

# **INFO1105/1905**

## **Data Structures**

### **Week 3a: Stacks, Queues, Deques**

see textbook chapter 6

Professor Alan Fekete

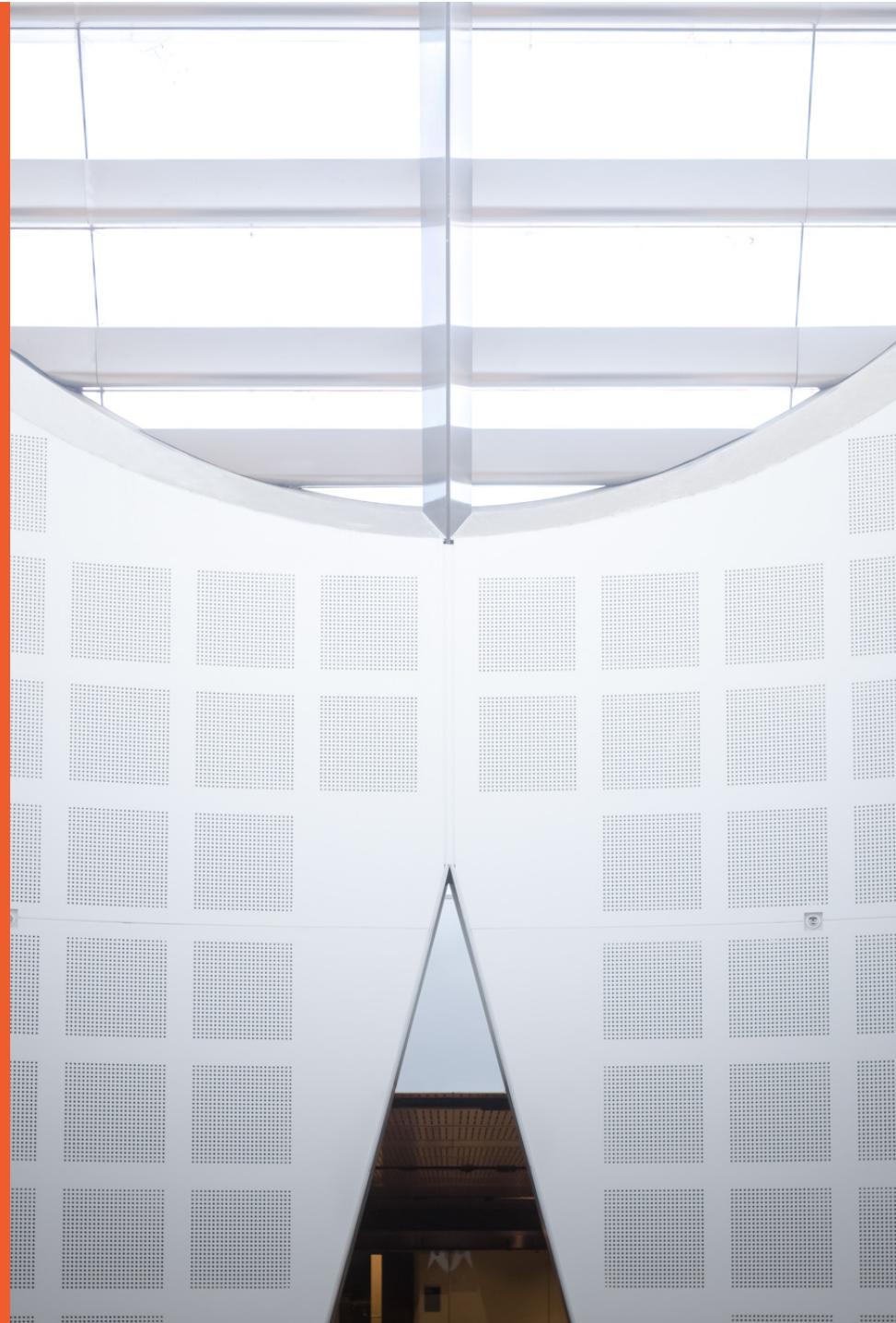
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using material from the textbook  
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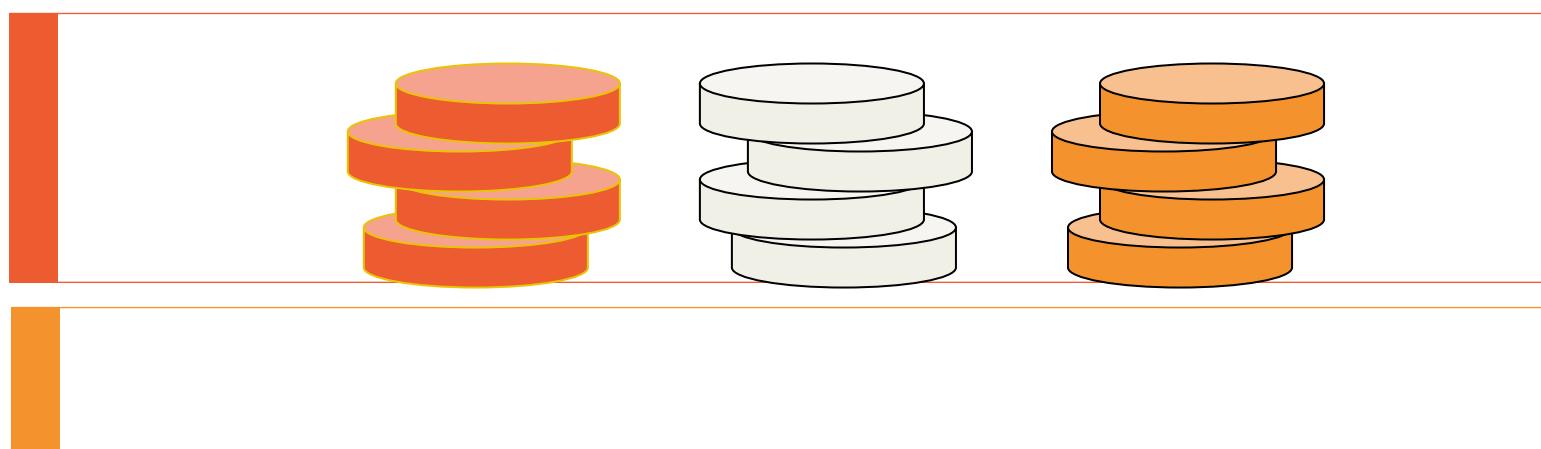
## Overview

- We introduce three more ADTs (Stack, Queue, Deque)
- Each is a restricted form of List, where insertion and removal happen only in particular places (not in arbitrary places of the collection)
  - operations have special names, that are part of the culture on computing
  - several interesting applications can use these ADTs effectively
  - there are ways to implement these ADTs that are simpler than (and/or more efficient than) implementations of general Lists
- Instead of using these ADTs, one could use a general-purpose List, and a library implementation of that List

## Reminder! Quiz 1

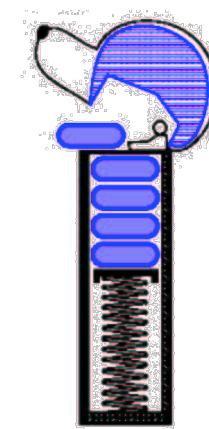
- Quiz 1 will take place during lab in week 4
- Done online, over a 20 minutes duration,
  - during the last 30 minutes of the lab period, or as indicated by your tutor
- A few multiple choice questions,
  - covering material from lectures of weeks 1 and 2 (labs 2 and 3), and also a bit of material from today's lecture
    - recursion
    - linked list re-arrangements
    - costs of operations on lists (array or linked)
    - tracing operations on stacks, queues, deques
    - key ideas with big-Oh notation

# Stacks



# The Stack ADT

- The Stack ADT stores arbitrary objects
- Insertions and deletions follow the last-in first-out scheme (LIFO)
- Think of a spring-loaded plate dispenser
- Main stack operations:
  - `push(object)`: inserts an element
  - object `pop()`: removes and returns the last inserted element
- Auxiliary stack operations:
  - object `top()`: returns the last inserted element without removing it
  - integer `size()`: returns the number of elements stored
  - boolean `isEmpty()`: indicates whether no elements are stored



# Example

Method	Return Value	Stack Contents
push(5)	–	(5)
push(3)	–	(5, 3)
size()	2	(5, 3)
pop()	3	(5)
isEmpty()	false	(5)
pop()	5	()
isEmpty()	true	()
pop()	null	()
push(7)	–	(7)
push(9)	–	(7, 9)
top()	9	(7, 9)
push(4)	–	(7, 9, 4)
size()	3	(7, 9, 4)
pop()	4	(7, 9)
push(6)	–	(7, 9, 6)
push(8)	–	(7, 9, 6, 8)
pop()	8	(7, 9, 6)

## Stack Interface in Java

- Java interface corresponding to our Stack ADT
- Assumes null is returned from top() and pop() when stack is empty
- Different from the built-in Java class `java.util.Stack`

```
public interface Stack<E> {  
    int size();  
    boolean isEmpty();  
    E top();  
    void push(E element);  
    E pop();  
}
```

# Exceptions vs. Returning Null

- Attempting the execution of an operation of an ADT may sometimes cause an error condition
- Java supports a general abstraction for errors, called exception
- An exception is said to be “thrown” by an operation that cannot be properly executed
- In our Stack ADT, we do not use exceptions
- Instead, we allow operations pop and top to be performed even if the stack is empty
- For an empty stack, pop and top simply return null

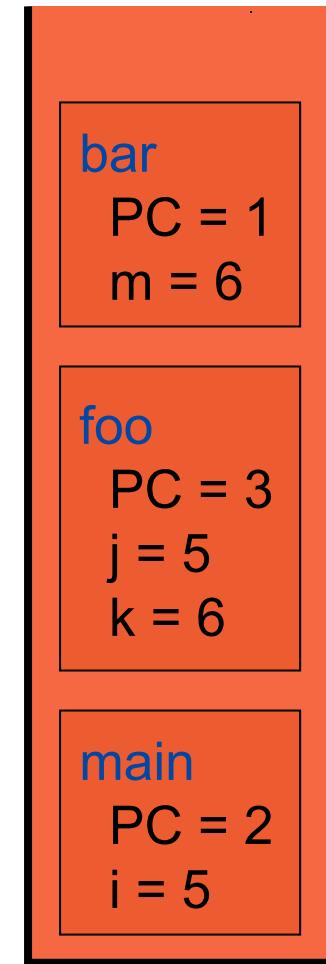
# Applications of Stacks

- Direct applications
  - Page-visited history in a Web browser
  - Undo sequence in a text editor
  - Chain of method calls in the Java Virtual Machine
- Indirect applications
  - Auxiliary data structure for algorithms
  - Component of other data structures

# Method Stack in the JVM

- The Java Virtual Machine (JVM) keeps track of the chain of active methods with a stack
- When a method is called, the JVM pushes on the stack a frame containing
  - Local variables and return value
  - Program counter, keeping track of the statement being executed
- When a method ends, its frame is popped from the stack and control is passed to the method on top of the stack
- Allows for **recursion**

```
main() {  
    int i = 5;  
    foo(i);  
}  
  
foo(int j) {  
    int k;  
    k = j+1;  
    bar(k);  
}  
  
bar(int m) {  
    ...  
}
```



## Array-based Stack

- A simple way of implementing the Stack ADT uses an array
- We add elements from left to right
- A variable keeps track of the index of the top element

**Algorithm *size()***

**return *t* + 1**

**Algorithm *pop()***

**if *isEmpty()* then**

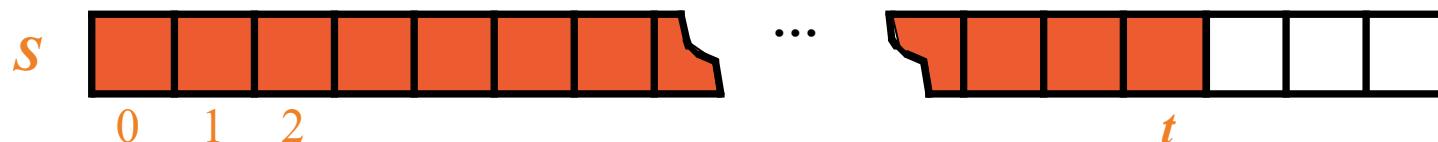
**return null**

**else**

***ans*  $\leftarrow S[t]$**

***t*  $\leftarrow i - 1$**

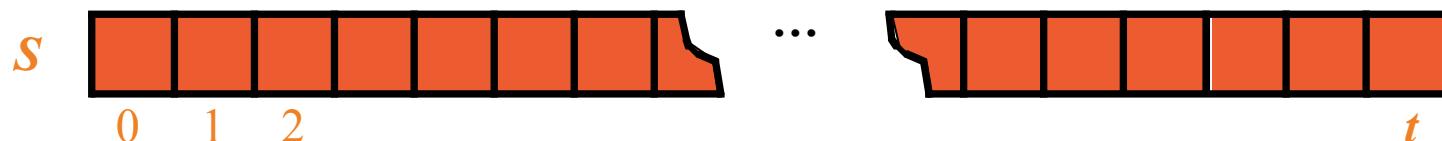
**return *ans***



## Array-based Stack (cont.)

- The array storing the stack elements may become full
- A push operation will then throw a **IllegalStackException**
  - Limitation of the array-based implementation
  - Not intrinsic to the Stack ADT
  - but included in Java interface

```
Algorithm push(o)
if  $t = S.length - 1$  then
    throw IllegalStackException
else
     $t \leftarrow t + 1$ 
     $S[t] \leftarrow o$ 
```



# Performance and Limitations

- Performance
  - Let  $n$  be the number of elements in the stack
  - The space used is  $O(n)$
  - Each operation runs in time  $O(1)$
- Limitations
  - The maximum size of the stack must be defined a priori and cannot be changed
  - Trying to push a new element into a full stack causes an implementation-specific exception

## textbook's Array-based Stack in Java

```
public class ArrayStack<E>
    implements Stack<E> {

    // holds the stack elements
    private E data[ ];

    // index to top element
    private int t = -1;

    // constructor
    public ArrayStack(int capacity) {
        data = (E[ ]) new Object[capacity];
    }
```

```
public E pop() {
    if isEmpty()
        return null;
    E answer= data[t];
    // facilitate garbage collection:
    data[t] = null;
    t--;
    return answer;
}

... (other methods of Stack interface)
```

## Example Use in Java

```
public class Tester {  
    // ... other methods  
    public intReverse(Integer a[]) {  
        Stack<Integer> s;  
        s = new  
        ArrayStack<Integer>();  
        ... (code to reverse array a) ...  
    }  
}
```

```
public floatReverse(Float f[]) {  
    Stack<Float> s;  
    s = new ArrayStack<Float>();  
    ... (code to reverse array f) ...  
}
```

## Example of stack usage: Reversing an array non-recursively

```
/** A nonrecursive generic method for reversing an array */
public static <E> void reverse(E[] a) {
    Stack<E> S = new ArrayStack<E>(a.length);
    for (int i=0; i < a.length; i++)
        S.push(a[i]);
    for (int i=0; i < a.length; i++)
        a[i] = S.pop();
}
```

```
/** Tester routine for reversing arrays */
public static void main(String args[]) {
    Integer[] a = {4, 8, 15, 16, 23, 42}; // autoboxing allows this
    String[] s = {"Jack", "Kate", "Hurley", "Jin", "Boone"};
    System.out.println("a = " + Arrays.toString(a));
    System.out.println("s = " + Arrays.toString(s));
    System.out.println("Reversing . . .");
    reverse(a);
    reverse(s);
    System.out.println("a = " + Arrays.toString(a));
    System.out.println("s = " + Arrays.toString(s));
}
```

```
a = [4, 8, 15, 16, 23, 42]
s = [Jack, Kate, Hurley, Jin, Michael]
Reversing...
a = [42, 23, 16, 15, 8, 4]
s = [Michael, Jin, Hurley, Kate, Jack]
```

# Performance and Limitations of Array-based Stacks

- Performance
  - Let  $n$  be the number of elements in the stack
  - The space used is  $O(n)$
  - Each operation runs in time  $O(1)$
- Limitations
  - The maximum size of the stack must be defined a priori and cannot be changed
  - Trying to push a new element into a full stack causes an implementation-specific exception
- Another implementation: Linked List-based

## Linked List based Stacks

- No arbitrary capacity limit
- Top of stack is front of list: insertion and deletion in constant time

<b>Stack method</b>	<b>Singly Linked list method</b>
size() isEmpty() push(e) pop() top()	list.size() list.isEmpty() list.addFirst(e) list.removeFirst() list.first ()

# **Application of Stacks in programming**

- Parenthesis matching
- HTML tag matching
- Function/method calls
  - Including recursive calls
- Evaluating Arithmetic Expressions

## Parentheses Matching

- Each "()", "{}", or "[" must be paired with a matching ")"", "}"", or "["
  - correct: ( )(( )){(( ))}
  - correct: ((( ))(( )){(( ))})
  - incorrect: )(( )){(( ))})
  - incorrect: ({[ ]})
  - incorrect: (

# Parentheses Matching Algorithm

**Algorithm** ParenMatch( $X, n$ ):

**Input:** An array  $X$  of  $n$  tokens, each of which is either a grouping symbol, a variable, an arithmetic operator, or a number

**Output:** **true** if and only if all the grouping symbols in  $X$  match

Let  $S$  be an empty stack

**for**  $i=0$  to  $n-1$  **do**

**if**  $X[i]$  is an opening grouping symbol **then**

$S.push(X[i])$

**else if**  $X[i]$  is a closing grouping symbol **then**

**if**  $S.isEmpty()$  **then**

**return false** {nothing to match with}

**if**  $S.pop()$  does not match the type of  $X[i]$  **then**

**return false** {wrong type}

**if**  $S.isEmpty()$  **then**

**return true** {every symbol matched}

**else return false** {some symbols were never matched}

# HTML Tag Matching

For fully-correct HTML, each `<name>` should pair with a matching `</name>`

```
<body>
<center>
<h1> The Little Boat </h1>
</center>
<p> The storm tossed the little
boat like a cheap sneaker in an
old washing machine. The three
drunken fishermen were used to
such treatment, of course, but
not the tree salesman, who even as
a stowaway now felt that he
had overpaid for the voyage. </p>
<ol>
<li> Will the salesman die? </li>
<li> What color is the boat? </li>
<li> And what about Naomi? </li>
</ol>
</body>
```

## The Little Boat

The storm tossed the little boat  
like a cheap sneaker in an old  
washing machine. The three  
drunken fishermen were used to  
such treatment, of course, but not  
the tree salesman, who even as  
a stowaway now felt that he had  
overpaid for the voyage.

1. Will the salesman die?
2. What color is the boat?
3. And what about Naomi?

# Tag Matching Algorithm (in Java)

```
public static boolean isHTMLMatched(String html) {  
    Stack<String> buffer = new LinkedStack<>();  
    int j = html.indexOf('<'); // find first '<' character (if any)  
    while (j != -1) {  
        int k = html.indexOf('>', j+1); // find next '>' character  
        if (k == -1)  
            return false; // invalid tag  
        String tag = html.substring(j+1, k); // strip away < >  
        if (!tag.startsWith("/")) // this is an opening tag  
            buffer.push(tag);  
        else { // this is a closing tag  
            if (buffer.isEmpty())  
                return false; // no tag to match  
            if (!tag.substring(1).equals(buffer.pop()))  
                return false; // mismatched tag  
        }  
        j = html.indexOf('<', k+1); // find next '<' character (if any)  
    }  
    return buffer.isEmpty(); // were all opening tags matched?  
}
```

# Evaluating Arithmetic Expressions

Slide by Matt Stallmann  
included with permission.

$$14 - 3 * 2 + 7 = (14 - (3 * 2)) + 7$$

## Operator precedence

\* has precedence over +/–

## Associativity

operators of the same precedence group  
evaluated from left to right

Example:  $(x - y) + z$  rather than  $x - (y + z)$

**Idea:** push each operator on the stack, but first pop and perform higher and *equal* precedence operations.

# Algorithm for Evaluating Expressions

Slide by Matt Stallmann included with permission.

Two stacks:

- opStk holds operators
- valStk holds values
- Use \$ as special “end of input” token with lowest precedence

Algorithm doOp()

```
x ← valStk.pop();
y ← valStk.pop();
op ← opStk.pop();
valStk.push( y op x )
```

Algorithm repeatOps( refOp ):

```
while ( valStk.size() > 1 ∧
        prec(refOp) ≤ prec(opStk.top()))
    doOp()
```

Algorithm EvalExp()

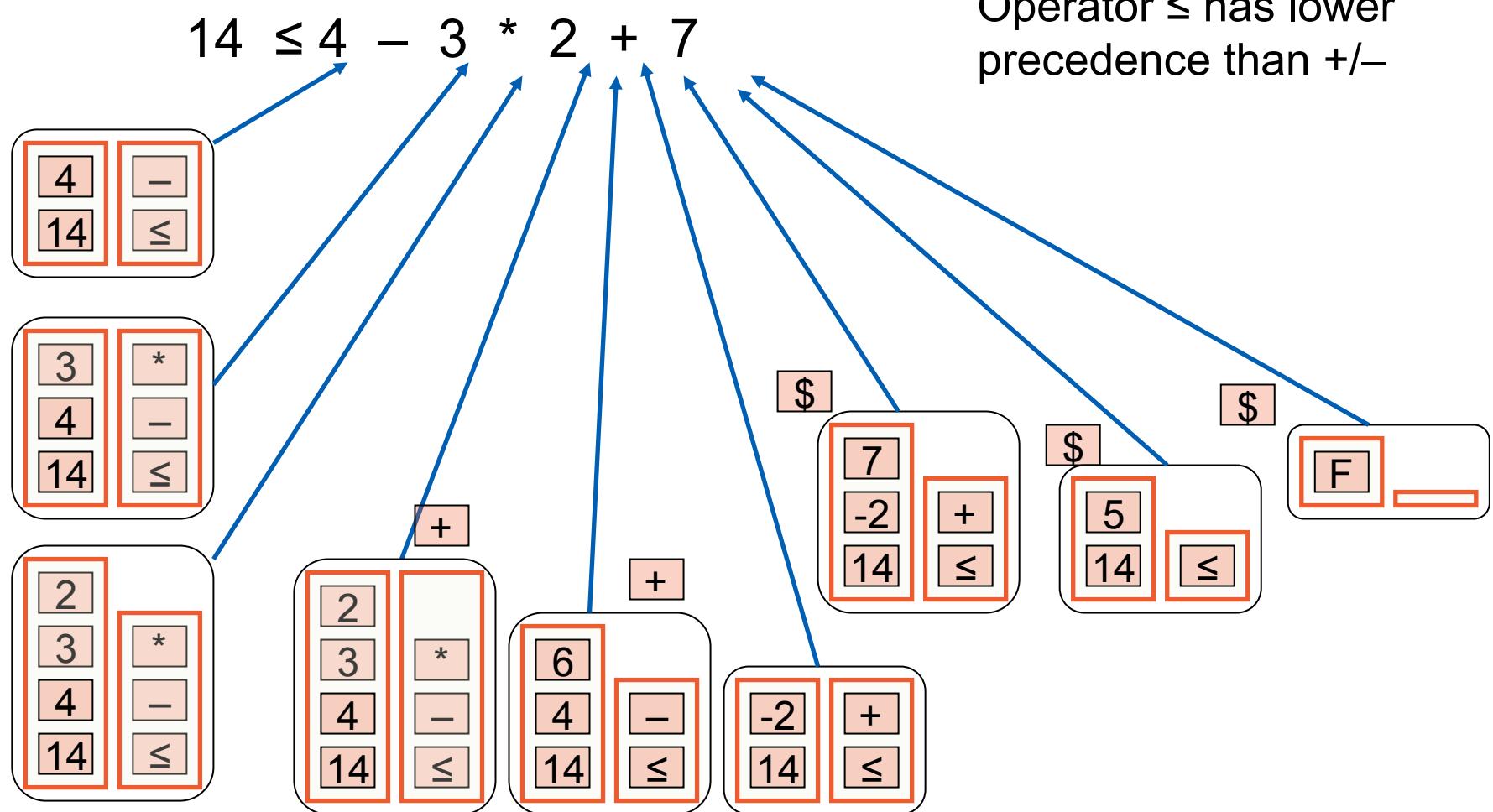
Input: a stream of tokens representing an arithmetic expression (with numbers)

Output: the value of the expression

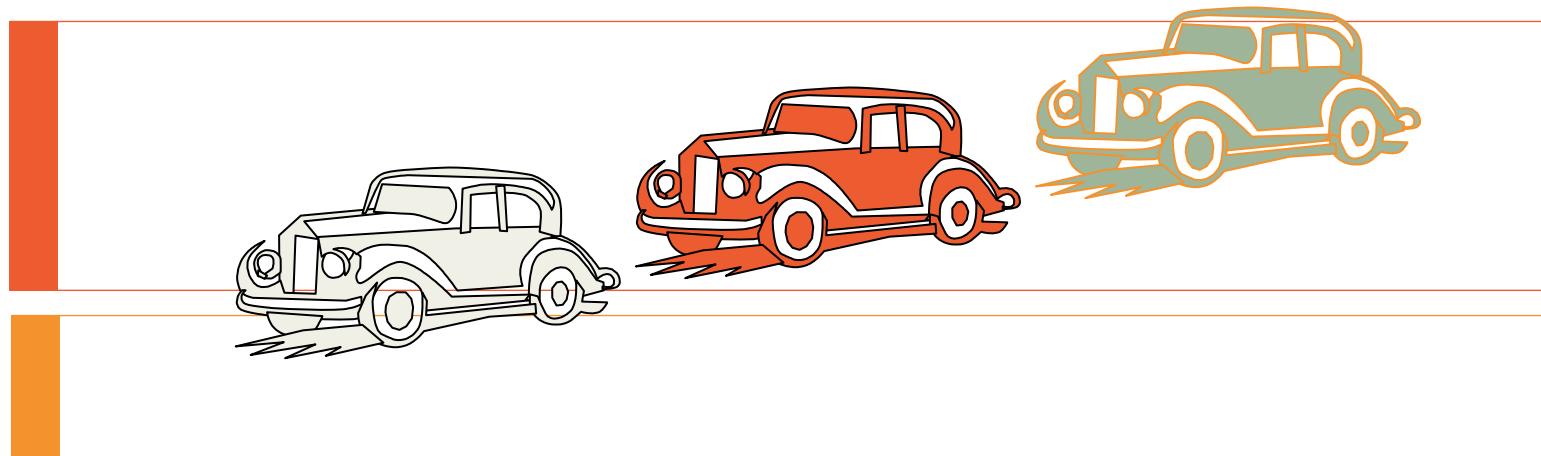
```
while there's another token z
    if isNumber(z) then
        valStk.push(z)
    else
        repeatOps(z);
        opStk.push(z)
    repeatOps($);
    return valStk.top()
```

# Algorithm on an Example Expression

Slide by Matt Stallmann  
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# Queues



# The Queue ADT

- The **Queue** ADT stores arbitrary objects
- Insertions and deletions follow the first-in first-out scheme (FIFO)
- Insertions are at the rear of the queue and removals are at the front of the queue
- Main queue operations:
  - **enqueue(object)**: inserts an element at the end of the queue
  - object **dequeue()**: removes and returns the element at the front of the queue
- **Auxiliary queue operations:**
  - object **front()**: returns the element at the front without removing it
  - integer **size()**: returns the number of elements stored
  - boolean **isEmpty()**: indicates whether no elements are stored
- **Boundary cases:**
  - Attempting the execution of **dequeue** or **front** on an empty queue returns **null**

## Example

<i>Operation</i>	<i>Output</i>	<i>Q</i>
enqueue(5)	–	(5)
enqueue(3)	–	(5, 3)
dequeue()	5	(3)
enqueue(7)	–	(3, 7)
dequeue()	3	(7)
front()	7	(7)
dequeue()	7	()
dequeue()	<i>null</i>	()
isEmpty()	<i>true</i>	()
enqueue(9)	–	(9)
enqueue(7)	–	(9, 7)
size()	2	(9, 7)
enqueue(3)	–	(9, 7, 3)
enqueue(5)	–	(9, 7, 3, 5)
dequeue()	9	(7, 3, 5)

# **Applications of Queues**

- Direct applications
  - Waiting lists, bureaucracy
  - Access to shared resources (e.g., printer)
  - Simulation
- Indirect applications
  - Auxiliary data structure for algorithms
  - Component of other data structures

## textbook's Queue Interface in Java

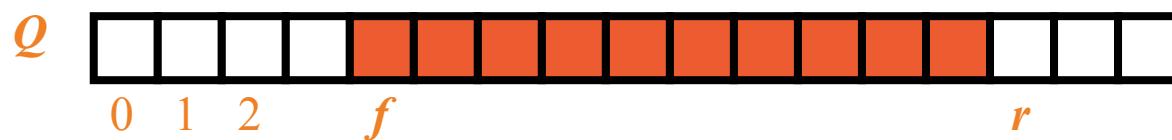
- Java interface corresponding to our Queue ADT
- Assumes that `first()` and `dequeue()` return null if queue is empty

```
public interface Queue<E> {  
    int size();  
    boolean isEmpty();  
    E first();  
    void enqueue(E element);  
    E dequeue();  
}
```

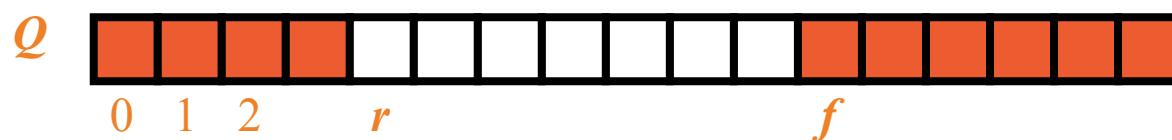
## Array-based Queue

- Use an array of size  $N$  in a circular fashion
- Two variables keep track of the front and size
  - $f$  index of the front element
  - $sz$  number of stored elements
- When the queue has fewer than  $N$  elements, array location  $r = (f + sz) \bmod N$  is the first empty slot past the rear of the queue

normal configuration



wrapped-around configuration



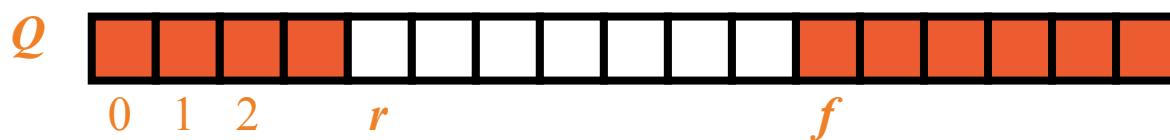
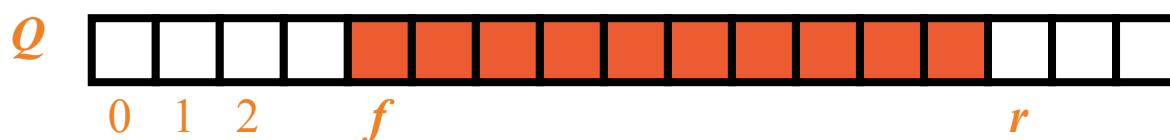
# Queue Operations

**Algorithm *size()***

**return *sz***

**Algorithm *isEmpty()***

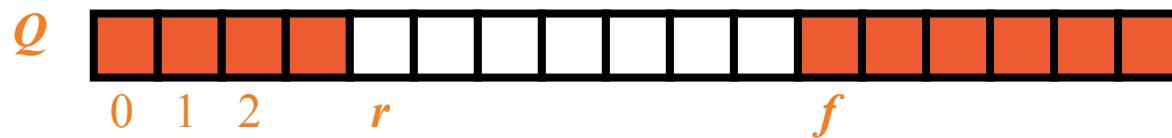
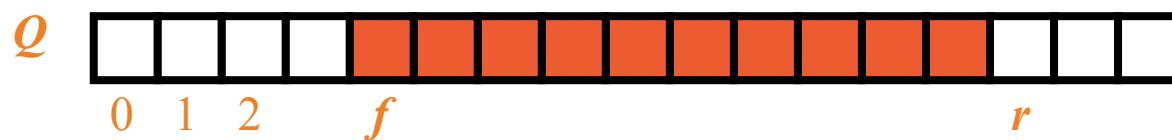
**return (*sz* = 0)**



## Queue Operations (cont.)

- Operation `enqueue` throws an exception if the array is full
- This exception is implementation-dependent

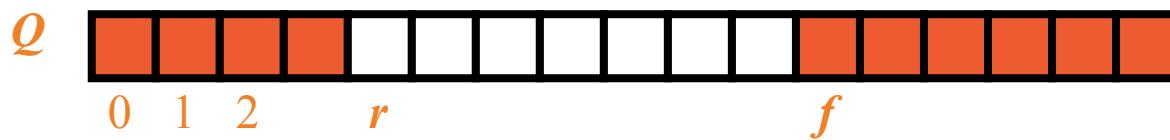
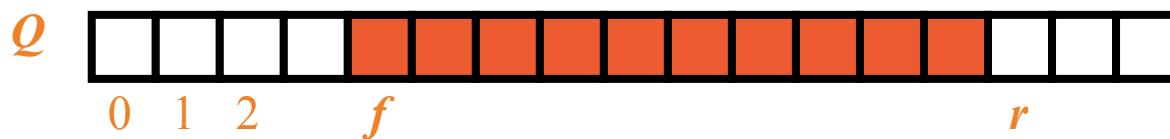
```
Algorithm enqueue(o)
  if size() = N then
    throw IllegalStateException
  else
    Q[r] ← o
    sz ← (sz + 1)
```



## Queue Operations (cont.)

- Operation `dequeue` returns null if the queue is empty

```
Algorithm dequeue()
if isEmpty() then
    return null
else
    o  $\leftarrow Q[f]$ 
    f  $\leftarrow (f + 1) \bmod N$ 
    sz  $\leftarrow (sz - 1)$ 
    return o
```



# textbook's Array-based Implementation

```
1  /** Implementation of the queue ADT using a fixed-length array. */
2  public class ArrayQueue<E> implements Queue<E> {
3      // instance variables
4      private E[ ] data;                                // generic array used for storage
5      private int f = 0;                                // index of the front element
6      private int sz = 0;                               // current number of elements
7
8      // constructors
9      public ArrayQueue() {this(CAPACITY);}           // constructs queue with default capacity
10     public ArrayQueue(int capacity) {                // constructs queue with given capacity
11         data = (E[ ]) new Object[capacity];          // safe cast; compiler may give warning
12     }
13
14     // methods
15     /** Returns the number of elements in the queue. */
16     public int size() { return sz; }
17
18     /** Tests whether the queue is empty. */
19     public boolean isEmpty() { return (sz == 0); }
20
```

## textbook's Array-based Implementation (2)

```
21  /** Inserts an element at the rear of the queue. */
22  public void enqueue(E e) throws IllegalStateException {
23      if (sz == data.length) throw new IllegalStateException("Queue is full");
24      int avail = (f + sz) % data.length;      // use modular arithmetic
25      data[avail] = e;
26      sz++;
27  }
28
29  /** Returns, but does not remove, the first element of the queue (null if empty). */
30  public E first() {
31      if (isEmpty()) return null;
32      return data[f];
33  }
34
35  /** Removes and returns the first element of the queue (null if empty). */
36  public E dequeue() {
37      if (isEmpty()) return null;
38      E answer = data[f];
39      data[f] = null;                      // dereference to help garbage collection
40      f = (f + 1) % data.length;
41      sz--;
42      return answer;
43  }
```

## Comparison to `java.util.Queue`

- Our Queue methods and corresponding methods of `java.util.Queue`:

Our Queue ADT	Interface <code>java.util.Queue</code>	
	throws exceptions	returns special value
<code>enqueue(<i>e</i>)</code>	<code>add(<i>e</i>)</code>	<code>offer(<i>e</i>)</code>
<code>dequeue()</code>	<code>remove()</code>	<code>poll()</code>
<code>first()</code>	<code>element()</code>	<code>peek()</code>
<code>size()</code>		<code>size()</code>
<code>isEmpty()</code>		<code>isEmpty()</code>

## Linked list Queue implementation

- Linked-list implementation of the ADT Queue
  - Overcomes size limitations
  - But
    - size per element slightly bigger
    - more complicated, extra care needed for cases where queue is empty before enqueue and after dequeue
    - need to keep pointers to both the first and the last nodes in the list if not then additions will not be  $O(1)$
  - First = head of list

## Queue with linked lists - enqueue

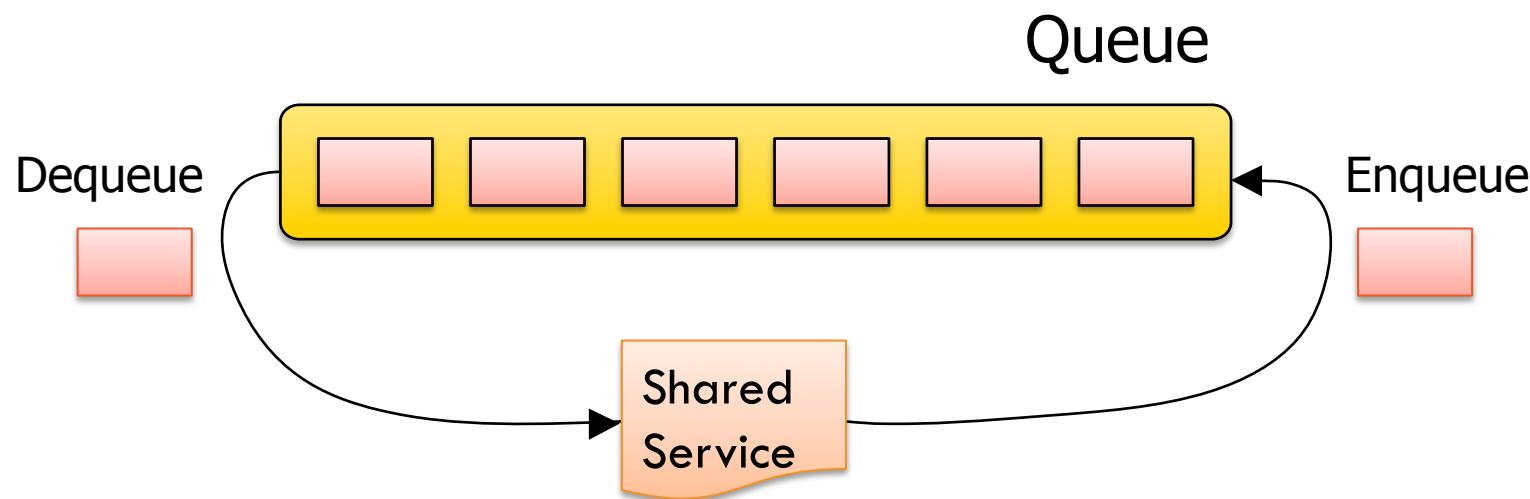
```
public void enqueue(E elem) {  
    Node<E> node = new Node<E>();  
    node.setElement(elem);  
    node.setNext(null); // node will be new tail node  
    if (size == 0)  
        head = node; // special case of a previously empty queue  
    else  
        tail.setNext(node); // add node at the tail of the list  
        tail = node; // update the reference to the tail node  
        size++;  
}
```

## Queue with linked lists - dequeue

```
public E dequeue() throws EmptyQueueException {  
    if (size == 0)  
        throw new EmptyQueueException("Queue is empty.");  
    E tmp = head.getElement();  
    head = head.getNext();  
    size--;  
    if (size == 0)  
        tail = null; // the queue is now empty  
    return tmp;  
}
```

# Application: Round Robin Schedulers

- We can implement a round robin scheduler using a queue  $Q$  by repeatedly performing the following steps:
  1.  $e = Q.dequeue()$
  2. Service element  $e$
  3.  $Q.enqueue(e)$



# Deques



## Double-ended queues: Deques

- A linear structure that allows insertions and deletions at both ends

Method	Time
size, isEmpty	$O(1)$
getFirst, getLast	$O(1)$
addFirst, addLast	$O(1)$
removeFirst, removeLast	$O(1)$

**Table 5.4:** Performance of a deque realized by a doubly linked list.

## Double-ended queue operations

The deque abstract data type is richer than both the stack and the queue ADTs. The fundamental methods of the deque ADT are as follows:

`addFirst(e)`: Insert a new element *e* at the head of the deque.

`addLast(e)`: Insert a new element *e* at the tail of the deque.

`removeFirst()`: Remove and return the first element of the deque; an error occurs if the deque is empty.

`removeLast()`: Remove and return the last element of the deque; an error occurs if the deque is empty.

Additionally, the deque ADT may also include the following support methods:

`getFirst()`: Return the first element of the deque; an error occurs if the deque is empty.

`getLast()`: Return the last element of the deque; an error occurs if the deque is empty.

`size()`: Return the number of elements of the deque.

`isEmpty()`: Determine if the deque is empty.

## **java.util.Deque interface**

```
public interface Deque<E> {  
    int size();  
    boolean isEmpty();  
    E getFirst();  
    E getLast();  
    void addFirst (E element);  
    void addLast (E element);  
    E removeFirst();  
    E removeLast();  
    // other methods see next slide
```

## java.util.Deque: extra methods

- offerFirst(*e*):** Inserts *e* at the head of the deque unless the deque is full, in which case this method returns **false**; if the method succeeds, it returns **true**.
- offerLast(*e*):** Inserts *e* at the tail of the deque unless the deque is full, in which case this method returns **false**; if the method succeeds, it returns **true**.
- pollFirst():** Removes and returns the first element of the deque; if the deque is empty, this method returns **null**.
- pollLast():** Removes and returns the last element of the deque; if the deque is empty, this method returns **null**.
- peekFirst():** Returns, but does not remove, the first element of the deque; if the deque is empty, this method returns **null**.
- peekLast():** Returns, but does not remove, the last element of the deque; if the deque is empty, this method returns **null**.