having:
 - A start time, s_i
 - A finish time, f_i (where s_i < f_i)
- Goal: Perform all the tasks using a minimum number of "machines."



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Task Scheduling Algorithm



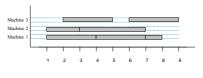
- Greedy choice: consider tasks by their start time and use as few machines as possible with this order.
- Run time: O(n log n). Why?Correctness: Suppose there is a
- better schedule.
- We can use k-1 machinesThe algorithm uses k
- Let i be first task scheduled on machine k
- Machine i must conflict with k-1 other tasks
- But that means there is no non-conflicting schedule using k-1 machines



Example



- Given: a set T of n tasks, each having:
 - A start time, s_i
 - A finish time, f_i (where s_i < f_i)
- [1,4], [1,3], [2,5], [3,7], [4,7], [6,9], [7,8] (ordered by start)
- Goal: Perform all tasks on min. number of machines



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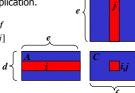
Dynamic Programming



Matrix Chain-Products (not in book)



- Dynamic Programming is a general algorithm design paradigm.
 - Rather than give the general structure, let us first give a motivating example:
 - Matrix Chain-Products
- Review: Matrix Multiplication.C = A*B
- $A ext{ is } \underbrace{d}_{e-1} \times e ext{ and } B ext{ is } e \times f$ $C[i, j] = \sum_{e-1} A[i, k] * B[k, j]$
 - O(def) time



Matrix Chain-Products



Matrix Chain-Product:

- Compute A=A₀*A₁*...*A_{n-1}
- A_i is $d_i \times d_{i+1}$
- Problem: How to parenthesize?

Example

- B is 3 × 100
- C is 100 × 5
- D is 5 × 5
- (B*C)*D takes 1500 + 75 = 1575 ops
- B*(C*D) takes 1500 + 2500 = 4000 ops

An Enumeration Approach

Matrix Chain-Product Alg.:

- Try all possible ways to parenthesize A=A₀*A₁*...*A_{n-1}
- Calculate number of ops for each one
- Pick the one that is best

Running time:

- The number of parenthesizations is equal to the number of binary trees with n nodes
- This is exponential!
- It is called the Catalan number, and it is almost 4n.
- This is a terrible algorithm!

A Greedy Approach



- Idea #1: repeatedly select the product that uses (up) the most operations.
- Counter-example:
 - A is 10 × 5
 - B is 5 × 10
 - C is 10 × 5
 - D is 5 × 10
 - Greedy idea #1 gives (A*B)*(C*D), which takes 500+1000+500 = 2000 ops
 - A*((B*C)*D) takes 500+250+250 = 1000 ops

Another Greedy Approach



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- Idea #2: repeatedly select the product that uses the fewest operations.
- Counter-example:
 - A is 101 × 11
 - B is 11 × 9 ■ C is 9 × 100
 - D is 100 × 99
 - Greedy idea #2 gives A*((B*C)*D)), which takes 109989+9900+108900=228789 ops
 - (A*B)*(C*D) takes 9999+89991+89100=189090 ops
- The greedy approach is not giving us the optimal value.

A "Recursive" Approach



Define subproblems:

- Find the best parenthesization of A_i*A_{i+1}*...*A_i.
- Let N_{i,i} denote the number of operations done by this
- The optimal solution for the whole problem is $N_{0,n-1}$.
- **Subproblem optimality:** The optimal solution can be defined in terms of optimal subproblems
 - There has to be a final multiplication (root of the expression tree) for the optimal solution.
 - Say, the final multiply is at index i: $(A_0^*...^*A_i)^*(A_{i+1}^*...^*A_{n-1})$. Then the optimal solution N_{0,n-1} is the sum of two optimal subproblems, N_{0,1} and N_{i+1,n-1} plus the time for the last multiply.
 If the global optimum did not have these optimal
 - subproblems, we could define an even better "optimal"

A Characterizing Equation



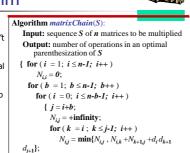
- The global optimal has to be defined in terms of optimal subproblems, depending on where the final
- Let us consider all possible places for that final multiply:
 - Recall that A_i is a $d_i \times d_{i+1}$ dimensional matrix.
 - So, a characterizing equation for N_{i,i} is the following:

$$N_{i,j} = \min_{i \le k < j} \{ N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1} \}$$

Note that subproblems are not independent--the subproblems overlap

A Dynamic Programming 🛭 Algorithm

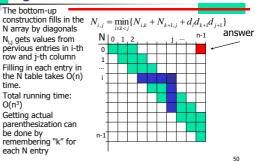
- Since subproblems overlap, we don't use recursion.
- Instead, we construct optimal subproblems "bottom-up."
- N_{i,i}'s are easy, so start with them
- Then do length subproblems, and so on.
- Running time:



A Dynamic Programming Algorithm Visualization



- N_{i,i} gets values from pervious entries in i-th row and j-th column
- Filling in each entry in the N table takes O(n) time.
- Total running time: O(n³)
- Getting actual parenthesization can be done by remembering "k" for each N entry



The General Dynamic Programming Technique



- Applies to a problem that at first seems to require a lot of time (possibly exponential), provided we have:
 - Simple subproblems: the subproblems can be defined in terms of a few variables, such as j, k, l, m, and so on.
 - Subproblem optimality: the global optimum value can be defined in terms of optimal subproblems
 - **Subproblem overlap:** the subproblems are not independent, but instead they overlap (hence, should be constructed bottom-up).

Subsequences

- A subsequence of a character string $x_0x_1x_2...x_{n-1}$ is a string of the form $x_{j_1}x_{j_2}...x_{j_k}$, where $i_j < i_{j+1}$.
- Not the same as substring!
- Example String: ABCDEFGHIJK
 - Subsequence: ACEGJIK Subsequence: DFGHK

Not subsequence: DAGH

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The Longest Common Subsequence (LCS) Problem

- Given two strings X and Y, the longest common subsequence (LCS) problem is to find a longest subsequence common to both X and Y
- Has applications to DNA similarity testing (alphabet is {A,C,G,T})
- Example: ABCDEFG and XZACKDFWGH have ACDFG as a longest common subsequence

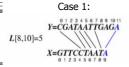
A Poor Approach to the LCS Problem

- A Brute-force solution:
 - Enumerate all subsequences of X
 - Test which ones are also subsequences of Y
 - Pick the longest one.
- Analysis:
 - If X is of length n, then it has 2ⁿ subsequences
 - This is an exponential-time algorithm!

A Dynamic-Programming Approach to the LCS Problem Define L[i,j] to be the length of the longest common subsequence of X[0..i] and Y[0..j]. Allow for -1 as an index, so L[-1,k]=0 and L[k,-1]=0, to indicate that the null part of X or Y has no match with the other.

- Then we can define L[i,j] in the general case as follows:

 - If $x_i=y_j$, then L[i,j]=L[i-1,j-1]+1 (we can add this match) If $x_i\neq y_j$, then $L[i,j]=\max\{L[i-1,j],L[i,j-1]\}$ (we have no match here)

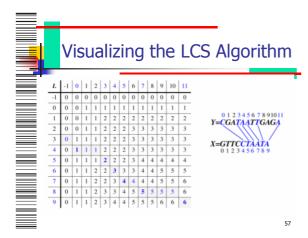




L[9,9]=6L[8,10]=5

An LCS Algorithm

```
Algorithm LCS(X,Y):
Input: Strings X and Y with n and m elements, respectively
      Input: Strings X and Y with n and m elements, respectively Output: For i=0,...,n-1, j=0,...,m-1, the length L[i,j] of a longest string that is a subsequence of both the string X[0...l] = X_0X_1X_2...X_i and the string Y[0...l] = X_0X_1X_2...X_i and the string X[0...l] = X_0X_1X_2...X_i and the string X[0...
                                                                                                                                                                        L[i, j] = \max\{L[i-1, j], L[i, j-1]\};
                                     return array L;
```





- We have two nested loops
 - The outer one iterates *n* times
 - The inner one iterates *m* times
 - A constant amount of work is done inside each iteration of the inner loop
 - Thus, the total running time is O(nm)
- Answer is contained in L[n,m] (and the subsequence can be recovered from the L table).

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References

Chapter 12, Data Structures and Algorithms by Goodrich and Tamassia.