

# Database Management Systems - I, CS 157A

## **SQL Group-by, Sub-query Clauses and Security**

# Agenda

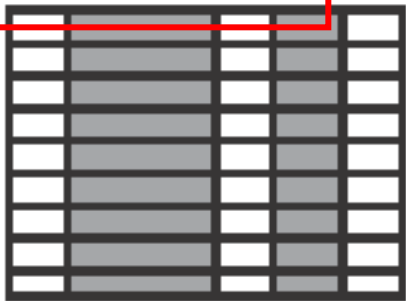
- ☐ Functions
  - Group functions
- ☐ Outer Join
- ☐ Sub-queries
- ☐ Security

# SQL Statements

DML (Data Manipulation Language)	SELECT
	INSERT UPDATE DELETE
DDL (Data Definition Language)	CREATE ALTER DROP
DCL and Transaction Control	GRANT REVOKE COMMIT ROLLBACK

# REVIEW: SQL SELECT

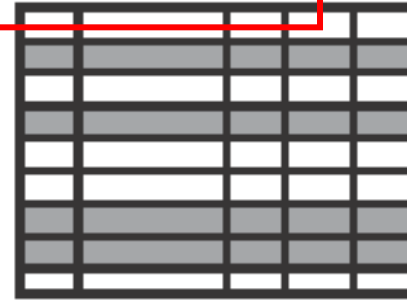
Projection



A diagram illustrating the Projection operation. It shows a 10x10 grid representing a table. The second, fourth, sixth, eighth, and tenth columns are shaded gray, indicating they are the selected attributes. The other columns are white.

Table 1

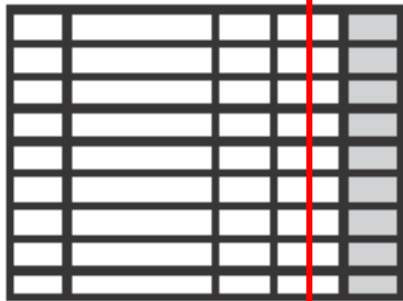
Selection



A diagram illustrating the Selection operation. It shows a 10x10 grid representing a table. The second, fourth, sixth, eighth, and tenth rows are shaded gray, indicating they are the selected rows. The other rows are white.

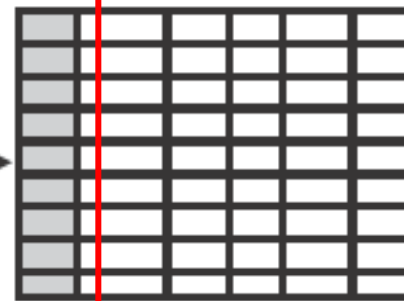
Table 1

Join



A diagram illustrating the Join operation. It shows a 10x10 grid representing a table. The second, fourth, sixth, eighth, and tenth columns are shaded gray, indicating they are the selected attributes. The other columns are white.

Table 1



A diagram illustrating the Join operation. It shows a 10x10 grid representing a table. The second, fourth, sixth, eighth, and tenth columns are shaded gray, indicating they are the selected attributes. The other columns are white.

Table 2

# BETWEEN Operator

- Use the BETWEEN operator to display rows based on a range of values

```
■ SELECT      last_name, salary
FROM          employees
WHERE         salary BETWEEN
              2500 AND 3500 ;
```

# Membership Condition Using IN

- Use the **IN** operator to test for values in a list
- **SELECT** last\_name, salary, manager\_id  
**FROM** employees  
**WHERE** manager\_id **IN** (100, 101, 201);

# Using NULL Conditions

- Test for nulls with **IS NULL** operator
- **SELECT** last\_name, manager\_id  
**FROM** employees  
**WHERE** manager\_id **IS NULL** ;
- Note: you cannot test with = (you need to use **IS** instead)
  - A null is not equal, or unequal to any value

# ORDER-BY Clause

- Sort retrieved rows with ORDER BY clause
  - **ASC**: Ascending order, default
  - **DESC**: Descending order
- The ORDER BY clause comes last in the SELECT statement

```
SELECT      last_name, department_id, hire_date
FROM        employees
ORDER BY    hire_date;
```



# Sorting

- Sorting in descending order

```
SELECT    last_name, department_id, hire_date
FROM      employees
ORDER BY  hire_date DESC ;
```

- Sorting by column alias

```
SELECT    last_name, salary*12 annsal
FROM      employees
ORDER BY  annsal ;
```

# Sorting (cont.)

- Sorting using column's numeric position

```
SELECT    last_name, job_id, hire_date, salary
FROM      employees
ORDER BY  3;
```

- Sorting by multiple columns

```
SELECT    last_name, job_id, salary
FROM      employees
ORDER BY  job_id, salary DESC;
```



# Functions

# Case-Conversion Functions

Function	Result
<b>LOWER</b> (`SQL Course`)	sql course
<b>UPPER</b> (`SQL Course`)	SQL COURSE
<b>INITCAP</b> (`SQL Course`)	Sql Course

# Example: Case-Conversion

```
SELECT      last_name, job_id, salary
FROM        employees
WHERE       last_name = 'peng';
```

0 rows returned.

```
SELECT      last_name, job_id, salary
FROM        employees
WHERE       LOWER(last_name) = 'peng';
```

1 rows returned.

# Character Manipulation Functions

Function	Result
<b>SUBSTR</b> ('HelloWorld', 1 ,5)	Hello
<b>LENGTH</b> ('HelloWorld')	10
<b>INSTR</b> ('HelloWorld', 'W') – Search for 'W' in the 'HelloWorld' string	6
<b>LPAD</b> (salary, 10, '*') – left Pad with '*' up to 10 characters	*****24000
<b>RPAD</b> (salary, 10, '*') – right Pad	24000*****

# Number Functions

Function	Result
<b>ROUND</b> (45.926, 2)	45.93
<b>TRUNC</b> (45.926, 2)	45.92
Remainder = <b>MOD</b> (1600, 300)	100

# Group Functions

Function	Description
AVG	Average
COUNT	Number of rows
SUM	Sum values
MAX/MIN	Maximum / Minimum value



# GROUP Functions and Null Value

- Group functions **ignore** null values in the column

```
SELECT      AVG(commision_pct)
FROM        employees;
```

Ignore commision\_pct column when value is NULL.

# Creating Groups of Data

- You can divide rows in a table into smaller groups (chunks) using the GROUP BY clause

```
SELECT      column, group_function(column)
FROM        table
[WHERE      condition]
[GROUP BY  group_by_expression]
[ORDER BY  column];
```

# Example: GROUP BY

- All columns in the SELECT clause that are not in group functions must be in the GROUP BY clause

```
SELECT    department_id, AVG(salary)
FROM      employees
GROUP BY  department_id;
```

# Illegal Queries with Group Functions

```
SELECT    department_id, COUNT(name)
FROM      employees;
```

**A GROUP\_BY clause must be added to count the name for each dept!!**

```
SELECT    department_id, job_id, COUNT(name)
FROM      employees
GROUP BY  department_id;
```

**Either remove job\_id, or  
Add job\_id in the GROUP\_BY**

# Illegal Queries with Group Functions

- You **cannot** use the WHERE clause to restrict groups

```
SELECT      department_id, AVG(salary)
FROM        employees
WHERE       AVG(salary) > 8000
GROUP BY    department_id;
```

- Use the **HAVING** clause to restrict groups

```
SELECT      department_id, AVG(salary)
FROM        employees
GROUP BY    department_id
HAVING      AVG(salary) > 8000 ;
```

# Restricting Group Results with the HAVING clause

- When you use the HAVING clause, Oracle server restricts groups as follows
  1. Rows are grouped
  2. The group function is applied
  3. Groups matching the HAVING clause are displayed

```
SELECT      department_id, AVG(salary)
FROM        employees
GROUP BY    department_id
HAVING      AVG(salary) > 8000 ;
```



# Subquery

# Subquery (Nested SELECT)

**SELECT**    *select\_list*  
**FROM**     *table*  
**WHERE**    *expr* **operator**

(**SELECT**    *select\_list*  
      **FROM**     *table*);

- The subquery (inner query) executes before the main query (outer query)
- The result of the subquery is used by the main query



# Using Group Functions in a Subquery

```
SELECT last_name, job_id, salary
FROM employees
WHERE salary =
      (SELECT MIN(salary)
       FROM employees);
```

- Select employee with minimum salary
- Note the subquery returns a single value, say 2500, to the outer query.

# What's Wrong with this Statement?

```
SELECT      last_name, salary
FROM        employees
WHERE       salary =
            (SELECT      MIN(salary)
             FROM        employees
             GROUP BY    department_id) ;
```

- The subquery returns multiple values, one for each department. The = operator is a single-row comparison operator that expects only one value.

# Multi-Row Subqueries

```
SELECT      last_name, salary
FROM        employees
WHERE       salary IN
            (SELECT      MIN(salary)
             FROM        employees
             GROUP BY    department_id);
```

- The subquery returns multiple values, one for each group. We use IN operator here, which is a multi-row operator that expects one or more values.



# **Security and User Authorization in SQL**

# Authorization

- A file system identifies certain privileges on the objects (files) it manages:
  - Typically: <read, write, execute>
- A file system identifies certain participants to whom privileges may be granted.
  - Typically: <owner, a group, all users>

# Privileges – (1)

- SQL identifies a more detailed set of privileges on objects (relations) than the typical file system
- **Nine privileges in all**, some of which can be restricted to one column of one relation

# Privileges – (2)

## ■ Some important privileges on a relation:

1. **SELECT** = right to query the relation
2. **INSERT** = right to insert tuples
  - May apply to only one attribute
3. **UPDATE** = right to update tuples
  - May apply to only one attribute
4. **DELETE** = right to delete tuples

# Example: Privileges

- For the statement below:

**INSERT INTO Beers(name)**

```
SELECT beer FROM Sells  
WHERE NOT EXISTS  
  (SELECT * FROM Beers  
   WHERE name = beer);
```

beers that do not appear in Beers. We add them to Beers with a NULL manufacturer.

- We require privileges **SELECT** on Sells and Beers, and **INSERT** on Beers or Beers.name





# Database Objects

- The objects on which privileges exist include stored tables and views
- Other privileges are the right to create objects of a type, e.g., triggers
- Views form an important tool for access control

# Example: Views as Access Control

- We might not want to give the SELECT privilege on **Emps(name, addr, salary)**
- But it is safer to give SELECT on:

```
CREATE VIEW SafeEmps AS
```

```
SELECT name, addr FROM Emps;
```

- Queries on **SafeEmps** do not require **SELECT** privilege on Emps, just on **SafeEmps**

# Authorization ID's

- A user is referred to by *authorization ID*, typically their login name
- There is an **authorization ID PUBLIC**:
  - Granting a privilege to PUBLIC makes it available to any authorization ID

# Granting Privileges

- You have all possible privileges on the objects, such as relations, that you create
- You may grant privileges to other users (authorization ID's), including PUBLIC
- You may also grant privileges **WITH GRANT OPTION**, which lets the grantee also grant this privilege

# The GRANT Statement

- To grant privileges, say:  
    **GRANT** <list of privileges>  
    **ON**       <relation or other object>  
    **TO**        <list of authorization ID's>;
- If you want the recipient(s) to be able to pass the privilege(s) to others add:  
    **WITH GRANT OPTION**

# Example: GRANT

- Suppose you are the owner of Sells.  
You may say:

```
GRANT SELECT, UPDATE (price)
ON      Sells
TO      sally;
```

- Now Sally has the right to issue any query on Sells and can update the price component/attribute only

# Example: Grant Option

- Suppose we also grant:  
**GRANT** UPDATE **ON** Sells **TO** sally  
**WITH GRANT OPTION;**
- Now, Sally not only can update any attribute of Sells, but can grant to others the privilege UPDATE ON Sells:
  - Also, she can grant more specific (restricted) privileges like UPDATE (price) **ON** Sells

# Revoking Privileges

**REVOKE** <list of privileges>  
**ON** <relation or other object>  
**FROM** <list of authorization ID's>;

- Your grant of these privileges can no longer be used by these users to justify their use of the privilege:
  - But they may still have the privilege because they obtained it independently from elsewhere



# REVOKE Options

- We must append to the REVOKE statement either:
  1. **CASCADE:** Now, any grants made by a revokee are also not in force, no matter how far the privilege was passed
  2. **RESTRICT:** If the privilege has been passed to others, the REVOKE fails as a warning that something else must be done to “chase the privilege down”

# Grant Diagrams

- Nodes = user/privilege/grant option? / is owner?
  - UPDATE ON R, UPDATE(a) on R, and UPDATE(b) ON R live in different nodes
  - SELECT ON R and SELECT ON R WITH GRANT OPTION live in different nodes
- Edge  $X \rightarrow Y$  means that node  $X$  was used to grant  $Y$

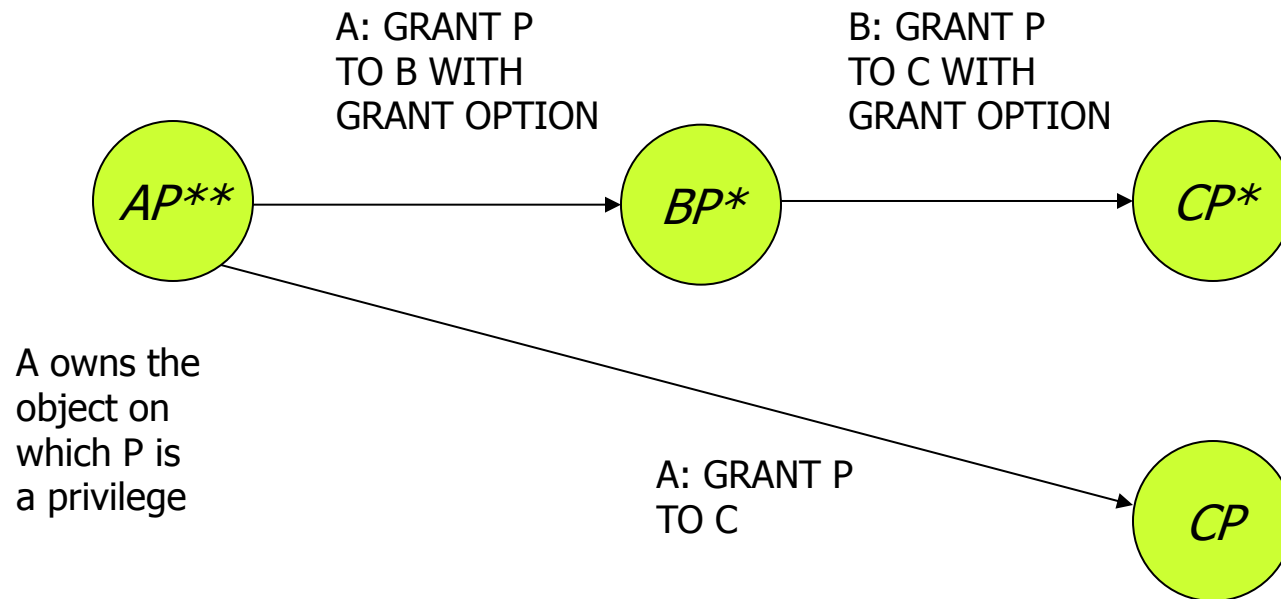
# Notation for Nodes

- Use  $AP$  for the node representing authorization ID  $A$  having privilege  $P$ :
  - $P^*$  = privilege  $P$  with grant option
  - $P^{**}$  = the source of the privilege  $P$ 
    - I.e.,  $A$  is the owner of the object on which  $P$  is a privilege
    - Note  $^{**}$  implies grant option

# Manipulating Edges – (1)

- When  $A$  grants  $P$  to  $B$ , We draw an edge from  $AP^*$  ( $A$  is not owner) or  $AP^{**}$  (if  $A$  is owner) to  $BP$ 
  - Or to  $BP^*$  if the grant is with grant option
- If  $A$  grants a subprivilege  $Q$  of  $P$  [say **UPDATE(a) on R when  $P$  is UPDATE ON R**] then the edge goes to  $BQ$  or  $BQ^*$ , instead

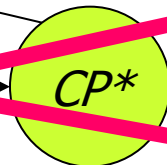
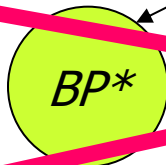
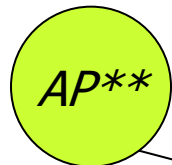
# Example: Grant Diagram



# Example: Grant Diagram

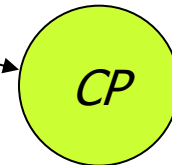
A executes

REVOKE P FROM B CASCADE;



Even had  
C passed P  
to B, both  
nodes are  
still cut off

Not only does B lose  
 $P^*$ , but C loses  $P^*$ .  
Delete  $BP^*$  and  $CP^*$



However, C still  
has P without grant  
option because of  
the direct grant.

# Summary

- Functions
  - String function
  - Numeric functions
  - Group functions
- Outer Join
- Sub-query
- Security



**END**