

## Question1:

### a). Formulate the task

- Create the digraph called G:

1.  $G = (F \cup Y_m \cup Y_s \cup \{s, t\} \cup E)$

2. ( $k$  = total number of forests;  $Y$  = total number of years;  $i$  = the index from 1 to  $k$ ;  $j$  = the index from 1 to  $Y$ )

3. Representations for the type of vertices:

- a.  $s$  = Source
- b.  $F$  = The forests which is {forest 1 to forest  $k$ } since  $k$  is the total number of forests
- c.  $Y_m$  = The year, which the trees will be matured from  $F$  (all the forests). The year would be declared as {mature\_year 1 to mature\_year  $Y$ } since  $Y$  is the total number of years.
- d.  $Y_s$  = The year, which the matured trees can be cut down and sell. The year would be declared as {sell\_year 1 to sell\_year  $Y$ } since  $Y$  is the total number of years.
- e.  $t$  = Sink

4. Representations for the type of edges:

- $E_1$  (the edge between  $s$  and  $F$ ): The total trees in each forest

Capacity in  $E_1$ :  $T_i$  or  $\tau_i$  ( $T_i$ : is tau ( $\tau_i$ ) as denoted in the graph)

- $E_2$  (the edge between  $F$  and  $Y_m$ ): The total amount of matured trees of each forest in each year

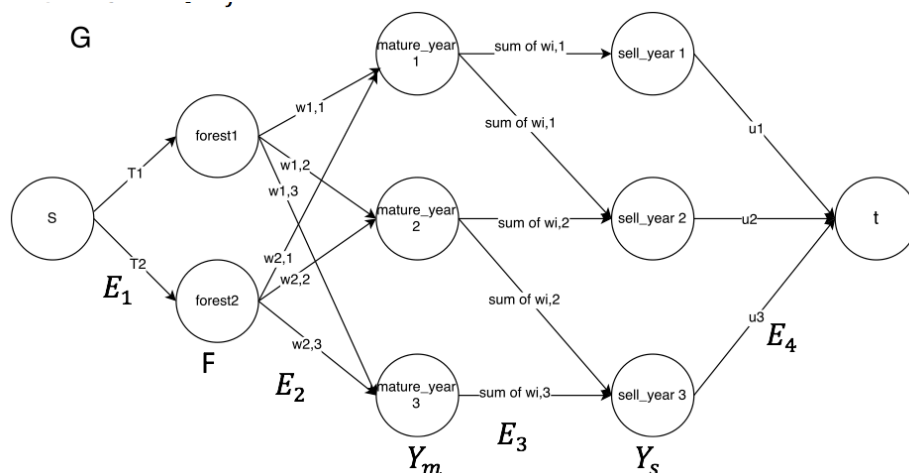
Capacity in  $E_2$ :  $w_{i,j}$

- $E_3$  (the edge between  $Y_m$  and  $Y_s$ ): The amount of matured-trees from the matured year to the available selling years, we can also says each tree matures in year  $j$  can only be sold in that year ( $j$ ) or the  $\delta_j - 1$  years afterwards.

Capacity in  $E_3$ : The sum of the  $w_{i,j}$  of all the forests in each mature-year ( $Y_m$ ), which goes to the available sell\_year ( $Y_s$ ).

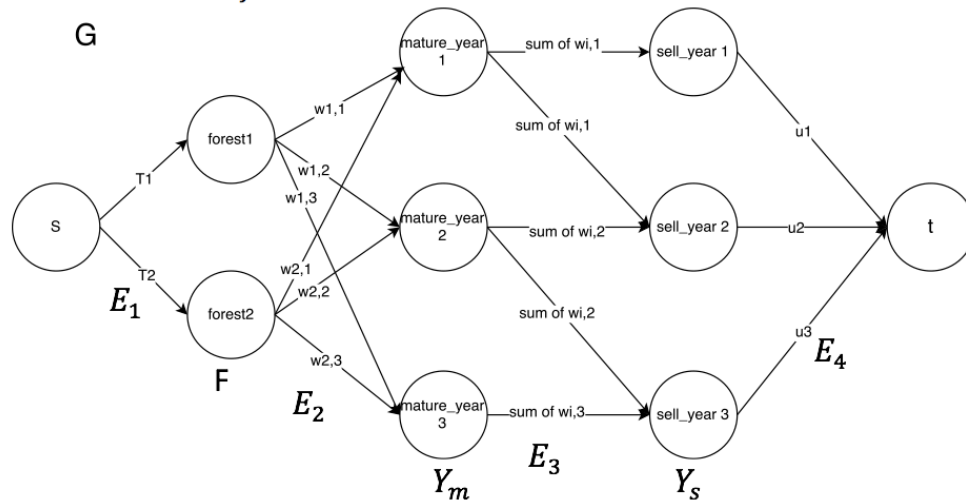
- $E_4$  (the edge between  $Y_s$  and  $t$ ): The amount of the total sell-matured-trees from each year.

Capacity in  $E_4$ :  $u_j$



## b). Prove the algorithm is correct:

- Integrality: If all capacities are integers then every flow value  $f(e)$  and every residual capacities  $cf(e)$  remains an integer throughout the algorithm.

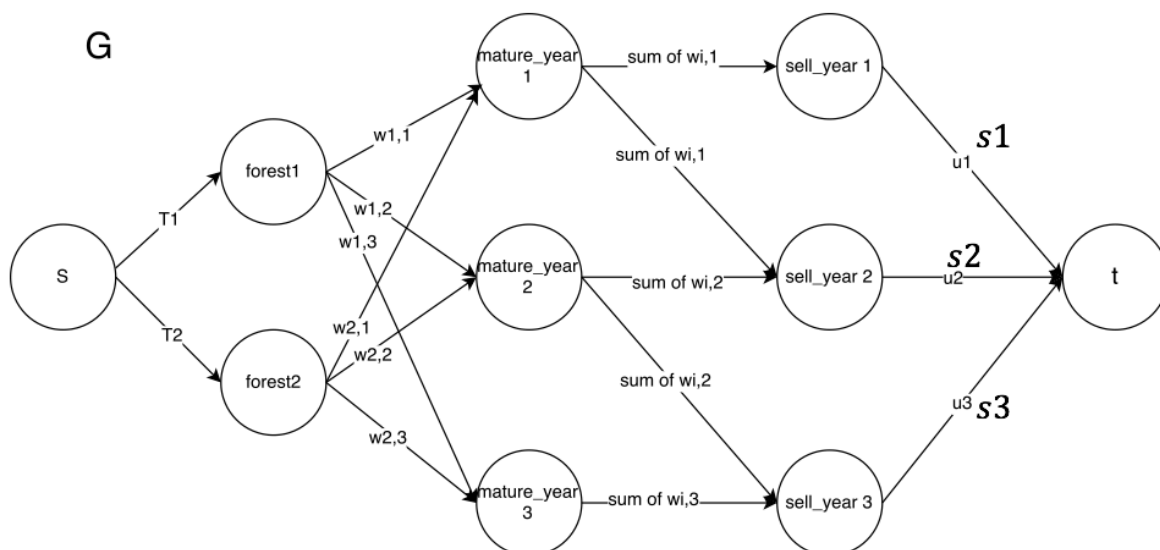


### Proof (part I and part II):

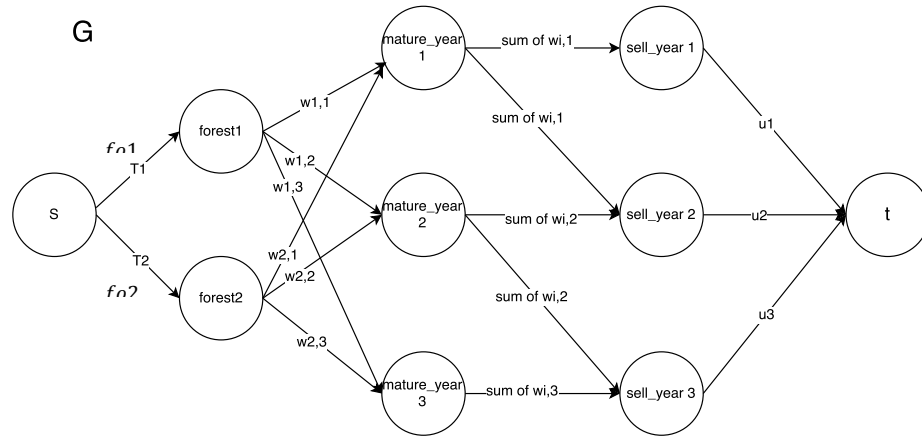
#### - Part I:

Purpose: If the maximum number of Christmas trees sold is  $f$ , we also have to prove that there exists a max flow  $f$ .

1). The flow of the edges from sell\_year nodes to the sink (t) is represented as the schedule that sells  $f$  Christmas trees. We mark the flow from sell\_year 1 to t as  $s1$ , the flow from sell\_year 2 to t as  $s2$  and the sell\_year 3 to t as  $s3$ . Since we have assumed the max schedule that sells the Christmas trees is  $f$ . Hence, the sum of the flows ( $s1 + s2 + s3$ ) is  $f$ .



2). There are two edges going from the source to forest 1 and forest 2. The flow of the edges between source (s) to the forest nodes (F) is represented as the number of the trees (the mature\_ trees can be sold) in each forest. We mark the flow between s to forest 1 as  $f_{o1}$  and the flow between s to forest 2 as  $f_{o2}$ .



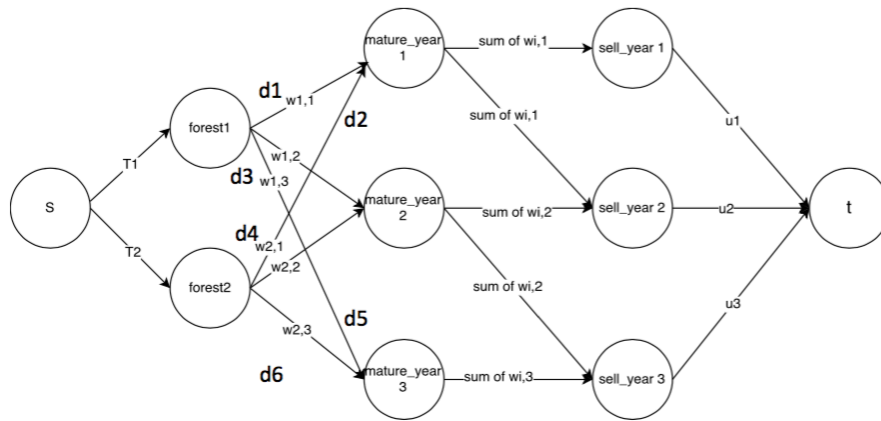
In the flows definition, the value of a flow is:  $v(f) = \sum_{e \text{ out of } s} f(e)$ .

Since we have assumed the max value of the schedule is  $f$ , therefore, the sum of the flow between s to the forests ( $f_{o1} + f_{o2}$ ) suppose to be  $f$  as well, which is the sum of the flows between sell\_ years to t ( $s1 + s2 + s3$ ).

$$“ f_{o1} + f_{o2} = s1 + s2 + s3 = \text{max schedule } f ”$$

Since the max schedule  $f$  is assumed, the capacity of the edge between s to forest nodes should be holds by the flow definition:  $0 \leq f(e) \leq c(e)$ . In our graph the definition should be  $0 \leq f_{o1} \leq \tau1$  and  $0 \leq f_{o2} \leq \tau2$ .

3). The flow of the edges between the forest nodes to the mature\_ year nodes ( $Y_m$ ) is represented as the number of forest  $i$ 's trees mature in year  $j$ . We mark the flow between forest 1 to mature\_ year 1 as  $d1$ , forest2 to mature\_ year 2 as  $d2$ , forest3 to mature\_ year 3 as  $d3$ , forest4 to mature\_ year 4 as  $d4$ , forest5 to mature\_ year 5 as  $d5$  and forest6 to mature\_ year 6 as  $d6$ .



Since the max schedule is  $f$ , therefore:

$$0 \leq d_1; d_2; d_3; d_4; d_5; d_6 \leq w_{1,1}; w_{1,2}; w_{1,3}; w_{2,1}; w_{2,2}; w_{2,3}.$$

The capacity of the edge between forests to mature\_years holds.

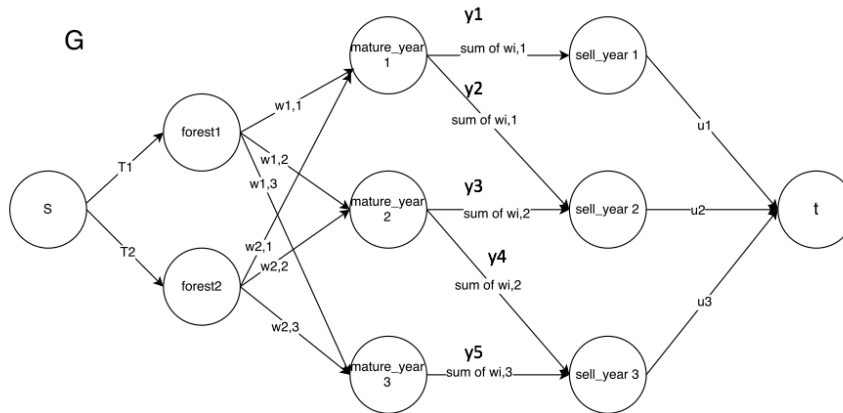
We have to assume the max schedule is correct.

For each  $v \in V - \{s, t\}$ :  $\sum_{e \text{ in to } v} f(e) = \sum_{e \text{ out of } v} f(e)$  in the flows conservation rule.

The conservation between flow  $f_o$  and flow  $d$  holds, since:

$$\begin{aligned} \sum_{E1 \text{ in to forest } 1} f_o(E1) &= \sum_{E2 \text{ out of forest } 1} d(E2) \\ &\text{and} \\ \sum_{E1 \text{ in to forest } 2} f_o(E1) &= \sum_{E2 \text{ out of forest } 2} d(E2) \end{aligned}$$

4). The flow of the edge from the mature\_year nodes to the sell\_year nodes is represented as the number of the matured trees be allowed to cut down and sell in the year  $j$  or  $\delta j - 1$  years afterwards. We mark the flow from mature\_year 1 to sell\_year 1 as  $y_1$ , mature\_year 1 to sell\_year 2 as  $y_2$ , mature\_year 2 to sell\_year 1 as  $y_3$ , mature\_year 2 to sell\_year 3 as  $y_4$  and mature\_year 3 to sell\_year 3 as  $y_5$ .



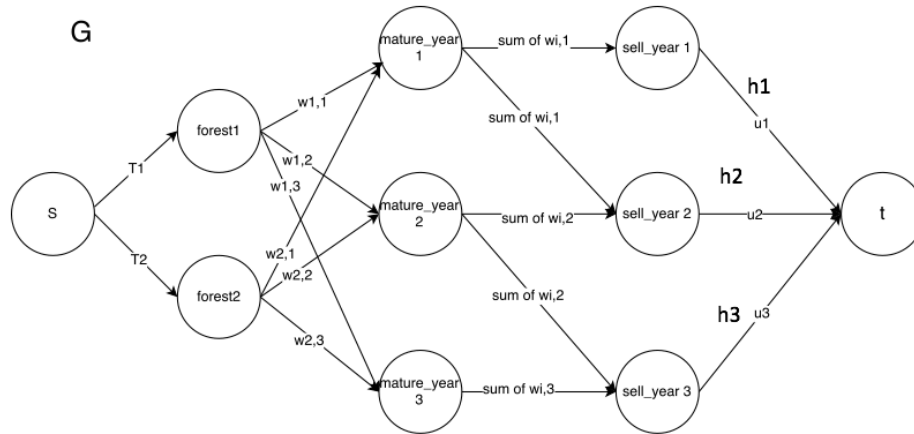
We have assumed the max schedule is  $f$  and it is correct. Therefore, the conservation between flow  $d$  and flow  $y$  holds, since:

$$\begin{aligned} \sum_{E2 \text{ in to mature\_year } 1} d(E2) &= \sum_{E3 \text{ out of mature\_year } 1} y(E3) \\ &\text{and} \\ \sum_{E2 \text{ in to mature\_year } 2} d(E2) &= \sum_{E3 \text{ out of mature\_year } 2} y(E3) \\ &\text{and} \\ \sum_{E2 \text{ in to mature\_year } 3} d(E2) &= \sum_{E3 \text{ out of mature\_year } 3} y(E3) \end{aligned}$$

Additionally, the capacity of the edge between mature\_year nodes to the sell\_year nodes would hold since:

$$0 \leq y_1; y_2; y_3; y_4; y_5 \leq \text{sum of } w_{i,1}; \text{sum of } w_{i,2}; \text{sum of } w_{i,3}$$

5). The flow of the edges between sell\_year nodes to the sink (t) is represented as the number of the trees that be harvested in year j. we mark the flow of the edge from sell\_year 1 to t as  $h1$ , sell\_year 2 to t as  $h2$  and sell\_year 3 to t as  $h3$ .



Since the assumption that max schedule is  $f$ . Hence the capacity of the edge between sell\_year nodes to t has to hold when:

$$0 \leq \text{sum of } w_{i,1}; (\text{sum of } w_{i,1} + \text{sum of } w_{i,2}); (\text{sum of } w_{i,2} + \text{sum of } w_{i,3}) \leq u_1; u_2; u_3.$$

In the flows conservation rule, the conservation between flow  $y$  and flow  $h$  should hold as well since:

$$\begin{aligned} \sum_{E3 \text{ in to sell\_year } 1} y(E3) &= \sum_{E4 \text{ out of sell\_year } 1} h(E4) \\ \text{and} \\ \sum_{E3 \text{ in to sell\_year } 2} y(E3) &= \sum_{E4 \text{ out of sell\_year } 2} h(E4) \\ \text{and} \\ \sum_{E3 \text{ in to sell\_year } 3} y(E3) &= \sum_{E4 \text{ out of sell\_year } 3} h(E4) \end{aligned}$$

#### - Part II:

(each flow's meaning and the signs between the different type of set-nodes has been defined in part I and those flows would still be used for part II.)

Purpose: if assume  $f$  is the value of the max flow then we have to prove there exist a schedule that sells  $f$  Christmas trees as well.

Hence, the capacities ( $\tau_i, w_{i,j}, \text{sum of } w_{i,j} \text{ in each year and } u_j$ ) of the edge (E1, E2, E3, E4) should hold ( $0 \leq f(e) \leq c(e)$ ).

The conservation of the s-t flow for each set of nodes ( $F, Y_s, Y_m$ ) should hold as well ( $v \in V - \{s, t\}$ :  $\sum_{e \text{ in to } v} f(e) = \sum_{e \text{ out of } v} f(e)$ )

Since there exists a max flow with value  $f$ , therefore, the sum of the flows of E4, which is  $h1 + h2 + h3$  has to be  $f$  as well. Additionally, the sum of the flows of E1, which is  $f_{o1} + f_{o2}$  also has to be  $f$  since the definition of the flows:  $v(f) = \sum_{e \text{ out of } s} f(e)$

**- Conclusion for the proof:**

In part I we have proved that there exists a max flow with value  $f$  via holding the capacity for the edges and the conservation for the nodes since we assume the max schedule that sells  $f$  number of Christmas trees. In part II, we prove there exists a max schedule that sells  $f$  trees through assuming the  $f$  value of max flow exists. Hence, our algorithm is correct since we have proved the algorithm's correctness in both directions.

## Question2:

### a). Formulate the task

- Create the digraph called G:

$$1. G = (F \cup Y_m \cup Y_s \cup \{s, t\} \cup E)$$

2. ( $k$  = total number of forests;  $Y$  = total number of years;  $i$  = the index from 1 to  $k$ ;  $j$  = the index from 1 to  $Y$ )

3. Representations for the type of vertices:

a.  $s$  = Source

b.  $F$  = The forests which is {forest 1 to forest  $k$ } since  $k$  is the total number of forests

c.  $Y_m$  = The year, which the trees will be matured from  $F$  (all the forests). The year would be declared as {mature\_year 1 to mature\_year  $Y$ } since  $Y$  is the total number of years.

d.  $Y_s$  = The year, which the matured trees can be cut down and sell. The year would be declared as {sell\_year 1 to sell\_year  $Y$ } since  $Y$  is the total number of years.

e.  $t$  = Sink

4. Representations for the type of edges:

(we make up the fake value of the capacities)

-  $E_1$  (the edge between  $s$  and  $F$ ): The total trees in each forest

Capacity in  $E_1$ :  $T_i$

-  $E_2$  (the edge between  $F$  and  $Y_m$ ): The total amount of matured trees of each forest in each year

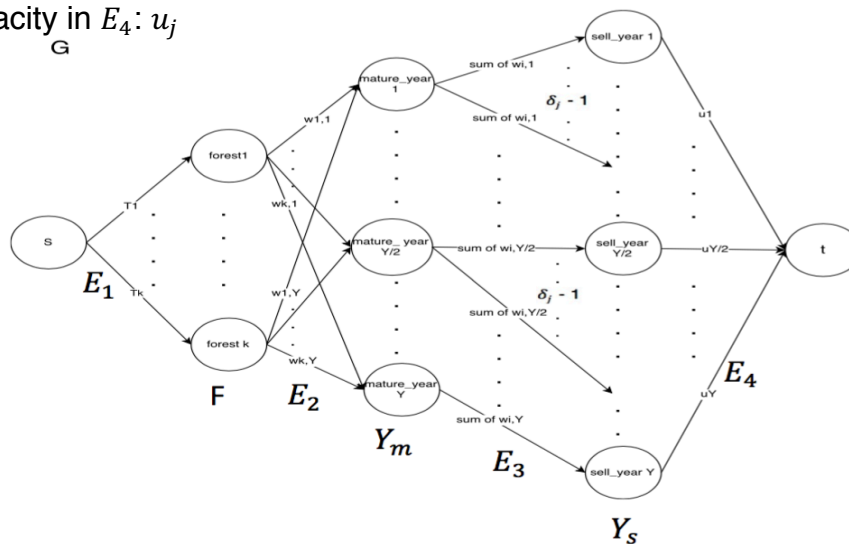
Capacity in  $E_2$ :  $w_{i,j}$

-  $E_3$  (the edge between  $Y_m$  and  $Y_s$ ): The amount of matured-trees from the matured year to the available selling years, we can also says each tree matures in year  $j$  can only be sold in that year ( $j$ ) or the  $\delta_j - 1$  years afterwards.

Capacity in  $E_3$ : The sum of the  $w_{i,j}$  of all the forests in each mature-year ( $Y_m$ ), which goes to the available sell\_year ( $Y_s$ ).

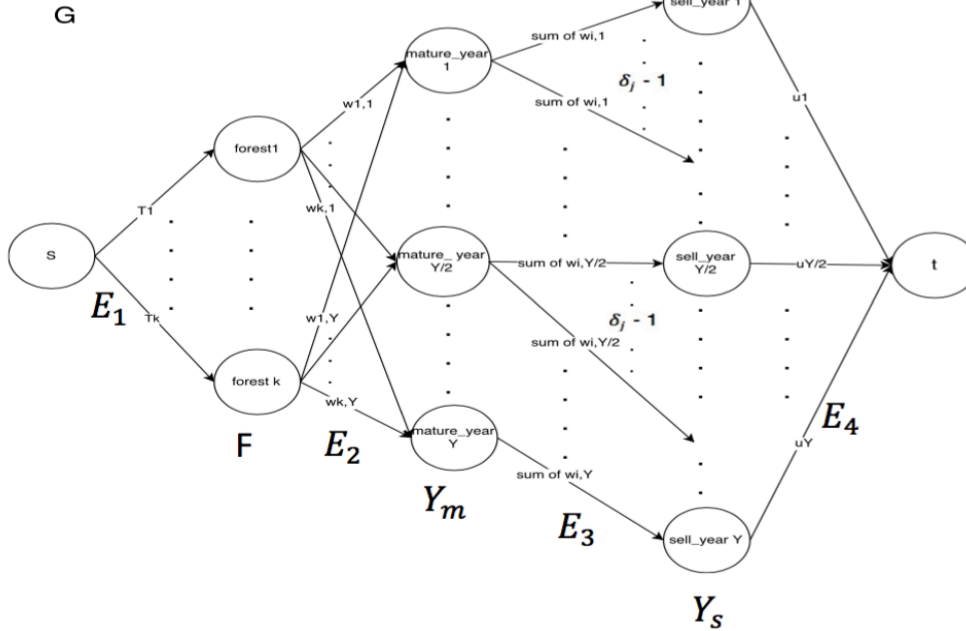
-  $E_4$  (the edge between  $Y_s$  and  $t$ ): The amount of the total sell-matured-trees from each year

Capacity in  $E_4$ :  $u_j$



## b). Prove the algorithm is correct:

- Integrality: If all capacities are integers then every flow value  $f(e)$  and every residual capacities  $cf(e)$  remains an integer throughout the algorithm.

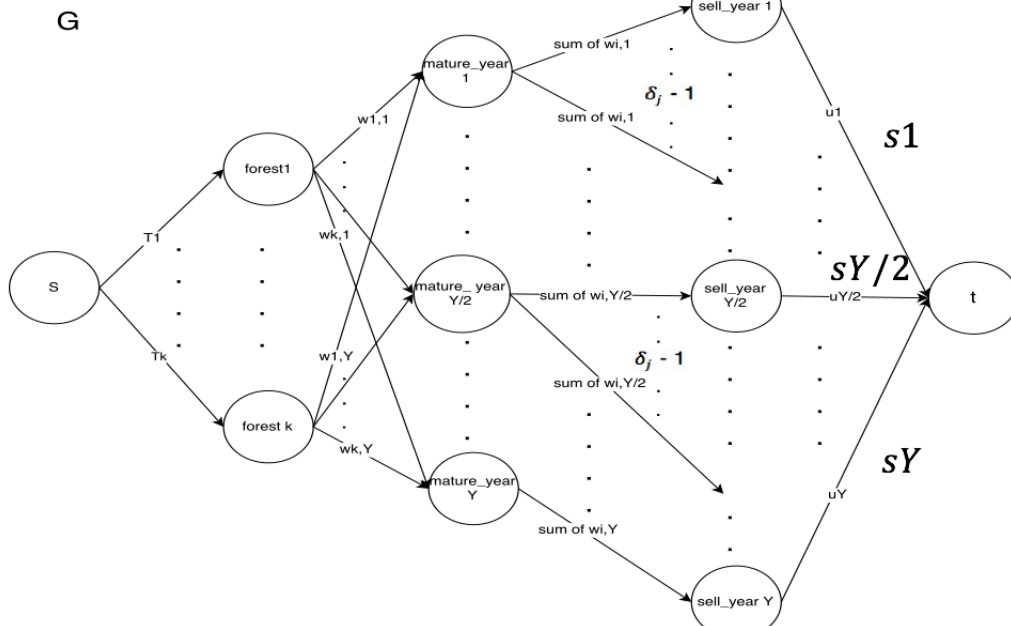


### Proof (part I and part II):

#### - Part I:

Purpose: If the maximum number of Christmas trees sold is  $f$ , we also have to prove that there exists a max flow  $f$ .

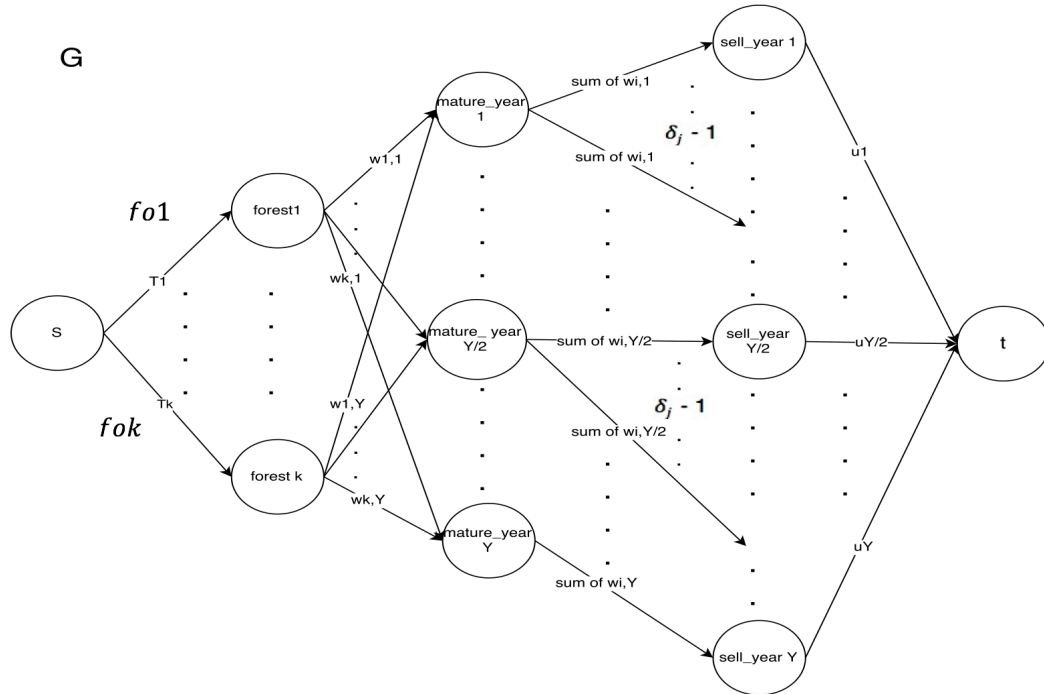
1). The flow of the edges from sell\_year nodes to the sink (t) is represented as the schedule that sells  $f$  Christmas trees. We mark the flow from sell\_year 1 to t is  $s_1$  up to the flow from sell\_year  $Y$  to t is  $s_Y$ . Since we have assumed the max schedule that sells the Christmas trees is  $f$ . Hence, the sum of the flows ( $s_1 + \dots + s_Y$ ) is  $f$ .





2). There are two edges go from the source to forest 1 to forest k. The flow of the edges between source (s) to the forest nodes (F) is represented as the number of the trees (the mature\_ trees can be sold) in each forest.

We mark the flow between s to forest 1 as  $fo1$  up to the flow between s to forest k as  $fok$ .



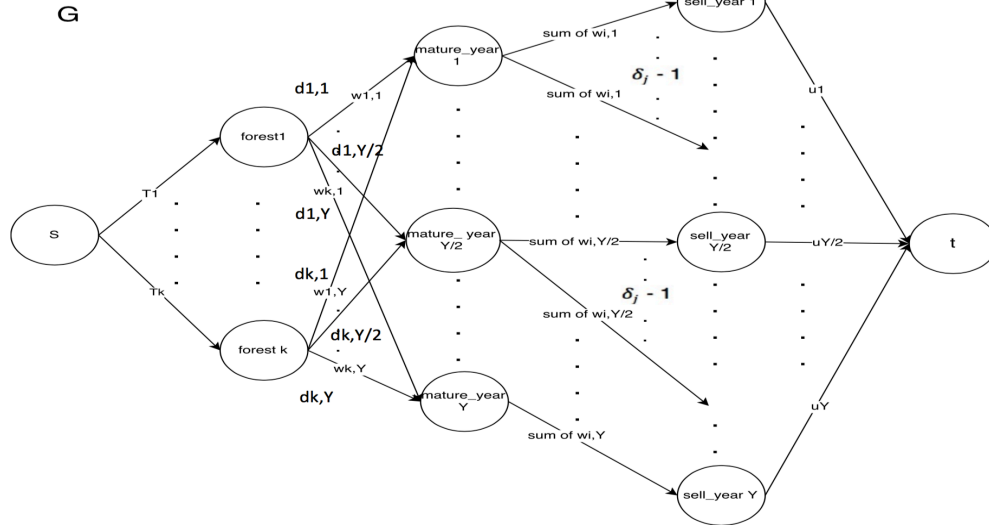
In the flows definition, the value of a flow is:  $v(f) = \sum_{e \text{ out of } s} f(e)$ .

Since we have assumed the max value of the schedule is  $f$ , therefore, the sum of the flow between s to the forests ( $fo1 + \dots + fok$ ) suppose to be  $f$  as well, which is the sum of the flows between sell\_ years to t ( $s1 + \dots + sY$ ).

$$fo1 + \dots + fok = s1 + \dots + sY = \text{max schedule } f$$

Since the max schedule  $f$  is assumed, the capacity of the edge between s to forest nodes should holds by the flow definition:  $0 \leq f(e) \leq c(e)$ . In our graph the definition should be  $0 \leq fo1 \leq \tau1; to \dots; 0 \leq fok \leq \tau k$ .

3). The flow of the edges between the forest nodes to the mature\_year nodes ( $Y_m$ ) is represented as the number of forest  $i$ 's trees mature in year  $j$ . We mark the flow between forest 1 to all of the mature\_years from year 1 to year  $Y$  as from  $d_{1,1}$  to  $d_{1,Y}$  up to the flow between forest  $k$  to all of the mature\_years as from  $dk,1$  to  $dk,Y$ .



We have to assume the max schedule is correct.

Since the max schedule is  $f$ , therefore:

$$0 \leq d_{1,1}, \dots, d_{1,Y}; \dots; d_{i,1}, \dots, d_{i,Y}; \dots; d_{k,1}, \dots, d_{k,Y} \leq w_{1,1}, \dots, w_{k,1}; \dots; w_{1,j}, \dots, w_{k,j}; \dots; w_{1,Y}, \dots, w_{k,Y}.$$

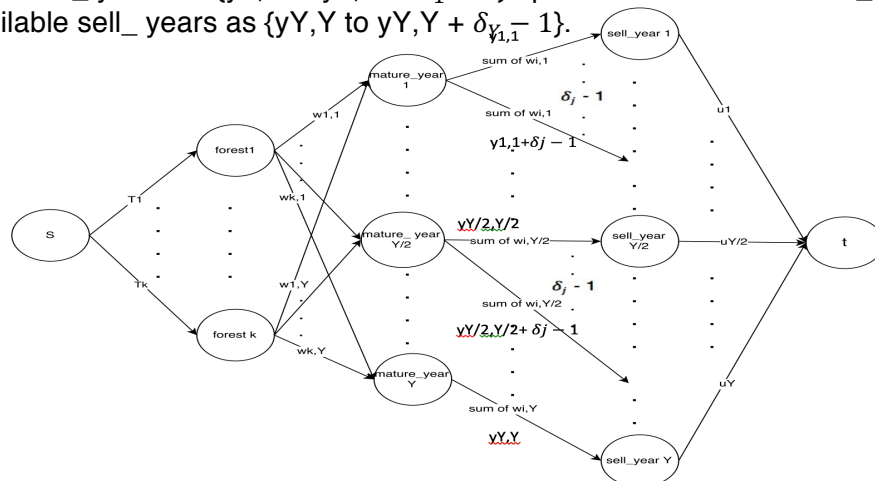
The capacity of the edge between forests to mature\_years holds.

For each  $v \in V - \{s, t\}$ :  $\sum_{e \text{ in to } v} f(e) = \sum_{e \text{ out of } v} f(e)$  in the flows conservation rule.

The conservation between flow  $f_o$  and flow  $d$  holds, since:

$$\sum_{E1 \text{ in to forest } i} f_o(E1) = \sum_{E2 \text{ out of forest } i} d(E2)$$

4). The flow of the edge from the mature\_year nodes to the sell\_year nodes is represented as the number of the matured trees be allowed to cut down and sell in the year  $j$  or  $\delta j - 1$  years afterwards. We mark the flow from mature\_year 1 to all the available sell\_years as  $\{y_{1,1}$  to  $y_{1,1} + \delta_1 - 1\}$  up to the flow from mature\_year  $Y$  to all the available sell\_years as  $\{y_{Y,Y}$  to  $y_{Y,Y} + \delta_Y - 1\}$ .



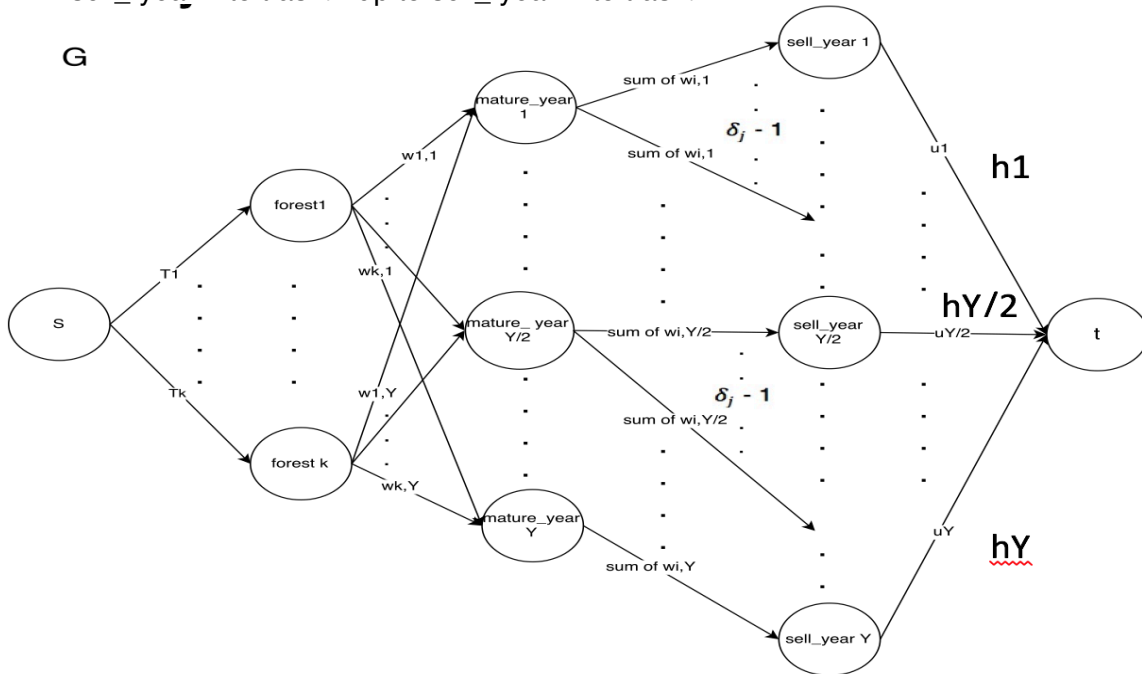
We have assumed the max schedule is  $f$  and it is correct. Therefore, the conservation between flow  $d$  and flow  $y$  holds, since:

$$\sum_{E2 \text{ in to mature\_year } j} d(E2) = \sum_{E3 \text{ out of mature\_year } j} y(E3)$$

Additionally, the capacity of the edge between mature\_ year nodes to the sell\_ year nodes would hold since:

$$0 \leq \{y_{1,1} \text{ to } y_{1,1} + \delta_1 - 1\}; \dots; \{y_{j,j} \text{ to } y_{j,j} + \delta_j - 1\}; \dots; \{y_{Y,Y} \text{ to } y_{Y,Y} + \delta_Y - 1\} \\ \leq \{\text{sum of } w_{i,1} \text{ with } \delta_j \text{ times}\}; \dots; \{\text{sum of } w_{i,j} \text{ with } \delta_j \text{ times}\}; \dots; \{\text{sum of } w_{i,Y} \text{ with } \delta_j \text{ times}\}$$

5). The flow of the edges between sell\_ year nodes to the sink ( $t$ ) is represented as the number of the trees that be harvested in year  $j$ . we mark the flow of the edge from sell\_ year 1 to  $t$  as  $h1$  up to sell\_ year  $Y$  to  $t$  as  $hY$ .



Since the assumption that max schedule is  $f$ . Hence the capacity of the edge between sell\_ year nodes to  $t$  has to hold when:

$$0 \leq h1; \dots; hY \leq u1; \dots; uY.$$

In the flows conservation rule, the conservation between flow  $y$  and flow  $h$  should hold as well since:

$$\sum_{E3 \text{ in to sell\_year } j} y(E3) = \sum_{E4 \text{ out of sell\_year } j} h(E4)$$

#### - Part II:

(each flow's meaning and the signs between the different type of set-nodes has been defined in part I and those flows would still be used for part II.)

Purpose: if assume  $f$  is the value of the max flow then we have to prove there exist a schedule that sells  $f$  Christmas trees as well.

Hence, the capacities ( $\tau_i, w_{i,j}$ , sum of  $w_{i,j}$  in each year and  $u_j$ ) of the edge ( $E1, E2, E3, E4$ ) should hold ( $0 \leq f(e) \leq c(e)$ ).

The conservation of the s-t flow for each set of nodes  $(F, Y_s, Y_m)$  should hold as well  
 $(v \in V - \{s, t\}: \sum_{e \text{ in to } v} f(e) = \sum_{e \text{ out of } v} f(e))$

Since there exists a max flow with value  $f$ , therefore, the sum of the flows of  $E4$ , which is  $h1 + \dots + hY$  has to be  $f$  as well. Additionally, the sum of the flows of  $E1$ , which is  $f_{o1} + \dots + f_{ok}$  also has to be  $f$  since the definition of the flows:  $v(f) = \sum_{e \text{ out of } s} f(e)$

**- Conclusion for the proof:**

In part I we have proved that there exists a max flow with value  $f$  via holding the capacity for the edges and the conservation for the nodes since we assume the max schedule that sells  $f$  number of Christmas trees. In part II, we prove there exists a max schedule that sells  $f$  trees through assuming the  $f$  value of max flow exists. Hence, our algorithm is correct since we have proved the algorithm's correctness in both directions.

**c). Upper bound of the algorithm:**

(The variables that have been defined in part a will be use for defining the upper bound of the time complexity for our algorithm).

We assume the max value of the flow is  $f$ , and  $m$  is the number of all the edges in the graph  $num(E1 + E2 + E3 + E4)$ .

**- The time complexity of Ford-Fulkerson  $O(num(E1 + E2 + E3 + E4)^2 \log(f))$ :**

**- The time complexity of setting up the graph:**

1). We firstly start from setting up the edges connection between the source ( $s$ ) and the set of forest nodes ( $F$ ). Hence, we just need to run with one for- loop with the range of  $k$  forests. The upper bound time complexity of the connection between  $s$  and  $F$  would be  $O(k)$ .

2). We set up the edges connection between the set of forests ( $F$ ) and all of the mature\_ years ( $Y_m$ ). Since we have to set up each capacity ( $w_{i,j}$ ) of the edge from each forest (1 to  $k$ ) to all of the years (1 to  $Y$ ). Hence, we have to do double for- loop with the outer range of  $k$  forests and the inner range of  $Y$  years. The upper bound time complexity of this operation would be  $O(kY)$ .

3). We have to restore the capacity between the mature\_ year ( $Y_m$ ) and sell\_ year ( $Y_s$ ) since the capacity of the edge is the sum of the  $w_{i,j}$  of all the forests in each mature-year ( $Y_m$ ), which goes to the available sell \_year ( $Y_s$ ). Therefore, we should use double for-loop with the outer range of  $Y$  years and the inner range of  $k$  forests. The upper bound time complexity for this set-up is  $O(kY)$ .

4). We set up the edge connection between the mature\_ years ( $Y_m$ ) to the sell\_ years( $Y_s$ ). Since the mature trees in each year sell to the available sell\_ years depends on  $\delta_j$  (each year  $j$  connects to sell\_ year  $j$  to sell\_ year  $j + \delta_j - 1$ ), hence, we are using double for-loop with the outer range of  $Y$  years and the inner range of  $\delta_j$  years. The time complexity for this operation should be  $O(\sum_{j=1}^Y \delta_j)$  since each trees in the mature\_ year can be cut down and sold out in year  $j$  and  $\delta_j - 1$  years afterwards depends on the value of the  $\delta_j$

5). The last set up connection is between the sell\_ years ( $Y_s$ ) to the sink (t). This is done by simply using a for-loop with the range of  $Y$  years to collectively go to the sink. Hence, the upper bound time complexity of this part would be  $O(Y)$ .

- **Overall:**

We know  $\{E1 + E2 + E3 + E4\}$  set of edges include all the number of the edges in the graph, and we have declared the edge connection of each part in the graph. Hence, we convert from  $\{E1 + E2 + E3 + E4\}$  to  $\{k + kY + \sum_{j=1}^Y \delta_j + Y\}$ . The Ford-Fulkerson's time complexity would be converted from  $O(\text{num}(E1 + E2 + E3 + E4)^2 \log(f))$  to  $O((k + kY + \sum_{j=1}^Y \delta_j + Y)^2 \log(f))$  in our graph. ( $f$  is the value of the maximum flow). The maximum value of  $\delta_j$  can be infinite since the value can be beyond  $Y$  years. Hence, we suppose to limit the  $\delta_j$ 's maximum value as  $Y$  years to prevent the algorithm might cause the extreme huge time complexity.

Additionally, the max value of the flow would be the max schedule that trees sold, which is  $f$ . Since we know that the schedule that the trees sold would be the sum of the flows between the sell\_ years and the sink from sell\_ year 1 to sell\_ year  $Y$ . Hence, the value max schedule would also be  $\sum_{j=1}^Y u_j$ . The  $f$  would also represent as  $\sum_{j=1}^Y u_j$ .

The task is asking for the maximum flow through our set-up graph. Hence, we implement Ford- Fulkerson in our graph to find the value of the max flow. Additionally, we need to consider that the  $\delta_j$  's constraint, so the upper bound of the edge connection between mature\_ year and sell\_ year would be from  $\sum_{j=1}^Y \delta_j$  to  $O(Y^2)$  (includes the part of Ford-Fulkerson's time complexity as well). As a result, the total time complexity of the whole operations is:

$$O(k + ckY + \sum_{j=1}^Y \delta_j + Y) + O((k + kY + \sum_{j=1}^Y \delta_j + Y)^2 \log(f))$$

Which equals to the upper bound of:

$$O((kY + Y^2) + (k + kY + Y^2 + Y)^2 \log(\sum_{j=1}^Y u_j))$$